

*Topics: time-dependent and time-independent Schrödinger equations*

**Review from Week 13:**

- **Eigenvalues and Spectrum:** If  $T : D_T \rightarrow \mathcal{H}$  is a linear operator with domain  $D_T \subset \mathcal{H}$  we say that  $0 \neq \phi \in D_T$  is an eigenvector of  $T$  with eigenvalue  $\lambda \in \mathbb{C}$  if and only if  $T\phi = \lambda\phi$ . Notice that there are two subtle details in this definition:  $\phi \neq 0$  is not the zero vector and  $\phi \in D_T$  must be in the domain of  $T$ . The spectrum  $\sigma(T)$  is defined as

$$\sigma(T) = \{\lambda \in \mathbb{C} : \lambda \text{ is an eigenvalue of } T\} \subset \mathbb{C}.$$

- **Symmetric operators:** An operator  $T : D_T \rightarrow \mathcal{H}$  is called symmetric if and only if

$$\langle \psi, T\phi \rangle_{\mathcal{H}} = \langle T\psi, \phi \rangle_{\mathcal{H}} \quad \forall \psi, \phi \in D_T.$$

- **Self-adjoint operators:** An operator  $T : D_T \rightarrow \mathcal{H}$  is called self-adjoint if and only if  $T = T^*$  and  $D_T = D_{T^*}$ , where  $T^* : D_{T^*} \rightarrow \mathcal{H}$  denotes the adjoint of  $T$ . It is defined through

$$\langle T\psi, \phi \rangle_{\mathcal{H}} = \langle \psi, T^*\phi \rangle_{\mathcal{H}} \quad \forall \psi \in D_T, \phi \in D_{T^*}$$

and we always have that  $D_T \subset D_{T^*}$ . If  $T$  is symmetric, then  $T^*$  is an extension of  $T$ . The spectral theorem holds true for self-adjoint operators.

- **Hermite's operator:** Hermite's operator  $\ell(y) = -e^{x^2/2}\partial_x(e^{-x^2/2}\partial_x y)$  is defined on a suitable domain  $D_\ell \subset L^2(\mathbb{R}, e^{-x^2/2}dx)$ . We have seen that  $\sigma(\ell) = \mathbb{N}_0$  and that each eigenvalue is simple
- **Hermite polynomials:** The eigenfunctions of Hermite's operator are the Hermite polynomials  $H_n$ . The  $n$ -th eigenfunction  $H_n$  is a polynomial of degree  $n$  that is even if  $n$  is even and odd if  $n$  is odd. It is normalized in the sense that  $H_n = x^n + p(x)$  for some polynomial  $p$  of degree strictly less than  $n$ . The norm of  $H_n$  in  $L^2(\mathbb{R}, e^{-x^2/2}dx)$  is  $\langle H_n, H_n \rangle = \sqrt{2\pi} n!$ . The Hermite polynomials, once normalized in  $L^2(\mathbb{R}, e^{-x^2/2}dx)$ , form a complete orthonormal basis in  $L^2(\mathbb{R}; e^{-x^2/2}dx)$ .

1. **The free, time-independent Schrödinger equation.** In this problem, we discuss the general solution of the time-independent Schrödinger equation of a quantum particle moving in a box  $\Lambda = [0; L]^3$  with zero-boundary conditions. The time evolution is modeled by

$$\begin{cases} i\partial_t \psi = -(\partial_{x_1}^2 + \partial_{x_2}^2 + \partial_{x_3}^2) \psi, \\ \psi|_{t=0} = \psi_0 \in L^2(\Lambda; dx). \end{cases} \quad (1)$$

where  $(x, t) \mapsto \psi(t, x)$  is the wave function of the system, for  $t \in \mathbb{R}$  and  $x \in [0; L]^3 \subset \mathbb{R}^3$ . The differential operator on the right hand side is called the (three-dimensional) Laplace operator and we abbreviate it from now on by  $-\Delta = -\partial_{x_1}^2 - \partial_{x_2}^2 - \partial_{x_3}^2$ .  $-\Delta$  is self-adjoint with domain

$$\mathcal{D} = \{\psi \in H^2(\Lambda; dx) : \psi|_{\partial\Lambda} \equiv 0\}.$$

Equation (1) describes a particle that moves freely in  $\Lambda = [0; L]^3$  without external forces. Before solving the equation (1) by the Hilbert space method, let's first make some interesting physical observations. Recall that  $x \mapsto |\psi_0(x)|^2$  is interpreted in quantum mechanics as a probability density function and that we only consider  $\psi_0 \in L^2(\Lambda; dx)$  with  $\|\psi_0\|_2 = 1$ .

- (a) Show that if  $t \mapsto \psi_t$  solves the Schrödinger equation (1), then  $\|\psi_t\|_2 = 1$  for all  $t \in \mathbb{R}$ . This means that  $x \mapsto |\psi_t(x)|^2$  defines a probability density for all times  $t \in \mathbb{R}$ , if this is the case initially at time  $t = 0$ .

- (b) The energy of a particle with normalized wave function  $\psi_0 \in L^2(\Lambda; dx)$  is  $\langle \psi_0, -\Delta \psi_0 \rangle$ . Suppose  $t \mapsto \psi_t$  solves the Schrödinger equation (1). Then  $t \mapsto \psi_t$  describes a particle moving in  $\Lambda$  without external forces, in particular the particle should not lose any energy. Prove that this is the case by showing that  $t \mapsto \langle \psi_t, -\Delta \psi_t \rangle$  is constant in time  $t \in \mathbb{R}$ .

Our next goal is to derive the solution of the initial value problem (1) in the Hilbert space framework. To do so, we interpret (1) as a vector-valued ordinary differential equation in time with time-dependent  $L^2([0; L]^3, dx)$  valued map  $t \mapsto \psi_t \in \mathcal{D}$  for all  $t \in \mathbb{R}$ . That is, for every fixed time  $t \in \mathbb{R}$ , we have that the wave function at time  $t$  lies in  $\psi_t \in \mathcal{D}$ .

- (a) Find an orthonormal eigenbasis of the self-adjoint operator  $-\Delta$  and determine  $\sigma(\ell) \subset \mathbb{R}$ .  
*Hint: What are the eigenfunctions of  $\ell(y) = y''$  in one dimension? Having this in mind, construct a suitable product eigenbasis for  $-\Delta$ , similarly as on problem set 9.*

- (b) Given a fixed time  $t \in \mathbb{R}$ , describe the general form of  $\psi_t \in \mathcal{D}$ , the wave function at time  $t \in \mathbb{R}$ .

- (c) Using the Schrödinger equation (1), derive an equation for the time-dependent coefficients in the basis expansion of  $\psi_t \in \mathcal{D}$ . Find the general form of the coefficients by solving a sequence of linear, first order ODE.

- (d) Plug in the initial condition  $x \mapsto \psi_0$  and determine the unique solution to the free Schrödinger equation (1) with initial data  $\psi_0$ .

**2. The Harmonic Oscillator.** As explained in the lecture, the time-independent Schrödinger equation refers to the eigenvalue equation of the Hamilton operator  $H = -\Delta + V(x)$ , where  $V$  denotes the potential energy. Let's consider in this problem the setting of  $L^2(\mathbb{R}; dx)$ , describing a particle in  $\mathbb{R}$ . For a general potential  $V$ , the time-independent Schrödinger equation is almost surely ;) not solvable. A remarkable model which, however, can be solved explicitly is the harmonic oscillator. This is the model where  $H$  is given by

$$H\psi = -\partial_x^2\psi + \frac{x^2}{4}\psi,$$

defined as a self-adjoint operator on a suitable subspace  $D_H \subset L^2(\mathbb{R}; dx)$ . We want to find the energy levels of  $H$ , that is, its spectrum  $\sigma(H) \subset \mathbb{R}$ .

(a) As a preparation, consider  $\psi \in L^2(\mathbb{R}; dx)$ . Show that  $\psi \in L^2(\mathbb{R}; dx)$  if and only if the associated function  $x \mapsto \varphi(x) = \psi(x)e^{x^2/4} \in L^2(\mathbb{R}; e^{-x^2/2}dx)$ : more precisely that  $\|\psi\|_{L^2(\mathbb{R}; dx)} = \|\varphi\|_{L^2(\mathbb{R}; e^{-x^2/2}dx)}$ . We say that the map  $\Phi$ , that sends  $\psi$  to  $\varphi$ , is an *isometry*. In fact, it turns out that  $\Phi$  is a unitary map and we can therefore work equivalently in the Hilbert space  $L^2(\mathbb{R}; e^{-x^2/2}dx)$ .

(b) What is the inverse map of  $\Phi$ , i.e.  $\Phi^{-1} : L^2(\mathbb{R}; e^{-x^2/2}dx) \rightarrow L^2(\mathbb{R}; dx)$ ? As a linear operator,  $H$  is unitarily equivalent to the operator  $\Phi H \Phi^{-1}$  acting on  $L^2(\mathbb{R}; e^{-x^2/2}dx)$ . As in the finite dimensional case, the spectrum is preserved under unitary conjugation. Compute its action in  $L^2(\mathbb{R}; e^{-x^2/2}dx)$ .

(c) Consider the eigenvalue equation for  $\Phi H \Phi^{-1}$  and determine  $\sigma(\Phi H \Phi^{-1}) = \sigma(H)$ . In addition to that, determine the eigenfunctions of  $H$ .