

Dynamics of Third Order Beverton Holt Difference Equation

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Abstract

We consider third order difference equation

$$y_{n+1} = a_1 \frac{y_n^2}{1 + y_n^2} + a_2 \frac{y_{n-1}^2}{1 + y_{n-1}^2} + a_3 \frac{y_{n-2}^2}{1 + y_{n-2}^2}$$

as discrete time model of population dynamics with three generation involved. We obtain some global dynamics results for this equation. We describe the parts of the basins of attraction of three equilibrium points that this equation equation admits. (2nd and 1st)

The **sigmoid Beverton Holt** equation with three generations is the following equation

$$y_{n+1} = a_1 \frac{y_n^2}{1 + y_n^2} + a_2 \frac{y_{n-1}^2}{1 + y_{n-1}^2} + a_3 \frac{y_{n-2}^2}{1 + y_{n-2}^2}, \quad n = 0, 1, \dots, \quad (1)$$

where $a_i \in [0, \infty)$, $i = 1, 2, 3$ and $|a_1| + |a_2| + |a_3| > 0$.

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where $a_i \in [0, \infty)$, $i = 1, 2, 3$ and $|a_1| + |a_2| + |a_3| > 0$. Special case $a_3 = 0$ was considered by Bilgin, Kulenovic, and Pilav

Example 1

For instance, the equation

$$y_{n+1} = 20 \frac{y_n^2}{1 + y_n^2} + \frac{y_{n-1}^2}{1 + y_{n-1}^2} + \frac{y_{n-2}^2}{1 + y_{n-2}^2}, n = 0, 1, \dots$$

has three equilibrium points: $0, \frac{1}{11+2\sqrt{30}}, 11+2\sqrt{30}$. The characteristic equation at $\frac{1}{11+2\sqrt{30}}$ is

$$242m^3 - \frac{\sqrt{30}m^2}{1331} - \frac{1}{242} \left(11 + 2\sqrt{30} \right) m - \frac{\sqrt{3}}{121} - \frac{1}{22} = 0$$

which has characteristic roots $m_1 = 1.88792$ outside of the unit circle, and $m_{2,3} = -0.0367531 \pm 0.216108i$ inside the unit circle. So the middle equilibrium is a saddle point with stable space of dimension 2.

Example 2

The equation:

$$y_{n+1} = \frac{y_n^2}{1 + y_n^2} + 20 \frac{y_{n-1}^2}{1 + y_{n-1}^2} + \frac{y_{n-2}^2}{1 + y_{n-2}^2}, \quad n = 0, 1, \dots, \quad (2)$$

has three equilibrium points: $0, \frac{1}{11+2\sqrt{30}}, 11+2\sqrt{30}$. The characteristic equation at $\frac{1}{11+2\sqrt{30}}$ has the form

$$\frac{22^2}{22 + 4\sqrt{30}} m^3 - m^2 - 20m - 1 = 0$$

with characteristic roots:

$m_1 = -1.27576, m_2 = 1.41668, m_3 = -0.0501957$ showing that this equilibrium solution is a saddle, with stable space of dimension 1.

Example 3

The equation:

$$y_{n+1} = \frac{y_n^2}{1 + y_n^2} + 20 \frac{y_{n-1}^2}{1 + y_{n-1}^2} + 70 \frac{y_{n-2}^2}{1 + y_{n-2}^2}, \quad n = 0, 1, \dots, \quad (3)$$

has three equilibrium points: $0, \frac{2}{91+2\sqrt{8277}}, \frac{91+2\sqrt{8277}}{2}$. The characteristic equation at $\frac{2}{91+2\sqrt{8277}}$ has the form

$$\frac{92^2}{91 + 2\sqrt{8277}} m^3 - m^2 - 20m - 70 = 0$$

with characteristic roots:

$m_{1,2} = -0.633469 \pm 0.890047i, m_3 = 1.28891$ showing that this equilibrium solution is a source.

Preliminary Results

Negative Feedback Theorem:

Let I be an open interval of real numbers and \bar{x} be an equilibrium point of difference equation

$$y_{n+1} = f(y_n, y_{n-1}, y_{n-2}), \quad n = 0, 1, \dots \quad (4)$$

Assume that f satisfies the following:

- 1 f is increasing in each of its arguments.
- 2 f satisfies the negative feedback condition:

$$(u - \bar{x})(f(u, u, u) - u) < 0$$

for all $u \in I - \{\bar{x}\}$.

Then the equilibrium point \bar{y} is a global attractor for Equation (4).

Preliminary Results

Global Convergence in an Interval

For a nonempty set $R \subset \mathbb{R}^n$ and \preceq a partial order on \mathbb{R}^n , let $T : R \rightarrow R$ be an order preserving map, and let $a, b \in R$ be such that $a \prec b$ and $\llbracket a, b \rrbracket \subset R$. If $a \preceq T(a)$ and $T(b) \preceq b$, then $\llbracket a, b \rrbracket$ is an invariant set and

- i. There exists a fixed point of T in $\llbracket a, b \rrbracket$.
- ii. If T is strongly order preserving, then there exists a fixed point in $\llbracket a, b \rrbracket$ which is stable relative to $\llbracket a, b \rrbracket$.
- iii. If there is only one fixed point in $\llbracket a, b \rrbracket$, then it is a global attractor in $\llbracket a, b \rrbracket$ and therefore asymptotically stable relative to $\llbracket a, b \rrbracket$.

Preliminary Results

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Corollary

If the nonnegative cone of a partial ordering \preceq is a generalized quadrant in \mathbb{R}^n , and if T has no fixed points in $\llbracket u_1, u_2 \rrbracket$ other than u_1 and u_2 , then the interior of $\llbracket u_1, u_2 \rrbracket$ is either a subset of the basin of attraction of u_1 or a subset of the basin of attraction of u_2 .

Preliminary Results

Theorem: Invariant Surfaces (Kulenovic, Marcotte, Merino)

[15] For $z = (z_1, \dots, z_{n-1}, z_n)$ in $\bar{\mathbb{R}}^n$ with $n \geq 2$, we denote with $z^\pi = (z_1, \dots, z_{n-1})$ the projection of z onto $\bar{\mathbb{R}}^{n-1}$, and for $S \subset \bar{\mathbb{R}}^n$, set $S^\pi := \{z^\pi : z \in S\}$. Let $p, q \in \bar{\mathbb{R}}^n$ be such that $p <_\sigma q$. With $R := \text{int}[p, q]_\sigma$, let $T : R \rightarrow R$ be a strongly monotone map. Set $B(p) := \{x \in R : T^m(x) \rightarrow p\}$. Define the function $\phi : R^\pi \rightarrow [p_n, q_n]$ by

$$\phi(x_1, \dots, x_{n-1}) := q_n \quad \text{if } (x_1, \dots, x_{n-1}, q_n) \notin B(p) \quad (5)$$

and

$$\phi(x_1, \dots, x_{n-1}) := \sup \{t \in [p_n, q_n] : T^m(x_1, \dots, x_{n-1}, t) \rightarrow p\} \quad \text{if } (x_1, \dots, x_{n-1}, q_n) \in B(p). \quad (6)$$

If $\emptyset \neq B(p) \neq R$, then

- i. The function ϕ is continuous.
- ii. $\text{graph}(\phi) \cap R = \partial B(p) \cap R$.
- iii. $\text{graph}(\phi) \cap R$ consists of non-comparable points.
- iv. If T is differentiable on R and such that the n -th column of $T'(z)$ has positive entries for $z \in R$, then ϕ is Lipschitz on $(\text{graph}(\phi) \cap R)^\pi$.

Preliminary Results

Theorem

Assume q_0, q_1, q_2 are real numbers such that

$$|q_0| + |q_1| + |q_2| < 1.$$

Then all roots of $\lambda^3 + q_0\lambda^2 + q_1\lambda + q_2 = 0$ lie in the unit circle.

The following result from [14] will be used to establish local stability.

Theorem

A necessary and sufficient condition for all roots of

$$\lambda^3 + q_0\lambda^2 + q_1\lambda + q_2 = 0$$

to be in the unit disk is the following:

$$|q_2 + q_0| < 1 + q_1, \text{ and } |q_2 - 3q_0| < 3 - q_1, \text{ and } q_0^2 + q_1 - q_0q_2 < 1$$

Local Dynamics

1 If

$$a_1 + a_2 + a_3 < 2 \tag{7}$$

then $\bar{y} = 0$ is the unique equilibrium. Also, $f_x(0, 0, 0) = f_y(0, 0, 0) = f_z(0, 0, 0) = 0$, so the equilibrium is locally asymptotically stable.

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3 If

$$a_1 + a_2 + a_3 > 2 \quad (8)$$

then there are three equilibrium points, $\bar{y}_0 = 0$, $\bar{y}_+ = \frac{1}{2}(a_1 + a_2 + a_3 + \sqrt{(a_1 + a_2 + a_3)^2 - 4})$, and $\bar{y}_- = \frac{1}{2}(a_1 + a_2 + a_3 - \sqrt{(a_1 + a_2 + a_3)^2 - 4})$.

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Theorem: Local Dynamics

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Assume $a_1 + a_2 + a_3 > 2$. The equilibrium solutions 0 and \bar{y}_+ are always locally asymptotically stable. The equilibrium solution \bar{y}_- is always unstable.

Proof: The characteristic equation for \bar{y}_- is

$$\lambda^3 - q_0\lambda^2 - q_1\lambda - q_2 = 0$$

where $q_0 = f_x(\bar{y}_-, \bar{y}_-, \bar{y}_-)$, $q_1 = f_y(\bar{y}_-, \bar{y}_-, \bar{y}_-)$, and $q_2 = f_z(\bar{y}_-, \bar{y}_-, \bar{y}_-)$.

Since

$$q_2 + q_0 = \frac{(a_3 + a_1)(a_1 + a_2 + a_3 + \sqrt{(a_1 + a_2 + a_3)^2 - 4})}{(a_1 + a_2 + a_3)^2} > 0$$

we have $|-q_2 - q_0| = q_0 + q_2$.

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we have $|-q_2 - q_0| = q_0 + q_2$.

Hence, if \bar{y}_- is stable, then $q_0 + q_2 < 1 - q_1 \implies q_0 + q_1 + q_2 < 1$, So

$$\frac{(a_1 + a_2 + a_3)(a_1 + a_2 + a_3 + \sqrt{(a_1 + a_2 + a_3)^2 - 4})}{(a_1 + a_2 + a_3)^2} < 1,$$

which means

$$1 + \frac{\sqrt{(a_1 + a_2 + a_3)^2 - 4}}{a_1 + a_2 + a_3} < 1,$$

To show \bar{y}_+ is always locally asymptotically stable we will show that the sum of the absolute values of the coefficients of the characteristic equation is less than 1.

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The characteristic polynomial for \bar{y}_+ is

$$\lambda^3 - p_0\lambda^2 - p_1\lambda - p_2 = 0$$

where $p_0 = f_x(\bar{y}_+, \bar{y}_+, \bar{y}_+)$, $p_1 = f_y(\bar{y}_+, \bar{y}_+, \bar{y}_+)$, and $p_2 = f_z(\bar{y}_+, \bar{y}_+, \bar{y}_+)$

$$|p_0| + |p_1| + |p_2| = \frac{4}{(a_1 + a_2 + a_3)(a_1 + a_2 + a_3 + \sqrt{(a_1 + a_2 + a_3)^2 - 4})}$$

Since $a_1 + a_2 + a_3 > 2$,

$$0 < \frac{4}{(a_1 + a_2 + a_3)(a_1 + a_2 + a_3 + \sqrt{(a_1 + a_2 + a_3)^2 - 4})} < \frac{4}{(2)(2 + \sqrt{2^2 - 4})} = 1$$

Hence \bar{y}_+ is always locally asymptotically stable.

Global Results

Theorem

If $a_1 + a_2 + a_3 < 2$, Then the zero equilibrium is globally asymptotically stable.

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Proof Since f is nondecreasing in all arguments, the interval $[0, a_1 + a_2 + a_3]$ is an invariant and attracting interval and 0 is the unique equilibrium. Now an application of Theorem A.0.1 from [14] completes the proof.

Global Results

The global attractivity result of Theorem 4 can be extended to the case of non-autonomous difference equation:

$$y_{n+1} = a_1(n) \frac{y_n^2}{1 + y_n^2} + a_2(n) \frac{y_{n-1}^2}{1 + y_{n-1}^2} + a_3(n) \frac{y_{n-2}^2}{1 + y_{n-2}^2}, \quad n = 0, 1, \dots, \quad (9)$$

where the coefficients $a_i(n)$ are bounded sequences
 $|a_i(n)| \leq A_i, i = 1, 2, 3.$

Global Results

Theorem

Consider Equation (10) subject to the condition

$$A_1 + A_2 + A_3 < 2, \text{ where } |a_i(n)| \leq A_i, i = 1, 2, 3. \quad (10)$$

Then the zero equilibrium is globally asymptotically stable.

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Then the zero equilibrium is globally asymptotically stable.

Proof follows from Corollary 1 in (12) (Janowski, Kulenovic)

Global Dynamics

Remark: We can extend Theorem 5 to the difference equation

$$y_{n+1} = \sum_{i=0}^k a_{i+1}(n) \frac{y_{n-i}^2}{1 + y_{n-i}^2}, \quad n = 0, 1, \dots \quad (11)$$

Hence if

$$\sum_{i=0}^k A_{i+1} < 1, \text{ where } |a_i(n)| \leq A_i, i = 1, \dots, k,$$

then the zero equilibrium of Equation (11) is globally asymptotically stable.

General Result

Theorem: Global Asymptotic Stability

Consider Equation

$$y_{n+1} = f(y_n, y_{n-1}, y_{n-2}), \quad n = 0, 1, \dots \quad (12)$$

subject to the condition that $f : I^3 \rightarrow I$, where I is an interval and f is continuous and non-decreasing in all its arguments. Assume that Equation (12) has three equilibrium points $\bar{y}_0 < \bar{y}_1 < \bar{y}_2$, where \bar{y}_0, \bar{y}_2 are locally asymptotically stable and \bar{y}_1 is unstable. Then \bar{y}_0 and \bar{y}_2 are globally asymptotically stable within the sets $[\bar{y}_0, \bar{y}_1)^3$ and $(\bar{y}_1, \infty)^3$ respectively.

Extension to k th Order Equation

The previous result can be extended to the general k -th order difference equation

$$y_{n+1} = f(y_n, y_{n-1}, \dots, y_{n-k+1}), \quad n = 0, 1, \dots \quad (13)$$

subject to the condition that $f : I^k \rightarrow I$, where I is an interval, is continuous and non-decreasing in all its arguments. Assume that Equation (13) has m equilibrium points $\bar{y}_1 < \bar{y}_2 \dots < \bar{y}_m$, where $\bar{y}_{2k-1}, k = 1, 2, \dots$ are locally asymptotically stable and $\bar{y}_{2k}, k = 1, 2, \dots$ are unstable. Then $\bar{y}_{2k-1}, k = 1, 2, \dots$ are globally asymptotically stable within the sets $[\bar{y}_{2k-1}, \bar{y}_{2k})^3$.

Figure

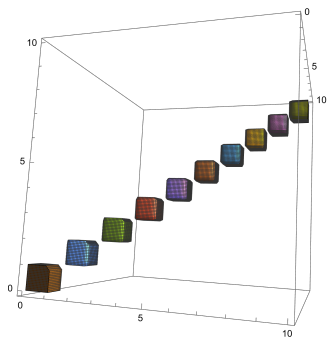


Figure: Representing a Possible (Substantial) Basin of Attraction

Application to Equation (1)

Corollary

Consider Equation (1) subject to the condition $a_1 + a_2 + a_3 > 2$. Then the zero equilibrium is globally asymptotically stable with the basin of attraction that contains the set $[0, \bar{y}_-)^3$ and \bar{y}_+ is globally asymptotically stable with the basin of attraction that contains the set $(\bar{y}_-, \infty)^3$.

Numerical Simulations

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Since each Equilibrium solution has a substantial basin of attraction, we now make an attempt to find the complete Basins of Attraction for 0 and \bar{y}_+ . Numerous simulations show that Equation (1) can have period-two or period-three solutions.

Example

For example, choosing $a_1 = 0.02$, $a_2 = 3$, and $a_3 = 0.02$ for Equation (1) with initial conditions $y_0 = \frac{3}{2} - \frac{1}{2}\sqrt{5}$, $y_1 = \frac{3}{2} + \frac{1}{2}\sqrt{5}$, and $y_2 = 0$, gives the following sequence,

0.381966, 2.61803, 0, 2.62058, 0.0349114, 2.61871, 0.0385646,
2.61826, 0.0393637, 2.61815, 0.039549, 2.61813, 0.0395925, 2.61812,
0.0396028, 2.61812, 0.0396052, 2.61812, 0.0396057, 2.61812,
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Taking $a_1 = 0.14$, $a_2 = .121$, and $a_3 = 10.0$ for Equation (1) with initial conditions $y_0 = 0.0012379503869046587$, $y_1 = 0.10102004113206411$, and $y_2 = 0.001435053254326626$, gives the period-three solution $\{0.00143505, 0.00123795, 0.10102\}$.

Special Case

The special case of Equation (1)

$$y_{n+1} = a_3 \frac{y_{n-2}^2}{1 + y_{n-2}^2} \quad (14)$$

has eight period three solutions $(0, 0, \bar{x}_+)$, $(0, \bar{x}_+, \bar{x}_+)$ and

$$\begin{aligned} P_1 &= (0, 0, \bar{x}_-), P_2 = (0, \bar{x}_-, \bar{x}_-), P_3 = (\bar{x}_+, \bar{x}_+, \bar{x}_-), \\ P_4 &= (\bar{x}_+, \bar{x}_-, \bar{x}_-), P_5 = (0, \bar{x}_-, \bar{x}_+), P_6 = (0, \bar{x}_+, \bar{x}_-) \end{aligned} \quad (15)$$

where \bar{x}_- and \bar{x}_+ are smaller and larger positive equilibrium solution of Equation (14). The local stability character of these period three solutions follow from local stability character of three equilibrium points $0, \bar{x}_-, \bar{x}_+$ and so $(0, 0, \bar{x}_+)$ and $(0, \bar{x}_+, \bar{x}_+)$ are locally stable while the the remaining six period three points are saddle points.

These points do not belong to the basins of attraction of the major attractors $0(0, 0, 0)$ and $E_+(\bar{x}_+, \bar{x}_+, \bar{x}_+)$. Every solution $\{x_n\}$ of Equation (14) is clearly bounded and breaks into three subsequences $\{x_{3k}\}$, $\{x_{3k+1}\}$, and $\{x_{3k+2}\}$ which are eventually monotonic and so are convergent. Thus every solution of Equation (14) converges to a period-three solution or to an equilibrium. Thus, the equilibrium solutions $E_0(0, 0, 0)$ and $E_+(\bar{x}_+, \bar{x}_+, \bar{x}_+)$ have substantial basins of attractions $\mathcal{B}(E_0)$ and $\mathcal{B}(E_+)$, respectively as well as two period three solutions $E_{3+}(0, 0, \bar{x}_+)$ and $E_{3-}(\bar{x}_+, \bar{x}_+, 0)$ with the basins of attractions $\mathcal{B}(E_{3+})$ and $\mathcal{B}(E_{3-})$, respectively. Thus we can formulate the result

Theorem

The boundary $\partial B(p)$ of the four basins of attractions for Equation (14) is a continuous manifold consisting of non-comparable points and contain six period period three points given with (15) as well as their stable manifolds $\mathcal{W}(P_i), i = 1, \dots, 6$ and the equilibrium solution $E_-(\bar{x}_-, \bar{x}_-, \bar{x}_-)$. In other words

$$\partial B(p) = \{E_-(\bar{x}_-, \bar{x}_-, \bar{x}_-)\} \cup \bigcup_{i=1}^6 \mathcal{W}(P_i).$$

Figures

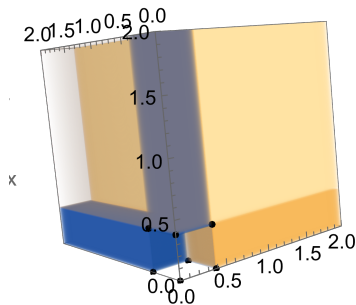


Figure: Basins of Attraction of Period 3 Points of Equation (16) with $a_3 = 3$

Figures

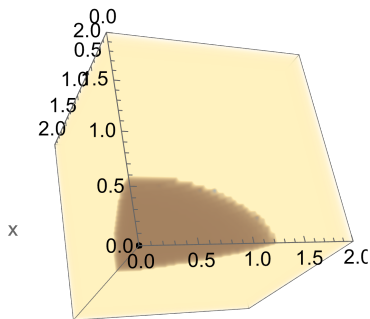


Figure: Basins of Attraction of Equilibrium Solutions of Equation (1) with $a_1 = 0$, $a_2 = 2$, $a_3 = 1$

Global Result

Theorem

The boundary $\partial B(p)$ of the basin of attraction for Equation (1) is a continuous manifold consisting of non-comparable points which contains all periodic solutions and the equilibrium solution $E_-(\bar{x}_-, \bar{x}_-, \bar{x}_-)$ and is Lipschitz surface.

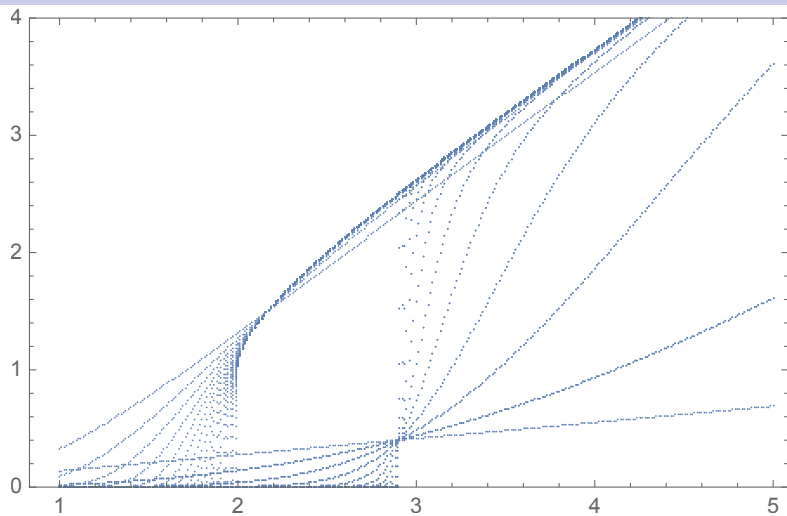

















Figure: Bifurcation Plot of Equation (16)

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