

# Optimization The Cache Hierarchy

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**“Foundation of HPC - basic” course**

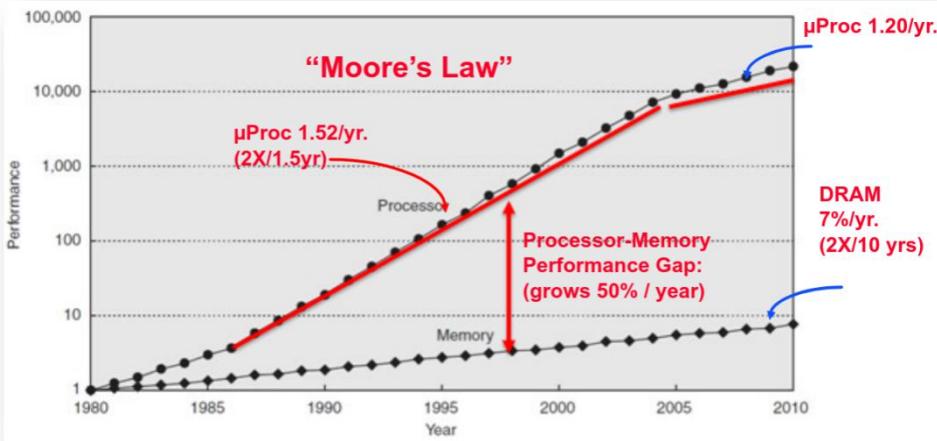


DATA SCIENCE &  
SCIENTIFIC COMPUTING  
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Let's start with a small recap  
About memory and caches



# Early 90s: CPU get faster than memory



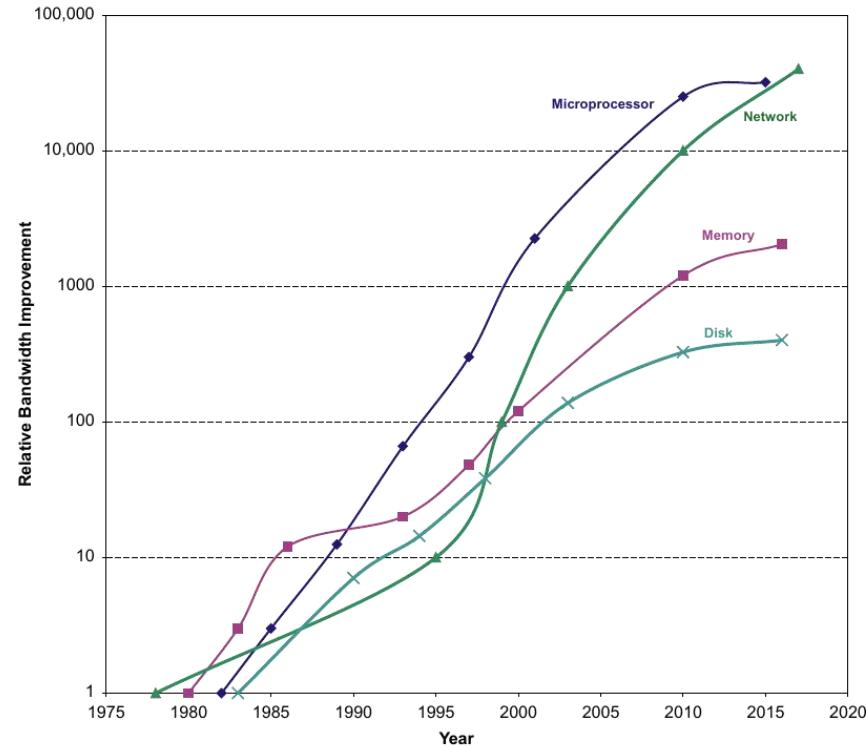
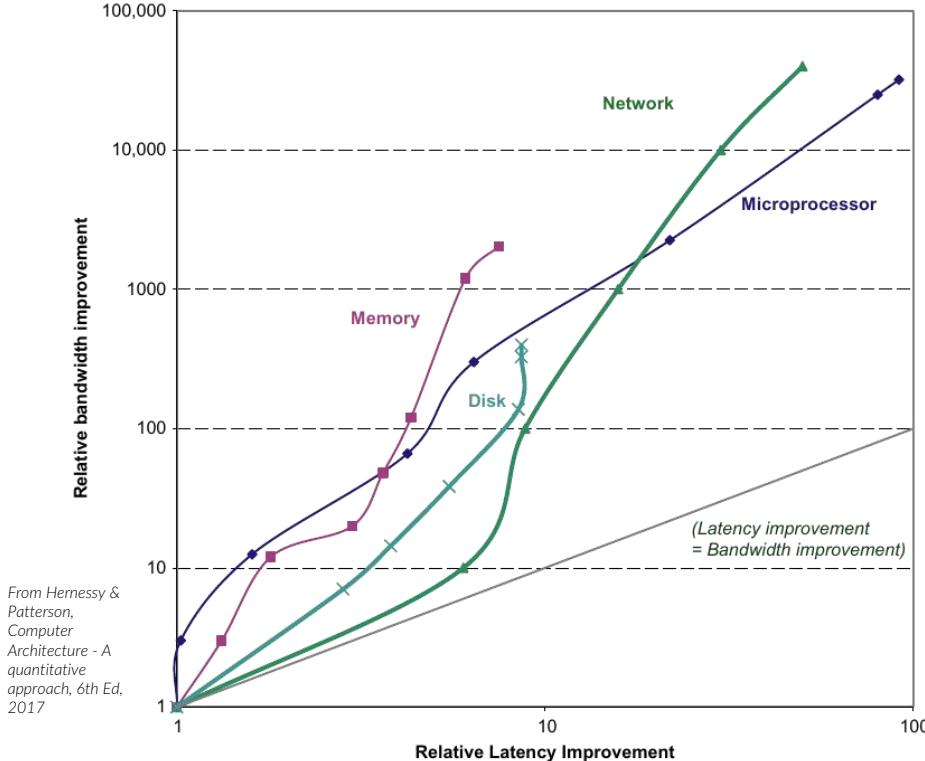
Processor	Alpha 21164	
Machine	AlphaServer 8200	
Clock Rate	300 MHz	
Memory Performance	Latency	Bandwidth
I Cache (8KB on chip)	6.7 ns (2 clocks)	4800 MB/sec
D Cache (8KB on chip)	6.7 ns (2 clocks)	4800 MB/sec
L2 Cache (96KB on chip)	20 ns (6 clocks)	4800 MB/sec
L3 Cache (4MB off chip)	26 ns (8 clocks)	960 MB/sec
Main Memory Subsystem	253 ns (76 clocks)	1200 MB/sec
Single DRAM component	≈60ns (18 clocks)	≈30–100 MB/sec

Taken from a 1997 paper

The CPU may spend more time waiting for data coming from RAM than executing ops.  
That is part of the so called “memory wall”.  
What is the solution ?



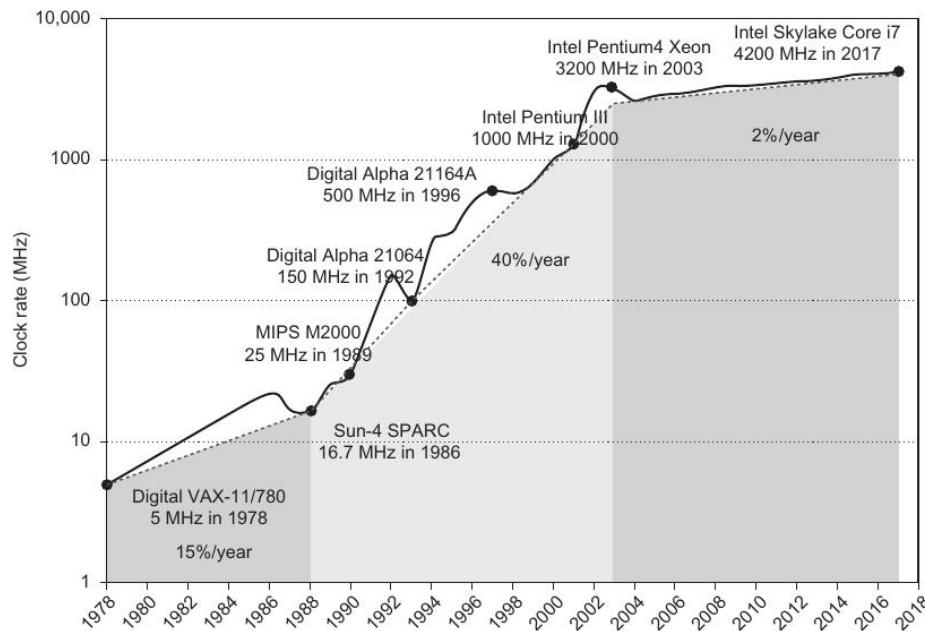
# The latency and bandwidth are still an issue



Accounting for memory access and instructions capability of all cores a modern cpu could deliver ~500GB/sec with latency of ~5-10ns whereas a DRAM would saturate at ~30GB/sec with a latency of ~30-50ns



# The energy and power are a major design issue

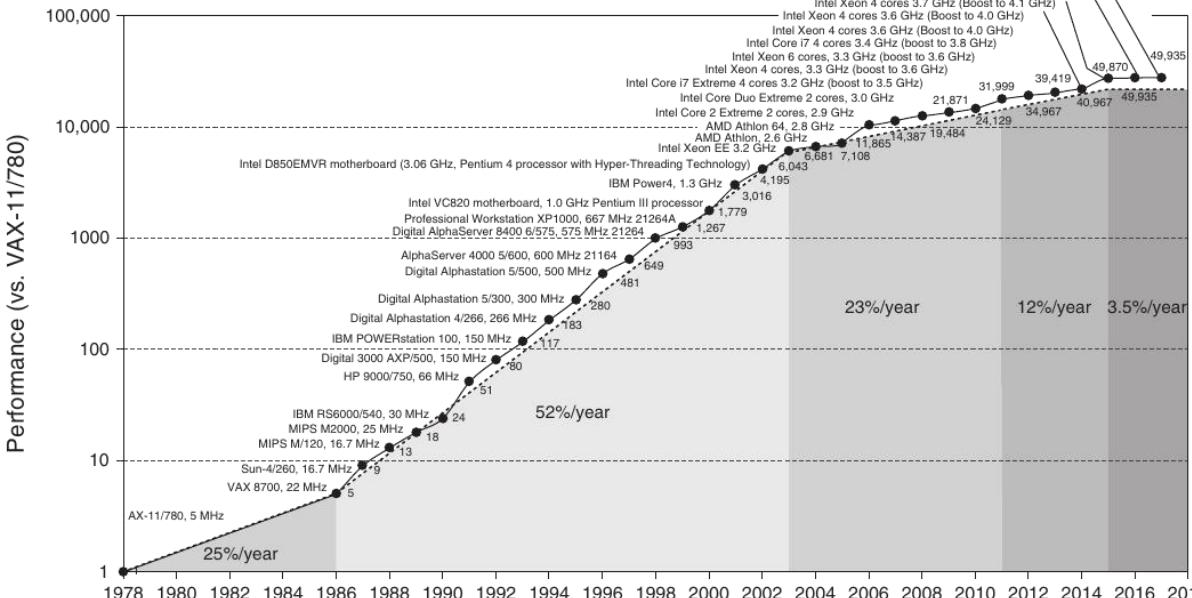
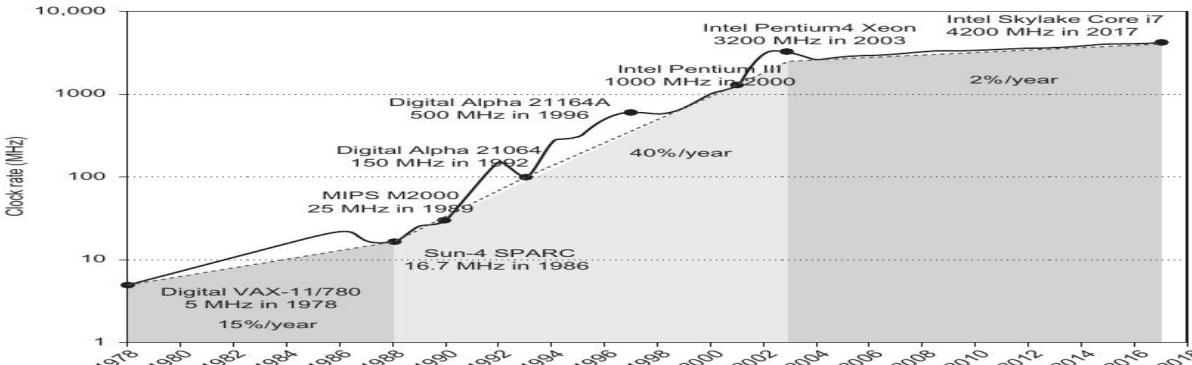


We have seen that the power required per transistor is

$$C \times V^2 \times f$$

Roughly the capacitance and the voltage of transistor shrinks with the feature size, whereas the scaling is much more complicated for the wires.

Overall, a typical CPU got from  $\sim 2W$  power to  $\sim 100W$  which is at the limit of the air cooling capacity.



From Hennessy & Patterson, Computer Architecture - A quantitative approach, 6th Ed, 2017

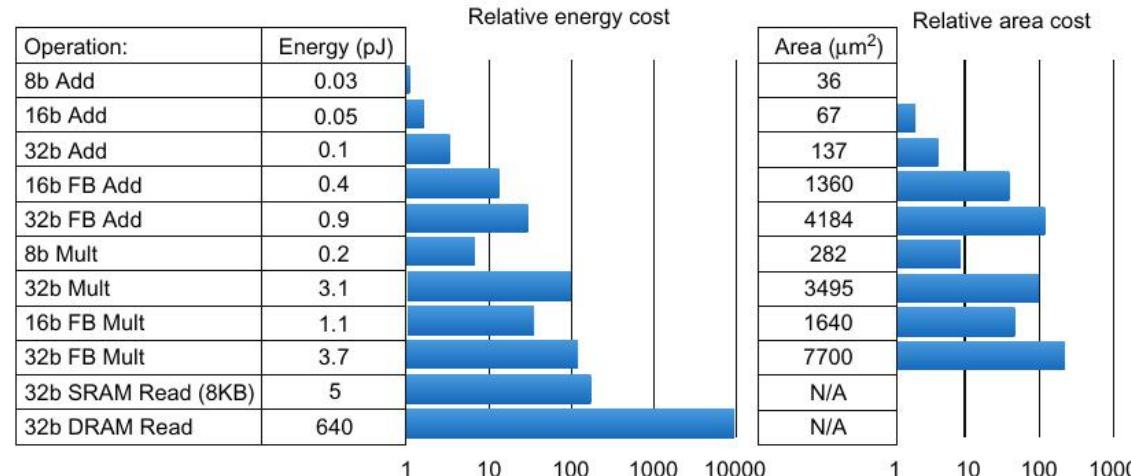


# The energy and power are a major design issue

Primary strategies to cope with the power wall:

1. Turn off inactive circuits (*dark silicon*)
2. Dowscale Voltage and Frequency for both cores and DRAM
3. Thermal Power Design, or design for typical case
4. Overclocking for a short period of time and possibly for just a fraction of the chip

Static power,  $P_{\text{static}} \propto \text{Current}_{\text{static}} \times V$ , is also an important factor in the power consumption. It increases with the number of transistor while the current leakage increases as the feature size decreases and could amount to ~25% of the total power consumption.



Energy numbers are from Mark Horowitz \*Computing's Energy problem (and what we can do about it)\*. ISSCC 2014  
Area numbers are from synthesized result using Design compiler under TSMC 45nm tech node. FP units used DesignWare Library.

From Hennessy & Patterson, Computer Architecture - A quantitative approach, 6th Ed, 2017

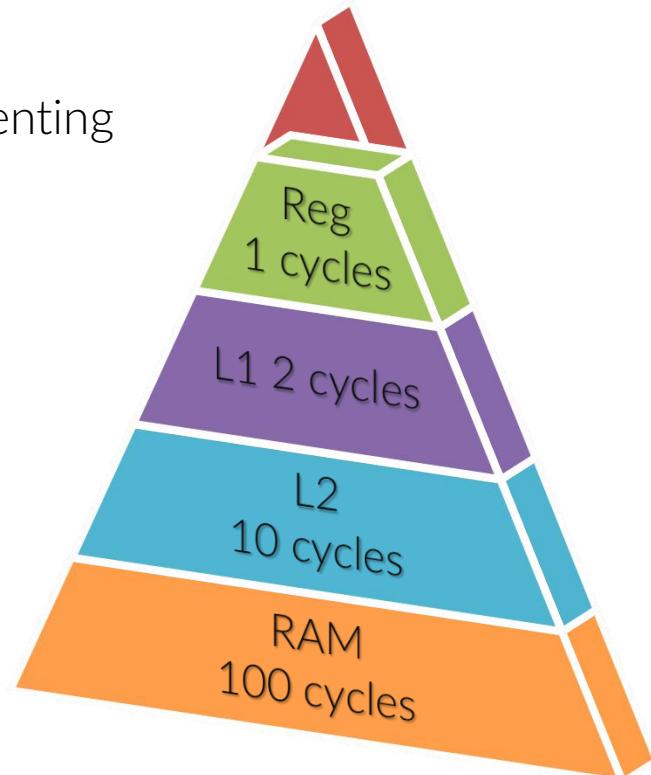


# | The cache memory

We have seen that modern CPUs have been implementing special SRAM memory named *cache*.

The cache itself has a hierarchy:

- *Level-I* is inside each core.
- *Level-II* is also inside the core, or may be shared by more cores.
- *Level-III* is inside the CPU, shared by many cores.





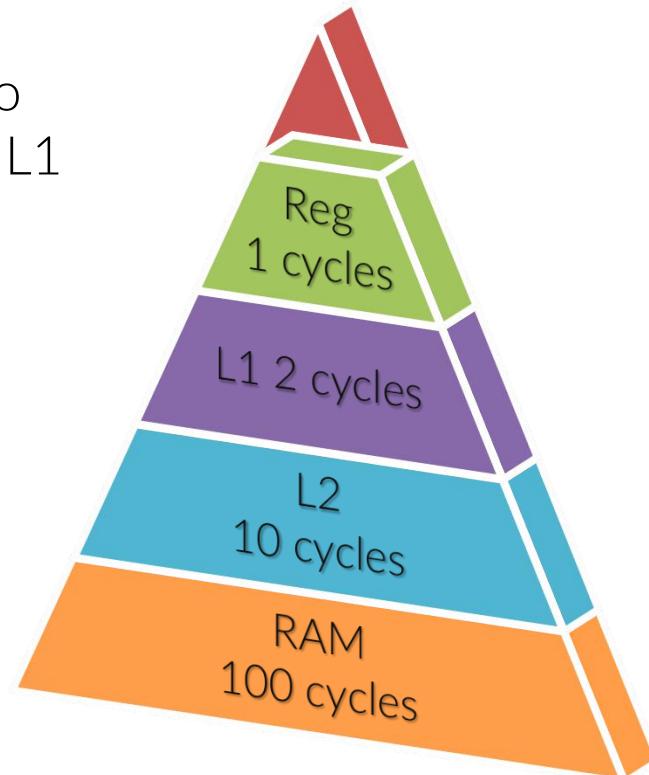
# | The cache memory

Let's suppose that we have only L1 and RAM.  
What would be the average cost, in CPU cycles, to  
retrieve the data as a function of how good is the L1  
occupancy ?

L1 cache + RAM

$$L_{1\text{cost}} + \text{Miss}_1 \times RAM_{\text{cost}}$$

- 100% L1 hit  $\rightarrow$  2 cycles
  - 99% L1 hit  $\rightarrow$  3 cycles
  - 97% L1 hit  $\rightarrow$  5 cycles
- } 50% to 150% slower





## | The cache memory

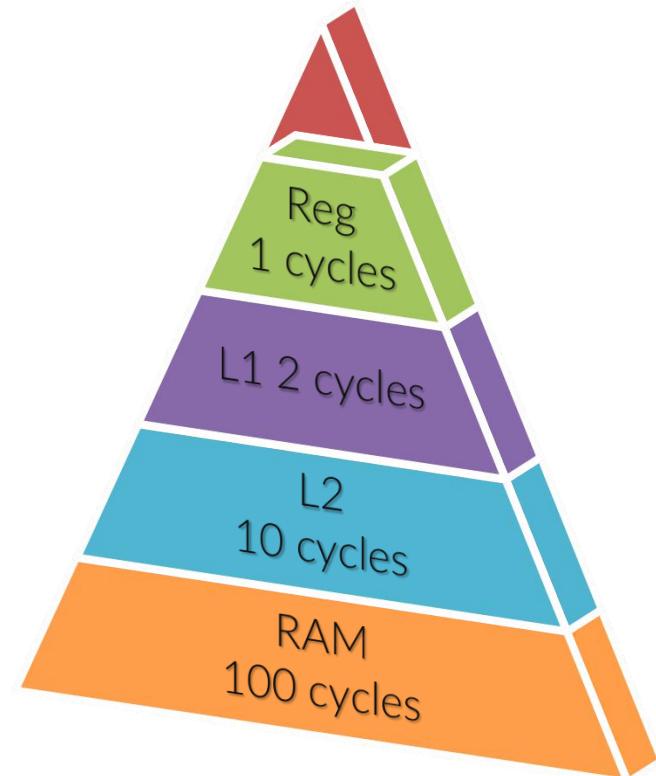
Let's now add a 2<sup>nd</sup> level of cache, L2:

L1 cache + L2 cache + RAM

$$L_{1\text{cost}} + \text{Miss}_1 \times (L_{2\text{cost}} + \text{Miss}_2 \times \text{RAM}_{\text{cost}})$$

- 100% L1 hit → 2 cycles
- 99% L1 hit, 100% L2 hit → 2.1cycles
- 97% L1 hit, 100% L2 hit → 2.3 cycles
- 90% L1 hit, 97% L2 hit → 3.3 cycles

The average cycles-per-load is much better now with an additional larger L2 (nowdays you find also an even larger L3)





# | The principle of locality

We are quite naturally led to the “principle of locality”:

Data are defined “local” when they reside in a “small”portion of the address space that is accessed in some “short” period of time

→ local data are likely to be in the cache

**Temporal locality** if an address is referenced, it is likely to be referenced again soon

**Spatial locality** if an address is referenced, close addresses are likely to be referenced soon



# Memory→Cache mapping

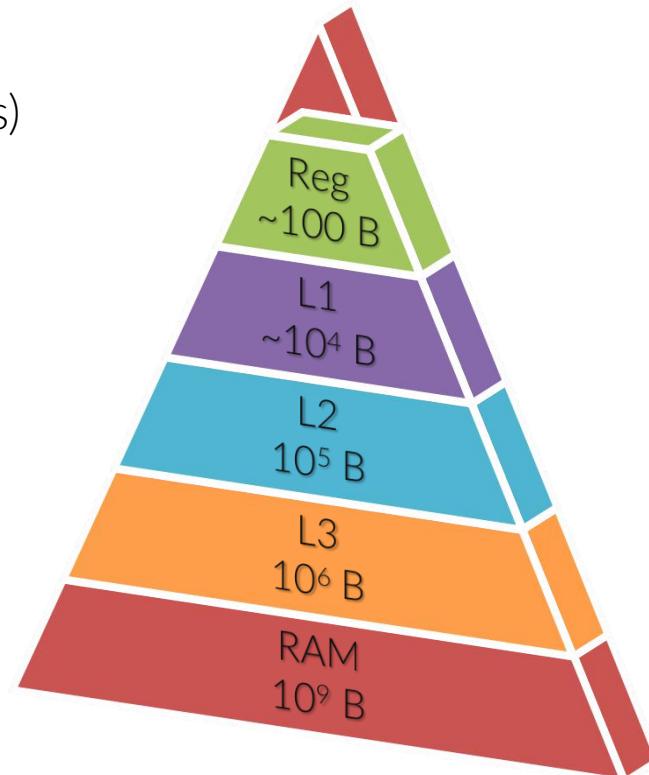
Question:

The RAM contains  $\sim 10^9$  bytes, while L1 contains  $\sim 10^4$  bytes (32KB for data and 32KB for instructions)

So, how do you map the RAM in to a given level of cache, for instance L1, in an effective way?

The main problems are:

- Where to map an address
- What if the location in L1 is already occupied?





# Memory→Cache mapping

Let's say that both the RAM and the cache are subdivided in blocks of equal size (for instance, 64B): you do not load just a byte in your cache but an entire block (normally called *line*)

RAM	Block number	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
		■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	
cache	cache block number	0	1	2	3	4	5	6	7								
	memory block number	•	•	•	•	•	•	•	•								
	data	■	■	■	■	■	■	■	■								
	valid bit	0	0	0	0	0	0	0	0								
	dirty bit	0	0	0	0	0	0	0	0								

Each “block” here is 64B long

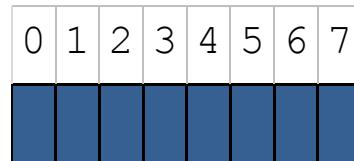


# Memory→Cache mapping

## Full mapping

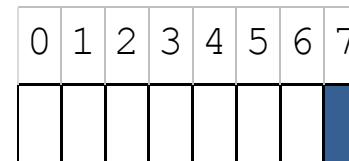
Data can be placed  
in any free cache  
block

cache



## Direct mapping

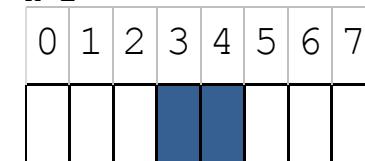
Data can be placed  
only in a given block  
i.e. `block_num %  
blocks_in_cache`



## n-way associative

Data can be placed in  
few cache blocks i.e.  
`block_num %  
(blocks_in_cache / n)`

$n=2$



RAM





# Memory → Cache mapping

## Full mapping

Data can be placed in any free cache block.



### Pros

Very efficient in writing (minimizes conflicts).

### Cons

Very inefficient in reading (all the locations could contain the addressed data).

## Direct mapping

Data can be placed only in a given block



### Pros

Very efficient in writing (no search for available locations).

### Cons

Maximizes the cache conflicts, for only 1 location is eligible for a vast amount of addresses.

## n-way associative

Data can be placed in few cache blocks i.e.  
*A good trade-off*



### Pros

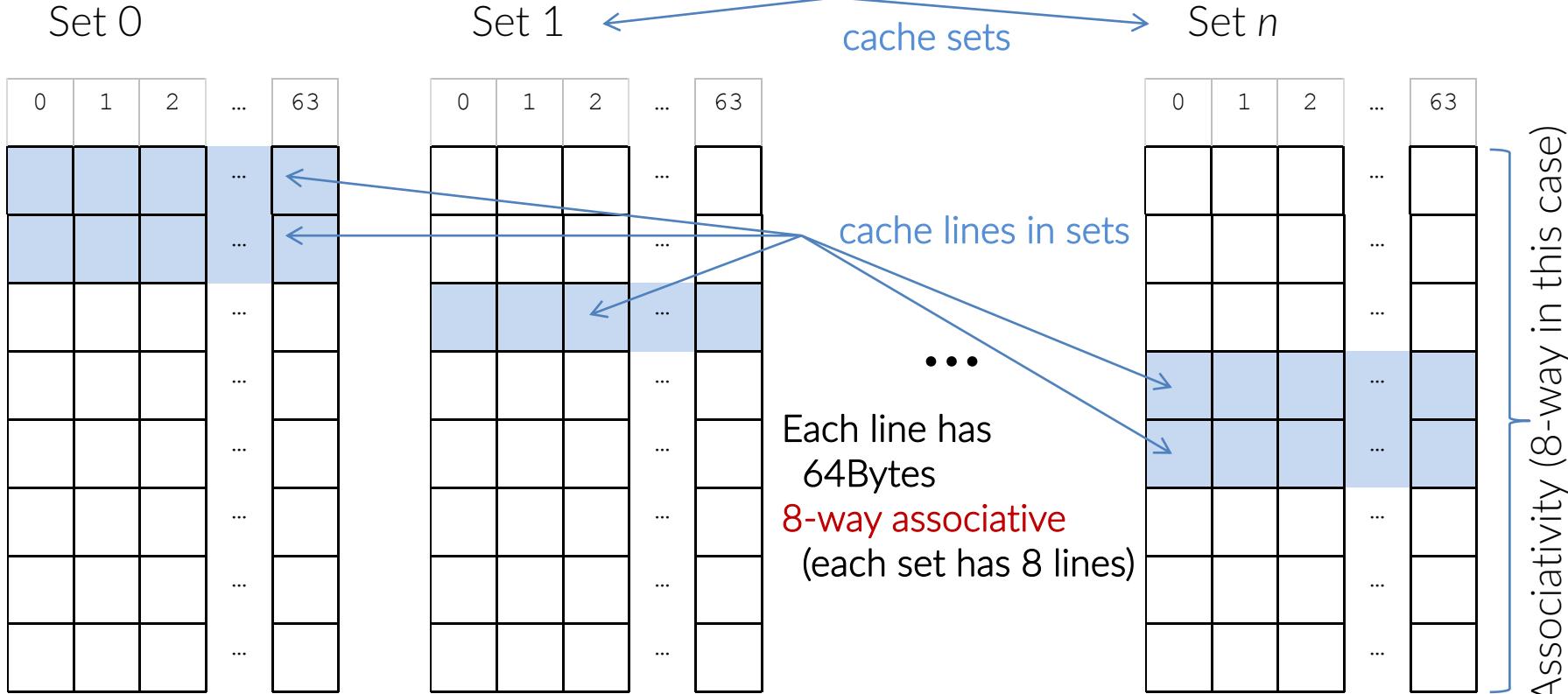
Very efficient in determining the set (only one per memory address). Smaller rate amount of cache conflicts.

### Cons

More complicated logics; larger number of operations for the access.



# A typical today cache





# Memory → Cache mapping

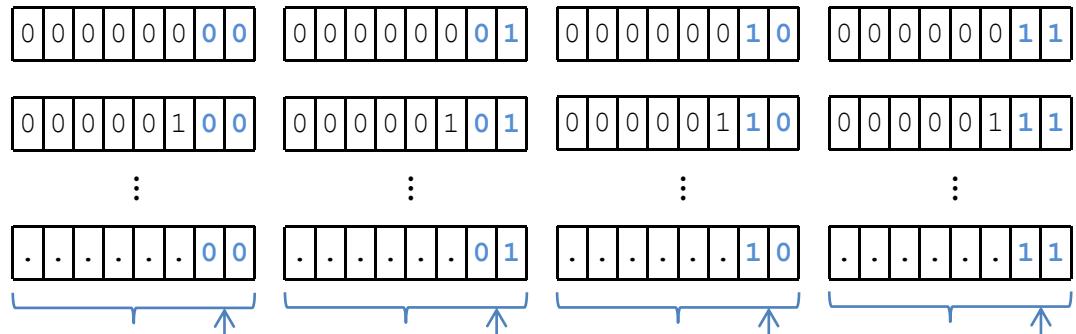
## How a byte is actually mapped into a cache location ?

The “elemental” unit of the cache is a line, which normally today has 64 bytes. Then, 64 subsequent bytes in the RAM are mapped in the same line of the cache.

You can achieve that if the *position of the byte* in the target cache line is determined by the least significant bits of its address. Those bits are the fastest changing and, of course, they “cycle”.

Let's build up an example →

Let's suppose, for simplicity, that we have only 256Bytes of memory. Then 8 bits, are sufficient to address our memory:



These bytes will  
always be at pos 0  
in a cache line

These bytes will  
always be at pos 1  
in a cache line

These bytes will  
always be at pos 2  
in a cache line

These bytes will  
always be at pos 3  
in a cache line

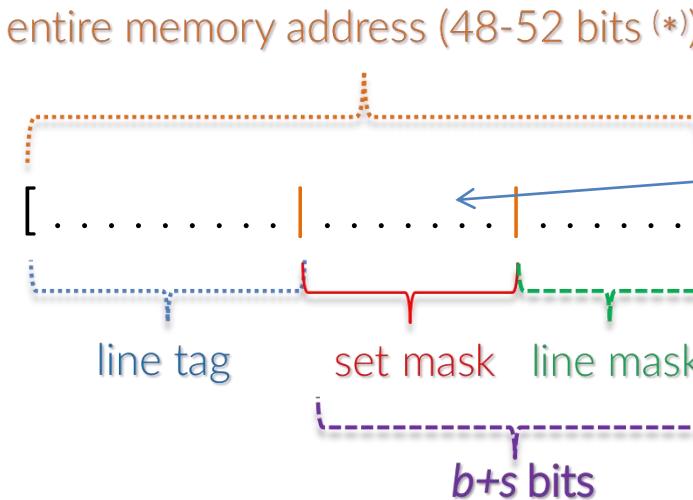
If you consider the first  $n$  bits, will have a cycle of  $2^n$  bits; if  $n=6$ , the position will have a cycle of 64.

So, the least significant bits decides the position of a given address in a cache line, by group of 64.  
But what line exactly ?



# Memory → Cache mapping

How a byte is actually mapped into a cache location ?



(\*) The space reserved for an address is 64bits; however, normally only 48 are used.  
In some architectures up to 52 bits are used to address memory.

If your cache size has  $c$  bytes in total and it is  $w$ -way associative with lines of size  $L$  bytes with

$$L = 64B = 2^b \text{ bytes}, w = 8, c = 32KB$$

then there are  $c / (L \times w) = 128 = 2^s$  sets (where  $s = 7$ ).

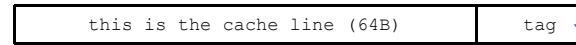
To map the memory addresses into the cache, the first  $s+b$  bits are used to determine to what byte in a free line of what cache set that address will be stored.

The first  $b$  bits determines the position of the byte in the line, the second  $s$  bits determine *uniquely* the set to which that line belongs:

$$\text{set} = \text{set\_mask\_value \% } 2^s$$

- the set masking is like a “direct mapping”: 1-to-1 between a byte and a set
- the fact that each set has 8 possible lines is like the “fully associative” mapping, i.e. it gives you enough flexibility to cope with conflicts.

Eventually, to allow the recovering of the full address of a line stored in a cache line the final bits of the address are copied in a location, called *tag*, aside the line:



This is the tag of the first byte  
of the corresponding line in memory



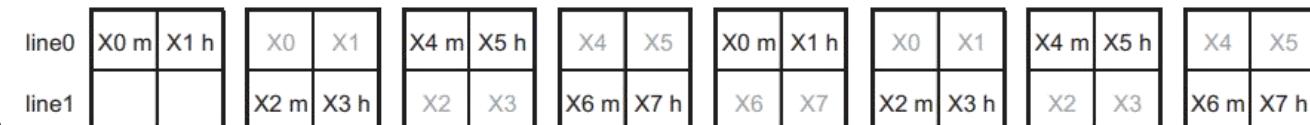
# The memory access pattern

When the cache is hit and when it is not: a simple model

Consider a simple direct mapped 16 byte data cache with two cache lines, each of size 8 bytes (two floats per line)

Consider the following code sequence, in which the array **X** is cache-aligned (that is, **X[0]** is always loaded into the beginning of the first cache line) and accessed twice in consecutive order:

```
float X[8];
for(int j=0; j<2; j++)
    for(int i=0; i<8; i++)
        access(X[i]);
```



The hit-miss pattern is : MH MH MH MH MH MH MH MH,  
the miss-rate is 50% (the first miss is compulsory miss).



# The memory access pattern

Let's consider another code sequence that access the array twice as before, but with a strided access

```
float X[8];
for(int j=0; j<2; j++)
{
    for(int i=0; i<7; i+=2)
        access(X[i]);
    for(int i=1; i<8; i+=2)
        access(X[i]);
}
```



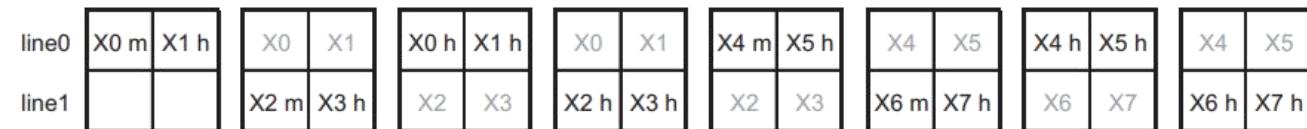
The hit-miss pattern now is : MM MM MM MM MM MM MM MM,  
the miss-rate is 100%



# The memory access pattern

Finally, consider a third code sequence that again access the array twice:

```
for(int k = 0; k < 2; k++)  
    for(int i = 0; i < 2; i++)  
        for(int j = 4*k; j < (k+1)*4; j ++)  
            access(X[ j ]);
```



The hit-miss pattern now is : MH MH HH HH MH MH HH HH,  
the miss-rate is 25%

**The main message is: memory access pattern is of primary importance**



# | Cache recap in two slides

**3C** for the  
foes

- ▶ **Compulsory misses**  
Unavoidable misses when data are read for the first time
- ▶ **Capacity misses**
  - Not enough space to hold all data
  - Too much data accessed in between successive use
- ▶ **Conflict misses**  
Cache trashing due to data mapping to same cache lines



# | Cache recap in two slides

3R for the  
friends

► Rearrange ( code & data )  
Design layout to improve temporal & spatial locality

► Reduce ( size )

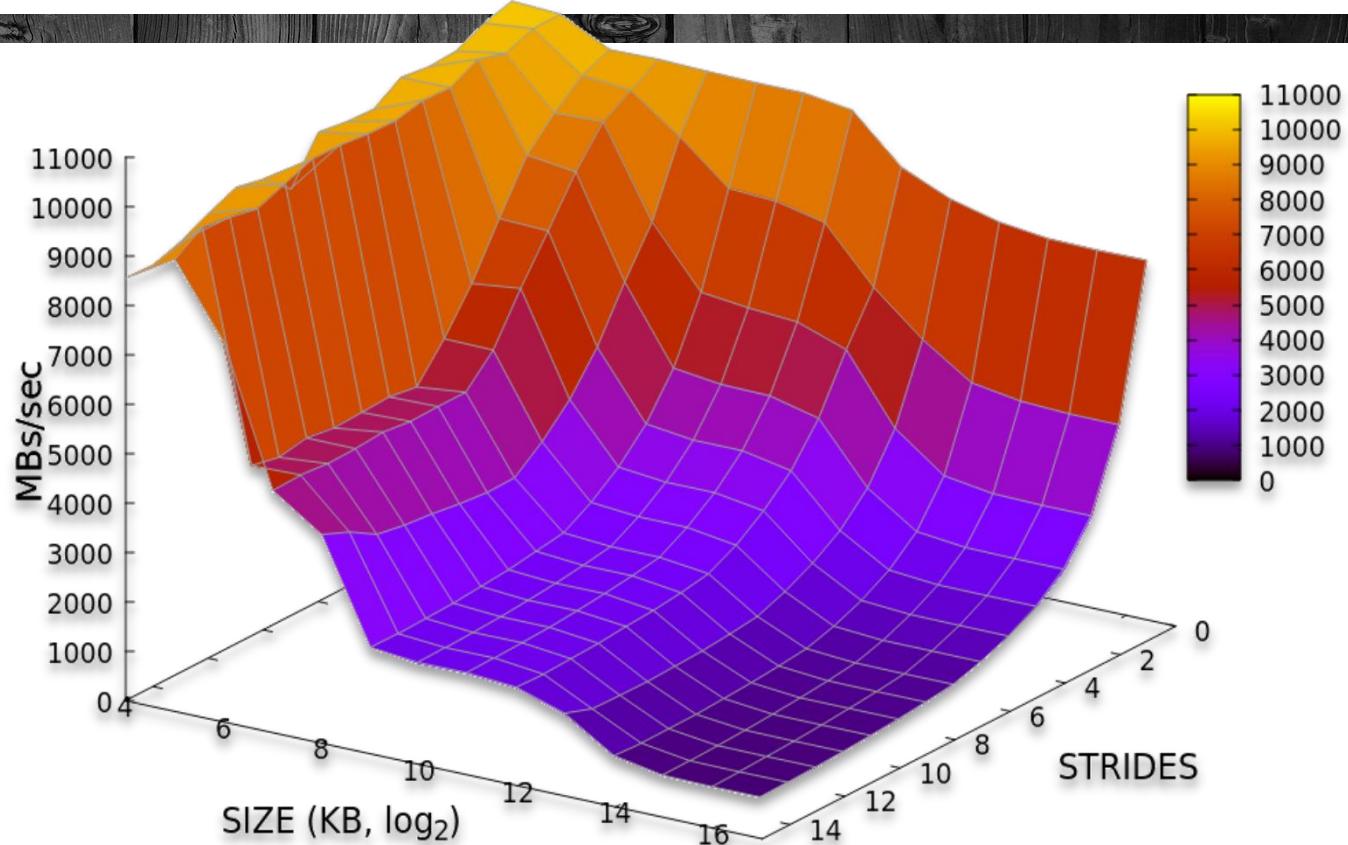
- Smaller data size – smaller chunks accessed
- Fewer instructions

► Reuse ( cache lines )  
Increase spatial & temporal locality – keep resident data  
for more operations



# | The memory access pattern

The result is..  
the memory mountain





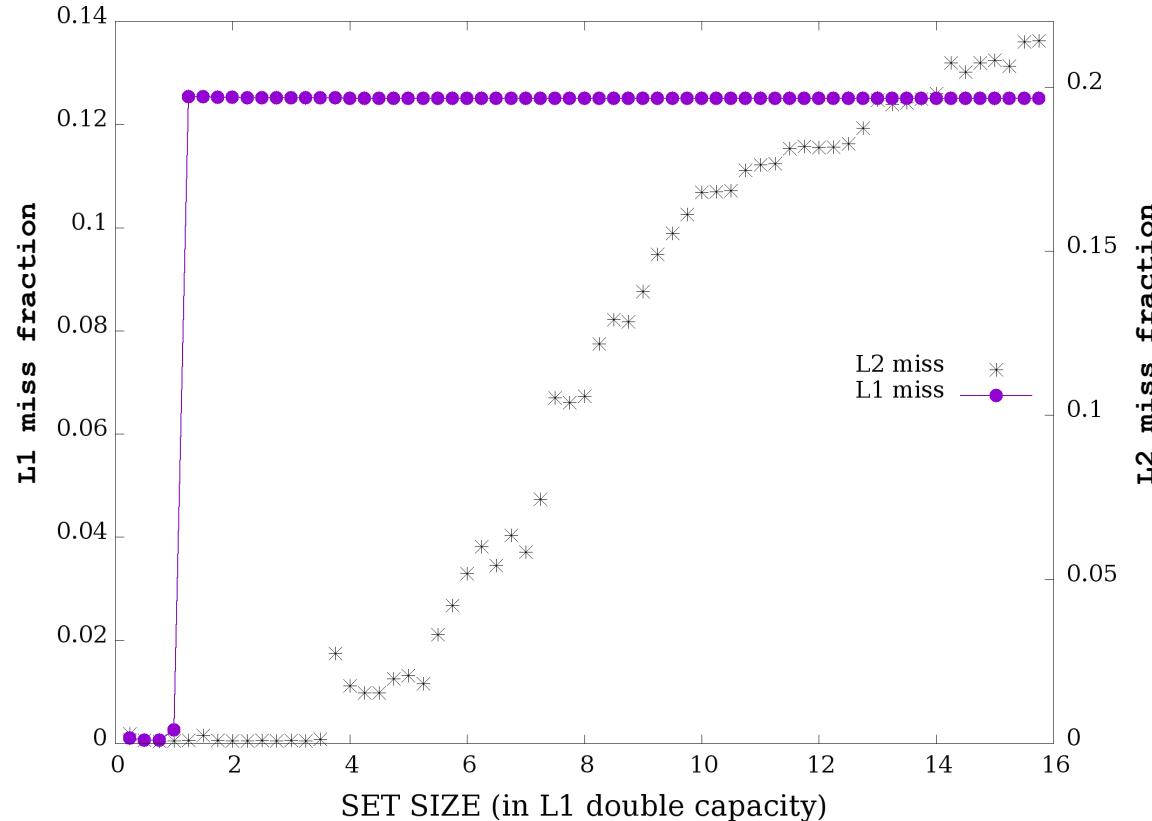
# | The cache-miss signature

Let's find out our  
cache size

```
for (j=0; j < size; j++)  
    array[j] =  
        2.3*array[j]+1.2;
```



SCO/Examples\_on\_cache/  
cache\_size/cache\_size.c

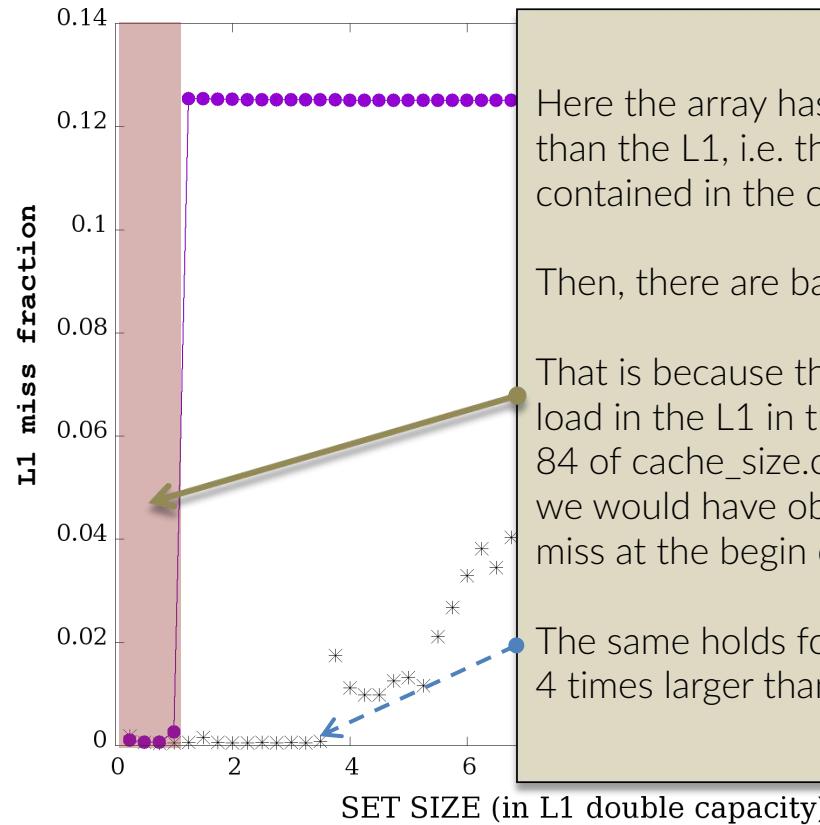




# | The cache-miss signature

Let's find out our  
cache size

```
for (j=0; j < size; j++)  
    array[j] =  
        2.3*array[j]+1.2;
```



Here the array has a size that is smaller than the L1, i.e. the array is entirely contained in the cache.

Then, there are basically no misses.

That is because the array was entirely loaded in the L1 in the warm-up phase (line 84 of cache\_size.c), otherwise of course we would have obtained 1 compulsory miss at the begin of each line).

- The same holds for L2, which has a size 4 times larger than L1.

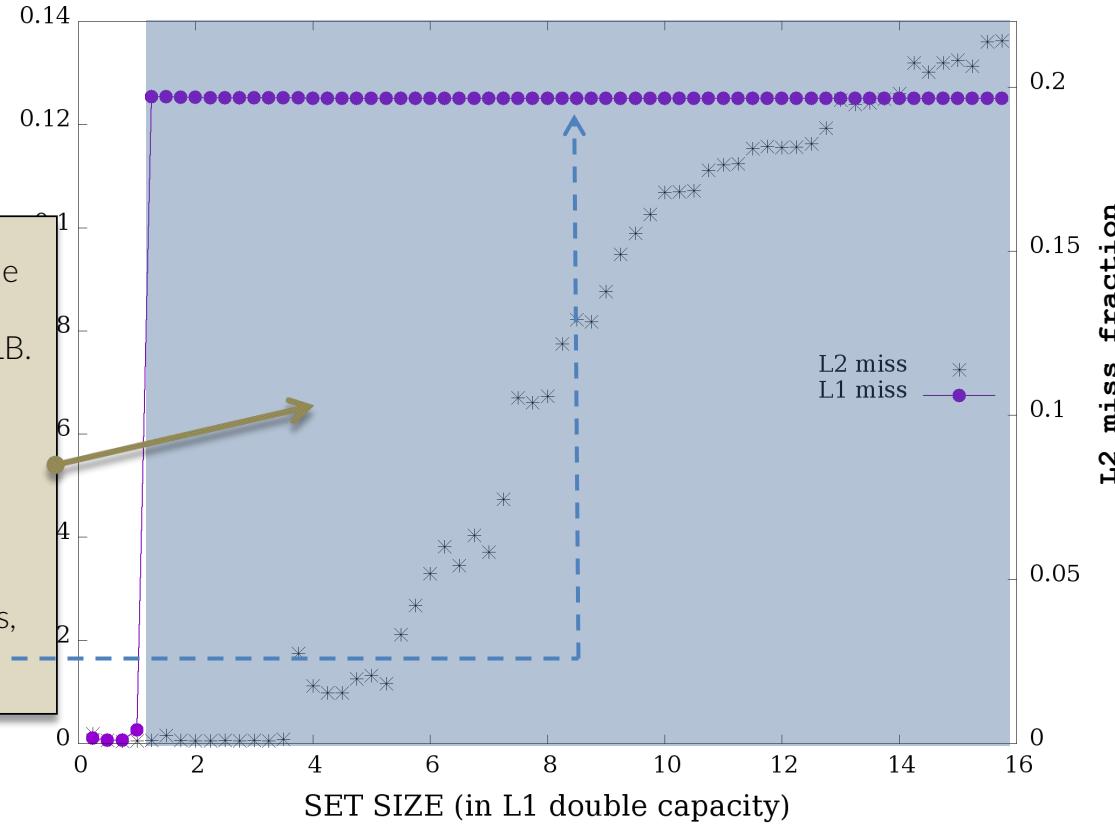


# The cache-miss signature

Here the array has a size that is larger than the L1, and so it can not be contained within it. The warm-up phase can only warm-up the TLB.

Then, every 8 double the first access to the subsequent group of 8 doubles causes a compulsory miss *and* the upload of all the 8 double into a cache line.

Hence, 1 every 8 accesses results in a L1 miss, i.e. a ratio of 12.5%.

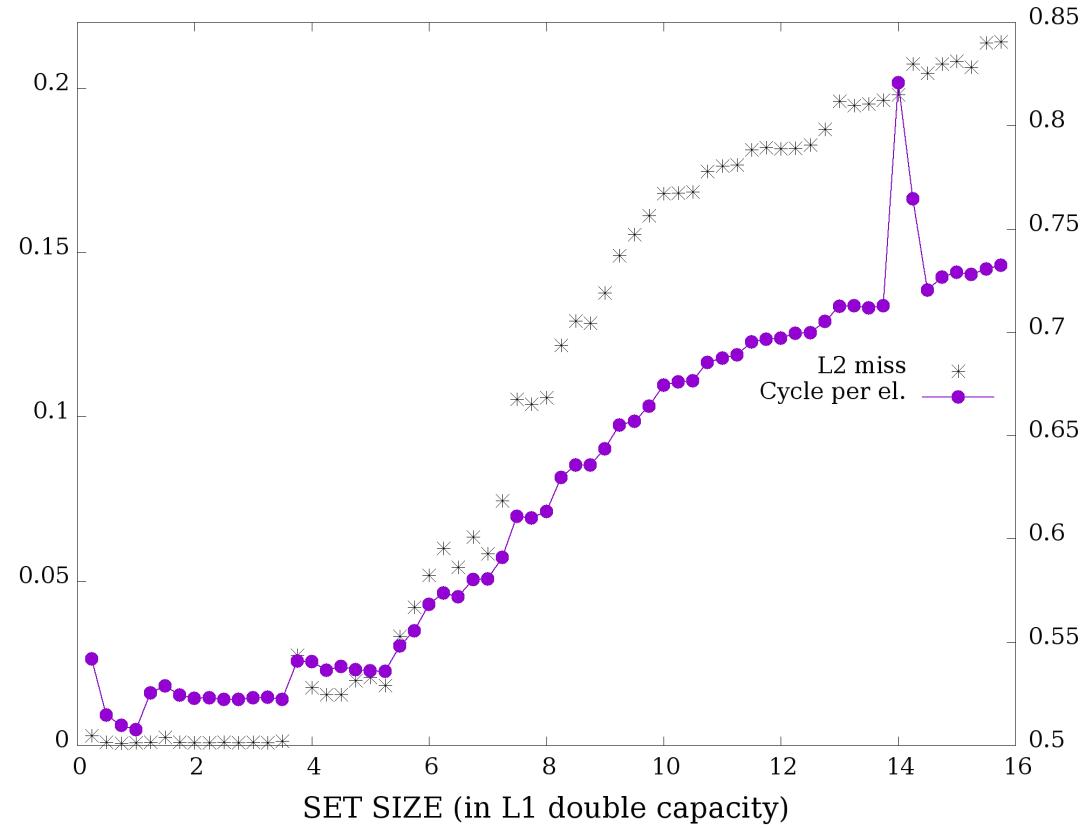




# | The cache-miss signature

And the effect on  
cycles-per-operation  
metrics

```
for (j=0; j<size; j++)  
    array[j] =  
        2.3*array[j]+1.2;
```

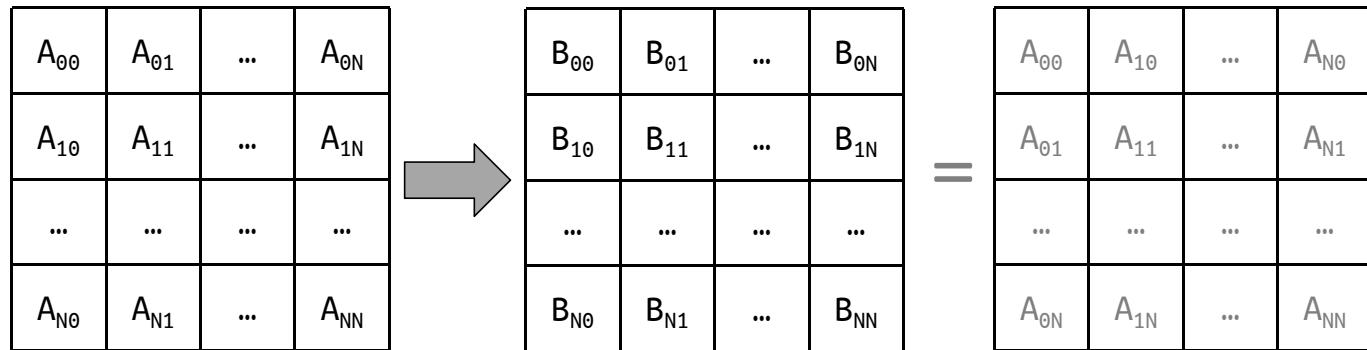




# Strided access

Let's consider a quite common problem: the transpose of a matrix.

Matrix transpose



$$A_{ij}^T = A_{ji}$$



# Strided access

The very simple, straightforward implementation is:

```
for(int row = 0; row < N; row++)  
    for(col = 0; col < N; col++)  
        A [ col*N + row ] = B [ row*N + col ];
```

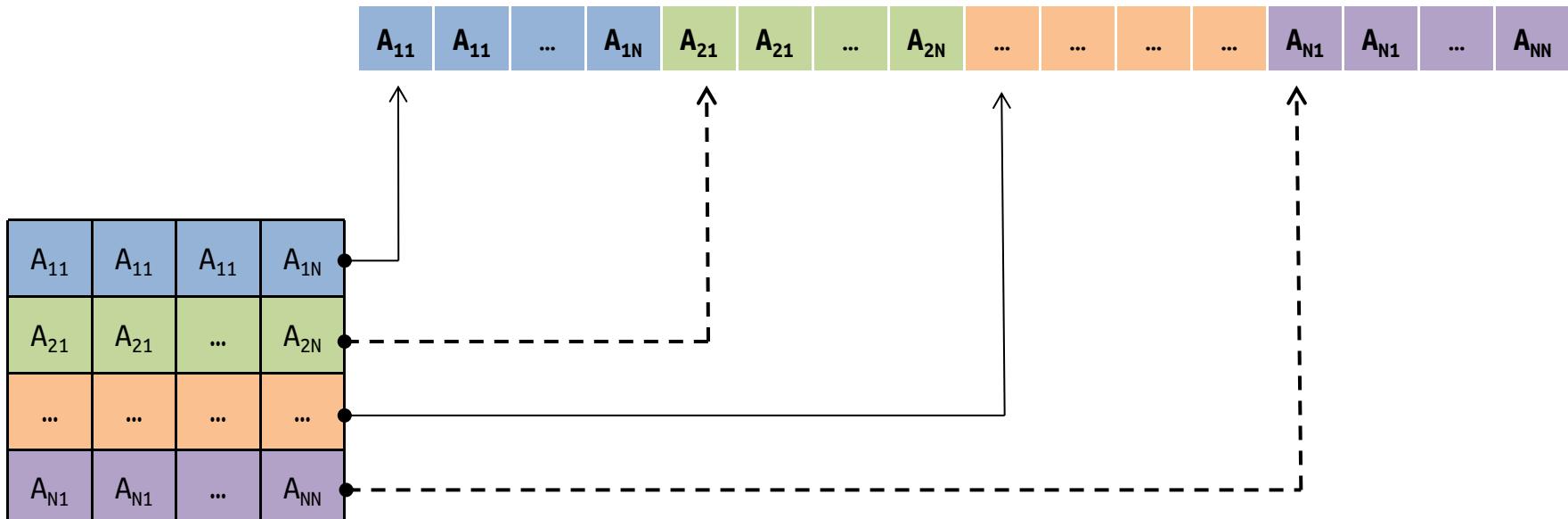
Matrix transpose



SCO/Examples\_on\_cache/  
matrix\_transpose/matrix\_transpose.c

# Note: how a matrix is stored in memory

Remember the obvious fact that your memory is a continuous 1-dimensional stream of bytes. A 2D matrix is stored in memory by rows:

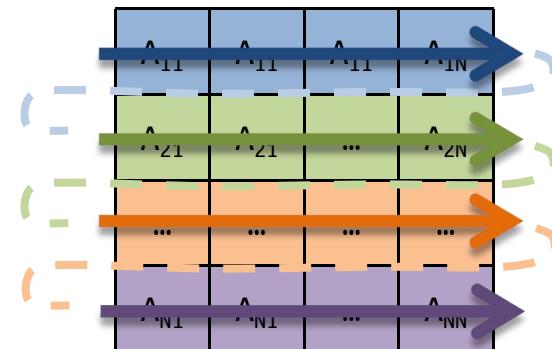


This convention is the C/C++ convention, which is labelled as *row-major order*. Note that the Fortran convention is opposite, with columns being contiguous in memory (column-major).

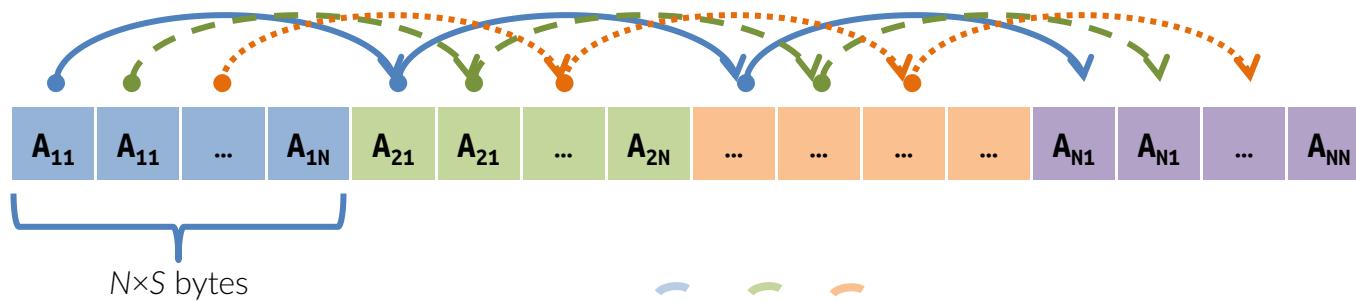
# Note: how a matrix is stored in memory



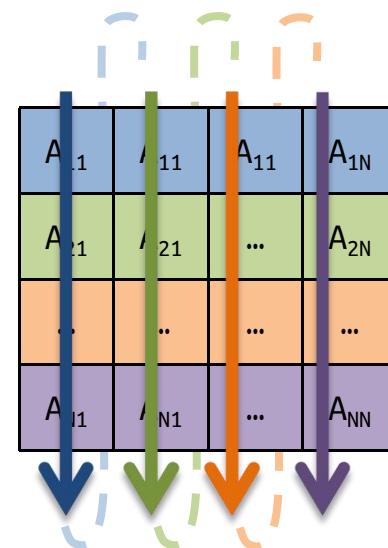
Then, traversing the matrix in the same row-major order corresponds to traverse the memory in contiguous order.



# Note: how a matrix is stored in memory



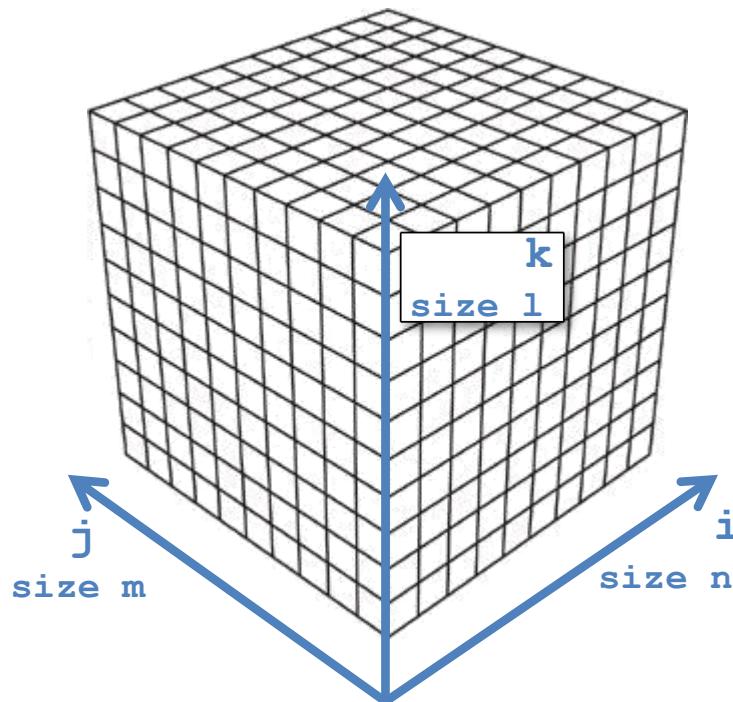
Whereas, traversing the matrix in the opposite column-major order amounts to jump in memory by  $N$  positions, i.e.  $N \times S$  bytes, where  $S$  is the size of each element.



# Note: how a matrix is stored in memory

How a 3D matrix, indexed as  $[i] [j] [k]$ , is stored in memory ?

```
double M[n] [m] [l]
```

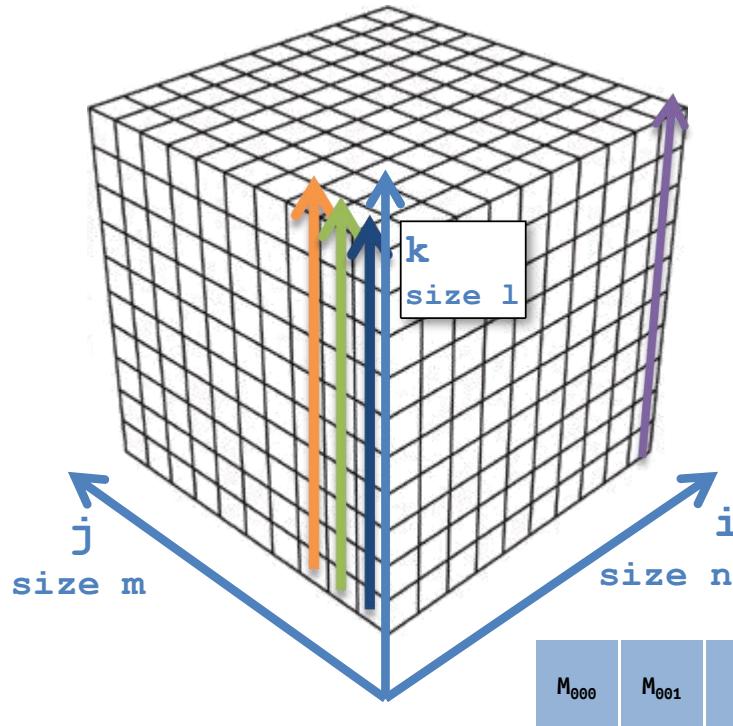


The innermost index is the one that varies at the fastest pace, and traces the memory contiguity.  
Hence  $M[i] [j] [k]$  is contiguous to  $M[i] [j] [k+1]$ .

# Note: how a matrix is stored in memory

How a 3D matrix, indexed as  $[i] [j] [k]$ , is stored in memory ?

```
double M[n][m][l]
```



The innermost index is the one that varies at the fastest pace, and traces the memory contiguity.  
Hence  $M[i][j][k]$  is contiguous to  $M[i][j][k+1]$ .

In the choice that we made here, the 3D matrix is then stored along the z direction, which is our  $k$  index.  
Then by slices along the y direction, which is our  $j$  index.  
And finally along the x direction, which is our  $i$  index, the slowest in changing.

The, to directly reference the entry  $[i] [j] [k]$  in  $M$  one should use

$$M + i * (m * l) + j * l + k$$



# | Strided access

The very simple, straightforward implementation is:

```
for(int row = 0; row < N; row++)  
    for(col = 0; col < N; col++)  
        A [ col*N + row ] = B [ row*N + col ];
```

Matrix transpose

**NOTE:** strided access to either **A** or **B** is unavoidable.

However: is it better to have it either on *read* or on *write* ?



# Dealing with writes

When you modify the content of a variable, that change amounts to overwrites a memory location.

When the variable is loaded in to the cache memory, what is loaded is a *copy* of the variable, that maintains its original location in the main memory.

So, if we modify its value, shall we modify it in the cache only or in the main memory too?

there are 2 possible policies:

## 1) write-through

memory and cache are kept consistent, so the value is immediately written back in memory

## 2) write-back

the new value is stored only in the cache, and it is propagated to lower levels when the cache row is replaced.



# Dealing with writes

Writing complicates things in the cache a lot, depending on what policy the cache implements.

If there is a *write-miss* in a write-through cache, you may either allocate a block in the cache (*write-allocate*) or not and directly write in the main memory (*non-write-allocate*). In the latter case, no data fetch are necessary. Non-write-allocate is useful for burst writing operations.

If in a write-back cache you , you first have to write back the block in memory



# | Strided access

The very simple, straightforward implementation is:

```
for(int row = 0; row < N; row++)  
    for(col = 0; col < N; col++)  
        A [ col*N + row ] = B [ row*N + col ];
```

Matrix transpose

**NOTE:** strided access to either **A** or **B** is unavoidable.

However: is it better to have it either on *read* or on *write* ?



## | Strided access

Naïve version:

```
for(int row = 0; row < N; row++)  
    for(col = 0; col < N; col++)  
        A [ col*N + row ] = B [ row*N + col ];
```

Matrix transpose

NOTE: strided access to either **A** or **B** is unavoidable.

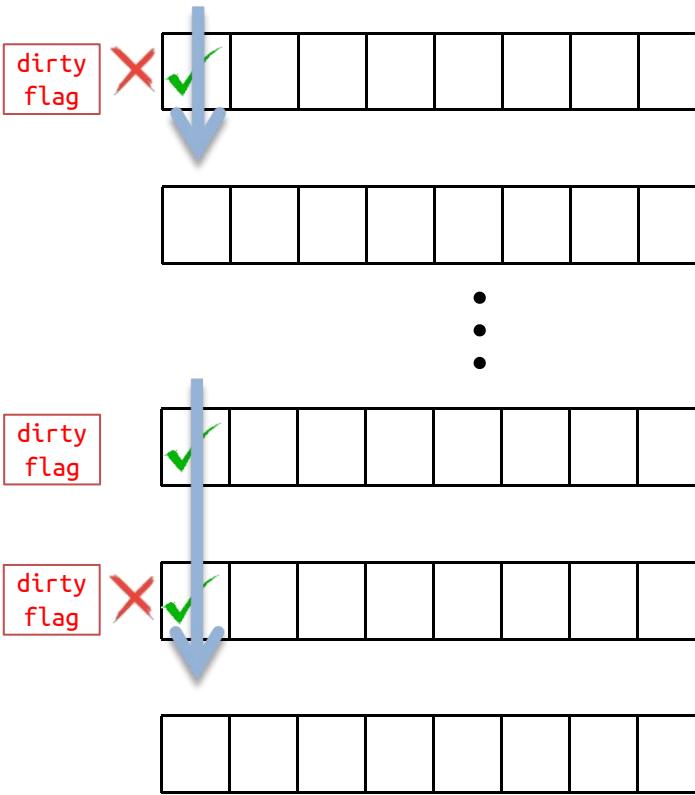
However: is it better to have it either on *read* or on *write* ?

Due to write-allocate transactions in the cache, **strided writes are more expensive than strided loads.**





# Strided access

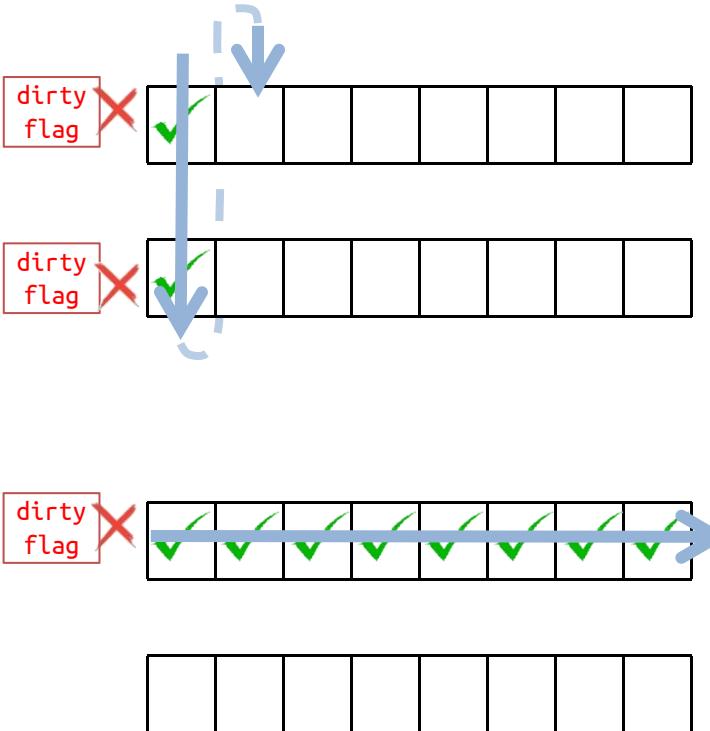


As we [have seen](#), as you traverse in column-major order, you are traversing also the memory in the same way; since we are considering a non-trivial case in which the matrix does not fit in the cache, this means that accessing the subsequent elements of a column you are accessing different lines in a cache.

When the content of the corresponding entry of the transposed matrix is written, the entire cache line is flagged as “dirty”. To enforce the memory-cache coherency, the line must then be flushed back in memory if it is replaced.



# Strided access



writeback  
to mem

writeback  
to mem

Then, when you are back again on the first line, which is flagged dirty, and you continue the col-major on the next column. At this point, before you can access that location, the line is written back in memory and refreshed

If you wrote in row-major, instead, you would write an entire line of cache that is afterwards entirely written back into memory. This greatly reduces the cache-memory transactions.



Let  $C$  be the cache size and  $L_C$  the cache line size, we should expect 3 different regimes:

1.  $2 \times N^2 < C$

both matrices can fit in the cache, traversal order and locality does not impact on performance (bandwidth ~ maximum)

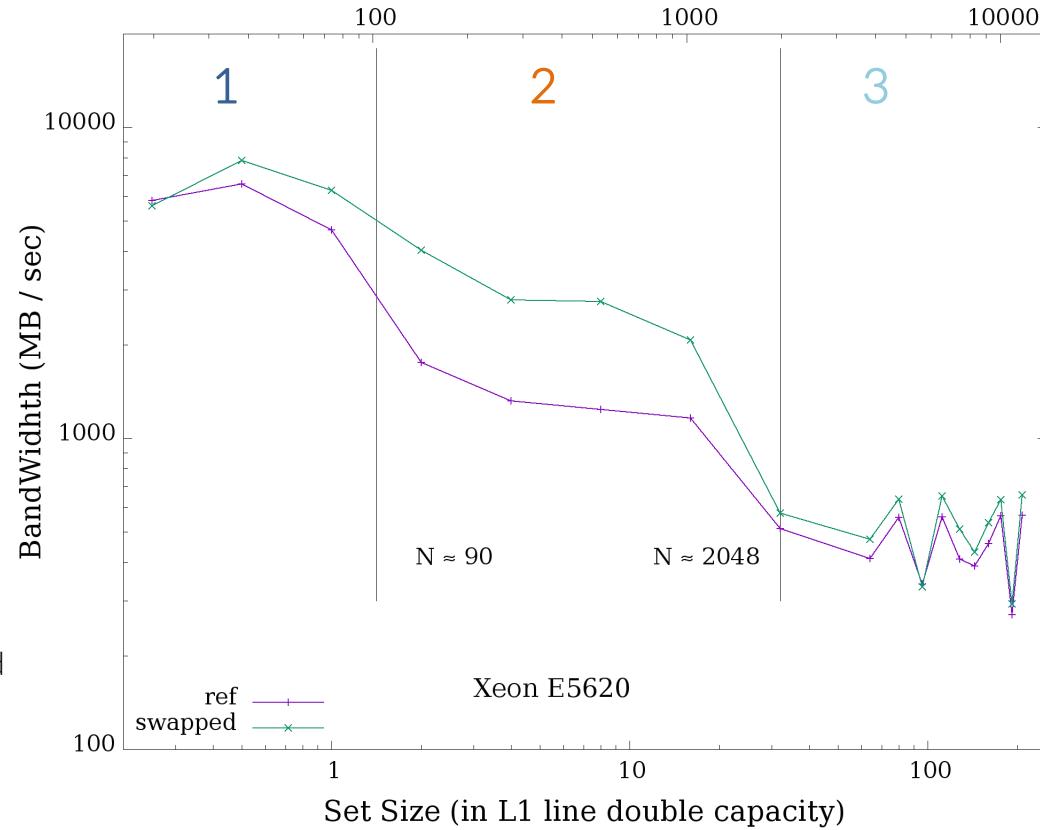
2.  $N \times L_C < C$

strided write is alleviated by fraction of column fitting in the cache

3.  $N > C \times L_C$

Each access to A determines a cache miss and a *write-allocate*.

A sharp drop in performance is expected since basically only one entry per line will be used.





## | Strided access

Let  $C$  be the cache size and  $L_C$  the cache line size, we should expect 3 different regimes:

1.  $2 \times N^2 < C$

both matrices can fit in the cache,

Inner cycle flipped:

$$\mathbf{A} [ \text{row} * N + \text{col} ] = \mathbf{B} [ \text{col} * N + \text{row} ]$$

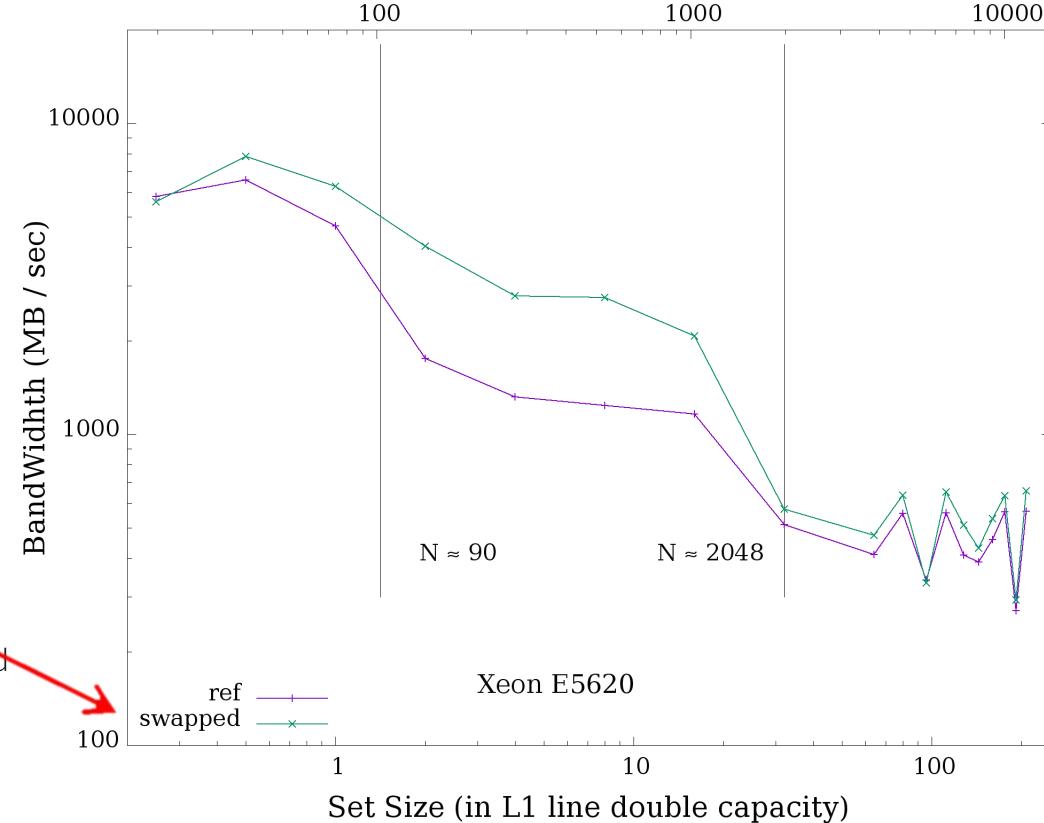
3.  $N > C \times L_C$

Each access to A determines a cache miss and a write-allocate.

A sharp drop in performance is expected since basically only one entry per line will be used.

Due to time constraints, we can't go in deeper details.

Ask if interested, though.





# Strided access

Let  $C$  be the cache size and  $L_C$  the cache line size, we should expect 3 different regimes:

1.  $2 \times$   
both  
trav  
imp  
max
2.  $N \times$   
strid  
colu
3.  $N >$   
Each  
miss

In the `day6/matrix_transpose` folder you find several examples sources.  
Among the others:

`matrix_transpose.c`

implements the read-by-rows-write-by-columns transposition

`matrix_transpose_swapped.c`

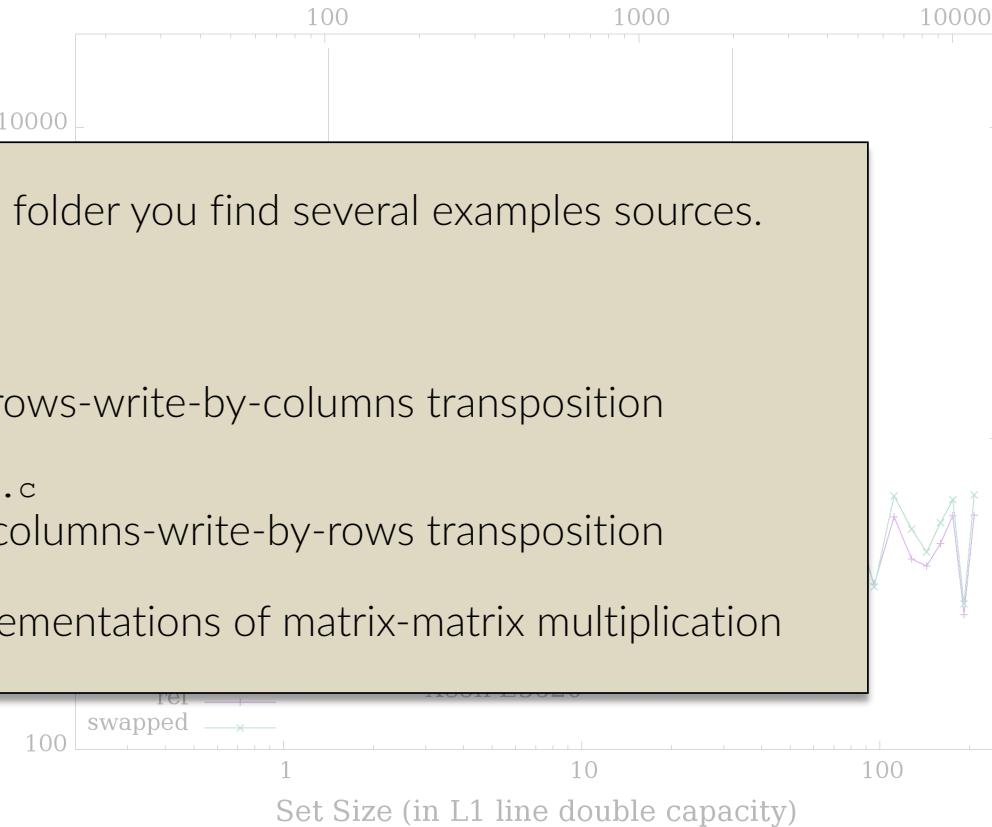
implements the read-by-columns-write-by-rows transposition

we'll see more advanced implementations of matrix-matrix multiplication

since basically only one entry per line  
will be used.

Due to time constraints, we can't go in deeper details.

Ask if interested, though.





# Cache-associativity conflicts

Let  $C$  be the cache size and  $L_C$  the cache line size, we should expect 3 different regimes:

1.  $2 \times N^2 < C$

both matrices can fit in the cache, traversal order and locality does not impact on performance (bandwidth ~ maximum)

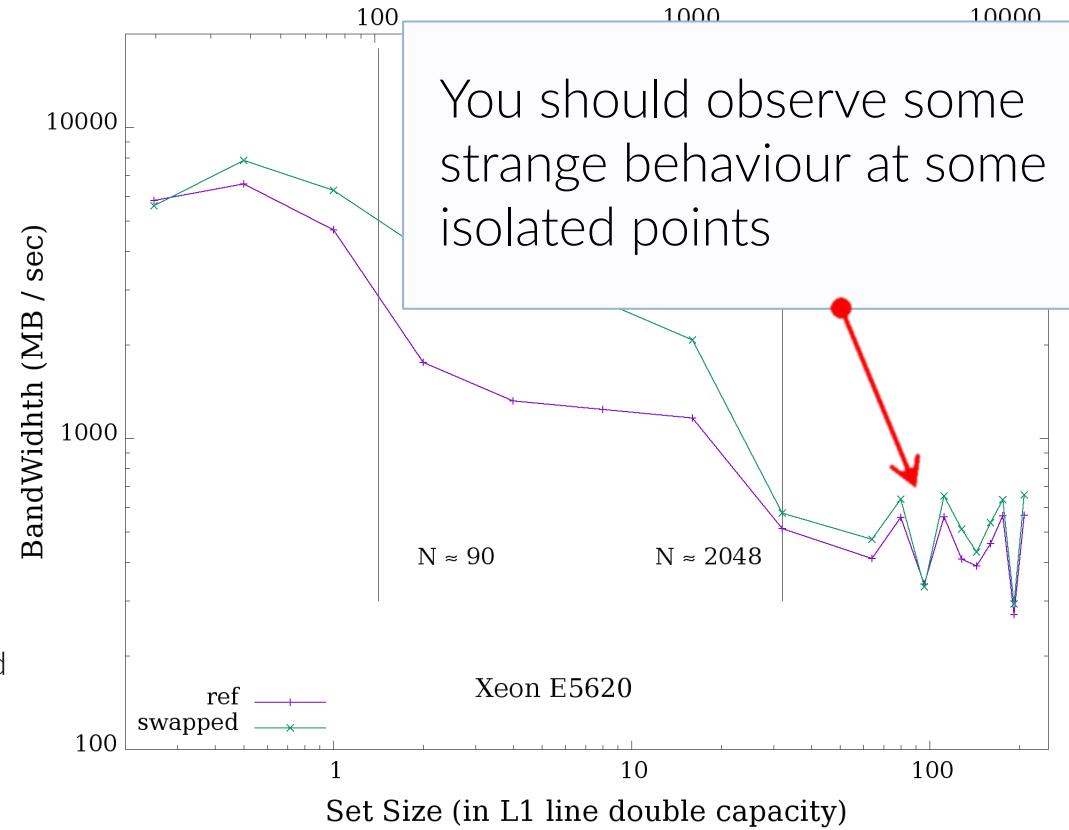
2.  $N \times L_C < C$

strided write is alleviated by fraction of column fitting in the cache

3.  $N > C \times L_C$

Each access to A determines a cache miss and a *write-allocate*.

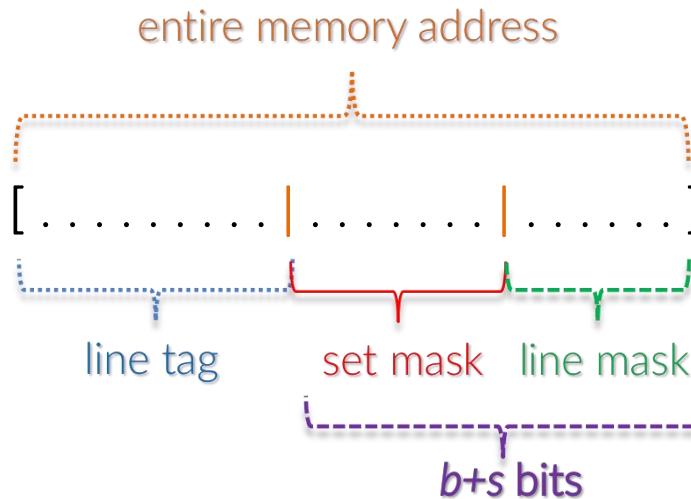
A sharp drop in performance is expected since basically only one entry per line will be used.





# Cache-associativity conflicts

## Cache associativity conflicts ("cache resonance")



You know that your cache of size  $c$  bytes is  $w$ -way associative: it means that your cache is made up by lines of size  $L$  bytes, grouped in  $w$ -sized sets.

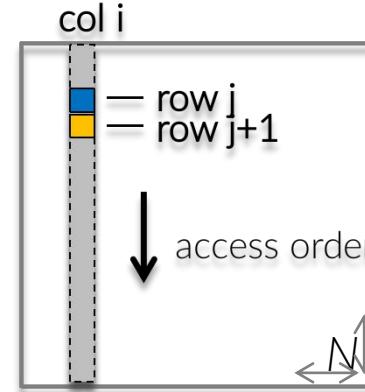
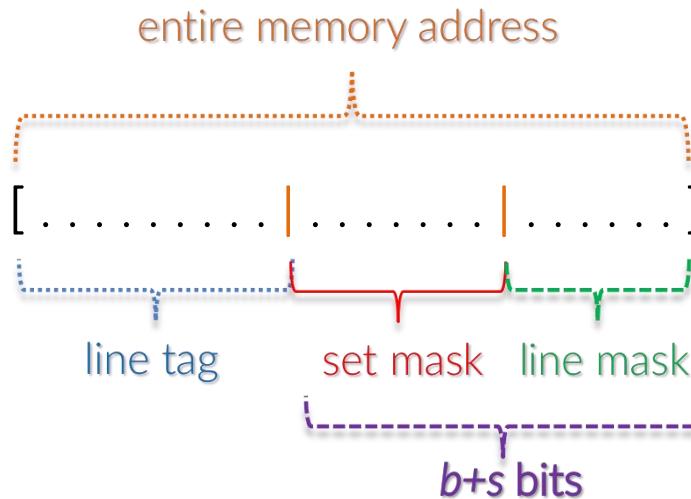
Typical figures nowadays are:  $L = 64B (=2^b$  bytes),  $w = 4-8$ ,  $c = 32KB$  (i.e. there are  $c/(L \times w) = 64-128 (= 2^s$  sets).

To map the memory addresses into the cache, the first  $b+s$  bits are used to determine to what byte in a free line of what cache set that address will be stored.



# Cache-associativity conflicts

## Cache associativity conflicts ("cache resonance")



Matrix  $T$

Accessing the element  $i, j+1$  of the transposed matrix, the stride with respect to previously accessed element  $i, j$  will amount to:

$$\text{offset} = N \times W \text{ bytes}$$

where  $N$  is the matrix size and  $W$  is the type size (e.g. double, 8 bytes).

If  $N$  is a power of 2,  $N = 2^n$ ; if  $W = 2^d$  bytes.

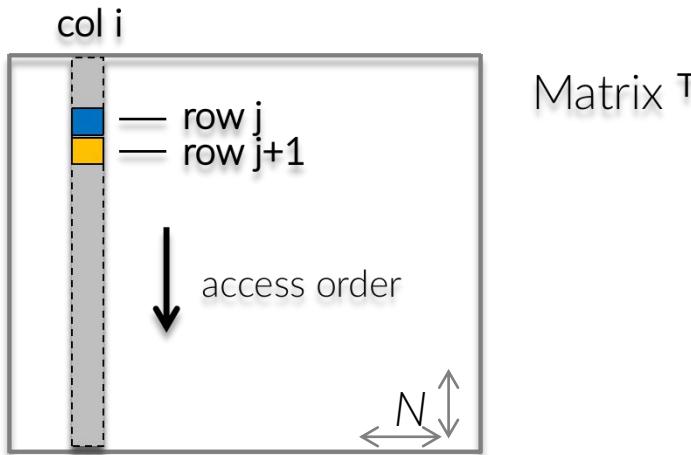
$$\text{offset} = 2^{n+d} \text{ bytes}$$

then if  $n+d > b+s$ , the address of  $i, j+1$  is mapped in the same set than  $i, j$  and then (since we assume  $N \gg w$ ) at least every  $w$  accesses there is a cache conflict.



# Cache-associativity conflicts

## Cache associativity conflicts ("cache resonance")



Padding may be a simple but effective cure for the issue of cache resonance.

Adding one column to the transposed matrix, which then would be  $(N+k) \times N$ , means that when the element  $i, j+1$  is accessed, the stride with respect to previously accessed element  $i, j$  amounts to:

$$\text{offset} = (N+k) \times W \text{ bytes} = 2^{n+d} + k2^d \text{ bytes}$$

If  $N$  is a power of 2,  $N = 2^n$ , with  $W = 2^d$  bytes,

$$\text{offset} = 2^{n+d} + k2^d \text{ bytes}$$

then if

$$2^{b+s} < 2^{n+d} + k2^d$$

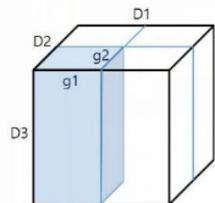
the address of  $i, j+1$  is mapped on a different cache set, alleviating the cache conflicts



# Cache-associativity conflicts

## 3.2 Padding for Set-associative Caches

To extend this result to the set-associative case for all  $i$ ,  $1 \leq i \leq d-1$ , we introduce the characteristic number  $g_i$  of dimension  $i$  with respect to the cache size. Intuitively, as depicted (square at right) for  $A = 4$ , we will establish that if the enclosed tile  $g_1 \times \cdots \times g_{d-1} \times D_d$  is free of self-interference conflicts in a direct-mapped cache, then the  $A$ -times larger tile  $D_1 D_2 \cdots D_d$  is free of self-interference conflicts in an  $A$ -associative cache of the same capacity.



**Theorem 2** (Associative cache). *Consider a set-associative cache of capacity  $C = SAB$ . For all  $1 \leq i \leq d-1$ , let  $g_i = \gcd(S / \prod_{1 \leq k \leq i-1} g_k, N_i)$ . A loop nest whose tiles have a  $d$ -dimensional array footprint can fully utilize the cache and remain free of self-interference if and only if the following conditions are met:*

1.  $\forall i, 1 \leq i \leq d-1, \exists j, 1 \leq j \leq i, \prod_{1 \leq k \leq i} g_k$  divides  $D_j \prod_{1 \leq i \leq j-1} g_i$ .
2.  $\exists i, 1 \leq i \leq d, S$  divides  $D_i \prod_{1 \leq k \leq i-1} g_k$ .

Padding may be a simple but effective cure for the issue of cache resonance.

People are doing a lot of impressive stuff and research about this issue.

The previous one was a simplistic explanation and a very naive solution of the problem  
(with, however, a possibly very good return-on-investment)

In the `day6/matrix_transpose` folder you find also:

`matrix_transpose_padded.c`  
implements `matrix_transpose.c` with padding

`matrix_transpose_swapped_padded.c`  
implements `matrix_transpose_swapped.c` with padding



# *Traversing the data to enhance locality*

2 examples:

- 1) blocking
- 2) the Morton  $z$  curve



# Organizing data to enhance locality

Scanline  
order  
row-major

Block order  
+ scan sub-  
order

(a) 0 1 2 3 4 5 6 7  
8 9 10 11 12 13 14 15  
16 17 18 19 20 21 22 23  
24 25 26 27 28 29 30 31  
32 33 34 35 36 37 38 39  
40 41 42 43 44 45 46 47  
48 49 50 51 52 53 54 55  
56 57 58 59 60 61 62 63

(b) 0 8 16 24 32 40 48 56  
1 9 17 25 33 41 49 57  
2 10 18 26 34 42 50 58  
3 11 19 27 35 43 51 59  
4 12 20 28 36 44 52 60  
5 13 21 29 37 45 53 61  
6 14 22 30 38 46 54 62  
7 15 23 31 39 47 55 63

(c) 0 1 2 3 16 17 18 19  
4 5 6 7 20 21 22 23  
8 9 10 11 24 25 26 27  
12 13 14 15 28 29 30 31  
32 33 34 35 48 49 50 51  
36 37 38 39 52 53 54 55  
40 41 42 43 56 57 58 59  
44 45 46 47 60 61 62 63

Scanline order  
col-major



# Traversing matrices by blocks

A common technique in matrix-matrix multiplication  $A \times B = C$ , or in matrix inversion is to process the matrices by blocks instead of traversing entire rows/columns.

Obviously, if the block size is chosen wisely, that enhances the possibility that all the 3 blocks (from A, B and C) are hosted in L1

0	1	2	3	16	17	18	19
4	5	6	7	20	21	22	23
8	9	10	11	24	25	26	27
12	13	14	15	28	29	30	31
32	33	34	35	48	49	50	51
36	37	38	39	52	53	54	55
40	41	42	43	56	57	58	59
44	45	46	47	60	61	62	63

```
void mblock (int n, int bsize,
             double *A, double *B, double *C)
{
    for (int ii = 0; ii < bsize; ++ii)
        for (int jj = 0; jj < bsize; ++jj) {
            int jjn = jj*n;
            double cij = C[jjn + ii]; /* cij = C[i][j] */

            for( int kk = 0; kk < bsize; kk++ )
                cij += A[ii+kk*n] * B[kk+jjn]; /* cij += A[i][k]*B[k][j] */

            C[ii+jjn] = cij; } /* C[i][j] = cij */
}

void dgemm (int n, int bsize, double* A, double* B, double* C)
/* C_ij = SUM A_ik * B_kj */
{
    for ( int j = 0; j < n; j += bsize )
        for ( int i = 0; i < n; i += bsize )
            for ( int k = 0; k < n; k += bsize ) {
                double *AA = A + k*n + i;
                double *BB = B + j*n + k;
                double *CC = C + i*n + j;
                mblock(n, bsize, AA, BB, CC); }

    note: this snippet does not take into account the case n%bsize != 0
}
```



# Organizing data to enhance locality

Scanline  
order  
row-major

Block order  
+ scan sub-  
order

(a) 0 1 2 3 4 5 6 7  
8 9 10 11 12 13 14 15  
16 17 18 19 20 21 22 23  
24 25 26 27 28 29 30 31  
32 33 34 35 36 37 38 39  
40 41 42 43 44 45 46 47  
48 49 50 51 52 53 54 55  
56 57 58 59 60 61 62 63

(b) 0 8 16 24 32 40 48 56  
1 9 17 25 33 41 49 57  
2 10 18 26 34 42 50 58  
3 11 19 27 35 43 51 59  
4 12 20 28 36 44 52 60  
5 13 21 29 37 45 53 61  
6 14 22 30 38 46 54 62  
7 15 23 31 39 47 55 63

(c) 0 1 2 3 16 17 18 19  
4 5 6 7 20 21 22 23  
8 9 10 11 24 25 26 27  
12 13 14 15 28 29 30 31  
32 33 34 35 48 49 50 51  
36 37 38 39 52 53 54 55  
40 41 42 43 56 57 58 59  
44 45 46 47 60 61 62 63

Scanline order  
col-major

What if you could generate the same by-blocks pattern “naturally”, without hard-coding it into the code, which brings a fine-tuning of a parameter (the value of the block size) on a given platform?

The performance may be not as optimal as with a fine-tuning but the average performance on all platforms may result to be very good.



# Organizing data to enhance locality

Scanline  
order  
row-major

Block order  
+ scan sub-  
order

(a) 0 1 2 3 4 5 6 7  
8 9 10 11 12 13 14 15  
16 17 18 19 20 21 22 23  
24 25 26 27 28 29 30 31  
32 33 34 35 36 37 38 39  
40 41 42 43 44 45 46 47  
48 49 50 51 52 53 54 55  
56 57 58 59 60 61 62 63

(b) 0 8 16 24 32 40 48 56  
1 9 17 25 33 41 49 57  
2 10 18 26 34 42 50 58  
3 11 19 27 35 43 51 59  
4 12 20 28 36 44 52 60  
5 13 21 29 37 45 53 61  
6 14 22 30 38 46 54 62  
7 15 23 31 39 47 55 63

(c) 0 1 2 3 16 17 18 19  
4 5 6 7 20 21 22 23  
8 9 10 11 24 25 26 27  
12 13 14 15 28 29 30 31  
32 33 34 35 48 49 50 51  
36 37 38 39 52 53 54 55  
40 41 42 43 56 57 58 59  
44 45 46 47 60 61 62 63

(d) 0 1 4 5 16 17 20 21  
2 3 6 7 18 19 22 23  
8 9 12 13 24 25 28 29  
10 11 14 15 26 27 30 31  
32 33 36 37 48 49 52 53  
34 35 38 39 50 51 54 55  
40 41 44 45 56 57 60 61  
42 43 46 47 58 59 62 63

Scanline order  
col-major

Z- order or  
Bit-interleaved  
or  
Morton order



# | The *z*-Order

Let's say you want to map a linear access order

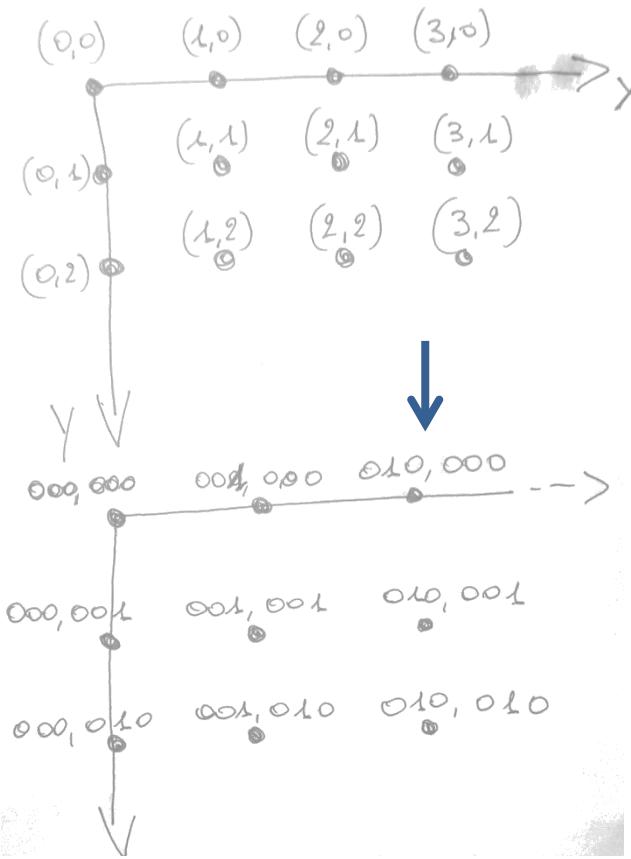
**0, 1, 2, 3, 4, 5, ..., nth**

on some spatially-distributed data with integer coordinates.

Now, let's rewrite the linear access in base 2:

**0000, 0001, 0010, 0011, 0100, 0101, ...**

What happens if the *bits* of the indexes of our traversal order are taken from the *bits* of the spatial coordinates of our points with a peculiar reshuffling?

| The *z*-Order

Let's define the binary representation of an integer number  $x$  :

$$x = x_i \dots x_2 x_1 x_0$$

so that a couple  $(x,y)$  reads as:

$$(x_i \dots x_2 x_1 x_0, y_i \dots y_2 y_1 y_0)$$

Then let's define the following reshuffle so to *interleave the bits*

$$(x,y) \rightarrow (y_i x_i \dots y_2 x_2 y_1 x_1 y_0 x_0)$$

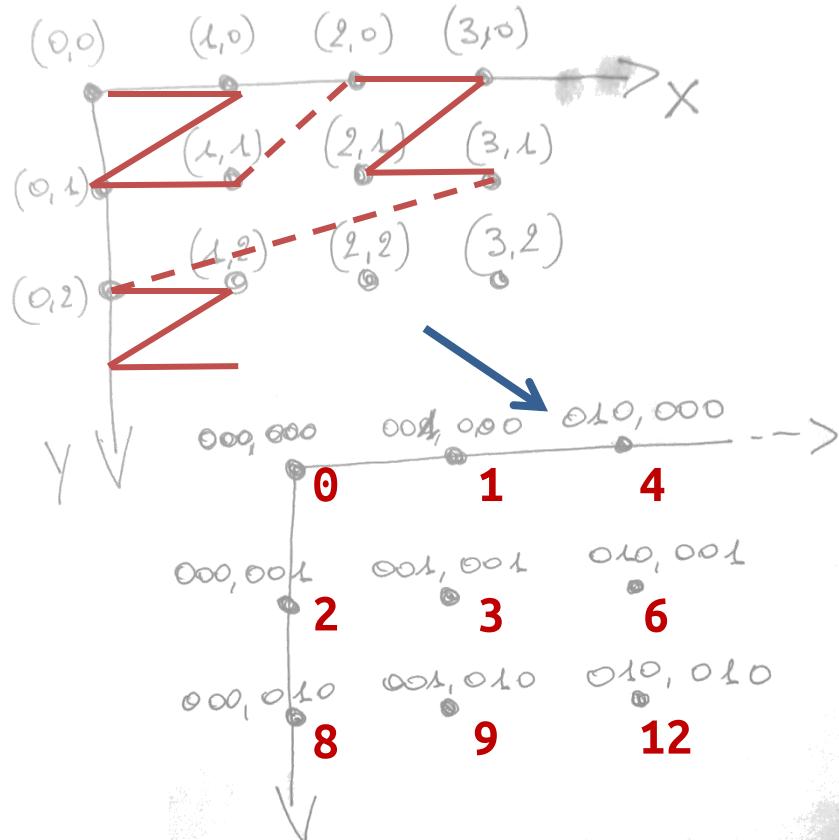
$$(0,0) \rightarrow 00 \ 00 = 0$$

$$(1,0) \rightarrow 00 \ 01 = 1$$

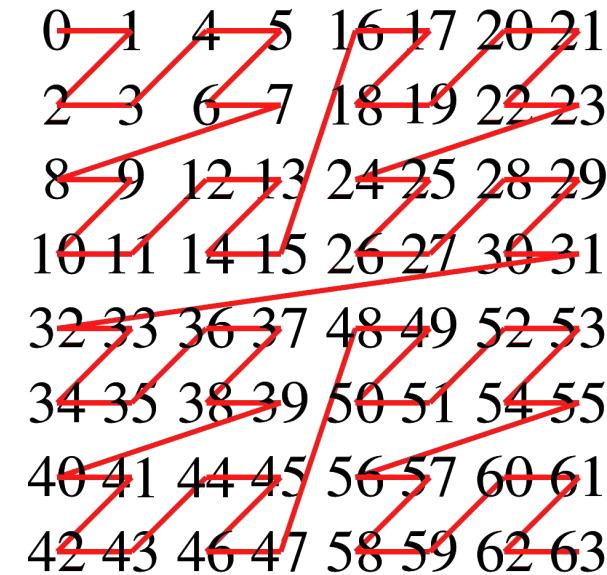
$$(2,0) \rightarrow 01 \ 00 = 4$$

$$(0,1) \rightarrow 00 \ 10 = 2$$

$$(1,1) \rightarrow 00 \ 11 = 3 \dots$$

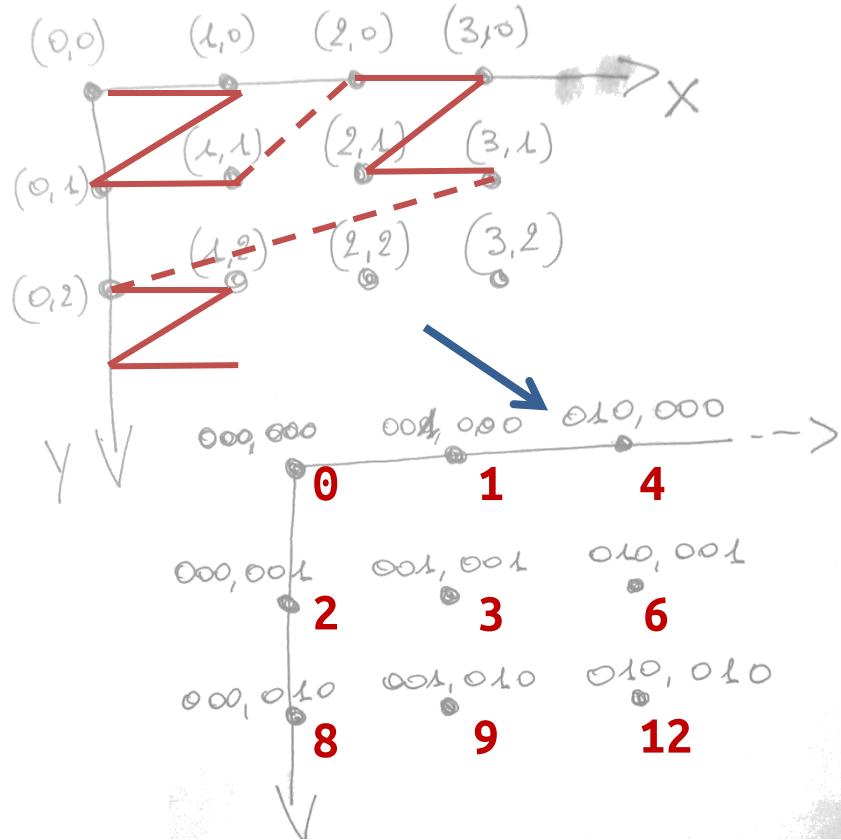
| The *z*-Order

The result of this interleaving is a mapping from the 2-D plane to the 1-D line known as Z-line which is one of the **plane-filling curves** discovered by Peano

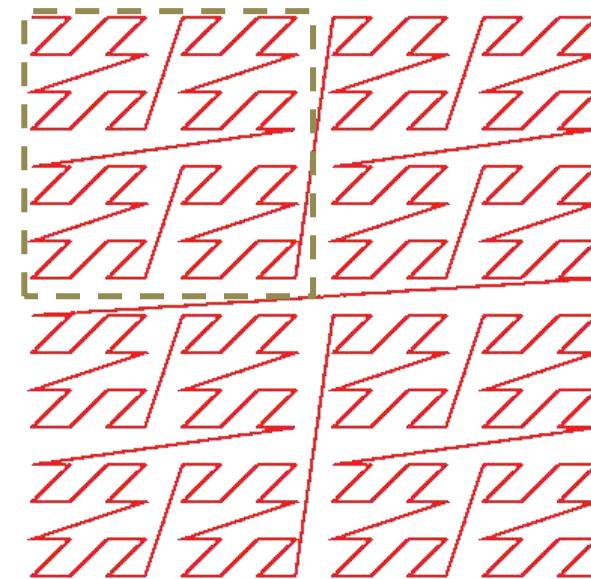




## | The z-Order



The result of this interleaving is a mapping from the 2-D plane to the 1-D line known as Z-line which is one of the **plane-filling curves** discovered by Peano





# Organizing the data to enhance locality

2 examples:

- 1) hot & cold fields
- 2) the space-filling curves (again, but differently)



# Organizing data to enhance locality

When the memory bandwidth is “limited” – which may be the case for highly parallel + multicore systems with a strong NUMA hierarchy, **data locality optimization** can play a strong role.

Re-organizing data in “space” (whichever is their  $n$ -dimensional space) so that the access pattern is optimal for a given algorithm is related to such locality optimization.



# Hot & cold fields

Reorder the fields in structures so that what is used together stays together

Linked-list node

```
struct my_node {  
    double   key;  
    char     my_data[300];  
    my_node *next_node; }
```

Linked-list traversal

```
void myfunc(my_node *p, double key, <...>)  
{  
    while( p != NULL ) {  
        if( p->key == key ) {  
            do_something( <...> );  
            break;  
        }  
        p = p → next_node;  
    }  
}
```



# Hot & cold fields

Reorder the fields in structures so that what is used together stays together

Since we are looking for a unique node in the list, the one that has the **key** we are looking for, all but one nodes are discarded in our search.

Then, the usual execution pattern is

```
while( p != NULL ) {
    if( p->key == key ) {}
    p = p → next_node; }
```

Or, in other words, the **key** and **next\_node** fields are temporary local, in that they are accessed one after the other.

Linked-list traversal

```
void myfunc(my_node *p, double key, <...>)
{
    while( p != NULL ) {
        if( p->key == key ) {
            do_something( <...> );
            break;
        }
        p = p → next_node;
    }
}
```



# Hot & cold fields

Reorder the fields in structures so that what is used together stays together

However, there are 300 bytes in between **key** and **next\_node**, which is not an optimal way of organizing the data because for sure they are not spatially local neither in memory nor in cache, while they are temporally local.

```
struct my_node
{
    double   key;
    char     my_data[300];
    my_node *next_node;
}
```



# Hot & cold fields

Reorder the fields in structures so that what is used together stays together

```
struct my_node
{
    double   key;
    char     my_data[300];
    my_node *next_node;
}
```



```
struct my_node
{
    double   key;
    my_node *next_node;
    char     my_data[300];
}
```

A first move is simply to swap the position of `my_data` and `next_node`.

That is a simple example, to clarify what it means to optimize the data layout for cache locality



# Hot & cold fields

Reorder the fields in structures so that what is used together stays together

Still, the data bunch **my\_data** is the real breaker.

A most significant move, is to separate the *metadata* **key** and **next\_node** from the *data* **my\_data** that are accessed only once the search in the linked list is successful.

During the traversal of the linked list, **key** and **next\_node** fields are the *hot* fields, while the **my\_data** is a *cold* field.

A good strategy in general, is to keep the hot fields (i.e. data temporally close) spatially close together as much as possible.

```
struct my_node
{
    double   key;
    my_node *next_node;
    char     my_data[300];
}
```



## | Hot &amp; cold fields

Split the fields so that to keep consecutive the fields that are used sequentially

```
struct my_node
{
    double   key;
    char
my_data[300];
    my_node *next_node;
}
```



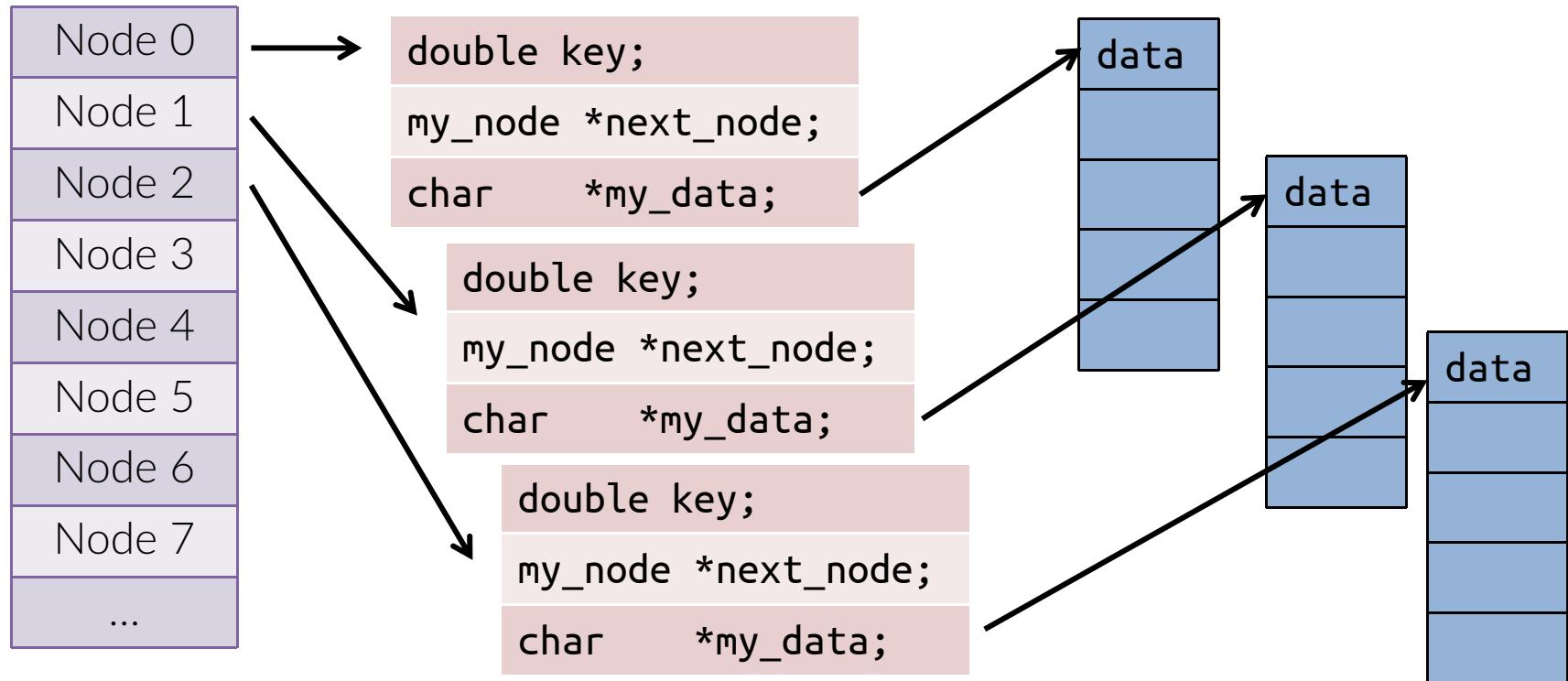
```
struct my_node
{
    double   key;
    my_node *next_node;
    void     *my_data;
}

struct my_data
{
    char data[300];
}
```



# Hot & cold fields

Those are called *hot* and *cold* fields





# Organizing data to enhance locality

Ex. 1: data pattern might be trivial, as in matrix transpose/mul

→ very specific ordering or pattern design

Ex. 2: data pattern may be spatially-coherent but unknown before it happens. For instance, in radiative transfer

→ optimization of data needed for a general case



# Organizing data to enhance locality

In the “space” the data live in – for instance our usual 3D space – there might be a metric that correlates with spatial coherence.

Generally, it is more probable to access in a short time lapse points that are also spatially “close”.

Then, two obvious strategies to exploit this are

- Minimizing the distance distortion
- Preserve the locality

i.e. keeping close in the 1D memory world the points that are close in  $n$ -dimensions enhances the probability of using neighbouring memory locations while they are still in the cache.



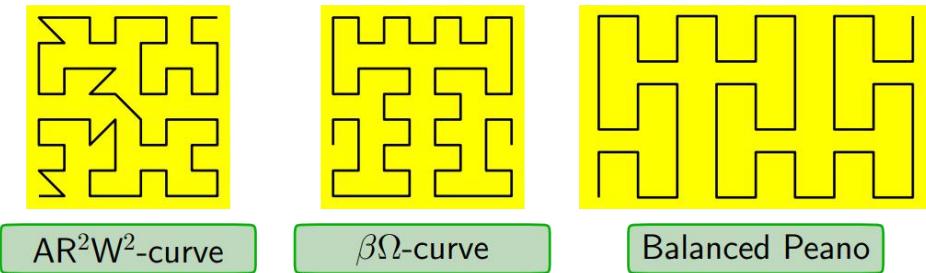
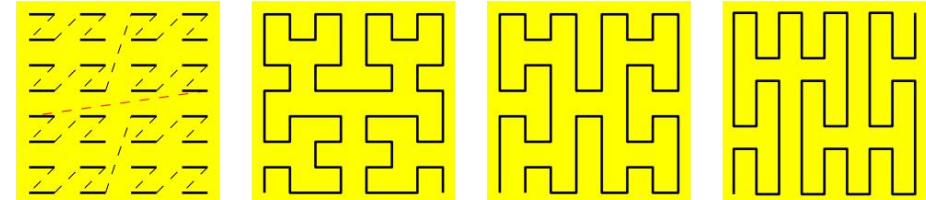
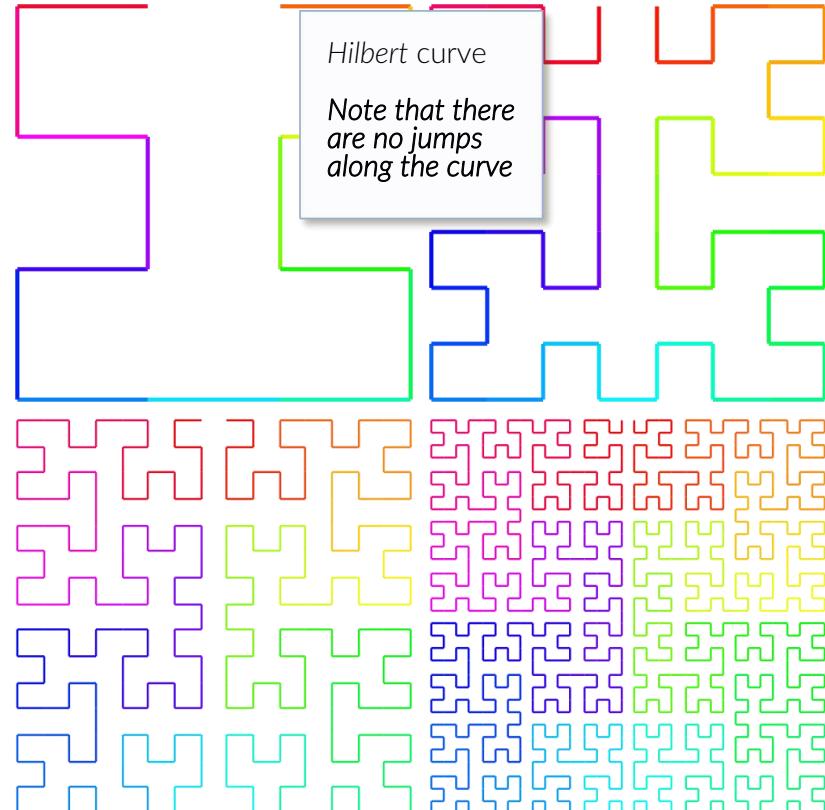
What is the “minimum” distance distortion ?

In 1-D the answer is trivial.

In 2-D the answer is less so, or not trivial at all, and it is increasingly less trivial as the number of dimensions grows.



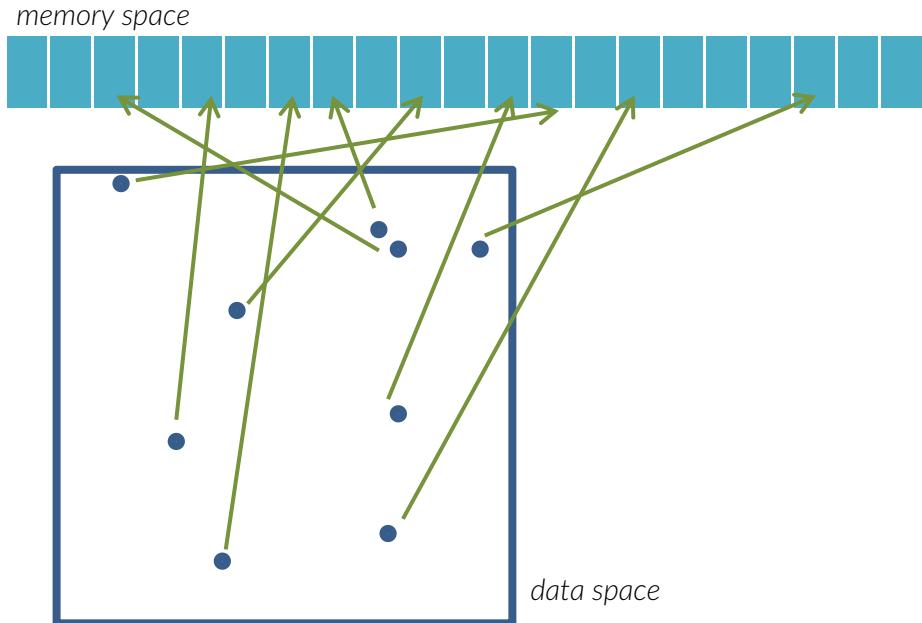
# | The space-filling curves



Each curve has some peculiar properties which reflects in the distance distortion they provide, i.e. on their performance in keeping “locality” in different situations



# | The space-filling curves

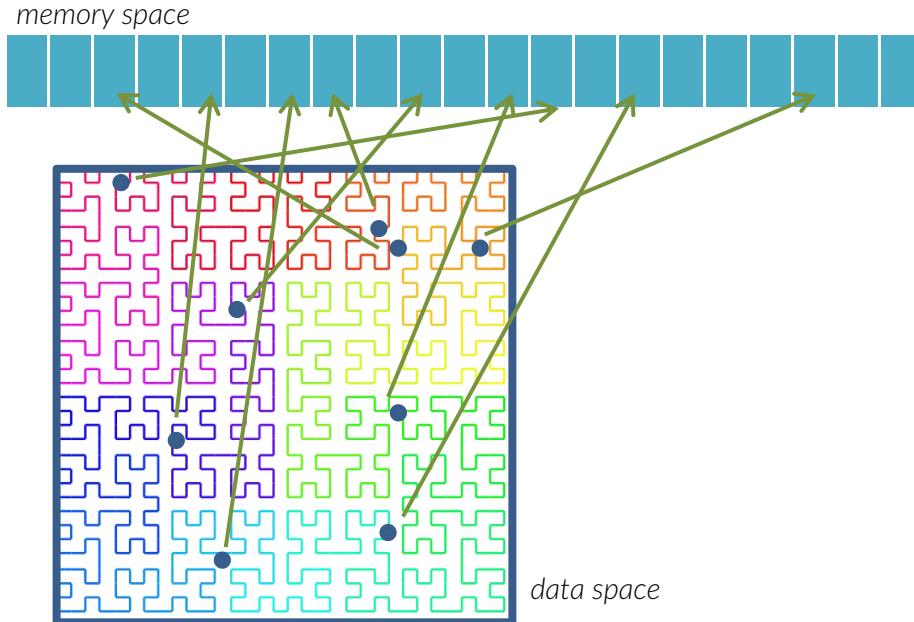


Let's say that your data space has 2D, like in the figure on the left, and that data live in memory in non particular order (meaning that there is no relation between the memory position and the position in data space).

To re-order the data in memory so that to preserve their locality, a space-filling curve can be used.



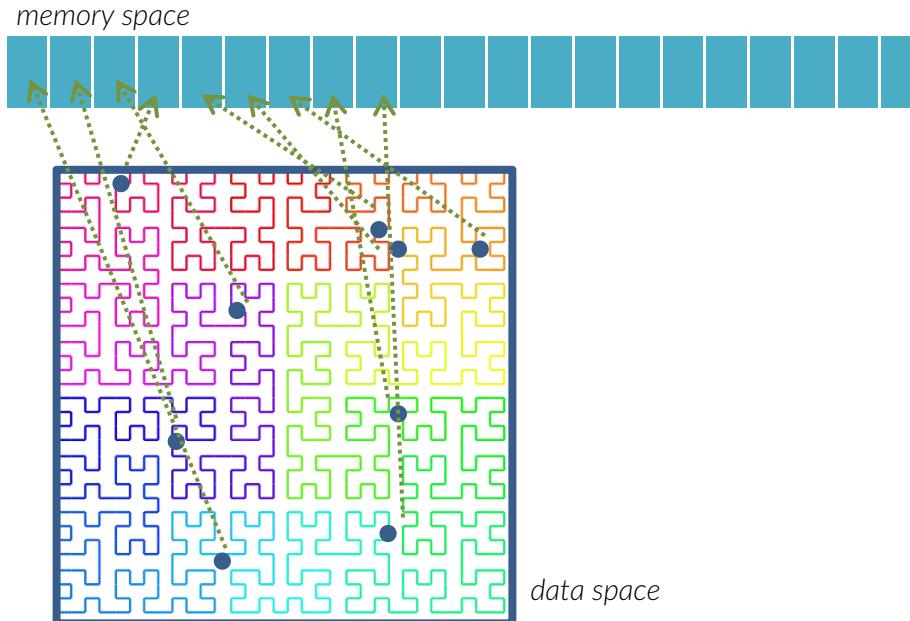
# | The space-filling curves



STEP 1: calculate the 1D index of each data along the curve (in this case, a Peano-Hilbert curve)



# | The space-filling curves

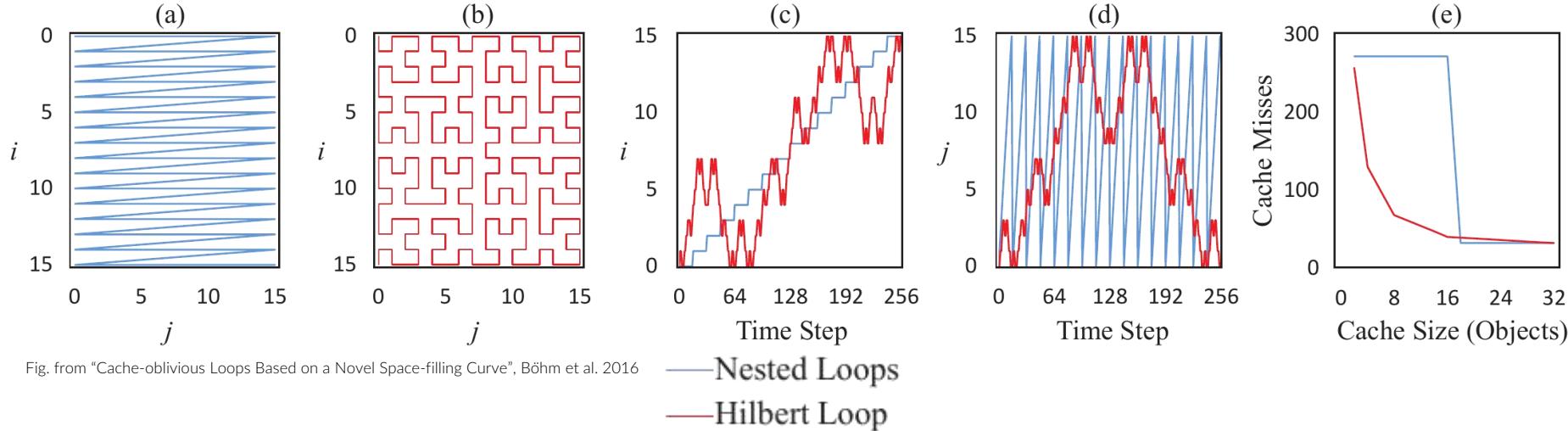


STEP 1: calculate the 1D index of each data along the curve (in this case, a Peano-Hilbert curve).

STEP 2: sort data in memory according to their index.



# The space-filling curves



The space-filling curves can also be used to determine the traversal order of structured data; this plots report the traversal order for classic nested-loops and the Hilbert Curve.  
Note the increased locality in  $j$  (panel d) and the highly reduced number of cache misses.



# Main message about cache

How you place the data in the memory and how you access them is of paramount importance.

This impacts directly on the *data model* that you choose and implement, and on how you design your workflow.

We'll see more details in the next lectures, when we'll talk about pipelines and vectorization.

that's all, have fun

"So long  
and thanks  
for all the fish"