# DEEP CHESS

https://github.com/S-parera/RL-chess\_aidl

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# Motivation of the project

## Initial Hypothesis & Key objectives



The algorithm is able to finish games.



The algorithm is able to beat a random-move player.



The algorithm is able to beat a 1000-elo player.



The algorithm runs smoothly (is optimized).



The algorithm is able to solve simpler environments.

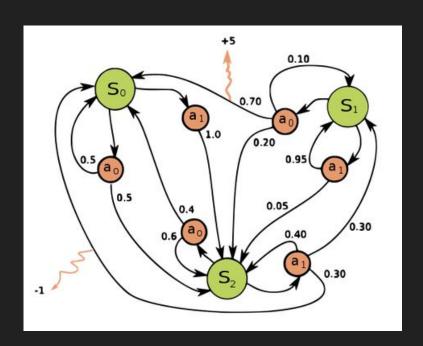
#### Brief introduction to RL

Markovian Decision Process

 $\mathsf{MDP=}\langle \mathcal{S}, \mathcal{A}, \mathcal{T}, \mathcal{R}, \overline{\gamma} \rangle$ 

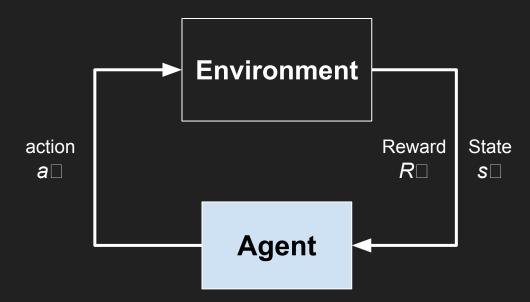
Learning < Decision Making

No expected outcome, just **REWARD** 

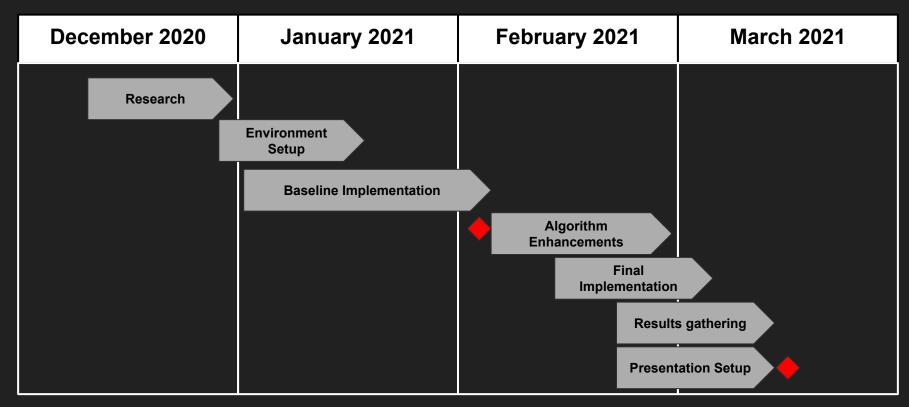


#### Brief introduction to RL

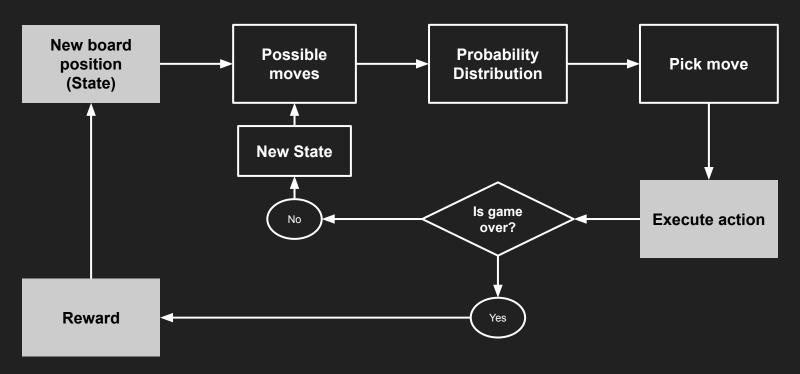
There is an agent in an unknown environment that gets rewards by performing actions in it. The main goal for the agent is to maximize the overall reward score.



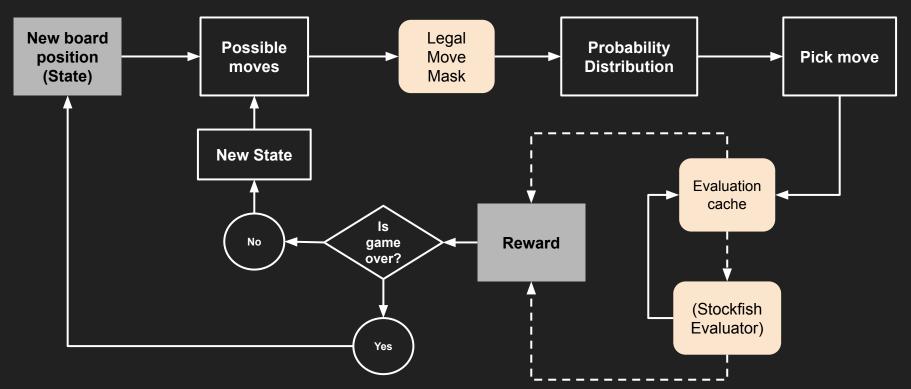
#### Final Project Plan



# Algorithm Workflow (baseline)



# Algorithm Workflow (Enhanced)



# Experimental Environment: Training idea

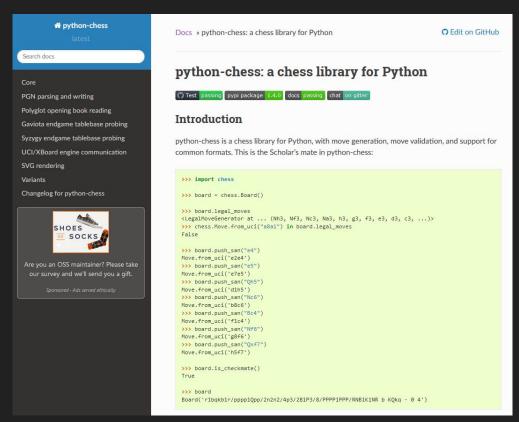
- Have the machine play against itself
- If checkmate → reward
- When average reward > threshold → Training is finished



#### Experimental Environment: Training pipeline

Repeat for desired number of train episodes Feed new state to NN! Dataset Agent Chess environment No! HOW? ResNet HOW? Did the episode Reward! Finish? Action environment New State State: 33x8x8 New episode Yes! Tensor Compute gradients and Start the process again! update NN parameters!

#### Experimental Environment: Python chess!



#### Experimental Environment: Starting position

Initial chess board (20% of times)



Tactical position (80% of times)

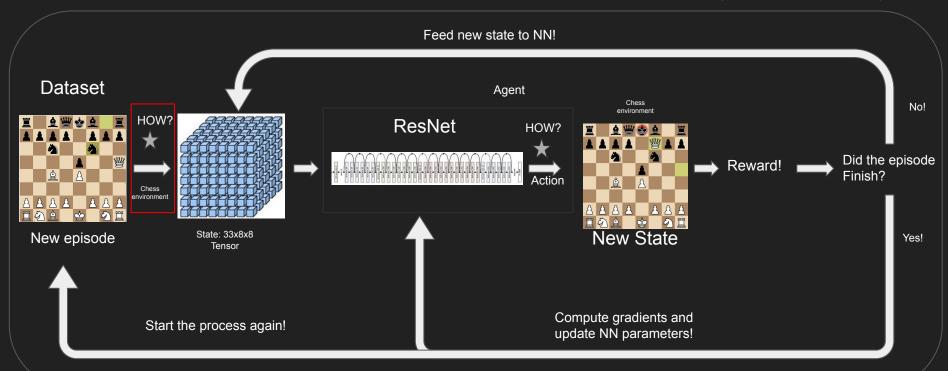


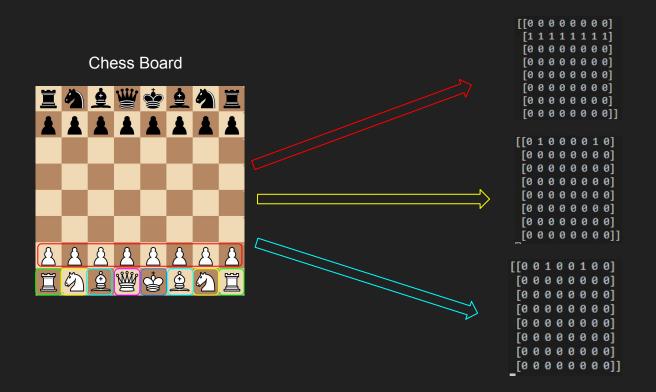


Dataset: 1 million tactical positions

#### Experimental Environment: Training pipeline

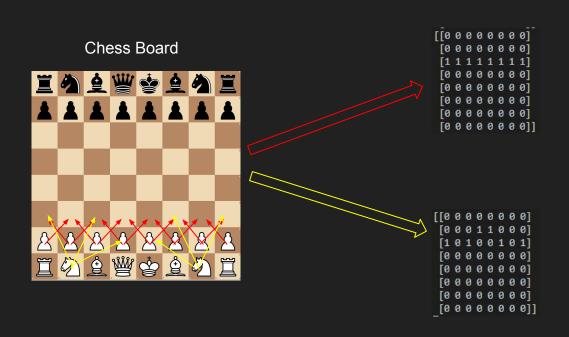
Repeat for desired number of train episodes





Boards 0:5 Where is each white piece type?

- 0: Pawns
- 1: Knight
- 2: Bishop
- 3: Rook
- 4: King
- 5: Queen



Boards 6:11: Which squares are white pieces attacking?

6: Pawns

7: Knight

8: Bishop

9: Rook

10: Queen

11: King





#### Boards 12:23 Same as

- 0:11 but for black pieces
- 12: Pawns
- 13: Knight
- 14: Bishop
- 15: Rook
- 16: Queen
- 17: Kina
- 18: Pawns attack squares
- 19: Knight attack squares
- 20: Bishop attack squares
- 21: Rook attack squares
- 22: Queen attack squares
- 23: King attack squares

#### **Chess Board**



#### Boards 24:32 encode other chess features

24: 1st board repetition

25: 2nd board repetition 26: turn (white or black)

27: Total move counter

28: Castling rights

29: Castling rights

30: Castling rights

31: Castling rights

32: Halfmove clock

#### Black to move

[[0 0 0 0 0 0 0]]

[0 0 0 0 0 0 0 0]

[0 0 0 0 0 0 0 0] [0 0 0 0 0 0 0]

[0 0 0 0 0 0 0]

[0 0 0 0 0 0 0] [0 0 0 0 0 0 0]

[00000000]]

#### White to move

[[1 1 1 1 1 1 1 1] [1 1 1 1 1 1 1 1]

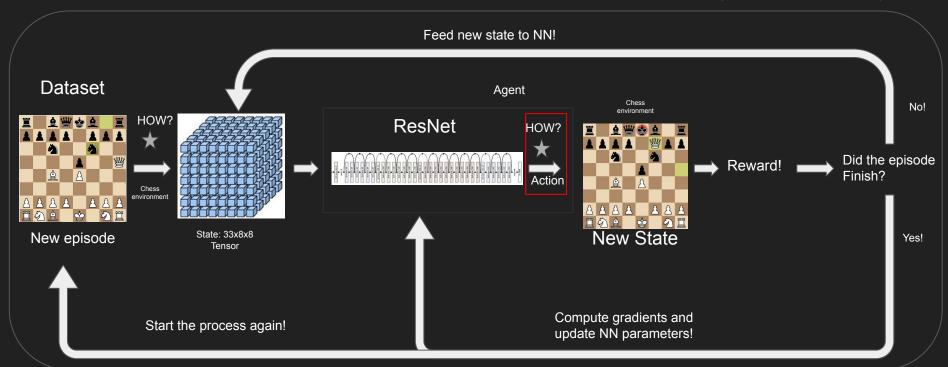
[1 1 1 1 1 1 1 1] [1 1 1 1 1 1 1 1]

[1 1 1 1 1 1 1 1][1 1 1 1 1 1 1 1]

[1 1 1 1 1 1 1 1] [1 1 1 1 1 1 1 1]]

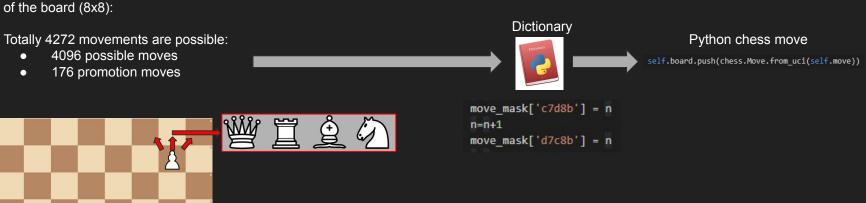
#### Experimental Environment: Training pipeline

Repeat for desired number of train episodes

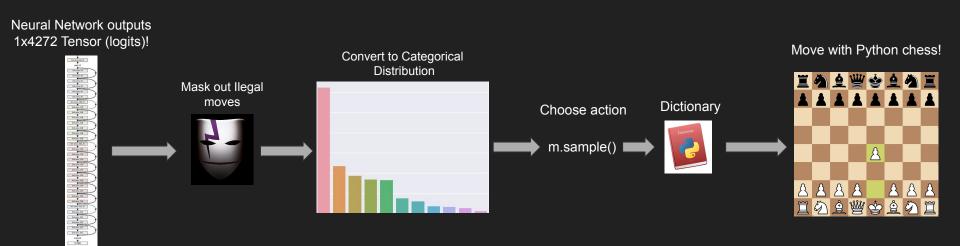


## Experimental Environment: Movement encoding

Idea: Pick a piece in one square of the board (8x8) and leave it in another square of the board (8x8):

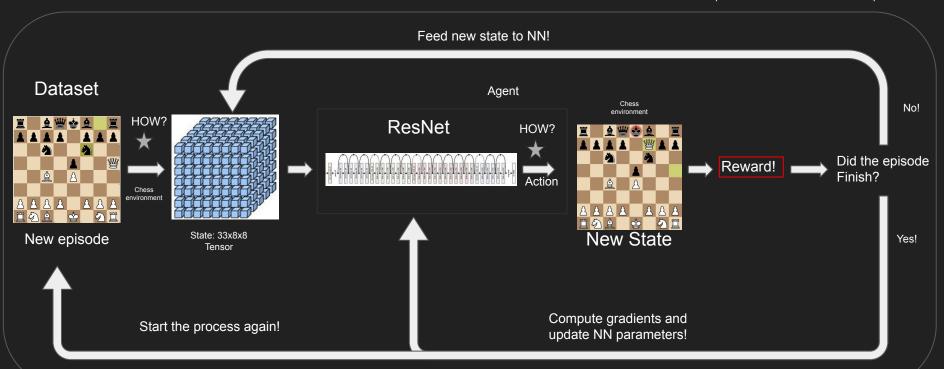


#### Experimental Environment: Movement & Back to board!



#### Experimental Environment: Training pipeline

Repeat for desired number of train episodes



#### Experimental Environment: Reward

Was last movement good or bad?



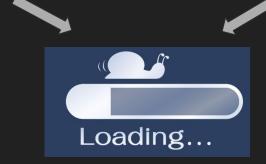
Reward = -abs(tanh(stockfish\_t)-tanh(stockfish\_t-1))



We will also give +1 reward if checkmate state is reached!

## Experimental Environment: Problems



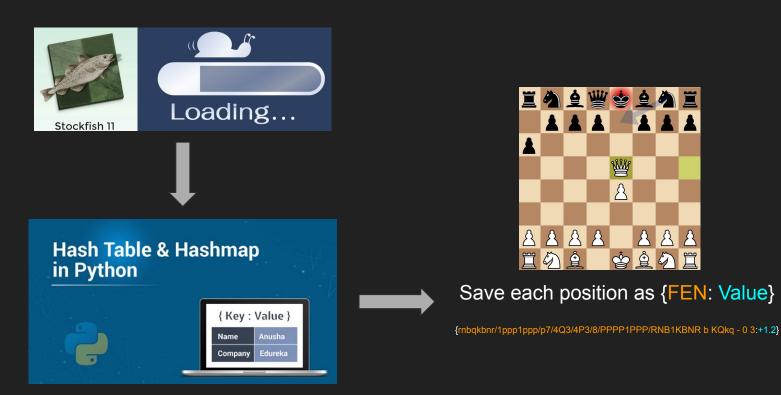




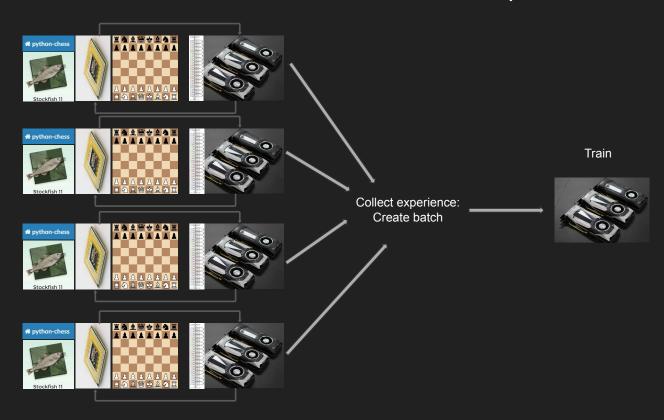




#### Experimental Environment: Problems (Cache/Hash Table)



#### Experimental Environment: Problems (Multithreading)

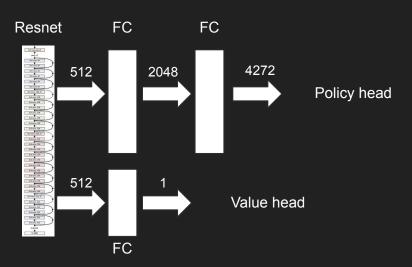


#### Experimental Environment: Training pipeline

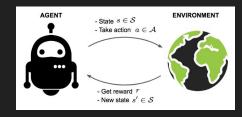
Repeat for desired number of train episodes Feed new state to NN! Dataset Agent Chess No! environment HOW? ResNet HOW? Did the episode Reward! Finish? Action environment State: 33x8x8 **New State** New episode Yes! Tensor Compute gradients and Start the process again! update NN parameters!

#### Model: NN topology

We tried many different topologies... Final one was:



#### Algorithm's evolution



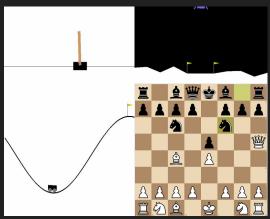
#### **Policy Gradient**

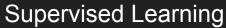


**DQN** 



#### **PPO**





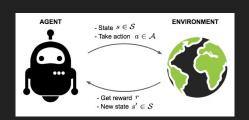




#### Algorithm's evolution: Policy Gradient

#### REINFORCE algorithm:

- 1. sample  $\{\tau^i\}$  from  $\pi_{\theta}(\mathbf{a}_t|\mathbf{s}_t)$  (run the policy)
- 2.  $\nabla_{\theta} J(\theta) \approx \sum_{i} \left( \sum_{t} \nabla_{\theta} \log \pi_{\theta}(\mathbf{a}_{t}^{i} | \mathbf{s}_{t}^{i}) \right) \left( \sum_{t} r(\mathbf{s}_{t}^{i}, \mathbf{a}_{t}^{i}) \right)$
- 3.  $\theta \leftarrow \theta + \alpha \nabla_{\theta} J(\theta)$

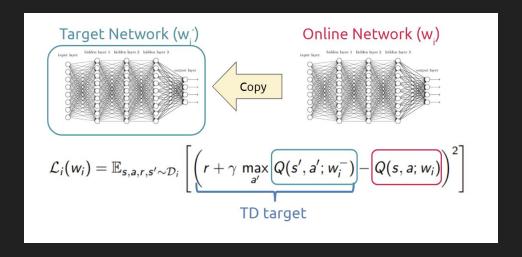


 Achievements: memorize legal moves and entire games as soon as quick way to reward is found

```
1. e3 d6 2. Be2 Bd7 3. Kf1 Qc8 4. g4 b5 5. Kg2 Qb7+ 6. Kh3 Qa6 7. Kg2 Qb7+ 8. Kh3 Qa6 9. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 1. e3 d6 2. Be2 Bd7 3. Kf1 Qc8 4. g4 b5 5. Kg2 Qb7+ 6. Kh3 Qa6 7. Kg2 Qb7+ 8. Kh3 Qa6 9. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 1. e3 d6 2. Be2 Bd7 3. Kf1 Qc8 4. g4 b5 5. Kg2 Qb7+ 6. Kh3 Qa6 7. Kg2 Qb7+ 8. Kh3 Qa6 9. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 1. e3 d6 2. Be2 Bd7 3. Kf1 Qc8 4. g4 b5 5. Kg2 Qb7+ 6. Kh3 Qa6 7. Kg2 Qb7+ 8. Kh3 Qa6 9. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 11. Kg2 Qb7+ 12. Kh3 Qa6 13. Kg2 Qb7+ 10. Kh3 Qa6 13. Kg2 Qb7+ 10.
```

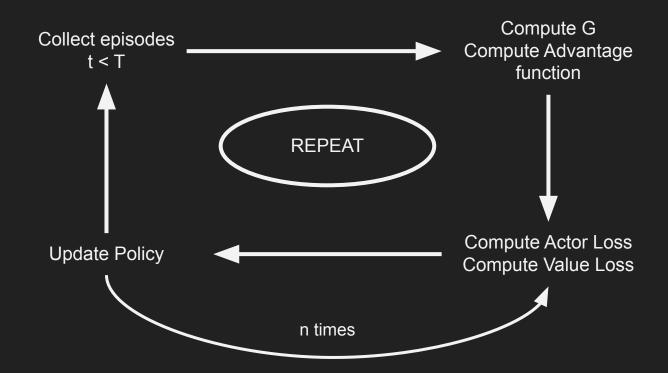
#### Algorithm's evolution: DQN

- DQN was trained to generate legal moves but the learning rate was too slow.
- PPO has better performance than DQN.

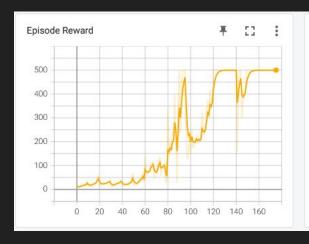


- Is an on-policy algorithm
- Continuous / discrete actions
- Limits the improvement not to worsen performance

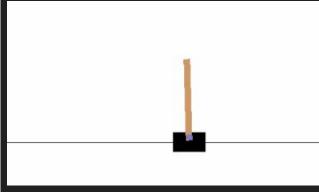
$$L(s,a,\theta_k,\theta) = \min\left(\frac{\pi_{\theta}(a|s)}{\pi_{\theta_k}(a|s)}A^{\pi_{\theta_k}}(s,a), \ \operatorname{clip}\left(\frac{\pi_{\theta}(a|s)}{\pi_{\theta_k}(a|s)},1-\epsilon,1+\epsilon\right)A^{\pi_{\theta_k}}(s,a)\right),$$



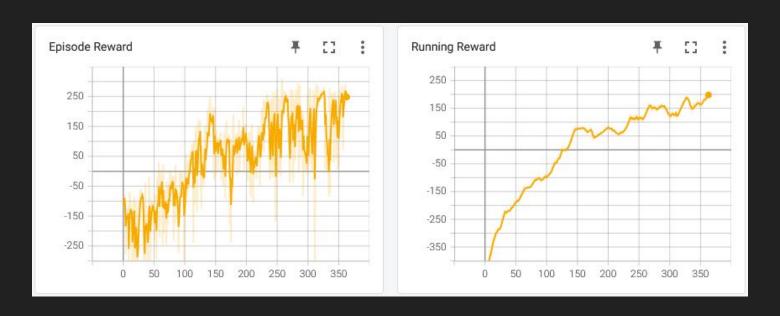
Results: Cart Pole



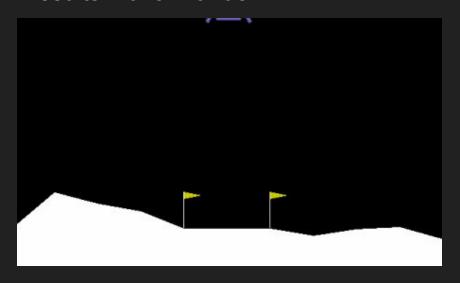


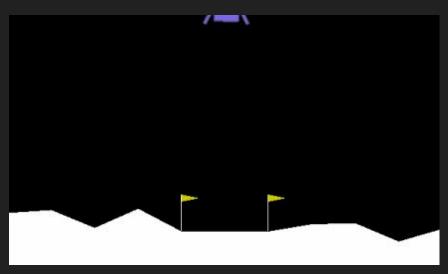


Results: Lunar Lander



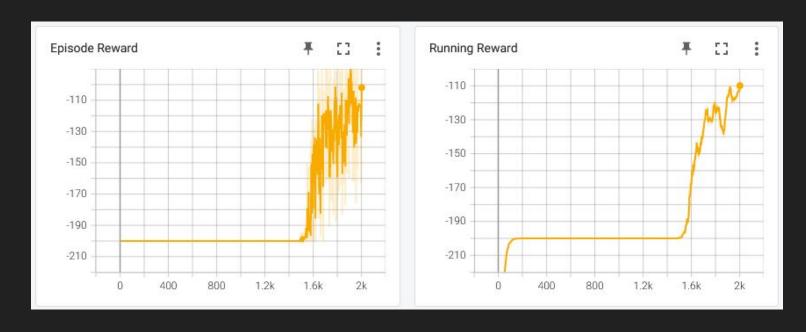
Results: Lunar Lander





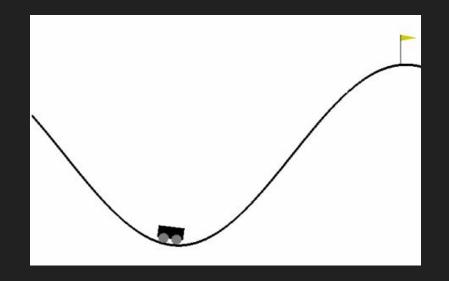
Untrained Trained

Results: Mountain Car

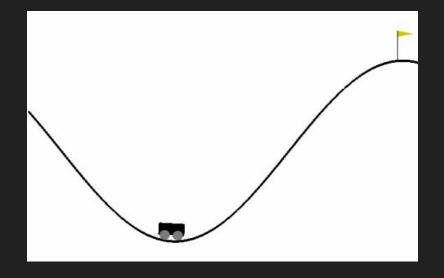


# Algorithm's evolution: PPO

Results: Mountain Car



Untrained

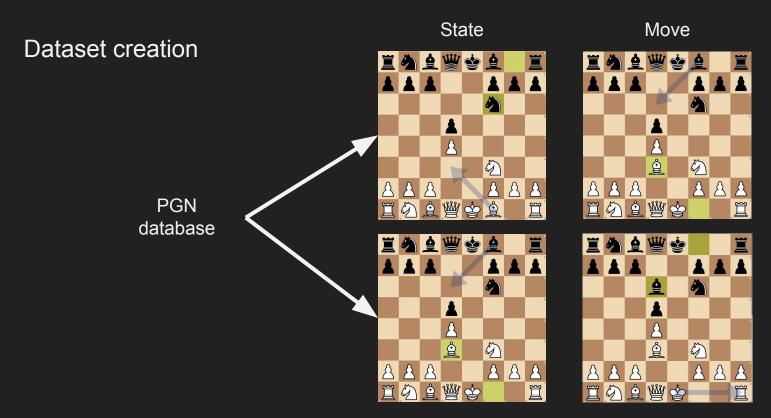


Trained

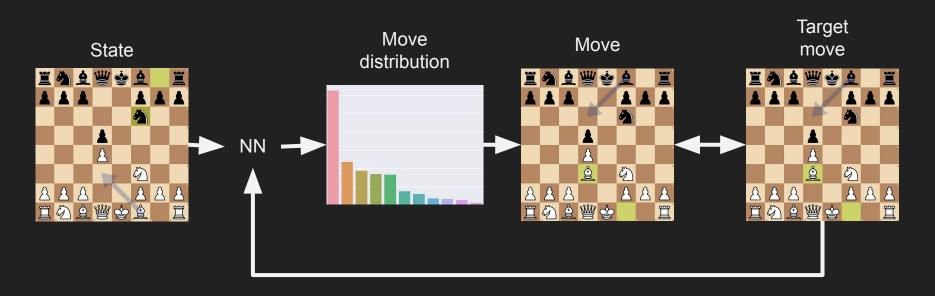
# Algorithm's evolution: PPO

#### Results: Chess

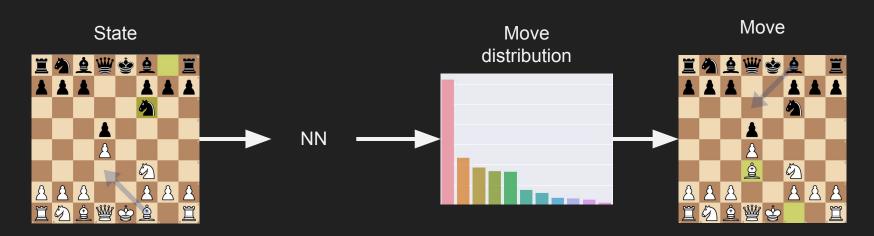




#### Training



#### Accuracy



Results

White (network) wins: 11

Black (random) wins: 0

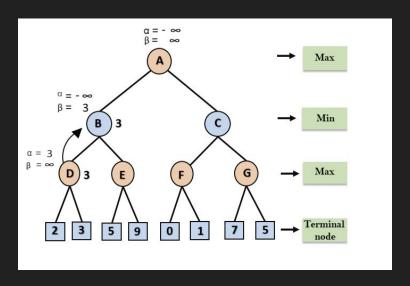
Draws: 4

Timeouts: 5



### Evaluation

- Our trained policy/value net play games against another chess engine.
- At play the best move from the policy is selected with Alpha-beta tree search.



### Evaluation

- ELO calculates the relative skills of players.
- ELO rating system implemented in the evaluation.





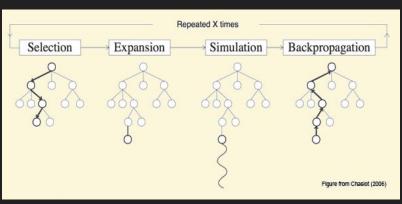
#### Results

- Policy Gradient: memorizes legal moves and entire games as soon as quick way to reward is found.
- DQN: managed to make +10 legal moves in a row. Very slow learning.
- PPO: solved Cartpole, Lunar Lander, Mountain Car.
- Supervised Learning: shows chess knowledge. It can beat a random-move player.
- PPO-chess: too slow progress in training chess. It requires implementing MCTS.

#### Results

 Monte Carlo Tree Search (MCTS) is implemented by Alpha Zero and others to select the best possible move in training and also at play.

Chess complexity = 10 ^123 !!!



PPO-chess: MCTS prepared but no time to complete run.

#### Conclusions

• The algorithm Works:

The PPO algorithm works and is able to play games. It solves Lunar Lander and Mountain Car.

• The algorithm is not just random / aims to win:

When trained with supervised learning, it can beat a random player and shows chess knowledge.

Training our chess engine with PPO alone is not enough. MCTS is necessary.

## Next Steps

- PPO training with MCTS.
- Use computing resources in Google Cloud Platform:
  - VM instance prepared but no time to run MCTS.

# THANK YOU!