

We start our discussion w/ the maximum principle for symmetric 2-tensors.

Thm: Let  $g(t)$  be a family of Riem. metrics on closed  $M^n$ . Let  $\alpha(t)$  be a family of symmetric 2-tensors satisfying

$$\frac{\partial}{\partial t} \alpha(t) \geq \Delta_{g(t)} \alpha(t) + \langle X(t), \nabla \alpha \rangle + \beta$$

where  $\beta(\alpha, g, t)$  is a symmetric 2-tensor which is locally Lipschitz in all of its arguments and satisfies the null eigenvector assumption: whenever

$V(n,t)$  is a null eigenvector of  $\alpha(t)$ , i.e.  $(\alpha_{ij} V^j)(n,t) = 0$  we must have

$$\beta(V_i V)(n,t) = (\beta_{ij} V^i V^j)(n,t) \geq 0.$$

If  $\alpha(0) \geq 0$  then  $\alpha(t) \geq 0 \forall t \geq 0$  s.t. the solution exists.

See Hamilton's 3-manifolds paper or Chow-Knopf for a proof of this.

### Applications (all in Pset 3)

In dim 3 for closed manifolds:

- 1)  $\text{Ric} \geq 0$  is preserved along the RF.
- 2) The pinching inequality  $R_{ij} \geq \varepsilon R g_{ij}$  is preserved along the RF if  $\varepsilon \leq \frac{1}{3}$ .
- 3)  $R_{ij} \leq \frac{1}{2} R g_{ij}$  is preserved along the RF.

4) Let  $(M^2, g(t))$  be a RF on a closed surface w/ positive curvature and define

$$Q_{ij} = \nabla_i \nabla_j \log R + \frac{1}{2} (R + \frac{1}{t}) g_{ij}$$

Then  $Q_{ij} \geq 0$  if  $t$ . This is called the matrix Harnack estimate for the RF on surfaces.

## Understanding the evolution of $Rm$

Recall, we proved along the RF that

$$\begin{aligned}\partial_t R_{ijkl} &= \Delta R_{ijkl} + (R_{ijp}^{\phantom{i}r} R_{rkl} - 2 R_{pik}^{\phantom{i}r} R_{jrl} + 2 R_{pirl} R_{jrk}^{\phantom{j}} \\ &\quad - (R_i^{\phantom{i}p} R_{pjkl} + R_j^{\phantom{j}p} R_{ipkl} + R_k^{\phantom{k}p} R_{ijpl} + R_e^{\phantom{e}p} R_{ijkp})\end{aligned}$$

On the assignment we prove that if we define a new tensor

$$B_{ijkl} = - R_{ipqj} R_k^{\phantom{k}pq}{}_l$$

then  $B_{ijkl} = B_{jile} = B_{klij}$

and

$$\begin{aligned}\partial_t R_{ijkl} &= \Delta R_{ijkl} + 2(B_{ijke} - B_{jlek} + B_{ikje} - B_{iljk}) \\ &\quad - (R_i^{\phantom{i}p} R_{pjkl} + R_j^{\phantom{j}p} R_{ipkl} + R_k^{\phantom{k}p} R_{ijpl} + R_e^{\phantom{e}p} R_{ijkp}).\end{aligned}$$

## Uhlenbeck's trick

The idea is to fix the initial tangent bundle w/ the initial metric fixed and then to evolve the isometry b/w this fixed bundle and the tangent bundle w/ its evolving metric.

Let  $V \rightarrow M$  be a v.b. isomorphic to  $TM \rightarrow M$  w/ fixed time-independent metric  $h = g(0)$ . Let

$i_0: V \rightarrow TM$  be the identity map and so  $h = i_0^*(g(0))$ .

Clearly  $i_0$  is an isometry. Let  $g(t)$  be a RF on  $M$  w/  $g(0) = g_0$  and we'd like to extend  $i_0$  to a family of bundle isometries  $i_t$  so that  $h = i_t^*(g(t)) \quad \forall t$ . Evolve  $i$  by the ODE

$$\frac{\partial}{\partial t} i = \text{Ric} \circ i$$

$$i(\cdot, 0) = i_0$$

where we view  $\text{Ric}$  as a  $(1,1)$ -tensor i.e., an endomorphism of  $TM$  using the metric.  $\text{Ric}(\partial_i) = R_{i,j}^j \partial_j$ .

∴ we have a  $t$ -parameter family of bundle isomorphism

$$i: V \times [0, T] \rightarrow TM.$$

Lemma The bundle map  $i_t: (V, h) \rightarrow (TM, g(t))$  is an isometry  $\forall t$ .

Proof :-

$$\begin{aligned} \frac{\partial}{\partial t} g(i_t(v), i_t(v)) &= \left( \frac{\partial}{\partial t} g \right)(i(v), i(v)) + 2g(\partial_t i(v), i(v)) \\ &= -2\text{Ric}(i(v), i(v)) + 2g(\text{Ric}(i(v)), i(v)) \end{aligned}$$

$$= 0$$

$\forall v \in V.$

$\Rightarrow i^* g(t)$  is independent of  $t \Rightarrow i_t^* g(t) = i_0^* g(0) = h \Rightarrow$

remains an isometry.

As a result, we can look at  $i^* R_m$  instead of  $R_m$ .

The LC connection  $\nabla(t) : \Gamma(RM) \times \Gamma(TM) \rightarrow \Gamma(TM)$  pull-backs to connections  $D(t)$  on  $V$

$$D(t) = i(t)^* \nabla(t) : \Gamma(RM) \times \Gamma(V) \rightarrow \Gamma(V) \text{ w/}$$

$$(i^* \nabla)(x, \xi) = (i^* \nabla)_x (\xi) = \nabla_x (i(\xi)).$$

and hence we can define  $i^* R_m$ .

$$i^* R_m(x, y, z, w) = R_m(i(x), i(y), i(z), i(w))$$

for  $x, y, z, w \in V_x$  for  $x \in M$ .

Let  $\{x^k\}_{k=1}^n$  be local coordinates defined on an open set  $U \subset M^n$

and let  $\{e_a\}_{a=1}^n$  be a basis of sections of  $V$  restricted to  $U$ .

so we can write

$$i(e_a) = \sum_{k=1}^n i_a^k \frac{\partial}{\partial x^k} . \text{ w/ } i_a^k \text{ the components of the bundle isometry } i(t).$$

$$\therefore R_{abcd} = (i^* R_m)(e_a, e_b, e_c, e_d) = \sum_{i,j,k,l=1}^n i_a^i i_b^j i_c^k i_d^l R_{ijkl}$$

We can define the Laplacian acting on tensor bundles of  $\mathbb{P}M$  and

$\nabla$  by

$$\Delta_D = \text{tr}_g (\nabla_D \circ \nabla_D) = \sum_{i,j=1}^n g^{ij} (\nabla_D)_i (\nabla_D)_j .$$

w/  $(\nabla_D)_j(\xi) = \nabla_j(i(\xi))$ .

Lemma If  $g(t)$  is a RF and  $i(t)$  evolves by the ODE described above then  $i^* R_m$  evolves by

$$\partial_t R_{abcd} = \Delta_D R_{abcd} + 2(B_{abcd} - B_{abdc} + B_{acbd} - B_{adbc}).$$

w/  $B_{abcd} = h^{eg} h^{fm} R_{aefb} R_{cgmd}$ .

Proof. By def'n  $\partial_t i_a^k = R_e^K i_a^l$

$$\Rightarrow \partial_t R_{abcd} = \sum_{i,j,k,l=1}^n \partial_t (i_a^i i_b^j i_c^k i_d^l R_{ijkl})$$

$$= (\partial_t i_a^i) i_b^j i_c^k i_d^l R_{ijkl} + i_a^i (\partial_t i_b^j) i_c^k i_d^l R_{ijkl} + \\ \dots + \dots + i_a^i i_b^j i_c^k i_d^l \partial_t R_{ijkl}$$

$$\begin{aligned}
&= R^i_m i_a^m i_b^j i_c^k i_d^l R_{ijkl} + i_a^i R^j_m i_b^m i_c^k i_d^l R_{ijkl} \\
&\quad + i_a^i i_b^j R^k_m i_c^m i_d^l R_{ijkl} + i_a^i i_b^j i_c^k R^l_m i_d^m R_{ijkl} \\
&\quad + i_a^i i_b^j i_c^k i_d^l \left\{ \Delta R_{ijkl} + 2(B_{ijk1} - B_{ijlK} + B_{ikjL} - B_{ileK}) \right. \\
&\quad \left. - R_i^p R_{pjkl} - R_j^p R_{lipk} - R_k^p R_{lijp} - R_l^p R_{ijkp} \right\}
\end{aligned}$$

$$= i_a^i i_b^j i_c^k i_d^l \left\{ \Delta R_{ijkl} + 2(B_{ijk1} - B_{ijlK} + B_{ikjL} - B_{ileK}) \right\}$$

and we get the lemma.

□

### Structure of the evolution equation of $R_m$

We view  $R_m$  as an operator on 2-forms

$$R_m: \Lambda^2 T^*M \longrightarrow \Lambda^2 T^*M \text{ w/}$$

$$(R_m(U))_{ij} = -R_{ijkl} U^{kl}.$$

If we define the inner product on  $\Lambda^2 T^*M$  by

$$\langle U, V \rangle = U_{ij} V^{ij} \text{ then}$$

$$\langle Rm(U), V \rangle = R_{ijkl} U^{ki} V^{lj} = R_{klij} V^{ij} U^{kl} = \langle U, Rm(V) \rangle.$$

∴ we can talk about the square of the curvature operator

$$Rm^2 = Rm \circ Rm : \Lambda^2 T^* M \rightarrow \Lambda^2 T^* M$$

$$(Rm^2)_{ijkl} = R_{ijps} R^{sp}_{\phantom{sp}kl} = - R_{ijps} R^{ps}_{\phantom{ps}kl}$$

There is another concept of square for curvature which can be described as follows:-

Let  $\mathfrak{g}$  be a Lie algebra w/ an inner product  $\langle \cdot, \cdot \rangle$ . Let  $\{\varphi^\alpha\}$  be a basis of  $\mathfrak{g}$  and let  $C_\gamma^{\alpha\beta}$  be the structure constants

$$[\varphi^\alpha, \varphi^\beta] = \sum_\gamma C_\gamma^{\alpha\beta} \varphi^\gamma.$$

Let  $\{\varphi_\alpha^*\}$  be the dual basis s.t.  $\varphi_\alpha^*(\varphi^\beta) = \delta_\alpha^\beta$ .

So if  $L$  is a symmetric bilinear form on  $\mathfrak{g}^*$ , we regard

$L$  as an element of  $\mathfrak{g} \otimes_S \mathfrak{g}$  whose components are given by

$$L_{\alpha\beta} = L(\varphi_\alpha^*, \varphi_\beta^*).$$

This gives a commutative bilinear operation  $\#$  on symm. bili. forms

$L$  and  $M$  by

$$(L \# M)_{\alpha\beta} = C_\alpha^{\gamma\epsilon} C_\beta^{\delta\zeta} L_{\gamma\delta} M_{\epsilon\zeta}.$$

∴ we define the Lie algebra square  $L^\# \in \mathfrak{g} \otimes \mathfrak{g}$  of  $L$  by

$$(L^\#)_{\alpha\beta} = (L \# L)_{\alpha\beta} = C_\alpha^{\gamma\delta} C_\beta^{\epsilon\zeta} L_{\gamma\epsilon} L_{\delta\zeta}.$$

Lemma :- If  $L \geq 0$  then  $L^\# \geq 0$ .

Exercise :-

now observe that  $\forall x \in \mathbb{M}^n$ ,  $\Lambda^2 T_x^* M$  can be given the structure of a Lie algebra  $\mathfrak{g} \cong \text{so}(n)$  = space of skew-symmetric matrices. Concretely,

If  $U, V \in \Lambda^2 T_x^* M$ ,

$$[U, V]_j = g^{kl} (U_{ik} V_{lj} - V_{ik} U_{lj})$$

In a local o.n. frame  $\{e_i\}$  any 2-form  $U \cong (U_{ij})$  which is a skew-symmetric matrix so the above formula is just

$$[U, V]_{ij} = (UV - VU)_{ij}$$

and it gives a Lie algebra iso. b/w  $\mathfrak{g} = (\Lambda^2 T_x^* M, [ \cdot, \cdot ])$  &  $\text{so}(n)$ .

Endow this Lie algebra w/ the inner product above and consider the basis elements  $\{dx^i \wedge dx^j\}$  w/ the structure constants

$$[dx^p \wedge dx^q, dx^r \wedge dx^s] = \sum_{(ij)} C_{(ij)}^{(pq), (rs)} dx^i \wedge dx^j$$

$$\begin{aligned} C_{(ij)}^{(pq), (rs)} &= [dx^p \wedge dx^q, dx^r \wedge dx^s]_{ij} \\ &\stackrel{\text{def}}{=} \frac{1}{2} (dx^p \otimes dx^q - dx^q \otimes dx^p) = \frac{1}{2} (\delta_k^p \delta_e^q - \delta_k^q \delta_e^p) dx^k \otimes dx^l \\ &= \frac{1}{4} g^{kl} \left\{ \begin{array}{l} (\delta_i^p \delta_k^q - \delta_i^q \delta_k^p)(\delta_e^r \delta_j^s - \delta_e^s \delta_j^r) \\ - (\delta_i^r \delta_k^s - \delta_i^s \delta_k^r)(\delta_e^p \delta_j^q - \delta_e^q \delta_j^p) \end{array} \right\} \\ &= \frac{1}{4} \left\{ g^{qr} (\delta_i^p \delta_j^s - \delta_i^s \delta_j^p) + g^{qs} (\delta_i^r \delta_j^p - \delta_i^p \delta_j^r) \right. \\ &\quad \left. + g^{pr} (\delta_i^s \delta_j^q - \delta_i^q \delta_j^s) + g^{ps} (\delta_i^q \delta_j^r - \delta_i^r \delta_j^q) \right\}. \end{aligned}$$

$$\therefore (Rm^\#)_{ijkl} = R_{pquv} R_{rswx} C_{(ij)}^{(pq), (rs)} C_{(lk)}^{(uv), (wx)}.$$

The  $C_{(ik)}$  is NOT a mistake and it shouldn't be  $C_{(ki)}$ . The reason is that by def<sup>n</sup> the operator  $Rm$  on  $\Lambda^2$  is defined as  $[Rm(u)]_{ij} = -R_{ijkl} u^{kl} = +R_{ijkl} u^{kl}$ .

All this is to write our main theorem:-

Theorem If  $g(t)$  is a RF then

$$\partial_t (i^* Rm) = \Delta_D Rm + Rm^2 + Rm^\#.$$

Proof :- note

$$R_{pquv} C_{(ij)}^{(pq),(rs)} = R_{pquv} \frac{1}{4} \left\{ g^{qr} (\delta_i^p \delta_j^s - \delta_i^s \delta_j^r) + g^{qs} (\delta_i^r \delta_j^p - \delta_i^p \delta_j^r) \right. \\ \left. + g^{pr} (\delta_i^s \delta_j^q - \delta_i^q \delta_j^s) + g^{ps} (\delta_i^q \delta_j^r - \delta_i^r \delta_j^q) \right\}$$

$$= \frac{1}{2} R_{pquv} (g^{qr} (\delta_i^p \delta_j^s - \delta_i^s \delta_j^r) + g^{qs} (\delta_i^q \delta_j^r - \delta_i^r \delta_j^q))$$

and ∴

$$(Rm^\#)_{ijkl} = R_{pquv} R_{rsuwk} C_{(ij)}^{(pq),(rs)} C_{(lk)}^{(uv),(wx)} \\ = R_{pquv} R_{rsuwk} g^{qr} (\delta_i^p \delta_j^s - \delta_i^s \delta_j^r) g^{vw} (\delta_l^u \delta_k^x - \delta_l^x \delta_k^u) \\ = R_i^r \epsilon_e^w R_{rjwk} - R_i^r \epsilon_k^w R_{rjwi} - R_j^r \epsilon_e^w R_{riwk} \\ + R_j^r \epsilon_k^w R_{riwi} \\ = - B_{irjk} + B_{ikjl} + B_{jlik} - B_{jkil} \\ = 2(B_{ikjl} - B_{iljk})$$

and

$$(Rm^\zeta)_{ijkl} = R_{ijps} R_{lsk}^{ps} = (R_{ipsj} + R_{isjp})(R_k^{sp} \epsilon_l^s + R_k^{ps} \epsilon_l^s) \\ = 2(B_{ijkl} - B_{ilek})$$

and ∵ we get the proof from the previous theorem.

□