

# **CSE308 Operating Systems**

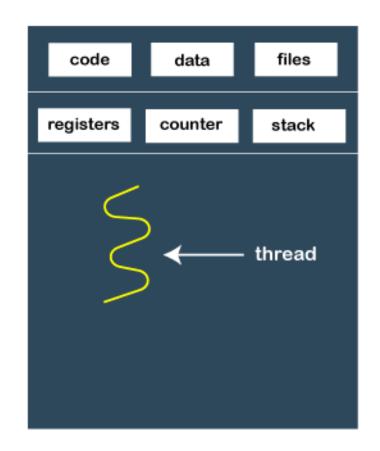
#### **Threads**

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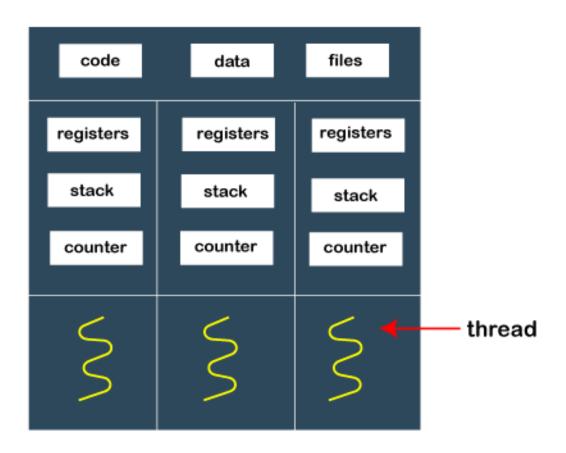
#### What is a thread?

- A process is a program in execution.
- A process can be further divided in to several units of execution called threads.
- Thread is also called as light-weight process.
- A thread is a basic unit of CPU utilization
- It comprises
  - a thread ID
  - a program counter
  - a register set
  - and a stack.

- Threads belonging to the same process share the following of the process:
  - code section
  - data section
  - and other operating-system resources such as open files and signals



Single-threaded process



Multi-threaded process

#### Thread creation Vs Process creation

- Process creation involves allocating memory for the process, loading the program into memory, creating the context and PCB, attaching the process to a queue and scheduling the process.
- Thread creation only involves logically partitioning the process into a number of threads at run time and creating contexts for each thread.

#### **Motivation**

- Most software applications that run on modern computers are multithreaded.
- An application typically is implemented as a separate process with several threads of control
- A web browser might have one thread that display images or text while another thread retrieves data from the network
- A word processor may have a thread for displaying graphics, another thread for responding to keystrokes from the user, and a third thread for performing spelling and grammar checking in the background

- Applications can also be designed to leverage processing capabilities on multicore systems.
- Such applications can perform several CPU-intensive tasks in parallel across the multiple computing cores
- In certain situations, a single application may be required to perform several similar tasks e.g merge sort

## **Web Server**

- A busy web server may have several (perhaps thousands of) clients concurrently accessing it.
- If the web server ran as a traditional single-threaded process, it would be able to service only one client at a time, and a client might have to wait a very long time
- One solution is to have the server run as a single process that accepts requests.
- When the server receives a request, it creates a separate process to service that request
- In fact, this process-creation method was in common use before threads became popular

- Process creation is time consuming and resource intensive
- It is generally more efficient to use one process that contains multiple threads
- When a request is made, rather than creating another process, the server creates a new thread to service the request

## **RPC**

- Threads also play a vital role in remote procedure call (RPC) systems
- RPCs allow inter-process communication by providing a communication mechanism similar to ordinary function or procedure calls.
- Typically, RPC servers are multithreaded.
- When a server receives a message, it services the message using a separate thread.
- This allows the server to service several concurrent requests

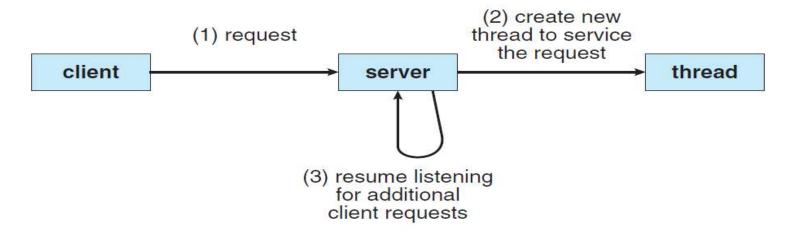


Figure 4.2 Multithreaded server architecture.

## Multithreaded kernel

- Most operating-system kernels are now multithreaded.
- Several threads operate in the kernel, and each thread performs a specific task, such as managing devices, managing memory, or interrupt handling
- Solaris has a set of threads in the kernel specifically for interrupt handling;
- Linux uses a kernel thread for managing the amount of free memory in the system.

# Benefits of multithreaded programming

#### Responsiveness.

- Multithreading an interactive application may allow a program to continue running even if part of it is blocked, or is performing a lengthy operation, thereby increasing responsiveness to the user.
- A single-threaded application would be unresponsive to the user until the operation had completed.

#### Resource sharing / Communication.

- Processes can only share resources or communicate through techniques such as shared memory and message passing.
- Such techniques must be explicitly arranged by the programmer.
- However, threads share the memory and the resources of the process to which they belong by default. So shared memory is used for inter-thread communication

 The benefit of sharing code and data is that it allows an application to have several different threads of activity within the same address space.

#### Economy.

- Allocating memory and resources for process creation is costly
- Because threads share the resources of the process to which they belong, it is more economical to create and context-switch among threads.

#### Scalability.

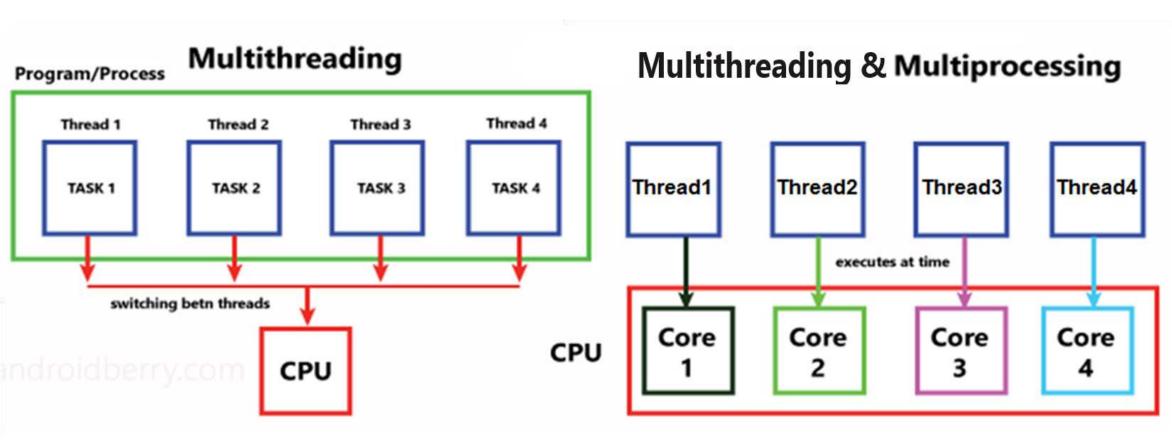
- The benefits of multithreading can be even greater in a multi-core architecture, where threads may be running in parallel on different processing cores.
- A single-threaded process can run on only one processor, regardless how many processors are available.

# **Multicore Programming**

- A recent trend in system design is to place multiple computing cores on a single chip.
- Each core appears as a separate processor to the operating system.
- Multithreaded programming provides a mechanism for more efficient use of the multiple computing cores and improved concurrency

- Consider an application with four threads.
- On a system with a single computing core, concurrency merely means that the execution of the threads will be interleaved over time because the processing core is capable of executing only one thread at a time.
- On a system with multiple cores, however, concurrency means overlapping the threads so that they can run in parallel, because the system can assign a separate thread to each core

# Interleaving Vs Overlapping



## **Concurrency Vs Parallelism**

- A system is parallel if it can perform more than one task simultaneously.
- In contrast, a concurrent system supports more than one task by allowing all the tasks to make progress (by switching).
- Thus, it is possible to have concurrency without parallelism.
- Before the advent of **SMP** and **multicore architectures**, most computer systems had only a **single processor**.
- **CPU schedulers** were designed to provide the **illusion of parallelism** by **rapidly switching** between processes in the system, thereby allowing each process to make progress.

# Amdhal's Law on Performance Enhancement by Multiple cores

- Amdahl's Law is a formula that identifies potential performance gains from adding additional computing cores to an application that has both serial (nonparallel) and parallel code.
- If **S** is the portion of the application that must be **performed** serially on a system with **N** processing cores, the formula appears as follows:

$$speedup \le \frac{1}{S + \frac{(1-S)}{N}}$$

- As an example, assume we have an application that is **75 percent parallel** and **25 percent serial**. If we run this application on a system with **2 processing cores**, we can get a speedup of **1.6 times**.
- 1/(0.25 + (1-0.25)/2) = 1/(0.25 + 0.375) = 1/0.625 = 1.6%
- If we add 2 additional cores (for a total of 4), the speedup will be
  - -2.28

# **Programming Challenges**

- 1. Identifying tasks. This involves examining applications to find areas that can be divided into separate, concurrent tasks. Ideally, that tasks are independent of one another and can run in parallel on individual cores.
- 2. Balance. While identifying tasks that can run in parallel, programmers must also ensure that the tasks perform equal work of equal value.
- 3. Data splitting. Just as applications are divided into separate tasks, the data accessed and manipulated by the tasks must be divided to run on separate cores.

- 4. Data dependency. The data accessed by the tasks must be examined for dependencies between two or more tasks. When one task depends on data from another, programmers must ensure that the execution of the tasks is synchronized to accommodate the data dependency.
- **5. Testing and debugging.** When a program is running in parallel on multiple cores, many **different execution paths** are possible. Testing and debugging such concurrent programs is **inherently more difficult**

# **Types of Parallelism**

- There are two types of parallelism:
  - data parallelism and task parallelism.
- Data parallelism focuses on distributing subsets of the same data across multiple computing cores and performing the same operation on each core.
- Consider, for example, summing the contents of an array of size N.
- On a single-core system, one thread would simply sum the elements  $[0] \dots [N-1]$ .
- On a dual-core system, however, thread A, running on core 0, could sum the elements [0] ... [N/2 1] while thread B, running on core 1, could sum the elements [N/2] ... [N 1]. The two threads would be running in parallel on separate computing cores.

- Task parallelism involves distributing not data but tasks (threads) across multiple computing cores.
- Each thread is performing a unique operation
- Different threads may be operating on the same data, or they may be operating on different data.

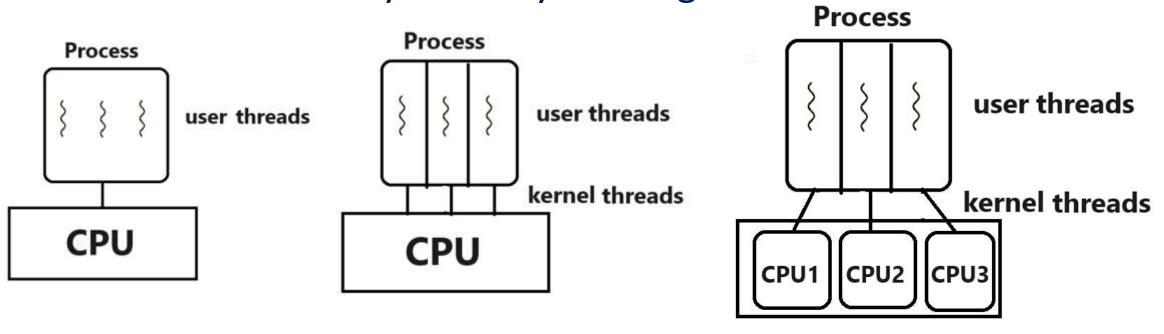
# **Multithreading Models**

- User threads Vs Kernel threads
- Support for threads may be provided either at the user level, (user level threads), or by the kernel (kernel threads).
- User threads are created above the kernel and are managed without kernel support.
- User threads are created with the help of thread libraries available in programming languages.
- Kernel threads are created and managed directly by the operating system kernel.
- Virtually all contemporary operating systems—including Windows, Linux, Mac OS X, and Solaris— support kernel threads.
- Pthread, Java multithreading are examples of user threads

#### **ULTs Vs KLTs**

- ULTs are created by users with the help of thread libraries.
  KLTs are created by Kernels.
- Kernel is unaware of ULTs. Kernel is fully aware of KLTs.
- ULT Thread management is done by the programming language. KLT thread management is done by Kernel.
- In ULT, when a thread invokes IO operation, entire process will be blocked by kernel. Only that thread will be blocked and another thread in the same process will be scheduled on CPU.

- No mode switching required in ULT for thread switching.
  Mode switching required in KLT.
- ULT can be implemented on any OS. KLT can be implemented only on OS that supports multi-threading.
- ULT do not benefit from **multi-core systems**. KLTs benefit from multi-core systems by running on different cores.



# **ULT Vs KLT**

ULT **KLT** 

ULT	KLT

- Many-to-One Model
- The many-to-one model maps many user-level threads to one kernel thread
- Thread management is done by the **thread library in user space**, so it is efficient.
- However, the entire process will block if a thread makes a blocking system call.
- Also, because only one thread can access the kernel at a time, multiple threads are unable to run in parallel on multicore systems.
- Green threads—a thread library available for Solaris systems the many-to-one model.
- However, very few systems continue to use the model.

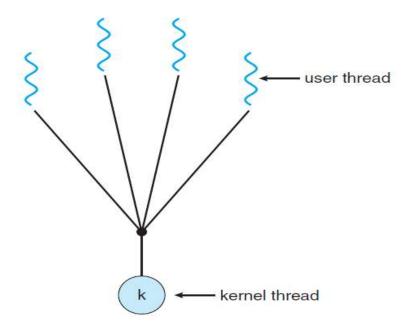
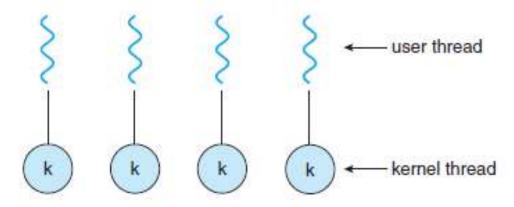


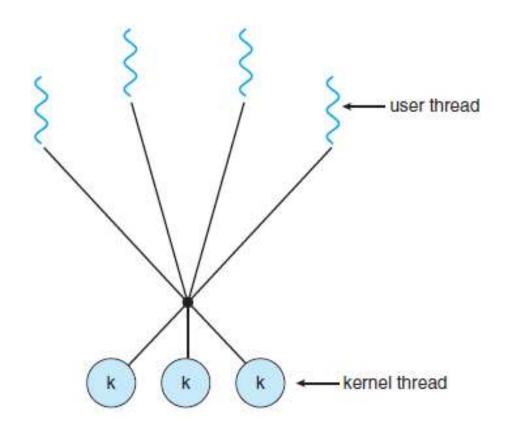
Figure 4.5 Many-to-one model.

- One-to-One Model
- The one-to-one model maps each user thread to a kernel thread.
- It provides more concurrency by allowing another thread to run when a thread makes a blocking system call.
- It also allows multiple threads to run in parallel on multiprocessors.
- The only drawback to this model is that creating a user thread requires creating the corresponding kernel thread.
- Because the overhead of creating kernel threads can burden the performance of an application, most implementations of this model restrict the number of threads supported by the system

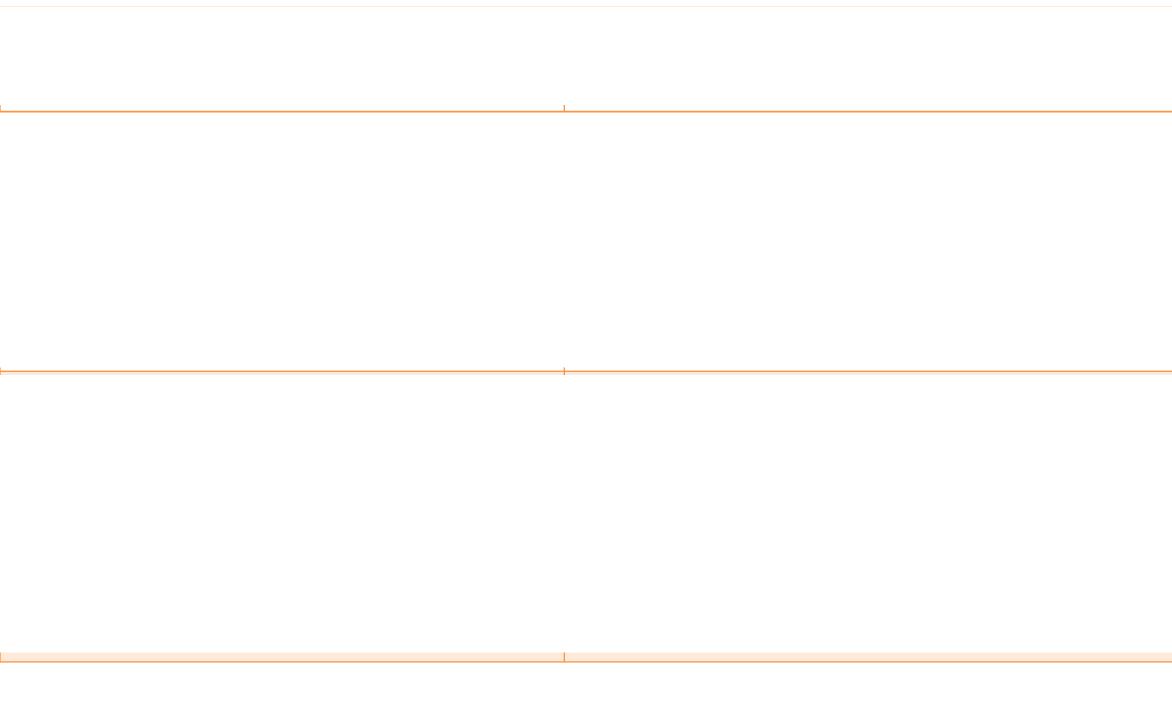


- Many-to-Many Model
- The many-to-many model multiplexes many user-level threads to a smaller or equal number of kernel threads.
- The number of kernel threads may be specific to either a particular application or a particular machine.
- Advantage
- Whereas the many to-one model, does not result in true concurrency, because the kernel can schedule only one thread at a time.
- The one-to-one model allows greater concurrency, but the developer has to be careful not to create too many threads within an application

- The many-to-many model suffers from neither of these shortcomings: developers can create as many user threads as necessary, and the corresponding **kernel threads can run in parallel** on a **multiprocessor**.
- Also, when a thread performs a blocking system call, the kernel can schedule another thread for execution.



Process	Thread
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Context switching involves mode switching.

Context switching may or many not involve mode switchin g.

## **Thread Libraries**

- A thread library provides the programmer with an API for creating and managing threads
- There are two primary ways of implementing a thread library
- The first approach is to provide a library entirely in user space with no kernel support
- All code and data structures for the library exist in user space
- This means that invoking a function in the library results in a local function call in user space and not a system call.

- The second approach is to implement a kernel-level library supported directly by the operating system
- In this case, code and data structures for the library exist in kernel space
- Invoking a function in the API for the library typically results in a system call to the kernel
- Three main thread libraries are in use today:
  - POSIX Pthreads
  - Windows,
  - Java. Pthreads

- The Windows thread library is a kernel-level library available on Windows systems.
- The Java thread API allows threads to be created and managed directly in Java programs.
- However, because in most instances the JVM is running on top of a host operating system, the Java thread API is generally implemented using a thread library available on the host system
- This means that on Windows systems, Java threads are typically implemented using the Windows API
- UNIX and Linux systems often use Pthreads.

## **Pthreads**

- Pthreads refers to the POSIX standard (IEEE 1003.1c)
  defining an API for thread creation
- Operating-system designers may implement the specification in any way they wish.
- Numerous systems implement the Pthreads specification; most are UNIX-type systems, including Linux, Mac OS X, and Solaris.n and synchronization

## Summary

- What are threads?
- Differences between threads and processes
- Advantages of threads
- Characteristics of threads
- Types of threads