

TBFT 建模进度

摘要

版本 7: 本文完善了 TBFT 共识机制的建模框架。1、完善了广播理想功能 \mathcal{F}_{BC} ，确保了信息在指定集合中的可靠传递以及对手的可见性。2、完善 \mathcal{F}_{TBFT} 功能描述，加入了随机交易剔除功能，使得系统在处理交易时更加灵活和安全。3、细化协议描述 π_{TBFT} ，加入了对各子功能的描述，为协议的实现提供了更加明确的指导。4、协议实现的 UC 建模完成度比例为 80% 左右。

一、整体框架

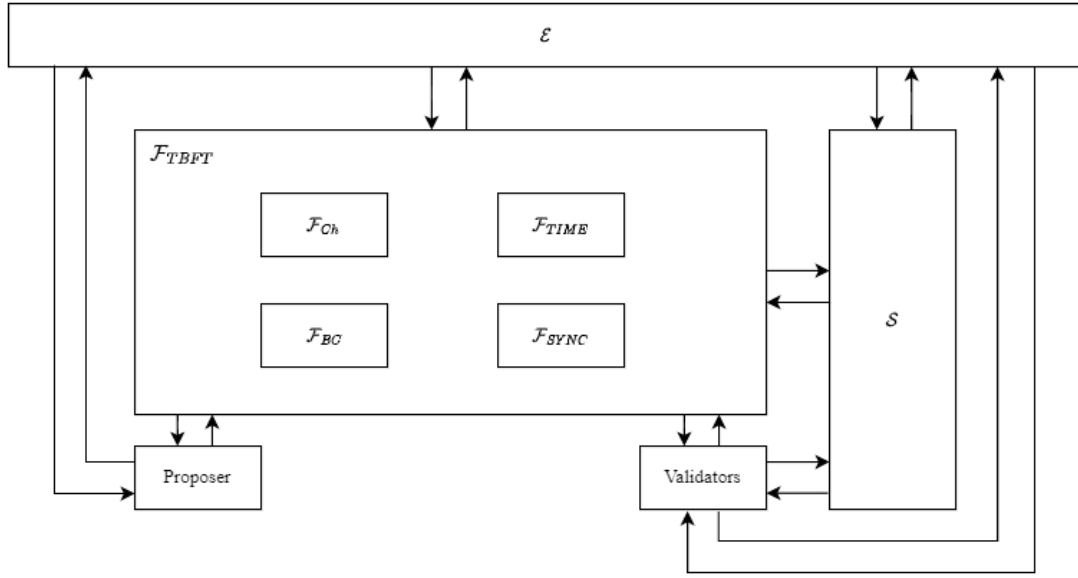


图 1 TBFT 协议整体框架

二、功能描述

(一) 功能 \mathcal{F}_{Ch}

初始化：定义一组参与方，其中 \mathcal{S} 和 \mathcal{R} 分别表示该组中的两个参与方，作为消息 m 的发送方和接收方。

Δ 根据功能参数定义如下。消息标识符 mid 由功能随机选择。

1. 当从 \mathcal{S} 接收到输入 $(Send, sid, \mathcal{R}, m)$ 时，向 \mathcal{A} 输出 $(Send, sid, \Delta, mid)$ 。
2. 当从 \mathcal{A} 接收到 (Ok, sid, mid) 时，向 \mathcal{R} 发送 $(Received, sid, \mathcal{S}, m)$ 。

根据以下参数化函数设置 Δ ：

- 对于 \mathcal{F}_{Ch}^{ac} ，设置 $\Delta = (\mathcal{S}, \mathcal{R}, m)$ 。当从 \mathcal{A} 接收到 $(Ok.Snd, sid, mid)$ 时，向 \mathcal{S}^a 发送 $(Continue, sid)$ 。
- 对于 \mathcal{F}_{Ch}^{sra} ，设置 $\Delta = (\mathcal{S}, |m|)$ 。
- 对于 \mathcal{F}_{Ch}^{ssa} ，设置 $\Delta = (\mathcal{R}, |m|)$ 。
- 对于 \mathcal{F}_{Ch}^{fa} ，设置 $\Delta = |m|$ 。
- 对于 \mathcal{F}_{Ch}^{sc} ，设置 $\Delta = (\mathcal{S}, \mathcal{R}, |m|)$ 。当从 \mathcal{A} 接收到 $(Ok.Snd, sid, mid)$ 时，向 \mathcal{S} 发送 $(Continue, sid)$ 。
- 对于 \mathcal{F}_{Ch}^{sa} ，设置 $\Delta = (\mathcal{R}, m)$ 。

1. 当从 \mathcal{A} 接收到 (Ok,sid,mid) 时, 向 \mathcal{R} 发送 (Received,sid,m,mid)。当从 \mathcal{A} 接收到 (Ok.Snd,sid,mid) 时, 向 \mathcal{S} 发送 (Continue,sid)。
 2. 当从 \mathcal{R} 接收到 (Send,sid,mid,m') 时, 向 \mathcal{A} 输出 (Send,sid, \mathcal{R} ,m',mid)。当从 \mathcal{A} 接收到 (Ok.End,sid,mid) 时, 向 \mathcal{S} 发送 (Received,sid, \mathcal{R} ,m')。
- a 这赋予了对手 \mathcal{A} 更多的权力, 因为 UC 模型中需要顺序发送消息, \mathcal{A} 决定发送方向何时可以继续。

(二) 功能 $\mathcal{F}_{PROPOSAL}$

初始化: 设置 Proposal := \perp 和 Round := 0。

- 当收到消息(startProposal)时,

- 通过 Round-robin 规则选定提议者 Proposer $\in H$, H 为 V 中诚实者的集合,

- 初始化 Validator 的 votingPower 为其质押资金:

$$\text{votingPower}_i = \text{stake}_i, \quad \forall i \in \{1, \dots, N\}$$

- 按 Round-robin 规则依次选举 Proposer, 更新 Round := Round+1。

- 更新 votingPower:

- 未被选中的 Validator 更新为:

$$\text{votingPower}_i \leftarrow \text{votingPower}_i + \text{stake}_i$$

- 被选中的 Validator 更新为:

$$\text{votingPower}_i \leftarrow \text{votingPower}_i - \sum_{j \neq i} \text{stake}_j$$

- (超时处理): 当从敌手 \mathcal{A} 接收到(timeout, T)消息时, 如果 T 有效, 设置 Round = Round + 1, 并选择新的提议者。

(三) 功能 \mathcal{F}_{VOTE}

初始化: 向 \mathcal{F}_{TIME} 发送(timeStart, δ)命令。若在任何阶段从 \mathcal{F}_{TIME} 收到(timeOver)消息, 直接投票给 nil 块。

- 当从验证者 $v_i \in V$ 传入(Prevote, Proposal)消息时,

- 若收到 Proposal, 则向 \mathcal{F}_{STATE} 发送(v_i , queryState), 获取 PoLC。

- 查询 PoLC, 若 v_i 锁定在上一轮 Proposal, 则签名并广播上一轮区块(v_i , prevote, Vote(B'))。

- 否则, 签名并广播当前轮区块(v_i , prevote, Vote(B))。

- 否则, 则签名并广播(v_i , prevote, Vote(nil))。

- 当从验证者 $v_i \in V$ 传入(Precommit, Proposal)消息时,

- 若收到超过 $2f+1$ 的 prevote 投票,

- 签名并广播 (v_i , precommit, Vote(B)), 向 \mathcal{F}_{STATE} 发送(v_i , unlock, B')解锁上一轮区块, 然后向 \mathcal{F}_{STATE} 发送(v_i , lock, B)锁定当前区块。

- 若收到超过 $2f+1$ 的空 prevote 投票,

- 签名并广播 (v_i , precommit, Vote(nil)), 向 \mathcal{F}_{STATE} 发送(v_i , unlock, ALL)释放所有锁定的区块。

- 否则, 不锁定任何区块。

(四) 功能 \mathcal{F}_{COMMIT}

初始化: 对于 $v_i \in V$, 设置 $c_i := 0$, $c_i \in C$ 。表示 Proposal 是否已 Commit。向 \mathcal{F}_{TIME} 发送(timeStart, δ)命令。若在任何阶段从 \mathcal{F}_{TIME} 收到(timeOver)消息, 向 \mathcal{F}_{STATE} 发送(newRound)。

- 当收到从验证者 $v_i \in V$ 传入(Commit, Proposal)消息时,

- 若收到超过 $2f+1$ 的 precommit 投票,

- 签名并广播(v_i , commit, Vote(B)), 同时收集全网的 commit 投票。

- 若 v_i 已为区块 B 广播 commit 投票且收集到超过 $2f+1$ 的 commit 投票, 则设置

$c_i := 1$, 向验证者发送(allowCommit,Proposal)消息, 向 \mathcal{F}_{STATE} 发送(newHeight)。

■ 否则, 向验证者发送(denyCommit,Proposal)消息, 向 \mathcal{F}_{STATE} 发送(newRound)。

● 否则, 向 \mathcal{F}_{STATE} 发送(newRound), 开启下一轮。

- 收到来自任意方 v_k 的消息(request_status)时:

● 返回集合 C 并指示区块 B 是否已完成。

(五) 功能 \mathcal{F}_{STATE}

初始化: 设置 Height := 0, Round := 0 和 PoLC := \perp 。

- 当从任意验证者 $v_i \in V$ 接收到(newHeight)消息时,
更新 Height := Height+1 并将 Round 重置为 0。
- 当从任意验证者 $v_i \in V$ 接收到(newRound)消息时,
更新 Round := Round + 1。
- 当从出块人 Proposer 接收到(getProposal, sid, phase_p, *)消息时,
从配置文件中获取 Proposals, 然后将其返回给调用者。
- 当从接收到 (updateProposal, sid, phase_p, Proposals)消息时,
将 Proposals 更新到配置文件中。
- 当从 v_i 接收到(v_i ,lock,B)消息时,
将 v_i 加入到 PoLC 中 (Height,Round,B)对应的 ValidatorSet 中。
- 当从 v_i 接收到(v_i ,unlock,B)消息时,
将 v_i 在对应的 PoLC 中 (Height,Round,B)的 ValidatorSet 中删除。
- 当从 v_i 接收到(v_i ,unlock,ALL)消息时, 设置 PoLC := \perp 。
- 当从 v_i 接收到(v_i ,queryState)消息时, 返回 PoLC。

(六) 功能 \mathcal{F}_{TIME}

初始化: 设置 $t_i \in T$, $t_i := \perp$ 。

- 当收到(GetTime)请求时, 将当前的 t_i 返回给请求方。
- 当收到(ResetTime)请求时,
将 t_i 重置为 $t_i := \perp$, 向调用者返回一个(timeOK)消息。
- 当收到(timeStart, sid, phase_p, δ)请求时,
将 t_{sid} 更新为 $t_{sid} \leftarrow \delta$, 向理想功能 \mathcal{F}_{tbft} 返回一个(timeOK)消息, 然后开始倒计时。
- 当从 $t_{sid} \in T$, $t_{sid} = 0$ 时,
会向对应的调用者发送一个(timeOver, sid, phase_p, δ)消息。

(七) 功能 \mathcal{F}_{BC}

初始化: 由集合 $\mathbb{M} = \{M_1, \dots, M_D\}$ 参数化, 其执行过程如下:

- 当从参与方 P 接收到 (Broadcast,sid,m) 时, 向集合 \mathbb{M} 中的所有实体以及 \mathcal{A} 发送 (Broadcasted,sid,P, m)。

三、理想功能 \mathcal{F}_{TBFT}

Functionality $\mathcal{F}_{TBFT}^{V,\Delta,\Sigma}[\mathcal{F}_{TIME}, \mathcal{F}_{BC}, \mathcal{F}_{SYNC}]$

Parameters:

- V: Validator Set.
- Δ : Maximum network delay.
- Σ : Maximum delay in delay attack.
- \mathcal{F}_{TIME} : Ideal functionality for timing.
- \mathcal{F}_{BC} : Ideal functionality for broadcast.
- \mathcal{F}_{SYNC} : Ideal functionality for synchronization.

Symbol Explanation:

- δ : actual execution time, initialized by S, default value is Δ .

- σ : actual delay, initialized by S , default value is 0.
- h_p : current height, or consensus instance we are currently executing, initialized to 0.
- $round_p$: current round number, initialized to 0.
- $phase_p \in \{\text{propose, prevote, precommit, commit}\}$: marks consensus phase within the current round, initialized to propose.
- $count_{phase_p}$: record the number of votes cast at each phase, initialized to 0.
- $decision_p[]$: record the final consensus value reached by each node at various heights, initialized to nil.
- $lockedValue_p$: locked value, indicating the currently locked proposal, initialized to nil.
- $lockedRound_p$: locked round, indicating the round of locked value, initialized to -1.
- $validValue_p$: valid value, indicating the currently valid proposal, initialized to nil.
- $validRound_p$: valid round, indicating the round of valid value, initialized to -1.
- $isVote_{COMMIT}$: marks whether the commit phase itself has completed voting, initialized to false.
- $*$: empty parameter.
- B : Threshold for consecutive block production (blocksPerProposer).
- $preProposer$: Index of the proposer in the previous round, initialized to 0.
- $|V|$: Total number of nodes in the validator set.
- $txID_{\text{random}}$: Set of transaction IDs determined to be random.
- $count_{\text{random}}(txID)$: Exclusion vote count for transaction txID.

Upon receiving message $\langle \text{NEWROUND}, h_p, round_p, * \rangle$ from S , while $phase_p = \text{propose}$:

1. Send $\langle \text{Sleep}, sid, phase_p \rangle$ to S and wait for a response of the form $\langle \text{Wake}, sid, phase_p, \delta, \sigma \rangle$.
2. If $(\delta + \sigma > 2\Delta) \vee \sigma > \Sigma$:
 - Return to step 1.
3. Otherwise:
 - Send $\langle \text{timeStart}, sid, phase_p, \sigma \rangle$ to \mathcal{F}_{TIME} , and suspend execution.
 - Upon receiving $\langle \text{timeOver}, sid, phase_p, \sigma \rangle$ from \mathcal{F}_{TIME} , resume execution.
 - Send $\langle \text{timeStart}, sid, phase_p, \delta \rangle$ to \mathcal{F}_{TIME} .
 - Send $\langle \text{CreateProposal}, sid, phase_p \rangle$ to S and wait for a response of the form $\langle \text{StartProposal}, sid, phase_p \rangle$.
 - Send $\langle \text{StartProposal}, sid, phase_p \rangle$ to $\text{Proposer}(h_p, round_p)$ and wait for a response of the form $\langle \text{PROPOSAL}, sid, phase_p, v \rangle$.
 - If $\text{Proposer}(h_p, round_p)$ is corrupted,
 - Send $\langle \text{Input}, sid, h_p, round_p, v \rangle$ to S .
 - If $\text{valid}(v)$ and no $\langle \text{timeOver}, sid, phase_p, \delta \rangle$ has been received from \mathcal{F}_{TIME} :
 - Broadcast $\langle \text{PROPOSAL}, h_p, round_p, v \rangle$.
 - Otherwise:
 - Return to step 1.
 - Update $phase_p \leftarrow \text{prevote}$.

Upon receiving message $\langle \text{PROPOSAL}, h_p, round_p, v \rangle$ from $\text{Proposer}(h_p, round_p)$, while $phase_p = \text{prevote}$:

1. Send $\langle \text{Sleep}, sid, phase_p \rangle$ to S and wait for a response of the form $\langle \text{Wake}, sid, phase_p, \delta, \sigma \rangle$.
2. If $(\delta + \sigma > 2\Delta) \vee \sigma > \Sigma$:
 - Broadcast $\langle \text{PREVOTE}, h_p, round_p, \text{nil} \rangle$.
3. Otherwise:
 - Send $\langle \text{timeStart}, sid, phase_p, \sigma \rangle$ to \mathcal{F}_{TIME} , and suspend execution.
 - Upon receiving $\langle \text{timeOver}, sid, phase_p, \sigma \rangle$ from \mathcal{F}_{TIME} , resume execution.

- Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME} .
- If $\text{valid}(v) \wedge (\text{lockedRound}_p = -1 \vee \text{lockedValue}_p = v)$ and no $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \delta \rangle$ has been received from \mathcal{F}_{TIME} :
 - Broadcast $\langle \text{Execute}, v, \text{Transactions} \rangle$ and wait for a response $\langle \text{ReadWriteHash}, H_{\text{exec}} \rangle$.
 - If $H_{\text{exec}} \neq v.H_{\text{readWrite}}$:
 - Broadcast $\langle \text{IdentifyRandom}, v, \text{Transactions} \rangle$ and receive $\text{txID}_{\text{random}}$.
 - Broadcast $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{nil}, \text{txID}_{\text{random}} \rangle$.
 - Otherwise:
 - If $\text{valid}(v) \wedge (\text{lockedRound}_p = -1 \vee \text{lockedValue}_p = v)$: Broadcast $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{id}(v) \rangle$.
 - Otherwise: Broadcast $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{nil} \rangle$.
- Otherwise:
 - Broadcast $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{nil} \rangle$.
- 4. Send $\langle \text{RoundOK} \rangle$ to \mathcal{F}_{SYNC} .
- 5. Update $\text{phase}_p \leftarrow \text{precommit}$.

Upon receiving message $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{nil}, \text{txID}_{\text{random}} \rangle$ from Validator(h_p, round_p), while $\text{phase}_p = \text{prevote}$:

1. For each $\text{txID} \in \text{txID}_{\text{random}}$:
 - Set $\text{count}_{\text{random}}(\text{txID}) \leftarrow \text{count}_{\text{random}}(\text{txID}) + 1$.
2. If there exists a txID such that $\text{count}_{\text{random}}(\text{txID}) \geq f + 1$:
 - Broadcast $\langle \text{RemoveTx}, \text{txID} \rangle$ to remove the transaction from the transaction pool.
 - Reset $\text{count}_{\text{random}}(\text{txID}) \leftarrow 0$.

Upon receiving message $\langle \text{PREVOTE}, h_p, \text{validRound}_p, \text{id}(v) \rangle$ from Validator(h_p, round_p), while $\text{phase}_p = \text{prevote} \wedge (\text{validRound}_p \geq 0 \wedge \text{validRound}_p < \text{round}_p)$:

1. Set $\text{count}_{\text{prevote}} \leftarrow \text{count}_{\text{prevote}} + 1$.
2. If $\text{valid}(v) \wedge (\text{count}_{\text{prevote}} > 2f + 1) \wedge (\text{lockedRound}_p \leq \text{validRound}_p \vee \text{lockedValue}_p = v)$:
 - Broadcast $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{id}(v) \rangle$.
3. Otherwise:
 - Broadcast $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{nil} \rangle$.

Upon receiving message $\langle \text{PREVOTE}, h_p, \text{round}_p, \text{id}(v) \rangle$ from Validator(h_p, round_p), while $\text{phase}_p = \text{precommit}$:

1. Set $\text{count}_{\text{prevote}} \leftarrow \text{count}_{\text{prevote}} + 1$.
2. Send $\langle \text{Sleep}, \text{sid}, \text{phase}_p \rangle$ to \mathcal{S} and wait for a response of the form $\langle \text{Wake}, \text{sid}, \text{phase}_p, \delta, \sigma \rangle$.
3. If $(\delta + \sigma > 2\Delta) \vee \sigma > \Sigma$:
 - Broadcast $\langle \text{PRECOMMIT}, h_p, \text{round}_p, \text{nil} \rangle$.
4. Otherwise:
 - Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \sigma \rangle$ to \mathcal{F}_{TIME} , and suspend execution.
 - Upon receiving $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \sigma \rangle$ from \mathcal{F}_{TIME} , resume execution.
 - Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME} .
 - If $\text{valid}(v) \wedge (\text{count}_{\text{prevote}} > 2f + 1)$ and no $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \delta \rangle$ has been received from \mathcal{F}_{TIME} :

- Set $\text{lockedValue}_p \leftarrow v$, $\text{lockedRound}_p \leftarrow \text{round}_p$.
 - Broadcast $\langle \text{PRECOMMIT}, h_p, \text{round}_p, \text{id}(v) \rangle$.
 - Set $\text{validValue}_p \leftarrow v$, $\text{validRound}_p \leftarrow \text{round}_p$.
- Otherwise:
 - Broadcast $\langle \text{PRECOMMIT}, h_p, \text{round}_p, \text{nil} \rangle$.
- 5. Update $\text{phase}_p \leftarrow \text{commit}$.

Upon receiving message $\langle \text{PRECOMMIT}, h_p, \text{round}_p, \text{id}(v) \rangle$ from Validator(h_p, round_p), while $\text{phase}_p = \text{commit}$:

1. Set $\text{count}_{\text{precommit}} \leftarrow \text{count}_{\text{precommit}} + 1$.
2. Send $\langle \text{Sleep}, \text{id}, \text{phase}_p \rangle$ to \mathcal{S} and wait for a response of the form $\langle \text{Wake}, \text{id}, \text{phase}_p, \delta, \sigma \rangle$.
3. If $(\delta + \sigma > 2\Delta) \vee \sigma > \Sigma$:
 - Broadcast $\langle \text{COMMIT}, h_p, \text{round}_p, \text{nil} \rangle$, and set $\text{isVote}_{\text{COMMIT}} \leftarrow \text{false}$.
4. Otherwise:
 - Send $\langle \text{timeStart}, \text{id}, \text{phase}_p, \sigma \rangle$ to $\mathcal{F}_{\text{TIME}}$, and suspend execution.
 - Upon receiving $\langle \text{timeOver}, \text{id}, \text{phase}_p, \sigma \rangle$ from $\mathcal{F}_{\text{TIME}}$, resume execution.
 - Send $\langle \text{timeStart}, \text{id}, \text{phase}_p, \delta \rangle$ to $\mathcal{F}_{\text{TIME}}$.
 - If $\text{valid}(v) \wedge (\text{count}_{\text{precommit}} > 2f + 1)$ and no $\langle \text{timeOver}, \text{id}, \text{phase}_p, \delta \rangle$ has been received from $\mathcal{F}_{\text{TIME}}$:
 - Broadcast $\langle \text{COMMIT}, h_p, \text{round}_p, \text{id}(v) \rangle$, and set $\text{isVote}_{\text{COMMIT}} \leftarrow \text{true}$.
 - Otherwise:
 - Update $\text{phase}_p \leftarrow \text{propose}$ and $\text{round}_p \leftarrow \text{round}_p + 1$.

Upon receiving message $\langle \text{COMMIT}, h_p, \text{round}_p, \text{id}(v) \rangle$ from Validator(h_p, round_p), while $\text{phase}_p = \text{commit}$:

1. Set $\text{count}_{\text{commit}} \leftarrow \text{count}_{\text{commit}} + 1$.
2. If $\text{valid}(v) \wedge (\text{count}_{\text{commit}} > 2f + 1) \wedge \text{isVote}_{\text{COMMIT}}$:
 - Set $\text{decision}_p[h_p] = v$, and update $\text{isVote}_{\text{COMMIT}} \leftarrow \text{false}$, $h_p \leftarrow h_p + 1$.
3. Send $\langle \text{RoundOK} \rangle$ to $\mathcal{F}_{\text{SYNC}}$.
4. Send $\langle \text{RequestRound} \rangle$ to $\mathcal{F}_{\text{SYNC}}$, receive its response d_i :
 - If $d_i = 0$:
 - Update $\text{phase}_p \leftarrow \text{propose}$ and reset $\text{round}_p, \text{count}_{\text{phase}_p}, \text{lockedRound}_p, \text{lockedValue}_p, \text{validRound}_p, \text{validValue}_p$.
 - Send $\langle \text{NEWROUND}, h_p, \text{round}_p, * \rangle$ to \mathcal{S} .
 - Otherwise re-execute this step.

Upon receiving message $\langle *, h_p, \text{round}, *, * \rangle$:

1. Set $\text{count}_{\text{nextround}} \leftarrow \text{count}_{\text{nextround}} + 1$.
2. If $(\text{count}_{\text{nextround}} > f + 1) \wedge \text{round} > \text{round}_p$:
 - Send $\langle \text{NEWROUND}, h_p, \text{round}, * \rangle$ to \mathcal{S} .

四、协议描述

Tendermint-BFT 协议通过轮次机制和投票阶段确保多个验证者之间就区块达成一致，并最终提交区块。该协议支持容忍少量恶意节点，依赖于消息广播、延迟处理和投票收集来实现共识。

– Party Z:

StartProposal: 开始共识，调用 $\mathcal{F}_{\text{PROPOSAL}}$ ，选择并激活一个提议者 Proposer。

– Party Proposer:

Initialize: 向 $\mathcal{F}_{\text{TIME}}$ 发送 $(\text{timeStart}, \delta)$ 命令。若从 $\mathcal{F}_{\text{TIME}}$ 收到 (timeOver) 消息，则直接跳转执

行 RoundOK 部分。

Input: 从功能 \mathcal{F}_{STATE} 中接收并选择一个提案, 确定其区块 B 有效后将其作为提议区块。

Propose: 将提议信息 $L(|Proposal|)$ 发送给敌手 A, 然后签名并广播(Proposal)给验证者。

RoundOK: 调用 $\mathcal{F}_{PROPOSAL}$ 更新轮次, 重新选择提议者, 开始新的轮次。

– Party Validator:

Initialize: 向 \mathcal{F}_{STATE} 发送自己的提案。

Input: 在收到来自 Proposer 的 Proposal 后, 验证 Proposal 的完整性和有效性。

Prevote: 根据收到 Proposal 的, 调用 $\mathcal{F}_{VOTE}(Prevote, Proposal)$ 。

Precommit: 根据收到的 Proposal, 调用 $\mathcal{F}_{VOTE}(Precommit, Proposal)$ 。若共识失败跳转执行 RoundOK 部分。

Commit: 根据收到的 Proposal, 调用 $\mathcal{F}_{COMMIT}(Commit, Proposal)$ 。若共识失败跳转执行 RoundOK 部分。

RoundOK: 调用 $\mathcal{F}_{PROPOSAL}$ 更新轮次, 重新选择提议者, 开始新的轮次。

The Protocol π_{TBFT}

\mathcal{Z}	Proposer	\mathcal{F}_{AUTH}	Validator	\mathcal{A}
→	1: Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$	→ \mathcal{F}_{TIME}		
	to \mathcal{F}_{TIME}			
	2: Send $\langle \text{getProposal}, \text{sid}, \text{phase}_p, * \rangle$ to \mathcal{F}_{STATE}	→ \mathcal{F}_{STATE}		
	3: Get $\langle \text{proposalReceived}, \text{sid}, \text{phase}_p, \text{Prop} \rangle$ from \mathcal{F}_{STATE}	← \mathcal{F}_{STATE}		
	4: Select a Proposal value v from the Proposals.			
	5: Send $\langle \text{Input}, \text{sid}, h_p, \text{round}_p, v \rangle$ to \mathcal{A}			→
	6: If $\text{valid}(v)$ and get $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \delta \rangle$ from \mathcal{F}_{TIME} , then call $\mathcal{F}_{PROPOSAL}(\text{NewRound}, h_p, \text{round}_p, 1)$	← \mathcal{F}_{TIME} → $\mathcal{F}_{PROPOSAL}$		
	7: Otherwise: broadcast $\langle \text{PROPOSAL}, h_p, \text{round}_p, v \rangle$	→ Broadcast		
		$\mathcal{F}_{TIME} \leftarrow$	8: Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}	
		Broadcast	9: If $\text{valid}(v)$, then call $\mathcal{F}_{VOTE}(\text{Prevote}, \text{PROPOSAL})$	↔
		←	10: Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}	
		→ Broadcast	11: If $\text{valid}(v)$, then call $\mathcal{F}_{VOTE}(\text{Precommit}, \text{PROPOSAL})$	↔
		←		

\mathcal{Z} Proposer	\mathcal{F}_{AUTH}	Validator	\mathcal{A}
	\rightarrow Broadcast	12: Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}	
\leftarrow Output $\langle \text{Success}, \text{sid}, \text{id}(v) \rangle$ to \mathcal{Z}	Broadcast \leftarrow $\mathcal{F}_{PROPOSAL}$ \leftarrow	13: If $\text{valid}(v)$, then call $\mathcal{F}_{COMMIT} \langle \text{Commit}, \text{PROPOS} \rangle$ 14 : If get $\langle \text{allowCommit}, \text{PROPOSAL} \rangle$ from \mathcal{F}_{COMMIT} Call $\mathcal{F}_{PROPOSAL} \langle \text{NewRound}, h_p + 1, \text{round}=0 \rangle$	
\leftarrow Output $\langle \text{Failure}, \text{sid}, \perp \rangle$ to \mathcal{Z}	$\mathcal{F}_{PROPOSAL}$ \leftarrow	15 : Otherwise: Call $\mathcal{F}_{PROPOSAL} \langle \text{NewRound}, h_p, \text{round}_p + 1 \rangle$	

The Functionality $\mathcal{F}_{PROPOSAL}$

\mathcal{Z} or Validators	\mathcal{F}_{AUTH}	$\mathcal{F}_{PROPOSAL}$
1: Send $\langle \text{NewRound}, h_p, \text{round} \rangle$ to $\mathcal{F}_{PROPOSAL}$	\rightarrow	
	\mathcal{F}_{STATE} \leftarrow \mathcal{F}_{STATE} \rightarrow	2: Send $\langle \text{getProposal}, \text{sid}, \text{phase}_p, * \rangle$ to \mathcal{F}_{STATE} 3 : Get $\langle \text{proposalReceived}, \text{sid}, \text{phase}_p, \text{Proposals} \rangle$ from \mathcal{F}_{STATE} 4 : Each validator i initializes their votingPower : $\text{votingPower}_i = \text{stake}_i \quad \forall i \in \{1, \dots, N\}$ 5 : The validator v^* is chosen as the proposer: $v^* = \text{argmax}_{v_i \in H} \text{stake}_i$
	\mathcal{F}_{STATE} \leftarrow	6 : Send $\langle \text{newRound} \rangle$ to \mathcal{F}_{STATE} 7 : For unselected validators $v_i \neq v^*$: $\text{votingPower}_i \leftarrow \text{votingPower}_i + \text{stake}_i$ 8 : For the selected proposer v^* : $\text{votingPower}_{v^*} \leftarrow \text{votingPower}_{v^*} - \sum_{i=1}^N \text{stake}_i$
	\mathcal{F}_{STATE} \leftarrow	9 : Update Proposals based on votingPower 10 : Send $\langle \text{updateProposal}, \text{sid}, \text{phase}_p, \text{Proposals} \rangle$ to \mathcal{F}_{STATE}

The Functionality \mathcal{F}_{VOTE}

	Validator	\mathcal{F}_{AUTH}	\mathcal{F}_{VOTE}
Prevote	1: Send $\langle \text{Prevote}, \text{PROPOSAL} \rangle$ to \mathcal{F}_{VOTE}	\rightarrow	
		$\mathcal{F}_{TIME} \leftarrow$	2 : Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}
		Broadcast \leftarrow	3 : If no $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \delta \rangle$ is received from \mathcal{F}_{TIME} : Sign and broadcast $\langle v_i, \text{prevote}, \text{Vote}(\text{nil}) \rangle$
		$\mathcal{F}_{STATE} \leftarrow$	4 : Otherwise, If $\text{valid}(v)$: Send $\langle v_i, \text{queryState} \rangle$ to \mathcal{F}_{STATE} to get PoLC
		Broadcast \leftarrow	5 : If v_i is locked on Proposal from the previous round: Sign and broadcast $\langle v_i, \text{prevote}, \text{Vote}(v_i') \rangle$
		Broadcast \leftarrow	6 : Otherwise: Sign and broadcast $\langle v_i, \text{prevote}, \text{Vote}(v_i) \rangle$
		Broadcast \leftarrow	7 : If no $\text{valid}(v)$: Sign and broadcast $\langle v_i, \text{prevote}, \text{Vote}(\text{nil}) \rangle$
Precommit	1: Send $\langle \text{Precommit}, \text{PROPOSAL} \rangle$ to \mathcal{F}_{VOTE}	\rightarrow	
		$\mathcal{F}_{TIME} \leftarrow$	2 : Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}
		Broadcast \leftarrow	3 : If no $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \delta \rangle$ is received from \mathcal{F}_{TIME} : Sign and broadcast $\langle v_i, \text{precommit}, \text{Vote}(\text{nil}) \rangle$
		Broadcast \leftarrow	4 : Otherwise, If $\text{valid}(v)$: Upon receiving more than $2f + 1$ prevote votes: Sign and broadcast $\langle v_i, \text{precommit}, \text{Vote}(v_i) \rangle$
		$\mathcal{F}_{STATE} \leftarrow$	5 : Send $\langle v_i, \text{unlock}, v_i' \rangle$ to \mathcal{F}_{STATE}

Validator	\mathcal{F}_{AUTH}	\mathcal{F}_{VOTE}
	$\mathcal{F}_{STATE} \leftarrow$	6 : Send $\langle v_i, lock, v_i \rangle$ to \mathcal{F}_{STATE}
Broadcast	\leftarrow	7 : Upon receiving more than $2f + 1$ null prevote votes: Sign and broadcast $\langle v_i, precommit, Vote(nil) \rangle$
	$\mathcal{F}_{STATE} \leftarrow$	8 : Send $\langle v_i, unlock, ALL \rangle$ to \mathcal{F}_{STATE}
		9 : If no $valid(v)$, Do not lock any blocks.

The Functionality \mathcal{F}_{COMMIT}

Validator	\mathcal{F}_{AUTH}	\mathcal{F}_{COMMIT}
Commit 1: Send $\langle Commit, PROPOSAL \rangle$ to \mathcal{F}_{COMMIT}	\rightarrow	
	$\mathcal{F}_{TIME} \leftarrow$	2 : Send $\langle timeStart, sid, phase_p, \delta \rangle$ to \mathcal{F}_{TIME}
	$\mathcal{F}_{PROPOSAL} \leftarrow$	3 : If no $\langle timeOver, sid, phase_p, \delta \rangle$ is received from \mathcal{F}_{TIME} : Send $\langle NewRound, h_p, round_p + 1 \rangle$ to $\mathcal{F}_{PROPOSAL}$
Broadcast	\leftarrow	4 : Otherwise, If $valid(v)$: If more than $2f + 1$ precommit votes are received: Sign and broadcast $\langle v_i, commit, Vote(v_i) \rangle$
	\rightarrow	5 : Collect commit votes from the network.
	\leftarrow	6 : If node has already broadcast a commit vote for v_i and collected more than $2f + 1$ commit votes: Send $\langle allowCommit, PROPOSAL \rangle$ to Validator
	$\mathcal{F}_{STATE} \leftarrow$	7 : Send $\langle newHeight \rangle$ to \mathcal{F}_{STATE}
	\leftarrow	8 : Otherwise: Send $\langle denyCommit, PROPOSAL \rangle$ to Validator
	$\mathcal{F}_{PROPOSAL} \leftarrow$	9 : Send $\langle NewRound, h_p, round_p + 1 \rangle$ to $\mathcal{F}_{PROPOSAL}$

The Functionality \mathcal{F}_{STATE}

	Proposer	\mathcal{F}_{AUTH}	\mathcal{F}_{STATE}
Get Proposals	1: Send $\langle \text{getProposal}, \text{sid}, \text{phase}_p, * \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2: Get Proposals from Configuration File 3: Send $\langle \text{proposalReceived}, \text{sid}, \text{phase}_p, \text{Prc} \rangle$ to Proposer
Set Proposals	1 : Send $\langle \text{updateProposal}, \text{sid}, \text{phase}_p, \text{Pro} \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2: Update Proposals to Configuration File
GetPoLC	1 : Send $\langle v_i, \text{queryState} \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2 : Return PoLC to the caller
UnLock	1 : Send $\langle v_i, \text{unlock}, v_i' \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2 : Delete v_i from the ValidatorSet corresponding to $\langle h_p, \text{round}, v_i \rangle$ in PoLC
Lock	1 : Send $\langle v_i, \text{lock}, v \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2 : Set PoLC $:= \perp$ 2 : Add v_i to the ValidatorSet corresponding to $\langle h_p, \text{round}, v_i \rangle$ in PoLC.
NewHeight	1 : Send $\langle \text{newHeight} \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2 : Set $h_p := h_p + 1$, $\text{round}_p := 0$
NewRound	1 : Send $\langle \text{newRound} \rangle$ to \mathcal{F}_{STATE}	\rightarrow	2 : Set $\text{round}_p := \text{round}_p + 1$

The Functionality \mathcal{F}_{TIME}

	Proposer or Validator	\mathcal{F}_{AUTH}	\mathcal{F}_{TIME}
Countdown	1: Send $\langle \text{timeStart}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}	\rightarrow	

Proposer or Validator		\mathcal{F}_{AUTH}	\mathcal{F}_{TIME}
ResetTime	1: Send $\langle \text{ResetTime}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}	\leftarrow	2: Set $t_{sid} \leftarrow \delta$, start the countdown. Return $\langle \text{timeOK} \rangle$
		\leftarrow	3 : When $t_{sid} \in T$ and $t_{sid} = 0$: Send $\langle \text{timeOver}, \text{sid}, \text{phase}_p, \delta \rangle$ to the caller
		\rightarrow	
		\leftarrow	2 : Set $t_i \leftarrow \perp$, stop the countdown Return $\langle \text{timeOK} \rangle$
GetTime	1: Send $\langle \text{getTime}, \text{sid}, \text{phase}_p, \delta \rangle$ to \mathcal{F}_{TIME}	\rightarrow	
		\leftarrow	2 : Return t_i to the caller