117TH CONGRESS 1ST SESSION

H. R. 2988

To amend title 5, United States Code, to modify and enhance protections for Federal Government whistleblowers, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

May 4, 2021

Mrs. Carolyn B. Maloney of New York (for herself, Mr. Connolly, Ms. Speier, Ms. Mace, Ms. Johnson of Texas, and Miss Rice of New York) introduced the following bill; which was referred to the Committee on Oversight and Reform

A BILL

To amend title 5, United States Code, to modify and enhance protections for Federal Government whistleblowers, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Whistleblower Protec-
- 5 tion Improvement Act of 2021".
- 6 SEC. 2. ADDITIONAL WHISTLEBLOWER PROTECTIONS.
- 7 (a) Investigations as Personnel Actions.—
- 8 (1) In General.—Section 2302(a)(2)(A) of
- 9 title 5, United States Code, is amended—

| 1 | (A) in clause (xi), by striking "and" at the |
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| 2 | end; |
| 3 | (B) by redesignating clause (xii) as clause |
| 4 | (xiii); and |
| 5 | (C) by adding after the clause (xi) the fol- |
| 6 | lowing: |
| 7 | "(xii) the opening of any investigation |
| 8 | as a result of a disclosure protected by |
| 9 | subsection (b)(8), but not including— |
| 10 | "(I) any investigation that is |
| 11 | ministerial or nondiscretionary; or |
| 12 | "(II) any investigation that is |
| 13 | conducted by an Inspector General of |
| 14 | an entity of the Federal Government |
| 15 | of an employee not employed by the |
| 16 | office of that Inspector General; and". |
| 17 | (2) Application.—The amendment made by |
| 18 | paragraph (1) shall apply to any investigation |
| 19 | opened (as described under section |
| 20 | 2302(a)(2)(A)(xii) of title 5, United States Code, as |
| 21 | added by such paragraph) on or after the date of en- |
| 22 | actment of this Act. |
| 23 | (b) Right to Petition Congress.— |
| 24 | (1) In General.—Section 2302(b)(9) of title |
| 25 | 5, United States Code, is amended— |

| 1 | (A) in subparagraph (C), by striking "or" |
|----|--|
| 2 | at the end; |
| 3 | (B) in subparagraph (D), by adding "or" |
| 4 | at the end after the semicolon; and |
| 5 | (C) by adding at the end the following: |
| 6 | "(E) the exercise of any right protected |
| 7 | under section 7211;". |
| 8 | (2) APPLICATION.—The amendment made by |
| 9 | paragraph (1) shall apply to the exercise of any |
| 10 | right described in section 2302(b)(9)(E) of title 5, |
| 11 | United States Code, as added by paragraph (1), oc- |
| 12 | curring on or after the date of enactment of this |
| 13 | Act. |
| 14 | (c) Prohibition on Disclosure of Whistle- |
| 15 | BLOWER IDENTITY.— |
| 16 | (1) In General.—Section 2302 of title 5, |
| 17 | United States Code, is amended by adding at the |
| 18 | end the following: |
| 19 | ``(g)(1) No employee of an agency may willfully com- |
| 20 | municate or transmit to any individual who is not an offi- |
| 21 | cer or employee of the Government the identity of, or per- |
| 22 | sonally identifiable information about, any other employee |
| 23 | who has made, or is suspected to have made, a disclosure |
| 24 | protected by subsection (b)(8), unless— |

| 1 | "(A) the other employee provides express |
|----|--|
| 2 | written consent prior to the communication or |
| 3 | transmission; |
| 4 | "(B) the communication or transmission is |
| 5 | made in accordance with the provisions of sec- |
| 6 | tion 552a; |
| 7 | "(C) the communication or transmission is |
| 8 | made to a lawyer for the sole purpose of pro- |
| 9 | viding legal advice to an employee accused of |
| 10 | whistleblower retaliation; or |
| 11 | "(D) the communication or transmission is |
| 12 | required or permitted by any other provision of |
| 13 | law. |
| 14 | "(2) In this subsection, the term 'officer or employee |
| 15 | of the Government' means— |
| 16 | "(A) the President; |
| 17 | "(B) a Member of Congress; |
| 18 | "(C) a member of the uniformed services; |
| 19 | "(D) an employee as that term is defined in |
| 20 | section 2105, including an employee of the United |
| 21 | States Postal Service, the Postal Regulatory Com- |
| 22 | mission, or the Department of Veterans Affairs (in- |
| 23 | cluding any employee appointed pursuant to chapter |
| 24 | 73 or 74 of title 38); and |

| 1 | "(E) any other officer or employee in any |
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| 2 | branch of the Government of the United States.". |
| 3 | (2) APPLICATION.—The amendment made by |
| 4 | paragraph (1) shall apply to any transmission or |
| 5 | communication described in subsection (g) of section |
| 6 | 2302 of title 5, United States Code, as added by |
| 7 | paragraph (1), made on or after the date of enact- |
| 8 | ment of this Act. |
| 9 | (d) Right to Petition Congress.— |
| 10 | (1) In General.—Section 7211 of title 5, |
| 11 | United States Code, is amended to read as follows: |
| 12 | "§ 7211. Employees' right to petition or furnish infor- |
| | |
| 13 | mation or respond to Congress |
| 13 14 | mation or respond to Congress "(a) In General.—Each officer or employee of the |
| | "(a) In General.—Each officer or employee of the |
| 14 15 | "(a) In General.—Each officer or employee of the |
| 14 15 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a |
| 141516 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a right to— |
| 14 15 16 17 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a right to— "(1) petition Congress or a Member of Con- |
| 14 15 16 17 18 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a right to— "(1) petition Congress or a Member of Congress; |
| 14 15 16 17 18 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a right to— "(1) petition Congress or a Member of Congress; "(2) furnish information, documents, or testi- |
| 14 15 16 17 18 19 20 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a right to— "(1) petition Congress or a Member of Congress; "(2) furnish information, documents, or testimony to either House of Congress, any Member of |
| 14 15 16 17 18 19 20 21 | "(a) In General.—Each officer or employee of the Federal Government, individually or collectively, has a right to— "(1) petition Congress or a Member of Congress; "(2) furnish information, documents, or testimony to either House of Congress, any Member of Congress, or any committee or subcommittee of the |

gress or any Committee or subcommittee of Con-1 2 gress. 3 "(b) Prohibited Actions.—No officer or employee of the Federal Government may interfere with or deny the 5 right set forth in subsection (a), including by— 6 "(1) prohibiting or preventing, or attempting or 7 threatening to prohibit or prevent, any other officer 8 or employee of the Federal Government from engag-9 ing in activity protected in subsection (a); or "(2) removing, suspending from duty without 10 11 pay, demoting, reducing in rank, seniority, status, 12 pay, or performance or efficiency rating, denying 13 promotion to, relocating, reassigning, transferring, 14 disciplining, or discriminating in regard to any em-15 ployment right, entitlement, or benefit, or any term 16 or condition of employment of, any other officer or 17 employee of the Federal Government or attempting 18 or threatening to commit any of the foregoing ac-19 tions protected in subsection (a). 20 "(c) APPLICATION.—This section shall not be con-21 strued to authorize disclosure of any information that is— 22 "(1) specifically prohibited from disclosure by 23 any other provision of Federal law; or 24 "(2) specifically required by Executive order to

be kept secret in the interest of national defense or

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- 1 the conduct of foreign affairs, unless disclosure is 2 otherwise authorized by law. 3 "(d) Definition of Officer or Employee of THE FEDERAL GOVERNMENT.—For purposes of this sec-5 tion, the term 'officer or employee of the Federal Govern-6 ment' includes— 7 "(1) the President: 8 "(2) a Member of Congress; 9 "(3) a member of the uniformed services; 10 "(4) an employee (as that term is defined in 11 section 2105); 12 "(5) an employee of the United States Postal 13 Service or the Postal Regulatory Commission; and 14 "(6) an employee appointed under chapter 73 15 or 74 of title 38.". 16 (2) CLERICAL AMENDMENT.—The table of sec-17 tions for subchapter II of chapter 72 of title 5, 18 United States Code, is amended by striking the item 19 related to section 7211 and inserting the following: "7211. Employees' right to petition or furnish information or respond to Congress.". 20 SEC. 3. ENHANCEMENT OF WHISTLEBLOWER PROTEC-
- 21 TIONS.
- 22 (a) Disclosures Relating to Officers or Em-
- PLOYEES OF AN OFFICE OF INSPECTOR GENERAL.—Sec-

- 1 tion 1213(c) of title 5, United States Code, is amended
- 2 by adding at the end the following:
- 3 "(3) If the information transmitted under this
- 4 subsection disclosed a violation of law, rule, or regu-
- 5 lation, or gross waste, gross mismanagement, abuse
- of authority, or a substantial and specific danger to
- 7 public health or safety, by any officer or employee
- 8 of an Office of Inspector General, the Special Coun-
- 9 sel may refer the matter to the Committee of Inspec-
- tors General for Integrity and Efficiency, which shall
- 11 comply with the standards and procedures applicable
- to investigations and reports under subsection (c).".
 - (b) Ensuring Timely Relief.—
- 14 (1) Individual right of action.—Section
- 15 1221 of title 5, United States Code, is amended by
- striking "section 2302(b)(8) or section
- 17 2302(b)(9)(A)(i), (B), (C), or (D)," in each instance
- and inserting "section 2302(b)(8), section
- 19 2302(b)(9)(A)(i), (B), (C), (D), or (E), section
- 20 2302(b)(13), or section 2302(g),".
- 21 (2) STAYS.—Section 1221(c)(2) of title 5,
- United States Code, is amended to read as follows:
- "(2) Any stay requested under paragraph (1)
- shall be granted within 10 calendar days (excluding

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| 1 | Saturdays, Sundays, and legal holidays) after the |
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| 2 | date the request is made, if the Board determines— |
| 3 | "(A) that there is a substantial likelihood |
| 4 | that protected activity was a contributing factor |
| 5 | to the personnel action involved; or |
| 6 | "(B) the Board otherwise determines that |
| 7 | such a stay would be appropriate.". |
| 8 | (3) Appeal of Stay.—Section 1221(c) of title |
| 9 | 5, United States Code, is amended by adding at the |
| 10 | end the following: |
| 11 | "(4) If any stay requested under paragraph (1) |
| 12 | is denied, the employee, former employee, or appli- |
| 13 | cant may, within 7 days after receiving notice of the |
| 14 | denial, file an appeal for expedited review by the |
| 15 | Board. The agency shall have 7 days thereafter to |
| 16 | respond. The Board shall provide a decision not |
| 17 | later than 21 days after receiving the appeal. During |
| 18 | the period of appeal, both parties may supplement |
| 19 | the record with information unavailable to them at |
| 20 | the time the stay was first requested.". |
| 21 | (4) Access to district court; jury |
| 22 | TRIALS.—Section 1221(i) of title 5, United States |
| 23 | Code, is amended— |
| 24 | (A) by striking "(i) Subsections" and in- |
| 25 | serting "(i)(1) Subsections"; and |

1 (B) by adding at the end the following: 2 "(2)(A) If, in the case of an employee, former em-3 ployee, or applicant for employment who seeks corrective

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- action from the Merit Systems Protection Board based on 5 an alleged prohibited personnel practice described in sec-
- tion 2302(b)(8), section 2302(b)(9)(A)(i), (B), (C), (D), 6
- or (E), section 2302(b)(13), or section 2302(g), no final
- 8 order or decision is issued by the Board within 180 days
- after the date on which a request for such corrective action
- 10 has been duly submitted to the Board, such employee,
- former employee, or applicant may, after providing written
- 12 notice to the Special Counsel and the Board and only with-
- in 20 days after providing such notice, bring an action
- for review de novo before the appropriate United States 14
- 15 district court, and such action shall, at the request of ei-
- ther party to such action, be tried before a jury. Upon 16
- filing of an action with the appropriate United States dis-
- 18 trict court, any proceedings before the Board shall cease
- 19 and the employee, former employee, or applicant for em-
- ployment waives any right to refile with the Board. 20
- 21 "(B) If the Board certifies (in writing) to the parties
- 22 of a case that the complexity of such case requires a longer
- 23 period of review, subparagraph (A) shall be applied by
- substituting '240 days' for '180 days'.

- 1 "(C) In any such action brought before a United
- 2 States district court under subparagraph (A), the court—
- 3 "(i) shall apply the standards set forth in sub-
- 4 section (e); and
- 5 "(ii) may award any relief which the court con-
- 6 siders appropriate, including any relief described in
- 7 subsection (g).".
- 8 (c) Recipients of Whistleblower Disclo-
- 9 SURES.—Section 2302(b)(8)(B) of title 5, United States
- 10 Code, is amended by striking "or to the Inspector General
- 11 of an agency or another employee designated by the head
- 12 of the agency to receive such disclosures" and inserting
- 13 "the Inspector General of an agency, a supervisor in the
- 14 employee's direct chain of command up to and including
- 15 the head of the employing agency, or to an employee des-
- 16 ignated by any of the aforementioned individuals for the
- 17 purpose of receiving such disclosures".
- 18 (d) Attorney Fees.—Section 7703(a) of title 5,
- 19 United States Code, is amended by adding at the end the
- 20 following:
- 21 "(3) If an employee, former employee, or appli-
- cant for employment is the prevailing party under
- an appeal under this section, the employee, former
- employee, or applicant for employment shall be enti-
- 25 tled to attorney fees for all representation carried

| 1 | out pursuant to this section. In such an action for |
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| 2 | attorney fees, the agency responsible for taking the |
| 3 | personnel action shall be the respondent and shall be |
| 4 | responsible for paying the fees.". |
| 5 | (e) Extending Whistleblower Protection Act |
| 6 | TO CERTAIN EMPLOYEES.— |
| 7 | (1) In general.—Section 2302(a)(2)(A) of |
| 8 | title 5, United States Code, is amended in the mat- |
| 9 | ter following clause (xiii)— |
| 10 | (A) by inserting "subsection (b)(9)(A)(i), |
| 11 | (B), (C), (D), or (E), subsection (b)(13), or |
| 12 | subsection (g)," after "subsection (b)(8),"; and |
| 13 | (B) by inserting after "title 31" the fol- |
| 14 | lowing: ", a commissioned officer or applicant |
| 15 | for employment in the Public Health Service, |
| 16 | an officer or applicant for employment in the |
| 17 | commissioned officer corps of the National Oce- |
| 18 | anic and Atmospheric Administration, and a |
| 19 | noncareer appointee in the Senior Executive |
| 20 | Service". |
| 21 | (2) Conforming amendments.—Section 261 |
| 22 | of the National Oceanic and Atmospheric Adminis- |
| 23 | tration Commissioned Officer Corps Act of 2002 (33 |
| 24 | U.S.C. 3071) is amended— |
| 25 | (A) in subsection (a)— |

| 1 | (i) by striking paragraph (8); and |
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| 2 | (ii) by redesignating paragraphs (9) |
| 3 | through (25) as paragraphs (8) through |
| 4 | (24), respectively; and |
| 5 | (B) in subsection (b), by striking the sec- |
| 6 | ond sentence. |
| 7 | (3) Application.— |
| 8 | (A) IN GENERAL.—With respect to an offi- |
| 9 | cer or applicant for employment in the commis- |
| 10 | sioned officer corps of the National Oceanic and |
| 11 | Atmospheric Administration, the amendments |
| 12 | made by paragraphs (1) and (2) shall apply to |
| 13 | any personnel action taken against such officer |
| 14 | or applicant on or after the date of enactment |
| 15 | of the National Oceanic and Atmospheric Ad- |
| 16 | ministration Commissioned Officer Corps |
| 17 | Amendments Act of 2020 (Public Law 116– |
| 18 | 259) for making any disclosure protected under |
| 19 | section 2302(8) of title 5, United States Code. |
| 20 | (B) Exception.—Subparagraph (A) shall |
| 21 | not apply to any personnel action with respect |
| 22 | to which a complaint has been filed pursuant to |
| 23 | section 1034 of title 10, United States Code, |
| 24 | and a final decision has been rendered regard- |
| 25 | ing such complaint. |

- 1 (f) Relief.—Section 7701(b)(2)(A) of title 5,
- 2 United States Code, is amended by striking "upon the
- 3 making of the decision" and inserting "upon making of
- 4 the decision, necessary to make the employee whole as if
- 5 there had been no prohibited personnel practice, including
- 6 training, seniority and promotions consistent with the em-
- 7 ployee's prior record,".
- 8 SEC. 4. CLASSIFYING CERTAIN FURLOUGHS AS ADVERSE
- 9 PERSONNEL ACTIONS.
- 10 (a) IN GENERAL.—Section 7512 of title 5, United
- 11 States Code, is amended—
- (1) in paragraph (4), by striking "and" at the
- end; and
- 14 (2) by striking paragraph (5) and inserting the
- 15 following:
- 16 "(5) a furlough of more than 14 days but less
- than 30 days; and
- 18 "(6) a furlough of 13 days or less that is not
- due to a lapse in appropriations;".
- 20 (b) APPLICATION.—The amendment made by sub-
- 21 section (a) shall apply to any furlough covered by such
- 22 section 7512(5) or (6) (as amended by such subsection)
- 23 occurring on or after the date of enactment of this Act.

| 1 | SEC. 5. CODIFICATION OF PROTECTIONS FOR DISCLO- |
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| 2 | SURES OF CENSORSHIP RELATED TO RE- |
| 3 | SEARCH, ANALYSIS, OR TECHNICAL INFOR- |
| 4 | MATION. |
| 5 | (a) In General.—Section 2302 of title 5, United |
| 6 | States Code, as amended by section 2(e)(1), is further |
| 7 | amended by adding at the end the following: |
| 8 | "(h)(1) In this subsection— |
| 9 | "(A) the term 'applicant' means an applicant |
| 10 | for a covered position; |
| 11 | "(B) the term 'censorship related to research, |
| 12 | analysis, or technical information' means any effort |
| 13 | to distort, misrepresent, or suppress research, anal- |
| 14 | ysis, or technical information; and |
| 15 | "(C) the term 'employee' means an employee in |
| 16 | a covered position in an agency. |
| 17 | "(2)(A) Any disclosure of information by an employee |
| 18 | or applicant for employment that the employee or appli- |
| 19 | cant reasonably believes is evidence of censorship related |
| 20 | to research, analysis, or technical information— |
| 21 | "(i) shall come within the protections of sub- |
| 22 | section (b)(8)(A) if— |
| 23 | "(I) the employee or applicant reasonably |
| 24 | believes that the censorship related to research, |
| 25 | analysis, or technical information is or will |
| 26 | cause— |

| 1 | "(aa) any violation of law, rule, or |
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| 2 | regulation; or |
| 3 | "(bb) gross mismanagement, a gross |
| 4 | waste of funds, an abuse of authority, or |
| 5 | a substantial and specific danger to public |
| 6 | health or safety; and |
| 7 | "(II) such disclosure is not specifically pro- |
| 8 | hibited by law or such information is not spe- |
| 9 | cifically required by Executive order to be kept |
| 10 | classified in the interest of national defense or |
| 11 | the conduct of foreign affairs; and |
| 12 | "(ii) shall come within the protections of sub- |
| 13 | section (b)(8)(B) if— |
| 14 | "(I) the employee or applicant reasonably |
| 15 | believes that the censorship related to research, |
| 16 | analysis, or technical information is or will |
| 17 | cause— |
| 18 | "(aa) any violation of law, rule, or |
| 19 | regulation; or |
| 20 | "(bb) gross mismanagement, a gross |
| 21 | waste of funds, an abuse of authority, or |
| 22 | a substantial and specific danger to public |
| 23 | health or safety; and |
| 24 | "(II) the disclosure is made to the Special |
| 25 | Counsel, or to the Inspector General of an |

- 1 agency or another person designated by the
- 2 head of the agency to receive such disclosures,
- 3 consistent with the protection of sources and
- 4 methods.
- 5 "(3) A disclosure shall not be excluded from para-
- 6 graph (2) for any reason described under subsection (f)(1)
- 7 or (2).
- 8 "(4) Nothing in this subsection shall be construed to
- 9 imply any limitation on the protections of employees and
- 10 applicants afforded by any other provision of law, includ-
- 11 ing protections with respect to any disclosure of informa-
- 12 tion believed to be evidence of censorship related to re-
- 13 search, analysis, or technical information.".
- 14 (b) Repeal.—
- 15 (1) IN GENERAL.—Section 110 of the Whistle-
- blower Protection Enhancement Act of 2012 (Public
- 17 Law 112–199) is hereby repealed.
- 18 (2) Rule of Construction.—Nothing in this
- section shall be construed to limit or otherwise affect
- any action under such section 110 commenced be-
- fore the date of enactment of this Act or any protec-
- 22 tions afforded by such section with respect to such
- action.

1 SEC. 6. TITLE 5 TECHNICAL AND CONFORMING AMEND-2 MENTS. 3 Title 5, United States Code, is amended— 4 (1) in section 1212(h), by striking "or (9)" in each instance and inserting ", (b)(9), (b)(13), or 5 6 (g)";7 (2) in section 1214(a)— 8 (A) by striking "section 2302(b)(8) or sec-9 tion 2302(b)(9)(A)(i), (B), (C), or (D)" in each 10 instance and inserting "section 2302(b)(8), sec-11 tion 2302(b)(9)(A)(i), (B), (C), (D), or (E), 12 section 2302(b)(13), or section 2302(g)"; and 13 (B) in subsection (i), by striking "section 14 2302(b)(8) or subparagraph (A)(i), (B), (C), or 15 (D) of section 2302(b)(9)" and inserting "sec-16 tion 2302(b)(8), subparagraph (A)(i), (B), (C), 17 (D), or (E) of section 2302(b)(9), section 18 2302(b)(13), or section 2302(g)"; 19 (3) in section 1215, by striking "section 20 2302(b)(8), or 2302(b)(9)(A)(i), (B), (C), or (D)" in 21 each instance and inserting "section 2302(b)(8), sec-22 tion 2302(b)(9)(A)(i), (B), (C), (D), or (E), section 23 2302(b)(13), or section 2302(g)"; 24 (4) in section 2302— 25 (A) in subsection (a)—

| 1 | (i) in paragraph (1), by inserting "or |
|----|--|
| 2 | (g)" after "subsection (b)"; and |
| 3 | (ii) in paragraph (2)(C)(i), by striking |
| 4 | "subsection $(b)(8)$ or section |
| 5 | 2302(b)(9)(A)(i), (B), (C), or (D)" and in- |
| 6 | serting "section 2302(b)(8), section |
| 7 | 2302(b)(9)(A)(i), (B), (C), (D), or (E), |
| 8 | section 2302(b)(13), or section 2302(g)"; |
| 9 | and |
| 10 | (B) in subsection $(c)(1)(B)$, by striking |
| 11 | "paragraph (8) or subparagraph (A)(i), (B), |
| 12 | (C), or (D) of paragraph (9) of subsection (b)" |
| 13 | and inserting "paragraph (8), subparagraph |
| 14 | (A)(i), (B), (C), or (D) of paragraph (9), or |
| 15 | paragraph (13) of subsection (b) or subsection |
| 16 | (g)"; |
| 17 | (5) in section 7515(a)(2), by striking "para- |
| 18 | graph (8), (9), or (14) of section 2302(b)" and in- |
| 19 | serting "paragraph (8), (9), (13), or (14) of section |
| 20 | 2302(b) or section 2302(g)"; |
| 21 | (6) in section $7701(c)(2)(B)$, by inserting "or |
| 22 | section 2302(g)" after "section 2302(b)"; and |
| 23 | (7) in section 7703(b)(1)(B), by striking "sec- |
| 24 | tion $2302(b)(8)$, or $2302(b)(9)(A)(i)$, (B), (C), or |
| 25 | (D)" in each instance and inserting "section |

- 1 2302(b)(8), section 2302(b)(9)(A)(i), (B), (C), (D),
- 2 or (E), section 2302(b)(13), or section 2302(g)".

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