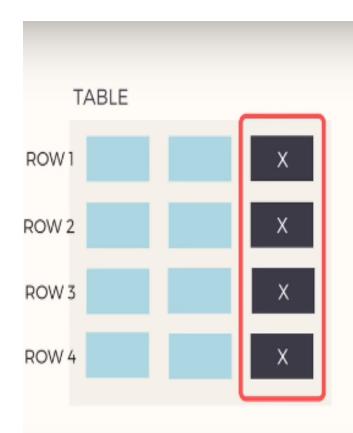
# UNIT 3: NORMALIZATION

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 Normalization is a technique of organizing the data into multiple related tables to minimize data redundancy.

# WHAT IS DATA REDUNDANCY? WHY TO REDUCE IT?



- Repetition of data increases the size of database.
- Other issues like:
  - Insertion Problems
  - Deletion Problems
  - Updation Problems

| STUDENTS TABLE |      |        |       |            |
|----------------|------|--------|-------|------------|
| rollno         | name | branch | hod   | office_tel |
| 1              | Akon | CSE    | Mr. X | 53337      |
| 2              | Bkon | CSE    | Mr. X | 53337      |
| 3              | Ckon | CSE    | Mr. X | 53337      |
| 4              | Dkon | CSE    | Mr. X | 53337      |
|                |      |        |       |            |
|                |      |        |       |            |

### ISSUES OF DATA REDUNDANCY

#### Insertion Anomaly

 To insert data for every new row (of student data in our case) is a data insertion problem or anomaly

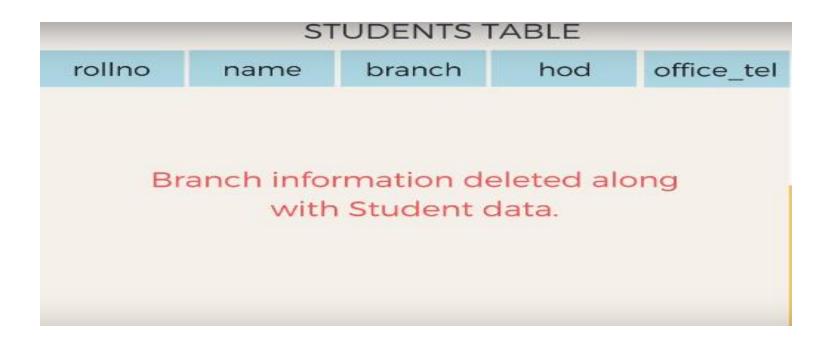
#### Reason for data repetition

 To different but related data is stored in the same table.

#### ISSUES OF DATA REDUNDANCY...CONT

#### Deletion anomaly

 Loss of related dataset when some other dataset is deleted.



#### ISSUES OF DATA REDUNDANCY...CONT

#### Updation anomaly

When Mr. X leave and Mr. Y joins as New HOD

| STUDENTS TABLE |      |        |                         |           |
|----------------|------|--------|-------------------------|-----------|
| rollno         | name | branch | hod of                  | ffice_tel |
| 1              | Akon | CSE    | <del>Mr. X</del> Mr. Y  | 53337     |
| 2              | Bkon | CSE    | <del>-Mr. X</del> Mr. Y | 53337     |
| 3              | Ckon | CSE    | Mr. X                   | 53337     |
| 4              | Dkon | CSE    | -Mr. X Mr. Y            | 53337     |
|                |      |        |                         |           |
|                |      |        |                         |           |

### DATA REDUNDANCY

- Repetition of data hence needs extra space.
- Leads to insertion, deletion and Updation issues.

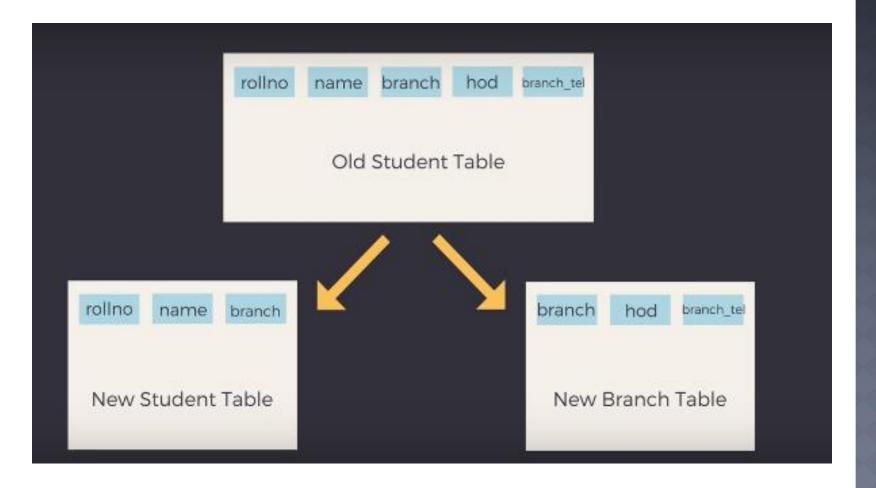
# How Normalization will solve all these problems?

It will break one table into two tables

**Student Table** 



**Student Table + Branch Table** 



Less Redundancy.

Fewer problems in inserting, deleting and updating the data.

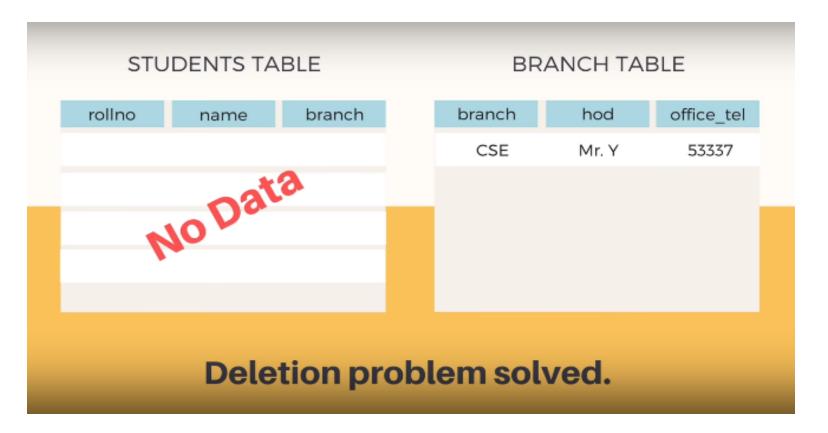
#### STUDENTS TABLE

| rollno | name | branch |
|--------|------|--------|
| 1      | Akon | CSE    |
| 2      | Bkon | CSE    |
| 3      | Ckon | CSE    |
| 4      | Dkon | CSE    |
| 1      |      |        |

#### **BRANCH TABLE**

| branch | hod   | office_tel |
|--------|-------|------------|
| CSE    | Mr. Y | 53337      |

Insertion problem solved.



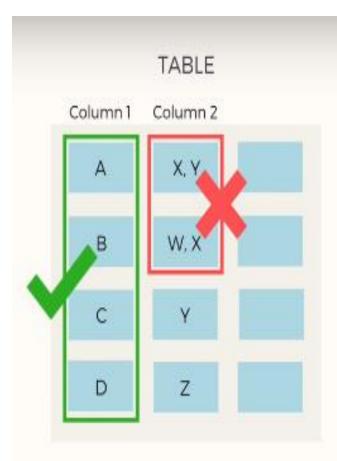
#### STUDENTS TABLE **BRANCH TABLE** office\_tel branch branch rollno hod name Akon CSE CSE Mr. Y 53337 Bkon CSE 3 Ckon CSE 4 Dkon CSE Updation problem solved.

- It Divides data into separate independent logical entities and relating them with common key
- It can be achieved in multiple ways:
- Three basic Normal Form
  - 1NF
  - 2NF
  - 3NF
- Advance are:
  - BCNF (Higher version of 3NF)
  - 4NF
  - 5NF

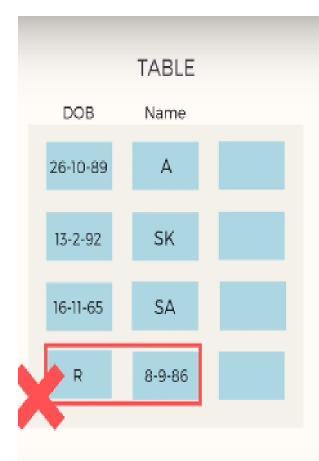
- For a table to be in the First Normal Form, it should follow the following 4 rules:
- 1. It should only have single(atomic) valued attributes/columns.
- Values stored in a column should be of the same domain
- 3. All the columns in a table should have unique names.
- 4. And the order in which data is stored, does not matter.

 Every table in your database should at least be in the 1NF or else it can be considered as BAD database.

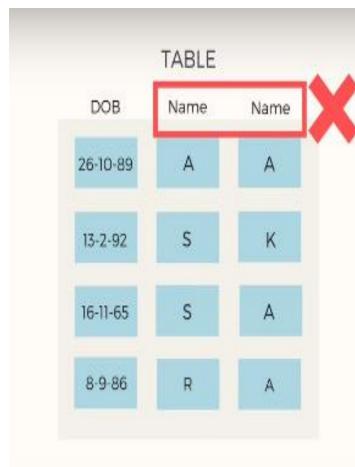




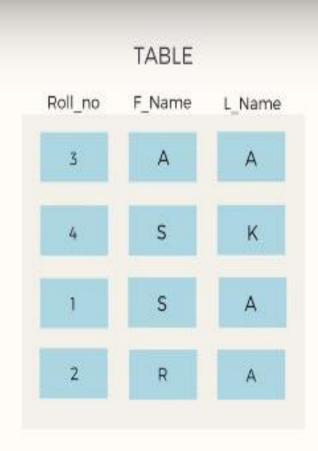
- Each Column should contain atomic values.
- Entries like X, Y and W, X violate this rule.



- A Column should contain values that are of the same type.
- Do not inter-mix different types of values in any column.



- Each column should have a unique name.
- Same names leads to confusion at the time of data retrieval



- Order in which data is saved doesn't matter.
- Using SQL query, you can easily fetch data in any order from a table.

|        | STUDENTS TABLE |         |  |  |
|--------|----------------|---------|--|--|
| rollno | name           | subject |  |  |
| 101    | Akon           | OS, CN  |  |  |
| 103    | Ckon           | JAVA    |  |  |
| 102    | Bkon           | C, C++  |  |  |
|        |                |         |  |  |
|        |                |         |  |  |
|        |                |         |  |  |

# 1 NF

| STUDENTS TABLE |      |         |  |  |
|----------------|------|---------|--|--|
| rollno         | name | subject |  |  |
| 101            | Akon | os      |  |  |
| 101            | Akon | CN      |  |  |
| 103            | Ckon | JAVA    |  |  |
| 102            | Bkon | С       |  |  |
| 102            | Bkon | C++     |  |  |
|                |      |         |  |  |

# SECOND NORMAL FORM (2NF)

- For a table to be in the Second Normal Form, it must satisfy two conditions:
  - 1. The table should be in the First Normal Form.
  - 2. There should be no Partial Dependency.

#### DEPENDENCY

#### Functional Dependency

- We say an attribute, B, has a functional dependency on another attribute, A, if for any two records, which have
- the same value for A, then the values for B in these two records must be the same. We illustrate this as:
  - $A \rightarrow B$

#### Partial Dependency

- Partial Dependency exists, when for a composite primary key, any attribute in the table depends only on a part of the primary key and not on the complete primary key.
- To remove Partial dependency, we can divide the table, remove the attribute which is causing partial dependency, and move it to some other table where it fits in well.

### DEPENDENCY

#### Transitive Dependency

Consider attributes A, B, and C, and where

$$A \rightarrow B$$
 and  $B \rightarrow C$ .

 Functional dependencies are transitive, which means that we also have the functional dependency

$$A \rightarrow C$$

 We say that C is transitively dependent on A through B.

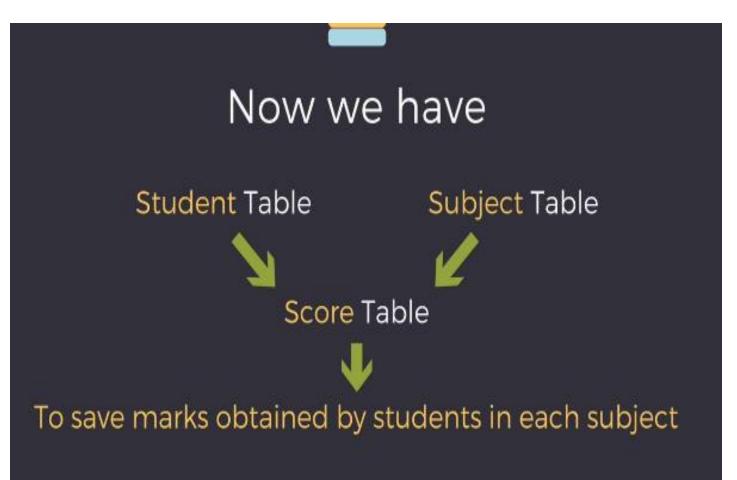
|   | STUDENTS TABLE |      |        |        |         |
|---|----------------|------|--------|--------|---------|
| 3 | student_id     | name | reg_no | branch | address |
|   | 1              | Akon | CSE-18 | CSE    | TN      |
|   | 2              | Akon | IT-18  | IT     | AP      |
|   | 3              | Bkon | CSE-18 | CSE    | HR      |
|   | 4              | Ckon | CSE-18 | CSE    | МН      |
|   |                |      |        |        |         |
|   |                |      |        |        |         |

Now let's extend our example to see if two or more columns together can act as a primary key.

| SUBJECT TABLE |              |  |  |
|---------------|--------------|--|--|
| subject_id    | subject_name |  |  |

|   | STUDENTS TABLE |      |        |        |         |
|---|----------------|------|--------|--------|---------|
| 3 | student_id     | name | reg_no | branch | address |
| ľ | 1              | Akon | CSE-18 | CSE    | TN      |
|   | 2              | Akon | IT-18  | IT     | AP      |
|   | 3              | Bkon | CSE-18 | CSE    | HR      |
|   | 4              | Ckon | CSE-18 | CSE    | МН      |
|   |                |      |        |        |         |
|   |                |      |        |        |         |





| SCORE TABLE |            |            |       |         |
|-------------|------------|------------|-------|---------|
| score_id    | student_id | subject_id | marks | teacher |
| 1           | 1          | 1          | 82    | Mr. J   |
| 2           | 1          | 2          | 77    | Mr. C++ |
| 3           | 2          | 1          | 85    | Mr. J   |
| 4           | 2          | 2          | 82    | Mr. C++ |
| 5           | 2          | 4          | 95    | Mr. P   |

in Score table

Primary key is a composition of two columns

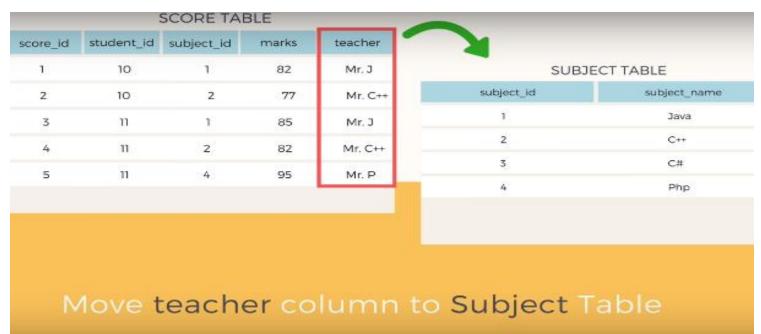
student\_id + subject\_id

| SCORE TABLE |            |            |       |         |
|-------------|------------|------------|-------|---------|
| score_id    | student_id | subject_id | marks | teacher |
| 1           | 10         | 1          | 82    | Mr. J   |
| 2           | 10         | 2          | 77    | Mr. C++ |
| 3           | 11         | ì          | 85    | Mr. J   |
| 4           | 11         | 2          | 82    | Mr. C++ |
| 5           | 11         | 4          | 95    | Mr. P   |
|             |            |            |       |         |

teacher column only depends on subject and not on student.

# **This is Partial Dependency**

- How to remove partial dependency
- In example we should remove teacher column from score table to remove partial dependency.



 Or we can create new Teacher table and add teachers information here.

| Teacher TABLE   |              |  |  |  |
|---|--------------|--|--|--|
| teacher_id  | teacher_name |  |  |  |
| 1   | Mr. J        |  |  |  |
| 2   | Mr. C++      |  |  |  |
| 3   | Mr. C#       |  |  |  |
| 4   | Mr. P        |  |  |  |
| Can even add more info. related to teachers like date of joining, salary etc. |              |  |  |  |

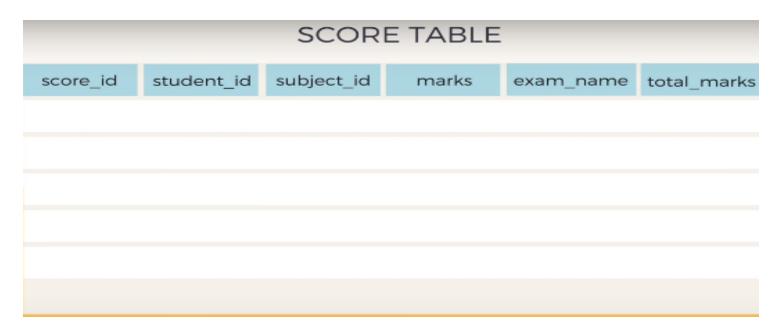
# THIRD NORMAL FORM (3NF)

- It should be in the 2NF.
- And it should not have Transitive Dependency

## THIRD NORMAL FORM (3NF)

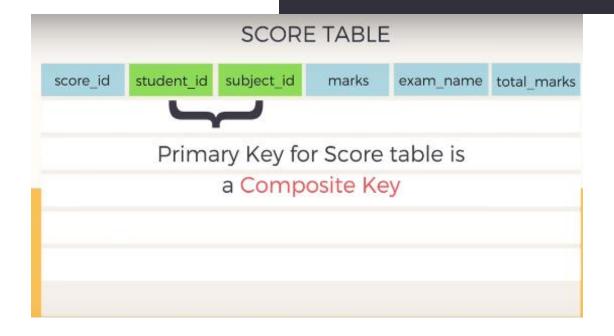


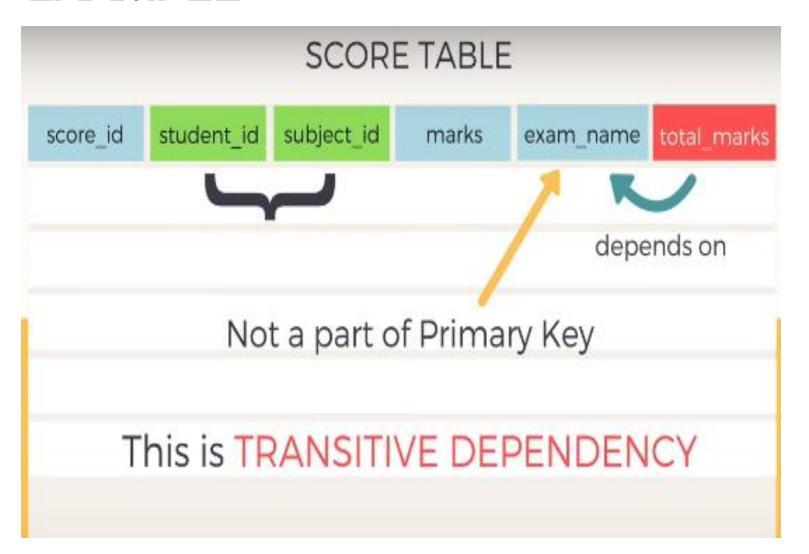
- Score table in 2NF.
- Now we also want to save columns
   Exam\_Name and Total\_Marks in score table



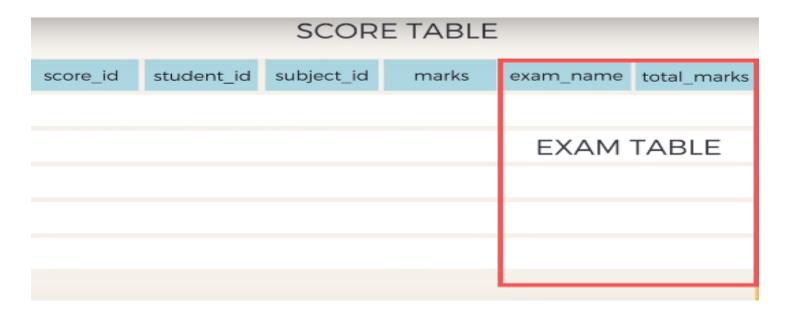
Column exam\_name depends on the primary key.

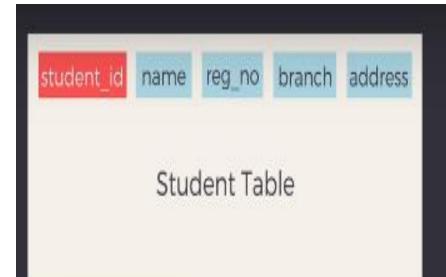
student\_id + subject\_id

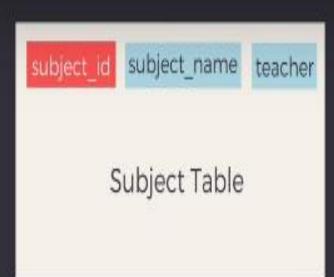




#### Solution











# BOYCE CODD NORMAL FORM (BCNF/3.5NF)

- BCNF is the advance version of 3NF. It is stricter than 3NF.
- $\odot$  A table is in BCNF if every functional dependency X  $\rightarrow$  Y, X is the super key of the table.
- it means, that for a dependency A → B, A cannot be a non-prime attribute, if B is a prime attribute.
- For BCNF, the table should be in 3NF, and for every FD, LHS is super key.

### BCNF

- **Example:** Let's assume there is a company where employees work in more than one department.
- In the given table Functional dependencies are as follows:

| EMP_ID | EMP_COUNTRY | EMP_DEPT   | DEPT_TYPE | EMP_DEPT_NO |
|--------|-------------|------------|-----------|-------------|
| 264    | India       | Designing  | D394      | 283         |
| 264    | India       | Testing    | D394      | 300         |
| 364    | UK          | Stores     | D283      | 232         |
| 364    | UK          | Developing | D283      | 549         |

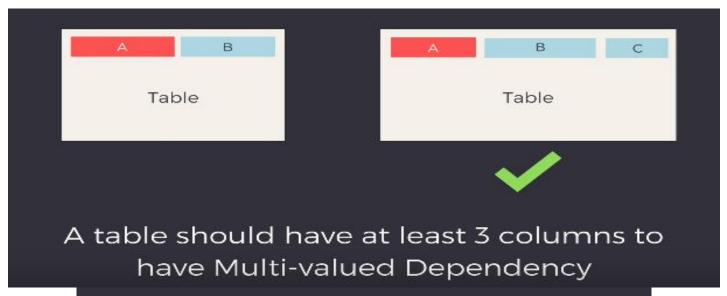
### FOURTH NORMAL FORM (4NF)

- A table is said to be in the Fourth Normal Form when,
- It is in the Boyce-Codd Normal Form.
- And, it doesn't have Multi-Valued Dependency.

Any dependency:

A→B, is Multi-Valued Dependency

A1 **〈** B1



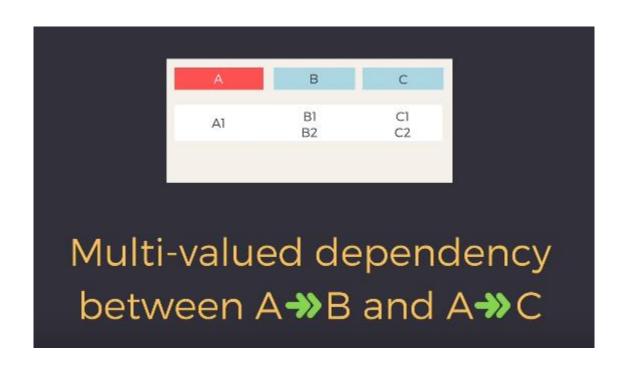
Multiple Rows will solve the problem.

For a table with A, B, C columns

A→B, is Multi-Valued Dependency

Then B and C should be independent of each other.

- A->>B, for a single value of A, more than one value of B exist.
- Table should have at-least 3 columns.
- For this table with A, B, C columns, B and C should be independent.



|      | ENROLMENT TA | BLE     |
|------|--------------|---------|
| s_id | course       | hobby   |
| 1    | Science      | Cricket |
| 1    | Maths        | Hockey  |
| 2    | C#           | Cricket |
| 2    | Php          | Hockey  |
|      |              |         |
|      |              |         |

|            | ENROLMENT TAB                      | LE      |  |
|------------|------------------------------------|---------|--|
| s_id       | course                             | hobby   |  |
| 1          | Science                            | Cricket |  |
| 1          | Maths                              | Hockey  |  |
| 1          | Science                            | Hockey  |  |
| 1          | Maths                              | Cricket |  |
| RIGHT?     |                                    |         |  |
| because sa | because same student, same hobbies |         |  |

### Student Enrollment Table



CourseOpted Table + Hobbies Table

(s\_id & course) (s\_id & hobby)

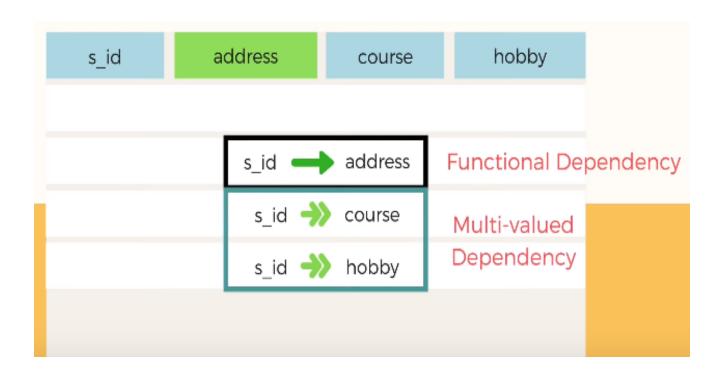
#### CourseOpted TABLE

| s_id | course  |
|------|---------|
| 1 2  | Science |
| 1    | Maths   |
| 2    | C#      |
| 2    | Php     |
|      |         |

#### **Hobbies TABLE**

| s_id | hobby   |
|------|---------|
| 1    | Cricket |
| 1.   | Hockey  |
| 2    | Cricket |
| 2    | Hockey  |
|      |         |

2 independent tables



#### **Student Enrollment Table**



CourseOpted Table + Hobbies Table + Address Table

(s\_id & course) (s\_id & hobby) (s\_id & address)



| s_id s_name S1 A | c_id<br>C1 | c_name |
|------------------|------------|--------|
|                  | C1         |        |
|                  |            | С      |
| S2 B             | C2         | D      |
| 32               | CZ         | D      |
|                  |            |        |
|                  |            |        |

#### **ENROLMENT TABLE**

| s_id | s_name | c_id | c_name |
|------|--------|------|--------|
| S1   | А      | C1   | С      |
| S1   | Α      | C2   | D      |
| S2   | В      | C1   | С      |
| S2   | В      | C2   | D      |
|      |        |      |        |

**Now Multi-valued Dependency exist** 

### 5NF

- A relation is in 5NF if it is in 4NF and not contains any join dependency and joining should be lossless.
- 5NF is satisfied when all the tables are broken into as many tables as possible in order to avoid redundancy.
- 5NF is also known as Project-join normal form (PJ/NF).

| SUBJECT   | LECTURER | SEMESTER   |
|-----------|----------|------------|
| Computer  | Anshika  | Semester 1 |
| Computer  | John     | Semester 1 |
| Math      | John     | Semester 1 |
| Math      | Akash    | Semester 2 |
| Chemistry | Praveen  | Semester 1 |

- In the above table, John takes both Computer and Math class for Semester 1 but he doesn't take Math class for Semester 2. In this case, combination of all these fields required to identify a valid data.
- Suppose we add a new Semester as Semester 3 but do not know about the subject and who will be taking that subject so we leave Lecturer and Subject as NULL. But all three columns together acts as a primary key, so we can't leave other two columns blank.
- So to make the above table into 5NF, we can decompose it into three relations P1, P2 & P3:

| SEMESTER   | SUBJECT   |
|------------|-----------|
| Semester 1 | Computer  |
| Semester 1 | Math      |
| Semester 1 | Chemistry |
| Semester 2 | Math      |

#### P2

| SUBJECT  | LECTURER |
|----------|----------|
| Computer | Anshika  |
| Computer | John     |
| Math     | John     |
| Math     | Akash    |

#### **P3**

| SEMSTER    | LECTURER |
|------------|----------|
| Semester 1 | Anshika  |
| Semester 1 | John     |
| Semester 1 | John     |
| Semester 2 | Akash    |
| Semester 1 | Praveen  |

### JOIN DEPENDENCY

### Types of Join dependency

- Lossless Join and
- Dependency Preserving Decomposition
- Decomposition of a relation is done when a relation in relational model is not in appropriate normal form.
- Relation R is decomposed into two or more relations if decomposition is lossless join as well as dependency preserving.

### LOSSLESS JOIN DECOMPOSITION

- If we decompose a relation R into relations R1 and R2,
  - Decomposition is lossy if R1  $\bowtie$  R2  $\supset$  R
  - Decomposition is lossless if  $R1 \bowtie R2 = R$
- To check for lossless join decomposition using FD set, following conditions must hold:
- Union of Attributes of R1 and R2 must be equal to attribute of R.
  - Each attribute of R must be either in R1 or in R2.

$$Att(R1) U Att(R2) = Att(R)$$

• Intersection of Attributes of R1 and R2 must not be NULL.

$$Att(R1) \cap Att(R2) \neq \Phi$$

 Common attribute must be a key for at least one relation (R1 or R2)

 $Att(R1) \cap Att(R2) \rightarrow Att(R1)$  or  $Att(R1) \cap Att(R2) \rightarrow Att(R2)$ 

- A relation R (A, B, C, D) with FD set{A->BC} is decomposed into R1(ABC) and R2(AD) which is a lossless join decomposition as:
- First condition holds true as Att(R1) U Att(R2)= (ABC) U (AD) = (ABCD) = Att(R).
- Second condition holds true as Att(R1) ∩ Att(R2) = (ABC) ∩ (AD) ≠ Φ
- Third condition holds true as Att(R1) ∩
  Att(R2) = A is a key of R1(ABC) because A->BC
  is given.

# DEPENDENCY PRESERVING DECOMPOSITION

- If we decompose a relation R into relations R1 and R2, All dependencies of R either must be a part of R1 or R2 or must be derivable from combination of FD's of R1 and R2.
- For Example, A relation R (A, B, C, D) with FD set{A->BC} is decomposed into R1(ABC) and R2(AD) which is dependency preserving because FD A->BC is a part of R1(ABC).

- Consider a schema R(A,B,C,D) and functional dependencies A->B and C->D. Then the decomposition of R into R1(AB) and R2(CD) is \_\_\_\_\_.
  - A. dependency preserving and lossless join
  - B. lossless join but not dependency preserving
  - C. dependency preserving but not lossless join
  - D. not dependency preserving and not lossless join

#### Answer:

For lossless join decomposition, these conditions must hold true:

Att(R1) U Att(R2) = ABCD = Att(R)  
Att(R1) 
$$\cap$$
 Att(R2) =  $\Phi$ ,

which violates the condition of lossless join decomposition. Hence the decomposition is not lossless.

For dependency preserving decomposition,

A->B can be ensured in R1(AB) and C->D can be ensured in R2(CD). Hence it is dependency preserving decomposition. So, the correct option is C.

## END