

**The Master Theorem:** If  $T(n) = aT(n/b) + \Theta(n^d)$ , then

$$T(n) = \begin{cases} \Theta(n^d) & \text{if } (a/b^d) < 1 \\ \Theta(n^d \log n) & \text{if } (a/b^d) = 1 \\ \Theta(n^{\log_b a}) & \text{if } (a/b^d) > 1 \end{cases}$$

better

worse

$\Theta(1), \log n, n, n \log n, n^2, n^3, (\text{maybe } n^4, \dots), 2^n, n!$

$$= n^d \cdot \sum_{i=0}^{\log n} \left(\frac{a}{b^d}\right)^i$$

$$T\left(\frac{n}{b}\right) = 1 \Rightarrow a = \log_b n$$

$$a \log_b n = n \log_b a$$

Updates cut remaining list in half each time:

$j-1 \approx n$ , then  $n/2$ , then  $n/4, \dots$

loop iterates  $\log n$  times.

$$\Rightarrow \Theta(\log n)$$

procedure

procedure bar(n: integer)

a := (n \* n - 7) / 2

for i := 1 to n

j := n

while j > 1

print "hi"

j := j / 2

print "bye"

for i := 1 to 500n

print "203 is fun!"

$$\log n \Rightarrow \Theta(n \log n)$$

$$500n \Rightarrow \Theta(n)$$

Consider positive-valued functions  $f_1(n) = \Theta(g_1(n))$  and  $f_2(n) = \Theta(g_2(n))$ .

• **Addition**

$$(f_1 + f_2)(n) = \Theta(\max(g_1(n), g_2(n)))$$

• **Scalar multiplication**

$$af(n) = \Theta(f(n))$$

• **Product**

$$(f_1 \cdot f_2)(n) = \Theta(g_1(n) \cdot g_2(n))$$

Let  $f, g: \mathbb{R}^+ \rightarrow \mathbb{R}^+$  read:  $f$  is the big O of.

• **Big-O:** " $f$  is  $O(g)$ "

① Means  $f$  grows no faster than as  $g$   
 $\exists k, c$  such that for all  $n \geq k$ :  $f(n) \leq cg(n)$

• **Big-Omega:** " $f$  is  $\Omega(g)$ "

② Means  $f$  grows at least as fast as  $g$   
 $\exists k, c$  such that for all  $n \geq k$ :  $f(n) \geq cg(n)$

• **Big-Theta:** " $f$  is  $\Theta(g)$ "

③ Means  $f$  grows at the same rate as  $g$

$f$  is  $\Theta(g)$  iff  $f = O(g)$  and  $f = \Omega(g)$

$\exists k, c_1, c_2$  such that for all  $n > k$ :

$$c_1 g(n) \leq f(n) \leq c_2 g(n)$$

• **Product Rule:**  $k$  sequential tasks/stages, with exactly  $n_i$  possible choices for task  $i$ , means:

$$\prod_{i=1}^k n_i = n_1 \cdot n_2 \cdot n_3 \cdot \dots \cdot n_k \text{ possible choices}$$

• **Sum Rule:**  $k$  parallel tasks, with exactly  $n_i$  possible choices for task  $i$ , means:

$$\sum_{i=1}^k n_i = n_1 + n_2 + n_3 + \dots + n_k \text{ possible choices}$$

• **Division Rule:** A process with  $n$  total choices, and each choice represented exactly  $k$  times, means:

there are  $\frac{n}{k}$  possible choices

• **Difference Rule:** A process with  $n$  total choices, which has  $k$  extra choices that shouldn't have counted, means:

$n - k$  possible choices

$$|S| = |U| - |S|$$

$$P(n, k) = \frac{n!}{(n-k)!}$$

• # ways to select a sequence of  $k$  things from a set of size  $n$

**Combinations**

$$C(n, k) = \binom{n}{k} = \frac{n!}{(n-k)! k!}$$

• # ways to select a set of  $k$  things from a set of size  $n$

$$\binom{n}{k} = \binom{n}{n-k}$$

$$\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$$

$f_1(n) = \Theta(g_1(n))$  and  $f_2(n) = \Theta(g_2(n))$  means there exists constants  $c_1, c_2, k_1$  and  $c_3, c_4, k_2$  such that

$$c_1 g_1(n) \leq f_1(n) \leq c_2 g_1(n) \text{ for all } n \geq k_1$$

$$c_3 g_2(n) \leq f_2(n) \leq c_4 g_2(n) \text{ for all } n \geq k_2$$

Adding these inequalities gives

$$c_1 g_1(n) + c_3 g_2(n) \leq (f_1 + f_2)(n) \leq c_2 g_1(n) + c_4 g_2(n) \text{ for all } n \geq \max(k_1, k_2)$$

$$\text{Now } c_2 g_1(n) + c_4 g_2(n) \leq (c_2 + c_4) \max(g_1(n), g_2(n))$$

$$\text{and } c_1 g_1(n) + c_3 g_2(n) \geq c \max(g_1(n), g_2(n)) \text{ for } c = \min(c_1, c_2)$$

$$\text{This gives } (f_1 + f_2)(n) = \Theta(\max(g_1(n), g_2(n)))$$

$$\bullet 0 \leq p(E) \leq 1$$

$$\bullet p(E) + p(\bar{E}) = 1$$

$$\bullet p(\bar{E}) = 1 - p(E)$$

$$\bullet p(E_1 \cup E_2) = p(E_1) + p(E_2) - p(E_1 \cap E_2)$$

If  $S$  is a sample space of equally likely outcomes, the probability of an event  $E$  is

$$p(E) = \frac{|E|}{|S|}$$

Events  $E$  and  $F$  are independent if and only if any/all of the following equivalent conditions hold:

$$\Pr(E|F) = \Pr(E)$$

$$\Pr(F|E) = \Pr(F)$$

$$\Pr(E \cap F) = \Pr(E) \cdot \Pr(F)$$

one is true  $\Rightarrow$

the other two are true.

If  $S$  consists of unequally likely outcomes, then

$$p(E) = \sum_{s \in E} p(s)$$

Suppose that  $E$  and  $F$  are events from a sample space  $S$  both with non-zero probabilities. Then

$$p(F|E) = \frac{p(E \cap F) p(F)}{p(E)}$$

Alternative form of Bayes', with expanded denominator:

$$p(F|E) = \frac{p(E|F)p(F)}{p(E|F)p(F) + p(E|\bar{F})p(\bar{F})}$$

Denominator is the total probability of  $E$

The conditional probability of event  $E$  given event  $F$  is

$$p(E|F) = \frac{p(E \cap F)}{p(F)} = \frac{|E \cap F|}{|F|}$$

**Geometric Distribution:**

The probability of requiring exactly  $k$  trials to achieve the first success in a sequence of Bernoulli trials is

$$p(X = k) = (1 - p)^{k-1} p$$

And  $E(X) = 1/p$

**Binomial Distribution:**

The probability of exactly  $k$  successes in  $n$  independent (and identically distributed) Bernoulli trials is

$$p(X = k) = \binom{n}{k} p^k q^{n-k}$$

And  $E(X) = np$

• An indicator variable  $I_F$  indicates whether an event  $F$  happened or not:

$$I_F = \begin{cases} 1, & \text{if } F \text{ happened} \\ 0, & \text{if not} \end{cases}$$

• Let  $p(F) = p$ . Then  $E(I_F) = 1 \cdot p + 0 \cdot (1 - p) = p$

Two ways to find  $E(X)$ :

$$\bullet E(X) = \sum_{s \in S} p(s) \cdot X(s) \quad (\text{weighted sum over outcomes})$$

$$\bullet E(X) = \sum_{r \in \text{range}(X)} p(X = r) \cdot r \quad (\text{weighted sum over range of } X)$$

**Example:** Find the expected winnings for the dice game with the unfair die

$$E(Y) = \sum_{r \in \text{range}(Y)} p(Y = r) \cdot r$$

$$= p(Y = 5)(5) + p(Y = 10)(10) + p(Y = -3)(-3)$$

$$= \left(\frac{1}{10}\right)(5) + \left(\frac{1}{10}\right)(10) + \left(\frac{1}{10} + \frac{1}{10} + \frac{1}{10} + \frac{1}{10}\right)(-3)$$

$$= \frac{1}{2} + 1 - \frac{24}{10} = -\frac{9}{10}$$

$$E(X + Y) = E(X) + E(Y)$$

$$E(aX + b) = aE(X) + b \quad \text{for any constants } a, b$$

④ Does linearity require that  $X$  and  $Y$  be independent? NO, linearity always works

⑤ Does  $E(XY) = E(X)E(Y)$ ? Only when  $X$  and  $Y$  are independent

• Expected value of a roll of the unfair die from dice game:

$$S = \{1, 2, 3, 4, 5, 6\}$$

$$p(1) = p(2) = p(3) = p(4) = p(5) = 1/10 \text{ and } p(6) = 1/5$$

$$X(1) = 1, X(2) = 2, X(3) = 3, X(4) = 4, X(5) = 5, X(6) = 6$$

$$= p(1)X(1) + p(2)X(2) + p(3)X(3) + p(4)X(4) + p(5)X(5) + p(6)X(6)$$

$$= (1/10) \cdot 1 + (1/10) \cdot 2 + (1/10) \cdot 3 + (1/10) \cdot 4 + (1/10) \cdot 5 + (1/2) \cdot 6$$

$$= \frac{15}{10} + 3 = 4.5$$



Recall:  $a \equiv b \pmod{m}$  means  $a = b + km$  for some integer  $k$  (and assuming  $m$  is a positive integer)  
 Suppose  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ .  
**Claim:**  $a+c \equiv b+d \pmod{m}$  (Addition works!)  
**Claim:**  $a-c \equiv b-d \pmod{m}$  (Subtraction works!)  
**Claim:**  $ac \equiv bd \pmod{m}$  (Multiplication works!)  
 Some proofs. Let  $a = b + km$  and  $c = d + jm$ .  
 So  $a+c = b+km+d+jm = (b+d) + (k+j)m \equiv b+d \pmod{m}$   
 So  $ac = (b+km)(d+jm) = bd + (bj+dk+kjm)m \equiv bd \pmod{m}$

If  $p$  is prime, then for any positive int  $a < p$ , there exists a unique positive  $a^{-1} < p$  s.t.  $aa^{-1} \equiv 1 \pmod{p}$

**TABLE 7 Logical Equivalences Involving Conditional Statements.**

$p \rightarrow q \equiv \neg p \vee q$
$p \rightarrow q \equiv \neg q \rightarrow \neg p$
$p \vee q \equiv \neg p \rightarrow q$
$p \wedge q \equiv \neg(p \rightarrow \neg q)$
$\neg(p \rightarrow q) \equiv p \wedge \neg q$
$(p \rightarrow q) \wedge (p \rightarrow r) \equiv p \rightarrow (q \wedge r)$
$(p \rightarrow r) \wedge (q \rightarrow r) \equiv (p \vee q) \rightarrow r$
$(p \rightarrow q) \vee (p \rightarrow r) \equiv p \rightarrow (q \vee r)$
$(p \rightarrow r) \vee (q \rightarrow r) \equiv (p \wedge q) \rightarrow r$

One-to-one correspondence.  
 - If  $f: A \rightarrow B$  is 1-1, then  $|A| \leq |B|$ .  
 - If  $f: A \rightarrow B$  is 1-1 and onto, then  $|A| = |B|$ .

**The Schroeder-Bernstein Theorem:** If  $|A| \leq |B|$  and  $|B| \leq |A|$  then  $|A| = |B|$ .  
 (We will use this result w/o proof.)

**Definition:** A set  $S$  is **countable** iff  $|S| \leq |\mathbb{Z}^+|$  (otherwise it's uncountable)

**$f, g$  onto  $\rightarrow g \circ f$  onto**

**$g, g \circ f$  onto  $\nrightarrow f$  onto**

$K_n$ : the **complete graph** on  $n$  vertices  
 (完全图  $K_n$ )

$C_n$ : the **cycle** on  $n$  vertices  
 (圈图  $C_n$ )

$Q_n$ : "Hypercubes"  
 (立方体图  $Q_n$ )

**Handshake Theorem:** In a graph  $G = (V, E)$ ,  

$$\sum_{v \in V} \deg(v) = 2|E|$$

Draw a graph with 5 vertices whose degrees are 1,1,2,3,4.

**Trees**  
 • A **tree** is a graph that is **connected** and that does not have a **cycle subgraph**.  
 • A **tree** is a graph that has **exactly one** simple path between each pair of vertices.

**Impossible:** Sum of degrees is odd, which violates handshake theorem

The **degree** of a node  $v$  in a graph  $G = (V, E)$  is the number of **edges** that contain  $v$ .

**Invariant** = a property that is preserved under isomorphism (e.g., has a degree 1 node, or has a 5-cycle)

**Edges in a Tree**

**Theorem:** Any tree  $T$  on  $n$  nodes has exactly  **$n-1$  edges**.

**Proof:** We will use **induction** on the number of nodes.  
 Base Case:  $n=1$ . A tree with 1 node has 0 edges.

**Inductive Step (Sketch):**  
**Claim:**  $T$  should have some node  $v$  of **degree 1** (this called a "leaf")  
 Hint: If all nodes had degree  $\geq 2$ , there would be a cycle. Why?

Consider the graph  $T' = T - \{v\}$  (i.e.  $v$  and incident edge removed).  $T'$  has fewer nodes.

- 1) Is it still **connected**? Why? (recall  $v$  is a leaf)
- 2) Can it have **cycles**? Why? (deleting vertices and edges cannot create a cycle)

By inductive hypothesis,  $T'$  has  $n-2$  edges (as it has  $n-1$  nodes). So  $T$  has  $n-1$  edges.

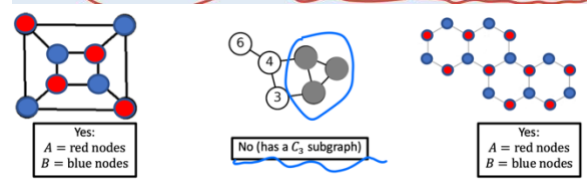
A **Hamiltonian Cycle** in a graph  $G$  is a path that contains every **vertex** exactly once, and returns where it started.

**Equivalently:** in an  $n$ -node graph, a **Hamiltonian Cycle** is a  $C_n$  subgraph

A graph  $G = (V, E)$  is **bipartite** if there is a partition  $V = A \cup B$  with  $E \subseteq A \times B$ .

**Theorem:** For any graph  $G$ , the following are equivalent:

- $G$  is **bipartite**: we can partition nodes into sets  $A, B$  so that all edges go between  $A$  and  $B$
- $G$  is **2-colorable**: we can assign colors "red" and "blue" to its vertices so that no edge has same-color endpoints
- $G$  has **no odd cycles**: for any odd integer  $k$ ,  $G$  does not have  $C_k$  as a subgraph



An **Euler Circuit** is a path in a graph that contains every **edge** exactly once, and that starts and ends at the **same node**.

**(12) Euler's Theorem**

For a connected graph  $G$ ,  $G$  has an Euler circuit if and only if all nodes in  $G$  have **even degree**.

**Euler's Theorem #2**

For a connected graph  $G$ ,  $G$  has an Euler path if and only if **at most two nodes** in  $G$  have odd degree (equivalently at least  $|V|-2$  nodes have even degree)

This node has degree 3. Each time an Euler circuit enters the node, it needs to leave it along a new edge.

If  $G$  has a node of odd degree, then it does not have an Euler circuit.

Is that the "only reason?" If  $G$  has all even-degree nodes, must it have an Euler circuit?

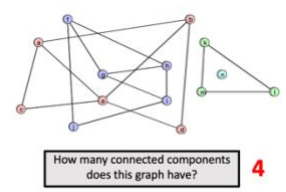
**Paths and Connectivity**

- A **path** in a graph is a **contiguous sequence** of nodes and edges.
- A path is **simple** if it does not repeat nodes.
- The **endpoints** of a path are its **first and last node** (as an ordered pair).

Two nodes  $s, t$  in a graph are **connected** if there exists a path with endpoints  $(s, t)$ .

A graph is **connected** if all **pairs of nodes** are connected.

The **connected components** of a graph  $G$  are its **maximal connected subgraphs**.



The **length** of a path  $p$  is the number of **edges** in  $p$ .

An  $(s, t)$  path  $p$  is a **shortest path** if there is no other  $(s, t)$  path of smaller length.

The **distance** between two nodes is the length of **their shortest path(s)**.

- Note: multiple shortest paths all have the same length
- If no shortest  $(s, t)$  path, then  $\text{dist}(s, t) = \infty$ .

