## Smartcab

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### 1 Implement a basic driving agent

Implement the basic driving agent, which processes the following inputs at each time step:

- Next waypoint location, relative to its current location and heading.
- Intersection state(traffic light and presence of cars) and
- Current deadline value(time steps remining)

And produces some random move/action [None,'forward','left','right']. The rule and the reward of the agent are following.

- The start and goal location changes in each episodes.
- When the car violates the traffic rule, the agent will get the negative reward(-1).
- If the car moves without violating the traffic rule but the agent doesn't follow the waypoint, the agent will lose 0.5 points.
- When the car moves without violating the traffic rule and follows the waypoint, the agent will get 2 reward points
- When the car gets to the destination within the deadline, the agent will get 10 reward points.
- When reaching goal within the deadline, the agent will always get 10 reward points, which means that reaching the destination doesn't always get the maximum reward for each episode.

### 2 Identify and update state

The agent can sense the information of inputs of traffic light, oncoming car, turning right and turning left which all of other agents have and what's more the agent has the information of next waypoint. Since the start point and destination point will differ from each episode, it's not good to keep track of their points to get high rewards. We should restore the information that keeps the same as the episode changes. Therefore, we should keep track of the traffic information which is traffic light, oncoming car, right and left.

Those are the information which can sense the other agents can sense. The agent should keep the memory of waypoint. These information is necessary not to violate the traffic rules and to get high rewards.

As explained above, the Q table for this questions will be like this.

Table 1: States for Q table

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States	Values	Dimentions
Traffic light	Red	2
	Blue	
	None	
Waypoint	Forward	4
	Left	
	Right	
	None	
Oncoming	Forward	4
	Left	
	Right	
	None	
Left	Forward	4
	Left	
	Right	
	None	
$\operatorname{Right}$	Forward	4
	Left	
	Right	

The possible action for the agent is "None", "Forward", "Right", "Left" The dimension of the q table is  $2\times4\times4\times4=512$ .

# 3 Implement Q-learning

One of the most important breakthroughs in reinforcement learning was the development of Q-learning. Its simplest form, one step Q-learning, is

defined by

$$Q(s,a) \leftarrow (1-\alpha)Q(s,a) + \alpha(r(s,a) + \gamma \max_{a'}(Q'(s',a'))) \tag{1}$$

 $\alpha$ :Learning rate  $\gamma$ :Discount rate  $\mathbf{s}$ :state,  $\mathbf{a}$ :action,  $\mathbf{s}$ ':next state,  $\mathbf{a}$ ':all actions

First, set the parameters  $(\alpha, \gamma)$  and environmental reward. Then Initialize the Q table to zero. For each episode, select a random initial state and do the following things until the agent has reached the goal.

- Select one among all possible actions for the current state.
- Using this possible action, consider going to the next state.
- Get maximum Q value for this next state based on all possible actions
- Compute Q value
- Set the next state as the current state

The gamma and alpha parameters has a range of 0 to 1. If gamma is closer to zero, the agent will tend to consider only immediate rewards. If alpha is closer to zero, the agent will tend to consider only past experience(don't learn).

Here, I introduce the code snippet of the  $\epsilon$ -greedy Q learning.

Figure 1: Python code snippet for deciding action policy

In this experiment, I use  $\epsilon$ -greedy and set  $\epsilon$  to be 0.1. The agent chooses random action with the probability of 0.1. If the maximum of q-table is 0, the agent also chooses random action. If the maximum q-table is more than 0, the agent chooses the maximum value and moves toward it.

Figure 2: Python code snippet for updating Q table

The code above is the calculation of fomula(1) and code snippet for updating Q table. I'll discuss the agent's behavior in the next section.

# 4 Enhance the driving agent

When the agent just started, it has no q-value since the q-table is set to be 0. Therefore, at first I need to set the move randomly ( $\epsilon$ -greedy). With the probability of  $\epsilon$ , the agent moves randomly. This prevents the agent from falling into the wrong choice.

(I) First, I implemented random action. The agent just moves random action ("None", "Forward", "Left", "Right") and doesn't learn anything from experience.

In the agent.py file, I set  $\epsilon$  to be 1(The agents moves randomly). I define "Success Rate" to see the agent's performance.

"Success Rate"="No.trials achieve goal before deadline"  $\div$ "No. trials"

For these trials, the number of trials are 100. In this experiment, I set the conditions as follows.

Success rate in this trial is 0.20.

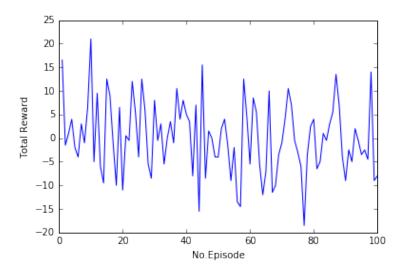


Figure 3: Random Action

As the Fig.3 shows, the total rewards with this trial contains negative values. Without learning from their experience, the agent won't get high reward.

(II) Second, the parameters of  $\alpha$  and  $\gamma$  are 0.5 and 0.9 respectively. With this condition, the success rate is 0.72 which is higher than the random action.

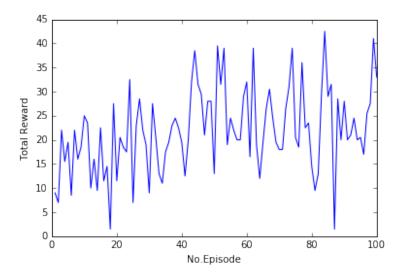


Figure 4: Constant  $\alpha$  and  $\gamma$ 

The Fig.4 shows, the total rewards for each episode are all positive and the cumulative sum of total reward of all episodes are 2195.5.

(III) Third, the parameters of  $\alpha$  and  $\gamma$  are as follows.

$$\alpha = \frac{1.0}{1.0 + time} \tag{2}$$

$$\gamma = \frac{1.0}{1.0 + deadline} \tag{3}$$

With this condition, the success rate is 0.86 which is higher than the trials before.

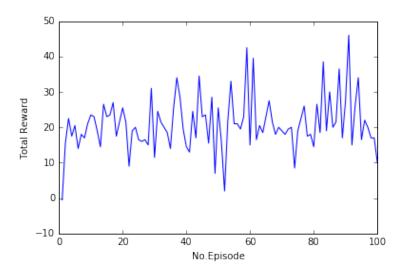


Figure 5: Time dependent model

The Fig.5 shows, the total rewards for each episode are all positive and the cumulative sum of total reward of all episodes are 2099.0

#### (IV) Final version

The condition in this implementation is as follows.  $\alpha$  is equal to the fomula (2) and  $\gamma$  sets to be 0.9.

With this condition, the success rate is 0.86 which is the same value as the trial 3.

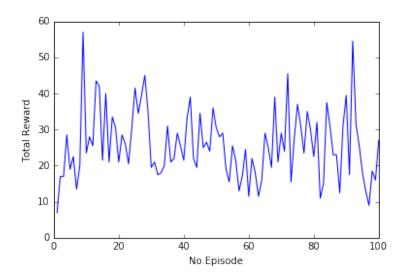


Figure 6: Constant  $\gamma$  and time dependent  $\alpha$ 

The Fig.6 shows, the total rewards for each episode are all positive and the cumulative sum of total reward of all episodes are 2580.5. The cumulative sum of total reward of all episodes are conspicuously higher than the other trials.

## 5 Discussion

In the previous section, I introduced 4 models. The random walk model(I) is the case which doesn't implement the q-learning. Second, I made the constant  $\alpha$  and  $\gamma$  value models. This model improves the success rate compared to the first model. Third model which changes  $\alpha$  and  $\gamma$  depending on time. As time goes by, those values are decreasing. In this model, the success rate has improved compared to the second model. However, the cumulative sum of the total reward has decreased. This means that the second model doesn't reach the destination with minimum movement but the agent continues to get the positive reward. The final model has constant  $\gamma$  value and time-dependent  $\alpha$  value. This experiment shows the high success rate and also shows high cumulative sum of total reward.

From these experiment, the  $\gamma$  value should set to high value to get better success rate and cumulative sum of total reward.