## Discrete Mathematics Lecture Note

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## 1 Introduction

#### 1.1 Mobius Inversion

Let  $D_n$  = all divisor set of positive integer n.

$$a, b \in D_n, a \le b \Leftrightarrow a|b$$

Define  $\mu: D_n \times D_n \to \mathbb{R}$ 

$$\mu(a,b) = \begin{cases} (-1)^r & \text{if } a \mid b \text{ and } \frac{b}{a} \text{ is the product of } k \text{ distinct primes} \\ 0 & \text{otherwise} \end{cases}$$

$$\mu(b) = \mu(1,b) = \begin{cases} (-1)^r & \text{square free} \\ 0 & \text{not square free} \end{cases}$$

**Lemma 1.** For m > 1,

$$\sum_{d \in D_m} \mu(d) = \begin{cases} 1 & (m=1) \\ 0 & (m \neq 0) \end{cases}$$

$$\sum_{a \le c \le b} \mu(a, c) = \begin{cases} 1 & (a = b) \\ 0 & \text{otherwise} \end{cases}$$

$$\sum_{d} \mu(d) = \sum_{i=0}^{r} {r \choose i} (-1)^{i} = 0$$

**Theorem 1.** For function  $f, g: D_m \to \mathbb{R}$ ,

$$g(n) = \sum_{d \in D_n} f(d) \Leftrightarrow f(n) = \sum_{d \in D_n} \mu(d,n) \\ g(n) = \sum \mu(d) \\ g(\frac{n}{d})$$

**Proof.**  $(\Rightarrow)$ 

$$\begin{split} &\sum_{d \in D_n} \mu(d,n) \sum_{e \in D_d} f(e) \\ &= \sum_{e \in D_n} \sum_{e \leq d \leq d_n} \mu(d,n) f(e) \\ &= \sum_{e \in D_n} f(e) \sum_{e \leq d \leq D_n} \mu(d,n) = f(n) \end{split}$$

Corollary 1.

$$\phi(n) = n \prod_{i=1}^{r} \left( 1 - \frac{1}{p_i} \right) \Leftrightarrow n = \sum_{d \in D_n} \phi(d)$$

show that

$$\phi(n) = \sum_{d \in D_n} \mu(d) \frac{n}{d}$$

#### 1.2 Generating Functions

Define power series ring  $\mathbb{C}[[x]] = \left\{ \sum_{n \geq 0} a_n x^n | a_n \in \mathbb{C} \ \forall n \right\}$ . 'Formal' means evalutation or radius of convergence is ignored. We call  $x^n$  is a 'placeholder' of  $a_n$ .  $a_n = \text{coefficient of } x^n$ 

For a sequence  $f: N_0 \to \mathbb{C}$   $(f \in \mathbb{C}[[x]])$ 

The ordinary generating function is

$$\sum f(n)x^n$$

The exponential generating function is

$$\sum f(n) \frac{x^n}{n!}$$

Let A(x), B(x) ordinary. Then AB = C is ordinary, where  $c_n = \sum_{i=0}^n a_i b_{n-i}$  convolution.

Notation. Define  $e^x = \sum_{n \geq 0} \frac{x^n}{n!}$  e.g.f for  $(1,1,1,\cdots)$  Define  $e^{-x} = \sum_{n \geq 0} (-1)^n \frac{x^n}{n!}$  Show that  $e^x e^{-x} = \left(\sum_{n \geq 0} \frac{x^n}{n!}\right) \left(\sum_{n \geq 0} (-1)^n \frac{x^n}{n!}\right) = \sum_{n \geq 0} \left(\sum_{i=0}^n (-1)^i \binom{n}{i}\right) \frac{x^n}{n!} = 1.$  Define  $\log 1 + x = \sum_{n \geq 1} (-1)^{n-1} \frac{x^n}{n}$ 

**Example 1.** Generating function for  $H_n = 1 + \frac{1}{2} + \cdots + \frac{1}{n}$  with  $H_0 = 0$ 

$$\sum_{n\geq 0} H_n x^n = \left(\sum_{n\geq 1} \frac{1}{n} x^n\right) \left(\sum_{n\geq 0} x^n\right)$$
$$= -\log(1-x) \cdot (1-x)^{-1}$$
$$= \frac{1}{1-x} \log \frac{1}{1-x}$$

## 1.3 Infinite Sums and Products in $\mathbb{C}[[x]]$

**Example 2.** p(n) = # of partitions of n

$$\sum_{n\geq 0} p(n)x^n = \prod_{i\geq 1} \frac{1}{1-x^i}$$

$$= \frac{1}{1-x} \cdot \frac{1}{1-x^2} \cdots$$

$$= (1+x+x^2+\cdots)(1+x^2+x^4+\cdots)\cdots$$

Note that the coefficient of  $x^n$  in  $\mathfrak{Z}$ Um $\mathfrak{Z}$  requires finite number of factors.

coefficient of 
$$x^n$$
  
= #of solutions $(a_1, a_2, \dots, a_n)$  to  $n_1 + 2n_2 + \dots + = n$   
=  $p(n)$ 

**Definition 1.** Let  $A_0, A_1, \dots \in \mathbb{C}[[x]]$ 

 $A \in \mathbb{C}[[x]], \deg(A) = \text{first power with nonzero coefficient.}$ 

The sum  $\sum_{i>0} A_i$  exists iff  $\deg A_i \to \infty$ .

$$A_1 = (a_{10}, a_{11}, a_{12}, a_{13}, \cdots)$$

$$A_2 = (0, a_{21}, a_{22}, a_{23}, \cdots)$$

$$A_3 = (9, 0, a_{32}, a_{33}, \cdots)$$

We can make each row sum is finite.

**Example 3.**  $e^{1+x}$  is not well-defined.

$$e^{1+x} = 1 + (1+x) + \frac{(1+x)^2}{2!} + \frac{(1+x)^3}{3!} + \cdots$$

$$e^{e^x - 1} = \sum_{n \ge 0} B(n) \frac{x^n}{n!}$$

$$= 1 + \left(\sum_{n \ge 1} \frac{x^n}{n!}\right) + \frac{\left(\sum_{n \ge 1} \frac{x^n}{n!}\right)^2}{2!} + \cdots$$

Assume the constant term of each  $A_i=1$ .  $\prod_{i\geq 1}A_i$  exists iff  $\deg(A_i-1)\to\infty$ 

**Example 4.** 
$$(1+x)(1+x^2)(1+x^3)\cdots$$
 is well defined.  $=\sum_{n\geq 0} p_d(n)x^n$ 

Propositions

(1) 
$$\prod_{i\geq 0} A_i$$
 and  $\prod_{i\geq 0} B_i$  are well-defined  $\Rightarrow \prod_{i\geq 0} A_i B_i = \left(\prod_{i\geq 0} A_i\right) \left(\prod_{i\geq 0} B_i\right)$ 

**Proof.**  $\deg(AB-1) \ge \min\{\deg(A-1), \deg(B-1)\}$  The factors that contribute to  $x^n$  are the same on both sides

(2) 
$$\left(\prod_{i\geq 0} A_i\right)^{-1} = \prod_{i\geq 0} A_i^{-1}$$

**Proof.** dhotldqkfs

$$\deg(A_i - 1) = \deg(A_i^{-1} - 1)$$

example

$$A = \prod_{i \ge 0} (i - x^i) \Rightarrow A^{-1} = \prod_{i \ge 0} \frac{1}{1 - x^i}$$

(3)  $\frac{\prod_{i\geq 0} A_i}{\prod_{i\geq 0} B_i} = \prod_{i\geq 0} \frac{A_i}{B_i}$ 

Example 5.  $\frac{\prod_{i\geq 1}(1-x^{2i})}{\prod_{i\geq 1}(1-z^i)} = \prod_{i\geq 1} = \prod_{i\geq 1}(1+z^i) = \sum_{n\geq 0} p_d(n)x^n = \prod_{i\geq 1} \frac{1}{1-z^{2i-1}} = \sum_{n\geq 0} p_0(n)x^n$ 

 $p_0(n)$  is number of partitions of n where parts are odd.

## Compositions in $\mathbb{C}[[x]]$

 $A(x), B(x) \in \mathbb{C}[[x]]$ 

A(B(x)) is well-defined if either (1) A(x) is a polynomial or (2) the constant term in B(x) = 0

Example 6.

$$A(x) = e^x$$
$$B(x) = e^x - 1$$

 $A(B(x)) = e^{e^x - 1}.$ 

When C(x) = x + 1,  $A(C(x)) = e^{x+1}$  is not well defined.

#### 1.5 **General Powers**

Propositions. Given any  $\lambda \in \mathbb{C}$ , define  $\binom{\lambda}{n} = \frac{\lambda(\lambda-1)\cdots(\lambda-n+1)}{n!}$  with  $\binom{\lambda}{0} = 1$ Define  $(1+x)^{\lambda} = \sum_{n>0} {\lambda \choose x^n} \in \mathbb{C}[[x]]$ 

If  $\lambda$  is a positive integer, this is just the binomial theorem.

If  $A(x) \in \mathbb{C}[[x]]$  with A(0) = 0, then  $(1 + A(x))^{\lambda} = \sum_{n \geq 0} {\lambda \choose n} A(x)$ .

#### Example 7.

$$(1-x)^{-k} \stackrel{?}{=} \frac{1}{(1-x)^k}$$

Note that

$${\binom{-k}{n}} = \frac{-k(-k-1)(-k-2)\cdots(-k-n+1)}{n!}$$

$$= \frac{(-1)^n(n+k-1)_n}{n!}$$

$$= (-1)^n {\binom{n+k-1}{n}} = (-1)^n {\binom{n+k-1}{k-1}}$$

$$(1-x)^{-k} = \sum_{n\geq 0} {\binom{-k}{n}} (-1)^n x^n$$
$$= \sum_{n\geq 0} {\binom{n+k-1}{k-1}} x^n = \frac{1}{(1-x)^k}$$

Proposition.  $(1+x)^{\lambda}(1+x)^{\mu} = (1+x)^{\lambda+\mu}$ 

#### Example 8.

$$(1+x)^{\frac{1}{2}}(1+x)^{\frac{1}{2}} = 1+x$$

**Proof.** Need to show

$$\sum_{i=0}^{n} \binom{\lambda}{i} \binom{\mu}{n-i} = \binom{\lambda+\mu}{\mu} \text{ for all } n \ge 0$$

We can prove it in algebra. Otherwise, we can show it by proving coefficient of  $x^n$  for LHS = coefficient of  $x^n$  for RHS.

Check that

$$\binom{x+y}{n} = \sum_{i=0}^{n} \binom{x}{i} \binom{y}{n-i}$$
 for all positive integers  $x, y$ 

Let LHS = f(x, y) and RHS = g(x, y). f(x, y) = g(x, y) for infinitely many x, yf = g as polynomials.

#### 1.6 Catalan Numbers

$$c_0 = 0, c_1 = 1, c_2 = 1, c_3 = 2, c_4 = 5$$
  
 $c_5 = c_1c_4 + c_2c_3 + c_3c_3 + c_4c_1$ 

$$c_n = \sum_{i=1}^{n-1} c_i c_{n-i}$$

Let  $C(x) = \sum_{n>0} c_n x^n$ .

$$\begin{split} C(x) &= C(x)^2 + x \\ \Rightarrow C(x)^2 - C(x) &= -x \\ \Rightarrow C(x)^2 - C(x) + \frac{1}{4} = \frac{1}{4} - x \\ \Rightarrow \left(C(x) - \frac{1}{2}\right)^2 = \frac{1}{4} - x \\ \Rightarrow C(x) - \frac{1}{2} = \pm \frac{1}{2}(1 - 4x)^{\frac{1}{2}} \end{split}$$

Since  $C(x) = c_0 = 0$ , we get

$$C(x) - \frac{1}{2} = -\frac{1}{2}(1 - 4x)^{\frac{1}{2}}$$
$$\therefore C(x) = \frac{1}{2} - \frac{1}{2}(1 - 4x)^{\frac{1}{2}}$$

$$(1 - 4x)^{\frac{1}{2}} = 1 + \sum_{n \ge 1} {1 \choose n} (-1)^n 4^n x^n$$
$$= 1 - 2 \sum_{n \ge 1} \frac{1}{n} {2n - 2 \choose n - 1} x^n$$

Check that

$$\binom{1\frac{1}{2}}{n} = \frac{(-1)^{n-1}}{2^{2n-1}} \frac{1}{n} \binom{2n-2}{n-1}$$

Thus

$$c_n = \frac{1}{n} \binom{2n-2}{n-1}$$

#### Other interpretations of Catalan Number 1.7

 $c_n$  = number of ways to parenthesize a product  $x_1x_2\cdots x_n$ 

**Example 9.** For  $x_1x_2x_3x_4$ , there are 5 ways.

Key observation is the outermost parenthesis multiples two terms. The first is a product involving  $x_1, x_2, \dots, x_r \to a_r$  ways to do this. The second is a product involving  $x_r + 1, x_r + 2, \dots, x_n \to a_{n-r}$  ways.

number of binary trees with n leaves and 1 root. A tree is binary if every vertex has degree 1 or 3.

A bijections among these sets (triangulations of n+1-gon, parenthesized prod-

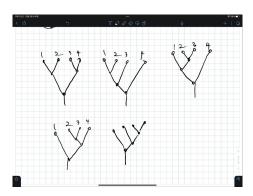


Figure 1: binary tree

ucts of n variables, binary trees with n leaves)

**Example 10.** Figure 2 is the bijection between the sets.

#### Product of exponential generating functions 1.8

$$A(x) = \sum_{n \ge 0} a_n \frac{x^n}{n!}$$
$$B(x) = \sum_{n \ge 0} b_n \frac{x^n}{n!}$$

$$B(x) = \sum_{n > 0} b_n \frac{x^n}{n!}$$

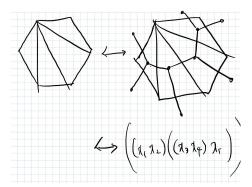


Figure 2: bijections

$$A(x)B(x) = \sum_{n>0} c_n \frac{x^n}{n!}$$
 where  $c_n = \sum_{i=0}^n \binom{n}{i} a_i b_{n-i}$ 

It means number of ways to partition on n-set into two ordered blocks and create structure "A" in the first block and structure "B" in the second block.

**Remark** If "A" = "B", then  $\frac{A(x)^2}{2!}$  = e.g.f for the number of ways to create two unordered blocks in an n-set and create structure "A" in each block.

**Remark** If both blocks of an *n*-set has to be non-empty then let  $a_0 = b_0 = 0$ 

**Example 11.**  $c_n$  = number of ways to color n labeled balls with red and blue so that an even number of balls are colored red and an odd number of balls are colored blue.

$$c_n = 0$$
 if  $n$  is even.  $c_n = 2^{n-1}$  if  $n$  is odd.

$$R(x) = \text{e.g.f for } (1, 0, 1, 0, \dots) = 1 + \frac{x^2}{2!} + \frac{x^4}{4!} = \frac{e^x + e^{-x}}{2}$$

$$B(x) = \text{e.g.f for } (0, 1, 0, 1, \dots) = x + \frac{x^3}{3!} + \frac{x^5}{5!} + \dots = \frac{e^x - e^{-x}}{2}$$

$$B(x) = \text{e.g.f for } (0, 1, 0, 1, \dots) = x + \frac{x^3}{3!} + \frac{x^5}{5!} + \dots = \frac{e^x - e^{-x}}{2}$$

$$\sum_{n\geq 0} c_n \frac{x^n}{n!} = C(x) = R(x) \cdot B(x)$$

$$= \frac{e^{2x} - e^{-2x}}{4}$$

$$= \frac{1}{4} \left[ \left( 1 + 2x + \frac{(2x)^2}{2!} + \cdots \right) - \left( 1 - 2x + \frac{(2x)^2}{2!} - \cdots \right) \right]$$

$$= \sum_{n\geq 0} 2^{n-1} \frac{x^n}{n!}$$

Example 12. Dearangement (revisited)

$$P(x) = \sum_{n>0} n! \frac{x^n}{n!} = \sum_{n>0} x^n = \frac{1}{1-x} = \text{ e.g.f for } \{|S_n| = n!\}$$

$$I(X) = \sum_{n>0} 1 \frac{x^n}{n!} = e^x$$

= e.g.f for the number of identity permutations on an n-set

= e.g.f for the sequence  $(1, 1, 1, \cdots)$ 

$$D(x) = \sum_{n \ge 0} d_n \frac{x^n}{n!}$$
 where  $d_n =$  number of dearangements on an *n*-set

P(x) = I(x)D(x) because a permutations on an n-set is obtained by

- 1. partitioning the n-set into two ordered blocks.
- 2. create the identity permutations on the first block and create a dearangement on the second block.

$$\therefore D(x) = P(x)I(x)^{-1} = \frac{e^{-x}}{1-x}$$

### PS3 #7

number of involutions in  $S_n$  = number of partial matchings with n vertices =  $a_n$ 

partial matching = degree 1 graphs = collection of disjoint edges and vertices.  $\sigma \in S_n$  is an involution if  $\sigma = id$ , i.e.,  $\sigma$  is a product of disjoint transpositions cycles of length 2.

partial matchings is

- 1. Split [n] into two blocks.
- 2. Create a perfect matching in the first block and leave the second block untouched.

For PS3 #7 (a), check that  $a_n = a_{n-1} + (n-1)a_{n-2}$  with  $a_0 = a_1 = 1$ . (b)

$$f(x) = \sum_{n \ge 0} a_n \frac{x^n}{n!} = e^{x + \frac{x^2}{2}}$$

$$= 1 + (x + \frac{x^2}{2}) + \frac{\left(x + \frac{x^2}{2}\right)}{2!} + \cdots$$

$$= 1 + x + \sum_{n \ge 2} (a_{n-1} + (n-1)a_{n-2}) \frac{x^n}{n!}$$

$$f'(x) = 1 + \sum_{n \ge 2} a_{n-1} \frac{x^{n-1}}{(n-1)!} + \sum_{n \ge 2} a_{n-2} \frac{x^{n-1}}{(n-2)!}$$

$$= 1 + (f(x) - 1) + xf(x)$$

$$\Rightarrow f'(x) = (1+x)f(x)$$

$$\Rightarrow \frac{f'(x)}{f(x)} = 1 + x$$

$$\Rightarrow f(x) = e^{x + \frac{x^2}{2}}$$

Let 
$$g(x) = e^{x + \frac{x^2}{2}} = \sum_{n \ge 0} b_n \frac{x^n}{n!}$$
.

Since g'(x) = (1 + x)g(x).

We can check that  $b_n = b_{n-1} + (n-1)b_{n-2}$  with  $b_0 = b_1 = 1$ .

Then  $\{a_n\}$  and  $\{b_n\}$  satisfies the same recurrence relations

$$\therefore \forall n \ a_n = b_n$$

Review: S(n,k)= Stirling numbers of the second kind. k!S(n,k)= number of all surjective functions  $f:[n]\to k$  (k fixed)  $=\sum_{(n_1,n_2,\cdots,n_k)}\binom{n}{n_1,n_2,\cdots,n_k}$  where the sum is over all compositions  $(n_1,\cdots,n_k)$ 

of n.

$$\Rightarrow S(n,k) = \frac{1}{k!} \sum_{(n_1, \dots, n_k)} \binom{n}{n_1, n_2, \dots, n_k}$$

$$= \frac{1}{k!} \times \left( \text{coefficient of } \frac{x^n}{n!} \text{ in } (e^x - 1)^k \right)$$

$$= \frac{1}{k!} \sum_{(n_1, \dots, n_k)} \binom{n}{n_1, n_2, \dots, n_k} \underbrace{1 \cdot 1 \cdot \dots 1}_{k \text{ times}}$$

$$\sum_{n>0} S(n,k) \frac{x^n}{n!} = \frac{(e^x - 1)^k}{k!}$$

#### Corollary 2.

$$f_n = \text{ number of surjections } [n] \to [k]$$

$$\sum_{n>0} f_n \frac{x^n}{n!} = (e^x - 1)^k$$

Exercise. Let  $g_n$  = number of surjections with  $|f^{-1}(i)| \ge 3 \ \forall i \in [k]$ . Find  $sum_{n\ge 0}g_n\frac{x^n}{n!}$ .

**Proposition 1.** Let  $A(x) = \sum_{n\geq 0} a_n \frac{x^n}{n!}$  where  $a_n =$  number of ways to create structure "A" on an *n*-set.

Then

$$\frac{A(x)^k}{k!} = \sum_{n>0} b_n \frac{x^n}{n!}$$

where  $b_n$  = number of ways to

- 1. partition an n-set into unordered k blocks
- 2. create structure "A" in each block.

*Proof.* clear. 
$$\Box$$

**Example 13.** 
$$c(n,k) = \text{number of ways to create } k \text{ cycles on } [n].$$
  
Let  $A(x) = \sum_{n \geq 1} (n-1)! \frac{x^n}{n!} = \sum_{n \geq 1} \frac{x^n}{n} = -\log(1-x) = \log(\frac{1}{1-x}).$ 

(n-1)! is the number of ways to create one cycle of length n

$$\therefore \sum_{n>0} c(n,k) \frac{x^n}{n!} = \frac{\log\left(\frac{1}{1-x}\right)^k}{k!}$$

Theorem 2. Let  $A(x) = \sum_{n \geq 1} a_n \frac{x^n}{n!} \ (n \geq 1)$ 

$$e^{A(x)} = \exp\left(\sum_{n\geq 1} a_n \frac{x^n}{n!}\right) = \sum_{n\geq 0} b_n \frac{x^n}{n!} \ (b_0 = 1)$$

where  $b_n$  = number of ways to partition an n-set into unordered non-empty blocks and create structure "A" in each block.

Proof.

$$e^{A(x)} = 1 + A(x) + \frac{A(x)^2}{2!} + \dots + \frac{A(x)^k}{k!} + \dots$$

coefficient of  $\frac{x^n}{n!}$  in  $e^{A(x)} = \sum_{k \geq 1}$  coefficient of  $\frac{x^n}{n!}$  in  $\frac{A(x)^k}{k!}$  which means the number of ways to partition an n-set into unordered non-empty blocks and create structure "A" in each block.

#### Example 14.

$$\sum_{n\geq 0} B(n) \frac{x^n}{n!} = \sum_{n\geq 0} \left( \sum_{k\geq 0} S(n,k) \right) \frac{x^n}{n!}$$
$$= \sum_{k\geq 0} \left( \sum_{n\geq 0} S(n,k) \frac{x^n}{n!} \right)$$
$$= \sum_{k\geq 0} \frac{(e^x - 1)^k}{k!} = e^{e^x - 1}$$

where B(n) is Bell number.

#### Example 15.

$$A(x) = \sum_{n \ge 1} \frac{x^n}{n!} = \log\left(\frac{1}{1-x}\right)$$

$$\frac{1}{1-x} = \sum_{n \ge 0} n! \frac{x^n}{n!}$$
$$= \sum_{n \ge 0} |S_n| \frac{x^n}{n!}$$
$$= e^{A(x)} = e^{\log\left(\frac{1}{1-x}\right)} = \frac{1}{1-x}$$

**Example 16.** number of simple graphs on the vertext set  $[n] = 2^{\binom{n}{2}}$ . Simple graph is a compound structure given by connected graphs. graph figre

Let  $f(x) = \sum_{n \geq 1} d_n \frac{x^n}{n!}$  where  $d_n =$  number of connected graphs on an *n*-vertext set.

We know that  $2^{\binom{n}{2}}$  = number of simple graphs on *n*-vertices.

$$e^{f(x)} = \sum_{n \ge 0} 2^{\binom{n}{2}} \frac{x^n}{n!} \left( \binom{0}{2} = \binom{1}{2} = 0 \right)$$
$$f(x) = \log \left( \sum_{n \ge 0} 2^{\binom{n}{2}} \frac{x^n}{n!} \right)$$
$$\stackrel{diff}{\Rightarrow} f'(x) = \frac{\text{aaa'}}{\text{aaa}}$$

$$\left(\sum_{n\geq 1} n d_n \frac{x^{n-1}}{n!}\right) \left(\sum_{n\geq 0} 2^{\binom{n}{2}} \frac{x^{n-1}}{n!}\right) = \sum_{n\geq 0} n 2^{\binom{n}{2}} \frac{x^n}{n!}$$

By comparing the coefficient of  $\frac{x^n}{n!}$  on both sides.

$$\sum_{i=1}^{n} \binom{n}{i} i \cdot d_i 2^{\binom{n-i}{2}} = n2^{\binom{n}{2}}$$

$$n = 1 : d_1 = 1$$
  
 $n = 2 : {2 \choose 1} d_1 + {2 \choose 2} d_2 = 2 \cdot 2$   
 $\Rightarrow d_2 = 1, d_3 = 4$ 

**Definition 2.** Tree = a connected acyclic group

Cayley: number of spanning trees in  $K_n = n^{n-2}$ 

**Example 17.**  $n = 3:3^1 = 3$ 

3 spanning tree grim

$$n = 4:4^2 = 16$$

4 spanning tree grim

**Definition 3.** Forest = a disjoint union of trees

Example 18. For n = 3,

forest grim

Define tree generating function.

$$T(x) = \sum_{n \ge 1} n^{n-2} \frac{x^n}{n!}$$

$$e^{T(x)} = \sum_{n \ge 0} r_n \frac{x^n}{n!}$$

where  $r_n$  is the number of spanning forests in  $K_n$ 

$$e^{T(x)} = 1 + T(x) + \frac{T(x)^2}{2!} + \cdots$$

We can think coefficient of  $\frac{x^n}{n!}$  in  $\frac{T(x)^k}{k!}$   $(k \ge 0)$ Define f-vector of  $K_n$ 

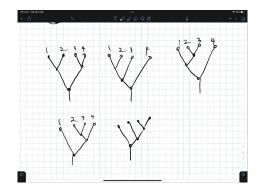


Figure 3: binary tree

$$f_{K_n} = (f_0, f_1, \cdots, f_{n-1})$$

$$f_{K_4} = (1, 6, 15, 16) \quad (15^2 \ge 6 \cdot 16)$$

$$f_{K_5} = (1, 10, 45, 110, 123) \quad (45^2 \ge 10 \cdot 110)$$

$$f_{K_6} = (1, 15, 105, 435, 1080, 1296)$$

Log-cocavity of  $f_{K_n}$  (proved by Heo)

Define an alternating sum

N	1	2_	3 4		5	6
\	1					
2	ſ	1				
}	3	3	1			
4	16	15	6 45 437	(		
5	125	((0	45	10	١	
6	1296	(080)	435	105	15	(

Figure 4

$$-e^{-T(x)} = 1 - T(x) + \frac{T(x)^2}{2!} - \dots = \sum_{n>0} \alpha(K_n) \frac{x^n}{n!}$$

## 1.9 Generating Functions for Number Partitons

We know p(n) = number of partitions  $\lambda = \lambda_1 \ge \lambda_2 \ge \cdots$  of n = number of weak solutions to  $a_1 + 2a_2 + 3a_3 + \cdots + na_n = n$ 

Theorem 3.

$$\sum_{n\geq 0} p(n)x^n = \prod_{i\geq 1} \frac{1}{1-x^i}$$

Proof.

$$\prod_{i \ge 1} \frac{1}{1 - x^i} = \frac{1}{1 - x} \cdot \frac{1}{1 - x^2} \cdots$$
$$= (1 + x + x^2 + \cdots)(1 + x^2 + x^4 + \cdots) \cdots$$

coefficient of  $x^n$  = number of sequence  $(a_1, a_2, \dots, a_n)$  satisfying  $a_1 + 2a_2 + \dots + na_n = n$  is p(n)

 $p_d(n)$  = number of partitions of n with distinct part.

$$\sum_{n>0} p_d(n)x^n = \prod_{i>1} (1+x^i)$$

 $p_o(n)$  = number of partitions of n with parts that are odd only.

$$\sum_{n>0} p_o(n)x^n = \prod_{i \ge 1} \frac{1}{1 - x^{2i-1}}$$

Euler.  $p_d(n) = p_o(n)$ 

Proof.

$$\prod_{i\geq 1} (1+x^i) = (1+x)(1+x^2)(1+x^3)\cdots$$

$$= \frac{1-x^2}{1-x} \frac{1-x^4}{1-x^2} \frac{1-x^6}{1-x^3}\cdots$$

$$= \prod_{i\geq 1} \frac{1}{1-x^{2i-1}}$$

Combinational proof of  $p_d(n) = p_o(n)$ 

**Lemma 2.**  $t_n$  = number of partitions of n into distinct powers of  $2 = 1 \ \forall n$ 

Proof.

$$(1+x)(1+x^2)(1+x^4)(1+x^8)\cdots = 1+x+x^2+\cdots$$

Example 19.

$$\lambda = (12, 9, 7, 6, 3, 2) \in Par_d(39)$$

$$12 = 2^2 \cdot 3$$

$$9 = 2^0 \cdot 9$$

$$7 = 2^0 \cdot 7$$

$$6 = 2^1 \cdot 3$$

$$3 = 2^0 \cdot 3$$

$$2 = 2^1 \cdot 1$$

We can get  $\stackrel{\sim}{\lambda}=(9,7,3,3,3,3,3,3,1,1).$  We know how many 3 used and decompose  $7=2^2+2^1+2^0.$ 

**Example 20.** number of  $\lambda \vdash n$  s.t. only odd parts may be repeated = number of  $\lambda \vdash n$  s.t. no part appears more than 3 times.

The generating function of first part is

$$\frac{1}{1-x}(1+x^2)\frac{1}{1-x^3}(1+x^4)\cdots$$

$$=\frac{1}{1-x}\frac{(1+x^2)(1-x^2)}{1-x^2}\frac{1}{1-x^2}\frac{(1+x^4)(1-x^4)}{(1-x^4)}\cdots$$

$$=\frac{1}{1-x}\frac{(1-x^4)}{1-x^2}\frac{1}{1-x^2}\frac{(1-x^8)}{(1-x^4)}\cdots$$

$$=(1+x+x^2+x^3)(1+x^2+x^4+x^6)\cdots$$

### 1.10 Durfee Square

 $p_{sc}(n)$  = number of self-conjugate partitions of n= number of n with all parts odd and distinct  $(n \cdot d)$  = number of self-conjugate partitions of n with  $d \cdot d$  Durfoo

 $p_{sc}(n:d) = \text{number of self-conjugate partitions of } n \text{ with } d \times d \text{ Durfee square}$   $= \text{number of partitions of } n - d^2 \text{ with the largest part } \geq d$ and each part appearing even number of times

 $n-d^2$  is the coefficient of  $x^n$  in  $\frac{x^{d^2}}{(1-x^2)(1-x^4)\cdots(1-x^{2d})}$ 

$$\therefore p_{sc}(n) = \sum_{d>0} p_{sc}(n:d)$$

$$\sum_{n\geq 0} p_{sc}(n)x^n = \prod_{i\geq 1} (1+x^{2i-1}) = (1+x)(1+x^3)(1+x^5)\cdots$$
$$= \sum_{d>0} \frac{x^{d^2}}{(1-x^2)(1-x^4)\cdots(1-x^{2d})}$$

Suppose  $\lambda \vdash n$  is self-conjugate, i.e.,  $\lambda = \lambda^*$ . The Durfee square of  $\lambda$  = the largest square of the form  $d \times d$  in the upper left corner of  $\lambda$ 

#### **Example 21.** $\lambda = 7, 5, 3, 2, 2, 1, 1 \vdash 21$

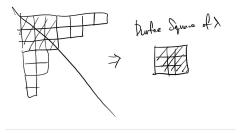


Figure 5: durfee square

#### 1.11 Two Variable Generating Function

Theorem 4.

$$\sum_{n,k\geq 0} p(n,k)x^n t^k = \prod_{i\geq 1} \frac{1}{(1-x^i t)}$$
$$= (1+xt+x^2t^2+\cdots)(1+x^2t+x^4t^2+\cdots)(1+x^3t+x^6t^2+\cdots)\cdots$$

p(n,k) means the number of partitions of n with k parts.

**Proof.** The coefficient of  $x^n t^k$  in the thoerem is the number of solutions to  $e_1 + 2e_2 + \cdots + ne_n = n$  which is p(n,k) with  $e_1 + e_2 + \cdots + e_n = k$ 

#### Corollary 3.

$$\prod_{i \ge 1} \frac{1}{1 + x^i t} = \sum_{n,k \ge 0} p(n,k) x^n (-t)^k$$

$$= \sum_{n \ge 0} (p_e(n) - p_o(n)) x^n \text{ with } t = 1$$

### Euler's pentagonal number theorem

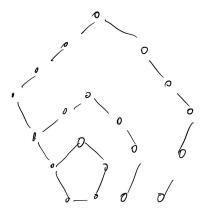


Figure 6: pentagonal number

$$\frac{1}{2}m(3m-1)$$

$$\frac{m \left| \frac{1}{2}m(3m-1) \right| \frac{1}{2}m(3m+1)}{1}$$

$$\frac{1}{2} \quad \frac{1}{5} \quad 7$$

$$\frac{1}{3} \quad 12 \quad 15$$

$$D(x,t) = \prod_{i>1} (1+x^{i}t) = \sum_{n>0} p_{d}(n,k)x^{n}t^{k}$$

where  $p_d(n,k)$  is the number of  $\lambda \vdash n$  with k parts and all parts are distinct.

$$D(x, -1) = \prod_{i \ge 1} (1 - x^i) = \sum_{n \ge 0} p_d(n, k) x^n (-1)^k$$
$$= \sum_{n \ge 0} (e_n - o_n) x^n$$

where  $e_n$  is  $p_d(n, k)$  when k = even, vice versa.

$$P(x) = \prod_{i \ge 1} \frac{1}{1 - x^i}$$
$$= D(x, -1)^{-1}$$

#### Theorem 5.

$$e_n - o_n = \begin{cases} (-1)^m & \text{if } n = \frac{1}{2}m(3m \pm 1) \ (m \ge 1) \\ 0 & \text{otherwise} \end{cases}$$

i.e.

$$P(x)^{-1} = D(x, -1) = Q(x)$$
  
= 1 - x - x<sup>2</sup> + x<sup>5</sup> + x<sup>7</sup> - x<sup>12</sup> - x<sup>15</sup> + x<sup>22</sup> + x<sup>26</sup> - ...

$$\therefore P(x) \cdot Q(x) = 1$$

$$\Rightarrow p(n) - p(n-1) - p(n-2) + p(n-5) + p(n-7) - \dots = 0 \ (n \ge 1)$$

**Proof.** Let  $E_n$  = the set of all  $\lambda \vdash n$  with even number of parts and all parts are distinct.

Idea. Define  $f: E_n \to O_n$  which is a bijection if  $n \neq \frac{1}{2}m(3m \pm 1)$  and "almost" a bijection if  $n = \frac{1}{2}(3m \pm 1)$ 

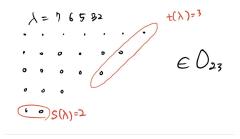


Figure 7: diag

Define  $S(\lambda)$  = the smallest part in  $\lambda$ ,  $t(\lambda)$  = length of the longest NE  $\rightarrow$  SW diagonal starting at the tip of the largest part.

Case 1.  $s(\lambda) \le t(\lambda)$ 

Remove  $s(\lambda)$  and attach it to the right of  $t(\lambda)$ 

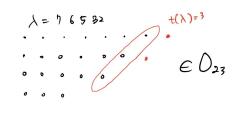


Figure 8: case 1

Case 2.  $s(\lambda) \ge t(\lambda)$ 

Remove  $t(\lambda)$  and attach it below  $s(\lambda)$ 

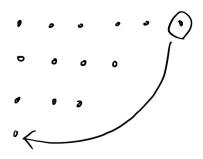


Figure 9: case 2

However, we cannot apply case 1 when  $S(\lambda) \cap T(\lambda) \neq \emptyset$  and  $s(\lambda) = t(\lambda)$ 

$$\Rightarrow n = m + (m+1) + \dots + (2m-1)$$
  
=  $\frac{1}{2}m(3m-1)$ 

Also, we cannot apply case 2 when  $S(\lambda)=m+1$  and  $t(\lambda)=m$  and  $S(\lambda)\cap T(\lambda)\neq\emptyset$ 

$$\Rightarrow n = (m+1) + (m+2) + \dots + 2m$$
  
=  $\frac{1}{2}m(3m+1)$ 

# 2 Graphs

## 2.1 Königberg's Bridges

Reduced Euler characteristic

 $\overset{\sim}{\chi} = 1$  – number of vertices + number of edges

**Definition 4.** A finite graph G = (V, E) consists of

- A finite vertex set V = V(G)
- A finite edge (multi)set E = E(G)

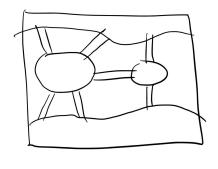


Figure 10: bridges

An edge  $e \in E$  is an unordered pair of vertices. We write  $e = \{v, w\} = vw$  where  $v, w \in V$ 

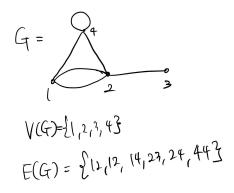


Figure 11: graph example

**Example 22.** We call  $\{12, 12\}$  parallel edges,  $\{44\}$  loop, and  $\{14, 23, 34\}$  simple edge.

$$e = vw \in E \Leftrightarrow \begin{cases} v \text{ and } w \text{ are adjacent} \\ e \text{ is incident with } v \text{ and } w \\ v \text{ and } w \text{ are endpoints of } e \\ e \text{ joins } v \text{ and } w \end{cases}$$

**Definition 5.** Adjacenecy matrix  $A(G) = (a_{ij})$  is  $n \times n$  where n = |V(G)|  $a_{ij} = a_{ji} = \text{number of edges joining vertices } i \text{ and } j \ (i \neq j)$   $a_{ii} = 0$  (if G is loopless)  $(a_{ii} = 2 \times \text{number of loops at } i)$ 

**Definition 6.** Degree matrix  $D(G) = (d_{ij})$ .  $d_{ii} = \text{degree of vertex } i$ .  $d_{ij} = 0$ 

**Example 23.** For finite graph G, Adjacenecy matrix is

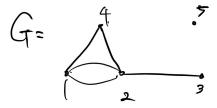


Figure 12: graph example 2

$$\begin{bmatrix} 0 & 2 & 0 & 1 & 0 \\ 2 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} v & 1 & 2 & 3 & 4 & 45 \\ \hline \deg n & 3 & 4 & 1 & 4 & 0 \end{bmatrix}$$

**Theorem 6.** A finite graph G has an even number of odd degree vertices.

**Proof.** Suffies to show

$$\sum_{v \in V(G)} \deg v = \text{ even integer }$$

Indeed

$$\sum_{v \in G} \deg v = 2|E|$$

because every edge  $e \in E$  contributes 2.

**Definition 7.** A finite graph G is simple if G has no loops and no multiple edges.

**Example 24.** The complete graph  $K_n$ 

$$V(K_n) = [n]$$

$$E(K_n) = \{ij \mid 1 \le i < j \le n\} = {[n] \choose 2}$$

$$|E(K_n)| = {n \choose 2}$$

Figure 13: complete graph

We can order edges lexiographically.

$$E(K_5) = 12, 13, 14, 15, 23, 24, 25, 34, 35, 45$$

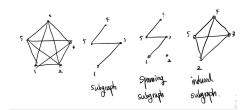


Figure 14: subgraphs

**Definition 8.** • H is a subgraph of G,  $H \subseteq G$ , if  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ 

- H is a spanning subgraph of G if V(H) = V(G) and  $E(G) \subseteq E(G)$
- H is an induced subgraph of G if  $V(H) \subseteq V(G)$  and  $ab \in E(H) \Leftrightarrow ab \in E(G)$

A simple graph has two vertices of the same degree (PHP)

**Definition 9.** A path in a graph G from a to b  $(a, b \in V(G))$  is a subgraph consisting of

- 1. distinct vertices  $a = v_0, v_1, \dots, v_k = b$
- 2. distinct edges  $v_0v_1, v_1v_2, \cdots, v_{k-1}v_k$

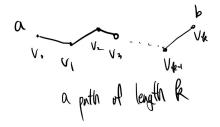


Figure 15: path

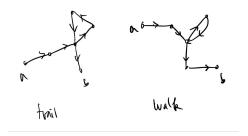


Figure 16: trails and walk

**Definition 10.** G is connected if a path exists between any pair of vertices in G.

**Definition 11.** A cycle is a "closed" path, i.e., a = b.

**Definition 12.** A component of G is a connected induced subgraph that is maximal under inclusion.

component grim

**Definition 13.** Eulerian circuit in G is a circuit that contains all of the edges in G (exactly once).

**Definition 14.** Eulerian graph is a graph with an Eulerian circuit.

**Definition 15.** Hamiltonian cycle in G is a cycle that contains all of the vertices in G.

**Example 25.**  $K_4$  has 3 Hamiltonian cycle.

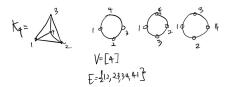


Figure 17: Hamiltonian cycle

**Theorem 7.** A finite graph G with no isolated vertext is Eulerian if and only If G is connected and every vertex of G has an even degree.

**Proof.**  $(\Rightarrow)$  Let G Eulerian.

There exists a circuit that uses every edge in G. Every vertex of G has and even degree.

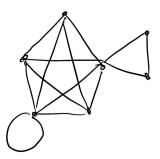


Figure 18

 $(\Leftarrow)$  Pick a vertex v. Construct a citcuit starting and ending at v using all edges incident to v. Call it C.

Then remove all the edges in C from G. Let  $G \setminus C = G_1 \sqcup G_2 \sqcup \cdots \sqcup G_m$  when  $C_i$ 's are components in  $G \setminus C$ . Assume  $G_1, G_2, \cdots, G_m$  appear in this order along the circuit C. Note that in each  $G_i$ , every vertex has an even degree. By induction on the number of edges in G, each  $G_i$  contains an Eulerian curcuit

call it  $C_i$ .

Then  $C \cup C_1 \cup C_2 \cup \cdots \cup C_m$  is an Eulerian circuit in G.

**Definition 16.** A graph is acyclic if it contains no cycle.

Example 26. Acyclic graph



Figure 19: acyclic graph

A connected acyclic graph is a tree. Hence an acyclic graph is a disjoint union of trees. An acyclic graph is also called a forest.

**Remark.** In an acyclic graph, there exists a unique path between two distinct vertices.

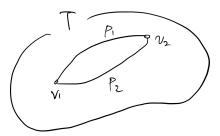


Figure 20: unique path

A tree with at least one edge has a leaf (vertex of degree 1).

G is acyclic iff every  $e \in E(G)$  is a isthmus(=bridge). An edge is a bridge if it connects two components.

**Definition 17.** For any finite graph H, c(H) = number of components in H and e(H) = number of edges in H.

**Theorem 8.** Let G be a finite graph with n vertices and H a spanning subgraph of G, i.e., V(H) = V(G).

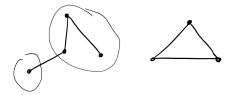


Figure 21: isthmus

- 1.  $c(H) + e(H) \ge n$
- 2. c(H) + e(H) = n iff H is acyclic.

Example 27. Let  $G = K_4$ .

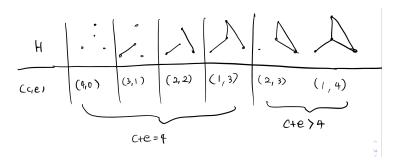


Figure 22: c+e

**Proof.** Suppose  $H=H'\cup e.$  c(H)=c(H') iff e is not an isthmus. c(H)=c(H')-1 iff e an isthmus. Therfore  $c(H)\geq c(H')-1$ .

**Definition 18.** Let G be a finite graph with n vertices. A spanning tree of G is a spanning subgraph T of G (i.e., V(T) = G(T))satisfying any two of the following condition.

- 1. T is acyclic. : c(T) + e(T) = n
- 2. T is connected. : c(T) = 1
- 3. T has n-1 edges. e(T) = n-1

Hence, every spanning tree of G with |V(G)| = n has n-1 edges. Every connected graph has a spanning tree.