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MSc Data Science and Scientific Computing





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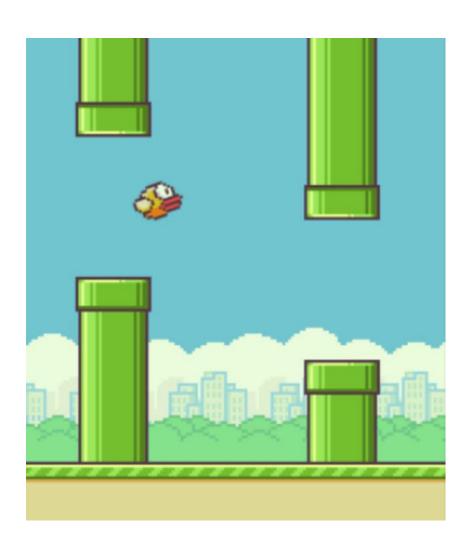


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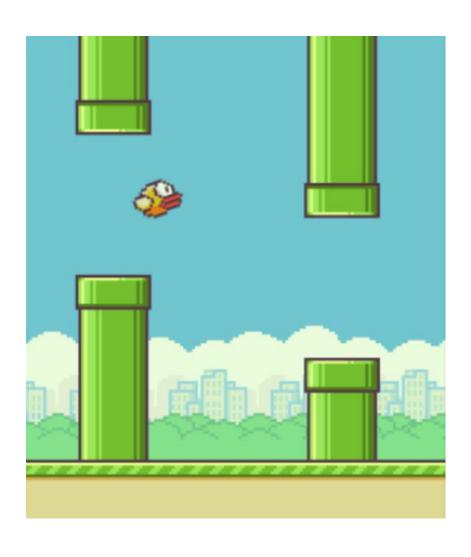
Flappy bird



- Tap -> The bird flies up
- Do not tap -> The bird moves down

Aim: keep the bird alive as long as possible

Flappy bird



- Tap -> The bird flies up
- Do not tap -> The bird moves down

Aim: keep the bird alive as long as possible

Player	Best score
Michele	5
Samuele	29
Elena	6

Text-flappy-bird environment [1]

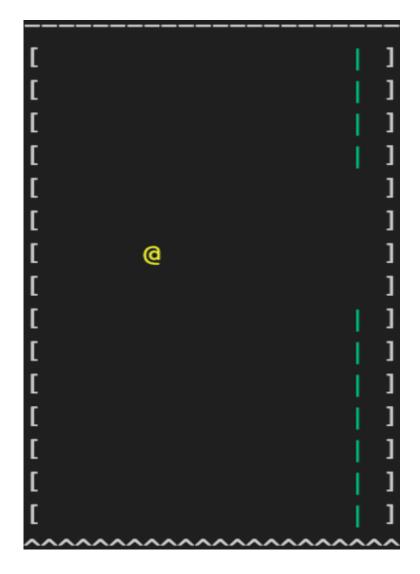
Rules:

- If you flap you move up by 1
- If you don't, you move down

Reward: +1 for every step until the game ends

Game ends:

- It touches the pipe
- It touches the floor



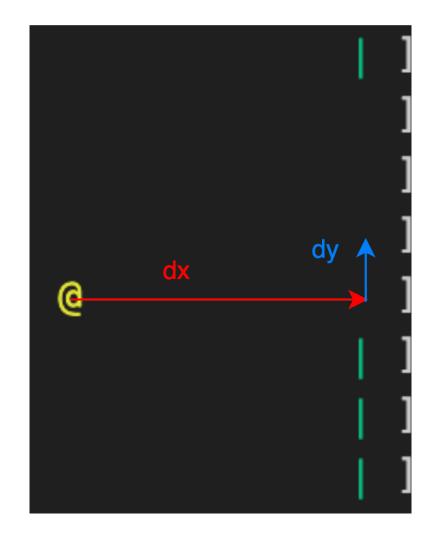
Model-free approach

States (dx, dy):

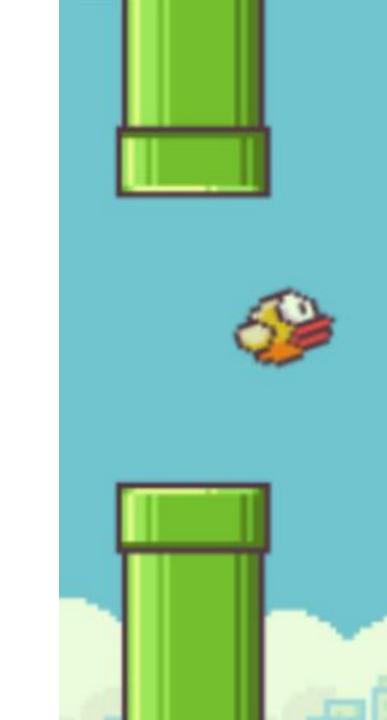
- 14 possible dx
- 22 possible dy

Actions

- 0 Remain Idle
- 1 Flap

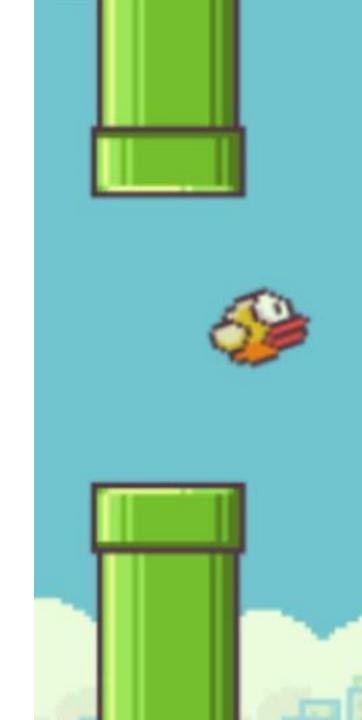


General setup



General setup

- Techniques tried out
 - Monte Carlo Control*
 - Sarsa*
 - Expected Sarsa*
 - Q-learning**

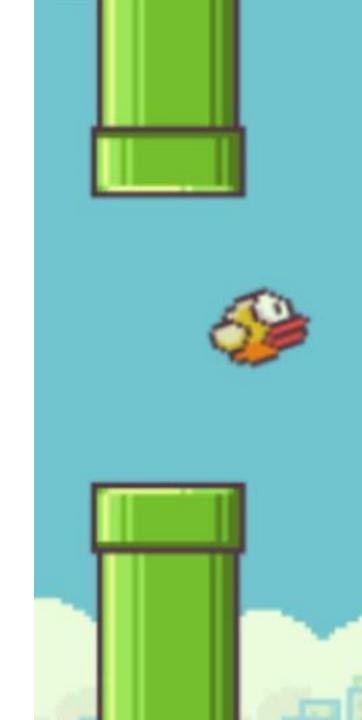


^{*}On-policy technique

^{**} Off-policy technique

General setup

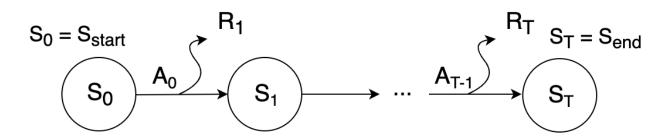
- Techniques tried out
 - Monte Carlo Control*
 - Sarsa*
 - Expected Sarsa*
 - Q-learning**
- Study on behaviour of hyper-parameters
 - λ on Sarsa and Q-learning
 - k_{lpha} on Sarsa, Q-learning and Expected Sarsa
 - k_{ε} on all the techniques



^{*}On-policy technique

^{**} Off-policy technique

Monte Carlo Control



This is a on-policy control method based on first-visit MC

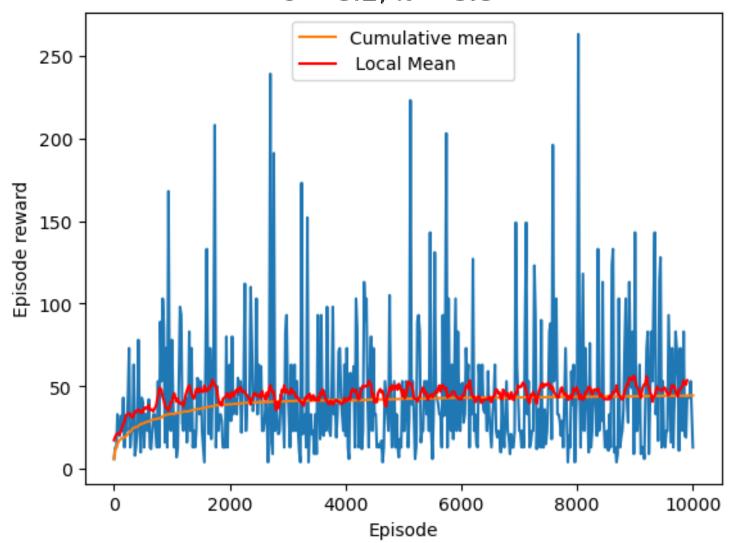
Update the current estimate of action-value function:

$$Q(S_t, A_t) \leftarrow \text{average}(Returns(S_t))$$

 $Returns(S_t, A_t)$ stores the first-visit return of (S_t, A_t) for all the episodes generated so far.

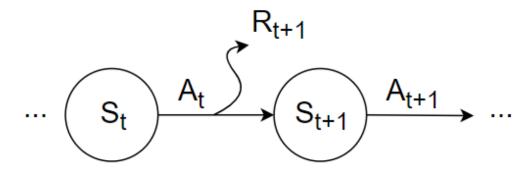
MC control cumulative rewards

$$\varepsilon_0 = 0.2, k = 0.0$$



SARSA: On-Policy TD Control

It consists of two main ideas:



Update the current estimate of action-value function

$$Q(S_t, A_t) \leftarrow Q(S_t, A_t) + \alpha[R_{t+1} + \gamma Q(S_{t+1}, A_{t+1}) - Q(S_t, A_t)]$$

• Construct an ϵ -greedy policy $\pi_t^{\epsilon}(s)$ given the current Q-value

Expected SARSA

Very simple modification to SARSA method.

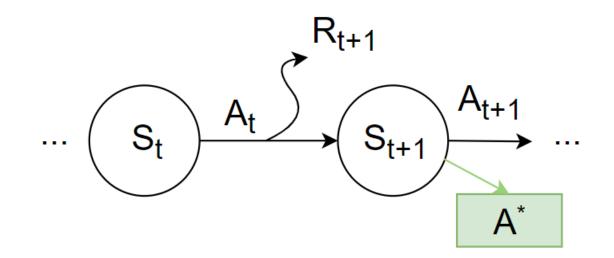
Update the current estimate of action-value function as follows:

$$Q(S_t, A_t) \leftarrow Q(S_t, A_t) + \alpha \left[R_{t+1} + \gamma \mathbb{E}_{\pi} [Q(S_{t+1}, A_{t+1}) \mid S_{t+1}] - Q(S_t, A_t) \right]$$

$$\leftarrow Q(S_t, A_t) + \alpha \left[R_{t+1} + \gamma \sum_{a} \pi(a \mid S_{t+1}) Q(S_{t+1}, a) - Q(S_t, A_t) \right]$$

Q-Learning: Off-Policy TD Control

Update the current estimate of action-value function:

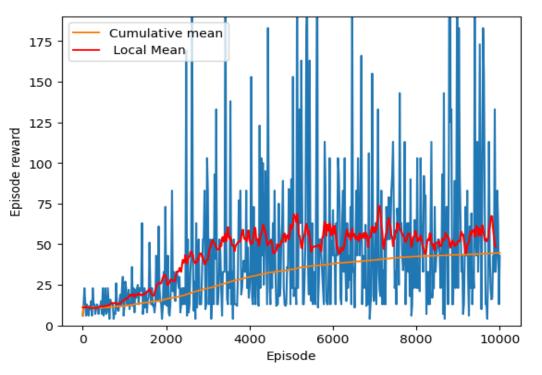


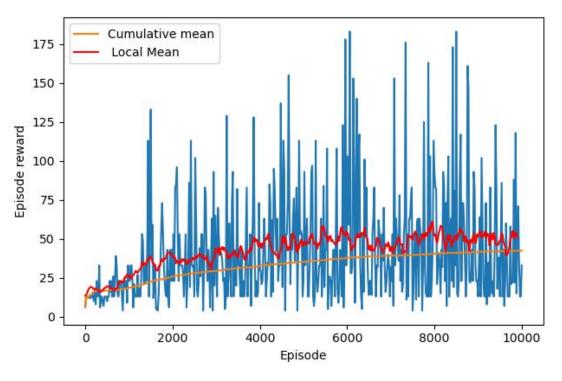
$$Q(S_t, A_t) \leftarrow Q(S_t, A_t) + lpha[R_{t+1} + \gamma \max_a Q(S_{t+1}, a) - Q(S_t, A_t)]$$

SARSA control cumulative rewards

Expected SARSA cumulative rewards

$$\varepsilon_0 = 0.2, k_{\varepsilon} = 0, \alpha_0 = 0.15, k_{\alpha} = 0$$





	SARSA	Expected SARSA
Mean	55.374	49.982
Standard Deviation	52.530	42.942

$TD(\lambda)$ Learning

The eligibility trace for each state s at time t is denoted as $e_t(s)$. On each step, the eligibility traces are updated as follows:

$$e_t(s,a) \leftarrow egin{cases} \gamma \lambda e_{t-1}(s,a) + 1 & ext{if } s = s_t, \, a = a_t \ \gamma \lambda e_{t-1}(s) & ext{otherwise} \end{cases}$$

- SARSA(λ) \rightarrow Fairly straighforward
- $Q(\lambda) \rightarrow$ Some care handling eligibility traces

Hyperparameters

All the hyperparameters:

- t^* : until t^* we keep α and ε constant
- λ : determines eligibility decay
- α_0 : initial value of α
- ε_0 : initial value of ε
- k_{α} : controls how fast α goes to 0
- k_{ε} : controls how fast ε goes to 0

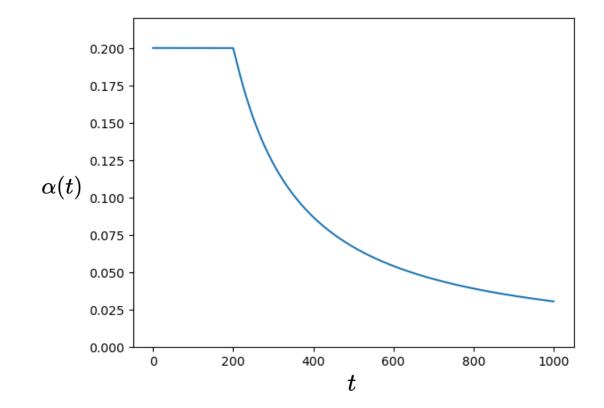
Change these

Convergence & Exploitation

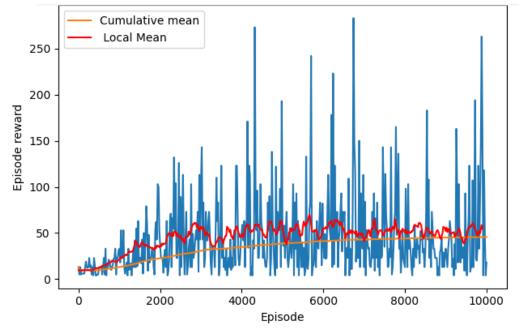
Use constant α and ϵ up to some point t^* , and then decrease them as

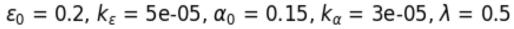
$$oldsymbol{lpha} lpha(t) = rac{lpha_0}{1 + k_lpha(t-t^\star)^{0.75}}$$

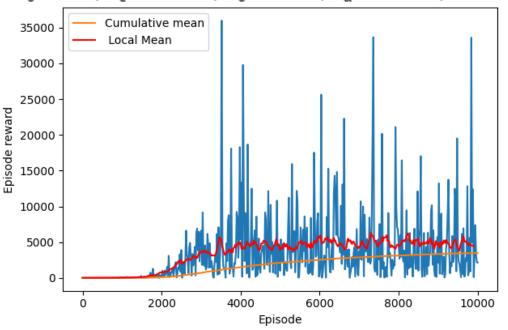
$$egin{aligned} egin{aligned} arepsilon(t) &= rac{arepsilon_0}{1 + k_arepsilon(t - t^\star)^{1.05}} \end{aligned}$$



$$\varepsilon_0 = 0.2, k_{\varepsilon} = 0, \alpha_0 = 0.15, k_{\alpha} = 0, \lambda = 0.0$$

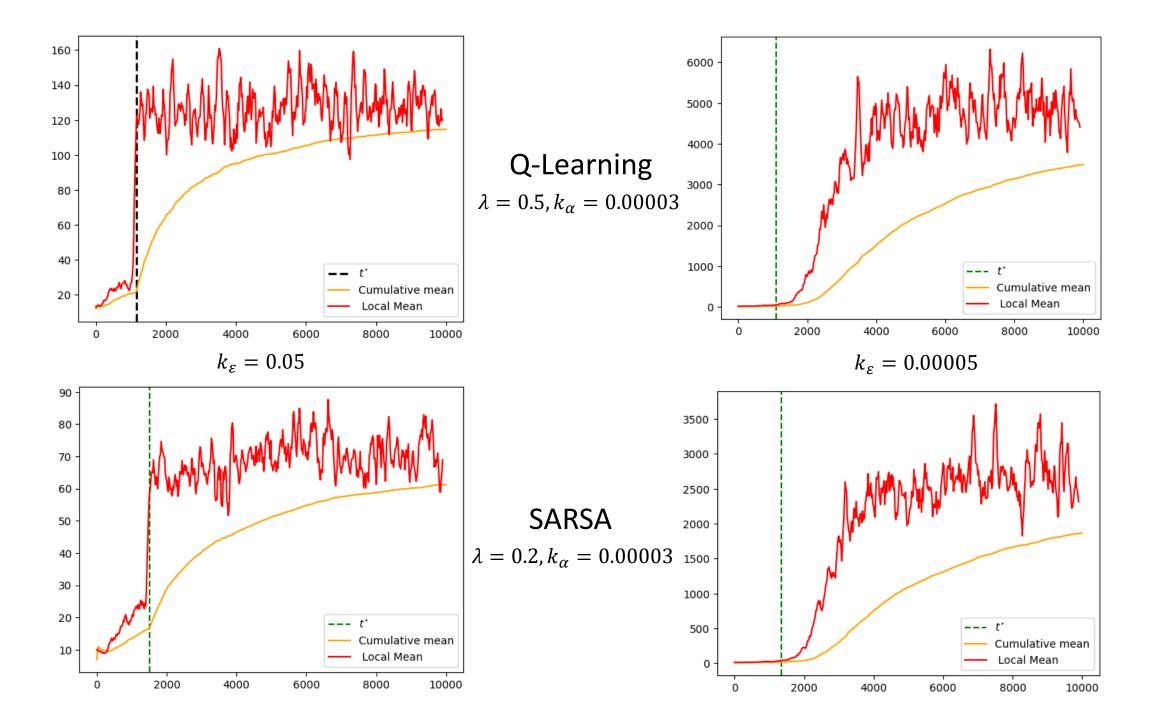






	Mean for fixed $lpha$ and $arepsilon$	Mean varying $lpha$ and $arepsilon$
Monte Carlo Control	48.74	199.46
SARSA	55.37	2633.70
Expected SARSA	49.98	127.30
Q-Learning	51.49	4783.61

Shown in the plots

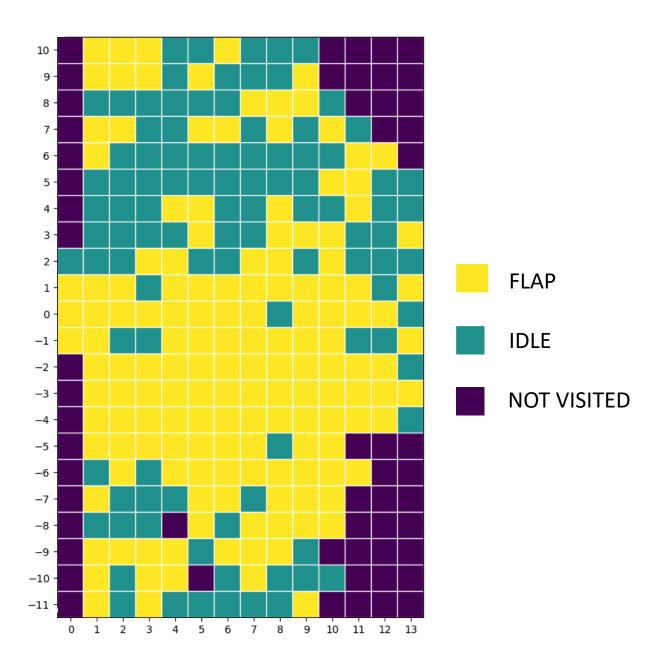


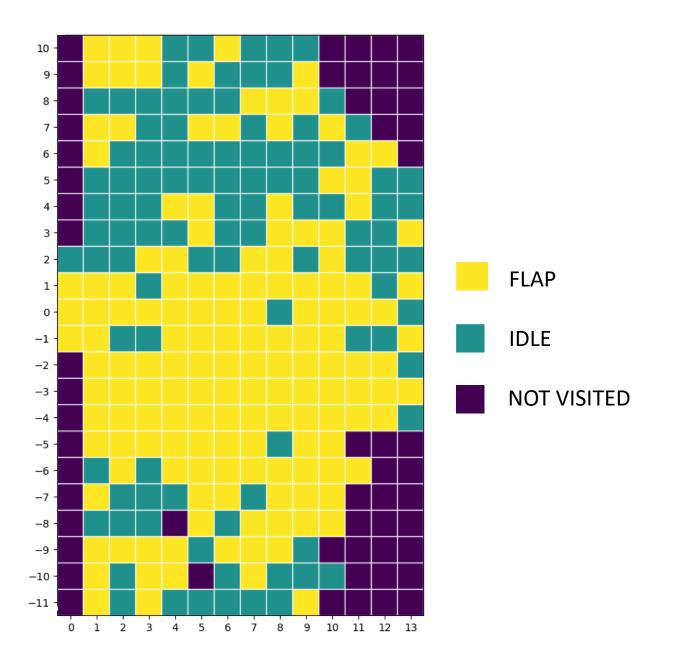
Final results

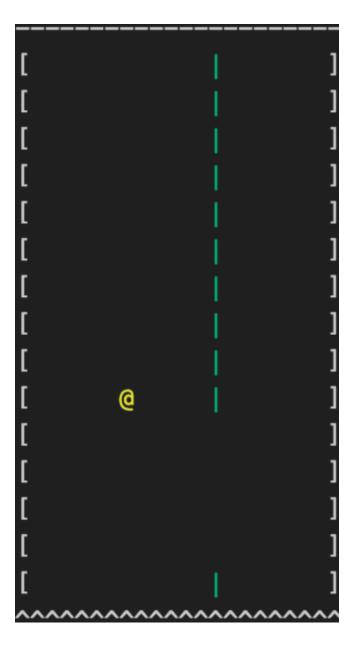
	Monte Carlo Control	SARSA	Expected SARSA	Q-Learning
λ	-	0.2	-	0.5
k_{lpha}	-	0.00003	0.0003	0.00003
k_{ϵ}	0.005	0.00005	0.00005	0.00005
Mean	199.46	2633.70	127.30	4783.61
Median	143	1831	93	3186
S.D.	175.70	2632.14	111.71	4952.15

Final results

	Monte Carlo Control	SARSA	Expected SARSA	Q-Learning
λ	-	0.2	-	0.5
k_{lpha}	-	0.00003	0.0003	0.00003
k_{ϵ}	0.005	0.00005	0.00005	0.00005
Mean	199.46	2633.70	127.30	4783.61
Median	143	1831	93	3186
S.D.	175.70	2632.14	111.71	4952.15







Further Work

• Perform a more in depth analysis of the hyper-parameters.

 More complex environment where agent receives frames as observations.

Thanks for the attention!



References

[1] https://gitlab-research.centralesupelec.fr/stergios.christodoulidis/text-flappy-bird-gym/-/tree/master

Some notes about the code:

- For the implementation of the algorithms we started from the code provided by the course tutor, Emanuele Panizon https://www.ictp.it/member/emanuele-panizon
- Small sections of the code were implemented using ChatGPT, more details on where it was used can be found on the GitHub repository.

Appendix: Pseudocodes

Pseudocodes were taken from

http://incompleteideas.net/book/first/ebook/node1.html

MC on policy control

```
Initialize, for all s \in \mathcal{S}, a \in \mathcal{A}(s):
    Q(s, a) \leftarrow \text{arbitrary}
     Returns(s, a) \leftarrow \text{empty list}
     \pi \leftarrow an arbitrary \varepsilon-soft policy
Repeat forever:
     (a) Generate an episode using \pi
     (b) For each pair s, a appearing in the episode:
               R \leftarrow return following the first occurrence of s, a
               Append R to Returns(s, a)
               Q(s, a) \leftarrow \text{average}(Returns(s, a))
     (c) For each s in the episode:
              a^* \leftarrow \arg\max_a Q(s, a)
               For all a \in \mathcal{A}(s):
             \pi(s,a) \leftarrow \left\{ \begin{array}{ll} 1 - \varepsilon + \varepsilon/|\mathcal{A}(s)| & \text{if } a = a^* \\ \varepsilon/|\mathcal{A}(s)| & \text{if } a \neq a^* \end{array} \right.
```

$SARSA(\lambda)$

```
Initialize Q(s, a) arbitrarily and e(s, a) = 0, for all s, a
Repeat (for each episode):
   Initialize s, a
   Repeat (for each step of episode):
       Take action a, observe r, s'
       Choose a' from s' using policy derived from Q (e.g., \varepsilon-greedy)
       \delta \leftarrow r + \gamma Q(s', a') - Q(s, a)
       e(s,a) \leftarrow e(s,a) + 1
       For all s, a:
           Q(s,a) \leftarrow Q(s,a) + \alpha \delta e(s,a)
           e(s, a) \leftarrow \gamma \lambda e(s, a)
       s \leftarrow s'; a \leftarrow a'
   until s is terminal
```

$Q(\lambda)$

```
Initialize Q(s, a) arbitrarily and e(s, a) = 0, for all s, a
Repeat (for each episode):
   Initialize s, a
   Repeat (for each step of episode):
       Take action a, observe r, s'
       Choose a' from s' using policy derived from Q (e.g., \varepsilon-greedy)
       a^* \leftarrow \arg\max_b Q(s', b) (if a' ties for the max, then a^* \leftarrow a')
       \delta \leftarrow r + \gamma Q(s', a^*) - Q(s, a)
       e(s,a) \leftarrow e(s,a) + 1
       For all s, a:
           Q(s,a) \leftarrow Q(s,a) + \alpha \delta e(s,a)
           If a' = a^*, then e(s, a) \leftarrow \gamma \lambda e(s, a)
                         else e(s, a) \leftarrow 0
       s \leftarrow s'; a \leftarrow a'
   until s is terminal
```