

## Lecture 14

\* PSet 5 will be uploaded after the problem session.

Recall:-

Theorem:- Let  $h: S^1 \rightarrow X$  be a continuous map. Then

TFAE :-

1)  $h$  is nullhomotopic.

2)  $h$  extends to a continuous map  $k: B^2 \rightarrow X$ .

3)  $h_*$  is the trivial hom. of fundamental groups.

i.e.,  $h_*([f]) = [e]$  in  $\pi_1(X, x_0)$ .

1)  $\Rightarrow$  2) and 2)  $\Rightarrow$  3) done.

(3)  $\Rightarrow$  (1) Let  $\tilde{p}: \mathbb{R} \rightarrow S^1$  be the usual covering map

and let  $p_0: I \rightarrow S^1$  be  $\tilde{p}|_I$ .

Then as we discussed,  $[\tilde{p}_0]$  generates the cyclic group

$\pi_1(S^1, b_0)$  as  $\tilde{p}_0$  starts at 0 and ends at 1.

Let  $x_0 = h(b_0)$ .

$\therefore h_*: \pi_1(S^1, b_0) \rightarrow \pi_1(X, x_0)$  is trivial

$\Rightarrow$  the loop  $[f] = [h \circ p_0]$  is the identity element of  $\pi_1(X, x_0)$ .

$\therefore \exists$  a path hom.  $\alpha: X \rightarrow X$  b/w  $f$  and  $e_{x_0}$ .

We note that  $f_0 \times id: I \times I \rightarrow S^1 \times I$  is a quotient map which is injective apart from

$$\begin{cases} 0 \times t \\ 1 \times t \end{cases} \rightarrow b_0 \times t \quad \text{if } t \in I.$$

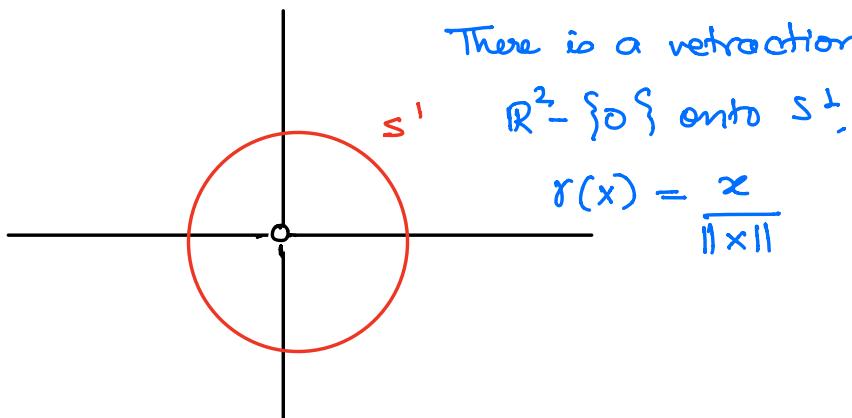
moreover  $F(0 \times I) = F(1 \times I) = F(I \times I) = x_0 \times I$

$\therefore$  from the theorem on continuous maps of quotient spaces  $\exists$  a continuous map  $H: S^1 \times I \rightarrow X$  which is a homotopy  $\forall w$   $h$  and a constant map  $\Rightarrow h$  is nullhomotopic. □

$\therefore$

Corr.:- The inclusion map  $j: S^1 \rightarrow \mathbb{R}^2 - \{0\}$  is not nullhomotopic. The  $id: S^1 \rightarrow S^1$  is not nullhomotopic.

Proof:-



$\Rightarrow j_*$  is injective  $\Rightarrow j_*$  cannot be trivial

$\therefore$  by the previous thm,  $j$  is not nullhomotopic.

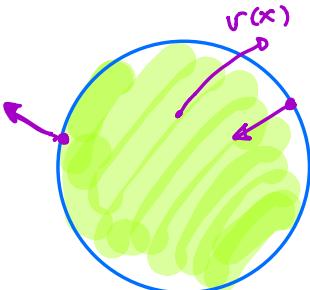
$\text{id}: S^1 \rightarrow S^1 \Rightarrow \text{id}_*: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, o)$   
 $\neq$  trivial hom.  $\Rightarrow \text{id}_*$  is also not nullhomotopic.

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If  $B^2 \subseteq \mathbb{R}^2$ , then a vector field on  $B^2$  is an ordered pair  $(x, v(x))$ ,  $x \in B^2$  and  $v$  is a continuous map from  $B^2 \rightarrow \mathbb{R}^2$ .

Theorem :- Given a nonvanishing vector field on  $B^2$ ,  $\exists$  a point of  $S^1$  where the vector field points directly inward and a point of  $S^1$  where the v.f. points directly outwards.

Proof :- Let  $v(x)$  be the v.f. on  $B^2$ . nonvanishing means  $v(x) \neq 0 \in \mathbb{R}^2$  if  $x \in B^2$ .



$$v: B^2 \rightarrow \mathbb{R}^2 - \{0\}.$$

Suppose  $v(x)$  doesn't point inward at any point  $x \in S^1$

$$v: B^2 \rightarrow \mathbb{R}^2 - \{0\}$$

$w = v|_{S^1}: S^1 \rightarrow \mathbb{R}^2 - \{0\} \Rightarrow$  from the equivalence of 1) and 2) in the previous thm,  $w: S^1 \rightarrow \mathbb{R}^2 - \{0\}$

$\omega$  nullhomotopic.

If we can produce a homotopy b/w  $\omega$  and the inclusion map  $j: S^1 \rightarrow \mathbb{R}^2 - \{0\}$  then we'll have a contradiction.

Consider  $F(x, t) = tx + (1-t)w(x)$

$x \in S^1$ .  $F(x, 0) = w(x)$  and  $F(x, 1) = x = j(x)$

$\Rightarrow$  if  $F \neq 0$  then  $F$  is the required homotopy.

$F(x, t) \neq 0$  for  $t=0$  and  $t=1$ .

If  $F(x, t) = 0$  for some  $t$  w/  $0 < t < 1$

$$\Rightarrow tx + (1-t)w(x) = 0 \Rightarrow w(x) = \underbrace{\left(\frac{t}{t-1}\right)}_{< 0} x$$

$\Rightarrow w(x)$  points directly inward at  $x$ .

$\Rightarrow F(x, t) \neq 0 \Rightarrow$  we have a contradiction.

$\therefore \exists$  a point  $x \in S^1$  where  $w(x)$  point directly inward.  $\blacksquare$

Thm (Brouwer fixed point theorem for  $n=2$ /for the disc).

If  $f: B^2 \rightarrow B^2$  is continuous, then  $\exists$  a fixed point of  $f$  i.e.,  $\exists x \in B^2$  s.t.  $f(x) = x$ .

Proof:- We prove by contradiction, i.e, suppose  $\nexists$  any  $x \in B^2$  s.t.  $f(x) = x$ .

define  $v(x) = f(x) - x$  is a nonvanishing v.f.  
 $(x, v(x))$  on  $B^2$ . From the previous thm  $\exists x \in S^1$

s.t.  $f(x) - x = ax$  for some  $a > 0$ .

$$\Rightarrow f(x) = ax + x = (1+a)x$$

is a contradiction b/c  $f(x) = (1+a)x$  would lie outside the unit ball.

$$\Rightarrow v(x) = 0 \text{ for some } x \in B^2$$

$$\Rightarrow f(x) = x.$$

□

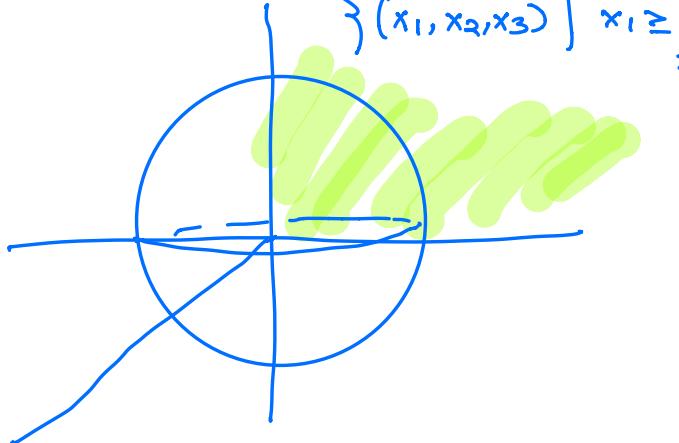
Corr: let  $A$  be a  $3 \times 3$  matrix of positive real numbers.

Then  $A$  has a positive real eigenvalue.

Proof: let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be the linear map whose matrix is  $A$ .

$B = \text{intersection of } S^2 \text{ w/ the 1st octant of } \mathbb{R}^3$

$$\{(x_1, x_2, x_3) \mid x_1 \geq 0, x_2 \geq 0, x_3 \geq 0\}$$



$B$  is homeomorphic to  $B^2$ .  $\Rightarrow$  the fixed point thm

holds for cont. maps of  $B \rightarrow B$ .

$$(x_1, x_2, x_3)$$

If  $x \in B$  then all components are non-negative and at least one is positive.

$\Rightarrow T(x) \in \mathbb{R}^3$  all of whose components are positive

$$\Rightarrow x \mapsto \frac{T(x)}{\|T(x)\|} : B \longrightarrow B$$

is a cont. map  $\Rightarrow \exists x_0$  s.t.  $\frac{T(x_0)}{\|T(x_0)\|} = x_0$

$$\Rightarrow T(x_0) = \underbrace{\|T(x_0)\|}_{\text{real positive eigenvalue}} x_0 \quad \left( \begin{array}{l} Tx = \lambda x, x \text{ eigenvector} \\ \lambda \text{ eigenvalue} \end{array} \right)$$

real positive eigenvalue of  $T$  or  $A$ .

□

Borsuk-Ulam Theorem (problem set 5)

Given a continuous map  $f: S^2 \rightarrow \mathbb{R}^2$   $\exists x \in S^2$  s.t.

$$f(x) = f(-x).$$

The fundamental theorem of Algebra

A polynomial

$$x^n + a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + \dots + a_1x + a_0$$

of degree  $n$  w/ real or complex coefficients has at least one root.

Proof:  $f: S^1 \rightarrow S^1$ ,  $f(z) = z^n$  -  $n \in \mathbb{Z}_+$

$f_*: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, b_0)$  of fundamental groups is injective.

Let  $\phi_0: I \rightarrow S^1$  standard loop of  $S^1$

$$\phi_0(s) = e^{2\pi i s} = (\cos 2\pi s, \sin 2\pi s)$$

image of  $\phi_0(s)$  under  $f_*$

$$f(\phi_0(s)) = (e^{2\pi i s})^n = (\cos 2\pi ns, \sin 2\pi ns)$$

This loop lifts to the path  $s \mapsto ns$  in  $\mathbb{R}$ .

$\Rightarrow$  viewing this map in  $\mathbb{R}$ ,

$f_*$  is just multiplication by  $n$

$\Rightarrow f_*$  is injective.

If  $g: S^1 \rightarrow \mathbb{R}^2 - \{0\}$

$g(z) = z^n$  then  $g$  is not nullhomotopic.

$$g = j \circ f$$

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$$j: S^1 \rightarrow \mathbb{R}^2 - \{0\}.$$

$\therefore f_*$  is inj. and  $j_*$  is injective.  $\Rightarrow g_* = j_* \circ f_*$  is

injective  $\Rightarrow g$  is not nullhomotopic.

Given  $x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$

assume  $|a_{n-1}| + \dots + |a_1| + |a_0| < 1$ .

and show that the eqn has a root lying in  $B^2$ .

Suppose not.

$$R: B^2 \longrightarrow \mathbb{R}^2 - \{0\} \text{ by}$$

$$R(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0.$$

$$\text{let } h = R|_{S^1}: S^1 \rightarrow \mathbb{R}^2 - \{0\}$$

$\Rightarrow h$  is nullhomotopic (from the previous thm.)

We'll get a contradiction by producing a homotopy b/w  $h$  and  $g$ .

$$\text{define } F: S^1 \times I \rightarrow \mathbb{R}^2 - \{0\}$$

$$F(z, t) = z^n + t(a_{n-1}z^{n-1} + \dots + a_1z + a_0)$$

$$F(z, 0) = z^n = g$$

$$F(z, 1) = h$$

Claim :-  $F(z, t) \neq 0$ .

$$|F(z, t)| \geq |z^n| - |t(a_{n-1}z^{n-1} + \dots + a_1z + a_0)|$$

$$\begin{aligned}
 &\geq 1 - t(|Q_{n-1}| + |Q_{n-2}| + \dots + |Q_0|) \\
 &\geq 1 - t(|Q_{n-1}| + |Q_{n-2}| + \dots + |Q_0|) \\
 &> 0 \\
 \therefore F &\neq 0. \Rightarrow F \text{ is the required homotopy} \\
 \Rightarrow &\text{ we get a contradiction.} \\
 \therefore &\exists \text{ a root to } z^n + Q_{n-1}z^{n-1} + \dots + Q_1z + Q_0.
 \end{aligned}$$

for the general case,

choose  $c \in \mathbb{R}$ ,  $c > 0$  large enough w/  $cy = cy$

$$(cy)^n + Q_{n-1}(cy)^{n-1} + \dots + Q_1(cy) + Q_0 = 0$$

$$\downarrow \quad cy^n + \frac{Q_{n-1}}{c} y^{n-1} + \dots + \frac{Q_1}{c^{n-1}} y + \frac{Q_0}{c^n} = 0$$

□

