

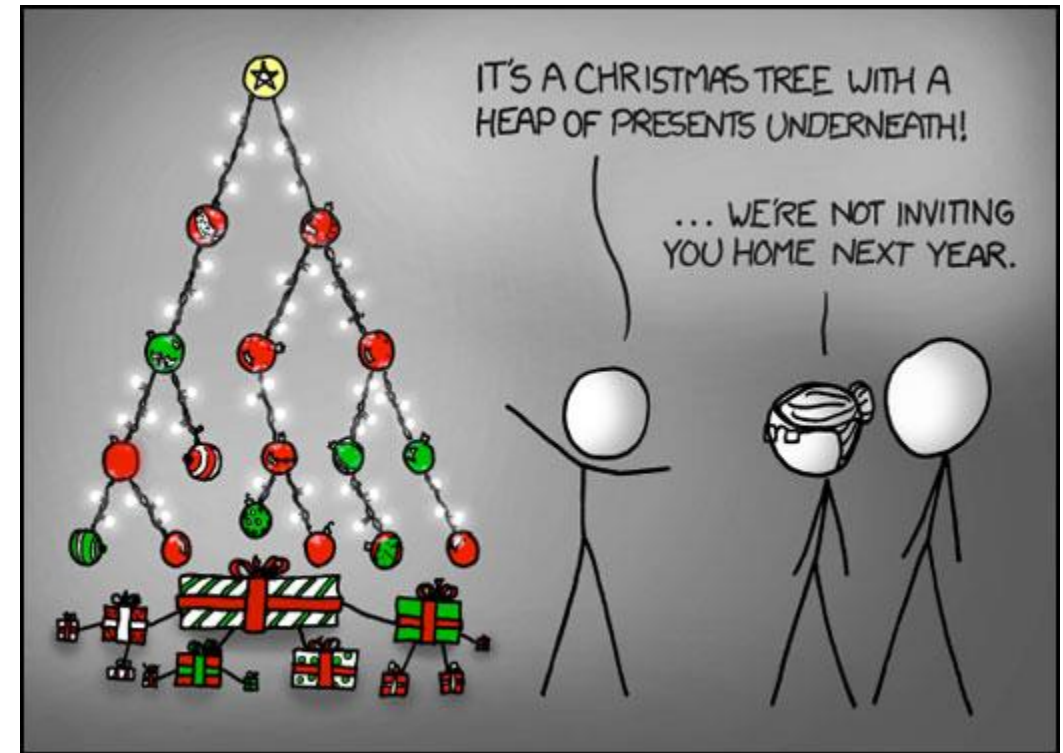


PRIORITY QUEUES

School of Artificial Intelligence

PREVIOUSLY ON DS&A

- Trees
- Terminologies
- Binary Trees
- Implementation of Trees
 - Linked Structure
 - Array-based Structure
- Tree traversal algorithms
 - Pre-order
 - Post-order
 - In-order
- Binary Search Trees
- Euler tour tree traversal

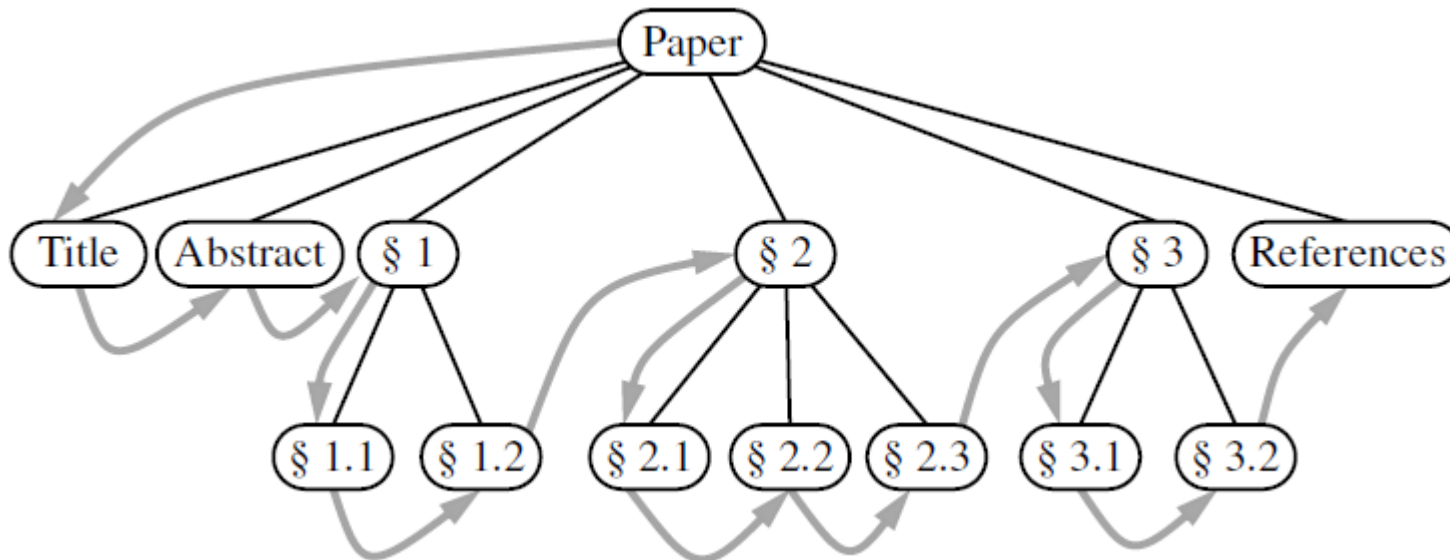


PREVIOUS LECTURE

- Definition of a Tree
 - If T is non-empty, it has a special node, called the **root** of T , that has no parent
 - Each node v of T different from the root has a *unique* **parent** node w , every node with parent w is a **child** of w
- Siblings(兄弟结点): two nodes that are children of the same parent
- External node (外部结点) /leaves (叶结点) : if node v has no children
- Internal node (内部结点) : if node v has one or more children
- Edge: pair of nodes (u,v) such that u is the parent of v , or vice versa.
- Path: sequence of nodes such that any two consecutive nodes in the sequence form an edge
- Depth of a tree
 - If p is the root then depth of p is 0
 - Otherwise, the depth of p is one plus the depth of the parent of p
- Height of a tree
 - If p is a leaf, its height is 0
 - Otherwise, the height of p is one more than the maximum of the heights of p 's children
- Binary Tree
 - A binary tree is either empty or consists of:
 - A node r , called the root of T , that stores an element
 - A binary tree (may be empty), called the left subtree of T
 - A binary tree (may be empty), called the right subtree of T

TREE TRAVERSAL (遍历) ALGORITHMS

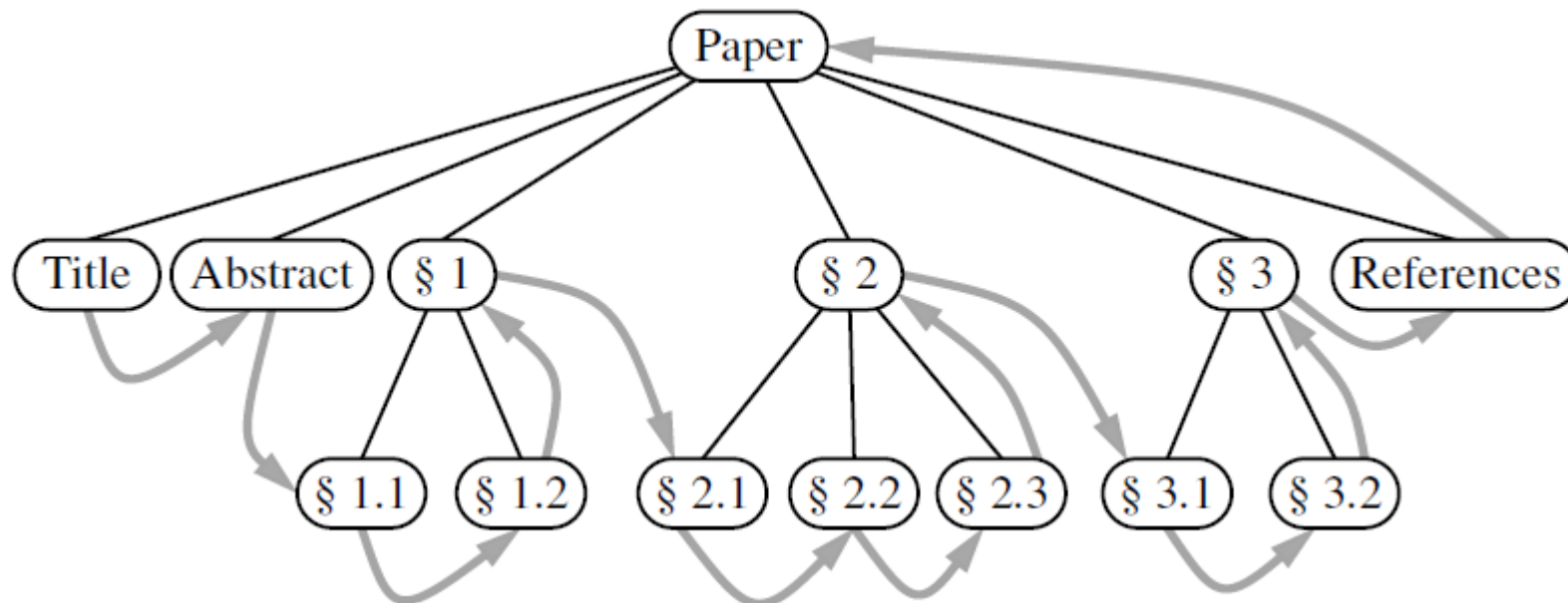
- Traversal: a systematic way of accessing or 'visiting' all the positions of T
- Preorder traversal (正序遍历):
 - visit root of T first and then visit the sub-trees recursively
 - If tree is ordered, then the subtrees are traversed according to the order of the children



Algorithm preorder(T, p):
perform the “visit” action for position p
for each child c in $T.children(p)$ **do**
 preorder(T, c)
 {recursion}

TREE TRAVERSAL (遍历) ALGORITHMS

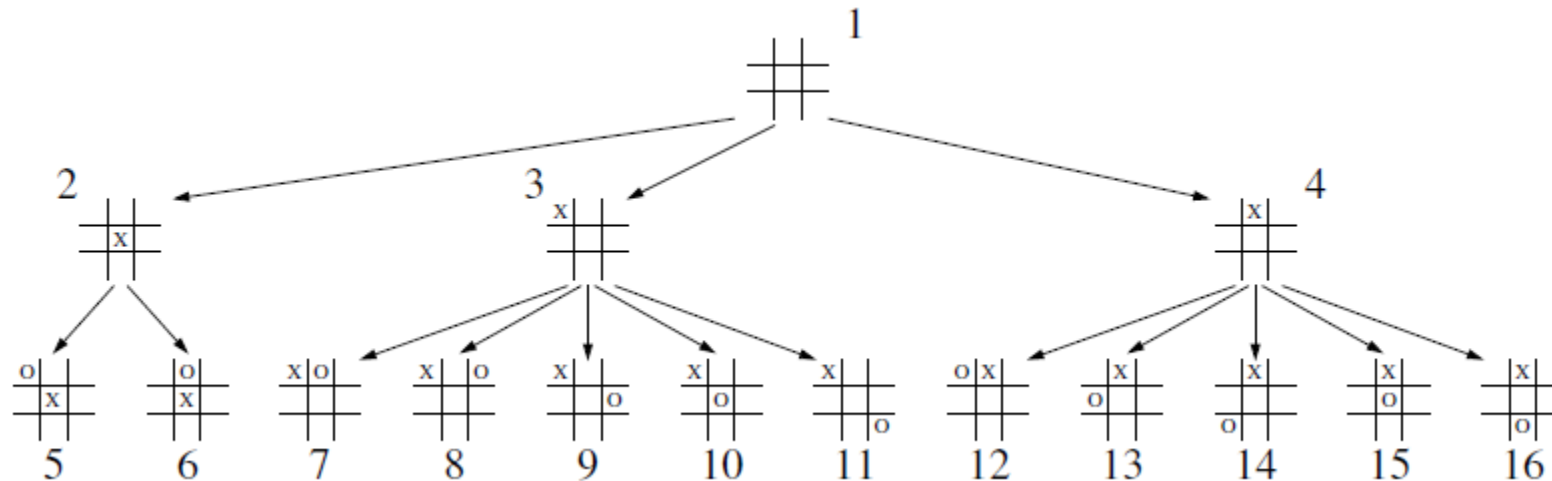
- Postorder traversal (逆序遍历):
 - The opposite of preorder traversal
 - Recursively traverses the subtrees at the children of the root first and then visits the root



Algorithm postorder(T, p):
 for each child c in $T.children(p)$ **do**
 postorder(T, c)
 perform the “visit” action for position p

TREE TRAVERSAL (遍历) ALGORITHMS

- Breadth-First (广度优先) Tree Traversal
 - Visit all the position at depth d before visit the position at depth $d+1$
- Commonly used in software for playing games



TREE TRAVERSAL ALGORITHMS

- In-order (中序) Traversal of a Binary Tree
 - Visit left
 - Visit node
 - Visit right

Algorithm inorder(p):

if p has a left child lc **then**

 inorder(lc)

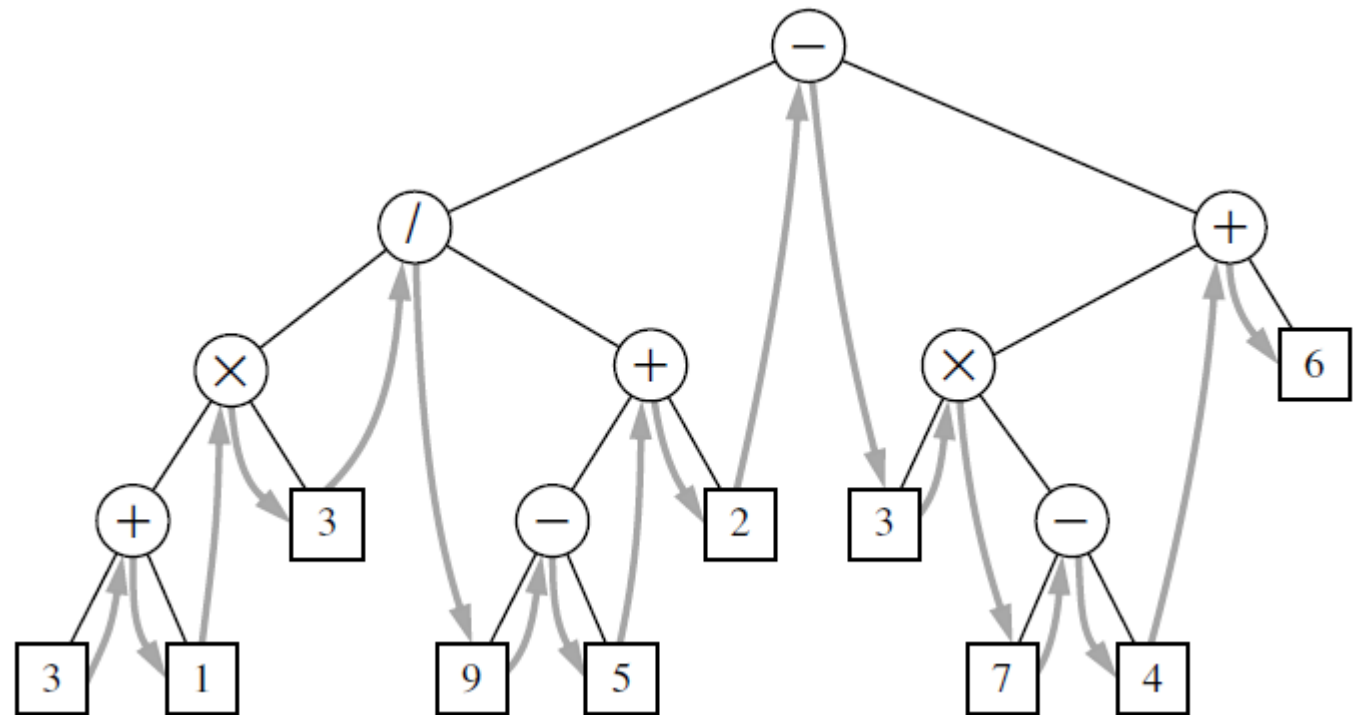
{r

 perform the “visit” action for position p

if p has a right child rc **then**

 inorder(rc)

{rec



IMPLEMENTING TREE TRAVERSALS

- Post-order traversal
- Implemented with generator

```

Algorithm postorder( $T, p$ ):
  for each child  $c$  in  $T.children(p)$  do
    postorder( $T, c$ )           {recurse}
  perform the “visit” action for position  $p$ 

```

```

94 def postorder(self):
95     """Generate a postorder iteration of positions in the tree."""
96     if not self.is_empty():
97         for p in self._subtree_postorder(self.root()): # start recursion
98             yield p
99
100 def _subtree_postorder(self, p):
101     """Generate a postorder iteration of positions in subtree rooted at p."""
102     for c in self.children(p): # for each child c
103         for other in self._subtree_postorder(c): # do postorder of c's subtree
104             yield other # yielding each to our caller
105     yield p # visit p after its subtrees

```


IMPLEMENTING TREE TRAVERSALS

- Breadth-First Traversal
- Store elements to be processed in a queue
- Fringe (边缘)
 - Just a name

Algorithm breadthfirst(T):

Initialize queue Q to contain T.root()

while Q not empty **do**

 p = Q.dequeue()

{p is the oldest entry in the queue}

 perform the “visit” action for position p

for each child c in T.children(p) **do**

 Q.enqueue(c) {add p’s children to the end of the queue for later visits}

```
106 def breadthfirst(self):
```

```
107     """Generate a breadth-first iteration of the positions of the tree."""
```

```
108     if not self.is_empty():
```

```
109         fringe = LinkedQueue()
```

```
# known positions not yet yielded
```

```
110         fringe.enqueue(self.root())
```

```
# starting with the root
```

```
111         while not fringe.is_empty():
```

```
112             p = fringe.dequeue()
```

```
# remove from front of the queue
```

```
113             yield p
```

```
# report this position
```

```
114             for c in self.children(p):
```

```
115                 fringe.enqueue(c)
```

```
# add children to back of queue
```

IMPLEMENTING TREE TRAVERSALS

- In-order Traversal

Algorithm inorder(p):

if p has a left child lc **then**

$$\text{inorder}(\text{lc}) \quad \{r\}$$

perform the “visit” action for position p

if p has a right child rc **then**

```
inorder(rc) {rec
```

```
37 def inorder(self):
```

```
38 """Generate an inorder iteration of positions in the tree."""
```

```
39     if not self.is_empty():
```

```
40     for p in self._subtree_inorder(self.root()):
```

41 yield p

42

```
43 def _subtree_inorder(self, p):
```

```
44 """Generate an inorder iteration of positions in subtree rooted at p."""
```

```
45     if self.left(p) is not None:         # if left child exists, traverse its subtree
```

```
46     for other in self._subtree_inorder(self.left(p)):
```

47 **yield** other

```
48      yield p          # visit p between its subtrees
```

```
49     if self.right(p) is not None:         # if right child exists, traverse its subtree
```

```
50     for other in self._subtree_inorder(self.right(p)):
```

51 **yield** other

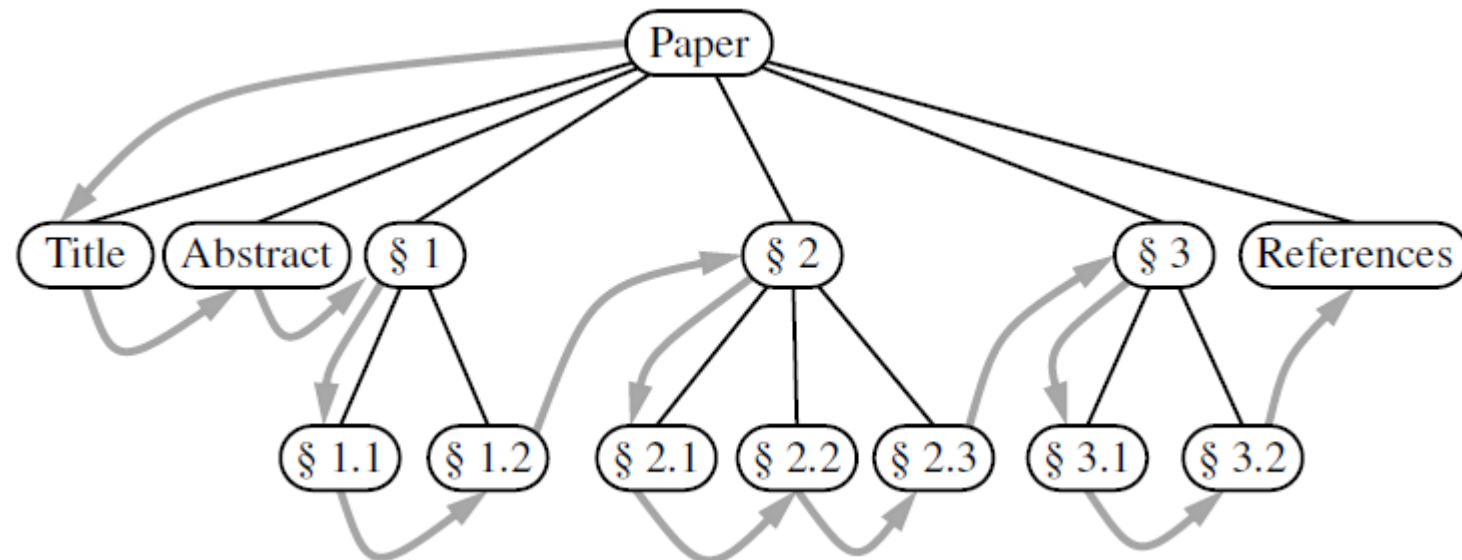
APPLICATION OF TRAVERSALS

- Table of Contents

```
for p in T.preorder():  
    print(p.element())
```

Paper
Title
Abstract
§1
§1.1
§1.2
§2
§2.1
...

Paper
Title
Abstract
§1
§1.1
§1.2
§2
§2.1
...



APPLICATION OF TRAVERSALS

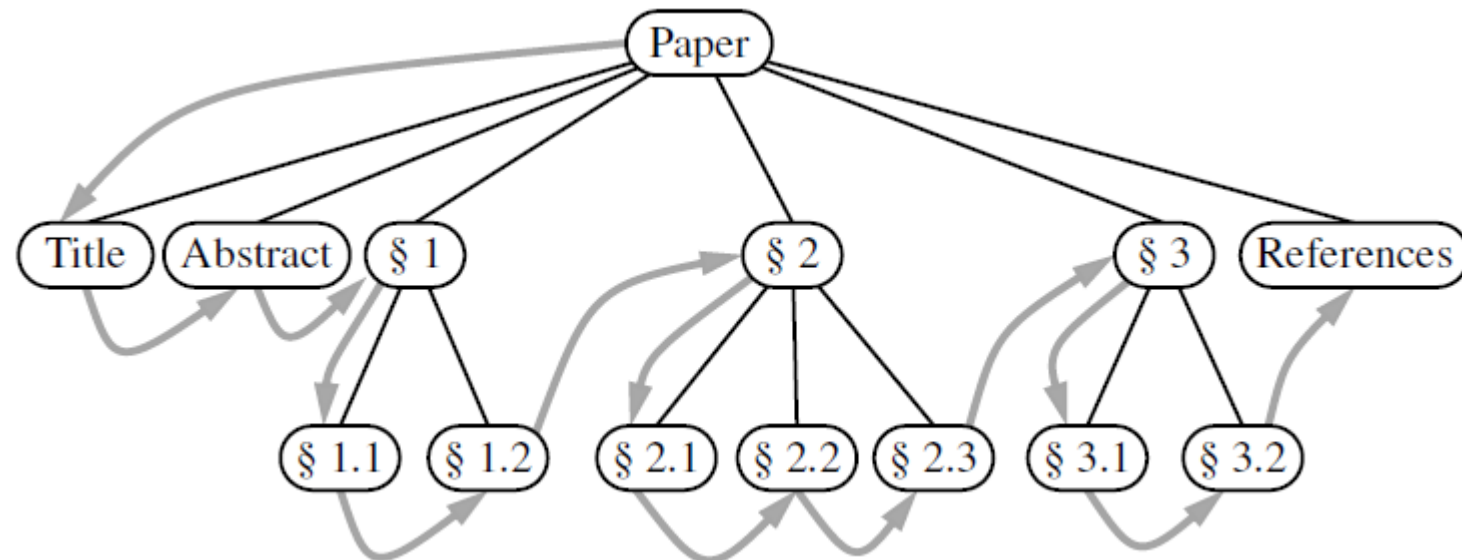
- Table of Contents

```
for p in T.preorder():  
    print(2*T.depth(p)*' ' + str(p.element()))
```

Problem? $O(n^2)$

Paper
Title
Abstract
§1
§1.1
§1.2
§2
§2.1
...

Paper
Title
Abstract
§1
§1.1
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§2
§2.1
...



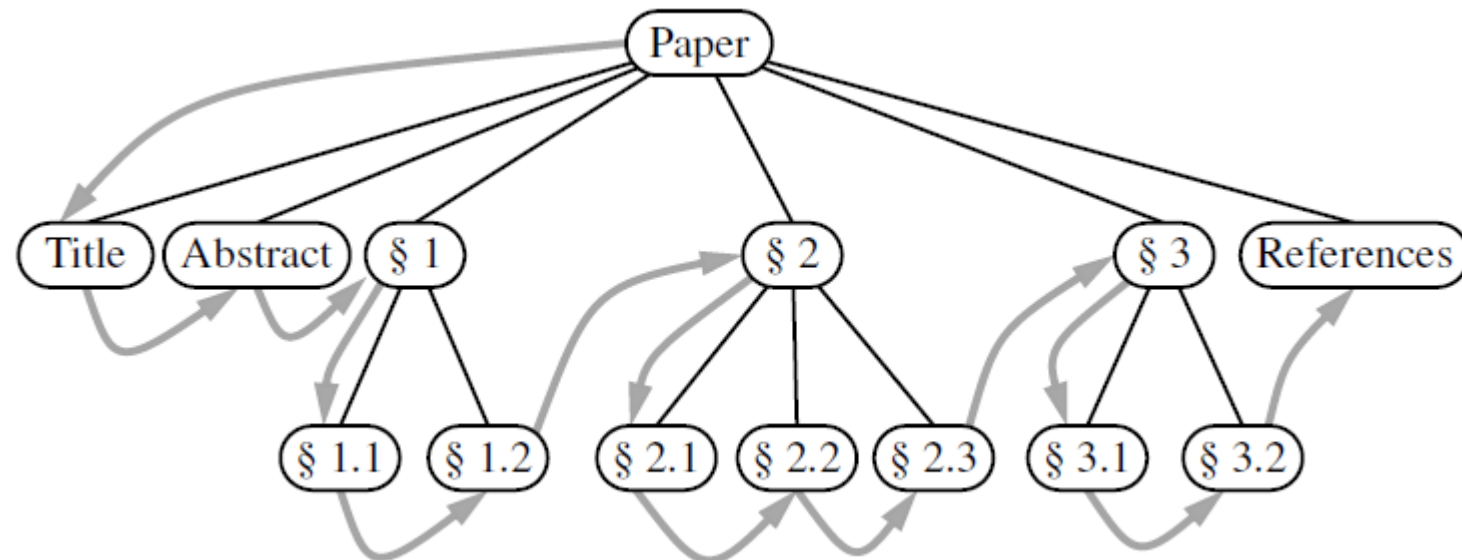
APPLICATION OF TRAVERSALS

- Table of Contents
- ```
for p in T.preorder():
 print(p)
```

```
1 def preorder_indent(T, p, d):
2 """Print preorder representation of subtree of T rooted at p at depth d."""
3 print(2*d*' ' + str(p.element())) # use depth for indentation
4 for c in T.children(p):
5 preorder_indent(T, c, d+1) # child depth is d+1
```

```
Paper
Title
Abstract
§1
§1.1
§1.2
§2
§2.1
...
```

```
Paper
Title
Abstract
§1
 §1.1
 §1.2
§2
 §2.1
...
```





# APPLICATION OF TRAVERSALS

- What to do if we want number + label?
- Number: related to index, depth, and siblings

Electronics R'Us

1 R&D

2 Sales

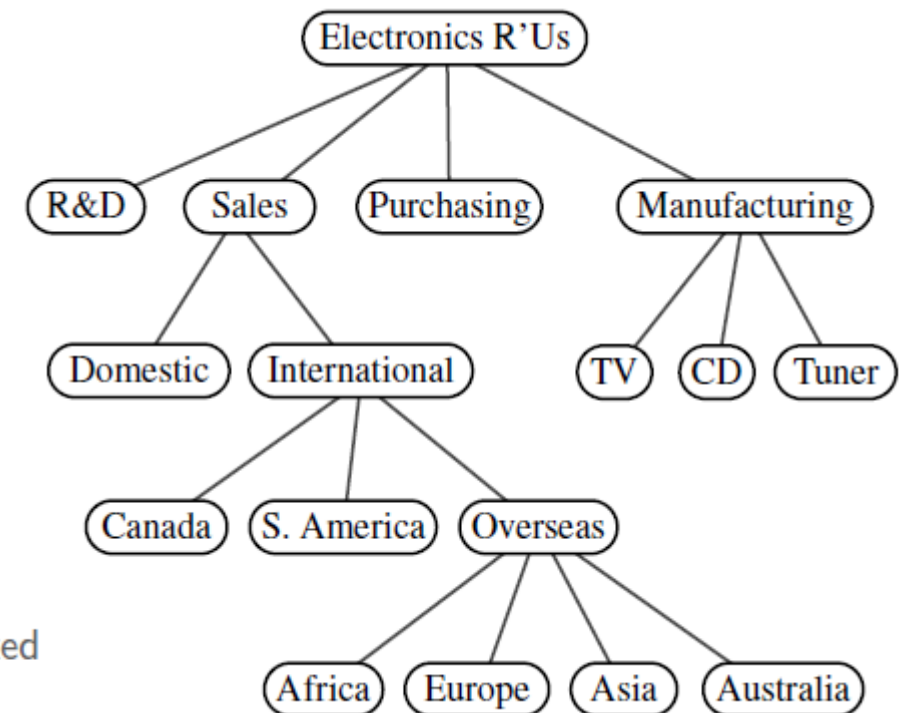
2.1 Domestic

2.2 International

2.2.1 Canada

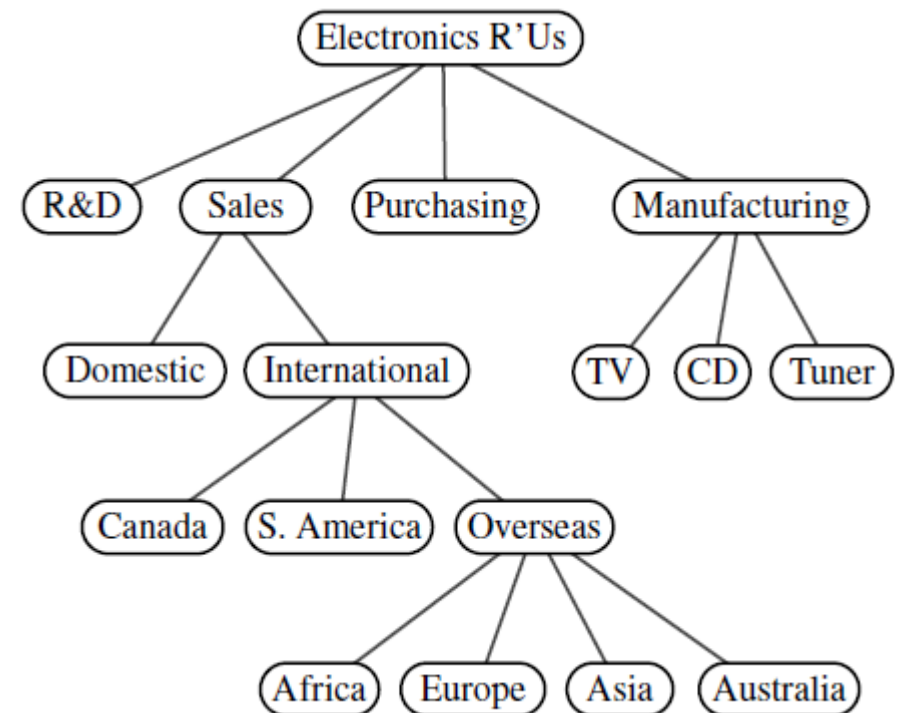
2.2.2 S. America

```
1 def preorder_label(T, p, d, path):
2 """Print labeled representation of subtree of T rooted at p at depth d."""
3 label = '.'.join(str(j+1) for j in path) # displayed labels are one-indexed
4 print(2*d*' ' + label, p.element())
5 path.append(0) # path entries are zero-indexed
6 for c in T.children(p):
7 preorder_label(T, c, d+1, path) # child depth is d+1
8 path[-1] += 1
9 path.pop()
```



# APPLICATION OF TRAVERSALS

- Parenthetic representation of a Tree
- We can represent a Tree with a String
  - Each level in depth is surrounded with parentheses ( and )
- If T consists of a single position p, then
  - $P(t) = \text{str}(p.\text{element})$
- Else
  - $P(t) = \text{str}(p.\text{element}) + '(' + P(T_1) + ', ' + \dots + P(T_k) + ')'$

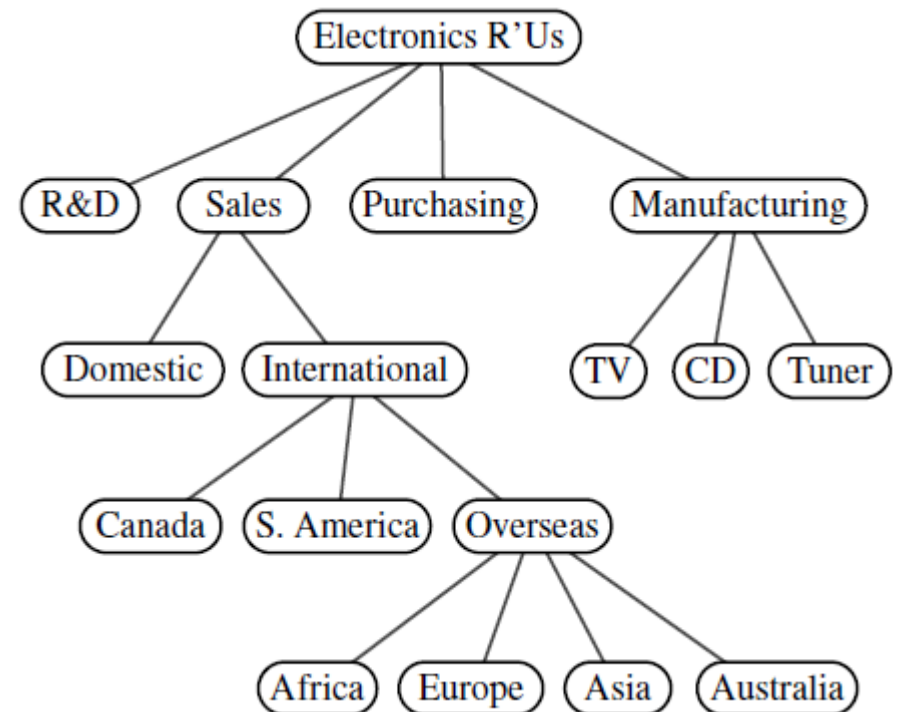


Electronics R'Us (R&D, Sales (Domestic, International (Canada, S. America, Overseas (Africa, Europe, Asia, Australia))), Purchasing, Manufacturing (TV, CD, Tuner))

# APPLICATION OF TRAVERSALS

Electronics R'Us (R&D, Sales (Domestic, International (Canada, S. America, Overseas (Africa, Europe, Asia, Australia))), Purchasing, Manufacturing (TV, CD, Tuner))

```
1 def parenthesize(T, p):
2 """Print parenthesized representation of subtree of T rooted at p."""
3 print(p.element(), end=' ') # use of end avoids trailing newline
4 if not T.is_leaf(p):
5 first_time = True
6 for c in T.children(p):
7 sep = ' (' if first_time else ', ' # determine proper separator
8 print(sep, end=' ')
9 first_time = False # any future passes will not be the first
10 parenthesize(T, c) # recur on child
11 print(')', end=' ') # include closing parenthesis
```



# FILE SYSTEMS

**Algorithm** DiskUsage(path):

*Input:* A string designating a path to a file-system entry

*Output:* The cumulative disk space used by that entry and any nested entries

total = size(path) {immediate disk space used by the entry}

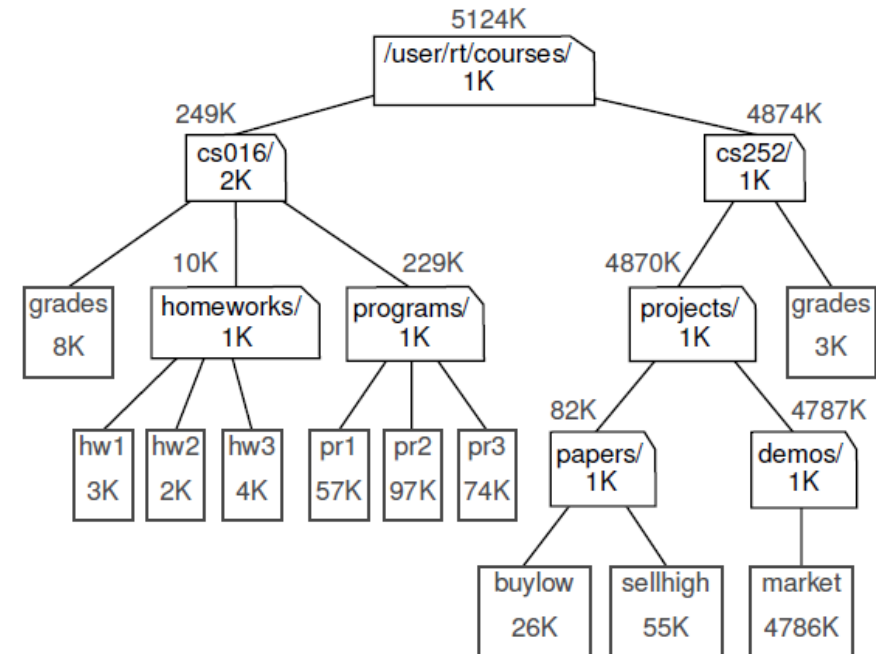
**if** path represents a directory **then**

**for** each child entry stored within directory path **do**

        total = total + DiskUsage(child) {recursive call}

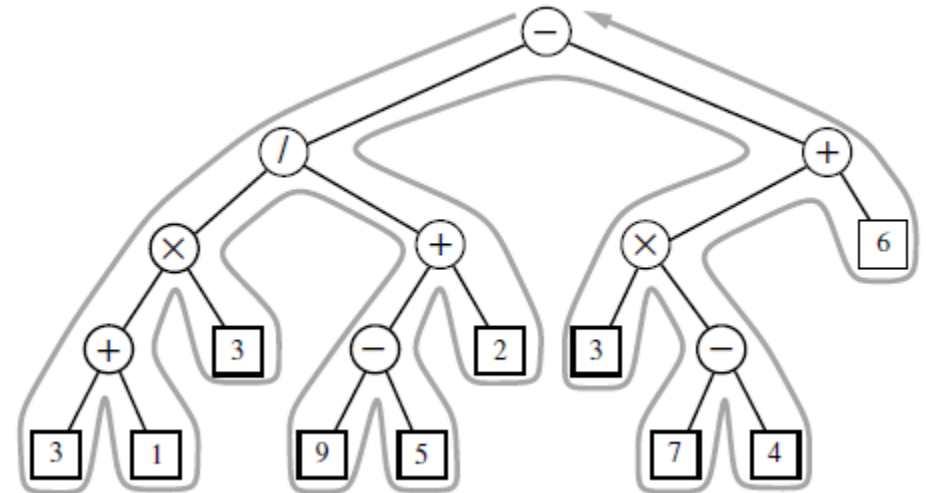
**return** total

```
1 def disk_space(T, p):
2 """ Return total disk space for subtree of T rooted at p. """
3 subtotal = p.element().space() # space used at position p
4 for c in T.children(p):
5 subtotal += disk_space(T, c) # add child's space to subtotal
6 return subtotal
```



# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Euler tour traversal: a “walk” around T
- Each edge is visited twice
- Each node visited once
- $O(n)$  complexity
- For each position  $p$ :
- A “pre visit” – as pre-order
  - The walk passes left of the node
- A “post visit” – as post-order
  - The walk passes to the right of the node





# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Euler tour traversal: a “walk” around T
- A “pre visit” – before visiting p’s subtree
  - The walk passes left of the node
- A “post visit” – after visiting p’s subtree
  - The walk passes to the right of the node

**Algorithm** eulertour( $T, p$ ):

perform the “pre visit” action for position  $p$

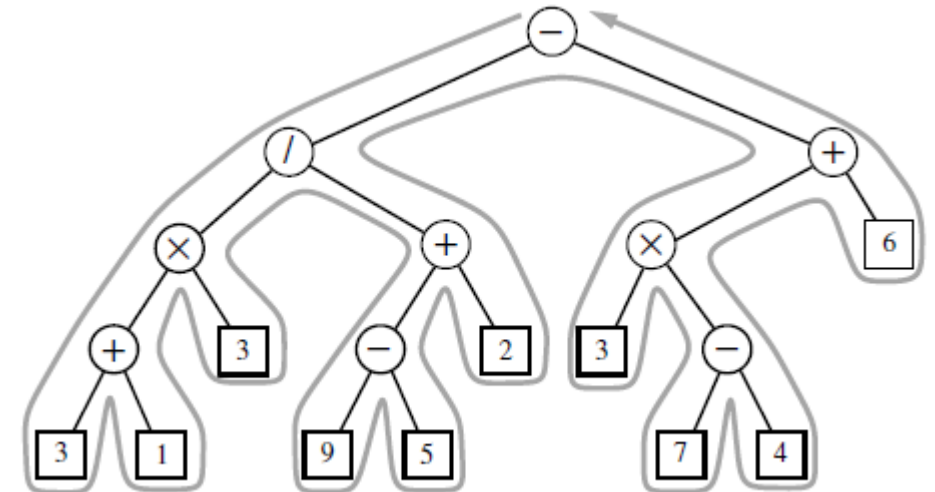
```

for each child c in T.children(p) do

```

eulertour( $T, c$ )                      {recursively tour the subtree rooted at  $c$ }

perform the “post visit” action for position  $p$



# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Implementation: reusable and adaptable code
- Constructor
- `Tree()` returns `_tree` instance

```
1 class EulerTour:
2 """ Abstract base class for performing Euler tour of a tree.
3
4 _hook_previsit and _hook_postvisit may be overridden by subclasses.
5 """
6 def __init__(self, tree):
7 """ Prepare an Euler tour template for given tree. """
8 self._tree = tree
9
10 def tree(self):
11 """ Return reference to the tree being traversed. """
12 return self._tree
```

# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Implementation: reusable and adaptable code
- Execute() calls the touring algorithm
- \_hook.previsit() performs the pre visit algorithm
- \_hook.postvisit() performs the post visit algorithm

```
14 def execute(self):
15 """Perform the tour and return any result from post visit of root."""
16 if len(self._tree) > 0:
17 return self._tour(self._tree.root(), 0, []) # start the recursion
18
19 def _tour(self, p, d, path):
20 """Perform tour of subtree rooted at Position p.
21
22 p Position of current node being visited
23 d depth of p in the tree
24 path list of indices of children on path from root to p
25 """
26 self._hook_previsit(p, d, path) # "pre visit" p
27 results = []
28 path.append(0) # add new index to end of path before recursion
29 for c in self._tree.children(p):
30 results.append(self._tour(c, d+1, path)) # recur on child's subtree
31 path[-1] += 1 # increment index
32 path.pop() # remove extraneous index from end of path
33 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
34 return answer
```

# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Implementation: reusable and adaptable code
- `_hook.previsit()` performs the pre visit algorithm
  - Left empty so that it can be overridden
- `_hook.postvisit()` performs the post visit algorithm
  - Left empty so that it can be overridden

```
36 def _hook_previsit(self, p, d, path): # can be overridden
37 pass
38
39 def _hook_postvisit(self, p, d, path, results): # can be overridden
40 pass
```

# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- `_hook_previsit(p, d, path)`
  - Called once for each position
  - Immediately before p's subtrees are traversed
  - p is the position of the tree
  - d is the depth of p
  - path is a list of indices
- `_hook_postvisit(p, d, path, results)`
  - Called once for each position
  - Immediately after p's subtrees are traversed
  - Result is a list of objects that are provided as return values from the post visits of the subtrees of p

```
36 def _hook_previsit(self, p, d, path): # can be overridden
37 pass
38
39 def _hook_postvisit(self, p, d, path, results): # can be overridden
40 pass
```



# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Print label with indentation

```
1 class PreorderPrintIndentedTour(EulerTour):
2 def _hook_previsit(self, p, d, path):
3 print(2*d*' ' + str(p.element()))
```

```
14 def execute(self):
15 """Perform the tour and return any result from post visit of root."""
16 if len(self._tree) > 0:
17 return self._tour(self._tree.root(), 0, []) # start the recursion
18
19 def _tour(self, p, d, path):
20 """Perform tour of subtree rooted at Position p.
21
22 p Position of current node being visited
23 d depth of p in the tree
24 path list of indices of children on path from root to p
25 """
26 self._hook_previsit(p, d, path) # "pre visit" p
27 results = []
28 path.append(0) # add new index to end of path before recursion
29 for c in self._tree.children(p):
30 results.append(self._tour(c, d+1, path)) # recur on child's subtree
31 path[-1] += 1 # increment index
32 path.pop() # remove extraneous index from end of path
33 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
34 return answer
```

# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Print label with indentation

```
1 class PreorderPrintIndentedTour(EulerTour):
2 def _hook_previsit(self, p, d, path):
3 print(2*d*' ' + str(p.element()))
```

```
tour = PreorderPrintIndentedTour(T)
tour.execute()
```

```
14 def execute(self):
15 """Perform the tour and return any result from post visit of root."""
16 if len(self._tree) > 0:
17 return self._tour(self._tree.root(), 0, []) # start the recursion
18
19 def _tour(self, p, d, path):
20 """Perform tour of subtree rooted at Position p.
21
22 p Position of current node being visited
23 d depth of p in the tree
24 path list of indices of children on path from root to p
25 """
26 self._hook_previsit(p, d, path) # "pre visit" p
27 results = []
28 path.append(0) # add new index to end of path before recursion
29 for c in self._tree.children(p):
30 results.append(self._tour(c, d+1, path)) # recur on child's subtree
31 path[-1] += 1 # increment index
32 path.pop() # remove extraneous index from end of path
33 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
34 return answer
```

# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Print tree with numbers + labels

```
1 class PreorderPrintIndentedLabeledTour(EulerTour):
2 def _hook_previsit(self, p, d, path):
3 label = ' '.join(str(j+1) for j in path) # labels
4 print(2*d*' ' + label, p.element())

14 def execute(self):
15 """Perform the tour and return any result from post visit of root."""
16 if len(self._tree) > 0:
17 return self._tour(self._tree.root(), 0, []) # start the recursion
18
19 def _tour(self, p, d, path):
20 """Perform tour of subtree rooted at Position p.
21
22 p Position of current node being visited
23 d depth of p in the tree
24 path list of indices of children on path from root to p
25 """
26 self._hook_previsit(p, d, path) # "pre visit" p
27 results = []
28 path.append(0) # add new index to end of path before recursion
29 for c in self._tree.children(p):
30 results.append(self._tour(c, d+1, path)) # recur on child's subtree
31 path[-1] += 1 # increment index
32 path.pop() # remove extraneous index from end of path
33 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
34 return answer
```

# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Print tree as a parenthesized string

```
1 class ParenthesizeTour(EulerTour):
2 def _hook_previsit(self, p, d, path):
3 if path and path[-1] > 0:
4 print(' ', end='')
5 print(p.element(), end='')
6 if not self.tree().is_leaf(p):
7 print(' (', end='')
8
9 def _hook_postvisit(self, p, d, path, results):
10 if not self.tree().is_leaf(p):
11 print(')', end='')
```

```
14 def execute(self):
15 """Perform the tour and return any result from post visit of root."""
16 if len(self._tree) > 0:
17 return self._tour(self._tree.root(), 0, []) # start the recursion
18
19 def _tour(self, p, d, path):
20 """Perform tour of subtree rooted at Position p.
21
22 p Position of current node being visited
23 d depth of p in the tree
24 path list of indices of children on path from root to p
25 """
26 self._hook_previsit(p, d, path) # "pre visit" p
27 results = []
28 path.append(0) # add new index to end of path before recursion
29 for c in self._tree.children(p):
30 results.append(self._tour(c, d+1, path)) # recur on child's subtree
31 path[-1] += 1 # increment index
32 path.pop() # remove extraneous index from end of path
33 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
34 return answer
```



# EULER TOURS AND THE TEMPLATE METHOD PATTERN

- Computing disk space

```
1 class DiskSpaceTour(EulerTour):
2 def _hook_postvisit(self, p, d, path, results):
3 # we simply add space associated with p to the
4 return p.element().space() + sum(results)

14 def execute(self):
15 """Perform the tour and return any result from post visit of root."""
16 if len(self._tree) > 0:
17 return self._tour(self._tree.root(), 0, []) # start the recursion
18
19 def _tour(self, p, d, path):
20 """Perform tour of subtree rooted at Position p.
21
22 p Position of current node being visited
23 d depth of p in the tree
24 path list of indices of children on path from root to p
25 """
26 self._hook_previsit(p, d, path) # "pre visit" p
27 results = []
28 path.append(0) # add new index to end of path before recursion
29 for c in self._tree.children(p):
30 results.append(self._tour(c, d+1, path)) # recur on child's subtree
31 path[-1] += 1 # increment index
32 path.pop() # remove extraneous index from end of path
33 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
34 return answer
```



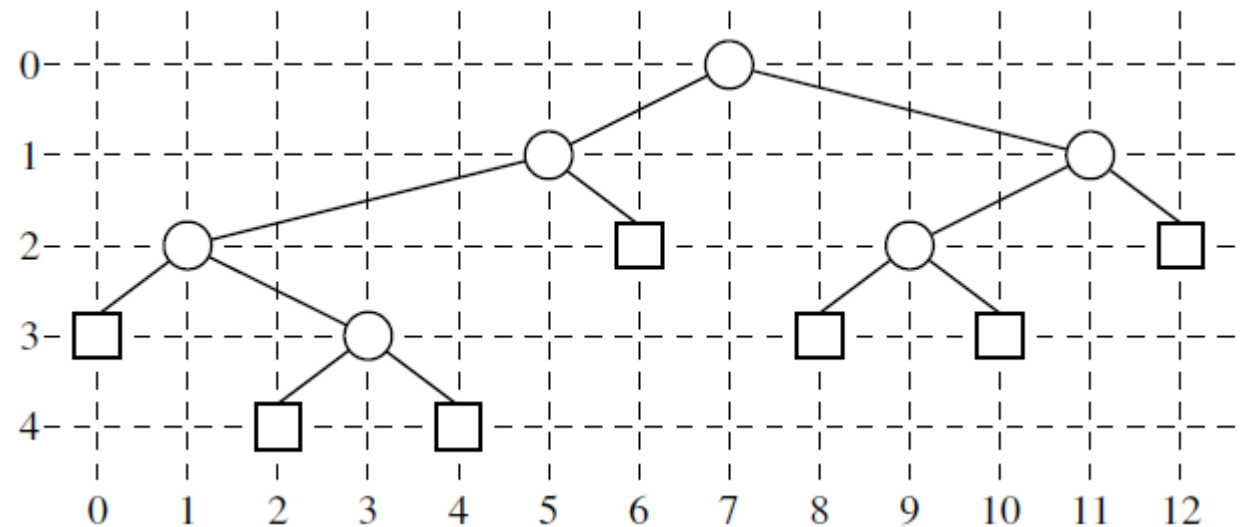
# EULER TOUR TRAVERSAL OF A BINARY TREE

- Binary tree traversal
- Additional `_hook_invisit()` function

```
1 class BinaryEulerTour(EulerTour):
 9 def _tour(self, p, d, path):
 10 results = [None, None] # will update with results of recursions
 11 self._hook_previsit(p, d, path) # "pre visit" for p
 12 if self._tree.left(p) is not None: # consider left child
 13 path.append(0)
 14 results[0] = self._tour(self._tree.left(p), d+1, path)
 15 path.pop()
 16 self._hook_invisit(p, d, path) # "in visit" for p
 17 if self._tree.right(p) is not None: # consider right child
 18 path.append(1)
 19 results[1] = self._tour(self._tree.right(p), d+1, path)
 20 path.pop()
 21 answer = self._hook_postvisit(p, d, path, results) # "post visit" p
 22 return answer
 23
 24 def _hook_invisit(self, p, d, path): pass # can be overridden
```

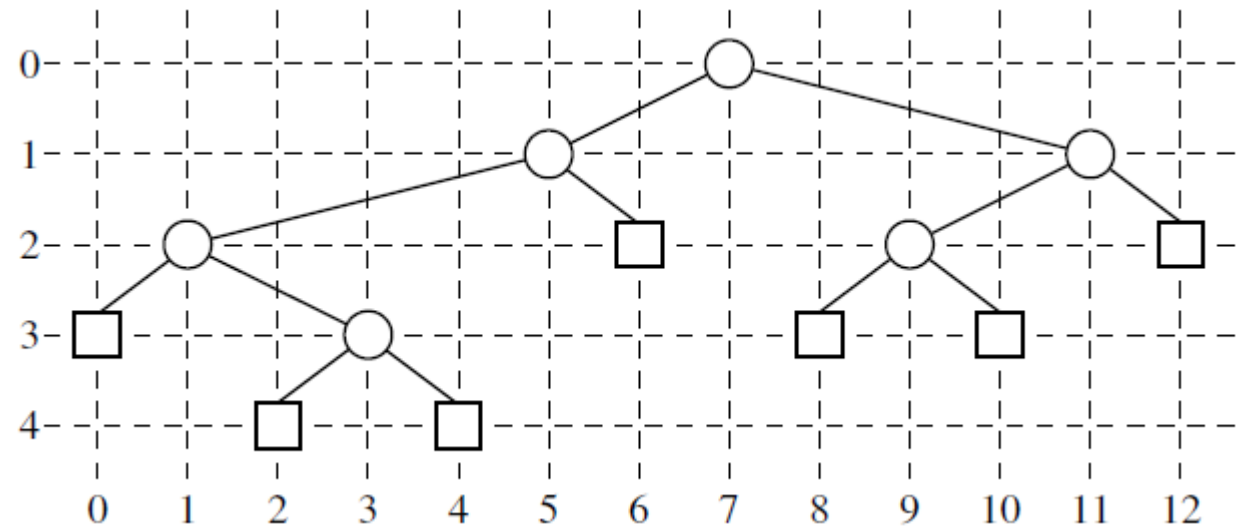
# EULER TOUR TRAVERSAL OF A BINARY TREE

- Binary tree traversal
- Compute a graphical layout of a binary tree (with X-Y coordinates)
- Rules:
  - $X(p)$  is the number of positions visited before  $p$  in an inorder traversal of  $T$
  - $Y(p)$  is the depth of  $p$  in  $T$



# EULER TOUR TRAVERSAL OF A BINARY TREE

```
1 class BinaryLayout(BinaryEulerTour):
2 """ Class for computing (x,y) coordi
3 def __init__(self, tree):
4 super().__init__(tree)
5 self._count = 0
6
7 def _hook_invisit(self, p, d, path):
8 p.element().setX(self._count)
9 p.element().setY(d)
10 self._count += 1
```



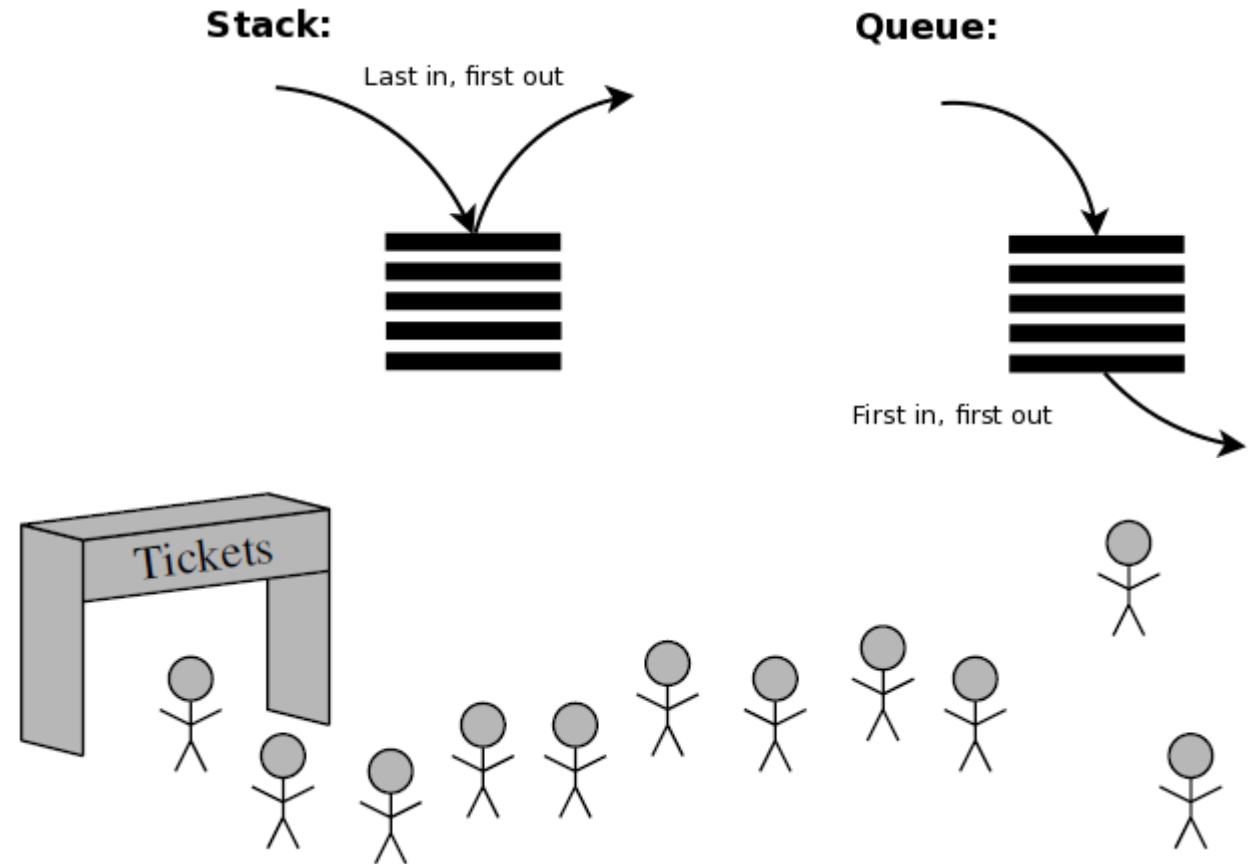
# THIS LECTURE

- Priority Queues
- Implementation of Priority Queues
- Heaps
- Implementation of Heaps



# QUEUES (队列)

- FIFO principle
  - First-In, First-Out (先进先出)
- Elements can be inserted at any time
- Only the elements that has been in the queue the longest can be removed next
- Applications
  - Reservation centres
  - Process management
  - Web server





# QUEUES (队列)

- FIFO principle
  - May not always be useful
- Air traffic control
  - Planes arrives in different times
  - Some planes need priority
    - Time spent on waiting
    - Amount of fuel remaining
- Process scheduling
  - Schedules processes based on their priority
  - A process (e.g. emergency stop) may have the highest priority
- Hence, we need a data structure to cater with such situations
  - Priority queue

# PRIORITY QUEUES （优先队列）

- Collection of prioritized elements
- Arbitrary element insertion
- Removal of the element that has first priority
- When an element is added, a priority can be assigned to it with a **key**
- Element with the minimum key will be removed next from the queue
- **Keys** can be other data types, as long as there is a way to compare them
  - E.g.  $a < b$  for instances  $a$  and  $b$

# PRIORITY QUEUES (优先队列)

- Abstract Data Type (ADT)
- `P.add(k, v)`: insert an item with key `k` and value `v` into priority queue `P`
- `P.min()`: returns a tuple `(k, v)`, representing the key and value of an item in the priority queue `P` with the minimal key (but do not remove the item)
  - Error when the queue is empty
- `P.remove_min()`: remove an item with the minimal key, return a tuple `(k, v)`, representing the key-value pair to be removed
  - Error when the queue is empty
- `P.is_empty()`: returns `true` when `P` has no items
- `len(p)`: returns the number of items in the priority queue `P`

# PRIORITY QUEUES （优先队列）

- Multiple entries with equivalent keys
  - `remove_min()` may pick an arbitrary choice of item
  - We will look at how this is done in other chapters
- For now, an element's key is fixed
  - We will look at how one may change the priority of an element later

| Operation      | Return Value | Priority Queue               |
|----------------|--------------|------------------------------|
| P.add(5,A)     |              | {(5,A)}                      |
| P.add(9,C)     |              | {(5,A), (9,C)}               |
| P.add(3,B)     |              | {(3,B), (5,A), (9,C)}        |
| P.add(7,D)     |              | {(3,B), (5,A), (7,D), (9,C)} |
| P.min()        | (3,B)        | {(3,B), (5,A), (7,D), (9,C)} |
| P.remove_min() | (3,B)        | {(5,A), (7,D), (9,C)}        |
| P.remove_min() | (5,A)        | {(7,D), (9,C)}               |
| len(P)         | 2            | {(7,D), (9,C)}               |
| P.remove_min() | (7,D)        | {(9,C)}                      |
| P.remove_min() | (9,C)        | { }                          |
| P.is_empty()   | True         | { }                          |
| P.remove_min() | "error"      | { }                          |

# IMPLEMENTING A PRIORITY QUEUE

- Keep track of an element and its key
- Again, we will use the **composition design pattern**
- PriorityQueueBase class
- \_Item class
  - Compose **key** and **value**
  - \_\_lt\_\_ to override the "<" operator

```
1 class PriorityQueueBase:
2 """Abstract base class for a priority queue."""
3
4 class _Item:
5 """Lightweight composite to store priority queue items."""
6 __slots__ = '_key', '_value'
7
8 def __init__(self, k, v):
9 self._key = k
10 self._value = v
11
12 def __lt__(self, other):
13 return self._key < other._key # compare items based
14
15 def is_empty(self): # concrete method assuming
16 """Return True if the priority queue is empty."""
17 return len(self) == 0
```



# THE POSITIONAL LIST ADT

- Position ADT
  - `P.element()`: return the element stored at position `p`
- Positional List ADT
  - `L.first()`: first element of `L`, or `None` if `L` is empty
  - `L.last()`: last element of `L`, or `None` if `L` is empty
  - `L.before(p)`: the position in `L` immediately before `p`, or `None` if `p` is the first position
  - `L.after(p)`: the position in `L` immediately after `p`, or `None` if `p` is the last position
  - `L.is_empty()`: `true` if `L` is empty
  - `len(L)`: number of elements in the list
  - `iter(L)`: returns a forward iterator for the elements of the list

# THE POSITIONAL LIST ADT

- Positional List ADT
  - `L.add_first(e)`: insert a new element `e` at the front of `L`, return the position of the new element
  - `L.add_last(e)`: insert a new element `e` at the back of `L`, return the position of the new element
  - `L.add_before(p, e)`: insert a new element `e` before position `p` in `L`, return the position of the new element
  - `L.add_after(p, e)`: insert a new element `e` after position `p` in `L`, return the position of the new element
  - `L.replace(p, e)`: replace the element at position `p` with element `e`, returning the element formerly at position `p`
  - `L.delete(p)`: remove and return the element at position `p` in `L`

# IMPLEMENTING A PRIORITY QUEUE

- Implementation with an Unsorted List
- Instances of `_Item` are stored within a `PositionalList`
  - Identified as `_data`
  - Implemented with a doubly-linked list
  - All operations run in  $O(1)$  time
- `UnsortedPriorityQueue` class
  - Sub-class of `PriorityQueueBase`
- `_find_min(self)`

```
1 class UnsortedPriorityQueue(PriorityQueueBase): # base class
2 """A min-oriented priority queue implemented with an unsorted
3
4 def _find_min(self): # nonpublic utility
5 """Return Position of item with minimum key."""
6 if self.is_empty(): # is_empty inherited from base class
7 raise Empty('Priority queue is empty')
8 small = self._data.first()
9 walk = self._data.after(small)
10 while walk is not None:
11 if walk.element() < small.element():
12 small = walk
13 walk = self._data.after(walk)
14 return small
```

# IMPLEMENTING A PRIORITY QUEUE

- Implementation with an Unsorted List
- Instances of `_Item` are stored within a `PositionalList`
  - Identified as `_data`
  - Implemented with a doubly-linked list
  - All operations run in  $O(1)$  time

```
16 def __init__(self):
17 """Create a new empty Priority Queue."""
18 self._data = PositionalList()
19
20 def __len__(self):
21 """Return the number of items in the priority queue."""
22 return len(self._data)
23
24 def add(self, key, value):
25 """Add a key-value pair."""
26 self._data.add_last(self._Item(key, value))
27
28 def min(self):
29 """Return but do not remove (k,v) tuple with minimum key.
30 p = self._find_min()
31 item = p.element()
32 return (item._key, item._value)
```

# IMPLEMENTING A PRIORITY QUEUE

- Implementation with an Unsorted List
- Instances of `_Item` are stored within a `PositionalList`
  - Identified as `_data`
  - Implemented with a doubly-linked list
  - All operations run in  $O(1)$  time

```
34 def remove_min(self):
35 """ Remove and return (k,v) tuple with minimum key. """
36 p = self._find_min()
37 item = self._data.delete(p)
38 return (item._key, item._value)
```

| Operation  | Running Time |
|------------|--------------|
| len        | $O(1)$       |
| is_empty   | $O(1)$       |
| add        | $O(1)$       |
| min        | $O(n)$       |
| remove_min | $O(n)$       |



# IMPLEMENTING A PRIORITY QUEUE

- Implementation with a Sorted List
- SortedPriorityQueue class
  - Sub-class of PriorityQueueBase
- Instances of \_Item are stored within a PositionalList
  - Identified as \_data
  - Implemented with a doubly-linked list
  - All operations run in  $O(1)$  time

```
1 class SortedPriorityQueue(PriorityQueueBase): # base class defin
2 """ A min-oriented priority queue implemented with a sorted list
3
4 def __init__(self):
5 """ Create a new empty Priority Queue. """
6 self._data = PositionalList()
7
8 def __len__(self):
9 """ Return the number of items in the priority queue. """
10 return len(self._data)
11
12 def add(self, key, value):
13 """ Add a key-value pair. """
14 newest = self._Item(key, value) # make new i
15 walk = self._data.last() # walk backward looking for
16 while walk is not None and newest < walk.element():
17 walk = self._data.before(walk)
18 if walk is None:
19 self._data.add_first(newest) # new key is
20 else:
21 self._data.add_after(walk, newest) # newest goes
```

# IMPLEMENTING A PRIORITY QUEUE

- Implementation with a Sorted List
- SortedPriorityQueue class
  - Sub-class of PriorityQueueBase
- Instances of `_Item` are stored within a `PositionalList`
  - Identified as `_data`
  - Implemented with a doubly-linked list
  - All operations run in  $O(1)$  time

```
23 def min(self):
24 """Return but do not remove (k,v) tuple with minimum key.
25 if self.is_empty():
26 raise Empty('Priority queue is empty.')
27 p = self._data.first()
28 item = p.element()
29 return (item._key, item._value)
30
31 def remove_min(self):
32 """Remove and return (k,v) tuple with minimum key."""
33 if self.is_empty():
34 raise Empty('Priority queue is empty.')
35 item = self._data.delete(self._data.first())
36 return (item._key, item._value)
```

# IMPLEMENTING A PRIORITY QUEUE

- Implementation with a Sorted List
- SortedPriorityQueue class
  - Sub-class of PriorityQueueBase
- Instances of `_Item` are stored within a `PositionalList`
  - Identified as `_data`
  - Implemented with a doubly-linked list
  - All operations run in  $O(1)$  time

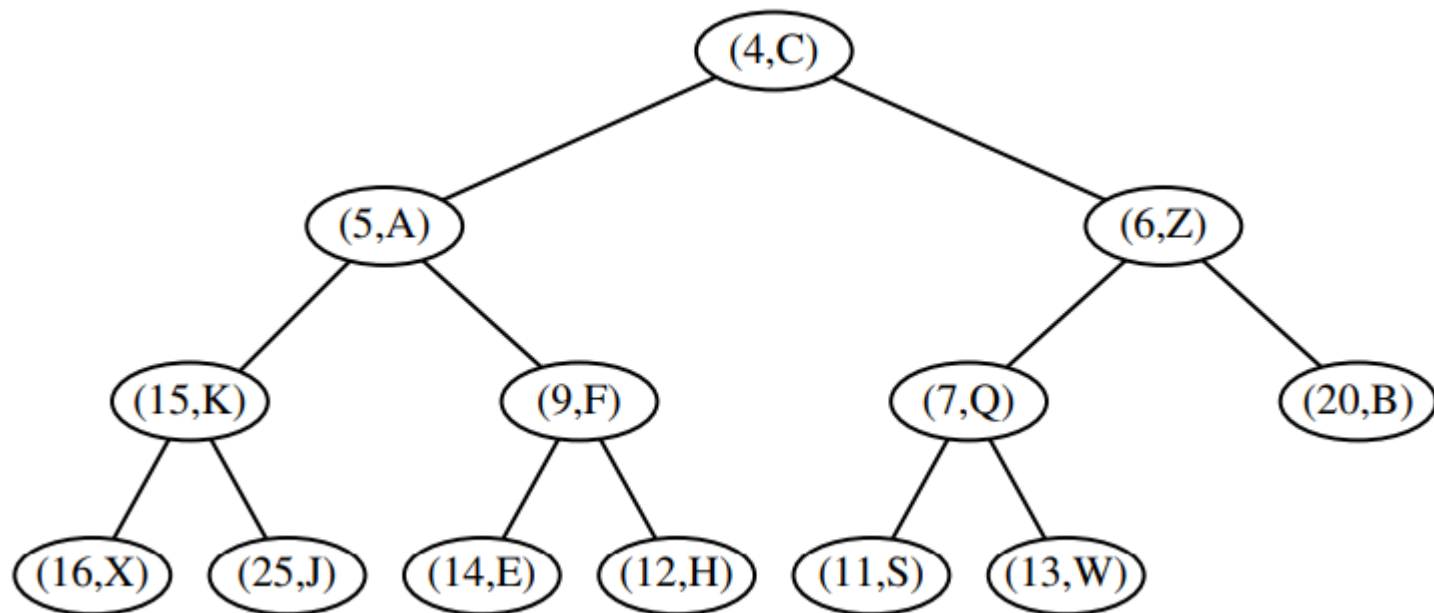
| Operation  | Unsorted List | Sorted List |
|------------|---------------|-------------|
| len        | $O(1)$        | $O(1)$      |
| is_empty   | $O(1)$        | $O(1)$      |
| add        | $O(1)$        | $O(n)$      |
| min        | $O(n)$        | $O(1)$      |
| remove_min | $O(n)$        | $O(1)$      |

# HEAP (堆)

- Heap: a binary tree that stores a collection of items at its positions
  - A relational property defined in terms of the way keys are stored in T
  - A structural property defined in terms of the shape of T itself
- Relational property (**heap order property**): In a heap T, for every position p other than the root, the key stored at p is greater than or equal to the key stored at p's parent
- Structural property (**complete binary tree property**): A heap T with height h is a complete binary tree if levels 0, 1, 2, ..., h-1 of T have the maximum number of nodes possible (level i has  $2^i$  nodes, for  $0 \leq i \leq h-1$ ) and the remaining nodes at level h reside in the leftmost possible positions at that level

# HEAP (堆)

- Complete
  - Levels 0, 1, and 2 are full
  - 6 nodes in level 3 are in the six leftmost possible positions at that level
- An alternative definition
  - If we are to store a complete binary tree  $T$  with  $n$  elements in an array  $A$ , then its 13 entries would be stored from  $A[0]$  to  $A[n-1]$





# HEAP (堆)

- Height of a heap
- A heap  $T$  storing  $n$  entries has height  $h = \text{floor}(\log n)$
- From the fact that  $T$  is complete, we know that the number of nodes in levels 0 through  $h-1$  of  $T$  is:  $1 + 2 + 4 + \dots + 2^{h-1} = 2^h - 1$ , and that the number of nodes in level  $h$  is at least 1 and at most  $2^h$ . Therefore

$$n \geq 2^h - 1 + 1 = 2^h \quad \text{and} \quad n \leq 2^h - 1 + 2^h = 2^{h+1} - 1.$$

- Therefore  $h \leq \log n$  (take log on both sides of  $2^h \leq n$ ), and  $\log(n+1) - 1 \leq h$  (same principle for  $n \leq 2^{h+1} - 1$ )

# IMPLEMENTING A PRIORITY QUEUE WITH A HEAP

- Height of a heap  $h = \text{floor}(\log n)$
- What does it mean in terms of complexity?
- We can perform update operations on a heap in time proportional to its height:  $O(\log n)$
- Insertion:  $\text{add}(k, v)$ 
  - To maintain the complete binary tree property,
    - the new node should be placed at a position  $p$  just beyond the rightmost node at the bottom level of the tree
    - or as the leftmost position of a new level (if the bottom level is full, or if the heap is empty)
- All sounds good but

# IMPLEMENTING A PRIORITY QUEUE WITH A HEAP

- The heap order property need to be maintained as well
- Unless position  $p$  is the root of  $T$ , we need to compare the key at position  $p$  to that of  $p$ 's parent  $q$ .
- If  $k_p \geq k_q$ , the heap order property is satisfied
- If  $k_p < k_q$ , then need to restore the heap-order property
  - Swap the entries  $p$  and  $q$
  - Perform algorithm recursively until the heap order property is restored
- Up-heap bubbling: keep going upwards until the heap order is restored
- Complexity?

# IMPLEMENTING A PRIORITY QUEUE WITH A HEAP

- Removing an item with minimal key: `remove_min()`
- An item with the smallest key is at the root  $r$  of  $T$
- Deleting the root directly?
- Need to make sure that the heap respects the complete binary tree property
  - The last position  $p$  (rightmost element at the deepest level) of  $T$  needs to be empty
- Given the above, what should we do?

# IMPLEMENTING A PRIORITY QUEUE WITH A HEAP

- Removing an item with minimal key: `remove_min()`
- Remove root, put the element in the last position  $p$  to the root
- Next: maintain the heap order property
  - If  $p$  has no right child, let  $c$  be the left child of  $p$
  - Otherwise, let  $c$  be a child of  $p$  with minimal key
- If  $k_p \leq k_c$ , the heap order is satisfied
- If  $k_p > k_c$ , then need to restore the heap order
  - swap  $p$  and  $c$
  - Perform algorithm recursively until the heap order property is restored
- Down heap bubbling
- Complexity?



# QUIZ OF THE WEEK

- 100 passengers to board a plane
- Assume each passenger should take their own seat assigned to them
- If the first passenger disregard the rule and pick a random seat
- For the rest 99 passengers, if their seats are taken, they will pick another random seat to sit in
- What's the probability that the 100<sup>th</sup> passenger sits on his/her assigned seat?



# THANKS

See you in the next session!