



DATABASE NORMALIZATION

S.PALANIVEL
CSE /SOC

LECTURE PLAN



- ⇒ Definition of Normalization
- ⇒ Redundancy and Data Anomalies
- ⇒ Repeating Groups
- ⇒ Functional Dependency
- ⇒ Transitive Dependency
- ⇒ Stages of Normalisation
- ⇒ Example



DEFINITION

- **Database normalization** is the process of organizing the fields and tables of a relational database to minimize redundancy and dependency.
- Normalization usually involves dividing large tables into smaller (and less redundant) tables and defining relationships between them.

REDUNDANCY AND DATA ANOMALIES

Redundant data is where we have stored the same ‘information’ more than once. i.e., the redundant data could be removed without the loss of information.

Example: We have the following relation that contains staff and department details:

staffNo	job	dept	dname	city
SL10	Salesman	10	Sales	Stratford
SA51	Manager	20	Accounts	Barking
DS40	Clerk	20	Accounts	Barking
OS45	Clerk	30	Operations	Barking



*Such ‘redundancy’
could lead to the
following ‘anomalies’*

Insert Anomaly: We can’t insert a dept without inserting a member of staff that works in that department

Update Anomaly: We could change the name of the dept that SA51 works in without simultaneously changing the dept that DS40 works in.

Deletion Anomaly: By removing employee SL10 we have removed all information pertaining to the Sales dept.

REPEATING GROUPS

A repeating group is an attribute (or set of attributes) that can have **more than one** value for a primary key value.

Example: We have the following relation that contains staff and department details and a list of telephone contact numbers for each member of staff.

staffNo	job	dept	dname	city	contact number
SL10	Salesman	10	Sales	Stratford	018111777, 018111888, 079311122
SA51	Manager	20	Accounts	Barking	017111777
DS40	Clerk	20	Accounts	Barking	
OS45	Clerk	30	Operations	Barking	079311555

Repeating Groups are not allowed in a relational design, since all attributes have to be 'atomic' - i.e., there can only be one value per cell in a table!

NEED & SOLUTION

- A formal **tool** for analysis of relational schemas that enables us to detect the above-mentioned problems.
- The single most important concept in relational schema design theory is that of a **functional dependency**.

FUNCTIONAL DEPENDENCY

Formal Definition: Attribute **B** is functionally dependant upon attribute **A** (*or a collection of attributes*) if a value of **A** determines a single value of attribute **B** at any one time.

Formal Notation: $A \rightarrow B$ This should be read as ‘**A determines B**’ or ‘**B is functionally dependant on A**’. **A** is called the *determinant* and **B** is called the *object of the determinant*.

Example:

staffNo	job	dept	dname
SL10	Salesman	10	Sales
SA51	Manager	20	Accounts
DS40	Clerk	20	Accounts
OS45	Clerk	30	Operations

Functional Dependencies

staffNo \rightarrow job

staffNo \rightarrow dept

staffNo \rightarrow dname

dept \rightarrow dname

FUNCTIONAL DEPENDENCY

Compound Determinants: If more than one attribute is necessary to determine another attribute in an entity, then such a determinant is termed a **composite determinant**.

Full Functional Dependency: Only of relevance with composite determinants. This is the situation when it is necessary to use all the attributes of the composite determinant to identify its object uniquely.

Example:

order#	line#	qty	price
A001	001	10	200
A002	001	20	400
A002	002	20	800
A004	001	15	300

Full Functional Dependencies

$(\text{Order\#}, \text{line\#}) \rightarrow \text{qty}$

$(\text{Order\#}, \text{line\#}) \rightarrow \text{price}$

FUNCTIONAL DEPENDENCY

Partial Functional Dependency: This is the situation that exists if it is necessary to only use a subset of the attributes of the composite determinant to identify its object uniquely.

Example:

student#	unit#	room	grade
9900100	A01	TH224	2
9900010	A01	TH224	14
9901011	A02	JS075	3
9900001	A01	TH224	16

Full Functional Dependencies

(student#, unit#) \rightarrow grade

Partial Functional Dependencies

unit# \rightarrow room

Repetition of data!



TRANSITIVE DEPENDENCY

Definition: A transitive dependency exists when there is an intermediate functional dependency.

Formal Notation: If $A \rightarrow B$ and $B \rightarrow C$, then it can be stated that the following transitive dependency exists: $A \rightarrow B \rightarrow C$

Example:

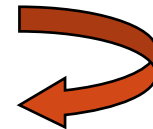
staffNo	job	dept	dname
SL10	Salesman	10	Sales
SA51	Manager	20	Accounts
DS40	Clerk	20	Accounts
OS45	Clerk	30	Operations

Transitive Dependencies

staffNo \rightarrow dept

dept \rightarrow dname

staffNo \rightarrow dept \rightarrow dname



Repetition of data!

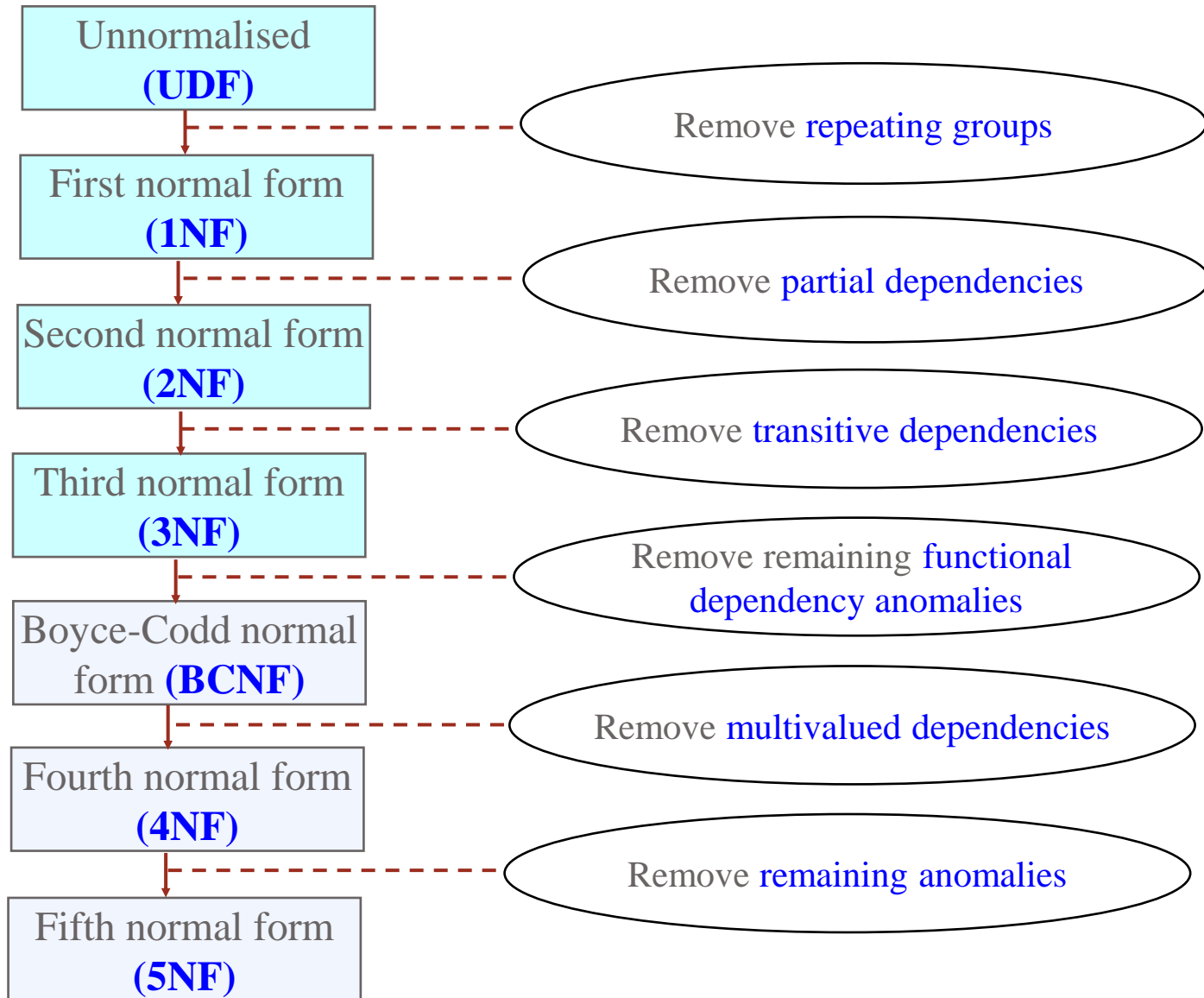
NORMALISATION - RELATIONAL MODEL

In order to comply with the relational model it is necessary to 1) remove repeating groups and 2) avoid redundancy and data anomalies by removing partial and transitive functional dependencies.

Relational Database Design: All attributes in a table must be atomic, and solely dependant upon the fully primary key of that table.

NORMALISATION ACHIEVES THIS!

Stages of Normalisation





DATABASE TABLES AND NORMALIZATION

- **The Need for Normalization**
 - **Case of a Construction Company**
 - **Building project -- Project number, Name, Employees assigned to the project.**
 - **Employee -- Employee number, Name, Job classification**
 - **The company charges its clients by billing the hours spent on each project. The hourly billing rate is dependent on the employee's position.**
 - **Periodically, a report is generated.**
 - **The table whose contents correspond to the reporting requirements is shown in Table 5.1.**

SCENARIO

A few employees works for one project.

Employee Num :
101, 102, 103,
105

Project Num : 15

Project Name :
Evergreen



SAMPLE FORM

Project Num : 15

Project Name : Evergreen



Emp Num	Emp Name	Job Class	Chr Hours	Hrs Billed	Total
101					
102					
103					
105					

TABLE 5.1 A SAMPLE REPORT LAYOUT

PROJ. NUM.	PROJECT NAME	EMPLOYEE NUMBER	EMPLOYEE NAME	JOB CLASS.	CHG/ HOUR	HOURS BILLED	TOTAL CHARGE
15	Evergreen	103	June E.Arbough	Elec. Engineer	\$84.50	23.8	\$2,011.10
		101	John G. News	Database Designer	\$105.00	19.4	\$2,037.00
		105	Alice K. Johnson *	Database Designer	\$105.00	35.7	\$3,748.50
		106	William Smithfield	Programmer	\$35.75	12.6	\$450.45
		102	David H. Senior	Systems Analyst	\$96.75	23.8	\$2,302.65
Subtotal						\$10,549.70	
18	Amber Wave	114	Annelise Jones	Applications Designer	\$48.10	24.6	\$1,183.26
		118	James J. Frommer	General Support	\$18.36	45.3	\$831.71
		104	Anne K. Ramoras *	Systems Analyst	\$96.75	32.4	\$3,134.70
		112	Darlene M. Smithson	DSS Analyst	\$45.95	44.0	\$2,021.80
Subtotal						\$7,171.47	
22		105	Alice K. Johnson	Database Designer	\$105.00	64.7	\$6,793.50
		104	Anne K. Ramoras	Systems Analyst	\$96.75	48.4	\$4,682.70
		113	Delbert K. Joenbrood*	Applications Designer	\$48.10	23.6	\$1,135.16
		111	Geoff B.Wabash	Clerical Support	\$26.87	22.0	\$591.14
		106	William Smithfield	Programmer	\$35.75	12.8	\$457.60
Subtotal						\$13,660.10	
25		107	Maria D.Alonzo	Programmer	\$35.75	24.6	\$879.45
		115	Travis B. Bawangi	Systems Analyst	\$96.75	45.8	\$4,431.15
		101	John G. News *	Database Designer	\$105.00	56.3	\$5,911.50
		114	Annelise Jones	Applications Designer	\$48.10	33.1	\$1,592.11
		108	Ralph B.Washington	Systems Analyst	\$96.75	23.6	\$2,283.30
		118	James J. Frommer	General Support	\$18.36	30.5	\$559.98
		112	Darlene M. Smithson	DSS Analyst	\$45.95	41.4	\$1,902.33
Subtotal						\$17,559.82	
Total						48,941.09	

Note: * indicates project leader

DATABASE TABLES AND NORMALIZATION

DEPENDENCY DIAGRAM

Dependency Diagram

- The primary key components are bold, underlined, and shaded in a different color.
- The arrows above entities indicate all desirable dependencies, i.e., dependencies that are based on PK.
- The arrows below the dependency diagram indicate less desirable dependencies -- **partial dependencies** and **transitive dependencies**.

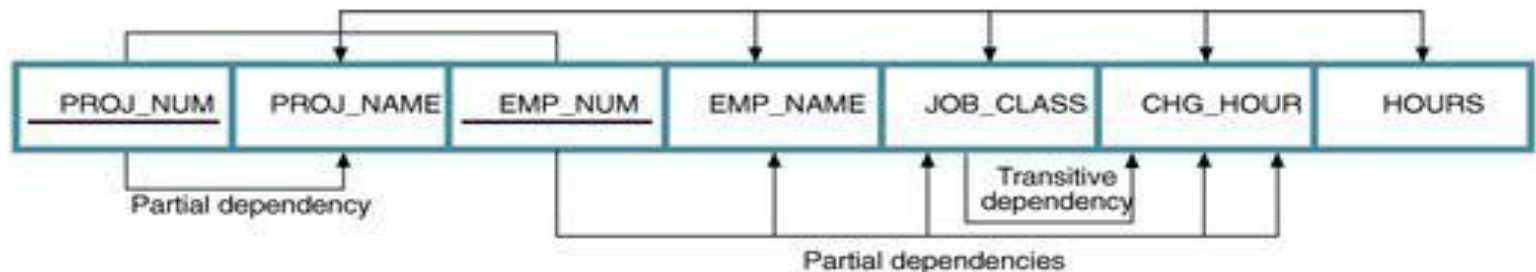


FIGURE 5.4 A DEPENDENCY DIAGRAM: FIRST NORMAL FORM (1NF)

SECOND NORMAL FORM (2 NF)

DEPENDENCY DIAGRAM

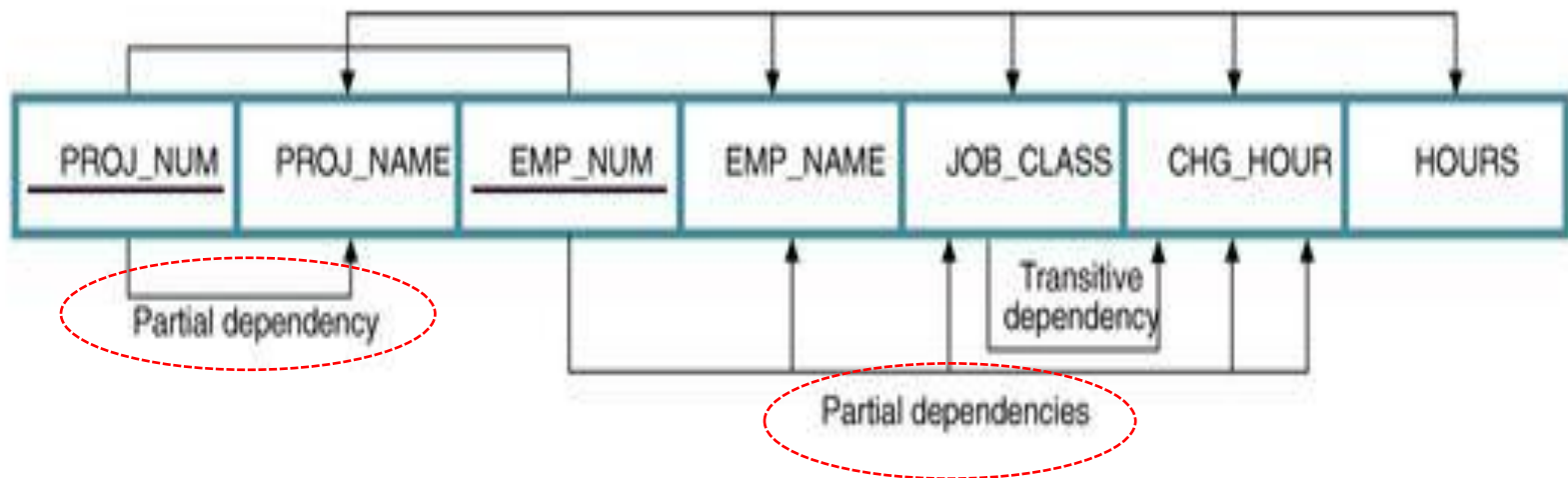


FIGURE 5.4 A DEPENDENCY DIAGRAM: FIRST NORMAL FORM (1NF)

SECOND NORMAL FORM (2 NF)

- **Conversion to Second Normal Form**
 - Starting with the 1NF format, the database can be converted into the 2NF format by
 - Writing each key component on a separate line, and then writing the original key on the last line and
 - Writing the dependent attributes after each new key.

PROJECT (PROJ_NUM, PROJ_NAME)

EMPLOYEE (EMP_NUM, EMP_NAME, JOB_CLASS, CHG_HOUR)

ASSIGN (PROJ_NUM, EMP_NUM, HOURS)

Dependency Diagram

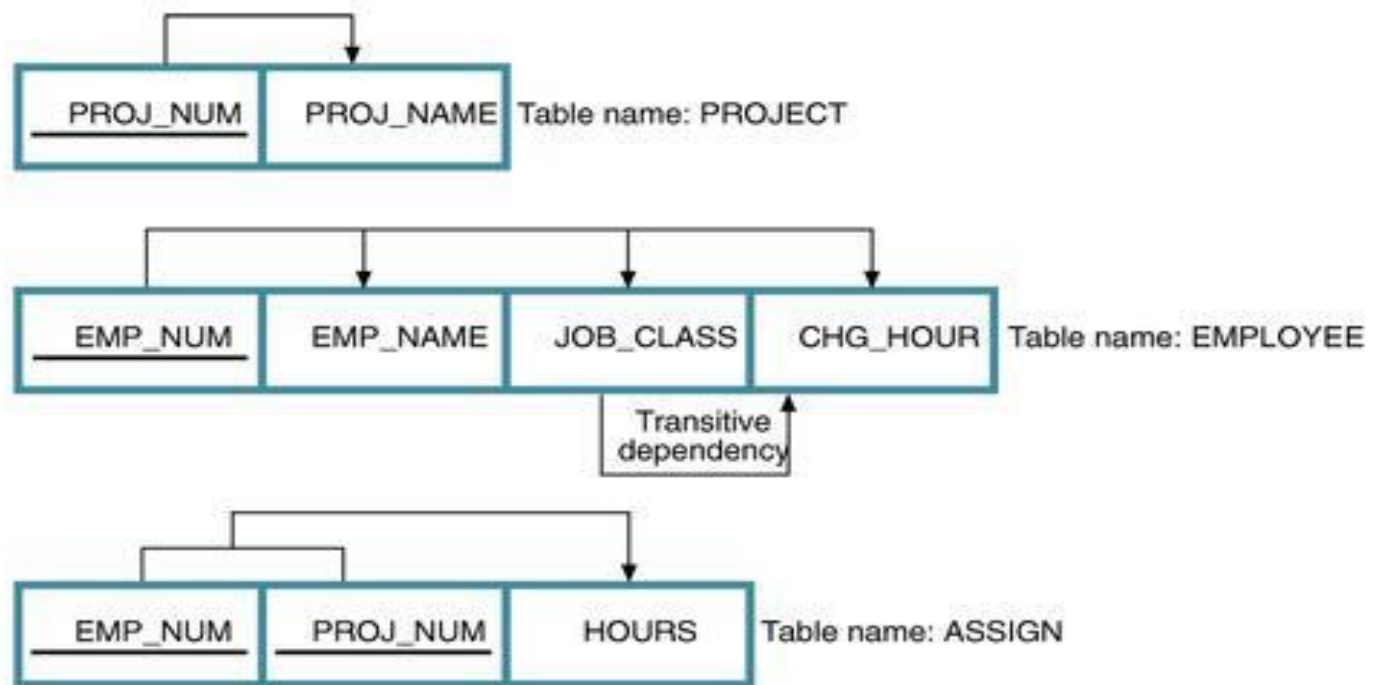


FIGURE 5.5 ■ SECOND NORMAL FORM (2NF) CONVERSION RESULTS

THIRD NORMAL FORM (3 NF)

Dependency Diagram

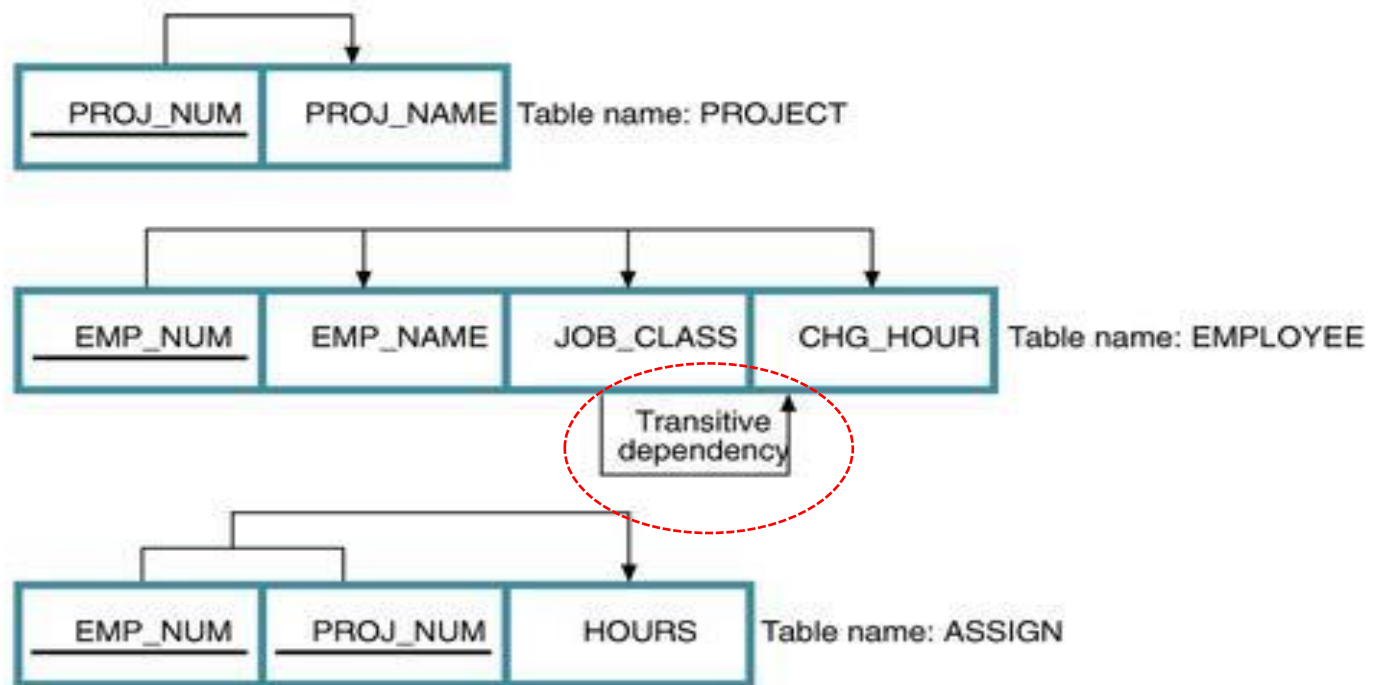


FIGURE 5.5 ■ SECOND NORMAL FORM (2NF) CONVERSION RESULTS

THIRD NORMAL FORM (3 NF)

- **Conversion to Third Normal Form**
 - **Create a separate table with attributes in a transitive functional dependence relationship.**

PROJECT (PROJ_NUM, PROJ_NAME)

ASSIGN (PROJ_NUM, EMP_NUM, HOURS)

EMPLOYEE (EMP_NUM, EMP_NAME, JOB_CLASS)

JOB (JOB_CLASS, CHG_HOUR)



THIRD NORMAL FORM (3 NF)

- **3NF Definition**
 - A table is in 3NF if:
 - It is in 2NF and
 - It contains no transitive dependencies.



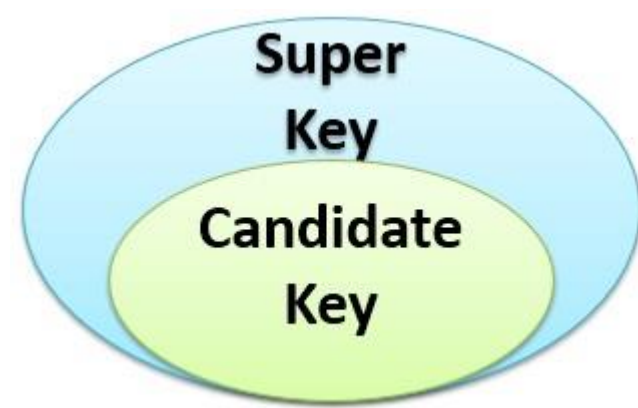
4/2/2021 11:24:12 AM

THIRD NORMAL FORM

- No Repeating Groups
- No Partial Dependencies
- No Transitive Dependencies.



- 4/2/2021 11:24:12 AM



SUPER KEY





SUPER KEY

- **Definition:**
- A super key
 - is a set of one or more columns (attributes)
 - to uniquely identify rows in a table.

EXAMPLE - EMPLOYEE

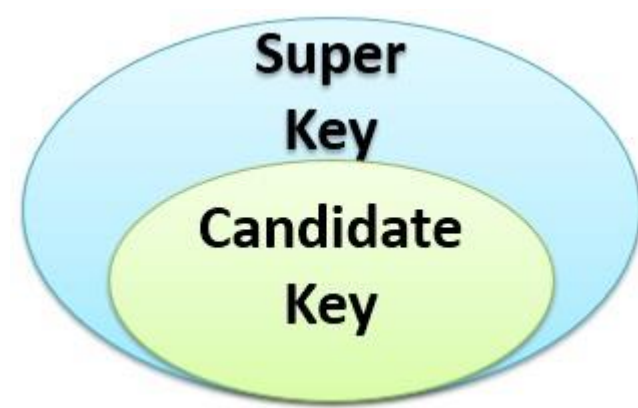
This is an example !

Emp_SSN	Emp_Number	Emp_Name
123456789	226	Steve
999999321	227	Ajeet
888997212	228	Chaitanya
777778888	229	Robert



SUPER KEYS

- {Emp_SSN}
 - {Emp_Number}
 - {Emp_SSN, Emp_Number}
 - {Emp_SSN, Emp_Name}
 - {Emp_Number, Emp_Name}
 - {Emp_SSN, Emp_Number, Emp_Name}
- All of the above sets are able to uniquely identify rows of the employee table.



CANDIDATE KEY





CANDIDATE KEY

- Candidate keys are selected from the set of super keys.
- The only thing we take care while selecting candidate key is: It should not have any redundant data.



CANDIDATE KEYS

- They are the minimal super keys with no redundant Data.
- {Emp_SSN}
- {Emp_Number}
- Only these two sets are candidate keys
- All other sets are having redundant Data.



PRIME AND NONPRIME ATTRIBUTES

- Attributes that are chosen to uniquely identify any records in a table.
- The values cannot be duplicated

- Attributes other than the prime attributes.
- They can store a value many times.



BOYCE CODD NORMAL FORM (BCNF)

- 45

BOYCE CODD NORMAL FORM (BCNF)



- A table complies with BCNF
 - If it is in 3NF
 - For every functional dependency $X \rightarrow Y$
 - X should be the super key of the table.

just
another
example

EXAMPLE

- Suppose there is a company
- Wherein
- employees work in **more than one department.**
- They store the data in the following format:

COMPANY TABLE

emp_id	emp_nationality	emp_dept	dept_type	dept_no_of_emp
1001	Austrian	Production and planning	D001	200
1001	Austrian	stores	D001	250
1002	American	design and technical support	D134	100
1002	American	Purchasing department	D134	600



- 49

BCNF

- To make the table comply with BCNF we can break the table in three tables as follows:

Emp_Nationality Table:

emp_id	emp_nationality
1001	Austrian
1002	American

EMP_DEPT TABLE

emp_dept	dept_type	dept_no_of_emp
Production and planning	D001	200
stores	D001	250
design and technical support	D134	100
Purchasing department	D134	600

EMP_DEPT_MAPPING TABLE

emp_id	emp_dept
1001	Production and planning
1001	stores
1002	design and technical support
1002	Purchasing department

BCNF

- **Functional dependencies:**

emp_id -> emp_nationality

emp_dept -> {dept_type, dept_no_of_emp}

- **keys:**

For first table: emp_id

For second table: emp_dept

For third table: {emp_id, emp_dept}

- This is now in **BCNF**

- as in both the functional dependencies **left side part is a key.**





FOURTH NORMAL FORM

- **Fourth normal form (4NF)** is Introduced by Ronald Fagin in 1977
- 4NF is the next level of normalization after Boyce–Codd **normal form** (BCNF).

MULTI-VALUED DEPENDENCY

- Fourth Normal Form comes into picture when **Multi-valued Dependency** occur in any relation.
- Multi-valued Dependency – What?
- How to remove it and make any table satisfy the fourth normal form?

RULES!

1. You SHALL!
2. You WILL!
3. You MUST!

RULES FOR 4TH NORMAL FORM

- For a table to satisfy the Fourth Normal Form, it should satisfy the following two conditions:
- It should be in the **Boyce-Codd Normal Form**.
- The table should not have any **Multi-valued Dependency**.

WHAT IS MULTI-VALUED DEPENDENCY?

- A table is said to have multi-valued dependency, if the following conditions are true.
- For a dependency $A \twoheadrightarrow B$,
 - if for a single value of A,
 - multiple value of B exists,
 - then the table may have multi-valued dependency.

WHAT IS MULTI-VALUED DEPENDENCY?

- Also, a table should have at-least 3 columns for it to have a multi-valued dependency.
- And, for a relation $R(A,B,C)$, if there is a multi-valued dependency between, A and B, then B and C should be independent of each other.
- If all these conditions are true for any relation(table), it is said to have multi-valued dependency.

just
another
example

EXAMPLE

COLLEGE ENROLLMENT TABLE

- college enrolment table with columns s_id, course and hobby.

s_id	course	hobby
1	Science	Cricket
1	Maths	Hockey
2	C#	Cricket
2	Php	Hockey

just
another
example

EXAMPLE COLLEGE ENROLLMENT TABLE

- In the table
- Student with s_id 1 has opted
 - for two courses, **Science** and **Maths**, and
 - has two hobbies, **Cricket** and **Hockey**.
- S_id->->Course
- S_id->->Hobby
- ->-> Notation for MultivaluedDependancy

just
another
example

EXAMPLE

- Well the two records for student with s_id 1, will give rise to two more records, as shown below, because for one student, two hobbies exists, hence along with both the courses, these hobbies should be specified.

s_id	course	hobby
1	Science	Cricket
1	Maths	Hockey
1	Science	Hockey
1	Maths	Cricket

And, in the table above, there is no relationship between the columns course and hobby.

They are independent of each other.

So there is multi-value dependency, which leads to unnecessary repetition of data and other anomalies as well.

HOW TO SATISFY 4TH NORMAL FORM?

- To make the above relation satisfy the 4th normal form
- we can decompose the table into 2 tables.

CourseOpted Table

s_id	course
1	Science
1	Maths
2	C#
2	Php

HOW TO SATISFY 4TH NORMAL FORM?

And, Hobbies Table,

s_id	hobby
1	Cricket
1	Hockey
2	Cricket
2	Hockey

FIFTH NORMAL FORM

- 5NF is also known as Project-Join normal form (PJNF).
- A relation is in 5NF if it is in 4NF
- It cannot be further non loss decomposed (join dependency)

FIFTH NORMAL FORM

- A relation
- Decomposed into two relations must have **loss-less join Property**
- Which ensures that **no spurious or extra tuples** are generated
- When relations are reunited through a **natural join**.

FIFTH NORMAL FORM

- **Example** – Consider the Company schema, with a case as “if a company makes a product and an agent is an agent for that company, then he always sells that product for the company”.
- Under these circumstances, the ACP table is shown as follows:

FIFTH NORMAL FORM

Table – ACP

AGENT	COMPANY	PRODUCT
A1	PQR	Nut
A1	PQR	Bolt
A1	XYZ	Nut
A1	XYZ	Bolt
A2	PQR	Nut

- The relation ACP is again decompose into 3 relations

FIFTH NORMAL FORM

Table – R1

AGENT	COMPANY
A1	PQR
A1	XYZ
A2	PQR

FIFTH NORMAL FORM

Table – R2

AGENT	PRODUCT
A1	Nut
A1	Bolt
A2	Nut

FIFTH NORMAL FORM

Table – R3

COMPANY	PRODUCT
PQR	Nut
PQR	Bolt
XYZ	Nut
XYZ	Bolt

FIFTH NORMAL FORM

- Result of Natural Join of R1 and R3 over 'Company' and then Natural Join of R13 and R2 over 'Agent' and 'Product' will be table **ACP**.

FIFTH NORMAL FORM

- Hence, in this example,
- The decomposition of ACP is a lossless join decomposition.
- Therefore, the relation is in 5NF as it does not violate the property of lossless join.

DENORMALIZATION

DENORMALIZATION

- **Normalization** is only one of many database design goals.
- **Normalized (decomposed)** tables require additional processing, reducing system speed.
- Normalization purity is often difficult to sustain in the modern database environment.
- The conflict between design efficiency, information requirements, and processing speed are often resolved through compromises that include denormalization.

