

Context-Free Languages & Grammars (CFLs & CFGs)

Reading: Chapter 5

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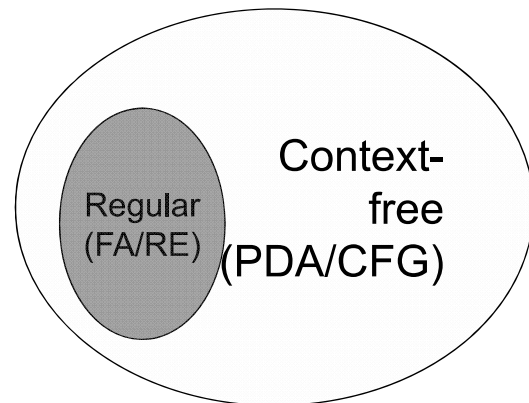
Not all languages are regular

- So what happens to the languages which are not regular?
- Can we still come up with a language recognizer?
 - i.e., something that will accept (or reject) strings that belong (or do not belong) to the language?

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Context-Free Languages

- A language class larger than the class of regular languages
- Supports natural, recursive notation called “context-free grammar”
- Applications:
 - Parse trees, compilers
 - XML



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An Example

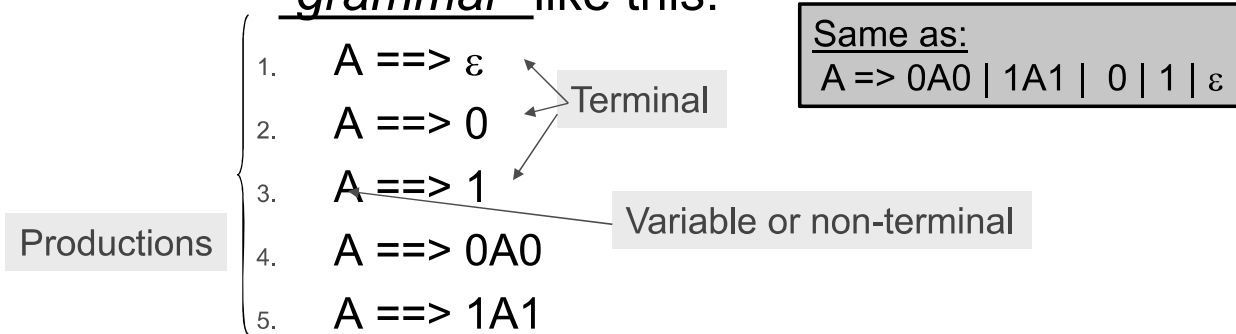
- A palindrome is a word that reads identical from both ends
 - E.g., $\overrightarrow{\text{madam}}, \overleftarrow{\text{madam}}, \overrightarrow{\text{redivider}}, \overleftarrow{\text{redivider}}, \overrightarrow{\text{malayalam}}, \overleftarrow{\text{malayalam}}, \overrightarrow{010010010}, \overleftarrow{010010010}$
- Let $L = \{ w \mid w \text{ is a binary palindrome} \}$
- Is L regular?
 - No.
 - Proof:
 - Let $w = 0^N 1 0^N$ (assuming N to be the p/l constant)
 - By Pumping lemma, w can be rewritten as xyz , such that xy^kz is also L (for any $k \geq 0$)
 - But $|xy| \leq N$ and $y \neq \epsilon$
 - $\implies y = 0^+$
 - $\implies xy^kz$ will NOT be in L for $k \neq 0$
 - \implies Contradiction

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But the language of palindromes...

is a CFL, because it supports recursive substitution (in the form of a CFG)

- This is because we can construct a “grammar” like this:



How does this grammar work?

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How does the CFG for palindromes work?

An input string belongs to the language (i.e., accepted) iff it can be generated by the CFG

- Example: $w=01110$
- G can generate w as follows:

G:
 $A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

1. $A \Rightarrow 0A0$
2. $\Rightarrow 01A10$
3. $\Rightarrow 01110$

Generating a string from a grammar:

1. Pick and choose a sequence of productions that would allow us to generate the string.
2. At every step, substitute one variable with one of its productions.

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Context-Free Grammar: Definition

- A context-free grammar $G=(V,T,P,S)$, where:
 - V : set of variables or non-terminals
 - T : set of terminals (= alphabet $\cup \{\epsilon\}$)
 - P : set of *productions*, each of which is of the form $V \Rightarrow \alpha_1 \mid \alpha_2 \mid \dots$
 - Where each α_i is an arbitrary string of variables and terminals
 - $S \Rightarrow$ start variable

CFG for the language of binary palindromes:
 $G=(\{A\},\{0,1\},P,A)$
 $P: A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \epsilon$

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More examples

- Parenthesis matching in code
- Syntax checking
- In scenarios where there is a general need for:
 - Matching a symbol with another symbol, or
 - Matching a count of one symbol with that of another symbol, or
 - Recursively substituting one symbol with a string of other symbols

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Example #2

- Language of balanced paranthesis
e.g., $()(((((())()))((())))\dots$
- CFG?

$\begin{array}{l} \underline{G}: \\ S \Rightarrow (S) \mid SS \mid \varepsilon \end{array}$

How would you “interpret” the string “ $(((((())()))((())))$ ” using this grammar?

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Example #3

- A grammar for $L = \{0^m 1^n \mid m \geq n\}$
- CFG?

$\begin{array}{l} \underline{G}: \\ S \Rightarrow 0S1 \mid A \\ A \Rightarrow 0A \mid \varepsilon \end{array}$

How would you interpret the string “00000111” using this grammar?

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Example #4

A program containing **if-then(-else)** statements

if *Condition* **then** *Statement* **else** *Statement*

(Or)

if *Condition* **then** *Statement*

CFG?

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More examples

- $L_1 = \{0^n \mid n \geq 0\}$
- $L_2 = \{0^n \mid n \geq 1\}$
- $L_3 = \{0^i 1^j 2^k \mid i=j \text{ or } j=k, \text{ where } i,j,k \geq 0\}$
- $L_4 = \{0^i 1^j 2^k \mid i=j \text{ or } i=k, \text{ where } i,j,k \geq 1\}$

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Applications of CFLs & CFGs

- Compilers use parsers for syntactic checking
- Parsers can be expressed as CFGs
 1. Balancing paranthesis:
 - $B \Rightarrow BB \mid (B) \mid \textit{Statement}$
 - $\textit{Statement} \Rightarrow \dots$
 2. If-then-else:
 - $S \Rightarrow SS \mid \textit{if Condition then Statement else Statement} \mid \textit{if Condition then Statement} \mid \textit{Statement}$
 - $\textit{Condition} \Rightarrow \dots$
 - $\textit{Statement} \Rightarrow \dots$
 3. C paranthesis matching $\{ \dots \}$
 4. Pascal *begin-end* matching
 5. YACC (Yet Another Compiler-Compiler)

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More applications

- Markup languages
 - Nested Tag Matching
 - HTML
 - `<html> ...<p> </p> ... </html>`
 - XML
 - `<PC> ... <MODEL> ... </MODEL> .. <RAM> ... </RAM> ... </PC>`

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Tag-Markup Languages

Roll \Rightarrow \langle ROLL \rangle Class Students \langle /ROLL \rangle

Class \Rightarrow \langle CLASS \rangle Text \langle /CLASS \rangle

Text \Rightarrow Char Text | Char

Char \Rightarrow a | b | ... | z | A | B | .. | Z

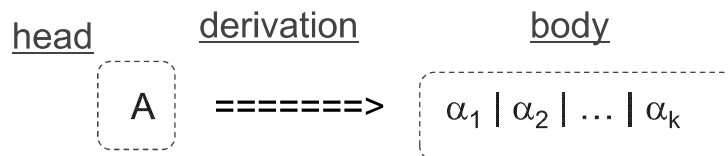
Students \Rightarrow Student Students | ϵ

Student \Rightarrow \langle STUD \rangle Text \langle /STUD \rangle

Here, the left hand side of each production denotes one non-terminals (e.g., "Roll", "Class", etc.)
Those symbols on the right hand side for which no productions (i.e., substitutions) are defined are terminals (e.g., 'a', 'b', '|', '<', '>', "ROLL", etc.)

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Structure of a production



The above is same as:

1. $A \Rightarrow \alpha_1$
2. $A \Rightarrow \alpha_2$
3. $A \Rightarrow \alpha_3$
- ...
- K. $A \Rightarrow \alpha_k$

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CFG conventions

- Terminal symbols $\leq a, b, c \dots$
- Non-terminal symbols $\leq A, B, C, \dots$
- Terminal or non-terminal symbols $\leq X, Y, Z$
- Terminal strings $\leq w, x, y, z$
- Arbitrary strings of terminals and non-terminals $\leq \alpha, \beta, \gamma, \dots$

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Syntactic Expressions in Programming Languages

*result = a*b + score + 10 * distance + c*

terminals variables Operators are also terminals

Regular languages have only terminals

- Reg expression = $[a-z][a-z0-1]^*$
- If we allow only letters a & b , and 0 & 1 for constants (for simplification)
 - Regular expression = $(a+b)(a+b0+1)^*$

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String membership

How to say if a string belong to the language defined by a CFG?

1. Derivation
 - Head to body
2. Recursive inference
 - Body to head

Both are equivalent forms

Example:

- $w = 01110$
- Is w a palindrome?

G:
 $A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

$A \Rightarrow 0A0$
 $\Rightarrow 01A10$
 $\Rightarrow 01110$

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Simple Expressions...

- We can write a CFG for accepting simple expressions
- $G = (V, T, P, S)$
 - $V = \{E, F\}$
 - $T = \{0, 1, a, b, +, *, (,)\}$
 - $S = \{E\}$
 - $P:$
 - $E \Rightarrow E + E \mid E * E \mid (E) \mid F$
 - $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid a \mid b \mid 0 \mid 1$

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Generalization of derivation

- Derivation is *head* \Rightarrow *body*
- $A \Rightarrow X$ (A derives X in a single step)
- $A \Rightarrow_G^* X$ (A derives X in a multiple steps)
- Transitivity:
If $A \Rightarrow_G^* B$, and $B \Rightarrow_G^* C$, THEN $A \Rightarrow_G^* C$

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Context-Free Language

- The language of a CFG, $G=(V,T,P,S)$, denoted by $L(G)$, is the set of terminal strings that have a derivation from the start variable S.
 - $L(G) = \{ w \text{ in } T^* \mid S \Rightarrow_G^* w \}$



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Left-most & Right-most Derivation Styles

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$

$F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid \varepsilon$

Derive the string $a^*(ab+10)$ from G:

$E \Rightarrow_G^* a^*(ab+10)$

Left-most
derivation:

Always
substitute
leftmost
variable

```

■E
■==> E * E
■==> F * E
■==> a * E
■==> a * (E)
■==> a * (E + E)
■==> a * (F + E)
■==> a * (aF + E)
■==> a * (abF + E)
■==> a * (ab + E)
■==> a * (ab + F)
■==> a * (ab + 1F)
■==> a * (ab + 10F)
■==> a * (ab + 10)
    
```

```

■E
■==> E * E
■==> E * (E)
■==> E * (E + E)
■==> E * (E + F)
■==> E * (E + 1F)
■==> E * (E + 10F)
■==> E * (E + 10)
■==> E * (F + 10)
■==> E * (aF + 10)
■==> E * (abF + 0)
■==> E * (ab + 10)
■==> F * (ab + 10)
■==> aF * (ab + 10)
■==> a * (ab + 10)
    
```

Right-most
derivation:

Always
substitute
rightmost
variable

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Leftmost vs. Rightmost derivations

Q1) For every leftmost derivation, there is a rightmost derivation, and vice versa. True or False?

True - will use parse trees to prove this

Q2) Does every word generated by a CFG have a leftmost and a rightmost derivation?

Yes – easy to prove (reverse direction)

Q3) Could there be words which have more than one leftmost (or rightmost) derivation?

Yes – depending on the grammar

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How to prove that your CFGs are correct?

(using induction)

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CFG & CFL

$\begin{array}{l} G_{\text{pal}}: \\ A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon \end{array}$

- Theorem: A string w in $(0+1)^*$ is in $L(G_{\text{pal}})$, if and only if, w is a palindrome.
- Proof:
 - Use induction
 - on string length for the IF part
 - On length of derivation for the ONLY IF part

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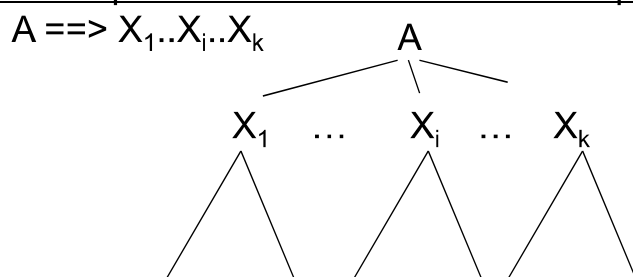
Parse trees

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Parse Trees

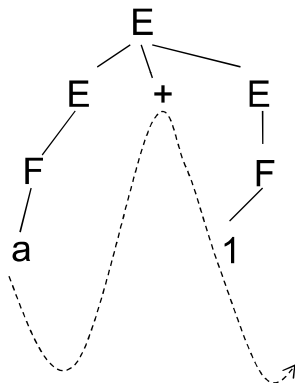
- Each CFG can be represented using a *parse tree*:
 - Each internal node is labeled by a variable in V
 - Each leaf is terminal symbol
 - For a production, $A \Rightarrow X_1 X_2 \dots X_k$, then any internal node labeled A has k children which are labeled from X_1, X_2, \dots, X_k from left to right

Parse tree for production and all other subsequent productions:



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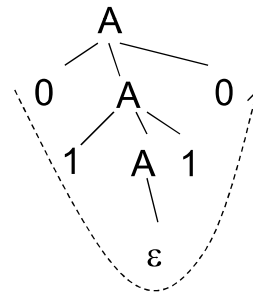
Examples



Parse tree for $a + 1$

G:
 $E \Rightarrow E + E \mid E * E \mid (E) \mid F$
 $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid 0 \mid 1 \mid a \mid b$

Recursive inference



Parse tree for 0110

G:
 $A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

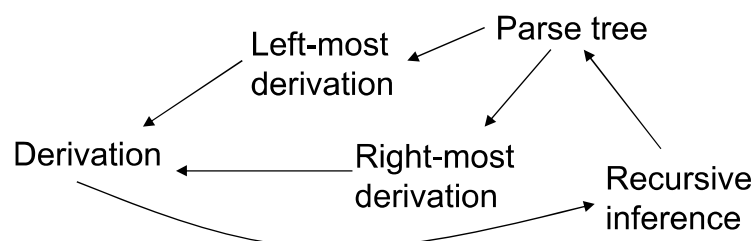
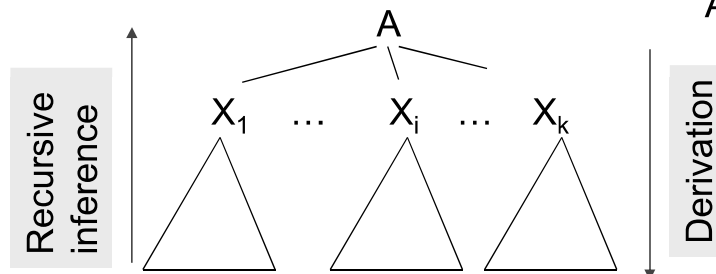
Derivation

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Parse Trees, Derivations, and Recursive Inferences

Production:

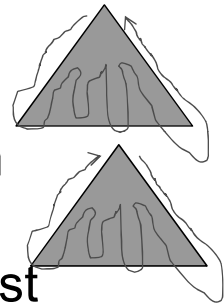
$$A \Rightarrow X_1 \dots X_i \dots X_k$$



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Interchangeability of different CFG representations

- Parse tree \Rightarrow left-most derivation
 - DFS left to right
- Parse tree \Rightarrow right-most derivation
 - DFS right to left
- \Rightarrow left-most derivation \equiv right-most derivation
- Derivation \Rightarrow Recursive inference
 - Reverse the order of productions
- Recursive inference \Rightarrow Parse trees
 - bottom-up traversal of parse tree



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Connection between CFLs and RLs

CFLs & Regular Languages

- A CFG is said to be *right-linear* if all the productions are one of the following two forms: $A \Rightarrow wB$ (or) $A \Rightarrow w$

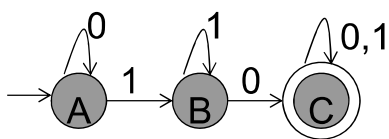
Where:

- A & B are variables,
- w is a string of terminals

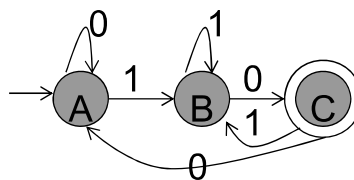
- Theorem 1: Every right-linear CFG generates a regular language
- Theorem 2: Every regular language has a right-linear grammar
- Theorem 3: Left-linear CFGs also represent RLs

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Some Examples



Right linear CFG?



Right linear CFG?

$\begin{aligned} \text{A} &\Rightarrow 01B \mid C \\ \text{B} &\Rightarrow 11B \mid 0C \mid 1A \\ \text{C} &\Rightarrow 1A \mid 0 \mid 1 \end{aligned}$

Finite Automaton?

Ambiguity in CFGs and CFLs

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Ambiguity in CFGs

- A CFG is said to be *ambiguous* if there exists a string which has more than one left-most derivation

Example:

$S \Rightarrow AS \mid \varepsilon$

$A \Rightarrow A1 \mid 0A1 \mid 01$

Input string: 00111

Can be derived in two ways

LM derivation #1:

$S \Rightarrow AS$

$\Rightarrow 0A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

LM derivation #2:

$S \Rightarrow AS$

$\Rightarrow A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

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Why does ambiguity matter?

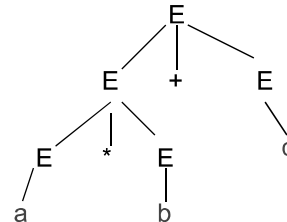
$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$

Values are different !!!

$string = a * b + c$

• LM derivation #1:

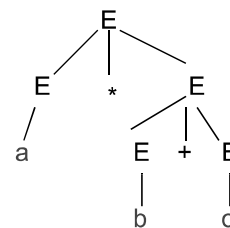
• $E \Rightarrow E + E \Rightarrow E * E + E$
 $\Rightarrow^* a * b + c$



→ $(a*b)+c$

• LM derivation #2

• $E \Rightarrow E * E \Rightarrow a * E \Rightarrow$
 $a * E + E \Rightarrow^* a * b + c$



→ $a*(b+c)$

The calculated value depends on which of the two parse trees is actually used.

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Removing Ambiguity in Expression Evaluations

- It MAY be possible to remove ambiguity for some CFLs
 - E.g., in a CFG for expression evaluation by imposing rules & restrictions such as precedence
 - This would imply rewrite of the grammar

Modified unambiguous version:

$E \Rightarrow E + T \mid T$
 $T \Rightarrow T * F \mid F$
 $F \Rightarrow I \mid (E)$
 $I \Rightarrow a \mid b \mid c \mid 0 \mid 1$

Ambiguous version:

$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$

How will this avoid ambiguity?

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Inherently Ambiguous CFLs

- However, for some languages, it may not be possible to remove ambiguity
- A CFL is said to be *inherently ambiguous* if every CFG that describes it is ambiguous

Example:

- $L = \{ a^n b^n c^m d^m \mid n, m \geq 1 \} \cup \{ a^n b^m c^m d^n \mid n, m \geq 1 \}$
- L is inherently ambiguous
- Why?

Input string: $a^n b^n c^n d^n$

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Summary

- Context-free grammars
- Context-free languages
- Productions, derivations, recursive inference, parse trees
- Left-most & right-most derivations
- Ambiguous grammars
- Removing ambiguity
- CFL/CFG applications
 - parsers, markup languages

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