#### Midterm review



#### Midterm Announcements

- Topics on midterm:
  - Beginning of the course through today's lecture
- Exam Coverage
  - Lecture 1-12
  - Discussion 1-6
  - HW 1-3
- You may bring one double-sided 8.5 x 11 study sheet, that you prepare
- Thursday 5/30:
  - No Lecture
  - Midterm 7-9 pm

#### Techniques/concepts

#### Algorithmic techniques

- Potential method
- Divide-and-Conquer + Master Theorem
- Dynamic Programming
- Greed + Induction/Exchange

#### Models of Computation:

- DFAs
- Turing machines + Church-Turing thesis
- Terminology: countable vs uncountable, language, (un)decidable

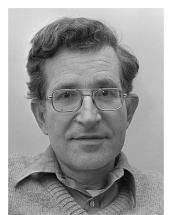
#### Techniques for proving undecidability

- Diagonalization/paradox
- Reduction

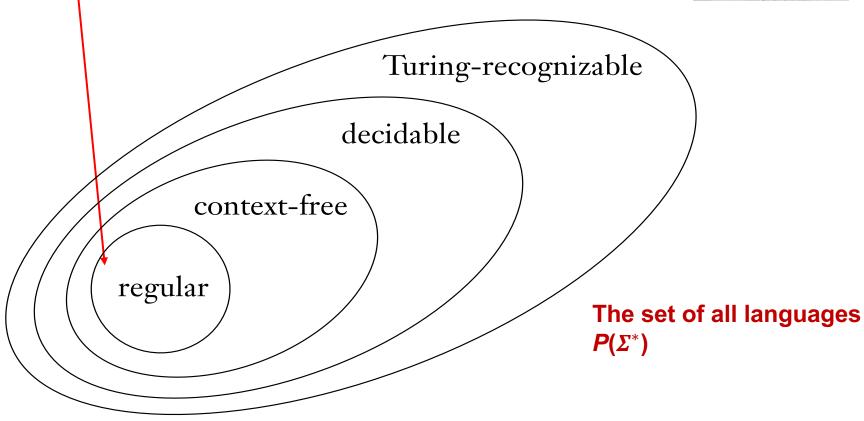
#### Reminder of problems + algorithms from class

- Potential method: GCD (Euclid)
- Divide-and-conquer: sorting (mergesort), closest pair, integer multiplication (Karatsuba)
- Dynamic programming: weighted task selection, LIS, LCS, knapsack, SSSP (Bellman-Ford), APSP (Floyd-Warshall)
- **Greedy:** unweighted task selection, MST (Kruskal)
- Countable vs uncountable sets: integers, rationals, reals, TMs, TM inputs, the set of all languages on a given alphabet
- Undecidable languages: LBARBER, LACC, LHALT

#### The Chomsky Hierarchy (1956)



"Regular, Language": Language decidable by some DFA



# Some reference slides copied from past lectures

#### **Potential Method**

Intuitively, a **potential function argument** says: If I start with a <u>finite</u> amount of water in a <u>leaky</u> bucket, water eventually must stop leaking out.



#### Ingredients of the argument:

- 1. Define the "unit of time" e.g. one iteration of an algorithm
- 2. Define how we measure the amount of water in the bucket. This is the **potential function S**<sub>i</sub>  $\leftarrow$  amount of water in bucket at timestep i
- 3. Prove that the S<sub>o</sub> is <u>finite</u> and S<sub>i</sub> can <u>never be negative</u>
- 4. Prove that the bucket "leaks quickly". I.e. that S<sub>i</sub> decreases by <u>at least some fixed amount</u> per unit time.
- 5. Use this to upper bound the total number of units of time.

## Divide and Conquer

## Overview: Divide-and-Conquer Algorithms

#### **Main Idea:**

- 1. **Divide** the input into smaller sub-problems
- **2. Conquer:** solve each sub-problem recursively and combine their solutions

#### Designing the Algorithm + Proving Correctness: an "art"

• Depends on problem structure, ad-hoc, creative

#### Running time Analysis: "mechanical"

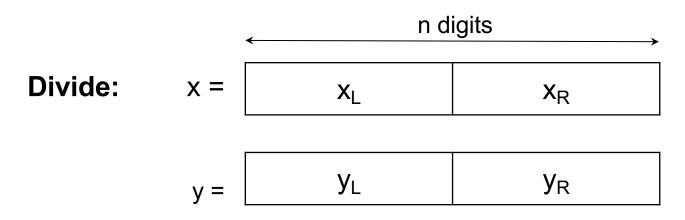
- Express runtime using a recurrence
- Can often solve using the "Master Theorem"

#### Integer Multiplication

- Problem: Given two n-bit numbers  $N_1$  and  $N_2$ , compute  $N_1 \times N_2$
- Long Multiplication:
  - Reduce problem to n additions of 2n-bit numbers
  - Do each addition in O(n) time
- Runtime: O(n²) in total!
- Example: What is 59 x 42?

Another example of divide and conquer:

## Integer Multiplication



Conquer: 
$$x \cdot y = (x_L \cdot 10^{n/2} + x_R)(y_L \cdot 10^{n/2} + y_R)$$
  
=  $x_L y_L \cdot 10^n + (x_L y_R + x_R y_L) \cdot 10^{n/2} + x_R y_R$ 

**Recurrence:** 

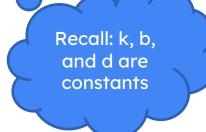
## Solving Recurrences

#### The Master Theorem

**Formally:** Consider the recurrence relation  $T(n) = kT(n/b) + O(n^d)$ , when k, b > 1. Then:

$$T(n) = \begin{cases} O(n^d) & \text{if } (k/b^d) < 1\\ O(n^d \log n) & \text{if } (k/b^d) = 1\\ O(n^{\log_b k}) & \text{if } (k/b^d) > 1 \end{cases}$$

$$T(1) = O(1)$$



(Earlier, Gauss used the same trick in a different context)

#### Karatsuba's idea!

 $O(n^2)$ 

Around 1956, the famous Soviet mathematician Andrey Kolmogorov conjectured that this is the best possible way to multiply two numbers together.

Just a few years later, Kolmogorov's conjecture was shown to be spectacularly wrong.

In 1960, Anatoly Karatsuba, a 23-year-old mathematics student in Russia, discovered a sneaky algebraic trick that reduces the number of multiplications needed.

We only need 3 recursive calls rather than 4!



#### Karatsuba's idea!

**Divide:** 
$$x = \begin{bmatrix} x_L & x_R \\ y_L & y_R \end{bmatrix}$$

Conquer: 
$$x \cdot y = x_L y_L \cdot 10^n + \frac{(x_L y_R + x_R y_L)}{(x_L + x_R)(y_L + y_R)} \cdot 10^{n/2} + x_R y_R$$

Recurrence  $(x_L + x_R)(y_L + y_R) \cdot x_L y_L \cdot x_R y_R$ 

Formally: Consider the recurrence relation  $T(n) = kT(n/b) + O(n^d)$ , when  $k, b > 1$ . Then:

$$T(n) = \begin{cases} O(n^d) & \text{if } (k/b^d) < 1\\ O(n^d \log n) & \text{if } (k/b^d) = 1 \end{cases}$$

## Dynamic Programming

#### **Dynamic Programming**

High Level Idea: Break a complex problem into smaller (easier) subproblems subject to:

- Principal of optimality (optimal substructure) –
   a substructure of an optimal structure is itself optimal
   Example: A subpath of any shortest path is itself a shortest path.
- 2. Overlapping sub-problems: "many" smaller subproblem are actually the "same" problem **Example:** When computing the Fibonacci sequence using the rule:  $F_n = F_{n-1} + F_{n-2}$ , "many" numbers are repeated.

С

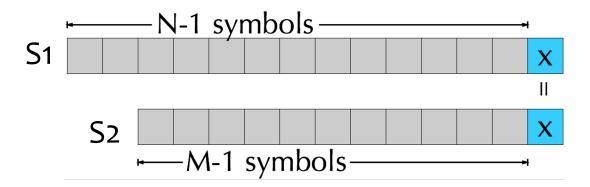
#### The DP Recipe

- 1. Write recurrence usually the trickiest part
- 2. Size of table: How many dimensions? Range of each dimension?
- 3. What are the base cases?
- 4. To fill in a cell, which other cells do I look at? In which order do I fill the table?
- 5. Which cell(s) contain the final answer?
- 6. Running time = (size of table) (time to fill each entry)
- 7. To reconstruct the solution (instead of just its size) follow arrows from final answer to base case

#### LCS Recurrence

**Part 1:** Suppose the last character of S1 and S2 are the same i.e. S1[N] = S2[M]

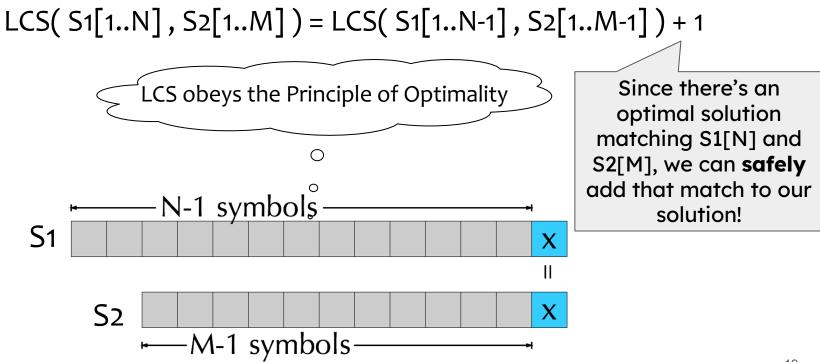
**Claim.** There exists an optimal solution that matches S<sub>1</sub>[N] and S<sub>2</sub>[M]. Proof.



#### LCS Recurrence

Case 1: Suppose the last character of S1 and S2 are the same i.e. S1[N] = S2[M]

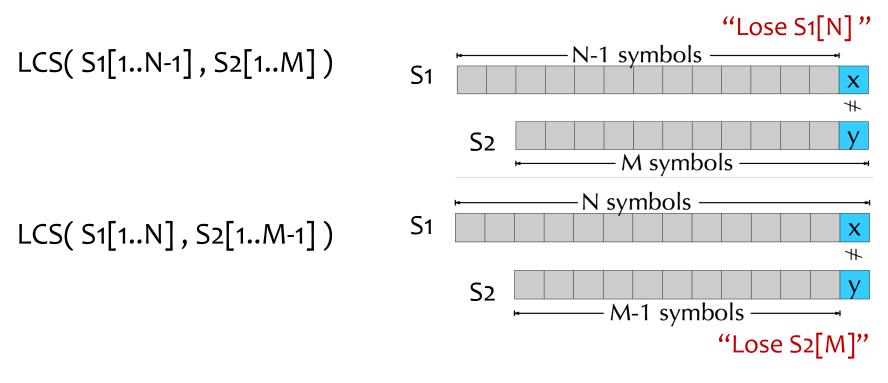
Claim. There exists an optimal solution that matches S1[N] and S2[M].



#### LCS Recurrence

Case 2: The last character of S1 and S2 are not the same

OPT doesn't have at least one of S1[N] and S2[M] ("lose it or lose it")



#### Full Recurrence for LCS

#### Base cases:

LCS(
$$S1[1..i]$$
,  $\emptyset$ ) = 0 for all i  
LCS( $\emptyset$ ,  $S2[1..j]$ ) = 0 for all j

S1 = GAC S2 = AGCAT								
	Ø	А	G	С	А	Т		
Ø	0	0	0	0	0	0		
G	0							
А	0							
С	0							

```
 LCS(S1[1..N], S2[1..M]) = \\ LCS(S1[1..N-1], S2[1..M-1]) + 1 \qquad \text{if } S1[N] = S2[M] \\ max \{ LCS(S1[1..N-1], S2[1..M]), \\ LCS(S1[1..N], S2[1..M]) \} \quad \text{otherwise}  Base cases:  LCS(S1[1..i], \emptyset) = 0 \quad \text{for all } i
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 Base cases: 
$$LCS(S1[1..i], \emptyset) = 0 \text{ for all } i$$

## Greedy algorithms

## General strategy commonly used for analyzing greedy algorithms:

Proof by induction using an "exchange" argument

**The idea:** Show that we can transform any **optimal solution** into the **solution given by our algorithm** by **exchanging** each piece of it out one-by-one without increasing the cost.

**Key part of proof:** Exchange shows that my greedy choice is safe i.e. it is in some optimal solution.

Induction formalizes the idea that each successive choice is safe.

## DFAs and Turing Machines

## String notation

Alphabet: A nonempty finite set  $\Sigma$  of symbols.

 $\Sigma = \{0,1\}$  is a popular choice.

#### **String:** A finite sequence of 0 or more symbols.

(or "word")

The empty string is denoted  $\varepsilon$ .

For any  $a \in \Sigma$ :

a<sup>k</sup> means k a's

a<sup>\*</sup> means ≥0 a's

a⁺ means ≥1 a's

 $\Sigma^k$  means all strings over  $\Sigma$  of length k.

 $\Sigma^*$  means **all** (finite) strings over  $\Sigma$ .

 $\Sigma^+$  means all nonempty (finite) strings over  $\Sigma$ 

For any a,b  $\in \Sigma$ : a b means a OR b

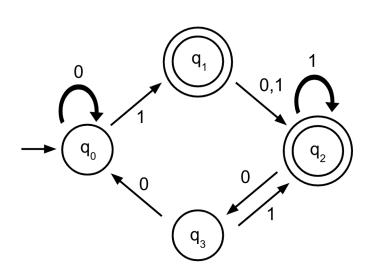
#### Language: A collection of strings.

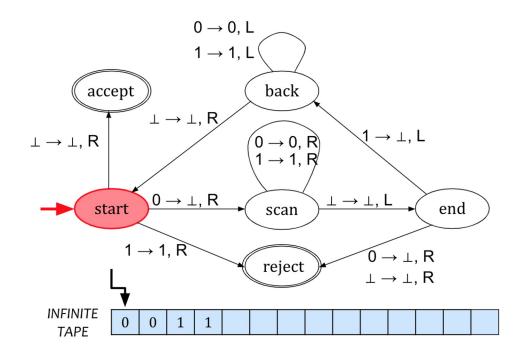
I.e. any subset  $L \subseteq \Sigma^*$ .

The empty language is denoted  $\emptyset$ .

## **DFA**

## Turing Machine





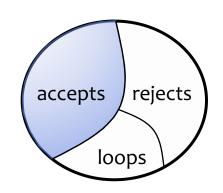
## Undecidability

## Undecidability and Reductions

Question: What are the possible outcomes of a TM M?

Answer: M either (i) accepts, (ii) rejects, or (iii) it "loops" (forever)

The language of a TM is the set of strings it accepts:  $L(M) = \{x : M \text{ accepts } x\}$ 



Definition: A Turing Machine M decides a language L if it:

- 1. <u>accepts</u> every string in L, and
- rejects every string not in L (and never loops forever)

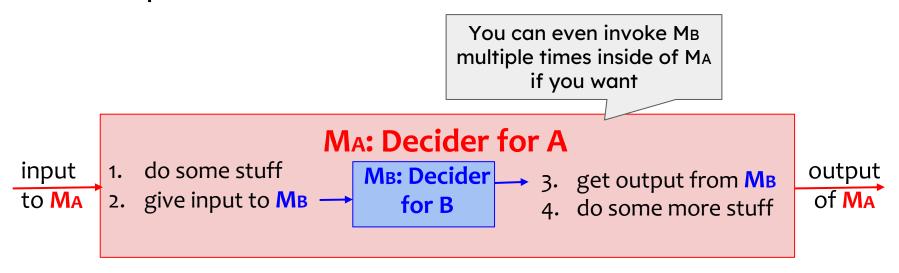
A language L is **decidable** if there is a TM that decides L. Otherwise L is **undecidable**.

## Turing Reduction from A to B (denoted $A \leq T$ B):

"We can use a black-box decider for B as a subroutine to decide A."

#### What it implies:

- 1. If B is decidable then A is decidable.
- 2. Contrapositive: If A is undecidable then B is undecidable.



"Problem B is at least as hard as Problem A"

# New technique: constructing new machines inside reductions

## Another Undecidable Language: ε-Halting Problem

Input: Turing Machine M

Output: Does M halt when given input ε?

**Language:** Lε-HALT = {⟨M⟩: M halts on input ε}

This time we're only talking about a single input string, and yet it's still undecidable



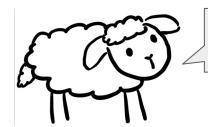
Here's a reduction from L<sub>E-HALT</sub> to LHALT, showing L<sub>E-HALT</sub> is undecidable!

 $M_{\epsilon\text{-HALT}}(\langle M \rangle)$ :

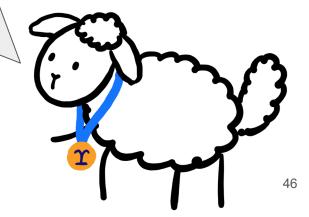
Run Mhalt((M), E)

If it accepts: accept

If it rejects: reject



Something is off...



## Reduction from Lhalt to Lε-halt (i.e. Lhalt ≤τ Lε-halt)

#### We need to implement:

MHALT takes two inputs: (M), x

M halts on input  $x \Rightarrow M_{HALT}$  accepts

M loops on input  $x \Rightarrow M_{HALT}$  rejects

#### Suppose we have:

ME-HALT takes one input: (M')

M' halts on input  $\varepsilon \Rightarrow M_{\varepsilon\text{-HALT}}$  accepts

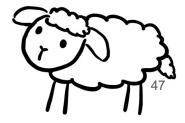
M' loops on input  $\varepsilon \Rightarrow M_{\varepsilon-HALT}$  rejects

We need to specify the pseudocode:

MHALT( $\langle M \rangle$ , x):

Run Mehalt ((M)) and answer as Mhalt

What's wrong with this?



## Reduction from Lhalt to Lε-Halt (i.e. Lhalt ≤τ Lε-Halt)

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We need to specify the pseudocode:

MHALT( $\langle M \rangle$ , x):

 $M_x(w)$ :

Run M(x) and answer as M does

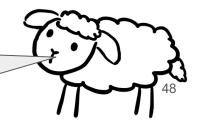
Let  $M_x$  be a TM that ignores its input and runs M(x)

What is next ??

Note: We will not run Mx, we just constructed it.
Why can't we run Mx?

Key idea: Construct new machine

We "hardcode" string **x** into the "hardware" of the TM **M**x.



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Run M(x) and answer as M

Let  $M_x$  be a TM that ignores its input and runs M(x)

What is next ??

We are allowed to use

 $M_{\epsilon\text{-HALT}}(\langle M' \rangle)$  as a subroutine, with the input of our choice

## Reduction from Lhalt to Lε-Halt (i.e. Lhalt ≤τ Lε-Halt)

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Run M(x) and answer as M

Let  $M_x$  be a TM that ignores its input and runs M(x)

Run  $M_{\epsilon\text{-HALT}}(\langle M_x \rangle)$  and answer as  $M_{\epsilon\text{-HALT}}$ 

#### **Analysis:**

```
M halts on x \to M_x(w) halts for all w including w = \epsilon \to M_{\epsilon-halt}(M_x) accepts M loops on x \to M_x(w) loops for all w including w = \epsilon \to M_{\epsilon-halt}(M_x) rejects
```

# Another Undecidable Language: Empty Language Problem

**Input:** Turing Machine M

Output: Does M accept any input string at all?

**Language:**  $L_E = \{ \langle M \rangle \mid M \text{ is a Turing machine and } L(M) = \emptyset \}$ 

This time we're only talking about no input string at all, and yet it's still undecidable



#### We need to implement:

Macc takes two inputs:  $\langle M \rangle$ , x M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

ME takes one input: (M')

 $L(M') = \emptyset \Rightarrow M_E \text{ accepts}$ 

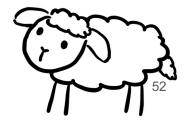
 $L(M') \neq \emptyset \Rightarrow M_E \text{ rejects}$ 

We need to specify the pseudocode:

 $Macc(\langle M \rangle, x)$ :

Run  $M_{E}(\langle M \rangle)$  and answer as  $M_{ACC}$ 

What's wrong with this?



#### We need to implement:

Macc takes two inputs: (M), x

M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

ME takes one input: (M')

 $L(M') = \emptyset \Rightarrow M_E \text{ accepts}$ 

 $L(M') \neq \emptyset \Rightarrow M_E \text{ rejects}$ 

We need to specify the pseudocode:

 $Macc(\langle M \rangle, x)$ :

 $M_x(w)$ :

Reject if  $\mathbf{w} \neq \mathbf{x}$ 

else Run M(x) and answer as M does

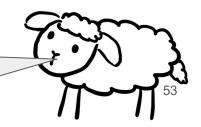
Let  $M_x$  be a TM that rejects all inputs except x and runs M(x)

What is next ??

Note: We will not run Mx, we just constructed it.
Why can't we run Mx?

**Key idea**: Construct new machine

We "hardcode" string **x** into the "hardware" of the TM **M**x.



#### We need to implement:

```
Macc takes two inputs: (M), x
```

M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

ME takes one input: (M')

 $L(M') = \emptyset \Rightarrow M_E \text{ accepts}$ 

 $L(M') \neq \emptyset \Rightarrow M_E \text{ rejects}$ 

We need to specify the pseudocode:  $M_{ACC}(\langle M \rangle, x)$ :

```
M_x(w):
Reject if w \neq x
else Run M(x) and answer as M does
```

Let Mx be a TM that rejects all inputs except x and runs M(x)

#### What is next ??

We are allowed to use  $M_E(\langle M' \rangle)$  as a subroutine, with the input of our choice

#### We need to implement:

Macc takes two inputs: (M), x

M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

ME takes one input: (M')

 $L(M') = \emptyset \Rightarrow M_E \text{ accepts}$ 

 $L(M') \neq \emptyset \Rightarrow M_E \text{ rejects}$ 

## We need to specify the pseudocode:

 $Macc(\langle M \rangle, x)$ :

 $M_x(w)$ :

Reject if  $\mathbf{w} \neq \mathbf{x}$ 

else Run M(x) and answer as M does

Let Mx be a TM that rejects all inputs except x and runs M(x)

Run  $M_E(\langle M_x \rangle)$  and answer as the opposite of  $M_E$ 

#### **Analysis:**

M accepts  $x \to M_x(w)$  rejects all w except  $w = x \to M_E(M_x)$  rejects M rejects  $x \to M_x(w)$  rejects all w including  $w = x \to M_E(M_x)$  accepts

# Another Undecidable Language: Regular Language Problem

**Input:** Turing Machine M

Output: Does M accept a regular language?

**Language:** L<sub>REGULAR</sub> = { <M> | M is a Turing machine and L(M) is a regular language}

This time we're talking about a regular language, and it's still undecidable



#### We need to implement:

Macc takes two inputs:  $\langle M \rangle$ , x M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

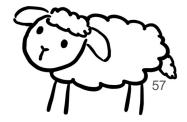
Mregular takes one input:  $\langle M' \rangle$  L(M') is regular  $\Rightarrow$  Mregular accepts L(M') is not regular  $\Rightarrow$  Mregular rejects

We need to specify the pseudocode:

 $Macc(\langle M \rangle, x)$ :

Run Mregular((M)) and answer as Macc

What's wrong with this?



#### We need to implement:

Macc takes two inputs: (M), x

M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

Mregular takes one input: (M')

L(M') is regular  $\Rightarrow$  Mregular accepts

**L(M')** is not regular ⇒ Mregular rejects

We need to specify the pseudocode:

 $Macc(\langle M \rangle, x)$ :

 $M_x(w)$ :

Accept if  $\mathbf{w} = \mathbf{0}^n \mathbf{1}^n$ 

else Run M(x) and answer as M does

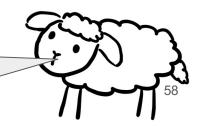
Let Mx be a TM that accepts all inputs  $0^n1^n$  and runs M(x) otherwise

What is next??

Note: We will not run Mx, we just constructed it.
Why can't we run Mx?

**Key idea**: Construct new machine

We "hardcode" string **x** into the "hardware" of the TM **M**x.



#### We need to implement:

Macc takes two inputs: (M), x

M accepts input  $X \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

Mregular takes one input: (M')

L(M') is regular  $\Rightarrow$  Mregular accepts

**L(M')** is not regular ⇒ Mregular rejects

We need to specify the pseudocode:  $Macc(\langle M \rangle, x)$ :

 $M_x(w)$ :

Accepts if  $\mathbf{w} = \mathbf{0}^n \mathbf{1}^n$ 

else Runs M(x) and answer as M does

Let Mx be a TM that accepts all inputs  $0^n1^n$  and runs M(x) otherwise

#### What is next ??

We are allowed to use  $M_E(\langle M' \rangle)$  as a subroutine, with the input of our choice

#### We need to implement:

Macc takes two inputs: (M), x

M accepts input  $x \Rightarrow M_{ACC}$  accepts

M rejects input  $x \Rightarrow M_{ACC}$  rejects

#### Suppose we have:

Mregular takes one input: (M')

L(M') is regular  $\Rightarrow$  Mregular accepts

L(M') is not regular  $\Rightarrow$  Mregular rejects

## We need to specify the pseudocode: Macc((M), x):

 $M_x(w)$ :

Accepts if  $\mathbf{w} = \mathbf{0}^n \mathbf{1}^n$ 

else Runs M(x) and answer as M does

Let Mx be a TM that accepts all inputs  $0^n1^n$  and runs M(x) otherwise Run M(x) and answer as M(x)

#### **Analysis:**

M accepts  $x \to M_x(w)$  accepts all inputs  $w \to M_{REGULAR}(M_x)$  accepts M rejects  $x \to M_x(w)$  rejects all inputs except  $\mathbf{w} = \mathbf{0}^n \mathbf{1}^n \to M_{REGULAR}(M_x)$  rejects