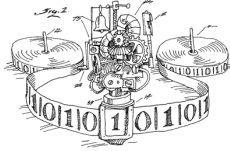


EECS 376: Foundations of Computer Science

Lecture 18 - Search to Decision and Dealing with NP-Completeness



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NP-Completeness Retrospective

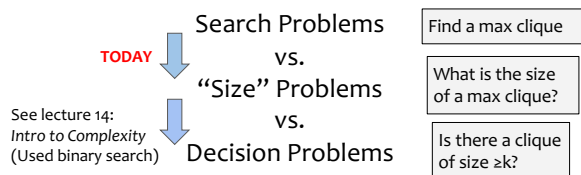
Skills learned:

- Recognizing provably “hard” problems (save time by not trying to find a fast algorithm)
- Converting a problem into a different problem (useful not only for hardness proofs, but also algorithm design)

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Search-to-decision Reductions

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For all NP-complete problems,
if the decision version is in time $T(n)$,
then the search version is in $\text{poly}(T(n))$ time.
(we won't prove, but we'll see examples)

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Goal:

- Given an algorithm **size-clique** that returns the **size** of a max clique in time $T(n)$,
- Show an algorithm **find-clique** that returns a max clique in $\text{poly}(T(n))$ -time.

Common Strategy: Go through each vertex and consider whether removing it changes the size of the solution.

Idea of **find-clique(G)**:

1. Call **size-clique(G)**
2. Pick an arbitrary vertex **v** and remove it (and its incident edges) to get $G-v$.
3. Call **size-clique(G-v)**
 - a. If the answer stayed the same:
There exists a max clique without $v \Rightarrow$ **don't include v** in our clique
 - a. If the answer decreased by 1:
Every max clique contains $v \Rightarrow$ **include v** in our clique

Running time: $O(n \cdot T(n) + m) = \text{poly}(T(n))$

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Goal:

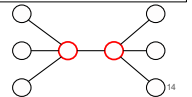
- Given an algorithm **size-VC** that returns the **size** of a min VC in time $T(n)$,
- Show an algorithm **find-VC** that returns a min VC in $\text{poly}(T(n))$ time.

Common Strategy: Go through each vertex and consider whether removing it changes the size of the solution.

Idea of **find-VC(G)**:

1. Call **size-VC(G)**
2. Pick an arbitrary vertex **v** and remove it (and its incident edges) to get $G-v$.
3. Call **size-VC(G-v)**
 - a. If the answer stayed the same:
All min-VC exclude $v \Rightarrow$ ignore v
 - a. If the answer decreased by 1:
Some min-VC includes $v \Rightarrow$ Add v to the solution.
Delete v .

Reminder of VC: set S of vertices so that every edge has at least one endpoint in S



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Goal:

- Given an algorithm **decide-SAT** that decides if a formula is satisfiable in time $T(n)$,
- Show an algorithm **find-SAT** that returns a satisfying assignment in $\text{poly}(T(n))$ time.

find-SAT(φ):

if **decide-SAT(φ) = no**: return \perp (no satisfying assignment)
for each variable x_i

if **decide-SAT($\varphi_{x_i \leftarrow T}$) = yes**:
 $\varphi \leftarrow \varphi_{x_i \leftarrow T}$
 $x_i \leftarrow T$

Example:

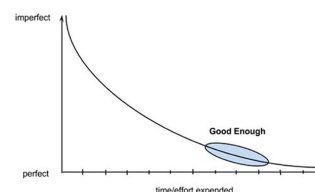
$\varphi = (x_1 \vee x_2) \wedge (\bar{x}_1 \vee x_3) \wedge (x_2 \vee x_3)$
 $\varphi_{x_1 \leftarrow T} = (T \vee x_2) \wedge (F \vee x_3) \wedge (x_2 \vee x_3)$
 $= (x_3) \wedge (x_2 \vee x_3)$

if **decide-SAT($\varphi_{x_i \leftarrow F}$) = yes**:

$\varphi \leftarrow \varphi_{x_i \leftarrow F}$
 $x_i \leftarrow F$

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Now on to Approximation Algorithms



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Approximating Minimum VC

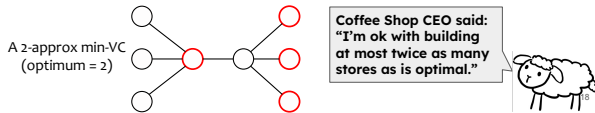
An algorithm is an α -approximation for the VC problem if it returns a VC that contains at most α times as many vertices as a min VC.

$$\text{OPT} \leq \text{ALG} \leq \alpha \cdot \text{OPT}, \quad \alpha > 1$$

Optimal solution size Solution size returned by our algorithm

α is called the approximation ratio (smaller is better here).

We will show that VC has a polynomial-time 2-approximation.

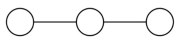


Approximating Minimum VC

Check out my algorithm!
Pick an arbitrary vertex covering at least one edge, delete it, and repeat!

cover-and-remove(graph G):

1. $C \leftarrow \emptyset$
2. **while** G has an edge:
3. pick a vertex v covering at least one edge
4. $G \leftarrow G - v$; $C \leftarrow C \cup \{v\}$ // delete/add it to cover
5. **return** C



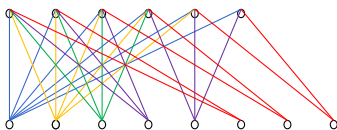
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Approximating Minimum VC

I have another idea!
Pick the vertex covering the most edges!

greedy-cover-and-remove(graph G):

1. $C \leftarrow \emptyset$
2. **while** G has an edge:
3. pick a vertex v covering the most edges
4. $G \leftarrow G - v$; $C \leftarrow C \cup \{v\}$ // delete/add it to cover
5. **return** C



An extension of this idea shows that the approximation ratio is $\alpha = \Omega(\log n)$ (we won't prove)

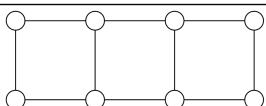
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Approximating Minimum VC

A seemingly-terrible-but-actually-good idea: Choose an arbitrary edge, add both endpoints to the VC, and delete endpoints (and incident edges).

double-cover(graph G):

1. $C \leftarrow \emptyset$
2. **while** G has an edge:
3. pick an edge $e = \{u, v\}$
4. $G \leftarrow G - \{u, v\}$; $C \leftarrow C \cup \{u, v\}$ // delete/add both endpoints
5. **return** C



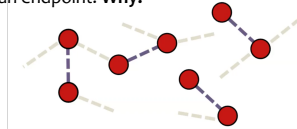
Theorem: double-cover obtains a 2-approx!

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Approximating Minimum VC

A seemingly-terrible-but-actually-good idea: Choose an arbitrary edge, add both endpoints to the VC, and delete endpoints (and incident edges).

Observation about double-cover algorithm: None of the edges we choose share an endpoint. **Why?**



Observation: $\text{ALG} = 2 \cdot (\text{\#edges chosen})$

Observation: OPT must circle at least one endpoint of each of our chosen edges. Why?

$\Rightarrow (\text{\#edges chosen}) \leq \text{OPT}$

So $\text{ALG} \leq 2 \cdot \text{OPT}$

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Doesn't this mean every NP-complete problem has a 2-approximation since they're all reducible to each other?

No!

2 reasons:

1. Some problems are minimization, some are maximization, and some are neither.

$$\begin{aligned} \text{Minimization: } \text{OPT} &\leq \text{ALG} \leq \alpha \cdot \text{OPT}, & \alpha > 1 \\ \text{Maximization: } \text{OPT} &\geq \text{ALG} \geq \alpha \cdot \text{OPT}, & \alpha < 1 \end{aligned}$$

α is the approximation ratio

2. Reductions don't necessarily imply anything about approximation

Consider the following example...

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Last time we showed that an n -vertex graph G has a **VC of size $\leq k$** if and only if G has an **IS of size $\geq n - k$** .

(This can show both $\text{VC} \leq \text{IS}$ and $\text{IS} \leq \text{VC}$. Most reductions cannot be immediately reversed, but this one can since the graph doesn't change.)

E.g. Consider a graph G with **max-IS size $n/2$** and **min-VC size $n/2$** .

Running our **2-approx for VC** on G gives a **VC of size $\leq n$** , which translates to an **IS of size $\geq n - n = 0$** .

So **IS-OPT = $n/2$** , **IS-ALG ≥ 0** , and the approximation ratio **α is zero**.

Conclusion: Even though a poly-time mapping reduction shows $\text{IS} \leq \text{VC}$, the 2-approximation algorithm for VC doesn't imply anything about approximation algorithms for IS.

\leq_P 不是表
approximation algo也有这

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NP-complete problems come in many types:

- Some can be approximated to within a **constant factor**
 - e.g. VC
- Some can only be approximated to within a **larger factor**
 - e.g. Set Cover has an $O(\log n)$ -approximation and there's no better approximation ratio unless $P = NP$
- Some have **no non-trivial approximation** at all unless $P = NP$
 - e.g. Clique and Independent Set
- Some can be approximated **arbitrarily well**
 - e.g. Knapsack

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