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1	Analysis of Groundwater Response to Oscillatory Pumping Test in				
2		<b>Unconfined Aquifers: Consider the Effects of Initial Condition and</b>			
3		Wellbore Storage			
4	Ching-Sheng Huang <sup>a</sup> , Ya-Hsin Tsai <sup>b</sup> , Hund-Der Yeh <sup>b*</sup> and Tao Yang <sup>a*</sup>				
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13					
14	Submission to Hydrology and Earth System Sciences on 12 April 2018				
15					
16	Key points				
17	1.	An analytical solution of the hydraulic head due to oscillatory pumping test in unconfined			
18		aquifers is presented.			
19	2.	The effects of wellbore storage and initial condition of static groundwater before the test			
20		are analyzed.			
21	3.	The present solution agrees well to head fluctuation data taken from a field oscillatory			
22		pumping test.			

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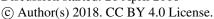
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condition, wellbore storage





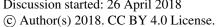


23 Abstract

Oscillatory pumping test (OPT) is an alternative to constant-head and constant-rate pumping tests for determining aquifer hydraulic parameters without water extraction. There is a large number of analytical models presented for the analyses of OPT. The combined effects of wellbore storage and initial condition regarding the hydraulic head prior to OPT are commonly neglected in the existing models. This study aims to develop a new model for describing the hydraulic head fluctuation induced by OPT in an unconfined aquifer. The model contains a typical flow equation with an initial condition of static water table, inner boundary condition specified at the rim of a finite-radius well for incorporating wellbore storage effect, and linearized free surface equation describing water table movement. The analytical solution of the model is derived by the Laplace transform and finite integral transform. Sensitivity analysis is carried out for exploring head response to the change in each of hydraulic parameters. Results suggest that head fluctuation due to OPT starts from the initial condition and gradually tends to simple harmonic motion (SHM) after a certain pumping time. A criterion for estimating the time to have SHM since OPT is graphically presented. The validity of assuming an infinitesimal well radius without wellbore storage effect is investigated. The present solution agrees well to head fluctuation data observed at the Boise hydrogeophysical research site in southwestern Idaho. KEYWORDS: oscillatory pumping test, analytical solution, free surface equation, initial

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**NOTATION** 43

a	σ/ μ
b	Aquifer thickness
$\overline{b}$	Dimensionless aquifer thickness, i.e., $\bar{b} = b/r_w$
h	Hydraulic head
$ar{h}$	Dimensionless Hydraulic head, i.e., $\bar{h} = (2\pi b K_r h)/ Q $
$K_r, K_z$	Aquifer horizontal and vertical hydraulic conductivities, respectively
P	Period of oscillatory pumping rate
p	Laplace parameter
Q	Amplitude of oscillatory pumping rate
R	Radius of influence
$ar{R}$	Dimensionless radius of influence, i.e., $\bar{R} = R/r_w$
r	Radial distance from the center of pumping well
$ar{r}$	Dimensionless radial distance, i.e., $\bar{r} = r/r_w$
$r_c$	Outer radius of pumping well
$r_w$	Inner radius of pumping well
$S_s, S_y$	Specific storage and specific yield, respectively
t	Time since pumping
$ar{t}$	Dimensionless pumping time, i.e., $\bar{t} = (K_r t)/(S_s r_w^2)$
Z	Elevation from aquifer bottom
$ar{Z}$	Dimensionless elevation, i.e., $\bar{z} = z/b$
α	$r_c^2/(2r_w^2S_sb)$
$\beta_n$ , $\beta_m$	Roots of Eqs. (19) and (36), respectively
γ	$S_s r_w^2 \omega / K_r$
κ	$K_z/K_r$
μ	$\kappa/ar{b}^2$
σ	$S_y/(S_s b)$
ω	Frequency of oscillatory pumping rate, i.e., $\omega = 2\pi/P$

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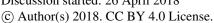
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1. Introduction

(OPT) that is an alternative to constant-rate and constant-head pumping tests for determining aquifer hydraulic parameters (e.g., Vine et al., 2016; Christensen et al., 2017; Watlet et al., 2018). The concept of OPT was first proposed by Kuo (1972) in the petroleum literature. The process of OPT contains extraction stages and injection stages. The pumping rate, in other words, varies periodically as a sinusoidal function of time. Compared with traditional constantrate pumping, OPT in contaminated aquifers has the following advantages: (1) low cost because of no disposing contaminated water from the well, (2) reduced risk of treating contaminated fluid, (3) smaller contaminant movement, and (4) stable signal easily distinguished from background disturbance such as tide effect and varying river stage (e.g., Spane and Mackley, 2011). However, OPT has the disadvantages including the need of an advanced apparatus producing periodic rate and the problem of signal attenuation in remote distance from the pumping well. Oscillatory hydraulic tomography adopts several oscillatory pumping wells with different frequencies (e.g., Yeh and Liu, 2000; Cardiff et al., 2013; Zhou et al., 2016; Muthuwatta, et al., 2017). Aquifer heterogeneity can be mapped by analyzing multiple data collected from observation wells. Cardiff and Barrash (2011) reviewed articles associated with hydraulic tomography and classified them according to nine categories in a table. Various groups of researchers have worked with analytical and numerical models for OPT; each group has its own model and investigation. For example, Black and Kipp (1981) assumed the response of confined flow to OPT as simple harmonic motion (SHM) in the absence of an initial condition. Cardiff and Barrash (2014) built an optimization formulation strategy using the Black and Kipp analytical solution. Dagan and Rabinovich (2014) also assumed hydraulic head fluctuation as SHM for OPT at a partially penetrating well in unconfined aquifers. Cardiff et al. (2013) characterized aquifer heterogeneity using the finite element-based COMSOL software that adopts SHM hydraulic head variation for OPT. On the other hand, Rasmussen et

Numerous attempts have been made by researchers to the study of oscillatory pumping test

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72 time when considering an initial condition prior to OPT. Bakhos et al. (2014) used the 73 Rasmussen et al. (2003) analytical solution to quantify the time after which hydraulic head 74 fluctuation can be regarded as SHM since OPT began. As shown above, existing models for 75 OPT have either assumed hydraulic head fluctuation as SHM without an initial condition or 76 ignored the effect of wellbore storage with considering an infinitesimal well radius. 77 Field applications of OPT for determining aquifer parameters have been conducted in 78 recent years. Rasmussen et al. (2003) estimated aquifer hydraulic parameters based on 1-2.5-79 hour period of OPT at the Savannah River site. Maineult et al. (2008) observed spontaneous 80 potential temporal variation in aquifer diffusivity at a study site in Bochum, Germany. Fokker 81 et al. (2012; 2013) presented spatial distributions of aquifer transmission and storage 82 coefficient derived from curve fitting based on a numerical model and field data from 83 experiments at the southern city-limits of Bochum, Germany. Rabinovich et al. (2015) 84 estimated aquifer parameters of equivalent hydraulic conductivity, specific storage and specific 85 yield at the Boise Hydrogeophysical Research Site (BHRS) by curve fitting based on observation data and the Dagan and Rabinovich analytical solution. They conclude that the 86 87 equivalent hydraulic parameters can represent the actual aquifer heterogeneity of the study site. 88 Although a large number of studies have been made on development of analytical models for OPT, little is known about the combined effects of wellbore storage and initial condition 89 90 prior to OPT. Analytical solution to such a question will not only have important physical 91 implications but also shed light on OPT model development. This study builds an improved 92 model describing hydraulic head fluctuation induced by OPT in an unconfined aquifer. The 93 model is composed of a typical flow equation with the initial condition of static water table, an 94 inner boundary condition specified at the rim of the pumping well for incorporating wellbore 95 storage effect, and a first-order free surface equation describing the movement of aquifer water 96 table. The analytical solution of the model is derived by the methods of Laplace transform and 97 finite integral transform. Based on the present solution, sensitivity analysis is performed to

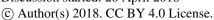
al. (2003) found that hydraulic head response tends to SHM after a certain period of pumping

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98 explore the hydraulic head in response to the change in each of hydraulic parameters. The

99 quantitative criteria for excluding the individual effects of wellbore storage and the initial

condition are discussed. The radius of influence induced by OPT is investigated for engineering

101 applications. In addition, curve fitting of the present solution to head fluctuation data recorded

at BHRS is presented.

# 2. Methodology

#### 104 2.1. Mathematical model

105 Consider an oscillatory pumping at a fully penetrating well in an unconfined aquifer illustrated

in Fig. 1. The aquifer is of unbound lateral extent with a finite thickness b. The radial distance

107 from the centerline of the well is r; an elevation from the impermeable bottom of the aquifer is

108 z. The well has inner radius  $r_c$  and outer radius  $r_w$ .

The flow equation describing spatiotemporal head distribution in aquifers can be written

110 as

111 
$$K_r\left(\frac{\partial^2 h}{\partial r^2} + \frac{1}{r}\frac{\partial h}{\partial r}\right) + K_z\frac{\partial^2 h}{\partial z^2} = S_s\frac{\partial h}{\partial t}$$
 for  $r_w \le r < \infty$ ,  $0 \le z \le b$  and  $t \ge 0$  (1)

where h(r, z, t) is hydraulic head at location (r, z) and time t;  $K_r$  and  $K_z$  are respectively

113 the radial and vertical hydraulic conductivities;  $S_s$  is the specific storage. Consider water table

as a reference datum where the elevation head is set to zero; the initial condition is expressed

115 as:

$$116 h = 0 at t = 0 (2)$$

117 The rim of the wellbore is regarded as an inner boundary, which provides the associated

118 condition as:

119 
$$2\pi r_w K_r b \frac{\partial h}{\partial r} = Q \sin(\omega t) + \pi r_c^2 \frac{\partial h}{\partial t} \text{ at } r = r_w$$
 (3)

120 where Q and  $\omega$  are respectively the amplitude and frequency of oscillatory pumping rate; is

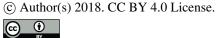
121 frequency. The first term on the right-hand side (RHS) of Eq. (3) represents an oscillatory

122 pumping rate, and the second term represents the volume change within the well reflecting

123 wellbore storage effect. Water table movement can be defined by the first-order free surface

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equation proposed by Neuman (1972) as

125 
$$K_z \frac{\partial h}{\partial z} = -S_y \frac{\partial h}{\partial t}$$
 at  $z = b$  (4)

where  $S_y$  is the specific yield. The impervious aquifer bottom is under the no-flow condition:

$$127 \quad \frac{\partial h}{\partial z} = 0 \text{ at } z = 0 \tag{5}$$

128 The hydraulic head far away from the well remains constant and is expressed as

129 
$$\lim_{r \to \infty} h(r, z, t) = 0$$
 (6)

Define dimensionless variables and parameters as follows:

131 
$$\bar{h} = \frac{2 \pi b K_r}{Q} h$$
,  $\bar{r} = \frac{r}{r_w}$ ,  $\bar{z} = \frac{z}{b}$ ,  $\bar{t} = \frac{K_r}{S_S r_w^2} t$ ,  $\bar{b} = \frac{b}{r_w}$ 

132 
$$\alpha = \frac{r_c^2}{2 r_w^2 S_S b}$$
,  $\gamma = \frac{S_S r_w^2}{K_T} \omega$ ,  $\kappa = \frac{K_Z}{K_T}$ ,  $\mu = \frac{\kappa}{\bar{b}^2}$ ,  $\sigma = \frac{S_y}{S_S b}$ ,  $\alpha = \frac{\sigma}{\mu}$  (7)

- where the overbar stands for a dimensionless symbol. Note that the magnitude of  $\alpha$  dominates
- wellbore storage effect (Papadopulos and Cooper, 1967) and γ is a dimensionless frequency
- parameter. With Eq. (7), the dimensionless forms of Eqs. (1) (6) become, respectively,

136 
$$\frac{\partial^2 \bar{h}}{\partial \bar{r}^2} + \frac{1}{\bar{r}} \frac{\partial \bar{h}}{\partial \bar{r}} + \mu \frac{\partial^2 \bar{h}}{\partial \bar{z}^2} = \frac{\partial \bar{h}}{\partial \bar{t}} \text{ for } 1 \le \bar{r} < \infty, \ 0 \le \bar{z} < 1 \text{ and } \bar{t} \ge 0$$
 (8)

137 
$$\bar{h} = 0$$
 at  $\bar{t} = 0$  (9)

138 
$$\frac{\partial \bar{h}}{\partial \bar{r}} = \sin(\gamma \bar{t}) + \alpha \frac{\partial \bar{h}}{\partial \bar{t}} \text{ at } \bar{r} = 1$$
 (10)

139 
$$\frac{\partial \bar{h}}{\partial \bar{z}} = -a \frac{\partial \bar{h}}{\partial \bar{z}}$$
 at  $\bar{z} = 1$  (11)

$$140 \quad \frac{\partial \bar{h}}{\partial \bar{z}} = 0 \text{ at } \bar{z} = 0 \tag{12}$$

$$\lim_{\bar{r} \to \infty} \bar{h}(\bar{r}, \bar{z}, \bar{t}) = 0 \tag{13}$$

- 142 The transient solution of the dimensionless head  $\bar{h}$  satisfies Eqs. (8) (13) with the initial
- 143 condition Eq. (9). Here we define a pseudo-steady state solution  $\bar{h}_s$  to the model of Eqs. (8)
- and (10) (13) with  $\sin(\gamma \bar{t})$  in Eq. (10) replaced by  $\text{Im}(e^{i\gamma \bar{t}})$ , Im(-) being the imaginary
- part of a complex number, and i being the imaginary unit. The pseudo-steady state model
- accounts for SHM of head fluctuation after a certain period of pumping time.

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## 147 2.2. Transient solution for unconfined aquifer

- 148 The Laplace transform and finite integral transform are applied to solve Eqs. (8) (13) (Liang
- 149 et al., 2017). The former converts  $\bar{h}(\bar{r},\bar{z},\bar{t})$  into  $\hat{h}(\bar{r},\bar{z},p)$ ,  $\partial \bar{h}/\partial \bar{t}$  in Eq. (8), (10) and (11)
- into  $p\hat{h}$ , and  $\sin(\gamma \bar{t})$  in Eq. (10) into  $\gamma/(p^2 + \gamma^2)$  with the Laplace parameter p. The result
- of Eq. (8) in the Laplace domain can be written as

$$152 \quad \frac{\partial^2 \hat{h}}{\partial \vec{r}^2} + \frac{1}{\hat{r}} \frac{\partial \hat{h}}{\partial \vec{r}} + \mu \frac{\partial^2 \hat{h}}{\partial \vec{z}^2} = p\hat{h}$$
 (14)

The transformed boundary conditions in r and z directions are expressed as

154 
$$\frac{\partial \hat{h}}{\partial \bar{r}} = \frac{\gamma}{p^2 + \gamma^2} + \alpha p \hat{h} \text{ at } \bar{r} = 1$$
 (15)

155 
$$\frac{\partial \hat{h}}{\partial \bar{z}} = -ap\hat{h} \text{ at } \bar{z} = 1 \tag{16}$$

156 
$$\frac{\partial \hat{h}}{\partial \bar{z}} = 0 \text{ at } \bar{z} = 0 \tag{17}$$

157 
$$\lim_{\bar{r}\to\infty} \hat{h}(\bar{r},\bar{z},p) = 0 \tag{18}$$

- The finite integral transform proposed by Latinopoulos (1985) is applied to Eqs. (14) -
- 159 (17). The definition of the transform is given in Appendix A. Using the property of the
- 160 transform converts  $\hat{h}(\bar{r},\bar{z},p)$  into  $\tilde{h}(\bar{r},\beta_n,p)$ ,  $\mu \partial^2 \hat{h}/\partial \bar{z}^2$  in Eq. (14) into  $-\mu \beta_n^2 \tilde{h}$ , and
- 161  $\gamma/(p^2+\gamma^2)$  in Eq. (15) into  $\gamma F_t \sin \beta_n/(p^2+\gamma^2)$  where  $n \in (1,2,3,...\infty)$ ;  $F_t =$
- 162  $\sqrt{2(\beta_n^2 + a^2p^2)/(\beta_n^2 + a^2p^2 + ap)}$ ;  $\beta_n$  is the positive roots of the equation:

$$\tan \beta_n = ap/\beta_n \tag{19}$$

- 164 The method to find the roots of  $\beta_n$  is discussed in section 2.3. Eq. (14) then becomes an
- ordinary differential equation (ODE) denoted as

$$166 \quad \frac{\partial^2 \tilde{h}}{\partial r^2} + \frac{1}{r} \frac{\partial \tilde{h}}{\partial r} - \mu \beta_n^2 \tilde{h} = p \tilde{h}$$
 (20)

with the transformed Eqs. (18) and (15) written, respectively, as

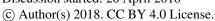
168 
$$\lim_{\bar{r}\to\infty}\tilde{h}(\bar{r},\beta_n,p)=0 \tag{21a}$$

169 
$$\frac{\partial \tilde{h}}{\partial \bar{r}} = \frac{\gamma F_t \sin \beta_n}{\beta_n (p^2 + \gamma^2)} + \alpha p \tilde{h} \text{ at } \bar{r} = 1$$
 (21b)

170 Note that the transformation from Eq. (14) to (20) is applicable only for the no-flow condition

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- specified at  $\bar{z} = 0$  (i.e., Eq. (17)) and third-type condition specified at  $\bar{z} = 1$  (i.e., Eq. (16)).
- 172 Solve Eq. (20) with (21a) and (21b), and we obtain:

173 
$$\tilde{h}(\bar{r}, \beta_n, p) = -\frac{\gamma F_t \sin \beta_n K_0(r\lambda)}{\beta_n(p^2 + \gamma^2)(p\alpha K_0(\lambda) + \lambda K_1(\lambda))}$$
(22)

174 with

$$\lambda = \sqrt{p + \mu \, \beta_n^2} \tag{23}$$

- where  $K_0(-)$  and  $K_1(-)$  is the modified Bessel function of the second kind of order zero
- and one, respectively. Applying the inverse Laplace transform and inverse finite integral
- transform to Eq. (22) results in the transient solution expressed as

179 
$$\bar{h}(\bar{r}, \bar{z}, \bar{t}) = \bar{h}_{\text{exp}}(\bar{r}, \bar{z}, \bar{t}) + \bar{h}_{\text{SHM}}(\bar{r}, \bar{z}, \bar{t})$$
 (24a)

180 with

181 
$$\bar{h}_{\exp}(\bar{r}, \bar{z}, \bar{t}) = \frac{-2}{\pi} \sum_{n=1}^{\infty} \int_{0}^{\infty} \cos(\beta_n \bar{z}) \operatorname{Im}(\gamma \varepsilon_1 \varepsilon_2 \exp(p_0 \bar{t})) d\zeta$$
 (24b)

182 
$$\bar{h}_{SHM}(\bar{r}, \bar{z}, \bar{t}) = \bar{A}_t(\bar{r}, \bar{z}) \cos(\gamma \, \bar{t} - \phi_t(\bar{r}, \bar{z}))$$
 (24c)

183 
$$\bar{A}_t(\bar{r},\bar{z}) = \sqrt{a_t(\bar{r},\bar{z})^2 + b_t(\bar{r},\bar{z})^2}$$
 (24d)

184 
$$a_t(\bar{r}, \bar{z}) = \frac{2}{\pi} \sum_{n=1}^{\infty} \int_0^{\infty} \cos(\beta_n \bar{z}) \operatorname{Im}(\varepsilon_1 \varepsilon_2 p_0) d\zeta$$
 (24e)

185 
$$b_t(\bar{r},\bar{z}) = \frac{2\gamma}{\pi} \sum_{n=1}^{\infty} \int_0^{\infty} \cos(\beta_n \bar{z}) \operatorname{Im}(\varepsilon_1 \varepsilon_2) d\zeta$$
 (24f)

186 
$$\phi_t(\bar{r}, \bar{z}) = \cos^{-1}(b_t(\bar{r}, \bar{z})/\bar{A}_t(r, \bar{z}))$$
 (24g)

187 
$$\varepsilon_1 = \sin \beta_n K_0(\bar{r}\lambda_0) / \left(\beta_n(p_0^2 + \gamma^2) \left(p_0 \alpha K_0(\lambda_0) + \lambda_0 K_1(\lambda_0)\right)\right)$$
(24h)

188 
$$\varepsilon_2 = (\beta_n^2 + a^2 p_0^2)/(\beta_n^2 + a^2 p_0^2 + a p_0)$$
 (24i)

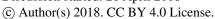
189 
$$p_0 = -\zeta - \mu \beta_n^2$$
 (24j)

$$190 \quad \lambda_0 = \sqrt{\zeta}i \tag{24k}$$

- 191 The detailed derivation of Eqs. (24a) (24k) is presented in Appendix B. The first RHS term
- in Eq. (24a) due to the initial condition exhibits exponential decay since pumping began; the
- 193 second term defines SHM with amplitude  $\bar{A}_t(\bar{r},\bar{z})$  and phase shift  $\phi_t(\bar{r},\bar{z})$  at a given point
- 194  $(\bar{r}, \bar{z})$ . The numerical results of the integrals in Eqs. (24b), (24e) and (24f) are obtained by the
- 195 Mathematica NIntegrate function.

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# 196 **2.3. Calculation of** $\beta_n$

The eigenvalues  $\beta_1, \ldots, \beta_n$ , the roots of Eq. (19) with p replaced by  $p_0$  in Eq. (24j), can

198 be determined by applying the Mathematica function FindRoot based on Newton's method

199 with reasonable initial guesses. The roots are located at the intersection of the curves plotted

200 by the RHS and left-hand side (LHS) functions of  $\beta_n$  in Eq. (19). The roots are very close to

the vertical asymptotes of the periodical tangent function  $\tan \beta_n$ . The initial guess for each  $\beta_n$ 

202 can be considered as  $(2n-1)\pi/2 + \delta$  where  $n \in (1,2,...\infty)$  and  $\delta$  is a small positive

value set to  $10^{-10}$  to prevent the denominator in Eq. (19) from zero.

#### 204 **2.4.** Transient solution for confined aquifer

When  $S_v = 0$  (i.e.,  $\sigma = 0$ ), Eq. (11) reduces to  $\partial \bar{h}/\partial \bar{z} = 0$  for a no-flow condition at the top

206 of the aquifer, indicating that the unconfined aquifer becomes a confined one. Under this

207 condition, Eq. (19) becomes  $\tan \beta_n = 0$  with roots  $\beta_n = 0, \pi, 2\pi, ..., n\pi, ..., \infty$ ; Eq. (24i)

208 reduces to  $\varepsilon_2$  = 1; factor 2 in Eqs. (24b), (24e) and (24f) is replaced by unity. The analytical

solution of the transient head for the confined aguifer can be expressed as

$$\bar{h}(\bar{r},\bar{t}) = \bar{h}_{\exp}(\bar{r},\bar{t}) + \bar{h}_{SHM}(\bar{r},\bar{t})$$
(25a)

211 with

212 
$$\bar{h}_{\exp}(\bar{r}, \bar{t}) = \frac{-1}{\pi} \int_0^\infty \operatorname{Im}(\varepsilon_1 \gamma \exp(-\zeta \bar{t})) d\zeta$$
 (25b)

213 
$$\bar{h}_{SHM}(\bar{r}, \bar{t}) = \bar{A}_t(\bar{r})\cos(\gamma \bar{t} - \phi_t(\bar{r}))$$
 (25c)

214 
$$\bar{A}_t(\bar{r}) = \sqrt{a_t(\bar{r})^2 + b_t(\bar{r})^2}$$
 (25d)

215 
$$a_t(\bar{r}) = \frac{1}{\pi} \int_0^\infty \text{Im}(-\varepsilon_1 \zeta) d\zeta$$
 (25e)

216 
$$b_t(\bar{r}) = \frac{\gamma}{\pi} \int_0^\infty \text{Im}(\varepsilon_1) d\zeta$$
 (25f)

217 
$$\phi_t(\bar{r}) = \cos^{-1}(b_t(\bar{r})/\bar{A}_t(\bar{r}))$$
 (25g)

218 
$$\varepsilon_1 = K_0(\bar{r}\lambda_0)/((p_0^2 + \gamma^2)(-\alpha\zeta K_0(\lambda_0) + \lambda_0 K_1(\lambda_0)))$$
 (25h)

Note that Eq. (24h) reduces to Eq. (25h) based on  $\beta_n = 0$  and L' Hospital's rule and gives

220  $\varepsilon_1 = 0$  for the other roots  $\beta_n = \pi$ ,  $2\pi$ , ...,  $n\pi$ . This causes that Eqs. (25a) – (25h) are

independent of dimensionless elevation  $\bar{z}$ , indicating only horizontal flow in the confined

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- aquifer.
- 223 2.5. Pseudo-steady state solution for unconfined aquifer
- The pseudo-steady state solution  $\bar{h}_s$  satisfies the following form (Dagan and Rabinovich,
- 225 2014).

$$226 \quad \bar{h}_{s}(\bar{r},\bar{z},\bar{t}) = \operatorname{Im}(\bar{H}(\bar{r},\bar{z}) e^{i\gamma\bar{t}}) \tag{26}$$

- where  $\bar{H}(\bar{r},\bar{z})$  is a space function of  $\bar{r}$  and  $\bar{z}$ . Substituting Eq. (26) and  $\partial \bar{h}_s/\partial \bar{t} =$
- 228  $\operatorname{Im}(i\gamma \overline{H}(\bar{r},\bar{z}) e^{i\gamma \bar{t}})$  into the pseudo-steady state model results in

$$229 \quad \frac{\partial^2 \bar{H}}{\partial \bar{r}^2} + \frac{1}{\bar{r}} \frac{\partial \bar{H}}{\partial \bar{r}} + \mu \frac{\partial^2 \bar{H}}{\partial \bar{z}^2} = i\gamma \bar{H}$$
 (27)

230 
$$\frac{\partial \bar{H}}{\partial \bar{r}} = 1 + i\alpha\gamma\bar{H}$$
 at  $\bar{r} = 1$  (28)

231 
$$\frac{\partial \bar{H}}{\partial \bar{z}} = -i\alpha\gamma \bar{H}$$
 at  $\bar{z} = 1$  (29)

232 
$$\frac{\partial \bar{H}}{\partial \bar{z}} = 0$$
 at  $\bar{z} = 0$  (30)

$$\lim_{\bar{r} \to \infty} \bar{H} = 0 \tag{31}$$

234 Again, taking the finite integral transform to Eqs. (27) - (31) yields

$$235 \quad \frac{\partial^2 \widetilde{H}}{\partial r^2} + \frac{1}{r} \frac{\partial \widetilde{H}}{\partial r} - \mu \beta_m^2 \widetilde{H} = i \gamma \widetilde{H}$$
 (32)

236 
$$\frac{\partial \tilde{H}}{\partial \bar{r}} = \frac{\sin \beta_m}{\beta_m} F_s + i\alpha \gamma \tilde{H} \text{ at } \bar{r} = 1$$
 (33)

$$\lim_{\tilde{r} \to \infty} \tilde{H} = 0 \tag{34}$$

238 
$$F_s = \sqrt{2(\beta_m^2 - \alpha^2 \gamma^2)/(\beta_m^2 - \alpha^2 \gamma^2 + i\alpha\gamma)}$$
 (35)

239 where  $\beta_m = c_m + d_m i$  is a complex number being the roots of the equation:

$$240 \quad \beta_m \tan \beta_m = ia\gamma \tag{36}$$

- 241 The method to determine  $\beta_m$  is given in section 2.6. Solving Eq. (32) with (33) and (34)
- 242 results in

243 
$$\widetilde{H}(\bar{r}, \beta_m) = F_s \frac{i\sin(\beta_m)K_0(\bar{r}\lambda)}{\beta_m(\alpha\gamma K_0(\lambda) - i\lambda K_1(\lambda))}$$
 (37)

where  $\lambda = \sqrt{\gamma i + \mu \beta_m^2}$ . After taking the inverse finite integral transform to Eq. (37) and

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- 245 applying the formula of  $e^{i\gamma\bar{t}} = \cos(\gamma\bar{t}) + i\sin(\gamma\bar{t})$  to the result, the pseudo-steady state
- solution can be expressed as

247 
$$\bar{h}_s(\bar{r},\bar{z},\bar{t}) = \bar{A}_s(\bar{r},\bar{z})\cos(\gamma t - \phi_s(\bar{r},\bar{z}))$$
 (38a)

248 with

249 
$$\bar{A}_s(\bar{r},\bar{z}) = \sqrt{a_s(\bar{r},\bar{z})^2 + b_s(\bar{r},\bar{z})^2}$$
 (38b)

$$250 a_s(\bar{r}, \bar{z}) = \text{Re}(\sum_{m=1}^{\infty} D(\bar{r}, \beta_m) \cos(\beta_m \bar{z})) (38c)$$

$$251 b_{s}(\bar{r},\bar{z}) = \operatorname{Im}(\sum_{m=1}^{\infty} D(\bar{r},\beta_{m}) \cos(\beta_{m}\bar{z})) (38d)$$

252 
$$\phi_s(\bar{r}, \bar{z}) = \cos^{-1}(b_s(\bar{r}, \bar{z})/A_s(\bar{r}, \bar{z}))$$
 (38e)

253 
$$D(\bar{r}, \beta_m) = iF_s^2 \sin \beta_m K_0(\bar{r}\lambda) / \left(\beta_m \left(\alpha \gamma K_0(\lambda) - i\lambda K_1(\lambda)\right)\right)$$
(38f)

- 254 where Re(-) is the real part of a complex number. Eq. (38a) indicates SHM for the response of
- 255 the hydraulic head at any point to oscillatory pumping.
- 256 **2.6 Calculation of**  $\beta_m$
- 257 Substituting  $\beta_m = c_m + d_m i$  and  $\tan \beta_m = \sin(2c_m)/\tau + i \sinh(2d_m)/\tau$  with  $\tau =$
- $\cos(2c_m) + \cosh(2d_m)$  into Eq. (36) and separating the real and imaginary parts of the result
- 259 leads to the following two equations:

$$260 \quad \sin(2c_m)/\tau = a\gamma d_m/(c_m^2 + d_m^2) \tag{39}$$

261 and

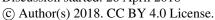
$$262 \quad \sinh(2d_m)/\tau = a\gamma c_m/(c_m^2 + d_m^2) \tag{40}$$

- Noted that Eqs. (39) and (40) are respectively from the real and imaginary parts. The values of
- $c_m$  and  $d_m$  can be determined by the Mathematica function FindRoot with the initial guesses
- 265 of  $\pi m/2$  for  $c_m$  and  $10^{-4}$  for  $d_m$ .
- 2.6 2.7 Pseudo-steady state solution for confined aquifers
- Again, when  $S_y = 0$  (i.e.,  $\sigma = 0$ ), Eq. (36) reduces to  $\tan \beta_m = 0$  with roots  $\beta_m = 0$ ,  $\pi$ ,
- 268  $2\pi, ..., m\pi, ..., \infty$ ; factor 2 in Eq. (35) is replaced by unity. Eq. (38f) then becomes

269 
$$D(\bar{r}) = \begin{cases} 0 & \text{for } \beta_m \neq 0 \\ 2iK_0(\bar{r}\lambda)/(\alpha\gamma K_0(\lambda) - i\lambda K_1(\lambda)) & \text{for } \beta_m = 0 \end{cases}$$
 (41)

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- which is obtained by applying L' Hospital's rule when  $\beta_m = 0$ . With Eq. (41), Eqs. (38c) and
- 271 (38d) reduces, respectively, to

272 
$$a_{\rm s}(\vec{r}) = \operatorname{Re}\left(\frac{i \, K_0(\vec{r}\,\lambda)}{\alpha \gamma K_0(\lambda) - i \lambda K_1(\lambda)}\right)$$
 (42a)

273 and

$$274 b_{s}(\bar{r}) = \operatorname{Im}\left(\frac{i \, K_{0}(\bar{r}\lambda)}{\alpha \gamma K_{0}(\lambda) - i \lambda K_{1}(\lambda)}\right) (42b)$$

- 275 which are independent of dimensionless elevation  $\bar{z}$ , indicating horizontal confined flow.
- Based on Eqs. (41), (42a) and (42b), the pseudo-steady state solution for confined aquifers can
- be expressed as:

$$\bar{h}_{s}(\bar{r},\bar{t}) = \bar{A}_{s}(\bar{r})\cos(\gamma t - \phi_{s}(\bar{r})) \tag{43a}$$

279 with

280 
$$\bar{A}_s(\bar{r}) = \sqrt{a_s(\bar{r})^2 + b_s(\bar{r})^2}$$
 (43b)

$$\phi_s(\bar{r}) = \cos^{-1}(b_s(\bar{r})/A_s(\bar{r})) \tag{43c}$$

- 282 2.8 Sensitivity analysis
- 283 Sensitivity analysis evaluates hydraulic head variation in response to the change in each of  $K_r$ ,
- 284  $K_z$ ,  $S_s$ ,  $S_y$ , and  $\omega$ . The normalized sensitivity coefficient can be defined as (McCuen, 1985)

$$S_i = P_i \frac{\partial X}{\partial P_i} \tag{44}$$

- where  $S_i$  is the sensitivity coefficient of *i*th parameter;  $P_i$  is the magnitude of the *i*th input
- 287 parameter; X represents the present solution in dimensional form. Eq. (44) can be approximated
- 288 as

$$S_i = P_i \frac{X(P_i + \Delta P_i) - X(P_i)}{\Delta P_i} \tag{45}$$

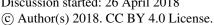
290 where  $\Delta P_i$ , a small increment, is chosen as  $10^{-3}P_i$ .

# 291 3. Results and Discussion

- 292 In the following sections, we demonstrate the response of the hydraulic head to oscillatory
- pumping using the present solution. The default values in calculation are b = 20 m, Q = 1 L/s,
- 294  $r_c = 0.06 \text{ m}, r_w = 0.05 \text{ m}, K_r = 10^{-4} \text{ m/s}, K_z = 10^{-5} \text{ m/s}, S_s = 10^{-5} \text{ m}^{-1}, S_y = 0.1, \omega = 2\pi/30 \text{ s}^{-1}, r$

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- =  $r_w$  and z = 10 m. The corresponding dimensionless parameters are  $\alpha = 3600$ ,  $\gamma = 5.24 \times 10^{-5}$ , 295
- $\kappa = 0.1$ ,  $\mu = 6.2 \times 10^{-7}$ , and  $\sigma = 500$ . The practical ranges for dimensionless parameters are 296
- $0.1 \le \kappa \le 0.5$ ,  $10 \le \sigma \le 10^5$ ,  $10^{-1} \le \alpha \le 10^5$  and  $10^{-6} \le \gamma \le 1$ . 297

#### 298 3.1. Transient head fluctuation affected by the initial condition

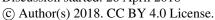
- 299 Figure 2 demonstrates dimensional hydraulic head predicted by the present transient solution
- 300  $h = h_{\rm exp} + h_{\rm SHM}$  and the pseudo-steady state solution  $h_{\rm s}$  for unconfined aquifers. The head
- 301 fluctuation defined by h starts from h = 0 at t = 0 and approaches SHM that can be
- 302 predicted by  $h_{SHM}$  when  $h_{exp} \cong 0$  m after t = 219 sec. On the other hand,  $h_{SHM}$  with about
- 303 13 sec shift of time predicts very close SHM to the pseudo-steady state solution with error less
- 304 than 3%. This example indicates that the present transient solution h can be expressed as h = 1
- 305  $h_{\text{exp}} + h_{\text{s}}$  with a certain time shift so that head fluctuation starts from h = 0 at t = 0.
- Define an ignorable dimensionless head change as  $|\bar{h}| < 10^{-2}$  (i.e., |h| < 1 mm) 306
- according to  $\bar{h} = (2\pi b K_r/Q)h$  for the practical ranges of  $bK_r \ge 10^3$  m<sup>2</sup>/d and  $Q \le 10^2$ 307
- $m^3/d$  (Rasmussen et al. 2003). Define  $\bar{t}_s$  as a dimensionless transient time to have 308
- $\bar{h}_{\rm exp}(\bar{r},\bar{z},\bar{t})=10^{-2}$  (or  $\bar{h}\cong\bar{h}_{\rm SHM}$ ). The time can be estimated using the Mathematica 309
- 310 function FindRoot to solve the equation that

311 
$$|\bar{h}_{exp}(1, 0.5, \bar{t}_s)| = 10^{-2}$$
 (46)

- 312 Figure 3 displays the curve of dimensionless frequency  $\gamma$  versus the largest predicted  $\bar{t}_s$ . The
- curve is plotted based on the values of  $\kappa = 0.1$ ,  $\alpha = 10^5$  and  $\sigma = 500$ . When  $\gamma \le 2.7 \times 10^{-3}$ , 313
- 314 the value of  $\bar{t}_s$  decreases with increasing  $\gamma$ . When  $\gamma > 2.7 \times 10^{-3}$ ,  $\bar{t}_s$  can be regarded as
- 315 zero because a numerical result from the LHS function of Eq. (46) is smaller than 10<sup>-2</sup> for any
- 316 value of  $\bar{t}_s$ . Note that  $\bar{t}_s$  increases with decreasing  $\kappa$  so we choose the smallest of the
- 317 practical range  $0.1 \le \kappa \le 0.5$ . Variations in dimensionless parameters  $\sigma$  and  $\alpha$  have
- insignificant effect on  $\bar{t}_s$  prediction. The largest  $\bar{t}_s$  is about 2.45×10<sup>6</sup> that equals 10 min 318
- obtained by  $t_s = S_s r_w^2 \bar{t}_s / K_r$ ,  $r_w = 0.05$  m,  $K_r = 10^{-4}$  m/s and  $S_s = 10^{-5}$  m<sup>-1</sup>. The relation between 319
- 320  $\bar{t}_s$  and  $\gamma$  can therefore be approximated as

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321 
$$\log_{10} \bar{t}_s = \begin{cases} -\sum_{k=0}^{6} c_k (\log_{10} \gamma)^k & \text{for } 10^{-6} \le \gamma \le 2.7 \times 10^{-3} \\ 1 & \text{for } \gamma > 2.7 \times 10^{-3} \end{cases}$$
 (47)

- 322 where  $c_0 = 629.90517$ ,  $c_1 = 874.82145$ ,  $c_2 = 500.07155$ ,  $c_3 = 151.54284$ ,  $c_4 = 25.63248$ ,  $c_5 = 629.90517$
- 323 2.29276, and  $c_6 = 0.08471$  obtained by the Mathematica function Fit based on least-square
- 324 curve fitting. Existing models assuming hydraulic head response as SHM are applicable when
- 325  $\bar{t} \ge \bar{t}_s$  provided in Fig. 3 for a known value of  $\gamma$ .

## 326 3.2. Radius of influence from pumping well

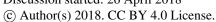
- 327 Researchers have paid attention to the identification of aquifer hydraulic parameters within the
- 328 dimensionless radius of influence  $\bar{R}$  from an oscillatory pumping well (e.g., Cadiff and Sayler.,
- 329 2016). This section quantifies  $\bar{R}$  that is dominated by the magnitude of  $\gamma$ . Define  $\bar{R}$  from the
- 330 pumping well to a location where  $\bar{R}$  satisfies

331 
$$\bar{A}_t(\bar{R},\bar{z}) = 10^{-2}$$
 (48)

- where  $\bar{A}_t$  is defined in Eq. (24d),  $\bar{z}$  can be an arbitrary value of  $0 \le \bar{z} \le 1$  because
- $\bar{A}_t(\bar{R},\bar{z})$  is independent of  $\bar{z}$ , and the value  $10^{-2}$  causes an insignificant dimensional amplitude
- that is defined as  $Q\bar{A}_s(\bar{R},\bar{z})/(2\pi bK_r)$  less than 1 mm for the practical ranges of  $bK_r \ge 10^3$
- 335 m<sup>2</sup>/d and  $Q \le 10^2$  m<sup>3</sup>/d (Rasmussen et al. 2003). The Mathematica function FindRoot is
- applied to solve Eq. (48) to determine the value of  $\bar{R}$ . Figure 4 shows the attenuation of the
- amplitude  $\bar{A}_t(\bar{r},\bar{z})$  at  $\bar{z}=0.5$  for various values of  $\gamma$  in panel (a) and the curve of  $\gamma$  versus
- 338  $\bar{R}$  calculated by Eq. (48) in panel (b). The greater value of  $\gamma$  causes smaller  $\bar{A}_t$  and  $\bar{R}$ ,
- 339 indicating that higher frequency of oscillatory pumping, larger aquifer storage or lower aquifer
- 340 horizontal conductivity leads to smaller amplitude of groundwater fluctuation and smaller
- radius of influence. When  $\gamma > 2.8 \times 10^{-2}$ , the largest dimensionless amplitude at the rim of
- 342 the pumping well is less than  $10^{-2}$  (i.e.,  $\bar{A}_t(1,\bar{z}) < 10^{-2}$ ). The magnitude of  $\bar{R}$  can
- 343 therefore be considered as unity. The changes in  $\kappa$  and  $\sigma$  cause insignificant effect on the
- estimates of  $\bar{A}_t$  and  $\bar{R}$ . The magnitude of  $\alpha$  related to wellbore storage effect will be discussed
- 345 in the next section. With the Mathematica function Fit, the relation between  $\bar{R}$  and  $\gamma$  can be
- 346 approximated as

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347 
$$\log_{10} \bar{R} = \begin{cases} \sum_{k=0}^{6} c_k (\log_{10} \gamma)^k & \text{for } 10^{-6} \le \gamma \le 2.8 \times 10^{-2} \\ 0 & \text{for } \gamma > 2.8 \times 10^{-2} \end{cases}$$
 (49)

- 348 where  $c_0 = -4.13203$ ,  $c_1 = -2.83369$ ,  $c_2 = 0.56905$ ,  $c_3 = 0.65943$ ,  $c_4 = 0.18209$ ,  $c_5 = 0.02147$
- and  $c_6 = 9.33152 \times 10^{-4}$ . It serves as a handy tool of estimating  $\bar{R}$  within which observation
- wells can receive signal from an oscillatory pumping well.

## 3.3. Effect of wellbore storage on head fluctuation

- 352 The effect of wellbore storage is dominated by the magnitude of  $\alpha$  accounting for variation in
- 353 the well radius. This section discusses the discrepancy due to assuming an infinitesimal radius.
- Figure 5 demonstrates the hydraulic head predicted by the present pseudo-steady state solution,
- 355 Eq. (26), for  $\alpha = 10^{-2}$ ,  $10^{-1}$ , 1, 10,  $10^2$  and  $10^3$  at (a)  $\bar{r} = 1$  at the rim of the pumping well and
- 356 (b)  $\bar{r}$  = 16 away from the well. The Dagan and Rabinovich (2014) solution assuming an
- 357 infinitesimal radius is taken for comparison. For the case of  $\bar{r} = 1$ , Fig. 5(a) indicates that the
- 358 predicted dimensionless amplitude increases with decreasing  $\alpha$  and remains constant when  $\alpha$
- $359 \le 10^{-1}$ . The Dagan and Rabinovich (2014) solution gives an overestimate of dimensionless
- 360 amplitude because of neglecting the wellbore storage effect. This result differs from the finding
- 361 of Papadopulos and Cooper (1967) that the effect is ignorable for a large time of a constant-
- rate pumping test (i.e.,  $t > 2.5 \times 10^2 r_c^2/(K_r b)$ ). For the case of  $\bar{r} = 16$  (or  $\bar{r} \ge 16$ ), both
- 363 solutions agree well when  $\alpha \le 10$ , indicating that the wellbore storage effect gradually
- diminishes with distance from the pumping well. The effect should therefore be considered in
- 365 OPT models especially when observed hydrulic head data are taken close to the pumping well.

#### 3.4. Sensitivity analysis

- 367 The normalized sensitivity coefficient  $S_i$  defined as Eq. (44) with  $X = h_{\text{exp}}(r, z, t)$  in Eq.
- 368 (24b) is displayed in Fig. 6 for the response of exponential decay to the change in each of
- parameters  $K_r$ ,  $K_z$ ,  $S_s$ ,  $S_y$  and  $\omega$  with  $\omega = (a) 2\pi/60 \text{ s}^{-1}$  and (b)  $2\pi/30 \text{ s}^{-1}$ . The figure indicates that
- 370 exponential decay is very sensitive to variation in each of  $K_r$ ,  $K_z$ ,  $S_s$  and  $\omega$  because of  $|S_t| > 0$ .
- Precisely, a positive perturbation in  $K_r$ ,  $S_s$ , and  $\omega$  produces an increase in the magnitude of
- $h_{\exp}(r,z,t)$  while that in  $K_z$  causes a decrease. It is worth noting that the coefficient  $S_i$  for  $S_y$

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373 is very close to zero over the entire period of time, indicating that  $h_{\exp}(r,z,t)$  is insensitive 374 to the change in  $S_v$  and the subtle change of gravity drainage has no influence on the exponential 375 decay. In addition, the sensitivity curves of  $K_z$  and  $S_s$  are symmetrical to the horizontal axis, 376 implying that these two parameters are highly correlated (Yeh and Chen, 2007). On the other 377 hand, the spatial distributions of the normalized sensitivity coefficient  $S_i$  defined in Eq. (44) 378 with  $X = A_t(r, z)$  in Eq. (24d) are shown in Fig. 7 for SHM amplitude in response to the changes in parameters  $K_r$ ,  $K_z$ ,  $S_s$ ,  $S_v$  and  $\omega$  for  $\omega = (a) 2\pi/60 \text{ s}^{-1}$  and (b)  $2\pi/30 \text{ s}^{-1}$ . The figure 379 380 also indicates that  $A_t(r,z)$  is sensitive to the change in each of  $K_r$ ,  $K_z$ ,  $S_s$  and  $\omega$  but insensitive 381 to the change in  $S_{\nu}$ . From those discussed above, we can conclude that the changes in the four 382 key parameters  $K_r$ ,  $K_z$ ,  $S_s$  and  $\omega$  significantly affect OPT model prediction, but the change in  $S_v$ 383 doesn't. 384 3.5. Application of the present solution to field experiment 385 Rabinovich et al. (2015) conducted a field OPT in an unconfined aquifer at the BHRS. The 386 aquifer contains a mix of sand, gravel and cobble sediments with 20 m averaged thickness. The aquifer bottom is a clay confining unit. The pumping well fully penetrating the aquifer has 10 387 388 cm inner diameter and 11.43 cm outer diameter of PVC casing. The pumping rate can be approximated as  $Q \sin(\omega t)$  with  $Q = 5.8 \times 10^{-5}$  m<sup>3</sup>/s and  $\omega = 2\pi/24$  s<sup>-1</sup>. The observation data 389 390 of SHM representing time-varying hydraulic head at the pumping well after a certain period of 391 time are plotted in Fig. 8. 392 The aquifer hydraulic parameters  $K_r$ ,  $K_z$ ,  $S_s$ , and  $S_v$  can be determined by the pseudo-steady 393 state solutions, Eqs. (38a) and (43a), coupled with the Levenberg-Marquardt algorithm 394 provided in the Mathematica function FindFit (Wolfram, 1991). Define the residual sum of square (RSS) as RSS =  $\sum_{i=1}^{m} e_i^2$  and the mean error (ME) as ME =  $\frac{1}{m} \sum_{i=1}^{m} e_i$  where  $e_i$  is the 395 396 difference between predicted and observed hydraulic heads and m is the number of observation data (Yeh, 1987). The estimated parameters are  $K_r = 1.034 \times 10^{-5}$  m/s,  $K_z = 1.016 \times 10^{-5}$  m/s,  $S_s = 1.016 \times 10^{-5}$  m/ 397  $= 8.706 \times 10^{-5} \,\mathrm{m}^{-1}$ ,  $S_v = 5.708 \times 10^{-3} \,\mathrm{with} \,\mathrm{RSS} = 1.184 \times 10^{-3} \,\mathrm{m}^2$  and ME = 0.5718 m for the case 398

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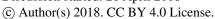
of unconfined aquifers and  $K_r = 5.035 \times 10^{-4} \text{ m/s}$ ,  $S_s = 1.40998 \times 10^{-5} \text{ 1/m}$  with RSS =  $7.454 \times 10^{-5}$ <sup>4</sup> m<sup>2</sup> and ME = 0.46683 m for the case of confined aquifers. The estimated  $S_v$  is less than two orders of the typical range of 0.01~0.3 (Freeze and Cherry, 1979), which accords with the findings of Rasmussen et al. (2003) and Rabinovich et al. (2015). One reason for an underestimated  $S_v$  may be because flow behaviors associated with OPT and constant-rate pumping test are different especially for a high frequency (i.e.,  $\omega$ ). The moisture exchange was limited by capillary fringe between the zones below and upper the water table. Several laboratory researches have focused on this subject for a short period or high frequency of an oscillatory pumping test (e.g., Cartwright et al., 2003; 2005) and they confirmed that the values of  $S_v$  decreases more than two orders at small period of oscillation, compared with conventional instantaneous drainage. Rabinovich et al. (2015) reported  $K_r = 6.3833 \times 10^{-4}$  m/s,  $S_s = 9.22 \times 10^{-6}$  1/m,  $S_v =$  $8.691 \times 10^{-4}$  with RSS =  $2.638 \times 10^{-3}$  m<sup>2</sup> and ME = 0.5955 m for the case of unconfined aquifers and  $K_r = 7.149 \times 10^{-4}$  m/s,  $S_s = 1.214 \times 10^{-5}$  1/m with RSS =  $3.992 \times 10^{-3}$  m<sup>2</sup> and ME = 0.5958 m for the case of confined aguifers on the basis of the Dagan and Rabinovich (2014) solution. Our work provides smaller RSSs than theirs. This may be attributed to the fact that the present solution considers the effect of wellbore storage on the parameter determination. Figure 8 displays agreement between the observation data and the head fluctuations predicted by the pseudo-steady state solution, Eq. (38a), for unconfined aquifers and Eq. (43a) for confined aquifers based on those estimated parameters. This indicates that the present solution is applicable to real-world OPT.

## 4. Concluding remarks

A variety of analytical solutions have been proposed so far, but little attention is paid to the combined effects of wellbore storage and initial condition before OPT. This study develops a new model for describing hydraulic head fluctuation due to OPT in unconfined aquifers. Static hydraulic head prior to OPT is regarded as an initial condition. An equation accounting for

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- wellbore storage effect is specified at the rim of a finite-radius pumping well. A linearized free
- 426 surface equation is considered as the top boundary condition. The analytical solution of the
- 427 model is derived by the Laplace transform and finite integral transform. The sensitivity analysis
- 428 of the head response to the change in each of hydraulic parameters is performed. The present
- 429 solution can estimate aquifer hydraulic parameters when coupling the Levenberg-Marquardt
- algorithm and observation data. Our findings are summarized below:
- 431 1. The transient solution of dimensionless hydraulic head is expressed as the sum of the
- 432 exponential and harmonic functions of time (i.e.,  $\bar{h} = \bar{h}_{\rm exp} + \bar{h}_{\rm SHM}$ ) in Eq. (24a) or (25a).
- The latter function can be replaced by the pseudo-steady state solution with error less than
- 434 3%.
- 435 2. The exponential function  $\bar{h}_{exp}$  defined in Eq. (24b) or (25b) accounts for the effect of the
- 436 initial condition of static groundwater prior to OPT. The effect diminishes when  $\bar{t} \ge \bar{t}_s$
- that can be approximated by Eq. (47) for a fixed dimensionless frequency y. Existing
- 438 analytical solutions assuming SHM without the initial condition are applicable when the
- 439 condition  $\bar{t} \ge \bar{t}_s$  is met.
- 440 3. The magnitudes of  $\alpha$  and  $\bar{r}$  dominate the influence of wellbore storage on predicted head
- 441 fluctuation due to OPT. Neglecting the influence causes a significant overestimate of the
- amplitude of SHM at the pumping well (i.e.,  $\bar{r} = 1$ ) in spite of an extreme range  $\alpha \le 10^{-1}$
- for very small well radius. In contrast, the influence gradually diminishes with distance
- from the pumping well and is ignorable when  $\bar{r} \ge 16$  and  $\alpha \le 10$ . Existing analytical
- 445 solutions assuming an infinitesimal radius can predict accurate head fluctuation when these
- two conditions are met.
- 447 4. The dimensionless radius of influence  $\bar{R}$  can be estimated by Eq. (49) with a
- dimensionless frequency  $\gamma$ . Observation wells should be located in the area of  $\bar{r} < \bar{R}$  for
- obtaining observable data of head fluctuations.
- 450 5. The sensitivity analysis suggests that the changes in four parameters  $K_r$ ,  $K_z$ ,  $S_s$  and  $\omega$

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- 451 significantly affect OPT model prediction but that in  $S_y$  doesn't exert any effect.
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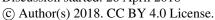
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# 529 Appendix A: Finite integral transform

- 530 Applying the finite integral transform to the model of Eqs. (14) (18) results in (Latinopoulos,
- 531 1985)

532 
$$\tilde{h}(\beta_n) = \Im\{\hat{h}(\bar{z})\} = \int_0^1 \hat{h}(\bar{z}) F_t \cos(\beta_n \bar{z}) d\bar{z}$$
 (A.1)

533 
$$F_t = \left(\frac{2(\beta_n^2 + a^2 p^2)}{\beta_n^2 + a^2 p^2 + ap}\right)^{0.5} \tag{A.2}$$

where  $\beta_n$  is the root of Eq. (19). On the basis of integration by parts, one can write

535 
$$\Im\left\{\frac{\partial^2 \hat{h}}{\partial \bar{z}^2}\right\} = \int_0^1 \left(\frac{\partial^2 \hat{h}}{\partial \bar{z}^2}\right) F(\beta_n) \cos(\beta_n z) \, d\bar{z} = -\beta_n^2 \tilde{h} \tag{A.3}$$

- Note that Eq. (A.3) is applicable only for the no-flow condition specified at  $\bar{z} = 0$  (i.e., Eq.
- 537 (17)) and third-type condition specified at  $\bar{z} = 1$  (i.e., Eq. (16)). The formula for the inverse
- 538 finite integral transform is defined as

539 
$$\hat{h}(\bar{z}) = \mathfrak{I}^{-1}\{\tilde{h}(\beta_n)\} = \sum_{n=1}^{\infty} \tilde{h}(\beta_n) F(\beta_n) \cos(\beta_n \bar{z})$$
 (A.4)

Similarly, apply the transform to the model of Eqs. (27) - (31); one can have

541 
$$\widetilde{H}(\beta_m) = \Im\{\overline{H}(\bar{z})\} = \int_0^1 \overline{H}(\bar{z}) F_s \cos(\beta_m \bar{z}) d\bar{z}$$
 (A.5)

542 where  $F_s$  is defined in Eq. (35);  $\beta_m$  is the root of Eq. (36). It also has the property that

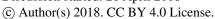
543 
$$\Im\left\{\frac{\partial^2 \bar{H}}{\partial \bar{z}^2}\right\} = \int_0^1 \left(\frac{\partial^2 \bar{H}}{\partial \bar{z}^2}\right) F_s \cos(\beta_m z) \, d\bar{z} = -\beta_m^2 \tilde{H} \tag{A.6}$$

- Again, Eq. (A.6) is applicable only for the no-flow condition specified at  $\bar{z} = 0$  (i.e., Eq. (30))
- 545 and third-type condition specified at  $\bar{z} = 1$  (i.e., Eq. (29)). The inverse finite integral
- transform can be written as

547 
$$\bar{H}(\bar{z}) = \mathfrak{J}^{-1}\{\tilde{H}(\beta_m)\} = \sum_{m=1}^{\infty} \tilde{H}(\beta_m) F_s \cos(\beta_m \bar{z})$$
 (A.7)

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# 548 Appendix B: Derivation of Eqs. (24a) – (24k)

- 549 On the basis of Eq. (A.4) and taking the inverse finite integral transform to Eq. (22), one can
- 550 have the Laplace-domain solution as

551 
$$\hat{h}(\bar{r}, \bar{z}, p) = 2\sum_{n=1}^{\infty} \tilde{h}(\bar{r}, \beta_n, p) \cos(\beta_n \bar{z})$$
 (B.1)

552 with

553 
$$\tilde{h}(\bar{r}, \beta_n, p) = \hat{h}_1(p) \cdot \hat{h}_2(p) \tag{B.2}$$

554 
$$\hat{h}_1(p) = \frac{\gamma}{(p^2 + \gamma^2)}$$
 (B.3)

555 
$$\hat{h}_2(p) = -\varphi_1 \varphi_2$$
 (B.4)

556 
$$\varphi_1 = \sin \beta_n K_0(\bar{r}\lambda) / \left(\beta_n \left(p\alpha K_0(\lambda) + \lambda K_1(\lambda)\right)\right)$$
 (B.5)

557 
$$\varphi_2 = (\beta_n^2 + \alpha^2 p^2)/(\beta_n^2 + \alpha^2 p^2 + ap)$$
 (B.6)

- where  $\lambda$  is defined in Eq. (23). Using the Mathematica function InverseLaplaceTransform, the
- inverse Laplace transform for  $\hat{h}_{p1}(p)$  in Eq. (B.3) can be obtained as

$$\hat{h}_1(\bar{t}) = \sin(\gamma \, \bar{t}) \tag{B.7}$$

The inverse Laplace transform for  $\hat{h}_{p2}(\bar{r}, \beta_n, p)$  in Eq. (B.4) is defined as

562 
$$\hat{h}_2(\bar{t}) = \frac{1}{2\pi i} \int_{\xi - i\infty}^{\xi + i\infty} \hat{h}_2(p) e^{p\bar{t}} dp$$
 (B.8)

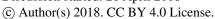
- 563 where  $\xi$  is a real number being large enough so that all singularities are on the LHS of the
- straight line from  $(\xi, -i\infty)$  to  $(\xi, i\infty)$  in the complex plane. The integrand  $\hat{h}_2(p)$  is a
- multiple-value function with a branch point at  $p = -\mu \beta_n^2$  and a branch cut from the point
- along the negative real axis. In order to reduce  $\hat{h}_2(p)$  to a single-value function, we consider
- a modified Bromwich contour that contains a straight line  $\overline{AB}$ ,  $\overline{CD}$  right above the branch cut
- and  $\overline{\rm EF}$  right below the branch cut, a semicircle with radius R, and a circle  $\stackrel{\frown}{\rm DE}$  with radius  $\epsilon$
- 569 in Fig. A1. According to the residual theory and the Bromwich integral, Eq. (B.8) becomes

570 
$$\hat{h}_2(\bar{t}) + \lim_{\substack{\epsilon \to 0 \ R \to \infty}} \frac{1}{2\pi i} \left[ \int_B^C \hat{h}_2(p) e^{p\bar{t}} dp + \int_C^D \hat{h}_2(p) e^{p\bar{t}} dp + \int_D^E \hat{h}_2(p) e^{p\bar{t}} dp + \int_D^E$$

571 
$$\int_{E}^{F} \hat{h}_{2}(p) e^{p\bar{t}} dp + \int_{F}^{A} \hat{h}_{2}(p) e^{p\bar{t}} dp = 0$$
 (B.10)

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where zero on the RHS is due to no pole in the complex plane. The integrations for paths BA

573 (i.e. 
$$\int_R^C \hat{h}_2(p) e^{p\bar{t}} dp + \int_F^A \hat{h}_2(p) e^{p\bar{t}} dp$$
) with  $R \to \infty$  and  $\stackrel{\frown}{DE}$  (i.e.  $\int_D^E \hat{h}_2(p) e^{p\bar{t}} dp$ ) with

574 
$$\epsilon \to 0$$
 equal zero. The path  $\overline{\text{CD}}$  starts from  $p = -\infty$  to  $p = -\mu \beta_n^2$  and  $\overline{\text{EF}}$  starts from

575 
$$p = -\mu \beta_n^2$$
 to  $p = -\infty$ . Eq. (B.10) therefore reduces to

576 
$$\hat{h}_2(\bar{t}) = -\frac{1}{2\pi i} \left( \int_{-\infty}^{-\mu \beta_n^2} \hat{h}_2(p^+) e^{p^+ \bar{t}} dp + \int_{-\mu \beta_n^2}^{-\infty} \hat{h}_2(p^-) e^{p^- \bar{t}} dp \right)$$
 (B.11)

577 where  $p^+$  and  $p^-$  are complex numbers right above and below the real axis, respectively.

Consider 
$$p^+ = \zeta e^{i\pi} - \mu \beta_n^2$$
 and  $p^- = \zeta e^{-i\pi} - \mu \beta_n^2$  in the polar coordinate system with the

origin at  $(-\mu\beta_n^2, 0)$ . Eq. (B.11) then becomes

580 
$$\hat{h}_2(\bar{t}) = \frac{-1}{2\pi i} \int_0^\infty \hat{h}_2(p^+) e^{p^+ \bar{t}} dp - \hat{h}_2(p^-) e^{p^- \bar{t}} d\zeta$$
 (B12)

where  $p^+$  and  $p^-$  lead to the same result of  $p_0 = -\zeta - \mu \beta_n^2$  for a given  $\zeta$ ;  $\lambda = \sqrt{p + \mu \beta_n^2}$ 

582 equals 
$$\lambda_0 = \sqrt{\zeta}i$$
 for  $p = p^+$  and  $-\lambda_0$  for  $p = p^-$ . Note that  $\hat{h}_2(p^+) e^{p^+\bar{t}}$  and

583  $\hat{h}_2(p^-) e^{p^-\bar{t}}$  are in terms of complex numbers. The numerical result of the integrand in Eq.

584 (B.12) must be a pure imaginary number that is exactly twice of the imaginary part of a complex

number from  $\hat{h}_2(p^+) e^{p^+t}$  with  $p^+ = p_0$  and  $\lambda = \lambda_0$ . The inverse Laplace transform for

586  $\hat{h}_2(p)$  can be written as

587 
$$\hat{h}_2(\bar{t}) = \frac{-1}{\pi} \int_0^\infty \text{Im} \left( \varphi_1 \varepsilon_2 \, e^{p_0 \bar{t}} \right) d\zeta \tag{B.13}$$

where  $p=p_0$ ;  $\lambda=\lambda_0$ ;  $\varphi_1$  and  $\varepsilon_2$  are respectively defined in Eqs. (B.5) and (24i); Im(-)

589 represents the numerical imaginary part of the integrand. According to the convolution theory,

the inverse Laplace transform for  $\tilde{h}(\bar{r}, \beta_n, p)$  is

591 
$$\hat{h}(\bar{r}, \beta_n, \bar{t}) = \int_0^t \hat{h}_2(\tau) \, \hat{h}_1(\bar{t} - \tau) d\tau$$
 (B.14)

where  $\bar{h}_1(\bar{t}-\tau)=\sin(\gamma(\bar{t}-\tau))$  based on Eq. (B.7);  $\bar{h}_2(\tau)$  is defined in Eq. (B.13) with

593  $\bar{t} = \tau$ . Eq. (B.14) can reduce to

594 
$$\hat{h}(\bar{r}, \beta_n, \bar{t}) = \frac{-1}{\pi} \int_0^\infty \operatorname{Im} \left( \frac{\varphi_1 \varepsilon_{22} \left( \gamma e^{p_0 \bar{t}} - \gamma \cos(\gamma \bar{t}) - p_0 \sin(\gamma \bar{t}) \right)}{p_0^2 + \gamma^2} \right) d\zeta$$
 (B.15)

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Substituting 
$$\tilde{h}(\bar{r}, \beta_n, p) = \hat{h}(\bar{r}, \beta_n, \bar{t})$$
 and  $\hat{h}(\bar{r}, \bar{z}, p) = \bar{h}(\bar{r}, \bar{z}, \bar{t})$  into Eq. (B.1) and

rearranging the result leads to

597 
$$\bar{h}(\bar{r}, \bar{z}, \bar{t}) = \frac{-2}{\pi} \sum_{n=1}^{\infty} \int_{0}^{\infty} \cos(\beta_n \bar{z}) \operatorname{Im}(\varepsilon_1 \varepsilon_2 \gamma e^{p_0 \bar{t}}) d\zeta +$$

598 
$$\frac{2}{\pi} \sum_{n=1}^{\infty} \int_{0}^{\infty} \cos(\beta_{n} \bar{z}) \operatorname{Im} \left( \varepsilon_{1} \varepsilon_{2} (\gamma \cos(\gamma \bar{t}) + p_{0} \sin(\gamma \bar{t})) \right) d\zeta$$
 (B.16)

- where  $\varepsilon_1$  and  $\varepsilon_2$  are defined in Eqs. (24h) and (24i); the first RHS term equals  $\bar{h}_{\rm exp}(\bar{r},\bar{z},\bar{t})$
- defined in Eq. (24b); the second term can be expressed as  $\bar{h}_{SHM}(\bar{r}, \bar{z}, \bar{t})$  defined in Eq. (24c).
- Finally, the complete solution is expressed as Eqs. (24a) (24k).

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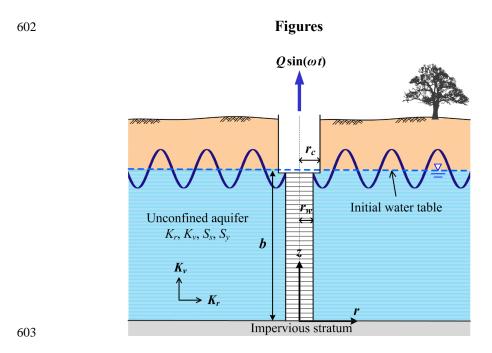


Figure 1. Schematic diagram for an oscillatory pumping test at a fully penetrating well of
 finite radius in an unconfined aquifer

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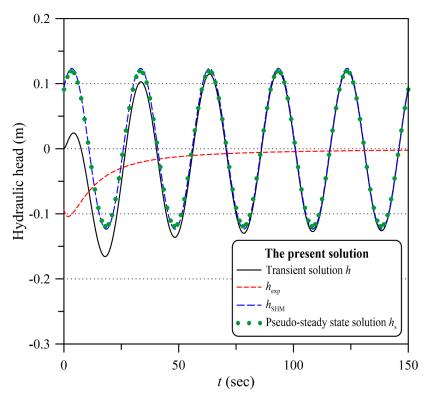
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**Figure 2.** Hydraulic head predicted by the transient solution expressed as  $h = h_{\text{exp}} + h_{\text{SHM}}$  and the pseudo-steady state solution  $h_{\text{s}}$  for unconfined aquifers

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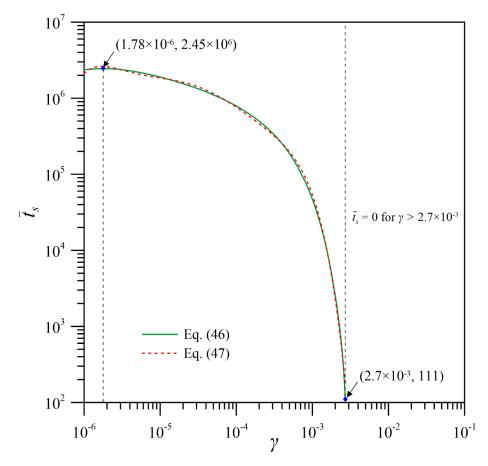


Figure 3. The curve of dimensionless frequency γ of oscillatory pumping rate versus the
 dimensionless time at which hydraulic head fluctuation can be regarded as SHM

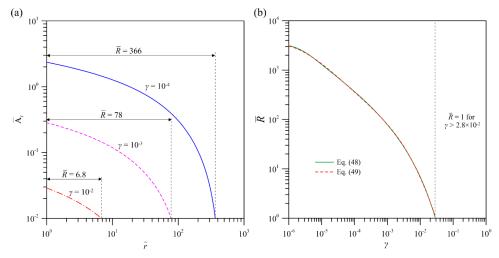
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**Figure 4.** (a) Attenuation of dimensionless amplitude and (b) dimensionless radius of influence for different dimensionless frequency  $\gamma$  of oscillatory pumping rate for unconfined aquifers

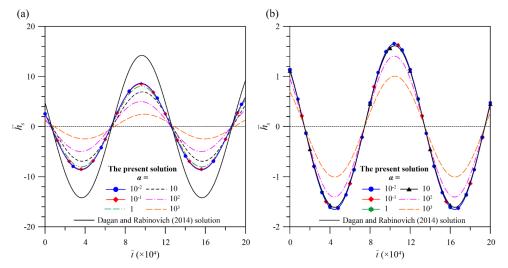
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**Figure 5.** Predicted Head fluctuations for (a)  $\bar{r} = 1$  at the rim of the pumping well and (b)  $\bar{r} = 16$  away from the well using the Dagan and Rabinovich (2014) solution and the present solution with different  $\alpha$  related to wellbore storage effect for unconfined aquifers

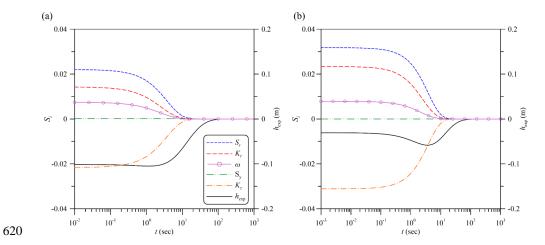
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**Figure 6.** Temporal distributions of the normalized sensitivity coefficient  $S_i$  associated with the exponential component defined in Eq. (24b) for parameters  $K_r$ ,  $K_z$ ,  $S_s$ ,  $S_y$  and  $\omega$  when  $\omega =$  (a)  $2\pi/60$  s<sup>-1</sup> and (b)  $2\pi/30$  s<sup>-1</sup>

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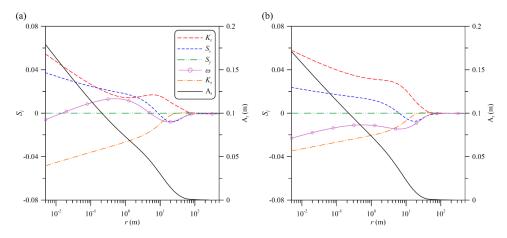
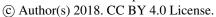


Figure 7. Spatial distributions of the normalized sensitivity coefficient  $S_i$  associated with SHM amplitude defined in Eq. (24d) for each of parameters  $K_r$ ,  $K_z$ ,  $S_s$ ,  $S_y$ , and  $\omega$  when  $\omega = (a)$   $2\pi/60 \text{ s}^{-1}$  and (b)  $2\pi/30 \text{ s}^{-1}$ 

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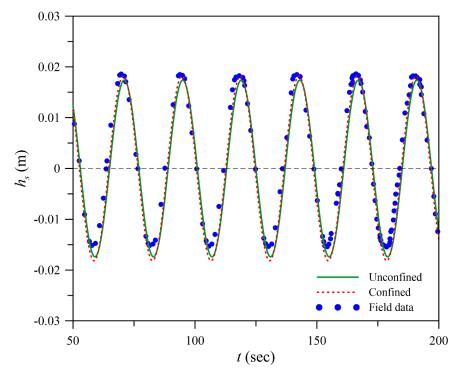


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**Figure 8.** Comparision of field observation data with head fluctuations predicted by the pseudo-steady state solutions Eq. (38a) for unconfined aquifers and Eq. (43a) for confined aquifers

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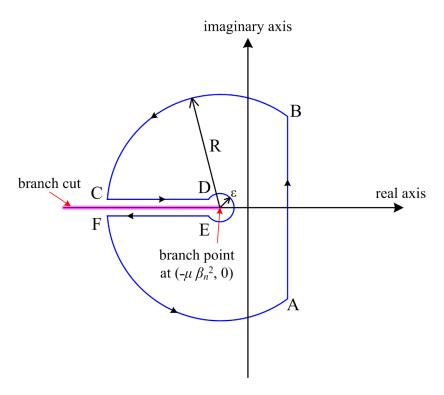


Figure A1. Modified Bromwich contour for the inverse Laplace transform to a multiple-value

function with a branch point and a branch cut