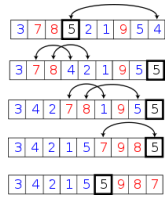


## Lecture 11 Quicksort



EECS 281: Data Structures & Algorithms

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## Quicksort: Background

- 'Easy' to implement
- Works well with variety of input data
- In-place sort (no extra memory for data)
- Additional memory for stack frames

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## Quicksort: Divide and Conquer

- Base case:
  - Arrays of length 0 or 1 are trivially sorted
- Inductive step:
  - Guess an element  $elt$  to partition the array
  - Form array of [LHS]  $elt$  [RHS] (divide)
    - $\forall x \in \text{LHS}, x \leq elt$
    - $\forall y \in \text{RHS}, y \geq elt$
  - Recursively sort [LHS] and [RHS] (conquer)

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## Quicksort with Simple Partition

```
1 void quicksort(Item a[], size_t left, size_t right) {
2     if (left + 1 >= right)
3         return;
4     size_t pivot = partition(a, left, right);
5     quicksort(a, left, pivot);
6     quicksort(a, pivot + 1, right);
7 } // quicksort()
```

- Range is [left, right)
- If base case, return
- Else divide (partition and find pivot) and conquer (recursively quicksort)

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## How to Form [LHS] $elt$ [RHS]?

- Divide and conquer algorithm
  - Ideal division: equal-sized LHS, RHS
- Ideal division is the median
  - How does one find the median?
- Simple alternative: just pick any element
  - (a) array is random
  - (b) otherwise
  - Not *guaranteed* to be a good pick
  - Quality can be averaged over such choices

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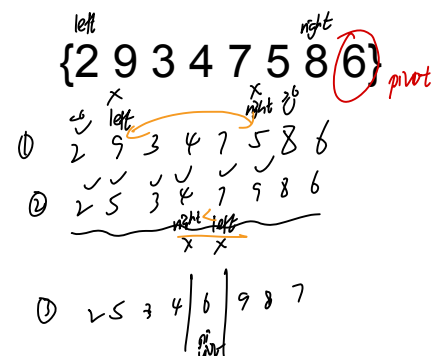
## Simple Partition

```
1 size_t partition(Item a[], size_t left, size_t right) {
2     size_t pivot = --right;
3     while (true) {
4         while (a[left] < a[pivot])
5             ++left;
6         while (left < right && a[right - 1] >= a[pivot])
7             --right;
8         if (left >= right)
9             break;
10        swap(a[left], a[right - 1]);
11    } // while
12    swap(a[left], a[pivot]);
13    return left;
14 } // partition()
```

- Choose last item as pivot
- Scan...
  - from left for  $\geq$  pivot
  - from right for  $<$  pivot
- Swap left & right pairs and continue scan until left & right cross
- Move pivot to 'middle'

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## Example: pick-the-last



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## Better Partition

```

1 size_t partition(Item a[], size_t left, size_t right) {
2     size_t pivot = left + (right - left) / 2; // pivot is middle
3     swap(a[pivot], a[--right]);             // swap with right
4     pivot = right;                           // pivot is right
5
6     while (true) {
7         while (a[left] < a[pivot])
8             ++left;
9         while (left < right && a[right - 1] >= a[pivot])
10            --right;
11         if (left >= right)
12             break;
13         swap(a[left], a[right - 1]);
14     } // while
15     swap(a[left], a[pivot]);
16     return left;
17 } // partition()

```

- Choose middle item as pivot
- Swap it with the right end
- Repeat as before

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## Time Analysis

- Cost of partitioning  $n$  elements:  $O(n)$
- **Worst case:** pivot always leaves one side empty
  - $T(n) = n + T(n - 1) + T(0)$
  - $T(n) = n + T(n - 1) + c$  [since  $T(0)$  is  $O(1)$ ]
  - $T(n) \sim n^2/2 \Rightarrow O(n^2)$  [via substitution]
- **Best case:** pivot divides elements equally
  - $T(n) = n + T(n/2) + T(n/2)$
  - $T(n) = n + 2T(n/2) = n + 2(n/2) + 4(n/4) + \dots + O(1)$
  - $T(n) \sim n \log n \Rightarrow O(n \log n)$  [master theorem or substitution]
- **Average case:** tricky
  - Between  $2n \log n$  and  $\sim 1.39 n \log n \Rightarrow O(n \log n)$

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## Memory Analysis

- Requires stack space for recursive calls
- The first recursive call is NOT tail recursive, requires a new stack frame
- The second recursive call IS tail recursive, which reuses the current stack frame
- When pivoting is going terribly:
  - $O(n)$  stack frames if split is  $(n - 1)$ , pivot,  $(0)$
  - $O(1)$  stack frames if split is  $(0)$ , pivot,  $(n - 1)$

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## Sort Smaller Region First

```

1 void quicksort(Item a[], size_t left, size_t right) {
2     if (left + 1 >= right)
3         return;
4     size_t pivot = partition(a, left, right);
5     if (pivot - left < right - pivot) {
6         quicksort(a, left, pivot);
7         quicksort(a, pivot + 1, right);
8     } else {
9         quicksort(a, pivot + 1, right);
10        quicksort(a, left, pivot);
11    } // else
12 } // quicksort()

```

- Worst memory requirement?
- Both sides equal:  $O(\log n)$

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## Quicksort: Pros and Cons

### Advantages

- On average,  $n \log n$  time to sort  $n$  items
- Short inner loop  $O(n)$
- Efficient memory usage
- Thoroughly analyzed and understood

### Disadvantages

- Worst case,  $n^2$  time to sort  $n$  items
- Not stable; making it stable sacrifices time and/or memory
- Partitioning is fragile (simple mistakes will either segfault or not sort properly)

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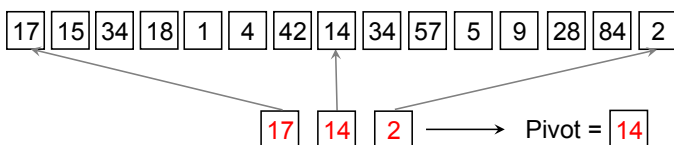
## Improving Splits

- Key to performance: a “good” split
  - Any single choice could always be worst one
  - Too expensive to actually compute best one (median)
- Rather than compute median, sample it
  - Simple way: pick three elements, take their medians
  - Very likely to give you better performance
- Sampling is a very powerful technique!

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## Median Sampling: Fixed

Find median of first, middle, and last elements

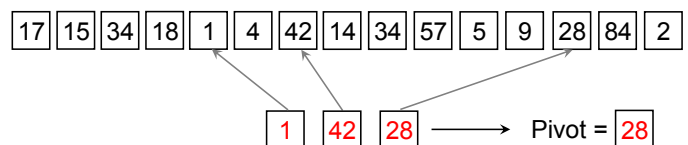


Runtime:  $O(1)$

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## Median Sampling: Random

Find median of three (five, seven,...) random elements



Runtime:  $O(1)$

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## Other Improvements

- Divide and conquer
  - Most sorts are “small” regions
  - Lots of recursive calls to small regions
- Reduce the cost of sorting small regions
  - Insertion sort is faster than quicksort on small arrays
  - Bail out of quicksort when size  $< k$ 
    - For some small, fixed  $k$ , usually around 16 or 32
  - Insertion sort each small sub-array

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## Summary: Quicksort

- On average,  $O(n \log n)$ -time sort
- Efficiency based upon selection of pivot
  - Randomly choose middle or last key in partition
  - Sample three keys
  - Other creative methods
- Other methods of tuning
  - Use another sort when partition is ‘small’
  - Three-way partition

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## Sorting Algorithms: Time

- Bubble sort
  - Insertion sort
  - Selection sort
- } elementary sorts (worst-case  $O(n^2)$ )
- Heapsort
- } heap-based sort,  $O(n \log n)$  worst-case
- Quicksort
- } divide-and-conquer
- Average case:  $O(n \log n)$  depending on pivot selection

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## Sorting Algorithms: Memory

- Bubble sort
  - Insertion sort
  - Selection sort
  - Heapsort
  - Quicksort?
- } In-place sorts -  $O(1)$  extra memory

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## Sorting Algorithms: Stability

A sorting algorithm is stable if output data having the **same key values** remain in the **same relative locations** after the sort

- Bubble sort ✓
- Insertion sort ✓
- Selection sort ✗
- Heapsort ✗
- Quicksort ✗

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## Introsort

- Introspective Sort
  - Introspection means to think about oneself
- Used by g++ and Visual Studio

```
Algorithm introsort(a[], n):
    if (n is small)
        insertionSort()
    else if (quicksort.recursionDepth is large)
        heapsort()
    else
        quicksort()
```

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## Questions for Self-study



- Illustrate worst case input for quicksort
- Explain why best-case runtime for quicksort is not linear
  - Give two ways to make it linear (why is this not done in practice?)
- Normally, pivot selection takes  $O(1)$  time, what will happen to quicksort's complexity if pivot selection takes  $O(n)$  time?
- Improve quicksort with  $O(n)$ -time median selection
  - Must limit median selection to linear time in all cases

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