

Review:

### Archimedean property (5条)

metric space (i) 正定 (ii) 对称 (iii) 三角

$$V_\varepsilon(a) = (a - \varepsilon, a + \varepsilon) \text{ (the open } \varepsilon\text{-neighborhood of } a\text{)}$$

Note: In any ordered field,

if  $\forall \epsilon > 0, |a - b| < \epsilon$ , then  $a = b$

特别地, In Archimedean ordered fields,

if  $\forall n \in \mathbb{N}, |a-b| < \frac{1}{n}$ , then  $a=b$

Note 2: 如果  $A \subseteq \mathbb{R}$  有  $\max$ , 则  $A$  也有  $\sup$  且  $\max A = \sup A$  ★

今日1.\*

- 用 infly many  $\uparrow$  open intervals intersect the closed interval
- 用 infly many  $\uparrow$  closed intervals union the open interval

(记得 Rudin 2.24 中说: finite 个 open/closed sets intersect/union 出的 set 仍是 open/closed 的, 这里 我们给出 R 中 infly many 个 sets 的反例)

(1) if  $a < b$ , then

$$[a, b] = \bigcap_{n \in \mathbb{N}} (a - \frac{1}{n}, b + \frac{1}{n})$$

the closed interval can be expressed as an intersection of open intervals.

(2) if  $a < b$ , then

$$(a, b) = \bigcup_{n \in \mathbb{N}} \left[ a + \frac{1}{n}, b - \frac{1}{n} \right]$$

$$\overline{([ [E \rightarrow ] ]])}$$

open interval  $(a, b)$  can be expressed as a union of countably many closed intervals.

2.  $\forall$  nonempty  $A, B \subseteq \mathbb{R}$

$$(1) \inf(A) \leq \sup(A)$$

$$(2) \inf(A \cup B) = \min(\inf(A), \inf(B))$$

$$(3) \sup(A \cup B) = \max(\sup(A), \sup(B))$$

4) if  $c > 0$ , then  $\sup(cA) = c \cdot \sup(A)$

(5)  $\sup(-A) = -\inf(A)$  (proved in hw1)


(b)  $\sup(A+B) = \sup A + \sup B$  (proved in hw1)

(7)  $\sup(AB) \neq \sup(A) \sup(B)$

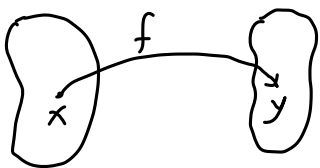
## 2. Functions

### Def Function (rigorously)

A function  $f: X \rightarrow Y$  is a

subset  $f \subseteq X \times Y$  

s.t.  $\forall x \in X \quad \exists! y \in Y, (x, y) \in f$



$$X = \text{dom}(f)$$

$$\gamma = \text{cod}(f)$$

$$\text{im}(f) = \text{ran}(f) = \{ f(x) \mid x \in X \} \subseteq \text{cod}(f)$$

$$f \upharpoonright A = \{f(x) \mid x \in A \subseteq \text{dom}(f)\}$$

$$f^{-1}[B]$$

(1) squaring function  $f: \mathbb{R} \rightarrow \mathbb{R}$   
defined by  $f(x) = x^2$

(2) reciprocal function  $g: \mathbb{R} \setminus \{0\} \rightarrow \mathbb{R} \setminus \{0\}$   
define by  $f(x) = \frac{1}{x}$

(3) supremum function  $s: \mathcal{P}(\mathbb{R}) \rightarrow \mathbb{R} \cup \{\pm\infty\}$   
defined by  $f(A) = \sup A$

(4) the harmonic function  $h: \mathbb{N} \rightarrow \mathbb{R}$   
defined by  $h(n) = \frac{1}{n}$

(5) dirichlet's function  $D: \mathbb{R} \rightarrow \mathbb{R}$   
 $\uparrow y$  defined by  $D(x) = \begin{cases} 0, & \text{if } x \in \mathbb{R} \setminus \mathbb{Q} \\ 1, & \text{if } x \in \mathbb{Q} \end{cases}$



## Cardinality

Def set  $X$  is finite if  $\exists n \in \mathbb{N}$  s.t.  
 $X$  has  $n$  elements.

denoted:  $|X| = n$

$X$  is infinite if  $\exists$  inj  $f: \mathbb{N} \rightarrow X$

Notation: write  $X \leq Y$  if  $\exists \text{ inj } f: X \rightarrow Y$

$$X \approx Y \text{ if } \exists \text{ bij } f: X \rightarrow Y$$

Remark (hw)  $X \leq Y \iff (\exists \text{ inj } f: X \rightarrow Y)$

iff  $\exists \text{ surj } g: Y \rightarrow X$ )

## Thm Cantor-Schröder-Bernstein Thm

If  $X \preceq Y$  and  $Y \preceq X$  then  $X \approx Y$

(pf: kind of hard)

Example:  $\mathbb{N} \approx \mathbb{Z}$

since  $f: \mathbb{N} \rightarrow \mathbb{Z}$  defined by

$$f(n) = \begin{cases} -\frac{n-1}{2} & \text{if } n \text{ is odd} \\ \frac{n}{2} & \text{if } n \text{ is even} \end{cases} \text{ is bijective}$$
 $N \quad \mathbb{Z}$ 
$$1 \rightarrow 0$$
$$2 \rightarrow 1$$
$$\begin{array}{l} 3 \rightarrow -1 \\ 4 \rightarrow 2 \end{array}$$
$$\begin{array}{ccc} 4 & \rightarrow & 2 \\ 5 & \rightarrow & 2 \end{array}$$
$$\begin{array}{ccc} 3 & \Rightarrow & 2 \\ 6 & \Rightarrow & 3 \end{array}$$

• • •

Def  $X$  is countably infinite if  $X \approx \mathbb{N}$

countable if  $X \leq \mathbb{N}$

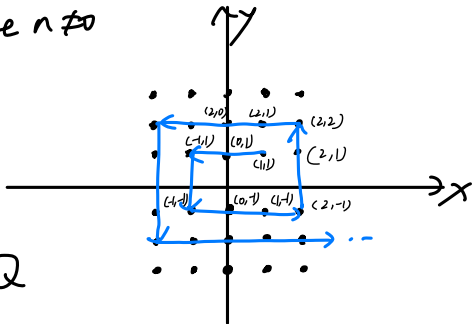
uncountable if  $X$  is not countable

i.e.  $\exists$  inj  $f: X \rightarrow \mathbb{N}$

or surj  $g: N \rightarrow X$

Thm  $\mathbb{Q}$  is countable

Pf View rationals  $m/n$  as pairs  $(m,n) \in \mathbb{Z}, \mathbb{Z}$   
where  $n \neq 0$   $\uparrow$


$$\mathbb{N} \rightarrow \mathbb{Q}$$
$$\begin{array}{r} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ \vdots \\ \vdots \end{array} \quad \begin{array}{r} 1 \\ 0 \\ -1 \\ 1 \\ 0 \\ \vdots \\ \vdots \\ \frac{1}{2} \end{array}$$

Thm (Cantor)  $\mathbb{R}$  is uncountable

Pf. We use the fact that every real num.  
can be expressed as a decimal

(eg:  $\pi = 3.1415926\dots$ )

It suffices to show that  $(0,1)$  is uncountable.

We prove that no  $f: \mathbb{N} \rightarrow (0,1)$  can be surj.

Let  $f: \mathbb{N} \rightarrow \{0, 1\}$  be any function

and for each  $n \in \mathbb{N}$  we write

$$f(n) = 0. n_1 n_2 n_3 \dots \in (0, 1)$$

现考虑  $x = 0.d_1d_2d_3\ldots \in (0,1)$

对每个  $n \in \mathbb{N}$  都选取和  $f(n)$  where  $d_n$  是任意  $\neq f(n)$  的数

都选取和  $f(n)$  的第  $n$  位不同  $\Rightarrow \forall n \in \mathbb{N}, x \neq f(n)$ , so  $x \notin \text{ran}(f)$   
(the digit)

Since  $f$  is arbi, no function  $f: \mathbb{N} \rightarrow (0,1)$  can be surj.  
 $\Rightarrow (0,1)$  is unctb and so is  $\mathbb{R}$ .

Thm (Cantor)  $\forall$  set  $X$ ,  $\nexists$  surj  $f: X \rightarrow \mathcal{P}(X)$

Q:  $|\mathcal{P}(X)| > |X|$  for all  $X$

Pf Given  $f: X \rightarrow \mathcal{P}(X)$ ,  
consider  $D = \{x \in X \mid x \notin f(x)\} \in \mathcal{P}(X)$

如果  $f$  surj  $\Rightarrow \underline{D = f(x_0)}$  for some  $x_0 \in X$

Then: if  $x_0 \in D \Rightarrow$  by def of  $D$ ,  $x_0 \notin D$   
if  $x_0 \notin D \Rightarrow$  by def of  $D$ ,  $x_0 \in D$

因而没有任何元素可以映射到 such  $D \Rightarrow$  contradicts  
(所有(像中无)的  $x$  的集合)  $\Rightarrow f$  不可能 surj  
eg:  $x_1 \mapsto \{x_2, x_3, x_4\}$

Question 1: are there any cardinalities strictly larger than that of  $\mathbb{R}$

Answer:  $\mathbb{C} \approx \mathbb{R}^2$  (though  $\mathbb{C} \not\approx \mathbb{R}^2$ )

2. Are there any cardinalities strictly

between  $\aleph$  and  $\mathbb{R}$ ? (Fact:  $\mathcal{P}(\aleph) \approx \mathbb{R}$ )

Answer: nobody knows

and the statement that there is no cardinality between  $\aleph$  and  $\mathbb{R}$  is called continuum hypothesis.

Thm If  $A_1, \dots, A_n$  are ctbl sets,

then  $A_1 \times \dots \times A_n$  is ctbl  $\star$

Pf  $A_1, A_2, \dots, A_n$

i.e. finite product of ctbl sets is ctbl.

(省略版)  $A_1 = \{a_{11}, a_{12}, a_{13}, a_{14}, \dots\}$

$A_2 = \{a_{21}, a_{22}, a_{23}, a_{24}, \dots\}$

$A_3 = \{a_{31}, a_{32}, a_{33}, a_{34}, \dots\}$

$A_4 = \{\dots \dots \dots\}$

Thm Let  $\{A_i \mid i \in I\}$  be an indexed family of sets.

If: ①  $I$  是 ctbl 的

②  $\forall i \in I, A_i$  都是 ctbl 的

$\Rightarrow \bigcup_{i \in I} A_i$  是 ctbl 的

Pf 同理

使用 ctbl union thm 来证明一些结论

$\forall a < b$ ,  $(a, b)$  中有 unctbly many irrationals.

Pf.  $(a, b) \cap \mathbb{Q}$  is ctbl ( $\leq \aleph$ )

if  $(a, b) \cap (\mathbb{R} \setminus \mathbb{Q})$  is ctbl (for contradiction)

then  $(a, b) = \underbrace{[(a, b) \cap \mathbb{Q}] \cup [(a, b) \cap (\mathbb{R} \setminus \mathbb{Q})]}$

would be ctbl  $\Rightarrow$  contradicts.

(hw:  $\mathbb{Q}$  is ctbl, so there are unctbly many transcendental num.s.)