

# basic knowledge of topology

我们先来回忆“Euclidean space”中有关开集、闭集、连续函数的定义以及内点、(interior point) ---

首先我们知道在 Euclidean space 中有“距离”的概念。

即  $\vec{x}, \vec{y} \in \mathbb{R}^m$  “d”

$$d(\vec{x}, \vec{y}) = d(\vec{y}, \vec{x}) = \sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2 + \dots + (x_m - y_m)^2} \geq 0$$

我们有 “open ball”

$$B(\vec{x}, r) : \{ \vec{y} \mid \vec{y} \in \mathbb{R}^m \text{ and } d(\vec{y}, \vec{x}) < r \}$$

在多元微积分中我们这样来定义开集，we have a set  $A \subset \mathbb{R}^m$  for any  $\vec{x} \in A$ , there exist  $r > 0$  s.t

$B(\vec{x}, r) \subset A$ , then we call  $A$  is an open set of

Euclidean space.

这是多元微积分中定义的“开集”，对于“闭集”我们有

开集的补， $\Rightarrow$  if  $B$  is a close set., then ~~B~~ is not  
there exist an open set  $A$  such that

$$B = \mathbb{R}^m - A$$

在多元微积分中，我们要证明欧拉空间下的开集具有如下性质

for any index set  $I$ , if  $A_\lambda, \lambda \in I$  are open sets

$$B = \bigcup_{\lambda \in I} A_\lambda \quad B \text{ is also an open set.}$$

$$B = \bigcap_{i=1}^n A_i \quad n \in \mathbb{N} \Rightarrow B \text{ is also an open set.}$$

而闭集则和相反。(这里不再证明)

以上两条性质是欧拉空间中“开集”的基本性质。

而在多元微积分中对于连续函数的定义，最一般的定义是

$f: D \rightarrow \mathbb{R}^m \quad D \subset \mathbb{R}^n$  if  $f$  is continuous at  $\vec{p} \in D$

$\Rightarrow$  for any  $B_\varepsilon(\vec{p}) \subset D$ , there exist a  $B_\delta(\vec{a}) \subset D$ ,

such that  $f(B_\delta(\vec{a})) \subset B_\varepsilon(\vec{p})$

但我们可以发现有关“开集”以及“连续函数”的定义

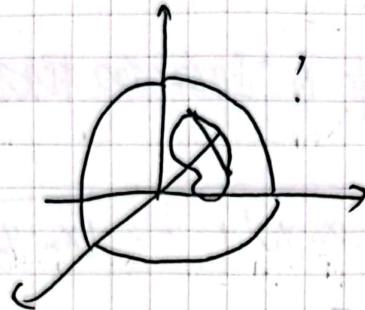
全部基于  $d(\vec{x}, \vec{y}) = \sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2 + \dots + (x_n - y_n)^2}$



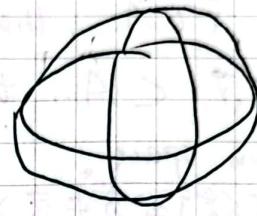
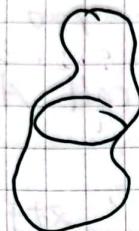
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但这个也是非常不“general”，例如当我们的研究对象不在局限于平面……

例如一个“球面”上有几何图形， $d(x,y)$ 的定义便不那么的好用。



而且这些“几何”体有一些明显不依赖于  $d(x,y)$  的性质  
例如



等等都可以将区分“内”“外”

但“莫比乌斯环”“克莱因瓶”却还是不可以的  
所以我们需要一个更加“general”的定义“开”“闭”“连续”的方式。现在我们可以进入拓扑学。(topology)

Topology: 我们现在有一个 set  $A$ ,

how we define a topology  $\mathcal{T}$  only is a collection of subsets of  $A$ , such that

$$(1) A, \emptyset \in \mathcal{T}$$

$$(2) \text{for any } \alpha_1, \alpha_2, \dots \in \text{index set I} \\ A_{\alpha_1}, A_{\alpha_2} \in \mathcal{T} \\ \bigcup A_{\alpha} \in \mathcal{T}$$

$$(3) \text{for any } n \in \mathbb{N} \quad A_i \in \mathcal{T}$$

$$\bigcap_{i=1}^n A_i \in \mathcal{T}$$

then we call  $\mathcal{T}$  is a topology on  $A$   
and if  $A_i \in \mathcal{T}$ , then we define  $A_i$  is an open set of  $A$



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某种意义上我们可以理解为它是所有开集的集合 (but...)

我们发现在这种意义下，开集中只“保留了无限并是开和有限交是开这两个性质（第一条是为保证空集的完备性）而这样定义“开”集是非常的普遍（连空集  $\cap V = \emptyset$ ）

闭集仍定义为开集的补集

if  $B$  is a closed set of  $A$ , then there exist an open set  $A'$  of  $A$

such that  $B = A - A'$

同时的对于一般的集合我们称“内部” $A \subset X$   $X \rightarrow$  top space.

若  $a \in A$   $a$  is an interior point of  $A$ , if there exist an open neighborhood  $B$  of  $A$  such  $a \in B$ . then we call  $a$  an interior point of  $A$ .

obviously if a subset  $A$  of  $X$ , such that for any  $a \in A$   $a$  is interior of  $A$ , then  $A$  must be an open set of  $X$ .

现在同样我们引入“limit point”

the set of limit points of  $A$ , we  $A'$

$\bar{A} = A \cup A'$  if  $A = \bar{A}$   $\Rightarrow A$  is close set.

我们现在引入“basic”概念, now give a top space  $X$ , a collection  $\beta$  of subsets of  $X$ ,  $\beta$  called a basis for top space  $X$

if: (1) if given any  $x \in X$ , there exist  $B \in \beta$  such that  $x \in B$

(2) given any  $V, W \in \beta$   $x \in V \cap W$ , there exist a  $T$  such that  $T \in \beta$   $T \subset V \cap W$   $x \in T$

given a basis  $\beta$ , the topology generated by the basis  $\beta$

Def A sub set  $U$  of  $X$  is said to be open in  $X$

for each  $x \in U$ , there exist  $B \in \beta$  such that  $x \in B$

$$B \subset U \rightarrow U = \bigcup_{\lambda} B_{\lambda}$$

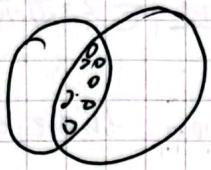
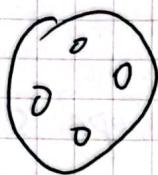
how we can define the Euclidean space.



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$$\mathcal{B} = \{B(\vec{x}, r) \mid r > 0, \vec{x} \in \mathbb{R}^n\}$$

the  $\mathcal{B}$  as above generates the Euclidean space.



$$B(\vec{x}, r) = \{\vec{y} \mid d(\vec{x}, \vec{y}) < r\}$$

$$d(\vec{x}, \vec{y}) = \sqrt{\dots}$$

(metric topology)

我们现在讲明连续映射的定义便可以进入 smooth Manifolds.

given to top space  $X, Y$  ( $\mathbb{Z}_x, \mathbb{Z}_y$ )

suppose exist a map  $f: X \rightarrow Y$

if  $x \in X$ , if given any open neighborhood  $V$  of  $f(x) \in Y$

there exist an open neighborhood  $U$  of  $x$  such that

$f(U) \subset V$ , then we call  $f$  is continuous at  $x$

if for every  $x \in X$   $f$  is continuous at  $x$

then we say  $f$  is continuous

the following are equiva-

①  $f$  is continuous

② if  $V$  is any open set in  $Y$ ,  $\Rightarrow f^{-1}(V)$  is an open set in  $X$

③ if  $V$  is any closed set in  $Y$ ,  $\Rightarrow f^{-1}(V)$  is a closed set in  $X$

(这里由于时间关系不进行证明)

i.e. if there exist  $f: X \rightarrow Y$ ,  $f$  is continuous. if  $f$  is a bijective function, then we call  $f$  is a homomorphism

if  $f^{-1}$  also a continuous function

then we call  $X$  is homeomorphic to  $Y$   
(同胚)

example

$$(1) X_1 = \mathbb{E}^2 \setminus \{0\}$$

$$(2) X_2 = \{(x, y, z) \in \mathbb{E}^3 \mid x^2 + y^2 = 1\}$$

$$(3) X_3 = \{(x, y, z) \in \mathbb{E}^3 \mid x^2 + y^2 - z^2 = 1\}$$



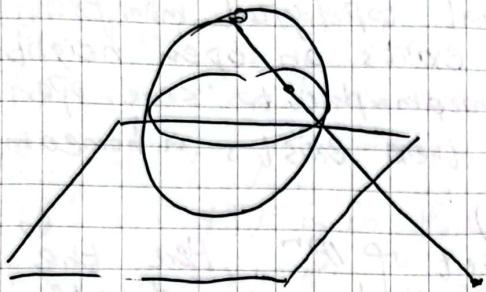
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we can prove these top space are homeomorphic to each other, now we can prove (1) (2) - .

$$f: X_1 \rightarrow X_2$$

$$(x, y) \rightarrow \left( \frac{x}{\sqrt{x+y^2}}, \frac{y}{\sqrt{x+y^2}}, \ln(x+y^2) \right)$$

to 2.



3.

$$[0, 1) \rightarrow \begin{array}{c} \uparrow \\ \text{circle} \\ \downarrow \end{array} \rightarrow ?$$

$$\lambda \rightarrow (\cos 2\pi\lambda, \sin 2\pi\lambda)$$

continuous  
but not homeomorphic

Smooth Manifolds:

Hausdorff topological space:

A topological space  $X$  is called Hausdorff space if for each pair  $x_1, x_2$  of distinct points of  $X$ , there exist <sup>open</sup> neighbourhood  $U_1$  and  $U_2$  of  $x_1$  and  $x_2$ , respectively, that are disjoint

$$\overset{U_1}{\circlearrowleft} \quad \overset{U_2}{\circlearrowleft} \quad U_1 \cap U_2 = \emptyset$$

Every finite point set in a Hausdorff space  $X$  is closed, we can easily prove this theorem



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Definition:

Let  $M$  be a Hausdorff space. Let  $m$  be a positive integer. If for every  $x \in M$ , there exists an open neighbourhood  $U$  of  $x$  such that  $U$  is homeomorphic to some open subset of Euclidean space  $\mathbb{R}^m$ , then we say that  $M$  is an  $m$ -dimensional topological manifold.

Let  $M$  be an  $m$ -dimensional topological manifold. Let  $x$  be an element of  $M$ . So there exists an open neighbourhood  $U$  of  $x$  such that  $U$  is homeomorphic to some open set of Euclidean space  $\mathbb{R}^m$ . Hence, there exists a homeomorphism

$$\varphi_U : U \rightarrow \varphi_U(U)$$

s.t  $\varphi_U(U)$  is an open set of  $\mathbb{R}^m$ . Here the ordered pair  $(U, \varphi_U)$  is called a coordinate chart of  $M$ .  $(U, \varphi_U)$  is also simply denote by  $(U, \varphi)$

for every  $x \in U$ , obviously

$$\varphi_U(x) = (u_1(x), u_2(x), \dots, u^m(x))$$

Let  $M$  be an  $m$ -dimensional topological manifold.

$(U, \varphi_U)$   $(V, \varphi_V)$  be coordinate charts of  $M$  such that  $U \cap V \neq \emptyset$ , Then

1.  $\text{dom}(\varphi_V \circ \varphi_U^{-1}) = \varphi_U(U \cap V)$

2.  $\text{ran}(\varphi_V \circ (\varphi_U)^{-1}) = \varphi_V(V \cap U)$

3.  $\varphi_V \circ \varphi_U^{-1}$  function

4. 1-1

5.  $\varphi_U(U \cap V)$   $\varphi_V(V \cap U)$  are open sets of  $\mathbb{R}^m$

6.  $(\quad)^{-1} = \varphi_V \circ \varphi_U^{-1}$

7. homeomorphisms.

We can easily prove all of these theorem

then we can define ~~smooth~~ the concept of smooth  $M$ .



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Let  $M$  be an  $m$ -dimensional topological manifold.  
Let  $(U, \varphi_U), (V, \varphi_V)$  be coordinate charts of  $M$

$$U \cap V \neq \emptyset$$

$$\varphi_V \circ \varphi_U^{-1} : \varphi_U(U \cap V) \rightarrow \varphi_V(U \cap V)$$

is a homeomorphism from - - -

For every  $(x^1 \dots x^m)$  in  $\varphi_V(U \cap V)$

$$\text{put } \varphi_V \circ \varphi_U^{-1}(x^1 \dots x^m)$$

$$= (f^1(x^1 \dots x^m), f^2(x^1 \dots x^m) \dots f^m(x^1 \dots x^m))$$

$$= (y^1, \dots, y^m)$$

where  $(y^1, \dots, y^m)$  is in  $\varphi_U(U \cap V)$  similarly,

$$\varphi_U \circ \varphi_V^{-1} \rightarrow g_i \rightarrow y \rightarrow x$$

For fixed  $i$  and for every  $j = 1, \dots, m$

If  $f^j$  exists at every point of  $\varphi_U(U \cap V)$

and continuous.

then we say that  $f^j$  is  $C^1$

Similarly by  $g_i$  is  $C^1$   
then

By  $(U, \varphi_U)$  and  $(V, \varphi_V)$  are  $C^1$ -compatible

we mean that either  $U \cap V = \emptyset$  or  $(f^i, g^j)$  is  $C^1$  for every  $i, j$

If  $D_k(D; f^i) \equiv D_k(f^i)$  exists and continuous at every point of  $\varphi_U(U \cap V)$ , we say  $f^i$  is  $C^2$ . Similarly by  $g^i$  is  $C^2$ ?

By  $(U, \varphi_U)$  ( $V, \varphi_V$ ) are  $C^2$ -compatible, we mean that - - -

Similarly, we can define  $C^n$ -compatible. - - -

Def: let  $M$  be an  $m$ -dimensional topological manifold.  
Let  $r$  be a positive integer. Let

$$A = \{(U, \varphi_U), (V, \varphi_V), (W, \varphi_W) \dots\}$$

1.  $\{U, V, W \dots\}$  is a cover of  $M$ .  $\bigcup \{U : (U, \varphi_U) \in A\} = M$

2. all pairs of members of  $A$  are  $C^r$ -compatible

3.  $A$  is maximal (in the sense that if  $(\tilde{U}, \tilde{\varphi}_{\tilde{U}})$  is a coordinate chart of  $M$  but not a member of  $A$ , then there exist  $(U, \varphi_U)$  in  $A$ , such that  $(\tilde{U}, \tilde{\varphi}_{\tilde{U}})$  ( $U, \varphi_U$ ) are not  $C^r$ -compatible)

then we say that  $A$  is a  $C^r$ -differentiable structure on  $M$   
and the ordered pair  $(M, A)$  is called a  $C^r$ -differentiable manifold.  
Here, members of  $A$  are called admissible coordinate charts of  $M$



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## Tangent Spaces

if  $r = \infty$  (in the sense that every pair of members in  $A$  is  $C^r$ -compatible for every positive integer  $r$ )

then we say that  $A$  is a  $C^\infty$ -differentiable structure on  $M$ , and the pair  $(M, A)$   $C^\infty$ -differentiable manifold.

$C^\infty$ -differentiable structure is also called smooth structure.  $C^\infty$ -differentiable manifold is also called smooth manifold.

and we can proof that  $A$  is Unique.

if  $\beta_1, \beta_2$  are two  $C^\infty$ -differentiable structures on  $M$

when there exists a coordinate chart  $(U, \varphi_U)$  of  $M$  s.t  $(U, \varphi_U) \in \beta_1$ , but  $\notin \beta_2$ .

let  $\beta$  be the collection of all coordinate charts  $(U, \varphi_U)$  of  $M$  such that  $(U, \varphi_U)$  is  $C^\infty$ -compatible with every member of  $A$ .

$\beta$  contains  $A$ , obviously

now we try to prove  $\beta$  is a  $C^\infty$ -differentiable struc on  $M$

1.  $\cup \beta = M$  ob

2. all pairs of members of  $\beta$  are  $C^\infty$ -compatible

3.  $\beta$  is maximal and unique

2.: take any  $(U, \varphi_U)$  in  $\beta$  and  $(V, \varphi_V)$



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## Examples of smooth Manifolds.

1. For  $m = 1, 2, 3, \dots$  by the  $m$ -dimensional unit sphere  $S^m$ , we mean these t

$$\{(x^1, \dots, x^{m+1}) : \sqrt{x_1^2 + x_2^2 + \dots + x_{m+1}^2} = 1\}$$

Since  $\mathbb{R}^{m+1}$  is a Hausdorff second countable top space  
 $S^m$  is a subset of  $\mathbb{R}^{m+1} \Rightarrow S^m$  with induced topology  
 is a Hausdorff se. . .

$$S^1 = \{(x^1, x^2), \sqrt{x_1^2 + x_2^2} = 1\}$$

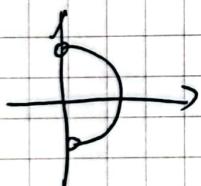
Now we try to prove  $S^1$  is a 1-dimensional top space.

Take any  $(a^1, a^2)$  in  $S^1$  we have to find an open neighborhood  $G$  of  $(a^1, a^2)$  s.t.  $\varphi(G) \subset \text{open in } \mathbb{R}^2$

$$1: a^1 \neq 0 \quad a^2 > 0$$

Let us take  $\{(x^1, x^2), (x^1, x^2) \in S^1 \text{ and } x^1 > 0\}$

for  $G_1, (a^1, a^2) \in G_1, \text{ ob } G_1 \text{ is open}$



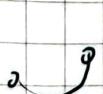
defind  $P_1 : G_1 \rightarrow \{(x^1, x^2) \in G_1\}$

$$a \rightarrow (-1, 1)$$

ob , bijective Contin. for  
 base both  $\text{f} \circ P_1, P_1^{-1}$

--

Then



$$\{(G_1, P_1), (G_2, P_2), (G_3, P_3), (G_4, P_4)\} = A.$$

$$1. \cup A = S^1 \text{ ob}$$

2. all pairs of members in  $A$  are  $C^\infty$ -compatible



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now how we pr  
 $(G_1, P_1) (G_2, P_2)$

$$P_1 \circ P_1^{-1} = : (0, 1) \rightarrow (-1, 0)$$

$$\Rightarrow t \rightarrow -\sqrt{1-t^2}$$

ab. is  $C^\infty$ - compatible

Hence, by the theorem, there exists a unique  $C^\infty$ - differentiable structure  $\beta$  on  $S'$  which contain  $A$ .

Thus, the ordered pair  $(S', \beta)$  is an example of a smooth manifold of dimension 1



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