# **Database Connectivity**



- Understanding the basics of relational databases: relational tables and SQL
- Understanding the role of the JDBC driver, and establishing a database connection using such a driver
- Understanding the handling of JDBC resources, and closing them in a responsible way
- Writing code to create and execute SQL statements: basic statement, prepared statement, and callable statement in the JDBC API
- Writing code to process query results, including customizing the result set returned by a query
- Discovering database capabilities and reading result set metadata
- Writing code to implement transaction control

Java SE 17 Developer Exam Objectives	
[11.1] Implement localization using locales, resource bundles, parse and format messages, dates, times, and numbers including currency and percentage values	§24.1, p. 1512, to §24.8, p. 1545.
Java SE 11 Developer Exam Objectives	
[11.1] Connect to and perform database SQL operations, process query results using JDBC API	§24.1, p. 1512, to §24.8, p. 1545.

Database is a general term that describes organized data storage, controlled by a Database Management System (DBMS). There are many different such systems from different providers and vendors, both commercial and open source.

The responsibilities of a DBMS typically include tasks such as secure and control data access, keep data safe and consistent, and query the data. Databases can be classified

by the way in which they store information, such as hierarchical, network, object, and relational, each with their own advantages and disadvantages. Many modern DBMS present a mix of different database features and capabilities.

This chapter discusses how to develop Java applications that use a relational database, which is one of the most commonly used database models. The Java Database Connectivity (JDBC) specification allows development of Java applications that can leverage a relational database independent of a specific DBMS.

The coverage of JDBC in this chapter is in no way exhaustive. The topics covered provide the basic essentials to write Java applications that use the JDBC API to interact with a relational database in an independent way. Prior knowledge of databases is advantageous, but not essential, as the basics are covered in this chapter. However, to be proficient, the large body of literature and software in this area should be consulted.

# 24.1 Introduction to Relational Databases

Relational databases are based on the *relational model* that provides a standardized way to represent and query data. The data is stored in *tables* and queried using a *query language*.

### **Relational Tables**

Relational databases store information in *tables*. Each table is designed to represent a business entity that applications need to store information about. Each table is composed of *columns* (a.k.a. *attributes*). Each column represents specific information that describes a specific characteristic of a business entity that the table represents.

Each column has a *name* and describes information of a specific *type*, such as fixed-or variable-length character data (type CHAR or VARCHAR), numeric values (type NUMBER or INTEGER), date and time values (type DATE or TIMESTAMP), and many other types. Different database providers may support different data types.

Each table may be defined with a number of *constraints* that enforce business rules associated with this entity. For example, constraints may be used to enforce uniqueness of column values (PRIMARY KEY), or that these values must always be present (NOT NULL), or that they are restricted to a specific list or range of values. Different database providers may support different constraint types.

Actual business data is stored in tables as *rows* (a.k.a. *tuples*). Each row is composed of values that follow the exact structure of columns defined by a given table.

As an example, a music catalogue application needs to store information about music compositions in a database. Let us assume that each composition has the following

### attributes:

- An International Standard Recording Code (*ISRC*), which uniquely identifies a composition
- A title
- A duration

Thus to store this information in a relational database would require a compositions table with <code>isrc</code>, <code>title</code>, and <code>duration</code> columns. Assume that all of these are mandatory pieces of information for every composition object, and that <code>isrc</code> and <code>title</code> are both of type <code>VARCHAR</code>, and <code>duration</code> is of type <code>INT</code>. The definition of the <code>compositions</code> table is shown in <code>Table 24.1</code>.

**Table 24.1** Definition of the compositions Table

Column name	Column type	Column constraints
isrc	VARCHAR(12)	PRIMARY KEY
title	VARCHAR(40)	NOT NULL
duration	INT	NOT NULL

Note that column types often specify specific length or precision characteristics—for example, VARCHAR(12) in <u>Table 24.1</u>. Also, a PRIMARY KEY constraint is usually implicitly mandatory NOT NULL (does not allow null values). In <u>Table 24.1</u>, the isrc column name uniquely identifies a composition, hence its constraint is PRIMARY KEY and implicitly NOT NULL. The other two column names title and duration are mandatory for each composition, hence their constraint is also NOT NULL.

<u>Table 24.2</u> shows example data (four rows) stored in the compositions table, which satisfies the *table definition* given in <u>Table 24.1</u>. Each row in <u>Table 24.2</u> represents a unique music composition.

A table definition can be loosely compared to a class that describes a number of attributes, and a row can be compared to an object (instance) of that specific class. A spreadsheet is another example of organizing data in rows and columns.

isrc	title	duration
ushm91736697	Vacation	231
ushm91736698	Rage	308
ushm91736699	Why Don't	178
ushm91736700	Something Happened	147

# **Basic SQL Statements**

Structured Query Language (SQL) is used to perform relational database operations. Despite the existence of an ANSI (American National Standards Institute) SQL standard, different database providers may provide SQL implementations that are not exactly the same and may contain nuanced differences and proprietary additions.

SQL statements can be logically grouped into a number of languages, in which they are identified by SQL keywords:

- Data Manipulation Language (DML) comprises the SELECT, INSERT, UPDATE, and
   DELETE statements, used to query, create, modify, and remove table rows.
   Sometimes the SELECT statement is described to be in its own Data Query Language
   (DQL) group.
- Data Definition Language (DDL) comprises the CREATE, ALTER, and DROP statements, whose purpose is to create, modify, and remove tables. We will refer to the CREATE, ALTER, and DROP statements as DDL operations.
- *Transaction Control Language (TCL)* comprises the COMMIT and ROLLBACK statements, whose purpose is to save or undo pending changes, respectively.

Often the INSERT, SELECT, UPDATE, and DELETE statements (in that order) are referred to as *CRUD operations*, denoting the *Create*, *Read/Retrieve*, *Update*, and *Delete* operations implied by the acronym, respectively. Note the name mismatch of the CRUD operations and the SQL statements is implied. In particular, the set of CRUD operations does *not* include the CREATE statement in SQL that creates relational tables.

The rest of this subsection presents basic syntax patterns and examples of creating relational tables and the CRUD operations in SQL. Note that the semicolon (;) is a *statement terminator* in SQL.

### The CREATE Statement

The CREATE statement can be used to create a new table in the database:

### Click here to view code image

```
CREATE TABLE table_name (column_definitions);
```

where *table\_name* is the name of the table to be created, and *column\_definitions* is a comma-delimited list that describes each column in this table:

### Click here to view code image

```
column_name column_type constraints, ...
```

The following statement creates an empty compositions table:

### Click here to view code image

```
CREATE TABLE compositions (isrc VARCHAR(12) PRIMARY KEY,
title VARCHAR(40) NOT NULL, duration INT NOT NULL);
```

The CREATE statement above will create an empty relational table whose columns will correspond to the table shown in **Table 24.2**.

### The INSERT Statement

The INSERT statement can be used to insert a new row into a table:

# Click here to view code image

```
INSERT INTO table_name VALUES (actual_values);
```

where *actual\_values* in the VALUES clause is a comma-delimited list that provides values for the columns of the table specified by *table\_name*.

The following statement inserts a new row into the compositions table:

```
INSERT INTO compositions VALUES ('ushm91736697', 'Vacation', 231);
```

The number of rows in the compositions table increases by 1. By default, the values specified are interpreted in the same order as the columns are defined in the table. Note that string literals in SQL are enclosed in single quotes (').

# The SELECT Statement

The SELECT statement can be used to query or read rows from the database:

# Click here to view code image

```
SELECT column_list FROM table_name WHERE row_filter;
```

The *column\_list* is a comma-delimited list of column names, whose values are selected from the table specified with *table\_name* in the FROM clause. The '\*' symbol can be used as *column\_list* to specify that all columns should be included in the returned result. A list of conditions is specified by *row\_filter* in the WHERE clause to determine which specific rows should be selected. Such conditions may be constructed using a variety of operators to compare column values, such as = (*equals*), > (*greater than*), < (*less than*), LIKE (which can use "%" wildcards), and many others. Conditions in *row\_filter* can be combined using AND and OR operators.

### Click here to view code image

```
column_name = some_value AND column_name LIKE some_value
```

The following statement selects values of isrc and title for all rows in the compositions table whose duration is greater than 200 and whose title starts with 'V':

## Click here to view code image

```
SELECT isrc, title FROM compositions WHERE duration > 200 AND title LIKE 'V\%';
```

Applied to <u>Table 24.2</u>, the above query will return the values of isrc and title in the first row, which is the only row that meets the *row\_filter* criteria—that is, (ushm91736697, Vacation).

The WHERE clause is optional. In that case, the values of *column\_list* in the entire table are returned.

```
SELECT * FROM compositions;
```

The above SELECT statement will return all the rows in the specified table.

# The UPDATE Statement

The UPDATE statement can be used to update specific rows in a table. In other words, this statement modifies zero or more rows in the table:

### Click here to view code image

```
UPDATE table_name SET column_name_value_pairs WHERE row_filter;
```

where *column\_name\_value\_pairs* in the SET clause is a comma-delimited list of pairs of column names and values:

### Click here to view code image

```
column_name = column_value, ...
```

and *row\_filter* in the WHERE clause specifies a list of conditions to indicate which specific rows should be updated.

All rows that meet the *row\_filter* criteria in *table\_name* will have their columns specified in the *column\_name\_value\_pairs* set to the corresponding values in *column\_name\_value\_pairs*.

The following statement updates a duration value for an existing row in the compositions table:

#### Click here to view code image

The above UPDATE statement will update the first row (isrc '91736697') in <u>Table</u>

24.2 by setting the duration to 240.

The WHERE clause is optional. When omitted, all rows will have their columns specified in the <code>column\_name\_value\_pairs</code> set to the corresponding values in <code>column\_name\_value\_pairs</code> .

```
UPDATE compositions SET duration = 250;
```

The above UPDATE statement will update the duration column for all rows to 250 in **Table 24.2**.

# The DELETE Statement

The DELETE statement can be used to delete specific rows in a table that meet the criteria in the WHERE clause. This statement deletes zero or more rows from the specified table:

#### Click here to view code image

```
DELETE FROM table_name WHERE row_filter;
```

where *row\_filter* in the WHERE clause is the criteria for which rows should be deleted from *table\_name* in the FROM clause.

The following statement deletes a row from the compositions table:

### Click here to view code image

```
DELETE FROM compositions WHERE isrc = 'ushm91736697';
```

The row with isrc having the value 'ushm91736697' in the compositions table will be deleted from **Table 24.2**.

The WHERE clause is optional. Care must be taken, as omitting it will delete all rows from the table.

```
DELETE FROM compositions;
```

There are many other statements available in SQL described by the ANSI SQL standard and additional statements which may be implemented by database providers.

This book does not have a goal of covering SQL in detail, but provides a brief introduction to ensure a minimum level of understanding of how relational databases operate and thereby an understanding of how Java programs interact with relational databases.

Java certification focuses on Java, not on SQL. However, it is essential to learn database concepts and understand SQL if one wants to work with relational databases.

# 24.2 Introduction to JDBC

The *Java Database Connectivity* (JDBC) protocol provides database interaction capabilities for Java programs. The JDBC specification is not database provider specific, allowing Java programs to interact with any database.

The *JDBC API* is defined in the <code>java.sql</code> package. It comprises a number of interfaces that Java programs use to represent database connections, SQL statements, query results, and much more.

The JDBC API is implemented by a number of database-specific *JDBC drivers*. The job of a JDBC driver is to provide database-specific, native protocol implementation of the JDBC API. For example, Oracle, MySQL, DerbyDB, and others provide JDBC drivers that all implement the same JDBC API, but in a different database-specific manner.

A Java application can dynamically load the JDBC driver as required and connect to different databases, provided that the JDBC driver is present in the class path or module path of the application.

Figure 24.1 illustrates the layered approach to database connectivity. Java developers write code that utilizes JDBC interfaces defined by the java.sql package. These interfaces are implemented by different JDBC drivers. Java developers should only write code that utilizes JDBC API interfaces and not use JDBC drivers directly, so that software portability is not compromised. This allows Java applications to maintain database-provider neutrality, and potentially switch JDBC drivers and database providers without modifying application code. This approach is designed to decouple the application code from specific database providers, potentially allowing the application to switch between different databases and even use different databases at the same time.



Figure 24.1 Layered Database Connectivity

In order to connect to a database, the Java application has to perform the following tasks:

- 1. Ensure that the relevant JDBC driver is available in the class path or module path of the application.
- 2. Load the JDBC driver to memory.

3. Establish the database connection.

Once a database connection is established, a typical interaction scenario with the database proceeds as follows:

- 1. Create SQL statements.
- 2. Execute SQL statements.
- 3. *Process* query results.
- 4. Close the JDBC resources.

# **Closing JDBC Resources**

It is important to ensure that all JDBC resources are properly closed once they are no longer needed.

All JDBC API methods can throw a java.sql.SQLException. A SQLException is a checked exception, which in addition to the usual Java error message, also wraps up database error information such as the SQL state and an error code.

The skeletal code below will always catch the SQLException and handle it:

### Click here to view code image

```
try {
/* execute JDBC operations */
} catch (SQLException e) {
   String state = e.getSQLState();
   int code = e.getErrorCode();
}
```

The logic of the JDBC application can be generalized with the following skeletal code:

It is important to remember that the closing order of these objects is significant: First close any result set objects, then close statements, and then close connections. No Java exceptions are actually produced if you try to close in a different order. However, this would prevent resources from being promptly released and thus can result in memory leaks.

JDBC interfaces that represent connection, statement, and result set objects all implement the AutoCloseable interface. Therefore, they can be used in the try -with-resources construct, in which case they are automatically closed in the implicit finally block inserted by the compiler, as shown below.

### Click here to view code image

# 24.3 Establishing a Database Connection

Interaction between the application and the database is in the context of a *connection*. Database connections are represented by the java.sql.Connection interface. JDBC drivers provide database-specific implementations of this interface.

The class java.sql.DriverManager provides the overloaded factory method get-Connection() that initializes and returns a database Connection object.

# Click here to view code image

All versions of the <code>getConnection()</code> method require a JDBC URL and all throw a <code>SQLException</code>. Additional information may be required to establish a database connection, such as username and password, or other means of authentication such as digital signatures that can be set using the <code>Properties</code> object (§18.2, p. 1100). Note also

that the Connection object returned is AutoCloseable, and therefore it is best handled in a try -with-resources construct to ensure that the connection is closed when done.

# JDBC URL

The general syntax of the *JDBC URL* is as follows:

### Click here to view code image

```
protocol:provider:driver type:database specific connection details
```

The *protocol* is always specified as "jdbc". Other details may vary depending on the database provider. For example, to connect to the Apache Derby database you need to specify the *provider* as "derby", followed by the description of where this database is located, which would include *host*, *port*, and *database name* information.

### Click here to view code image

```
jdbc:derby:localhost:1521:musicDB
```

The example below shows a more sophisticated JDBC driver that may provide different connectivity mechanisms, each identified by an appropriate *subprotocol*. In this case, the JDBC URL example shows how one can specify an Oracle database connection using the most frequently used *thin* protocol implementation that provides enhanced security features.

# Click here to view code image

```
jdbc:oracle:thin:@localhost:1521:musicDB
```

Not all JDBC drivers provide alternative connectivity methods. In the Oracle JDBC driver case, alternative connectivity mechanisms, such as *thin*, *OCI*, or *kprb*, can be used. This could be the case with many other providers of JDBC drivers. In the case of a Derby database, it can be accessed as a separate process or be embedded inside a Java program, which would make the JDBC URL look different. It is best to refer to JDBC driver-specific documentation to identify available choices and the rationale behind selecting one or the other connectivity mechanism.

# **Getting a Database Connection**

To create a connection to a Derby database, the following code can be emulated:

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A portion of the URL that indicates a driver instructs the DriverManager to load the appropriate driver implementation to memory. This of course would fail if this JDBC driver is not found in the class path or module path.

# Connecting to the musicDB Database

We will use the musicDB database which consists of the compositions table shown in **Table 24.2**. Instructions for downloading the files for the musicDB database and the Derby JAR files can be found on this book's website. The structure of the working directory is assumed to be the following:

### Click here to view code image

```
Working directory

?
??? db-derby-10.15.2.0-lib/ <== Directory with the Apache Derby distribution
?
?
? ??? lib/ <== Directory with the Derby JAR files
?
??? musicDB/ <== Directory with the musicDB database files
?
??? dbDemo/ <== Package with examples used in this chapter
?
? ??? JDBCConnection.java
? ?
......
```

Example 24.1 shows how to obtain a connection to the musicDB database. Note that in the JDBC URL, the relative path of the musicDB directory in the working directory is musicDB. The class path must also be set to access the Derby JAR files. In the code below, the implicit finally clause of the try -with-resources statement guarantees to close the connection when done.

### Click here to view code image

```
package dbDemo;
import java.sql.Connection;
import java.sql.DriverManager;
import java.sql.SQLException;

public class JDBCConnection {
  public static void main(String[] args) {
    final String jdbcURL = "jdbc:derby:musicDB";
    try (Connection connection = DriverManager.getConnection(jdbcURL)) {
        /* use the connection. */
        System.out.println(connection);
    } catch (SQLException e) {
        e.printStackTrace();
    }
    }
}
```

Probable output from the program:

# Click here to view code image

```
org.apache.derby.impl.jdbc.EmbedConnection@1201454821 (XID = 1301),
  (SESSIONID = 1), (DATABASE = musicDB), (DRDAID = null)
```

# **Legacy JDBC Driver Management**

Prior to JDBC version 4.0, drivers had to be loaded into memory explicitly, before using them to establish database connections. There are several ways in which this could be achieved:

• Instantiate and register the database-specific JDBC driver class using the java.sql.DriverManager.registerDriver() method:

<u>Click here to view code image</u>

```
DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
```

• Load a database-specific JDBC driver class using the j ava.lang.Class.forName() method:

```
try {
   Class.forName("oracle.jdbc.driver.OracleDriver");
} catch (ClassNotfoundException c) { }
```

Load a database-specific JDBC driver class using the command-line -D option:
 Click here to view code image

```
java -Djdbc.drivers=oracle.jdbc.driver.OracleDriver ...
```

From JDBC 4.0 onward, JDBC drivers are automatically loaded, and no explicit driver loading or registration is required. However, old-style code would still function for backward compatibility reasons.

# 24.4 Creating and Executing SQL Statements

The JDBC API defines three interfaces that represent SQL *statements*:

- java.sql.Statement represents a basic statement (p. 1523). This type of statement can be used to execute any SQL operation, such as CRUD or DDL operations. However, it is associated with performance and security issues. Thus it is not generally recommended, except for very simple operations that do not require dynamic parameterization and that are not reused within a program.
- java.sql.PreparedStatement is a subinterface of the Statement interface, that represents a statement capable of *substitutional parameterization* (p. 1526). This type of statement has the same capabilities as a basic statement, but is considered to be better from both performance and security perspectives, and thus is a recommended choice for representing SQL operations in general.
- java.sql.CallableStatement is a subinterface of the PreparedStatement interface, that represents a statement that invokes a *stored procedure* or *function* (p. 1530) and is also capable of *substitutional parameterization*.

The result of executing a SELECT statement is a table of rows represented by the following interface:

• java.sql.ResultSet that represents a table whose contents can be read and processed

All statements are created using a previously initialized JDBC connection object. A statement is created using one of the methods of the Connection interface shown below. Depending on the method, a specific statement is returned. Note that the statement object returned is AutoCloseable, and should be used in a try-with-resources statement to ensure proper closing of recourses such objects represent.

The following methods are defined in the Connection interface:

### Click here to view code image

```
Statement createStatement()
PreparedStatement prepareStatement(String sql)
CallableStatement prepareCall(String sql)
```

For overloaded versions of these methods in the Connection interface that can be used to customize the result set, see <u>p. 1541</u>.

### **Basic Statement**

We first look at how to create and execute a basic statement. A Statement object should first be obtained from the Connection object. Note the declarations in the header of the try -with-resources statement that obtain a Connection object and create a Statement object, respectively. The try -with-resources statement will ensure that these resources are closed in the right order—that is, the reverse of their declarations.

## Click here to view code image

Once a statement has been obtained, the following methods of the Statement interface can be used to execute and process a SQL query.

## Click here to view code image

```
boolean execute(String sql)
```

The execute() method can be called to execute any operation, returning a boolean value which is true for the SELECT statement, false otherwise.

```
ResultSet executeQuery(String sql)
```

The executeQuery() method can only be called to execute SELECT statements, returning a ResultSet that is the result of executing the query. A ResultSet is also AutoCloseable and must be closed when done. Passing a non-SELECT operation will throw a SQLException.

### Click here to view code image

```
int executeUpdate(String sql)
```

The executeUpdate() method can be called to execute any operations, except SELECT operations, returning an int value indicating the number of rows affected by the non-SELECT operation. Passing a SELECT operation will throw a SQLException.

```
ResultSet getResultSet()
```

Returns the ResultSet that was the result of executing a SELECT query using the current statement.

```
int getUpdateCount()
```

Returns the number of rows that were affected by the execution of a non-SELECT query using the current statement.

An appropriate execute method can be called depending on the type of SQL statement and on passing the SQL operation as a String parameter. The code below executes a SELECT query by calling the execute() method of the Statement interface, as shown at (1). This method returns a boolean value. The output from the code at (2) indicates that a SELECT query was processed.

```
// true
} catch (SQLException e) {
  e.printStackTrace();
}
```

When calling the execute() method with a SQL statement as a String parameter, it might not be possible to know a priori what type of SQL statement will be executed. However, this method would return true if the statement happens to be a SELECT query; otherwise, it returns false. As shown in the code below, which analyzes the resulting value in an if statement, a ResultSet object can be retrieved or the number of rows affected by the statement, depending on the result.

### Click here to view code image

The method executeQuery() is used exclusively to execute a SELECT query. This method returns a ResultSet object that represents the rows retrieved as a result of executing the query. As a ResultSet is AutoCloseable, the method is called in a nested try-with-resources statement at (1) to ensure closure of the ResultSet.

The method executeUpdate() can be used to execute any SQL statement except a SELECT statement. An int value indicating the number of rows affected by the statement is returned as a result. The code below doubles the duration of each composition in the compositions table.

### Click here to view code image

The code above illustrates the procedure to use a basic statement. The numbered comments below correspond to the numbered lines in the code:

- 1. Create a Connection with the DriverManager.getConnection() method.
- 2. Obtain a Statement from the connection by calling its createStatement() method.
- 3. Formulate a SQL operation.
- 4. Call the appropriate execute method of the statement, passing the SQL operation as the String parameter.

# **SQL Injection**

Passing the SQL operation as a string in the execute method presents the risk of a *SQL injection*, as malicious SQL code can be injected into the query string. Assume that there is a basic statement that queries a table, where the query is dependent on a value:

### Click here to view code image

```
ResultSet resultSet = statement.executeQuery(
    "select * from compositions where title like '" + value + "'");
```

A user may try to exploit this code by submitting a malicious value, such as the following:

```
String value = "SomeValue'; drop table compositions;";
```

The above query would be equivalent to the following statement, where the SELECT operation would be executed, followed by dropping the compositions table:

### Click here to view code image

```
ResultSet resultSet2 = statement.executeQuery(
    "select * from compositions where title like '" +
    "SomeValue'; drop table compositions;");
```

To address this issue, one can use either a PreparedStatement object or the Statement.enquoteLiteral() method to sanitize the parameter value.

# **Prepared Statement**

In contrast to the Statement object, which defines the SQL operation as a String parameter to the execute method, a PreparedStatement defines a SQL operation immediately at the point when the prepared statement is created with the prepare-Statement() method. In other words, the SQL operation string is passed as an argument in the prepareStatement() method call, as shown at (1). The prepared statement executes the precompiled SQL operation at (2) by calling the execute() method.

### Click here to view code image

# **Substitutional Parameterization**

Consider the following code:

```
String sql = "select * from compositions where duration > ?"; // (1) SQL operation // with 1 marker parameter.
```

The SQL operation at (1) now contains a *marker parameter* (also known as *bind parameter* or *bind variable*). Prepared statements use *positional notation* where each question mark (?) indicates the position of a marker parameter starting with 1 from left to right. This could be any SQL operation, such as an INSERT, UPDATE, or DELETE statement with appropriate marker parameters. The SQL operation with marker parameters is passed as an argument to the prepareStatement() method to precom-pile this SQL operation into a prepared statement, as shown at (2).

However, before a prepared statement can be executed, all its parameters must be set—that is, all marker parameters must be substituted with a value. One can use the set methods of the PreparedStatement interface that are provided for all standard JDBC types to set the value of a marker parameter (see below).

### Click here to view code image

```
pStatement.setInt(1,200);  // Sets the value of the marker parameter
  // at position 1 to 200.
```

Alternatively, the method setObject() can be used to supply a value and explicitly indicate the desired SQL type:

### Click here to view code image

```
pStatement.setObject(1, 200, Types.INTEGER);
```

There is no requirement that parameters should be set in any specific order, as long as all parameters are set before executing the statement. Note that the position counter in JDBC is not 0-based as in the rest of the Java language, but starts at 1, which is typical in the database world. The driver converts the value of the Java data type in the method call to the corresponding value of the SQL data type. All parameter-setting methods throw a SQLException if the parameter index does not correspond to a marker parameter, or if it is called on a prepared statement that is already closed.

The PreparedStatement interface is a subinterface of the Statement interface. Once a prepared statement has been obtained with its precompiled SQL operation and values for its marker parameters have been supplied, the execute methods of the PreparedStatement interface can be used to execute the SQL operation. These execute methods are analogous to the corresponding methods in the Statement interface. Note that since the SQL operation to execute is already precompiled with the prepared state-

ment, the execute methods of the PreparedStatement interface do not take any parameter. Specifying an argument will throw a SQLException, as will any marker parameter that is not substituted with a value.

```
boolean execute()
ResultSet executeQuery()
int executeUpdate()
```

The PreparedStatement interface provides the following set methods for setting the values of marker parameters:

### Click here to view code image

```
void setString(int index, String value)
```

Sets a String value for the parameter designated by the index.

### Click here to view code image

```
void setBoolean(int index, boolean value)
```

Sets a boolean value for the parameter designated by the index.

# Click here to view code image

```
void setInt(int index, int value)
```

Sets an int value for the parameter designated by the index.

### Click here to view code image

```
void setLong(int index, long value)
```

Sets a long value for the parameter designated by the index.

```
void setDouble(int index, double value)
```

Sets a double value for the parameter designated by the index.

# Click here to view code image

```
void setBigDecimal(int index, BigDecimal value)
```

Sets a BigDecimal value for the parameter designated by the index.

### Click here to view code image

```
void setDate(int index, Date value)
```

Sets a Date value for the parameter designated by the index.

### Click here to view code image

```
void setObject(int index, Object value, int sqlType)
```

Sets any Object value for the parameter designated by the index, and the required SQL type which is a named constant in the <code>java.sql.Types</code> class—for example, <code>Types.INTEGER</code>, <code>Types.DATE</code>, or <code>Types.VARCHAR</code>.

# **Example 24.2** Executing a Prepared Statement

```
package dbDemo;
import java.sql.*;
public class XQTPreparedStatement {
  public static void main(String[] args) {
    final String jdbcURL = "jdbc:derby:musicDB";
    String sql = "select * from compositions where duration > ?";
                                                                             // (1)
    try (Connection connection = DriverManager.getConnection(jdbcURL);
                                                                            // (2)
        PreparedStatement pStatement = connection.prepareStatement(sql);) { // (3)
      pStatement.setInt(1, 200);
                                                                             // (4)
      boolean result = pStatement.execute();
                                                                             // (5)
      System.out.println(result);
    } catch (SQLException e) {
      e.printStackTrace();
    }
```

```
}
}
```

Probable output:

```
true
```

The procedure to use a prepared statement is illustrated by **Example 24.2**. The numbered comments below correspond to the numbered lines of code in the example.

- 1. Formulate a SQL operation with marker parameters.
- 2. Create a Connection with the DriverManager.getConnection() method.
- 3. Create a PreparedStatement from the connection by calling its prepareStatement() method and passing the SQL operation as a parameter.
- 4. Set the values of all marker parameters.
- 5. Call the appropriate execute method of the prepared statement.

Note that a prepared statement can be reused by substituting different values for its marker parameters. In the try -with-resources statement in **Example 24.2**, we can include the following code to change the condition in the WHERE clause at (1) by substituting another value for the marker parameter before executing the prepared statement:

### Click here to view code image

```
pStatement.setInt(1, 100);
result = pStatement.execute();
```

Substitutional parameterization allows SQL operations to be precompiled and reused, leading to more efficient execution. Supplying values using marker parameters is more flexible, faster, and safer than using a basic statement because the prepared statement is precompiled and marker parameters are not prone to SQL injection.

Example 24.3 illustrates prepared statements to execute INSERT, UPDATE, and DELETE statements with marker parameters that are defined first. A database connection and three prepared statements are created in the header of the try -with-resources statement, respectively. The marker parameters are set in each prepared statement, before the statement is executed using the executeUpdate() method of the Prepared-Statement interface. The program prints three integers that indicate the number of rows affected by each SQL operation, which of course is dependent on the data in the compositions table.

```
package dbDemo;
import java.sql.DriverManager;
import java.sql.SQLException;
public class PreparedStatementExecuteUpdate {
  public static void main(String[] args) {
    final String insSql = "insert into compositions VALUES(?, ?, ?)";
    final String updSql = "update compositions set title = ? where title = ?";
    final String delSql = "delete from compositions where duration = ?";
    final String jdbcURL = "jdbc:derby:musicDB";
    try (var connection = DriverManager.getConnection(jdbcURL);
        var pStatement1 = connection.prepareStatement(insSql);
        var pStatement2 = connection.prepareStatement(updSql);
        var pStatement3 = connection.prepareStatement(delSql)) {
        pStatement1.setInt(3, 150);
        pStatement1.setString(2, "Java Jazz");
        pStatement1.setString(1, "ushm91736991");
        int result1 = pStatement1.executeUpdate();
        System.out.println(result1);
        pStatement2.setString(1, "Java Jive");
        pStatement2.setString(2, "Java Jazz");
        int result2 = pStatement2.executeUpdate();
        System.out.println(result2);
        pStatement3.setInt(1, 200);
        int result3 = pStatement3.executeUpdate();
        System.out.println(result3);
    } catch (SQLException e) {
      e.printStackTrace();
    }
  }
}
```

Probable output:

```
1
1
0
```

#### Callable Statement

The Callable interface is a subinterface of the PreparedStatement interface. Callable statements are very similar to prepared statements because both use marker parameters. The main difference is that callable statements are used to invoke *named stored* procedures and stored functions that reside on the database side. The difference between a function and a procedure is that a function is intended to return a value. Both are precompiled code on the database side and can be invoked via a callable statement on the application side.

# **Syntax of Calling Stored Procedures and Functions**

The syntax for calling stored procedures and functions is shown below at (1) and (2), respectively. The parameter list of a stored procedure or function can have any number of marker parameters, but the value returned by a function call is assigned to the first marker on the left-hand side of the = sign.

### Click here to view code image

Callable statements are prepared analogous to prepared statements by calling the pre-pareCall() factory method of the Connection interface, as shown at (3) and (4). The marker parameters in the call must be set up in accordance with the parameters of the stored procedure or function before executing the callable statement.

Three kinds of marker parameters can be specified in a call to a stored procedure or function on the database side: IN, OUT, and INOUT parameters.

### **IN Parameters**

These parameters pass values to the stored procedure or function. These are marker parameters whose values are initialized with the inherited <code>setXXX()</code> methods from the superinterface <code>PreparedStatement</code>. The IN parameters for callable statements are handled exactly as those for prepared statements—a prepared statement has only IN parameters. The parameter index starts at 1. It goes without saying that IN parameters must be initialized before the callable statement is executed.

#### **OUT Parameters**

These parameters are marker parameters that hold values returned by a stored procedure or a function. Before executing callable statements with OUT parameters, the registerOutputParameter() method of the callable statement should be used to specify the expected types of the values returned in the OUT parameters.

# Click here to view code image

```
cStatement1.registerOutParameter(2, Types.VARCHAR); // Register SQL VARCHAR as
// type for the
// 2nd marker parameter.

cStatement2.registerOutParameter(1, Types.INTEGER); // Register SQL INTEGER as
// type for the
// 1st marker parameter.
```

# Click here to view code image

```
void registerOutParameter(int parameterIndex, int sqlType)
```

Registers the type of the OUT parameter at the marker parameter index to be of the specified JDBC type. The JDBC type is a constant specified by java.sql.Types —for example, Types.INTEGER and Types.VARCHAR.

Note there can be several OUT parameters, and the *first* marker parameter of a stored function call must also register the expected type of its return value.

The Callable interface inherits the execute methods of the PreparedStatement interface. The code below executes callable statements.

```
boolean result1 = cStatement1.execute();
boolean result2 = cStatement2.execute();
```

After execution, the <code>getXXX()</code> methods of the <code>CallableStatement</code> interface can be used to retrieve OUT parameter values of relevant types, based on the parameter index.

### Click here to view code image

```
String result1 = cStatement1.getString(2); // Retrieve value of OUT parameter // at marker 2.
```

The generic method getObject() can also be used to retrieve OUT parameter values, specifying both an index and the expected Java type of the value (see below).

# Click here to view code image

```
String result2 = cStatement2.getObject(1, Integer.class);// Retrieve value of OUT
// parameter at marker 1.
```

Following are selected get methods in the CallableStatement interface to retrieve values of OUT parameters:

### Click here to view code image

```
String getString(int paramIndex)
```

Retrieves the value of the designated marker parameter as a String.

### Click here to view code image

```
int getBoolean(int paramIndex)
```

Retrieves the value of the designated marker parameter as a boolean.

### Click here to view code image

```
int getInt(int paramIndex)
```

Retrieves the value of the designated marker parameter as an int.

```
double getLong(int paramIndex)
```

Retrieves the value of the designated marker parameter as a long.

### Click here to view code image

```
double getDouble(int paramIndex)
```

Retrieves the value of the designated marker parameter as a double.

### Click here to view code image

```
BigDecimal getBigDecimal(int paramIndex)
```

Retrieves the value of the designated marker parameter as a BigDecimal.

```
Date getDate(int paramIndex)
```

Retrieves the value of the designated marker parameter as a Date.

# Click here to view code image

```
<T> T getObject(int paramIndex, Class<T> type)
```

Retrieves the value of the designated marker parameter as an object of the indicated Java type.

#### **INOUT Parameters**

An INOUT parameter acts as both an IN parameter to pass a value to the stored procedure or function and an OUT parameter to return a value from the stored procedure or function. Before the execution of the callable statement, its value can be initialized with a setXXX() method as for an IN parameter and can register the JDBC type of the return value by calling the registerOutputParameter() method as for an OUT parameter. The returned values can be retrieved using the appropriate getXXX() method as we have seen for OUT parameters.

<u>Example 24.4</u> illustrates the basics of calling stored procedures and functions. The nitty-gritty of creating and deploying stored methods and procedures is database specific, and beyond the scope of this book.

```
public void storedProcedureCall(Connection connection) {
 final String callProc
      = "{call longCompositionsProc(?, ?)}";
                                                         // (1) 1: IN 2:OUT
 try (CallableStatement cStmt = connection.prepareCall(callProc)) { // (2)
    int duration = 100;
    cStmt.setInt(1, duration);
                                                                      // (4)
    cStmt.registerOutParameter(2, Types.INTEGER);
                                                                      // (5)
                                                                      // (6)
    cStmt.execute();
    int returnedValue = cStmt.getInt(2);
                                                                      // (7)
    System.out.println("Compositions with duration greater than "
        + duration + ": " + returnedValue);
  } catch (SQLException e) {
    e.printStackTrace();
}
public void storedFunctionCall(Connection connection) {
  final String callFunc
      = "? = {call longCompositionsFunc(?)}";
                                                           // (8) 1:OUT 2:IN
  try (CallableStatement cStmt = connection.prepareCall(callFunc)) {
    cStmt.registerOutParameter(1, Types.INTEGER);
                                                                       // (9)
    int duration = 100;
    cStmt.setInt(2, duration);
                                                                       // (10)
    cStmt.execute();
    int returnedValue = cStmt.getInt(1);
                                                                       // (11)
    System.out.println("Compositions with duration greater than "
        + duration + ": " + returnedValue);
  } catch (SQLException e) {
   e.printStackTrace();
 }
}
```

The two methods storedProcedureCall() and storedFunctionCall(), in <a href="Example"><u>Example</u></a>
<a href="Example">24.4</a>, call a stored procedure and a stored function, respectively. Both the stored procedure named longCompositionsProc and the stored function named longCompositionsFunc compute the number of rows in the compositions table whose duration is greater than the duration specified in the call. We assume that the stored procedure and function are implemented and deployed on the database side. The result returned will depend on the state of the compositions table. The numbered comments below correspond to the numbered lines in <a href="Example 24.4">Example 24.4</a>.

1. The stored procedure longCompositionsProc has one IN and one OUT parameter designated by the marker positions 1 and 2, respectively.

- 2. The prepareCall() method prepares a callable statement for the stored procedure call.
- 3. The variable duration is declared and initialized with the value 100.
- 4. The IN parameter at marker 1 is initialized with the value of the variable duration ( 100 ). We are interested in finding the number of rows with duration greater than 100.
- 5. The OUT parameter at marker 2 is registered to return a value of JDBC type INTEGER which will be retrieved as a value of Java type int.
- 6. The execute() method executes the stored procedure call in the callable statement.
- 7. After execution, the int value returned in the OUT parameter at marker 2 can be retrieved. Of course, this value depends on the state of the database.
- 8. The stored function longCompositionsCall has one IN and one OUT parameter designated by the marker positions 2 and 1, respectively.
- 9. Note that the OUT parameter at marker 1 is reserved for the return value from the stored function call, but its JDBC type must be registered, in this case JDBC type INTEGER.
- 10. The IN parameter at marker 2 is initialized with the value | 100 | as the lower limit for duration.
- 11. After execution, the returned value at marker 1 can be retrieved.

# 24.5 Processing Query Results

A *result set* represents a table of rows that is the result of executing a database query. By default, it is not updatable—that is, it cannot be modified. SELECT queries can be executed by the different types of statements that we have encountered so far to create result sets (p. 1522). It is quite obvious that basic and prepared statements can produce such results, but it is also possible to get a result set as an output value from a stored procedure or function.

The first thing to note is that a ResultSet object is AutoCloseable. Of course, it will be automatically closed and its resources released if handled in a try -with-resources statement. However, it can be prudent to call its close() method to release its resources immediately after use rather than wait for it to happen automatically. This might improve overall performance and facilitate scalability of the application.

# **Traversing the Result Set**

Associated with a result set is a *cursor* that indicates the current row in the table of rows associated with the result set. This cursor should not be confused with a *database cursor* which represents a ResultSet object itself in database terminology. Initially the cursor is positioned just before the first row. A result set can be traversed by moving the cursor forward by one row by calling the next() method of the ResultSet interface.

- If the next() method returns true, the cursor has been moved forward and the row it points to is now the current row whose column values can be read from the result set using the get methods of the ResultSet interface (p. 1537).
- If the next() method returns false, the cursor now points past the last row and there are no more rows to traverse in the result set.

A result set can only be traversed once from the first row to the last row. The next() method can be used as the condition of a while loop to process all rows in the result set.

```
while (resultSet.next()) {
  /* Process current row. */
}
```

An if statement can be used to determine whether the result set is empty or not. For example, a SELECT statement parameterized with a primary key value would expect to return a single row. However, it is also possible that no rows are returned if the marker parameter specifies a nonexistent key value. In any case, the next() method should be invoked to check if there are any rows in the result set.

```
if (resultSet.next()) {
   /* Result set not empty. */
}
```

# **Result Set Navigation Methods**

The following methods of the ResultSet interface can be used to navigate a result set. By default, the cursor can only be moved in the forward direction by calling the next() method. The other navigation methods (previous(), next(), first(), last(), absolute(), and relative(int row)) can only be used if scrolling is first enabled by setting the appropriate ResultSet type (Table 24.3, p. 1539).

```
boolean next()
```

Returns true if it is possible to move the cursor forward by one row from its current position; otherwise, it returns false. The return value false implies that either the cursor is after the last row or the result set is empty. Calling the <code>next()</code> method after it returns false will throw a SQLException.

```
boolean previous()
```

Returns true if it is possible to move the cursor to the previous row from its current position; otherwise, it returns false. The return value false implies that either the cursor is before the first row or the result set is empty. In both case, the cursor does not point to a valid row—in which case, calling a method on the ResultSet that requires a valid row will throw a SQLException.

```
boolean first()
```

Returns true if it is possible to move the cursor to the first row; otherwise, it returns false. The return value false implies that the result set is empty.

```
boolean last()
```

Returns true if it is possible to move the cursor to the last row; otherwise, it returns false. The return value false implies that the result set is empty.

Click here to view code image

```
boolean absolute(int rowNumber)
```

If the specified row number is positive, the cursor is moved to the absolute row number with respect to the beginning of the result set. Calling absolute(1) is the same as calling first().

If the given row number is negative, the cursor is moved to the absolute row number with respect to the end of the result set. Calling absolute(-1) is the same as calling last().

If the row number is zero, the cursor is moved to before the first row.

An attempt to position the cursor beyond the first or the last row in the result set leaves the cursor before the first row or after the last row, respectively.

```
boolean relative(int rows)
```

A positive/negative value in rows moves the cursor that many rows forward/ backward from the current position, respectively. Attempting to move beyond the first or the last row in the result set will set the cursor before the first row or after the last row, respectively.

Calling the method relative(1) is the same as calling the method next().

Calling the method relative(-1) is the same as calling the method previous().

Calling relative(0) is valid, but does not change the cursor position.

# **Optimizing the Fetch Size**

To optimize the processing of rows in the result set that resulted from executing a query, only a certain number of these rows are fetched at a time from the database when they are needed by the result set. The default number to fetch, called the default fetch size, is set by the Statement object that created the result set.

The fetch size achieves a balance between the time it takes to fetch a row (depending on the row size), the total number of rows, and the number of round trips necessary between the database and the application to fetch all the rows.

However, we can improve the performance of processing the result set by providing a hint about the fetch size in the setFetchSize() method. Setting the fetch size only provides the JDBC driver with a hint as to the number of rows that should be fetched at a time from the database when more rows are needed by the result set. Setting the fetch size value to 0 is ignored by the JDBC driver, and it will then decide what fetch size to use. The fetch size can be set at the level of the Statement or the ResultSet.

### Click here to view code image

Calling the <code>next()</code> method will still only move the cursor to the next row, if there are still rows in the result set to traverse, regardless of the actual fetch size that is set. In other words, the program logic does not change in any way regardless of the fetch size optimization.

# **Extracting Column Values from the Current Row**

The column values of the current row indicated by the cursor can be extracted using the get methods provided by the result set (see below).

### Click here to view code image

We can also use the <code>getObject()</code> generic method, specifying either the column index or the column name together with the corresponding SQL type.

#### Click here to view code image

```
String strValue3 = resultSet.getObject(1, String.class);
String strValue4 = resultSet.getObject("column_name", String.class);
```

If the result set is not processed in a try -with-resources statement, consider closing the result set explicitly as soon as possible, after it has been processed.

```
resultSet.close();
```

### Result Set Methods to Extract Column Values of the Current Row

The following get methods of the ResultSet interface can be used to extract the column values of the current row indicated by the cursor in the result set. The column index or the column label can be passed as a parameter to designate the appropriate column in the table. The CallableStatement interface provides analogous getXXX() methods to retrieve values of OUT parameters (p. 1530).

Selected get methods from the ResultSet interface to extract column values of the current row are shown below:

# Click here to view code image

```
String getString(int columnIndex)
String getString(String columnLabel)
```

Retrieve the value of the column as a String.

```
int getBoolean(int columnIndex)
int getBoolean(String columnLabel)
```

Retrieve the value of the column as a boolean.

### Click here to view code image

```
int getInt(int columnIndex)
int getInt(String columnLabel)
```

Retrieve the value of the column as an int.

# Click here to view code image

```
double getLong(int columnIndex)
double getLong(String columnLabel)
```

Retrieve the value of the column as a long.

### Click here to view code image

```
double getDouble(int columnIndex)
double getDouble(String columnLabel)
```

Retrieve the value of the column as a double.

### Click here to view code image

```
BigDecimal getBigDecimal(int columnIndex)
BigDecimal getBigDecimal(String columnLabel)
```

Retrieve the value of the column as a BigDecimal.

# Click here to view code image

```
Date getDate(int columnIndex)
Date getDate(int columnLabel)
```

Retrieve the value of the column as a Date.

```
<T> T getObject(int columnIndex, Class<T> type)
<T> T getObject(int columnLabel, Class<T> type)
```

Retrieve the value of the column as an object of the indicated Java type.

Example 24 C Deceasing a Deceltont

# **Example 24.5** Processing a ResultSet

### Click here to view code image

```
package dbDemo;
import java.sql.DriverManager;
import java.sql.SQLException;
import java.time.Duration;
import static java.lang.System.out;
public class ResultSetProcessing {
  public static void main(String[] args) {
    final String jdbcURL = "jdbc:derby:musicDB";
    final String sql = "select * from compositions where duration > ?";
                                                                          // (1)
    try (var connection = DriverManager.getConnection(jdbcURL);
                                                                           // (2)
        var pStatement = connection.prepareStatement(sql);) {
                                                                           // (3)
      pStatement.setInt(1, 0);
                                                                           // (4)
      var resultSet = pStatement.executeQuery();
      try (resultSet) {
                                                                           // (5)
        while (resultSet.next()) {
                                                                           // (6)
          String isrc = resultSet.getString(1);
                                                                           // (7)
          String title = resultSet.getObject(2, String.class);
                                                                          // (8)
          int duration = resultSet.getInt("duration");
                                                                          // (9)
          out.println("[" + isrc + ", " + title + ", " + duration + "]"); // (10)
        }
      } // Closes the result set.
    } catch (SQLException e) {
                                                                           // (11)
      e.printStackTrace();
    } // Closes the prepared statement and the connection.
  }
}
```

Probable output from the program:

```
[ushm91736697, Vacation, 231]
[ushm91736698, Rage, 308]
```

Example 24.5 illustrates the main steps in the interaction between a Java application and a relational database. The example uses the musicDB database that has been used so far to illustrate salient features of programming this interaction. The numbered comments below correspond to the numbered code lines in Example 24.5.

- 1. Define the SELECT statement to execute, having one marker parameter for the second operand of the boolean expression.
- 2. Use the try -with-resources statement to declare the resources and to close the connection and the prepared statement afterward. Obtain the connection to the database.
- 3. Create the prepared statement in the header of the try -with-resources statement.
- 4. Substitute the marker parameter with a value in the SELECT statement at (1).
- 5. Use the nested try -with-resources statement to close the result set after processing it. The prepared statement is executed in the header of the try -with-resources statement, returning a result set. Any exception thrown will be propagated to the catch clause at (11) of the outer try -with-resources statement.
- 6. The while loop processes the rows in the result set.
- 7. Extract the column values of the current row denoted by the result set cursor by calling the appropriate get methods of the result set. Extract a string (isrc) from column 1 using the getString() method.
- 8. Extract a string (title) from column 2 using the getObject() method.
- 9. Extract an int value (duration) by column name using the getInt() method.
- 10. Print the column values of the current row.
- 11. Use the catch clause of the outer try -with-resources statement to catch any SQLException .

# 24.6 Customizing Result Sets

It is possible to customize certain features of the result set. Such features may not necessarily be available across all databases and in some cases may result in performance degradation. In this section we discuss possible customizations and what can be achieved by them.

The following features of the result set can be customized:

- *Result set type*: This feature allows customization of the *navigational direction* of the result set traversal and the *sensitivity* of the result set to reflect changes made in the underlying data while it remains open.
- *Result set concurrency*: This feature allows customization of the *updatability* of the result set—that is, whether the ResultSet object can be updated using the

ResultSet interface.

• *Result set holdability*: This feature allows customization of whether the result set is retained or closed when the current transaction is committed.

Valid values for these features are defined by static constants in the ResultSet interface, shown in <u>Table 24.3</u>.

 Table 24.3 Selected Constants Defined in the ResultSet Interface

Result set type constants	Description
TYPE_FORWARD_ONLY	The type for a ResultSet object whose cursor may only move <i>forward</i> . This is the default ResultSet type.
TYPE_SCROLL_INSENSITIVE	The type for a ResultSet object that is <i>scrollable</i> (i.e., the cursor can move forward and backward), but generally <i>not sensitive</i> to changes made to the underlying data while the ResultSet is open.
TYPE_SCROLL_SENSITIVE	The type for a ResultSet object that is <i>scrollable</i> and generally <i>sensitive</i> to changes made to the underlying data while the ResultSet is open.
Result set concurrency constants	Description
CONCUR_READ_ONLY	The concurrency for a ResultSet object that may not be updated—that is, it is read-only. In other words, updatability of the ResultSet object is not allowed. This is the default ResultSet concurrency.
CONCUR_UPDATABLE	The concurrency for a ResultSet object that may be updated.  That is, <i>updatability</i> of the ResultSet is allowed.
Result set holdability constants	Description
CLOSE_CURSORS_AT_COMMIT	Open ResultSet objects with this <i>holdability</i> will be <i>closed</i> when the current transaction is commit-

Result set type constants	Description	
	ted. Such a ResultSet is said not to be holdable.	
HOLD_CURSORS_OVER_COMMIT	Open ResultSet objects with this holdability will	
	remain open when the current transaction is com-	
	mitted. Such a ResultSet said to be holdable.	

# **Result Set Type**

The *result set type* refers to the direction of navigation in the result set and whether changes in the underlying data get reflected in an open result set.

By default, the result set type is set to <code>ResultSet.TYPE\_FORWARD\_ONLY</code>, which makes the cursor move in the forward direction only, from before the first row and successively to after the last row. This essentially means that the traversal of the rows in the result set is only possible with the <code>next()</code> method. This option is considered to be a safe default choice for all result sets because forward-only progression through rows does <code>not</code> require the Java application to download the whole <code>database cursor</code> (i.e., all rows that comprise the result of the query on the database). It also allows the fetch size to be customized to achieve better performance, especially in a situation where the query might result in a large number of rows.

With the option ResultSet.TYPE\_FORWARD\_ONLY, the result set may reflect changes in the underlying database that occur while the result set is being processed. Not all databases are capable of reflecting changes.

Two other options (ResultSet.TYPE\_SCROLL\_INSENSITIVE, ResultSet.TYPE\_SCROLL\_SENSITIVE) are also available for the result set type, both of which enable scrolling—that is, the arbitrary navigation direction in the result set using the previous(), next(), first(), last(), absolute(), and relative() methods (p. 1534). The cursor can move both forward and backward relative to the current position, or to an absolute position. In order to jump to a particular row in the result set, the entire result set may need to be downloaded first from the database, which can result in performance degradation when dealing with very large result sets returned by the query.

The options ResultSet.TYPE\_SCROLL\_INSENSITIVE and ResultSet.TYPE\_SCROLL\_SENSITIVE make the result set *insensitive* and *sensitive*, respectively, to changes made in the underlying data source while the result set is open.

The DatabaseMetaData.supportsResultSetType() method can be called to determine whether a particular result set type is actually supported by the database (p. 1543).

# **Result Set Concurrency**

The result set concurrency feature enables or disables whether the result set can be updated or not—that is, it indicates the concurrency mode of the result set. By default, the result set concurrency is set to ResultSet.CONCUR\_READ\_ONLY, which means the result set cannot be updated. Alternatively, updatability can be enabled by setting the result set concurrency to be ResultSet.CONCUR\_UPDATABLE. This allows the result set to be modified.

The DatabaseMetaData.supportsResultSetConcurrency() method can be called to identify valid combinations of result set type and result set concurrency supported by the current JDBC driver (p. 1543).

# **Result Set Holdability**

The *result set holdability* refers to whether the result set may remain open or be closed when the current transaction is committed (p. 1545). The default value for the result set holdability is actually database dependent. One option is Result-Set.HOLD\_CURSORS\_OVER\_COMMIT, which allows the result sets (cursors) to remain open when the transaction is committed.

Alternatively, holdability can be set to ResultSet.CLOSE\_CURSORS\_AT\_COMMIT, which indicates that ResultSet objects (cursors) should be closed upon commit. Closing cursors can result in better performance for some applications.

The DatabaseMetaData.supportsResultSetHoldability() method can be called to identify the holdability supported by the current JDBC driver (p. 1543).

Please note that not all databases and JDBC drivers support these customizations. Such customizations can be specified when the statement object is created by calling the relevant factory method of the Connection interface. Analogous methods with the same result set customizations are also available for a Statement and a Callable.

The following overloaded method is defined in the Connection interface:

```
PreparedStatement prepareStatement(String sql,
int resultSetType, int resultSetConcurrency,
int resultSetHoldability)
```

Example 24.6 illustrates using different ResultSet options. Note that in this example, the call to the updateRow() method will result in the column value of the underlying row in the database to be updated with the column value of the current row in the result set which was just updated. In other words, the updateRow() method commits the changes to the database and thereby the result set is also closed as we have specified ResultSet.CLOSE\_CURSORS\_AT\_COMMIT. However, if the automatic commit is disabled, as shown at (1), the changes in the database due to the updateRow() method will not be committed and the result set will not be closed until an explicit call to the commit() method is executed, as shown at (2) (p. 1545).

Example 24.6 Using ResultSet Options

```
package dbDemo;
import java.sql.DriverManager;
import java.sql.ResultSet;
import java.sql.SQLException;
public class ResultSetCustomization {
  public static void main(String[] args) {
    final String jdbcURL = "jdbc:derby:musicDB";
    try (var connection = DriverManager.getConnection(jdbcURL);
        var statement = connection.prepareStatement(
            "select duration from compositions where title = ?",
            ResultSet.TYPE_FORWARD_ONLY,
                                               // Forward direction. May reflect
                                               // database changes.
            ResultSet.CONCUR_UPDATABLE,
                                              // Result set is updatable.
            ResultSet.CLOSE_CURSORS_AT_COMMIT // Result set is closed on commit.
            )) {
      connection.setAutoCommit(false);
                                                // (1) Disables automatic commit.
      statement.setString(1, "Vacation");
      try (ResultSet resultSet = statement.executeQuery();) {
        if (resultSet.next()) {
                                               // Moves forward one row.
          resultSet.updateInt("duration", 147); // Updates the current row
                                                // in the result set.
                                                // Updates the underlying
          resultSet.updateRow();
                                                // database.
          System.out.println("Updated");
        }
                                                // (2) Also closes the result set.
        connection.commit();
    } catch (SQLException e) {
      e.printStackTrace();
    }
```

```
}
}
```

Possible program output:

```
Updated
```

# 24.7 Discovering Database and ResultSet Metadata

The JDBC API allows Java programs to interact with many different types of databases. Obviously, different database providers have different capabilities and default behaviors, and may or may not support certain SQL features. It is possible to obtain such information using the <code>java.sql.DatabaseMetaData</code> object. This object is obtained from the JDBC Connection and can be used to investigate various capabilities and many other properties, such as support for type, concurrency, and holdability of a result set.

Following selected methods from the DatabaseMetaData API can be used to examine the capabilities of a database:

Click here to view code image

```
String getDatabaseProductName()
```

Retrieves the name of this database product.

Click here to view code image

```
String getDatabaseProductVersion()
```

Retrieves the version number of this database product.

```
String getSQLKeywords()
```

Retrieves a comma-separated list of all SQL keywords supported by this database.

Click here to view code image

```
boolean supportsResultSetType(int resultSettype)
```

Retrieves whether this database supports the given result set type.

```
boolean supportsResultSetHoldability(int holdability)
```

Retrieves whether this database supports the given result set holdability.

### Click here to view code image

```
boolean supportsResultSetConcurrency(int resultSettype, int concurrency)
```

Retrieves whether this database supports the given combination result set type/concurrency type.

In addition to the database metadata, a ResultSetMetaData object can be used to discover information about the structure of a given result set.

Following selected methods from the ResultSetMetaData API can be used to examine the structure of the result set:

```
int getColumnCount()
```

Returns the number of columns in this result set.

### Click here to view code image

```
String getColumnName(int column)
```

Returns the name of the designated columns in this result set.

```
int getColumnType(int column)
```

Returns the type of the designated columns in this result set. The <code>int</code> value returned corresponds to the SQL type designated by constants in <code>java.sql.Types</code>. The values 12 and 4 represent the SQL types VARCHAR and INTEGER, respectively.

**Example 24.7** prints various metadata about the database and the result set.

```
package dbDemo;
import java.sql.DatabaseMetaData;
import java.sql.DriverManager;
import java.sql.ResultSet;
import java.sql.ResultSetMetaData;
import java.sql.SQLException;
public class DBMetadata {
  public static void main(String[] args) {
    final String jdbcURL = "jdbc:derby:musicDB";
    try (var connection = DriverManager.getConnection(jdbcURL)) {
      // Print various information about the database:
      DatabaseMetaData dbMetaData
          = connection.getMetaData();
                                                      // Obtain DatabaseMetaData.
      String dbName
                                 = dbMetaData.getDatabaseProductName();
      String dbVersion
                                 = dbMetaData.getDatabaseProductVersion();
      String sqlKeywords
                                = dbMetaData.getSQLKeywords();
      boolean forwardOnly = dbMetaData.supportsResultSetType(
          ResultSet.TYPE FORWARD ONLY);
      boolean cursorOpen = dbMetaData.supportsResultSetHoldability(
          ResultSet.HOLD_CURSORS_OVER_COMMIT);
      boolean forwardUpdate = dbMetaData.supportsResultSetConcurrency(
          ResultSet.TYPE_FORWARD_ONLY,
          ResultSet.CONCUR_UPDATABLE);
      System.out.println("Various info about the database:");
      System.out.println("Database name: " + dbName);
      System.out.println("Version: " + dbVersion);
      System.out.println("SQL keywords: " + sqlKeywords);
      System.out.println("TYPE_FORWARD_ONLY: " + forwardOnly);
      System.out.println("HOLD_CURSORS_OVER_COMMIT: " + cursorOpen);
      System.out.println("TYPE_FORWARD_ONLY/CONCUR_UPDATABLE: "
          + forwardUpdate);
      // Create a ResultSet and print its structure:
      String sql = "select * from compositions where duration > ?";
      try (var pStatement = connection.prepareStatement(sql);) {
        pStatement.setInt(1, 100);
        var resultSet = pStatement.executeQuery();
        try (resultSet){
          System.out.println("Structure of ResultSet:");
          ResultSetMetaData rsMetaData
              = resultSet.getMetaData();
                                                      // Obtain ResultSetMetadata.
```

```
int columnCount = rsMetaData.getColumnCount();
    System.out.println("Number of columns:" + columnCount);
    for (int i = 1; i <= columnCount; i++){
        String name = rsMetaData.getColumnName(i);
        int type = rsMetaData.getColumnType(i); // Value of Types constant.
        System.out.println(name + ": " + type);
    }
    }
}
catch (SQLException e) {
    e.printStackTrace();
}
</pre>
```

Probable output from the program:

### Click here to view code image

```
Various info about the database:

Database name: Apache Derby

Version: 10.15.2.0 - (1873585)

SQL keywords: ALIAS,BIGINT,BOOLEAN,CALL,CLASS,COPY,DB2J_DEBUG,EXECUTE,... (EDITED)

TYPE_FORWARD_ONLY: true

HOLD_CURSORS_OVER_COMMIT: true

TYPE_FORWARD_ONLY/CONCUR_UPDATABLE: true

Structure of ResultSet:

Number of columns:3

ISRC: 12

TITLE: 12

DURATION: 4
```

# 24.8 Implementing Transaction Control

The JDBC protocol allows the application to control *database transactions*. A *transaction* is a set of statements that is executed as a logical unit. Either all changes due to the execution of statements in the transaction are committed to the database, or none of the changes made in the transaction are committed. A *commit* results in the changes made in the transaction being made permanent in the database. A commit thereby ends a transaction. A *rollback* results in undoing all changes made in the transaction—that is, restoring the database state to what it was before the transaction commenced. A *savepoint* defines a logical rollback point *within* a transaction. A *rollback* can be used to undo all changes made since a savepoint was set up in the transaction—that is, restoring the database state to what it was before the save-point was set up.

By default, JDBC connections are in *auto-commit mode*, which means that commit occurs automatically when the statement processing completes successfully. To change this behavior, the following method call can be used:

### Click here to view code image

```
connection.setAutoCommit(false);
```

Once auto-commit is turned off, transactions can be controlled using the rollback() and commit() methods of the Connection interface. Each transaction can include any number of insert, update, and delete actions and must end in either a commit that would make all pending transaction changes permanent, or a rollback if the pending transaction changes should be discarded. Some databases also support save-points, which provides an ability to partially roll back a transaction, discarding only those pending changes that occurred in the transaction after the savepoint was set up.

Whether a given database supports savepoints can be determined by calling the DatabaseMetaData.supportsSavepoints() method. It is important to remember that normal closing of a connection implicitly causes the transaction to commit. However, the transaction will be rolled back if the program terminates with an uncaught exception.

If an exception is intercepted, the Java runtime considers this exception to be successfully handled and therefore resumes normal execution after the catch block. It is worth reciting the mantra that the JDBC interfaces, including the Connection interface, implement AutoCloseable, which means that all statements and connections are closed implicitly following the execution of the try -with-resources statement. This implies that if the transaction was not explicitly rolled back inside the exception handler, then by default, the transaction will be committed.

Following selected methods of the java.sql.Connection interface can be used to control transactions:

# Click here to view code image

```
void setAutoCommit(boolean autoCommit)
```

Enables or disables *auto-commit mode* of this connection. The value true enables auto-commit mode and false disables it.

```
Savepoint setSavepoint()
Savepoint setSavepoint(String name)
```

Create an unnamed or a named savepoint in the current transaction and returns the new Savepoint object that represents it, respectively.

### Click here to view code image

```
void rollback()
void rollback(Savepoint savepoint)
```

Undo all changes made in the current transaction or all changes made since the named savepoint was set up in the current transaction, respectively.

```
void commit()
```

Makes all changes made since the previous commit/rollback permanent.

# **Example 24.8** Controlling Transactions

```
package dbDemo;
import java.sql.DriverManager;
import java.sql.SQLException;
import java.sql.Savepoint;
public class Transactions {
  public static void main(String[] args) {
    final String jdbcURL = "jdbc:derby:musicDB";
    try (var connection = DriverManager.getConnection(jdbcURL)) {
      // SQL statements:
      final String insSql = "insert into compositions VALUES(?, ?, ?)";
      final String updSql = "update compositions set title = ? where title = ?";
      final String delSql = "delete from compositions where duration = ?";
      // Create statements:
      try (var insStatement = connection.prepareStatement(insSql);
          var updStatement = connection.prepareStatement(updSql);
          var delStatement = connection.prepareStatement(delSql);) {
                                                    // (1) Auto-commit disabled.
        connection.setAutoCommit(false);
```

```
insStatement.setInt(3, 150);
                                                    // (2) Insert a new row.
        insStatement.setString(2, "Java Jazz");
        insStatement.setString(1, "ushm91736991");
        int insResult = insStatement.executeUpdate();
        System.out.println("INSERT: " + insResult);
        updStatement.setString(1, "Java Jive"); // (3) Update an existing row.
        updStatement.setString(2, "Rage");
        int updResult = updStatement.executeUpdate();
        System.out.println("UPDATE: " + updResult);
        Savepoint savePoint = connection.setSavepoint(); // (4) Set a savepoint.
        delStatement.setInt(1, 178);
                                                         // (5) Delete a row.
        int delResult = delStatement.executeUpdate();
        System.out.println("DELETE: " + delResult);
        connection.rollback(savePoint); // (6) Roll back to safepoint.
        connection.commit();
                                         // (7) Commits only (2) and (3).
      } catch (SQLException e) {
        connection.rollback();
                                         // (8) Roll back any changes.
      }
   } catch (SQLException e) {
      e.printStackTrace();
    }
 }
}
```

Output from the program:

```
INSERT: 1
UPDATE: 1
DELETE: 1
```

Example 24.8 illustrates how transactions can be controlled with commit and rollback operations. The numbered comments below correspond to the numbered code lines in Example 24.8.

The following rows are in the compositions table at the start.

```
[ushm91736697, Vacation, 231]
[ushm91736698, Rage, 308]
[ushm91736699, Why Don't, 178]
```

- 1. Auto-commit is disabled. A new transaction starts.
- 2. A new row is inserted:

```
[ushm91736991, Java Jazz, 150}
```

3. The title "Rage" of an existing row:

```
[ushm91736698, Rage, 308]
```

is updated to "Java Jive":

Click here to view code image

```
[ushm91736698, Java Jive, 308]
```

- 4. A savepoint is set.
- 5. The row with the duration 178 is deleted:

Click here to view code image

```
[ushm91736699, Why Don't, 178]
```

- 6. A rollback is performed, rolling back the deletion of the row at (5) that was performed since the last savepoint was set up at (4).
- 7. A commit is performed, committing the insertion at (2) and the update at (3), leaving the database in the following state and ending the transaction that started at (1): Click here to view code image

```
[ushm91736697, Vacation, 231]
[ushm91736698, Java Jive, 308]
[ushm91736699, Why Don't, 178]
[ushm91736991, Java Jazz, 150]
```

8. In case a SQLException is thrown in the inner try -with-resources statement, all changes are rolled back.



**24.1** Which of the following statements is true about the JDBC ResultSet?

- **a.** The method next() throws an exception when no rows were returned by the query.
- **b.** The method next() throws an exception when it is invoked more times than the number of rows in the result set.
- **c.** The method absolute(1) navigates to the first row of the result set, if there are rows in the result set.
- **d.** The method absolute(0) navigates to the first row of the result set, if there are rows in the result set.
- **24.2** What is the correct order in which the following JDBC resources are closed?

- a. Connection, Statement, ResultSet
- **b.** Statement, ResultSet, Connection
- c. ResultSet, Statement, Connection
- d. Closure order is irrelevant.
- e. Closure order is irrelevant when auto-commit mode is enabled.
- **24.3** Assume that a database has the following questions table with the specified columns and rows of data:

id	question	answer
(INTEGER, PRIMARY KEY)	(VARCHAR, NOT NULL)	(VARCHAR)
101	What was Deep Thought's answer?	42
103	Where is Wally?	
102	Is Waldo older than Wally?	He is younger.
106	Which one is Wally?	He is the one in a bobbble hat.

id	question	answer
(INTEGER, PRIMARY KEY)	(VARCHAR, NOT NULL)	(VARCHAR)
107	Where am I?	Right here.

What will be the result of executing the following code (assuming that jdbcUrl, username, and password are correctly initialized)?

### Click here to view code image

```
String findAnswers = "select * from questions where question like ?";
try (Connection c = DriverManager.getConnection(jdbcUrl, username, password);
    PreparedStatement ps = c.prepareStatement(findAnswers)) {
    ps.setString(1, "Where%");
    try (ResultSet rs = ps.executeQuery()) {
        while (rs.next()) {
            String question = rs.getObject(2, String.class); // (1)
            String answer = rs.getObject(3, String.class); // (2)
            answer = (answer == null) ? "No answer." : answer; // (3)
            System.out.println(question + " - " + answer);
        }
    }
} catch (SQLException e) { e.printStackTrace(); }
```

- **a.** The program will print one line.
- **b.** The program will print two lines.
- **c.** The program will throw an exception at (1).
- **d.** The program will throw an exception at (2).
- **e.** The program will throw an exception at (3).
- **24.4** Assume that a database has the following questions table with the specified columns and rows of data:

id	question	answer
(INTEGER, PRIMARY KEY)	(VARCHAR, NOT NULL)	(VARCHAR)
101	What was Deep Thought's answer?	42
102	Where is Wally?	

What will be the result of executing the following code (assuming that jdbcUrl, username, and password are correctly initialized)?

### Click here to view code image

Select the one correct answer.

- **a.** The program will print one line.
- **b.** The program will print nothing.
- **c.** The program will throw an exception at (1).
- **d.** The program will throw an exception at (2).
- **24.5** Assume that a database has the following questions table with the specified columns and rows of data:

Which of the following statements is true about executing the following code (assuming that jdbcUrl, username, and password are correctly initialized)?

(INTEGER, PRIMARY KEY)	(VARCHAR, NOT NULL)	(VARCHAR)
101	What was Deep Thought's answer?	42
102	Where is Wally?	

```
String findQuestion = "select question from questions where id = ?";
String provideAnswer = "update questions set answer = ? where id = ?";
int id = 102;
try (Connection c = DriverManager.getConnection(jdbcUrl, username, password);
     PreparedStatement ps1 = c.prepareStatement(findQuestion);
     PreparedStatement ps2 = c.prepareStatement(provideAnswer)) {
  c.setAutoCommit(false);
  ps2.setString(1, "Look and you will find!");
  ps2.setInt(2, id);
  ps2.executeUpdate();
  ps1.setInt(2, id);
  try (ResultSet rs = ps1.executeQuery()) {
    while (rs.next()) {
      String question = rs.getString(1);
      String answer = rs.getString(2);
      System.out.println(question + " - " + answer);
    }
  }
  c.commit();
} catch (SQLException e) {
  e.printStackTrace();
}
```

- **a.** The program updates one row, executes the query, and commits the transaction.
- **b.** The program updates one row, throws a SQLException, and commits the transaction.
- **c.** The program updates one row, throws a SQLException, and rolls back the transaction.
- **d.** The program throws a SQLException, executes the query, and commits the transaction.

**e.** The program throws a SQLException, executes the query, and rolls back the transaction.

**24.6** Which of the following statements is true about the following code (assuming that jdbcUrl, username, password, and sqlQuery are correctly initialized)?

### Click here to view code image

Select the one correct answer.

- **a.** The program will attempt to close the connection, statement, and result set objects, in that exact order.
- **b.** The program will attempt to close the result set, statement, and connection objects, in that exact order.
- **c.** The program will attempt to close the statement, result set, and connection objects, in that exact order.
- **d.** The program will attempt to close the statement, connection, and result set objects, in that exact order.
- **e.** The program will attempt to close the connection, statement, and result set objects, in an undetermined order.
- **24.7** Which of the following statements is true?

- **a.** The method executeQuery() of the PreparedStatement interface accepts marker parameter values.
- **b.** Each PreparedStatement object represents a single SQL statement.

- **c.** Each PreparedStatement object represents one or more SQL statements.
- **d.** Setting new values for marker parameters in a PreparedStatement object will produce a new SQL statement.
- **24.8** Given the following code:

```
String findQuestion
    = "select question, answer from questions where answer is null";
String jdbcUrl = "jdbc:thin:oracle:@localhost:1521:qa";
String username = "joe";
String password = "welcome1";
try (Connection c = DriverManager.getConnection(jdbcUrl, username, password);
     PreparedStatement ps = c.prepareStatement(findQuestion,
         ResultSet.TYPE FORWARD ONLY,
         ResultSet.CONCUR_UPDATABLE,
         ResultSet.CLOSE CURSORS AT COMMIT)) {
  c.setAutoCommit(false);
  try (ResultSet rs = ps.executeQuery()) {
    while (rs.next()) {
      rs.updateString("answer", "no answer");
      rs.updateRow();
    }
  }
  c.commit();
} catch (SQLException e) {
  e.printStackTrace();
}
```

Which of the following statements are true about this code (assuming the database supports all designated ResultSet features)?

- **a.** It selects all rows from the questions table that have no value set for the answer column.
- **b.** It updates all rows in the questions table that have no value set for the answer column.
- **c.** It sets the "no answer" value for the answer column in all rows in the questions table.
- **d.** It will roll back changes if an exception is thrown inside the while loop.

```
String jdbcUrl = "jdbc:thin:oracle:@localhost:1521:qa";
String username = "joe";
String password = "welcome1";
try (Connection c = DriverManager.getConnection(jdbcUrl, username, password);
    var ps = c.prepareStatement(
                   "update questions set answer = ? where question = ?")) {
  ps.setString(2, "What?");
                                            // (1)
  try (ResultSet rs = ps.executeQuery()) { // (2)
    while (rs.next()) {
                                           // (3)
      rs.setString(1, "42");
                                           // (4)
    }
  }
} catch (SQLException e) {
  e.printStackTrace();
}
```

Which of the following statements is true about this program?

Select the one correct answer.

- a. It updates rows in the questions table, setting the answer column value to "42".
- **b.** It throws an exception at (1).
- **c.** It throws an exception at (2).
- **d.** It throws an exception at (3).
- **e.** It throws an exception at (4).

# **24.10** Given the following code:

```
ps.execute();  // (3)
} catch (SQLException e) {
  e.printStackTrace();
}
```

Which of the following statements is true about this program?

Select the one correct answer.

- a. It updates rows in the questions table, setting the answer column value to "42".
- **b.** It throws an exception at (1).
- **c.** It throws an exception at (2).
- **d.** It throws an exception at (3).
- **24.11** Which of the following statements are true? Select the three correct answers.
- a. A given PreparedStatement can only be executed once.
- **b.** A given PreparedStatement can be executed multiple times.
- c. A given PreparedStatement can be executed using both execute() and executeQuery() methods.
- d. A given PreparedStatement can be executed using both execute() and execute-Update() methods.
- **e.** A given PreparedStatement can be executed using both executeUpdate() and executeQuery() methods.
- **24.12** Which of the following statements is true?

- **a.** All databases support forward-only type of result sets.
- **b.** Forward-only result sets automatically reflect database changes in an open result set.
- c. By default, result set objects are scroll sensitive.
- **d.** Result sets are always closed at commit.

**24.13** Which of the following statements is true about ResultSet methods?

- a. Calling the method relative(1) is equivalent to calling the method next().
- **b.** Calling the method relative(1) is equivalent to calling the method absolute(0).
- c. Calling the method relative(0) is equivalent to calling the method previous().
- d. Calling the method relative(-1) is equivalent to calling the method
  absolute(-1).