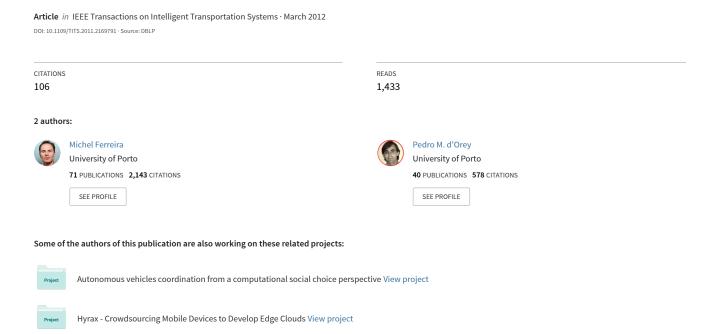
On the Impact of Virtual Traffic Lights on Carbon Emissions Mitigation



On the Impact of Virtual Traffic Lights on Carbon Emissions Mitigation

Michel Ferreira and Pedro M. d'Orey

Abstract—Considering that the transport sector is responsible 5 for an increasingly important share of current environmental 6 problems, we look at intelligent transportation systems (ITS) as 7 a feasible means of helping in solving this issue. In particular, we 8 evaluate the impact in terms of carbon dioxide (CO_2) emissions of 9 virtual traffic light (VTL), which is a recently proposed infrastructureless traffic control system solely based on vehicle-to-vehicle 11 (V2V) communication. Our evaluation uses a real-city scenario 12 in a complex simulation framework, involving microscopic traffic, 3 wireless communication, and emission models. Compared with an 14 approximation of the physical traffic light system deployed in the 15 city, our results show a significant reduction on CO_2 emissions 16 when using VTLs, reaching nearly 20% under high-density traffic.

17 Index Terms—Carbon dioxide (CO₂) emissions, fuel consump-18 tion, vehicular ad hoc networks (VANETs), virtual traffic lights 19 (VTLs).

20 I. Introduction

3

LOBAL warming and climate change have impacted the policy scene for the implementation of measures toward low-carbon resource-efficient economies. Decarbonization of the transport sector is particularly important, because in the European Union (EU), this sector accounts for around 25% of total carbon dioxide (CO₂) emissions, according to a study of the European Environment Agency (EEA) [1]. This same study also reports that car journeys comprised 72% of all passenger kilometers in EU-27 (excluding Cyprus and Malta) and have clearly represented the dominant mode of transport over the past few decades. One aggravating factor is the ever-increasing traffic levels that have neutralized the average emissions reduction per vehicle obtained due to the design of more efficient vehicles.

35 In parallel with the effort to develop more efficient and 36 environmentally friendly vehicles, the design of mechanisms 37 that improve the efficiency of road utilization, i.e., real-time 38 traffic information systems and collaborative routing systems

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[2], [3], highway platooning [4], or adaptive traffic signal con-39 trol [5], [6], can produce substantial additional benefits toward 40 the reduction of the carbon footprint of road transportation. 41 In the critical fraction of CO₂ g/km, we argue that more 42 emphasis should be put on the *mechanics* of how each kilometer 43 is traveled, including the route choice and the traffic control 44 signage that is in place. In-vehicle intelligent transportation 45 system (ITS) technologies will play a key role in this more 46 efficient utilization of the road as we witness the inclusion of 47 routing engines and traffic signs as in-vehicle systems.

Aside from improving safety and road network efficiency, we 49 argue that ITS measures lead to significant improvements in 50 terms of environmental impact. Thus, evaluating and quantify- 51 ing the impact of such technologies in terms of CO₂ emissions 52 is very important and can eventually lead to its integration 53 in the assignment of the emissions value of new cars, which 54 is relevantly reflected in the final price/yearly taxes and can 55 contribute to a more rapid dissemination of such technologies. 56

In this paper, we focus on the evaluation and quantification of 57 the impact in terms of CO₂ emissions of the recently proposed 58 concept of virtual traffic lights (VTLs) [6], where the traditional 59 road-based physical traffic signals are replaced by in-vehicle 60 representations, supported only by vehicle-to-vehicle (V2V) 61 communication. Our main goal is to provide evidence of the 62 significant reductions in average network emissions that can be 63 obtained through a novel ITS technology enabled by vehicular 64 ad hoc networks (VANETs). Furthermore, we aim at providing 65 a methodology and an associated simulation platform to study 66 the environmental impact of any ITS measure.

The remainder of this paper is organized as follows. In 68 the next section, we briefly present the relevant related work 69 in traffic signal control and the environmental impact of ITS 70 measures. Then, Section III introduces the VTL concept and the 71 system main characteristics. Section IV provides an overview 72 on the main system models, i.e., emissions and fuel consump- 73 tion (see Section IV-A) and the microscopic traffic model (see 74 Section IV-B). Section V presents a methodology for evaluating 75 carbon emissions and the impact that the VTL system has on 76 their mitigation. Section VI details the simulation scenario and 77 evaluation metrics and provides the main results (individual 78 and aggregated). Section VII closes this paper with the main 79 conclusions.

II. RELATED WORK

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A. Traffic Signal Control

Traffic signal control has attracted much attention from 83 the research community over the past few decades. Classical 84

85 control concepts assume a cyclic operation of traffic signals, 86 where the flows of different directions are periodically served 87 [7]. The main control strategies can broadly be categorized into 88 static and adaptive control.

Static control strategies, also commonly called pretimed, run 90 precomputed and fixed signal plans. Signal plans are deter-91 mined by using historical data to infer the best parameters (e.g., 92 green split) for each intersection. However, pretimed control 93 cannot respond to real-time traffic variations and often results 94 in inefficient utilization of intersection capacity [8].

On the other hand, adaptive control strategies change signal plan in adaptation to the varying traffic conditions. Intelligent systems use basic sensors and optimization algorithms to insect crease traffic flow, whereas other systems use more complex perfect technology to gather more information for the traffic signal to make a decision [9]. Systems such as the Split Cycle Offset Optimization Technique (SCOOT) [10] or the Sydney Coordinated Adaptive Traffic System (SCATS) [11] make use of detectors placed in the vicinity of intersections to adapt to variations in the traffic demand.

The advent of VANETs made possible a variety of new 106 strategies that leverage on V2V and vehicle-to-infrastructure 107 (V2I) communications. Additional and more precise informa-108 tion (e.g., vehicle speed and position) on each vehicle can 109 be exchanged among vehicles or with a centralized control 110 unit. On the most simple setting, the traffic signal periodically 111 broadcasts its scheduling information over the wireless medium 112 to vehicles in its vicinity [12]. In [5], the authors describe 113 an adaptive traffic signal control system based on wireless 114 communication between vehicles and a controller node placed 115 at the intersection; control delay and queue length metrics 116 are exchanged using a VANET data-dissemination platform. 117 Cai et al. propose a dynamic programming algorithm, using 118 vehicle speed, position, and waiting time as state variables [13]. 119 In [14], a distributed multi-agent-based approach is adopted to 120 develop a traffic-responsive signal control system. The adaptive 121 behavior of this type of systems uses the information of vehicles 122 (e.g., speed and position) that can thus overcome the short-123 comings of traffic signal control systems based on detectors 124 placed at fixed locations. The stop-or-go message is conveyed 125 to drivers through the traditional road-based lamp.

126 B. Environmental Impact of ITS Measures

Past research work on ITS had improving safety and road 128 network efficiency as the main objectives. However, the evalu-129 ation of the environmental impact and the inclusion as a metric 130 in algorithms are currently aspects of major importance. For 131 instance, in [15], the environmental benefits of car sharing have 132 been studied in North America. The authors have concluded 133 that car sharing reduces the overall annual pollutant emissions 134 and the average distance traveled significantly declined, al-135 though more individuals have access to automobiles.

Bell qualitatively and quantitatively demonstrated in [16] how vehicle technologies and ITS have a role to play in reducing the impact of traffic on the environmental and health. The paper specifies a set of measures, i.e., traffic signal control, demand management, road pricing, speed limits, traffic calming,

and vehicle control systems, to achieve this goal. In [17] and 141 [18], the authors acknowledged the importance of the driver 142 behavior on fuel consumption and associated pollutants emis- 143 sions and proposed eco-driving schemes or incentive systems 144 that decreased fuel costs and pollutant emissions. Recently, in 145 [19], Tsugawa and Kato have presented and discussed various 146 ITS approaches for significant energy savings and emission 147 mitigation demonstrated by field or experimental data. The 148 authors have concluded that vehicular communications play an 149 essential role not only in safety but in energy savings as well 150 [19]. However, in these papers, the most recent work on traffic 151 signal control strategies, as well as the related environmental 152 performance, is not considered.

In [5], the authors describe an adaptive traffic signal control 154 system based on V2I communications. The authors demon-155 strated that their strategy based on the optimization of green 156 phases outperformed the classical method (pretimed); delay is 157 decreased by 28%, and pollutant emissions are decreased by up 158 to 8.9% (6.5% for CO₂ emissions). However, the strategy was 159 evaluated only to a single intersection.

Tielert *et al.* assessed in [12] the environmental gains of the 161 traffic-light-to-vehicle communication (TLVC) application. For 162 this specific application, key influencing factors on pollution 163 emissions are gear choice and the information distance to obtain 164 an efficient speed adaptation. Although single-vehicle analysis 165 presented large improvements in terms of pollutant emissions, 166 road-network-wide simulation yields a reduction in fuel con-167 sumption of only up to 8% [12]. Furthermore, to evaluate 168 the environmental impact of TLVC, modeling the communi-169 cation aspect as a fixed information distance was considered 170 sufficient [12].

In [20], Sommer *et al.* analyze the environmental impact of 172 the proposed dynamic rerouting algorithm and the traditional 173 metric *travel time*. The authors demonstrate that the optimiza- 174 tion of these metrics can be conflicting in some situations. If 175 only the travel time will be used in the optimization process of 176 ITS, emission metrics are often suboptimal [20].

III. VIRTUAL TRAFFIC LIGHT 178

The road network has become ubiquitous such that, in the 179 conterminous U.S., for example, we can get no farther from 180 a road than 35 km [21]. It is geometrically unavoidable that 181 these millions of kilometers of roads meet at some points, 182 forming road junctions of different topologies. Based on the 183 2009 Tiger/Line data [22], it was computed that the number of 184 intersections in the U.S. amounts to approximately 50 million 185 [6]. In terms of traffic flow, these junctions constitute critical 186 points, which are the subject of a vast amount of research to-187 ward its optimization. This optimization can either be based on 188 a topological/geometrical approach (through grade separation 189 or roundabout design, e.g., [23]) or on a signalization approach, 190 particularly through intelligent traffic signals (e.g., [24]).

In this paper, we focus on the role of VANETs for the 192 optimization of road intersections based on intelligent traffic 193 signals. One common aspect to all the strategies presented 194 in Section II-A is the utilization of fixed infrastructure to 195 support the operation of intelligent traffic control strategies. 196

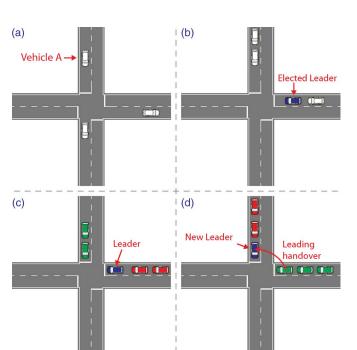


Fig. 1. (a) Conflict-free intersection. Vehicle A uses periodic beaconing to advertise its position and heading as it approaches the intersection. No conflicts are detected, and it is not necessary to create a VTL. (b) Periodic beaconing of concurrent vehicles results in the detection of a crossing conflict and in the need to create a VTL. One of the conflicting vehicles is elected as the intersection leader and will create and control the VTL. This leader stops at the intersection and replaces a road-based traffic signal in a temporary control of the intersection. (c) Leader is stopped at the intersection and optimizes the functioning of the VTL based on the number of vehicles in each approach and periodically broadcasts VTL messages with the color of each approach/lane. (d) When the cycle ends and the green light is assigned to the leader approach/lane, the current leader selects a new leader from the vehicles stopped under red lights. This new leader continues the cycle. If there are no stopped vehicles under red lights, then the VTL ceases to exist.

197 Furthermore, many of these strategies assume the existence of 198 a centralized control unit¹ and cyclic operation. In this paper, 199 we propose a new paradigm, called VTL, in which control 200 is decentralized and self organized, operation is acyclic, and 201 traffic signal information is individually and directly presented 202 in each vehicle. In our system, vehicles behave as sensors in the 203 road transportation network.

In [6], we presented the VTL concept, advocating for a paradigm shift from traffic signals as road-based infrastructures to traffic signals as in-vehicle virtual signs supported only by 207 V2V communication. The implementation of the VTL system 208 results in improved traffic flow due to the optimized management of individual intersections, which is enabled not only by 210 the neighborhood awareness of VANET protocols but due to 211 the scalability of the solution as well, which renders signalized 212 control of intersections truly ubiquitous. This ubiquity allows us 213 to maximize the throughput of the complete road network rather 214 than the reduced number of road junctions that are currently 215 managed by physical traffic signals.

The principle of operation of VTLs is relatively simple and 217 is illustrated in Fig. 1. Each vehicle has a dedicated application 218 unit (AU), which maintains an internal database with informa-

tion about intersections where a VTL can be created. When 219 approaching such intersections, the AU checks whether there 220 is a VTL running that must be obeyed or a VTL needs to 221 be created as a result of perceiving crossing conflicts between 222 approaching vehicles [see Fig. 1(a) and (b)].

3

Beaconing and location tables, which are features of VANET 224 geographical routing protocols (for example, see [25]), are used 225 to determine whether a VTL needs to be created. Each node 226 maintains a location table that contains information about every 227 node in its vicinity, which is constantly updated through the 228 reception of new beacons. The periodicity of these beacons can 229 be increased as vehicles approach an intersection.

If a VTL needs to be created, then all vehicles that approach 231 the intersection must agree on the election of one of the vehicles 232 as the leader, which will be responsible for creating the VTL 233 and broadcasting the traffic signal messages [see Fig. 1(c)]. 234 This vehicle works as a temporary virtual infrastructure for 235 the intersection and takes the responsibility of controlling the 236 VTL. Once this leader has been elected, a VTL cycle for 237 the intersection control is initiated with a red light for the 238 leader approach/lane. This condition ensures that the leader 239 will remain in the intersection for the duration of a complete 240 cycle. Based on the number of vehicles in each approach, the 241 leader can set the traffic signal parameters, such as the phase 242 layout and the green splits assigned to each approach, in an 243 optimized manner. During the existence of a VTL leader, the 244 other vehicles act as passive nodes in the protocol, listening 245 to traffic signal messages and presenting these messages to the 246 driver through the in-vehicle displays.

During a complete VTL cycle, the leader commutes the 248 traffic light (TL) phase among the conflicting approaches/lanes. 249 When the green light is in the leader's lane, the control of the 250 VTL system must be handed over to a new leader in a different 251 approach/lane. If there are vehicles that stopped under the red 252 light at the intersection, the current leader selects one of these 253 vehicles to become the new leader, which will maintain the 254 intersection control in a consistent sequence [see Fig. 1(d)]. If 255 there are no stopped vehicles under the red light, then a new 256 leader will be elected through the previously explained process 257 whenever necessary. Fig. 2 depicts the principle of the operation 258 of the VTL system in terms of stages.

In a primal paper, where the VTL concept was presented, the 260 dynamic performance of the system has also been studied. The 261 selected mobility metric was the average increase in flow rate 262 of the VTL protocol versus the real physical traffic signals as a 263 function of vehicle density. Large-scale simulations that emu-264 late a real dense urban scenario provided compelling evidence 265 on the viability and significant benefits of the proposed scheme 266 in terms of the mobility metric (up to 60% increase at high 267 densities). This new self-organizing traffic paradigm thus holds 268 the potential for revolutionizing traffic control, particularly in 269 urban areas [6].

The interaction between vehicles and pedestrians also needs 271 to be considered. The most direct and traditional approach that 272 could be foreseen is the communication of the traffic signal 273 information to pedestrians through smart phones or simple road 274 infrastructure. The virtual indication of traffic signals by the 275 leading vehicle is also proposed.

¹A decentralized signal control strategy has recently been proposed by Laemmer and Helbing in [7].

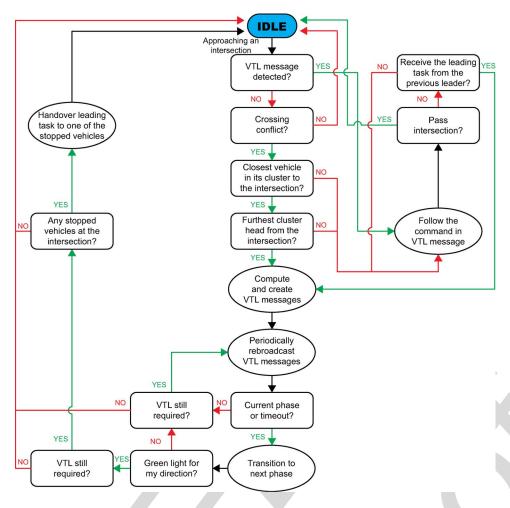


Fig. 2. VTL phase diagram.

An immediate effect of the shift of paradigm of traffic signals 278 as road-based infrastructures to in-vehicle virtual signs is the 279 elimination of physical traffic signals from roads. According to 280 [26], in 1998, 3.25 million traffic lamps were approximately 281 permanently lighted in the road infrastructure of the U.S. based 282 on an estimated number of 260 000 signalized road junctions. 283 We estimate that the electric power consumption of TLs in 284 the U.S. can total an amount around 2 million MW · h/year, 285 which is equivalent to 1.2 million metric tons of CO₂ per 286 year according to [27]. Although this value is significant, it 287 is almost irrelevant when considering the total emissions of 288 personal vehicles in the U.S., which emit around 300 million 289 metric tons of CO₂ per year [28]. VTLs, on the other hand, 290 enable the universal deployment of semaphore-based control on 291 the intersections of the road network, with virtually no impact 292 on energy consumption or carbon emissions.²

IV. SYSTEM MODELS

294 A. Emissions and Fuel Consumption Model

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Emission models can broadly be categorized into macro-296 scopic, mesoscopic, and microscopic models. Macroscopic models estimate pollutant emissions and fuel consumption 297 mainly based on the average travel speed of the traffic flow. 298 These macroscopic models entail enormous simplifications 299 on the accuracy of physical processes involved in pollutant 300 emissions [29], which leads to reduced accuracy in calcula- 301 tions. Moreover, these models cannot capture individual speed 302 fluctuations and cannot take into account individual operation 303 conditions, which are of crucial interest when analyzing a pro- 304 posal that considers network and vehicle dynamics. Mesoscopic 305 models (e.g., [30]) use more disaggregate trip variables, such 306 as the average speed, the number of stops, and stopped delay, 307 to estimate a vehicle's emission rates on a link-by-link basis. 308 Some regression models that were developed were found to 309 predict fuel consumption and emission rates of hydrocarbons 310 (HC), carbon monoxide (CO), and NO_x to within 88%–90% of 311 instantaneous microscopic emission estimates [31].

Microscopic emission models overcome some of the limita- 313 tions of large-scale macroscopic models mainly by considering 314 individual vehicles dynamics and their interactions. Emissions 315 and fuel consumption are estimated based on instantaneous 316 individual vehicle variables that can frequently be obtained 317 (e.g., second by second) from a microscopic traffic simulator or 318 another alternative source [e.g., the Global Positioning System 319 (GPS) data logger]. Commonly, these parameters are divided 320 into the following two categories: 1) vehicle parameters and 321

²The low-power consumption of in-vehicle semaphores and associated V2V communications could resort to energy recovery mechanisms from the kinetic energy of vehicles to power the electrical systems.

322 2) traffic/road parameters. Vehicle parameters include, among 323 others, vehicle mass, fuel type, engine displacement, and ve-324 hicle class. On the other hand, network parameters (traffic and 325 road conditions) account for instantaneous vehicle kinematics 326 (e.g., speed or acceleration), aggregated variables (e.g., the 327 time spent in the acceleration mode), or road characteristics 328 (e.g., road grade). Because microscopic emission and fuel 329 consumption models have higher temporal precision and better 330 capture the effects of vehicle dynamics/interactions, they are 331 better suited to evaluate the environmental gains derived from 332 an ITS measure, such as the VTL system.

333 Several microscopic models have been proposed by the 334 scientific community. These models can be classified into 335 emission maps (speed/acceleration lookup tables), purely sta-336 tistical models, and load-based models [32]. Major contribu-337 tions in this field were given by Akcelik *et al.* [33], Barth 338 *et al.* [34] with the comprehensive modal emission model 339 (CMEM), Ahn *et al.* [35], and Cappiello *et al.* [32] with 340 the emissions from traffic (EMIT) model. The latter model 341 has been selected due to computational performance and ac-342 curacy reasons. EMIT is a simple dynamic emission model 343 that was derived from statistical and load-based emission 344 models.

This model first estimates the instantaneous tractive power 346 (P_{tr}) using (1), which has the vehicle velocity v (in meters per 347 second) and acceleration a (in square meters per second) as the 348 main parameters

$$P_{tr} = A \cdot v + B \cdot v^2 + C \cdot v^3 + M \cdot a \cdot v + M \cdot g \cdot \sin \vartheta \cdot v \tag{1}$$

349 where the variables are defined as follows:

- 350 A rolling resistance (in kilowatts per meter per second);
- 351 B speed correction (in kilowatts per square meter per second);
- C air drag resistance (in kilowatts per cubic meter per second);
- M vehicle mass (in kilograms);
- gravitational constant (in square meters per second);
- 357 ϑ road grade (in degrees).

358 Depending on the value of P_{tr} , the fuel rate (FR) can be 359 expressed as

$$FR = \begin{cases} \alpha_i + \beta_i v + \gamma_i v^2 + \delta_i v^3 + \zeta_i a v, & \text{if } P_{tr} > 0 \\ \alpha_i', & \text{if } P_{tr} = 0 \end{cases}$$
 (2)

360 where α_i , β_i , γ_i , δ_i , and ζ_i are constants that are associated 361 with individual vehicles that were obtained using ordinary least 362 square linear regressions.

The EMIT model allows us to simultaneously calculate 364 several pollutant emissions subproducts, i.e., CO, CO₂, HC, 365 and nitrogen oxide (NO). This calculation is divided into the 366 following two main phases: 1) engine-out (EO) and 2) tailpipe 367 (TP). The following formula for calculating EO pollutant emis-368 sions has the same structure as (2) due to the linear relationship 369 with FR:

$$EO_i = \alpha + \mu \cdot FR \tag{3}$$

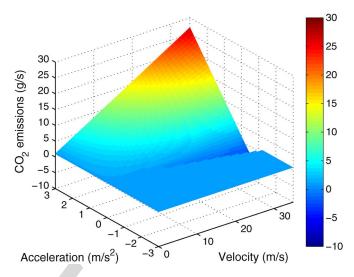


Fig. 3. CO_2 emissions surface as a function of the vehicle acceleration and velocity; increasing values for this metric lead to increased CO_2 emissions. The EMIT model can produce negative values.

 ${\rm CO}_2$ is the main by-product of the combustion of fossil 370 fuels, ³ and consequently, it is proportional to the FR. The 371 values of ${\rm CO}_2$ EO emissions are directly estimated from fuel 372 consumption estimates. Due to this linear relationship, the 373 terms FR or ${\rm CO}_2$ can interchangeably be used when analyzing 374 the environmental impact of an ITS technology. Fig. 3 depicts 375 the ${\rm CO}_2$ emissions surface for a given input parameter set 376 (acceleration and velocity) with a resolution of 0.1 (m/s² and 377 m/s, respectively). Note that negative values for ${\rm CO}_2$ can be 378 obtained with the EMIT model. This problem was addressed 379 by considering a minimum value for the emissions that is equal 380 to the constant α_i' when P_{tract} is equal to zero.

After estimating the EO emission for the subproducts of 382 combustion, the EMIT model calculates the TP emission rates. 383 This emission rate is a fraction of the EO emission rate that 384 leaves the catalytic converter, i.e.,

$$TP_i = EO_i \cdot CPF_i \tag{4}$$

where CPF_i denotes the catalyst pass fraction for species i.

The calibration of the parameters of (1) and (2) resorted to 387 light-duty vehicle data that were gathered for CMEM. Because 388 our goal is to evaluate the relative benefit of the VTL technol- 389 ogy, we consider the same coefficients for all vehicles that are 390 used in this paper. These parameters are shown in Table I and 391 are based on the values available from the EMIT model [32] 392 (vehicle category 9).⁴

B. Microscopic Traffic Model

To test the feasibility and performance of VANET-based 395 applications, a simulation platform is required to simulate ve- 396 hicular ad hoc environments. The simulation-based evaluation 397

 $^{^3}$ Although, in this paper, we mainly assess CO_2 emissions, which is the main by-product of fossil fuels combustion, other subproducts could be evaluated due to their relative importance, particularly at intersections due to the stop-and-go phenomenon.

⁴The definition of each vehicle/technology category of the modal emissions model can be found in [36]. Vehicle category 9 represents a normal emitting car (Tier 1 emission standard), with accumulated mileage greater than 50 000 miles and high power/weight ratio.

Factor	Value	Unit
A	0.1326	kW/m/s
В	2.7384e-3	$kW/(m/s)^2$
C	1.0843e-3	$kW/(m/s)^3$
M	1.3250e-3	kg
g	9.81	m/s^2
θ	0	degrees
α_i	1.1	g/s
β_i	0.0134	g/m
γ_i	-	$g \ s^2/m^2$
δ_i	1.98e-6	$g s^2/m^3$
Ci	0.2410	$q s^2/m^2$

TABLE I EMIT MODEL PARAMETERS

398 of VANET protocols requires a network simulator (NS-3) and 399 a road traffic microscopic simulator [Development of Interve-400 hicular Reliable Telematics (DIVERT)]. DIVERT [37], [38] is 401 a sophisticated microscopic simulator based on the intelligent 402 driver model (IDM) [39] with a validated mobility model 403 [40]. The lane-changing model is based on the MOBIL model 404 proposed by Treiber et al. in [41]. The mobility patterns are 405 individually influenced by random initialization, within typical 406 values, of attributes such as acceleration, braking, aggressive-407 ness, and risk tolerance [37]. The current mobility model does 408 not account for vehicle speed adaptation based on the received 409 information (e.g., driver reaction to traffic signal controller 410 timing information) as done in [42]. The implementation in-411 cludes all the common features of a road transportation network 412 (e.g., traffic signals). It allows the simulation of thousands 413 of vehicles with a high degree of realism [38] and with a 414 wide range of configurations (e.g., aggressiveness). NS-3 is a 415 discrete-event network simulator for Internet systems, primar-416 ily targeting research and educational use [43]. Several radio 417 access technologies (e.g., IEEE 802.11p), as well as multiple 418 interfaces/channels features and various protocol modules, can 419 be used. In [44], the authors conclude that NS-3 delivers the 420 best overall performance.

The need for a bidirectional coupling of these two com-422 ponents (network and traffic) is fundamental and has been 423 discussed in [45]. Clearly, the mobility of vehicles affects the 424 network connectivity and behavior. Conversely, the results of 425 the network simulation component can also affect the mobility 426 of vehicles. In the case of VTL, this bidirectional coupling is 427 particularly microscopic in both directions. Detailed mobility 428 information (e.g., the position, speed, and heading of each 429 vehicle) needs to be fed to NS-3, which has been coupled 430 with DIVERT [38] to simulate the beaconing, leader election, 431 and virtual light messages in the context of the VTL protocol. 432 Traffic signal information, which results from the exchange of 433 messages of the VTL protocol emulated by NS-3, is provided to 434 the DIVERT simulator; the individual mobility of each vehicle 435 is affected by the regulatory messages conveyed by the VTL 436 (e.g., stop at a given intersection).

V. METHODOLOGY

Our goal is to quantify the impact of the VTL technology 439 on CO_2 emissions mitigation. We should thus analyze CO_2

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emissions of vehicles that interact with physical traffic signals 440 and compare the results with the CO₂ emissions of vehicles 441 that use VTLs. To isolate the benefit of the VTL approach, 442 each of the alternatives for intersection control should have 443 identical settings in all possible *static* variables, including the 444 characteristics of vehicles and drivers, the road scenario, and 445 the routes. The differences in the two analyses result from a 446 different mobility behavior of each vehicle that is affected by 447 *dynamic* aspects, which correspond to different traffic condi- 448 tions due to alternative schemes of intersection control.

To perform these two analyses, the aforementioned EMIT 450 emissions model was integrated into a microscopic traffic sim- 451 ulator. The microscopic traffic simulator outputs the mobility 452 behavior of each vehicle in the form of a virtual GPS trace. 453 By processing this GPS trace, a set of variables can be derived, 454 such as acceleration and speed, which then feed the microscopic 455 emissions model. The emissions of each vehicle are then aggre- 456 gated to quantify the overall positive impact of the VTL system. 457 Fig. 4 illustrates this architecture and its main components. 458 The main component of the simulation-based evaluation of the 459 decarbonization impact of VTLs is the DIVERT microscopic 460 traffic simulator [37].

The implementation of physical TLs and VTLs in DIVERT is 462 relatively simple and leverages on the car-following equations 463 included in the IDM [39] that affect the acceleration and decel- 464 eration patterns of each vehicle. In terms of mobility simulation, 465 physical and virtual traffic signals are identically implemented 466 in DIVERT. For each lane of an approach to an intersection, 467 the traffic simulator creates a temporary dimensionless vehicle 468 on the stop line associated with the lane. This dimensionless 469 vehicle is created under a red light and disappears under a 470 green or yellow light. Variable aggressiveness parameters of 471 each driver result in different behaviors under yellow lights 472 as a function of the distance to the stop line. The intermittent 473 creation of this dimensionless vehicle is thus mandated by 474 the traffic signal control system or the VTL protocol (see 475 Fig. 4) and transparently affects the mobility of vehicles based 476 on the car-following model that governs the acceleration and 477 deceleration variables.

The differences in the two instances of DIVERT illustrated 479 in Fig. 4 refer to the geographic location of physical TLs and 480 VTLs and to the method used to derive the TL color shown to 481 each vehicle. With regard to the geographic location of physical 482 traffic signals, we have replicated the existing deployment in the 483 city of Porto, Portugal. The implemented physical-TL approach 484 is also a loyal representation of the existing scenario. In the 485 current version of DIVERT, the traffic signal control system 486 is very simple and uses fixed green splits for each of the 487 approaches of an intersection. Such simplistic functioning is, 488 however, a good approximation of the current functioning of 489 most of the deployed traffic signals. A recent study has reported 490 that 70%–90% of the deployed traffic signals work under fixed 491 parameterization of cycle duration and green splits [46].

The geographic location of VTL is not fixed and is de-493 termined by traffic conditions in confluent approaches of an 494 intersection. Depending on the traffic density, such virtual 495 traffic signals can be present at all intersections or can be 496 almost nonexistent. Instead of the data from fixed traffic 497

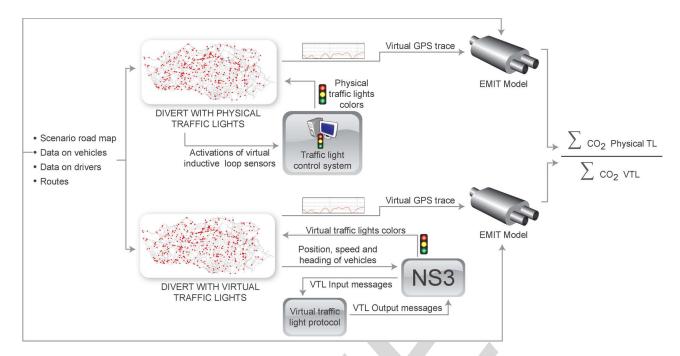


Fig. 4. Evaluation architecture. The main component is the DIVERT microscopic traffic simulator, whose two instances are used to produce virtual GPS traces. The scenario road map, data on vehicles and drivers, and the route of each vehicle are fed to an instance of DIVERT with physical traffic signals and to another instance where the VTL protocol is simulated. The simulation of the network layer of the VTL protocol is done by NS-3. The virtual GPS traces are then fed to two instances of the EMIT model to compute and compare the aggregated values of CO₂ emissions.

498 counters, the optimization of cycle duration and green splits of 499 a VTL resorts to more complete information, which includes 500 the position, speed, and heading of all vehicles that approach 501 the intersection. This information is provided by DIVERT, and 502 the simulation of its beaconing by each vehicle is done by NS-3. 503 Leader election and the virtual light messages that are broadcast 504 by the leader are also simulated in NS-3 and provide the traffic 505 signal colors that are shown to each vehicle in DIVERT.⁵

VI. RESULTS AND DISCUSSION

The results and analysis presented here are based on the system models given in Section IV and follow the methodology provided in Section V (see also the framework depicted in Fig. 4). The two strategies (physical TL and VTL) are compared with making use of the simulation platform in a large-scale scenario (see Section VI-A) and a number of performance metsircs (see Section VI-B). First, individual vehicle dynamics are studied (see Section VI-C). To determine the overall benefit that arises from the implementation of the VTL system, individual outputs are consolidated (see Section VI-D).

517 A. Simulation Scenario

To give scale to the analysis of the benefits of VTLs, we 519 evaluate the carbon emissions of vehicles in the road scenario 520 of an entire city. The city of Porto, Portugal, which is the 521 second largest in the country, spans an area of 41.3 km² and 522 has a road network that comprises 965 km. Due to a recent

⁵Videos that show the principle of operation of the VTL system are available at http://www.dcc.fc.up.pt/hc/vtl_porto.avi} and http://www.dcc.fc.up.pt/hc/vtl_complex.avi.

stereoscopic aerial survey over the city, where the location of all 523 moving vehicles was pinpointed and their traveling speeds were 524 derived, together with the inference of a 5-s route [40], realistic 525 traffic data are available for Porto. In the aerial survey, a total 526 of 10 566 vehicles were pinpointed. The observed distribution 527 of vehicles per road segment was used to (decrease) increase 528 density to (non)rush-hour values to evaluate the impact of VTL 529 under different conditions. In this paper, the following four 530 different densities of vehicles that move in the city of Porto are 531 then considered:

- 1) 24 veh/km² (low); 533 2) 120 veh/km² (medium-low); 534
- 3) 251 veh/km² (medium-high); 535
- 3) 251 veh/km² (medium-high); 53: 4) 333 veh/km² (high). 53:

With regard to the route of each vehicle, random origin/ 537 destination pairs that are based on the observed distribution 538 of vehicles from the aerial survey are generated. We evaluate 539 mobility propagation using wireless transmission ranges of 540 150–250 m, as defined in [40]. In this paper, stereoscopic aerial 541 photography was used to model urban mobility to compute consectivity and path availability. Communication is mostly direct 543 (one-hop communication) between the leader and vehicles in 544 the vicinity of an intersection.

The road network of the city of Porto has a total of 1991 546 intersections. Physical TLs control 328 of these 1991 intersec- 547 tions (16%). Fig. 5 depicts the road network, the location of 548 signalized intersections, and one example route followed by a 549 vehicle. Each scenario was evaluated for a period of 30 min. 550

⁶A zoomable webmap that shows the road network, the location of signalized intersections, and the position of vehicles from the aerial survey is available at http://drive-in.cmuportugal.org/porto/.

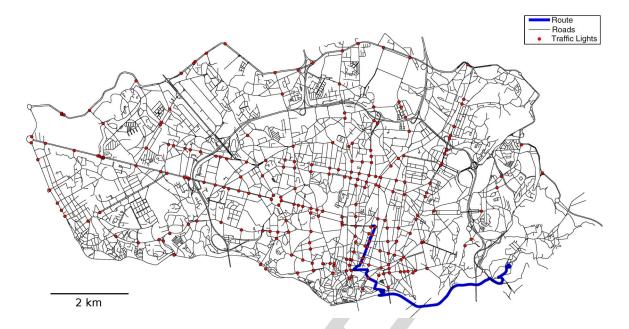


Fig. 5. Road network of the city of Porto comprises 965 km of extension. The red dots display the location of the 328 intersections that are managed by physical traffic signals. The blue line represents an example of a route traversed by a vehicle in this paper.

551 B. Performance Metrics

To demonstrate the benefits of the VTL system, a number of 553 variables need to be analyzed. In [6], the impact on the traffic 554 flow of VTL was evaluated for the same scenario of the city 555 of Porto. Results have shown an increase of up to 60% in flow 556 rate for high-density scenarios. Here, we want to analyze the 557 environmental impact of VTL, comparing the individual and 558 aggregated CO₂ emissions of vehicles that travel the exact same 559 number of kilometers on the exact same roads, with and without 560 the VTL system.

For this analysis, the definition of each variable, application 562 domain, whether for individual vehicle analysis or aggregated 563 investigations, and unit is given as follows:

- Instantaneous CO_2 emissions $E^i_{CO_2}$ (individual; in grams per second): Calculated for vehicle i using (2) after knowing the outcome of (1) with appropriate coefficients (see Table I);
- Route CO₂ emissions (individual; in grams): cumulative sum of an individual vehicle CO₂ emissions for its complete route;
- Average CO₂ emissions per vehicle (aggregated; in grams): defined as

$$\frac{\sum_{t=0}^{end} \sum_{i}^{n_{cars}} E_{\text{CO}_2}^{i}}{n_{cars}} \tag{5}$$

where t iterates over the seconds of the simulation, and i iterates over all the individual cars.

575 C. Individual Vehicle Results

Before analyzing the aggregated results of CO_2 emissions 577 for all vehicles in the city of Porto scenario, we analyze the 578 relevant variables for an individual vehicle, highlighting impor-579 tant differences between a route traversed with the VTL system and with the deployed physical-TL system. This preliminary 580 analysis using a single vehicle gives some intuition about the 581 understanding of the aggregated results.

582

The vehicle that was selected for the current analysis tra-583 versed the city following a route (see Fig. 5) that combines 584 a major arterial road and a dense urban area with permanent 585 intersection conflicts, which clearly have distinct characteristics 586 and can lead to different performance. In this paper, medium 587 traffic density is considered (190 vehicles/km²). Although this 588 overall density is the same with TL and VTL, the distribution of 589 vehicles can be very different as a result from the distinct traffic 590 control schemes.

Fig. 6 depicts the main variables (velocity, acceleration, 592 instantaneous CO₂ emissions, and route CO₂ emissions) for 593 TL (in blue continuous lines) and VTL (in red dashed lines). 594 The variables velocity and acceleration were obtained from 595 the virtual GPS logger. Observing these variables, it is evident 596 that, without the VTL system, the car remained stopped for 597 longer periods mainly due to the semaphored intersections and 598 increased congestion. This fact is particularly evident when the 599 vehicle enters the city center area, which contains a higher 600 density of physical traffic signals and of vehicles. The ubiquity 601 of the VTL solution also led to faster intersection conflict 602 resolution and contributed to congestion dissipation. Another 603 interesting fact is that, with the TL system, the car takes ap- 604 proximately more than 25% of the time to travel the same route 605 for this traffic density. Stated otherwise, the average velocity 606 of the vehicle with the VTL system is considerably increased 607 compared with the physical-TL system.

Observing the graphic of the instantaneous CO_2 emissions 609 depicted in Fig. 6, the correlation between this output and the 610 velocity/acceleration metrics is evident. Increasing accelera- 611 tion/velocity values leads to increasing instantaneous pollutant 612 emissions. On the other hand, a stopped vehicle has a constant 613 emission rate. The instantaneous emissions cannot directly be 614

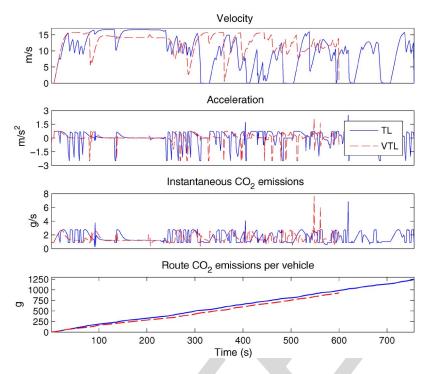


Fig. 6. Individual vehicle metrics comparison [velocity, acceleration, instantaneous CO_2 emissions, and cumulative CO_2 emissions for the traversed route (medium vehicle density)]. The same vehicle traverses the exact same route with and without the VTL system. Differences in all metrics are evident. In addition, note that this particular vehicle takes less than half the time to complete its route with the VTL system.

615 compared between physical TLs and VTLs, because they hap-616 pen at different locations of the route. The relevant comparison 617 is the cumulative route CO₂ emissions, which highlights the im-618 pact of the implementation of the VTL. Observing this metric, 619 it is clear that the overall number of stops during the route, as 620 illustrated by the vehicle's acceleration and deceleration levels, 621 has a significant impact on vehicle emission rates [47]. For the 622 example route, the cumulative fuel consumption is reduced by 623 approximately 25%, mainly due to the increased traffic flow 624 and consequent less transportation congestion, as well as the 625 ubiquity of the VTL solution, which can detect the existence or 626 not of intersection conflicts.

627 D. Aggregated Results

Apart from investigating the benefits for individual vehicles, 629 the performance of the VTL system was evaluated by consider-630 ing all the vehicular interactions that take place in the complete 631 transportation network. To perform this study, the individual 632 pollutant emissions values are aggregated for each simulation to 633 determine the metric average $\rm CO_2$ emissions per vehicle. This 634 metric is widely used to determine the environmental impact of 635 ITS. Furthermore, it is a referenced measure, which allows di-636 rection comparison of results in scenarios with different traffic 637 flows.

638 To make statistical inference, a number of observations of 639 the system (eight simulation runs for each traffic density) 640 were performed, followed by a statistical analysis to obtain 641 an estimate of the selected performance metric. The mean of 642 the metric $average\ CO_2\ emissions\ per\ vehicle$ is the estimated 643 parameter, and 95% confidence intervals for the estimator are 644 considered in this paper.

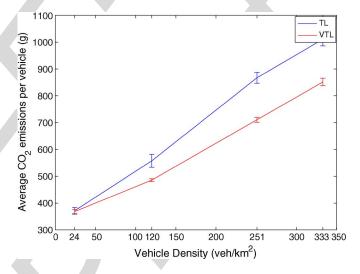


Fig. 7. Aggregated vehicle metric for TL and VTL comparison, given different vehicle densities. This graphic highlights the increased impact of VTL as traffic density becomes higher. Confidence intervals are depicted for each vehicle density and traffic control strategy (TL or VTL).

Fig. 7 represents the selected metric in the TL and VTL 645 scenarios and considers vehicles densities that range from low 646 density to high density. In both scenarios, with the increase 647 of the vehicle density, the average CO₂ emissions per vehicle 648 increase. As the density increases, intersection conflicts become 649 more frequent, which causes increased congestion. Increased 650 congestion leads to the stop-and-go phenomenon, which is 651 associated with constant accelerations and decelerations that 652 are one of the main causes of pollutant emissions. For all 653 vehicle densities, the average CO₂ emissions per vehicle are 654 lower for the VTL case.

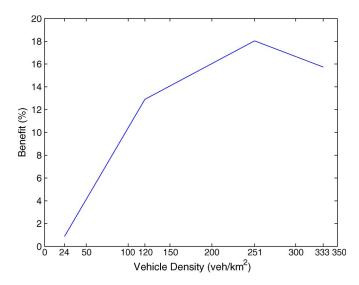


Fig. 8. Improvement in terms of CO₂ emissions due to the implementation of the VTL system in function of the vehicle density.

Fig. 8 depicts the percentage of improvement in terms of CO₂ 657 emissions as a function of the vehicle density. The implementa-658 tion of the VTL system is beneficial in terms of CO₂ emissions 659 for all the traffic densities that were studied. In addition, as the 660 car density increases, the mitigation in terms of CO₂ becomes 661 more evident. For the selected vehicle densities, the benefit 662 varies between 1% and 18%. Eventually, if we increase the 663 densities to higher values (which are unrealistic for the capacity 664 of the current traffic control system of the city), the benefit 665 would start declining, as the theoretical capacity of the road 666 network, independent of the intersection control scheme that is 667 used, starts to be reached.

Note that there is also a significant increase on the average 669 vehicle velocity between 26% (low density) and 41% (high 670 density). In urban scenarios, however, this case does not lead 671 to increased emissions, because the optimal cruising speed is 672 never reached. The mitigation of carbon emissions occurs due 673 to self-organized ubiquitous traffic control enabled by VTL. 674 At high vehicle densities, the absence of traffic signals at 675 intersections exacerbates the congestion problem, which leads 676 to increased emissions in the physical-TL case. The results 677 presented herein are in accordance with the results published 678 in [6], where the vehicle traffic flow was studied.

VII. CONCLUSION 679

The advent of wireless intervehicle communication, which 681 should be available in the near future, opens a variety of 682 opportunities to increase the safety and efficiency of road 683 utilization. With regard to efficiency, an important aspect of its 684 optimization will involve the mitigation of pollutants emission 685 to fight global warming and climate change. In this paper, 686 we have addressed the evaluation of the environmental impact 687 of a challenging application of intervehicle communication, 688 called VTL. Such a system will have to overcome complex 689 problems that are intrinsic to the critical control of the right of 690 way of vehicles in an intersection through a distributed system 691 based on wireless communications. In particular, VTLs face the hurdle of requiring 100% deployment in motorized vehicles to 692 work. However, this penetration problem is a common issue 693 for a variety of other V2V or V2I applications; safety-related 694 applications are a main example that requires high penetration 695 rates for effectiveness and are pushed forward for their evident 696 advantages. Reaching this level of deployment requires govern- 697 mental commitment to mandate the existing motorized vehi- 698 cles to install VTLs as an after-market equipment.⁷ To tackle 699 this issue, studies must be performed to investigate whether 700 changes can be made to the original VTL system to allow 701 lower penetration rates and the coexistence with the current TL 702

In addition to the significant improvements in traffic flow 704 that have been reported in the work of Ferreira et al. and 705 AQ2 to the increased safety of semaphore-controlled intersections, 706 where accidents can be reduced by more than 30%, this paper 707 has reported a reduction of 18% for the CO₂ emissions of a 708 realistic number of vehicles that travel in a large-scale urban 709 scenario when using the VTL system. Considering only the 710 CO₂ component of the annual circulation tax that is in place 711 in Germany, for example, 2€/g/km, would justify the cost of 712 an after-market VTL system for any car owner.

This paper has been performed for internal combustion-714 engine vehicles. As further work, we plan to investigate the 715 energy savings that arise from the implementations of ITS 716 measures for hybrid or electric vehicles, which currently have 717 limited autonomy. Furthermore, the simulator should be ex-718 tended to include alternative emissions-modeling approaches 719 and also modified to perform the calculation in real time of 720 the energy/fuel consumption rather than postprocessing the in- 721 formation. This approach would allow testing innovative algo-722 rithms where parameters are changed online, depending on the 723 current energy consumption or emissions. Another interesting 724 topic to study in more detail is pedestrian-vehicle interaction 725 and human-computer interaction.

The inclusion of urban traffic control (UTC) systems in 727 simulation can provide additional insights into the intersection 728 control problem. More specifically, the benefit of the VTL 729 approach compared to such centralized control systems will 730 be studied. In the city of Porto, such UTC systems have been 731 deployed to control part of the traffic signals. We are currently 732 integrating in our simulation platform a replication of the func- 733 tioning of this UTC based on simulated inputs that correspond 734 to activations of virtual inductive-loop sensors. This line of 735 research involves joint work with the company that develops 736 the UTC system to have a virtual replication of the system that 737 mimics the exact behavior of the traffic signals that are in place 738 in the city of Porto.

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⁷Electronic tolling systems and in-vehicle parking meters are examples of systems that have been the subject of legislation in some parts of the world.

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On the Impact of Virtual Traffic Lights on Carbon Emissions Mitigation

Michel Ferreira and Pedro M. d'Orey

Abstract—Considering that the transport sector is responsible 5 for an increasingly important share of current environmental 6 problems, we look at intelligent transportation systems (ITS) as 7 a feasible means of helping in solving this issue. In particular, we 8 evaluate the impact in terms of carbon dioxide (CO_2) emissions of 9 virtual traffic light (VTL), which is a recently proposed infrastructureless traffic control system solely based on vehicle-to-vehicle 11 (V2V) communication. Our evaluation uses a real-city scenario 12 in a complex simulation framework, involving microscopic traffic, 3 wireless communication, and emission models. Compared with an 14 approximation of the physical traffic light system deployed in the 15 city, our results show a significant reduction on CO_2 emissions 16 when using VTLs, reaching nearly 20% under high-density traffic.

17 Index Terms—Carbon dioxide (CO₂) emissions, fuel consump-18 tion, vehicular ad hoc networks (VANETs), virtual traffic lights 19 (VTLs).

20 I. Introduction

3

LOBAL warming and climate change have impacted the policy scene for the implementation of measures toward low-carbon resource-efficient economies. Decarbonization of the transport sector is particularly important, because in the European Union (EU), this sector accounts for around 25% of total carbon dioxide (CO₂) emissions, according to a study of the European Environment Agency (EEA) [1]. This same study also reports that car journeys comprised 72% of all passenger kilometers in EU-27 (excluding Cyprus and Malta) and have clearly represented the dominant mode of transport over the past few decades. One aggravating factor is the ever-increasing traffic levels that have neutralized the average emissions reduction per vehicle obtained due to the design of more efficient vehicles.

35 In parallel with the effort to develop more efficient and 36 environmentally friendly vehicles, the design of mechanisms 37 that improve the efficiency of road utilization, i.e., real-time 38 traffic information systems and collaborative routing systems

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[2], [3], highway platooning [4], or adaptive traffic signal con-39 trol [5], [6], can produce substantial additional benefits toward 40 the reduction of the carbon footprint of road transportation. 41 In the critical fraction of CO₂ g/km, we argue that more 42 emphasis should be put on the *mechanics* of how each kilometer 43 is traveled, including the route choice and the traffic control 44 signage that is in place. In-vehicle intelligent transportation 45 system (ITS) technologies will play a key role in this more 46 efficient utilization of the road as we witness the inclusion of 47 routing engines and traffic signs as in-vehicle systems.

Aside from improving safety and road network efficiency, we 49 argue that ITS measures lead to significant improvements in 50 terms of environmental impact. Thus, evaluating and quantify- 51 ing the impact of such technologies in terms of CO₂ emissions 52 is very important and can eventually lead to its integration 53 in the assignment of the emissions value of new cars, which 54 is relevantly reflected in the final price/yearly taxes and can 55 contribute to a more rapid dissemination of such technologies. 56

In this paper, we focus on the evaluation and quantification of 57 the impact in terms of CO₂ emissions of the recently proposed 58 concept of virtual traffic lights (VTLs) [6], where the traditional 59 road-based physical traffic signals are replaced by in-vehicle 60 representations, supported only by vehicle-to-vehicle (V2V) 61 communication. Our main goal is to provide evidence of the 62 significant reductions in average network emissions that can be 63 obtained through a novel ITS technology enabled by vehicular 64 ad hoc networks (VANETs). Furthermore, we aim at providing 65 a methodology and an associated simulation platform to study 66 the environmental impact of any ITS measure.

The remainder of this paper is organized as follows. In 68 the next section, we briefly present the relevant related work 69 in traffic signal control and the environmental impact of ITS 70 measures. Then, Section III introduces the VTL concept and the 71 system main characteristics. Section IV provides an overview 72 on the main system models, i.e., emissions and fuel consump- 73 tion (see Section IV-A) and the microscopic traffic model (see 74 Section IV-B). Section V presents a methodology for evaluating 75 carbon emissions and the impact that the VTL system has on 76 their mitigation. Section VI details the simulation scenario and 77 evaluation metrics and provides the main results (individual 78 and aggregated). Section VII closes this paper with the main 79 conclusions.

II. RELATED WORK

81

82

A. Traffic Signal Control

Traffic signal control has attracted much attention from 83 the research community over the past few decades. Classical 84

85 control concepts assume a cyclic operation of traffic signals, 86 where the flows of different directions are periodically served 87 [7]. The main control strategies can broadly be categorized into 88 static and adaptive control.

Static control strategies, also commonly called pretimed, run 90 precomputed and fixed signal plans. Signal plans are deter-91 mined by using historical data to infer the best parameters (e.g., 92 green split) for each intersection. However, pretimed control 93 cannot respond to real-time traffic variations and often results 94 in inefficient utilization of intersection capacity [8].

On the other hand, adaptive control strategies change signal plan in adaptation to the varying traffic conditions. Intelligent systems use basic sensors and optimization algorithms to insect crease traffic flow, whereas other systems use more complex perfect technology to gather more information for the traffic signal to make a decision [9]. Systems such as the Split Cycle Offset Optimization Technique (SCOOT) [10] or the Sydney Coordinated Adaptive Traffic System (SCATS) [11] make use of detectors placed in the vicinity of intersections to adapt to variations in the traffic demand.

The advent of VANETs made possible a variety of new 106 strategies that leverage on V2V and vehicle-to-infrastructure 107 (V2I) communications. Additional and more precise informa-108 tion (e.g., vehicle speed and position) on each vehicle can 109 be exchanged among vehicles or with a centralized control 110 unit. On the most simple setting, the traffic signal periodically 111 broadcasts its scheduling information over the wireless medium 112 to vehicles in its vicinity [12]. In [5], the authors describe 113 an adaptive traffic signal control system based on wireless 114 communication between vehicles and a controller node placed 115 at the intersection; control delay and queue length metrics 116 are exchanged using a VANET data-dissemination platform. 117 Cai et al. propose a dynamic programming algorithm, using 118 vehicle speed, position, and waiting time as state variables [13]. 119 In [14], a distributed multi-agent-based approach is adopted to 120 develop a traffic-responsive signal control system. The adaptive 121 behavior of this type of systems uses the information of vehicles 122 (e.g., speed and position) that can thus overcome the short-123 comings of traffic signal control systems based on detectors 124 placed at fixed locations. The stop-or-go message is conveyed 125 to drivers through the traditional road-based lamp.

126 B. Environmental Impact of ITS Measures

Past research work on ITS had improving safety and road 128 network efficiency as the main objectives. However, the evaluation of the environmental impact and the inclusion as a metric 130 in algorithms are currently aspects of major importance. For 131 instance, in [15], the environmental benefits of car sharing have 132 been studied in North America. The authors have concluded 133 that car sharing reduces the overall annual pollutant emissions 134 and the average distance traveled significantly declined, al-135 though more individuals have access to automobiles.

Bell qualitatively and quantitatively demonstrated in [16] how vehicle technologies and ITS have a role to play in reducing the impact of traffic on the environmental and health. The paper specifies a set of measures, i.e., traffic signal control, demand management, road pricing, speed limits, traffic calming,

and vehicle control systems, to achieve this goal. In [17] and 141 [18], the authors acknowledged the importance of the driver 142 behavior on fuel consumption and associated pollutants emis- 143 sions and proposed eco-driving schemes or incentive systems 144 that decreased fuel costs and pollutant emissions. Recently, in 145 [19], Tsugawa and Kato have presented and discussed various 146 ITS approaches for significant energy savings and emission 147 mitigation demonstrated by field or experimental data. The 148 authors have concluded that vehicular communications play an 149 essential role not only in safety but in energy savings as well 150 [19]. However, in these papers, the most recent work on traffic 151 signal control strategies, as well as the related environmental 152 performance, is not considered.

In [5], the authors describe an adaptive traffic signal control 154 system based on V2I communications. The authors demon-155 strated that their strategy based on the optimization of green 156 phases outperformed the classical method (pretimed); delay is 157 decreased by 28%, and pollutant emissions are decreased by up 158 to 8.9% (6.5% for CO₂ emissions). However, the strategy was 159 evaluated only to a single intersection.

Tielert *et al.* assessed in [12] the environmental gains of the 161 traffic-light-to-vehicle communication (TLVC) application. For 162 this specific application, key influencing factors on pollution 163 emissions are gear choice and the information distance to obtain 164 an efficient speed adaptation. Although single-vehicle analysis 165 presented large improvements in terms of pollutant emissions, 166 road-network-wide simulation yields a reduction in fuel con-167 sumption of only up to 8% [12]. Furthermore, to evaluate 168 the environmental impact of TLVC, modeling the communi-169 cation aspect as a fixed information distance was considered 170 sufficient [12].

In [20], Sommer *et al.* analyze the environmental impact of 172 the proposed dynamic rerouting algorithm and the traditional 173 metric *travel time*. The authors demonstrate that the optimiza- 174 tion of these metrics can be conflicting in some situations. If 175 only the travel time will be used in the optimization process of 176 ITS, emission metrics are often suboptimal [20].

III. VIRTUAL TRAFFIC LIGHT 178

The road network has become ubiquitous such that, in the 179 conterminous U.S., for example, we can get no farther from 180 a road than 35 km [21]. It is geometrically unavoidable that 181 these millions of kilometers of roads meet at some points, 182 forming road junctions of different topologies. Based on the 183 2009 Tiger/Line data [22], it was computed that the number of 184 intersections in the U.S. amounts to approximately 50 million 185 [6]. In terms of traffic flow, these junctions constitute critical 186 points, which are the subject of a vast amount of research to-187 ward its optimization. This optimization can either be based on 188 a topological/geometrical approach (through grade separation 189 or roundabout design, e.g., [23]) or on a signalization approach, 190 particularly through intelligent traffic signals (e.g., [24]).

In this paper, we focus on the role of VANETs for the 192 optimization of road intersections based on intelligent traffic 193 signals. One common aspect to all the strategies presented 194 in Section II-A is the utilization of fixed infrastructure to 195 support the operation of intelligent traffic control strategies. 196

Fig. 1. (a) Conflict-free intersection. Vehicle A uses periodic beaconing to advertise its position and heading as it approaches the intersection. No conflicts are detected, and it is not necessary to create a VTL. (b) Periodic beaconing of concurrent vehicles results in the detection of a crossing conflict and in the need to create a VTL. One of the conflicting vehicles is elected as the intersection leader and will create and control the VTL. This leader stops at the intersection and replaces a road-based traffic signal in a temporary control of the intersection. (c) Leader is stopped at the intersection and optimizes the functioning of the VTL based on the number of vehicles in each approach and periodically broadcasts VTL messages with the color of each approach/lane. (d) When the cycle ends and the green light is assigned to the leader approach/lane, the current leader selects a new leader from the vehicles stopped under red lights. This new leader continues the cycle. If there are no stopped vehicles under red lights, then the VTL ceases to exist.

197 Furthermore, many of these strategies assume the existence of 198 a centralized control unit¹ and cyclic operation. In this paper, 199 we propose a new paradigm, called VTL, in which control 200 is decentralized and self organized, operation is acyclic, and 201 traffic signal information is individually and directly presented 202 in each vehicle. In our system, vehicles behave as sensors in the 203 road transportation network.

In [6], we presented the VTL concept, advocating for a paradigm shift from traffic signals as road-based infrastructures to traffic signals as in-vehicle virtual signs supported only by 207 V2V communication. The implementation of the VTL system 208 results in improved traffic flow due to the optimized management of individual intersections, which is enabled not only by 210 the neighborhood awareness of VANET protocols but due to 211 the scalability of the solution as well, which renders signalized 212 control of intersections truly ubiquitous. This ubiquity allows us 213 to maximize the throughput of the complete road network rather 214 than the reduced number of road junctions that are currently 215 managed by physical traffic signals.

The principle of operation of VTLs is relatively simple and 217 is illustrated in Fig. 1. Each vehicle has a dedicated application 218 unit (AU), which maintains an internal database with informa-

tion about intersections where a VTL can be created. When 219 approaching such intersections, the AU checks whether there 220 is a VTL running that must be obeyed or a VTL needs to 221 be created as a result of perceiving crossing conflicts between 222 approaching vehicles [see Fig. 1(a) and (b)].

3

Beaconing and location tables, which are features of VANET 224 geographical routing protocols (for example, see [25]), are used 225 to determine whether a VTL needs to be created. Each node 226 maintains a location table that contains information about every 227 node in its vicinity, which is constantly updated through the 228 reception of new beacons. The periodicity of these beacons can 229 be increased as vehicles approach an intersection.

If a VTL needs to be created, then all vehicles that approach 231 the intersection must agree on the election of one of the vehicles 232 as the leader, which will be responsible for creating the VTL 233 and broadcasting the traffic signal messages [see Fig. 1(c)]. 234 This vehicle works as a temporary virtual infrastructure for 235 the intersection and takes the responsibility of controlling the 236 VTL. Once this leader has been elected, a VTL cycle for 237 the intersection control is initiated with a red light for the 238 leader approach/lane. This condition ensures that the leader 239 will remain in the intersection for the duration of a complete 240 cycle. Based on the number of vehicles in each approach, the 241 leader can set the traffic signal parameters, such as the phase 242 layout and the green splits assigned to each approach, in an 243 optimized manner. During the existence of a VTL leader, the 244 other vehicles act as passive nodes in the protocol, listening 245 to traffic signal messages and presenting these messages to the 246 driver through the in-vehicle displays.

During a complete VTL cycle, the leader commutes the 248 traffic light (TL) phase among the conflicting approaches/lanes. 249 When the green light is in the leader's lane, the control of the 250 VTL system must be handed over to a new leader in a different 251 approach/lane. If there are vehicles that stopped under the red 252 light at the intersection, the current leader selects one of these 253 vehicles to become the new leader, which will maintain the 254 intersection control in a consistent sequence [see Fig. 1(d)]. If 255 there are no stopped vehicles under the red light, then a new 256 leader will be elected through the previously explained process 257 whenever necessary. Fig. 2 depicts the principle of the operation 258 of the VTL system in terms of stages.

In a primal paper, where the VTL concept was presented, the 260 dynamic performance of the system has also been studied. The 261 selected mobility metric was the average increase in flow rate 262 of the VTL protocol versus the real physical traffic signals as a 263 function of vehicle density. Large-scale simulations that emu- 264 late a real dense urban scenario provided compelling evidence 265 on the viability and significant benefits of the proposed scheme 266 in terms of the mobility metric (up to 60% increase at high 267 densities). This new self-organizing traffic paradigm thus holds 268 the potential for revolutionizing traffic control, particularly in 269 urban areas [6].

The interaction between vehicles and pedestrians also needs 271 to be considered. The most direct and traditional approach that 272 could be foreseen is the communication of the traffic signal 273 information to pedestrians through smart phones or simple road 274 infrastructure. The virtual indication of traffic signals by the 275 leading vehicle is also proposed.

¹A decentralized signal control strategy has recently been proposed by Laemmer and Helbing in [7].

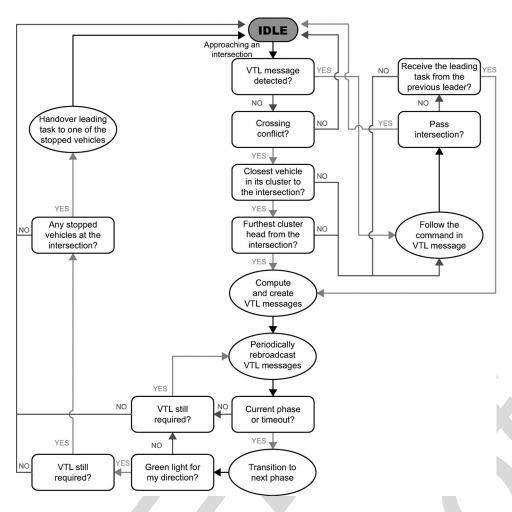


Fig. 2. VTL phase diagram.

An immediate effect of the shift of paradigm of traffic signals 278 as road-based infrastructures to in-vehicle virtual signs is the 279 elimination of physical traffic signals from roads. According to 280 [26], in 1998, 3.25 million traffic lamps were approximately 281 permanently lighted in the road infrastructure of the U.S. based 282 on an estimated number of 260 000 signalized road junctions. 283 We estimate that the electric power consumption of TLs in 284 the U.S. can total an amount around 2 million MW · h/year, 285 which is equivalent to 1.2 million metric tons of CO₂ per 286 year according to [27]. Although this value is significant, it 287 is almost irrelevant when considering the total emissions of 288 personal vehicles in the U.S., which emit around 300 million 289 metric tons of CO₂ per year [28]. VTLs, on the other hand, 290 enable the universal deployment of semaphore-based control on 291 the intersections of the road network, with virtually no impact 292 on energy consumption or carbon emissions.²

IV. SYSTEM MODELS

294 A. Emissions and Fuel Consumption Model

293

Emission models can broadly be categorized into macro-296 scopic, mesoscopic, and microscopic models. Macroscopic models estimate pollutant emissions and fuel consumption 297 mainly based on the average travel speed of the traffic flow. 298 These macroscopic models entail enormous simplifications 299 on the accuracy of physical processes involved in pollutant 300 emissions [29], which leads to reduced accuracy in calcula- 301 tions. Moreover, these models cannot capture individual speed 302 fluctuations and cannot take into account individual operation 303 conditions, which are of crucial interest when analyzing a pro- 304 posal that considers network and vehicle dynamics. Mesoscopic 305 models (e.g., [30]) use more disaggregate trip variables, such 306 as the average speed, the number of stops, and stopped delay, 307 to estimate a vehicle's emission rates on a link-by-link basis. 308 Some regression models that were developed were found to 309 predict fuel consumption and emission rates of hydrocarbons 310 (HC), carbon monoxide (CO), and NO_x to within 88%–90% of 311 instantaneous microscopic emission estimates [31].

Microscopic emission models overcome some of the limita- 313 tions of large-scale macroscopic models mainly by considering 314 individual vehicles dynamics and their interactions. Emissions 315 and fuel consumption are estimated based on instantaneous 316 individual vehicle variables that can frequently be obtained 317 (e.g., second by second) from a microscopic traffic simulator or 318 another alternative source [e.g., the Global Positioning System 319 (GPS) data logger]. Commonly, these parameters are divided 320 into the following two categories: 1) vehicle parameters and 321

²The low-power consumption of in-vehicle semaphores and associated V2V communications could resort to energy recovery mechanisms from the kinetic energy of vehicles to power the electrical systems.

322 2) traffic/road parameters. Vehicle parameters include, among 323 others, vehicle mass, fuel type, engine displacement, and ve-324 hicle class. On the other hand, network parameters (traffic and 325 road conditions) account for instantaneous vehicle kinematics 326 (e.g., speed or acceleration), aggregated variables (e.g., the 327 time spent in the acceleration mode), or road characteristics 328 (e.g., road grade). Because microscopic emission and fuel 329 consumption models have higher temporal precision and better 330 capture the effects of vehicle dynamics/interactions, they are 331 better suited to evaluate the environmental gains derived from 332 an ITS measure, such as the VTL system.

333 Several microscopic models have been proposed by the 334 scientific community. These models can be classified into 335 emission maps (speed/acceleration lookup tables), purely sta-336 tistical models, and load-based models [32]. Major contribu-337 tions in this field were given by Akcelik *et al.* [33], Barth 338 *et al.* [34] with the comprehensive modal emission model 339 (CMEM), Ahn *et al.* [35], and Cappiello *et al.* [32] with 340 the emissions from traffic (EMIT) model. The latter model 341 has been selected due to computational performance and ac-342 curacy reasons. EMIT is a simple dynamic emission model 343 that was derived from statistical and load-based emission 344 models.

This model first estimates the instantaneous tractive power 346 (P_{tr}) using (1), which has the vehicle velocity v (in meters per 347 second) and acceleration a (in square meters per second) as the 348 main parameters

$$P_{tr} = A \cdot v + B \cdot v^2 + C \cdot v^3 + M \cdot a \cdot v + M \cdot g \cdot \sin \vartheta \cdot v \tag{1}$$

349 where the variables are defined as follows:

- 350 A rolling resistance (in kilowatts per meter per second);
- 351 B speed correction (in kilowatts per square meter per second);
- C air drag resistance (in kilowatts per cubic meter per second);
- M vehicle mass (in kilograms);
- gravitational constant (in square meters per second);
- 357 ϑ road grade (in degrees).

358 Depending on the value of P_{tr} , the fuel rate (FR) can be 359 expressed as

$$FR = \begin{cases} \alpha_i + \beta_i v + \gamma_i v^2 + \delta_i v^3 + \zeta_i a v, & \text{if } P_{tr} > 0 \\ \alpha_i', & \text{if } P_{tr} = 0 \end{cases}$$
 (2)

360 where α_i , β_i , γ_i , δ_i , and ζ_i are constants that are associated 361 with individual vehicles that were obtained using ordinary least 362 square linear regressions.

363 The EMIT model allows us to simultaneously calculate 364 several pollutant emissions subproducts, i.e., CO, CO₂, HC, 365 and nitrogen oxide (NO). This calculation is divided into the 366 following two main phases: 1) engine-out (EO) and 2) tailpipe 367 (TP). The following formula for calculating EO pollutant emis-368 sions has the same structure as (2) due to the linear relationship 369 with FR:

$$EO_i = \alpha + \mu \cdot FR \tag{3}$$

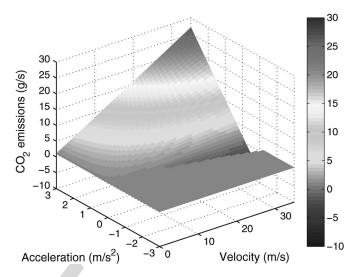


Fig. 3. CO_2 emissions surface as a function of the vehicle acceleration and velocity; increasing values for this metric lead to increased CO_2 emissions. The EMIT model can produce negative values.

 CO_2 is the main by-product of the combustion of fossil 370 fuels, ³ and consequently, it is proportional to the FR. The 371 values of CO_2 EO emissions are directly estimated from fuel 372 consumption estimates. Due to this linear relationship, the 373 terms FR or CO_2 can interchangeably be used when analyzing 374 the environmental impact of an ITS technology. Fig. 3 depicts 375 the CO_2 emissions surface for a given input parameter set 376 (acceleration and velocity) with a resolution of 0.1 (m/s² and 377 m/s, respectively). Note that negative values for CO_2 can be 378 obtained with the EMIT model. This problem was addressed 379 by considering a minimum value for the emissions that is equal 380 to the constant α_i' when P_{tract} is equal to zero.

After estimating the EO emission for the subproducts of 382 combustion, the EMIT model calculates the TP emission rates. 383 This emission rate is a fraction of the EO emission rate that 384 leaves the catalytic converter, i.e.,

$$TP_i = EO_i \cdot CPF_i \tag{4}$$

where CPF_i denotes the catalyst pass fraction for species i.

The calibration of the parameters of (1) and (2) resorted to 387 light-duty vehicle data that were gathered for CMEM. Because 388 our goal is to evaluate the relative benefit of the VTL technol- 389 ogy, we consider the same coefficients for all vehicles that are 390 used in this paper. These parameters are shown in Table I and 391 are based on the values available from the EMIT model [32] 392 (vehicle category 9).⁴

B. Microscopic Traffic Model

To test the feasibility and performance of VANET-based 395 applications, a simulation platform is required to simulate ve- 396 hicular ad hoc environments. The simulation-based evaluation 397

 $^{^3}$ Although, in this paper, we mainly assess CO_2 emissions, which is the main by-product of fossil fuels combustion, other subproducts could be evaluated due to their relative importance, particularly at intersections due to the stop-and-go phenomenon.

⁴The definition of each vehicle/technology category of the modal emissions model can be found in [36]. Vehicle category 9 represents a normal emitting car (Tier 1 emission standard), with accumulated mileage greater than 50 000 miles and high power/weight ratio.

Factor	Value	Unit
A	0.1326	kW/m/s
В	2.7384e-3	$kW/(m/s)^2$
C	1.0843e-3	$kW/(m/s)^3$
M	1.3250e-3	kg
g	9.81	m/s^2
θ	0	degrees
α_i	1.1	g/s
β_i	0.0134	g/m
γ_i	-	$g \ s^2/m^2$
δ_i	1.98e-6	$g s^2/m^3$
Ci	0.2410	$q s^2/m^2$

TABLE I EMIT MODEL PARAMETERS

398 of VANET protocols requires a network simulator (NS-3) and 399 a road traffic microscopic simulator [Development of Interve-400 hicular Reliable Telematics (DIVERT)]. DIVERT [37], [38] is 401 a sophisticated microscopic simulator based on the intelligent 402 driver model (IDM) [39] with a validated mobility model 403 [40]. The lane-changing model is based on the MOBIL model 404 proposed by Treiber et al. in [41]. The mobility patterns are 405 individually influenced by random initialization, within typical 406 values, of attributes such as acceleration, braking, aggressive-407 ness, and risk tolerance [37]. The current mobility model does 408 not account for vehicle speed adaptation based on the received 409 information (e.g., driver reaction to traffic signal controller 410 timing information) as done in [42]. The implementation in-411 cludes all the common features of a road transportation network 412 (e.g., traffic signals). It allows the simulation of thousands 413 of vehicles with a high degree of realism [38] and with a 414 wide range of configurations (e.g., aggressiveness). NS-3 is a 415 discrete-event network simulator for Internet systems, primar-416 ily targeting research and educational use [43]. Several radio 417 access technologies (e.g., IEEE 802.11p), as well as multiple 418 interfaces/channels features and various protocol modules, can 419 be used. In [44], the authors conclude that NS-3 delivers the 420 best overall performance.

The need for a bidirectional coupling of these two com-422 ponents (network and traffic) is fundamental and has been 423 discussed in [45]. Clearly, the mobility of vehicles affects the 424 network connectivity and behavior. Conversely, the results of 425 the network simulation component can also affect the mobility 426 of vehicles. In the case of VTL, this bidirectional coupling is 427 particularly microscopic in both directions. Detailed mobility 428 information (e.g., the position, speed, and heading of each 429 vehicle) needs to be fed to NS-3, which has been coupled 430 with DIVERT [38] to simulate the beaconing, leader election, 431 and virtual light messages in the context of the VTL protocol. 432 Traffic signal information, which results from the exchange of 433 messages of the VTL protocol emulated by NS-3, is provided to 434 the DIVERT simulator; the individual mobility of each vehicle 435 is affected by the regulatory messages conveyed by the VTL 436 (e.g., stop at a given intersection).

V. METHODOLOGY

Our goal is to quantify the impact of the VTL technology 439 on CO_2 emissions mitigation. We should thus analyze CO_2

437

emissions of vehicles that interact with physical traffic signals 440 and compare the results with the CO₂ emissions of vehicles 441 that use VTLs. To isolate the benefit of the VTL approach, 442 each of the alternatives for intersection control should have 443 identical settings in all possible *static* variables, including the 444 characteristics of vehicles and drivers, the road scenario, and 445 the routes. The differences in the two analyses result from a 446 different mobility behavior of each vehicle that is affected by 447 *dynamic* aspects, which correspond to different traffic condi- 448 tions due to alternative schemes of intersection control.

To perform these two analyses, the aforementioned EMIT 450 emissions model was integrated into a microscopic traffic sim- 451 ulator. The microscopic traffic simulator outputs the mobility 452 behavior of each vehicle in the form of a virtual GPS trace. 453 By processing this GPS trace, a set of variables can be derived, 454 such as acceleration and speed, which then feed the microscopic 455 emissions model. The emissions of each vehicle are then aggre- 456 gated to quantify the overall positive impact of the VTL system. 457 Fig. 4 illustrates this architecture and its main components. 458 The main component of the simulation-based evaluation of the 459 decarbonization impact of VTLs is the DIVERT microscopic 460 traffic simulator [37].

The implementation of physical TLs and VTLs in DIVERT is 462 relatively simple and leverages on the car-following equations 463 included in the IDM [39] that affect the acceleration and decel- 464 eration patterns of each vehicle. In terms of mobility simulation, 465 physical and virtual traffic signals are identically implemented 466 in DIVERT. For each lane of an approach to an intersection, 467 the traffic simulator creates a temporary dimensionless vehicle 468 on the stop line associated with the lane. This dimensionless 469 vehicle is created under a red light and disappears under a 470 green or yellow light. Variable aggressiveness parameters of 471 each driver result in different behaviors under yellow lights 472 as a function of the distance to the stop line. The intermittent 473 creation of this dimensionless vehicle is thus mandated by 474 the traffic signal control system or the VTL protocol (see 475 Fig. 4) and transparently affects the mobility of vehicles based 476 on the car-following model that governs the acceleration and 477 deceleration variables.

The differences in the two instances of DIVERT illustrated 479 in Fig. 4 refer to the geographic location of physical TLs and 480 VTLs and to the method used to derive the TL color shown to 481 each vehicle. With regard to the geographic location of physical 482 traffic signals, we have replicated the existing deployment in the 483 city of Porto, Portugal. The implemented physical-TL approach 484 is also a loyal representation of the existing scenario. In the 485 current version of DIVERT, the traffic signal control system 486 is very simple and uses fixed green splits for each of the 487 approaches of an intersection. Such simplistic functioning is, 488 however, a good approximation of the current functioning of 489 most of the deployed traffic signals. A recent study has reported 490 that 70%–90% of the deployed traffic signals work under fixed 491 parameterization of cycle duration and green splits [46].

The geographic location of VTL is not fixed and is de-493 termined by traffic conditions in confluent approaches of an 494 intersection. Depending on the traffic density, such virtual 495 traffic signals can be present at all intersections or can be 496 almost nonexistent. Instead of the data from fixed traffic 497

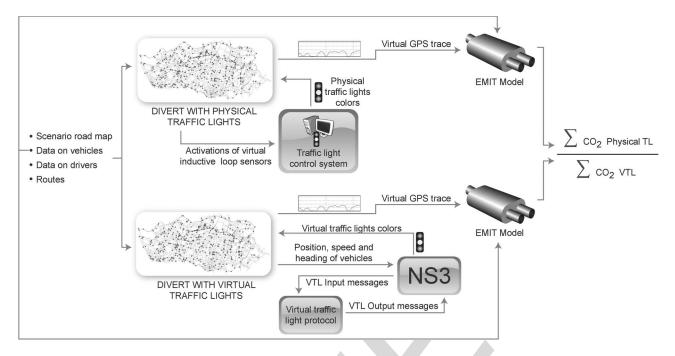


Fig. 4. Evaluation architecture. The main component is the DIVERT microscopic traffic simulator, whose two instances are used to produce virtual GPS traces. The scenario road map, data on vehicles and drivers, and the route of each vehicle are fed to an instance of DIVERT with physical traffic signals and to another instance where the VTL protocol is simulated. The simulation of the network layer of the VTL protocol is done by NS-3. The virtual GPS traces are then fed to two instances of the EMIT model to compute and compare the aggregated values of CO2 emissions.

498 counters, the optimization of cycle duration and green splits of 499 a VTL resorts to more complete information, which includes 500 the position, speed, and heading of all vehicles that approach 501 the intersection. This information is provided by DIVERT, and 502 the simulation of its beaconing by each vehicle is done by NS-3. 503 Leader election and the virtual light messages that are broadcast 504 by the leader are also simulated in NS-3 and provide the traffic 505 signal colors that are shown to each vehicle in DIVERT.⁵

VI. RESULTS AND DISCUSSION 506

The results and analysis presented here are based on the 508 system models given in Section IV and follow the methodology 509 provided in Section V (see also the framework depicted in 510 Fig. 4). The two strategies (physical TL and VTL) are compared 511 with making use of the simulation platform in a large-scale 512 scenario (see Section VI-A) and a number of performance met-513 rics (see Section VI-B). First, individual vehicle dynamics are 514 studied (see Section VI-C). To determine the overall benefit that 515 arises from the implementation of the VTL system, individual 516 outputs are consolidated (see Section VI-D).

517 A. Simulation Scenario

To give scale to the analysis of the benefits of VTLs, we 519 evaluate the carbon emissions of vehicles in the road scenario 520 of an entire city. The city of Porto, Portugal, which is the 521 second largest in the country, spans an area of 41.3 km² and

522 has a road network that comprises 965 km. Due to a recent

stereoscopic aerial survey over the city, where the location of all 523 moving vehicles was pinpointed and their traveling speeds were 524 derived, together with the inference of a 5-s route [40], realistic 525 traffic data are available for Porto. In the aerial survey, a total 526 of 10566 vehicles were pinpointed. The observed distribution 527 of vehicles per road segment was used to (decrease) increase 528 density to (non)rush-hour values to evaluate the impact of VTL 529 under different conditions. In this paper, the following four 530 different densities of vehicles that move in the city of Porto are 531 then considered:

- 1) 24 veh/km² (low); 533 2) 120 veh/km² (medium-low); 534
- 3) 251 veh/km² (medium-high); 535
- 4) 333 veh/km² (high).

With regard to the route of each vehicle, random origin/ 537 destination pairs that are based on the observed distribution 538 of vehicles from the aerial survey are generated. We evaluate 539 mobility propagation using wireless transmission ranges of 540 150–250 m, as defined in [40]. In this paper, stereoscopic aerial 541 photography was used to model urban mobility to compute con- 542 nectivity and path availability. Communication is mostly direct 543 (one-hop communication) between the leader and vehicles in 544 the vicinity of an intersection.

The road network of the city of Porto has a total of 1991 546 intersections. Physical TLs control 328 of these 1991 intersec- 547 tions (16%). Fig. 5 depicts the road network, the location of 548 signalized intersections, and one example route followed by a 549 vehicle. Each scenario was evaluated for a period of 30 min. 550

⁵Videos that show the principle of operation of the VTL system are available at http://www.dcc.fc.up.pt/hc/vtl_porto.avi} and http://www.dcc.fc.up.pt/ hc/vtl_complex.avi.

⁶A zoomable webmap that shows the road network, the location of signalized intersections, and the position of vehicles from the aerial survey is available at http://drive-in.cmuportugal.org/porto/.

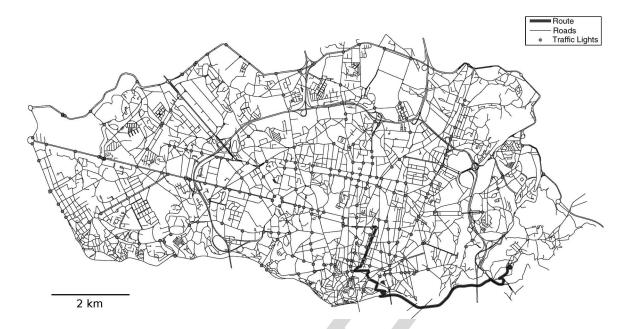


Fig. 5. Road network of the city of Porto comprises 965 km of extension. The red dots display the location of the 328 intersections that are managed by physical traffic signals. The blue line represents an example of a route traversed by a vehicle in this paper.

551 B. Performance Metrics

To demonstrate the benefits of the VTL system, a number of 553 variables need to be analyzed. In [6], the impact on the traffic 554 flow of VTL was evaluated for the same scenario of the city 555 of Porto. Results have shown an increase of up to 60% in flow 556 rate for high-density scenarios. Here, we want to analyze the 557 environmental impact of VTL, comparing the individual and 558 aggregated CO₂ emissions of vehicles that travel the exact same 559 number of kilometers on the exact same roads, with and without 560 the VTL system.

For this analysis, the definition of each variable, application 562 domain, whether for individual vehicle analysis or aggregated 563 investigations, and unit is given as follows:

- Instantaneous CO_2 emissions $E^i_{CO_2}$ (individual; in grams per second): Calculated for vehicle i using (2) after knowing the outcome of (1) with appropriate coefficients (see Table I);
- Route CO₂ emissions (individual; in grams): cumulative sum of an individual vehicle CO₂ emissions for its complete route:
- Average CO₂ emissions per vehicle (aggregated; in grams): defined as

$$\frac{\sum_{t=0}^{end} \sum_{i}^{n_{cars}} E_{\text{CO}_2}^{i}}{n_{cars}} \tag{5}$$

where t iterates over the seconds of the simulation, and i iterates over all the individual cars.

575 C. Individual Vehicle Results

Before analyzing the aggregated results of CO_2 emissions 577 for all vehicles in the city of Porto scenario, we analyze the 578 relevant variables for an individual vehicle, highlighting impor-579 tant differences between a route traversed with the VTL system

and with the deployed physical-TL system. This preliminary 580 analysis using a single vehicle gives some intuition about the 581 understanding of the aggregated results.

582

The vehicle that was selected for the current analysis tra- 583 versed the city following a route (see Fig. 5) that combines 584 a major arterial road and a dense urban area with permanent 585 intersection conflicts, which clearly have distinct characteristics 586 and can lead to different performance. In this paper, medium 587 traffic density is considered (190 vehicles/km²). Although this 588 overall density is the same with TL and VTL, the distribution of 589 vehicles can be very different as a result from the distinct traffic 590 control schemes.

Fig. 6 depicts the main variables (velocity, acceleration, 592 instantaneous CO₂ emissions, and route CO₂ emissions) for 593 TL (in blue continuous lines) and VTL (in red dashed lines). 594 The variables velocity and acceleration were obtained from 595 the virtual GPS logger. Observing these variables, it is evident 596 that, without the VTL system, the car remained stopped for 597 longer periods mainly due to the semaphored intersections and 598 increased congestion. This fact is particularly evident when the 599 vehicle enters the city center area, which contains a higher 600 density of physical traffic signals and of vehicles. The ubiquity 601 of the VTL solution also led to faster intersection conflict 602 resolution and contributed to congestion dissipation. Another 603 interesting fact is that, with the TL system, the car takes ap- 604 proximately more than 25% of the time to travel the same route 605 for this traffic density. Stated otherwise, the average velocity 606 of the vehicle with the VTL system is considerably increased 607 compared with the physical-TL system.

Observing the graphic of the instantaneous CO_2 emissions 609 depicted in Fig. 6, the correlation between this output and the 610 velocity/acceleration metrics is evident. Increasing accelera- 611 tion/velocity values leads to increasing instantaneous pollutant 612 emissions. On the other hand, a stopped vehicle has a constant 613 emission rate. The instantaneous emissions cannot directly be 614

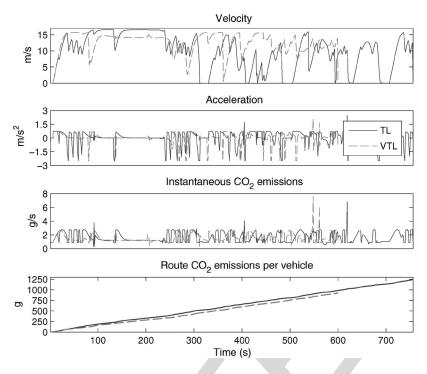


Fig. 6. Individual vehicle metrics comparison [velocity, acceleration, instantaneous CO_2 emissions, and cumulative CO_2 emissions for the traversed route (medium vehicle density)]. The same vehicle traverses the exact same route with and without the VTL system. Differences in all metrics are evident. In addition, note that this particular vehicle takes less than half the time to complete its route with the VTL system.

615 compared between physical TLs and VTLs, because they hap-616 pen at different locations of the route. The relevant comparison 617 is the cumulative route CO₂ emissions, which highlights the im-618 pact of the implementation of the VTL. Observing this metric, 619 it is clear that the overall number of stops during the route, as 620 illustrated by the vehicle's acceleration and deceleration levels, 621 has a significant impact on vehicle emission rates [47]. For the 622 example route, the cumulative fuel consumption is reduced by 623 approximately 25%, mainly due to the increased traffic flow 624 and consequent less transportation congestion, as well as the 625 ubiquity of the VTL solution, which can detect the existence or 626 not of intersection conflicts.

627 D. Aggregated Results

Apart from investigating the benefits for individual vehicles, 629 the performance of the VTL system was evaluated by consider-630 ing all the vehicular interactions that take place in the complete 631 transportation network. To perform this study, the individual 632 pollutant emissions values are aggregated for each simulation to 633 determine the metric average $\rm CO_2$ emissions per vehicle. This 634 metric is widely used to determine the environmental impact of 635 ITS. Furthermore, it is a referenced measure, which allows di-636 rection comparison of results in scenarios with different traffic 637 flows.

638 To make statistical inference, a number of observations of 639 the system (eight simulation runs for each traffic density) 640 were performed, followed by a statistical analysis to obtain 641 an estimate of the selected performance metric. The mean of 642 the metric $average\ CO_2\ emissions\ per\ vehicle$ is the estimated 643 parameter, and 95% confidence intervals for the estimator are 644 considered in this paper.

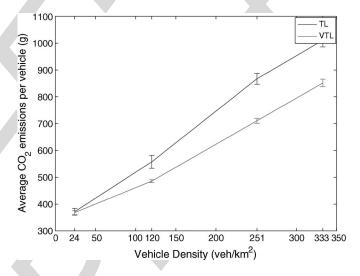


Fig. 7. Aggregated vehicle metric for TL and VTL comparison, given different vehicle densities. This graphic highlights the increased impact of VTL as traffic density becomes higher. Confidence intervals are depicted for each vehicle density and traffic control strategy (TL or VTL).

Fig. 7 represents the selected metric in the TL and VTL 645 scenarios and considers vehicles densities that range from low 646 density to high density. In both scenarios, with the increase 647 of the vehicle density, the average CO₂ emissions per vehicle 648 increase. As the density increases, intersection conflicts become 649 more frequent, which causes increased congestion. Increased 650 congestion leads to the stop-and-go phenomenon, which is 651 associated with constant accelerations and decelerations that 652 are one of the main causes of pollutant emissions. For all 653 vehicle densities, the average CO₂ emissions per vehicle are 654 lower for the VTL case.

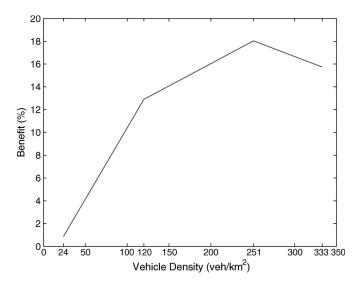


Fig. 8. Improvement in terms of CO₂ emissions due to the implementation of the VTL system in function of the vehicle density.

Fig. 8 depicts the percentage of improvement in terms of CO₂ 657 emissions as a function of the vehicle density. The implementa-658 tion of the VTL system is beneficial in terms of CO₂ emissions 659 for all the traffic densities that were studied. In addition, as the 660 car density increases, the mitigation in terms of CO₂ becomes 661 more evident. For the selected vehicle densities, the benefit 662 varies between 1% and 18%. Eventually, if we increase the 663 densities to higher values (which are unrealistic for the capacity 664 of the current traffic control system of the city), the benefit 665 would start declining, as the theoretical capacity of the road 666 network, independent of the intersection control scheme that is 667 used, starts to be reached.

Note that there is also a significant increase on the average 669 vehicle velocity between 26% (low density) and 41% (high 670 density). In urban scenarios, however, this case does not lead 671 to increased emissions, because the optimal cruising speed is 672 never reached. The mitigation of carbon emissions occurs due 673 to self-organized ubiquitous traffic control enabled by VTL. 674 At high vehicle densities, the absence of traffic signals at 675 intersections exacerbates the congestion problem, which leads 676 to increased emissions in the physical-TL case. The results 677 presented herein are in accordance with the results published 678 in [6], where the vehicle traffic flow was studied.

VII. CONCLUSION 679

The advent of wireless intervehicle communication, which 681 should be available in the near future, opens a variety of 682 opportunities to increase the safety and efficiency of road 683 utilization. With regard to efficiency, an important aspect of its 684 optimization will involve the mitigation of pollutants emission 685 to fight global warming and climate change. In this paper, 686 we have addressed the evaluation of the environmental impact 687 of a challenging application of intervehicle communication, 688 called VTL. Such a system will have to overcome complex 689 problems that are intrinsic to the critical control of the right of 690 way of vehicles in an intersection through a distributed system 691 based on wireless communications. In particular, VTLs face the hurdle of requiring 100% deployment in motorized vehicles to 692 work. However, this penetration problem is a common issue 693 for a variety of other V2V or V2I applications; safety-related 694 applications are a main example that requires high penetration 695 rates for effectiveness and are pushed forward for their evident 696 advantages. Reaching this level of deployment requires govern- 697 mental commitment to mandate the existing motorized vehi- 698 cles to install VTLs as an after-market equipment.⁷ To tackle 699 this issue, studies must be performed to investigate whether 700 changes can be made to the original VTL system to allow 701 lower penetration rates and the coexistence with the current TL 702

In addition to the significant improvements in traffic flow 704 that have been reported in the work of Ferreira et al. and 705 AQ2 to the increased safety of semaphore-controlled intersections, 706 where accidents can be reduced by more than 30%, this paper 707 has reported a reduction of 18% for the CO₂ emissions of a 708 realistic number of vehicles that travel in a large-scale urban 709 scenario when using the VTL system. Considering only the 710 CO₂ component of the annual circulation tax that is in place 711 in Germany, for example, 2€/g/km, would justify the cost of 712 an after-market VTL system for any car owner.

This paper has been performed for internal combustion-714 engine vehicles. As further work, we plan to investigate the 715 energy savings that arise from the implementations of ITS 716 measures for hybrid or electric vehicles, which currently have 717 limited autonomy. Furthermore, the simulator should be ex-718 tended to include alternative emissions-modeling approaches 719 and also modified to perform the calculation in real time of 720 the energy/fuel consumption rather than postprocessing the in- 721 formation. This approach would allow testing innovative algo-722 rithms where parameters are changed online, depending on the 723 current energy consumption or emissions. Another interesting 724 topic to study in more detail is pedestrian-vehicle interaction 725 and human-computer interaction.

The inclusion of urban traffic control (UTC) systems in 727 simulation can provide additional insights into the intersection 728 control problem. More specifically, the benefit of the VTL 729 approach compared to such centralized control systems will 730 be studied. In the city of Porto, such UTC systems have been 731 deployed to control part of the traffic signals. We are currently 732 integrating in our simulation platform a replication of the func- 733 tioning of this UTC based on simulated inputs that correspond 734 to activations of virtual inductive-loop sensors. This line of 735 research involves joint work with the company that develops 736 the UTC system to have a virtual replication of the system that 737 mimics the exact behavior of the traffic signals that are in place 738 in the city of Porto.

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⁷Electronic tolling systems and in-vehicle parking meters are examples of systems that have been the subject of legislation in some parts of the world.

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