

# Chapter 4: Number Theory and Cryptography

**Trần Hòa Phú**

Ngày 29 tháng 5 năm 2023

# Divisibility and Modular Arithmetic

## Definition

• If  $a$  and  $b$  are integers with  $a \neq 0$ , we say that  $a$  divides  $b$  ( $a|b$ ) if there is an integer  $c$  such that  $b = ac$ , or

equivalently, if  $\frac{b}{a}$  is an integer.

• When  $a$  divides  $b$  we say that  $a$  is a factor or divisor of  $b$ , and that  $b$  is a multiple of  $a$ .

**Example** :  $2|4$ ,  $5|25$ ,  $-7|14$ .

$2 \nmid 5$ ,  $3 \nmid 11$

## Theorem

*Let  $a$ ,  $b$  and  $c$  be integers, where  $a \neq 0$ . Then*

*(i) if  $a|b$  and  $a|c$ , then  $a|b + c$ .*

*(ii) if  $a|b$ , then  $a|bc$  for all integers  $c$ .*

*(iii) if  $a|b$  and  $b|c$ , then  $a|c$ .*

## Chứng minh.

(i)  $a|b \Rightarrow \exists s \in \mathbb{Z} : b = s.a$

$a|c \Rightarrow \exists t \in \mathbb{Z} : c = t.a$

Therefore  $b + c = s.a + t.a = (s + t).a$



(ii),(iii): **Exercise!**

# The Division Algorithm

## Theorem

*Let  $a$  be an integer and  $d$  a positive integer.*

*Then there are unique integers  $q$  and  $r$ , with  $0 \leq r < d$ , such that  $a = dq + r$ .*

$q$ : quotient. Notation  $q = a \text{ div } d$

$r$ : remainder. Notation  $r = a \text{ mod } d$

**Note**  $r$  must not be negative.

**NO OK**  $-11 = 3 \times (-3) - 2 \Rightarrow q = -3, r = -2$

## Example

What are the quotient and remainder when 101 is divided by 11?

## Solution

$$101 = 11 \cdot 9 + 2$$

quotient is 9 and remainder is 2.

$$9 = 101 \operatorname{div} 11$$

$$2 = 101 \bmod 11.$$

## Exercise

Evaluate these quantities.

$$13 \bmod 3$$

$$-120 \operatorname{div} 7$$

$$27 \bmod 4$$

$$39 \operatorname{div} 15$$

# Modular Arithmetic

## Definition

- If  $a$  and  $b$  are integers and  $m$  is a positive integer, then  $a$  is **congruent to  $b$  modulo  $m$**  if  $m \mid a - b$ .
- if  $m \mid (a - b)$ , we write  $a \equiv b \pmod{m}$ .
- if  $m \nmid a - b$ , we write  $a \not\equiv b \pmod{m}$ .

## Example 1:

$$3 \equiv 7 \pmod{2}, -10 \equiv 4 \pmod{7}, 20 \not\equiv 3 \pmod{8}$$

## Exercise

Decide whether each of these integers is congruent to 5 modulo 17.

80   103    $-29$     $-122$



# Modular Arithmetic

## Theorem 3

$a, b$ : integers,  $m$ : positive integer

$$a \equiv b \pmod{m} \leftrightarrow a \bmod m = b \bmod m$$

## Proof

$$(1) \ a \equiv b \pmod{m} \rightarrow a \bmod m = b \bmod m$$

$$a \equiv b \pmod{m} \rightarrow m \mid a-b \rightarrow a-b = km \rightarrow a = b + km$$

$$\rightarrow a \bmod m = (b + km) \bmod m$$

$$\rightarrow a \bmod m = b \bmod m \quad \{ km \bmod m = 0 \}$$

$$(2) \ a \bmod m = b \bmod m \rightarrow a \equiv b \pmod{m}$$

$$a = k_1m + c \wedge b = k_2m + c \rightarrow a-b = (k_1-k_2)m \quad \{ \text{suppose } a > b \}$$

## Theorem

*Let  $m$  be a positive integer.*

*If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then*

$$ac \equiv bd \pmod{m} \quad (1)$$

$$a + c \equiv b + d \pmod{m} \quad (2)$$

### Example 1

$$7 \equiv 2 \pmod{5}, \quad 11 \equiv 1 \pmod{5}$$

Therefore

$$11 + 7 \equiv 2 + 1 \pmod{5} \text{ and } 11 \cdot 7 \equiv 2 \cdot 1 \pmod{5}$$

## Exercise

Suppose that  $a$  and  $b$  are integers,  $a \equiv 4 \pmod{13}$ , and  $b \equiv 9 \pmod{13}$ . Find the integer  $c$  with  $0 \leq c \leq 12$  such that

- a)  $c \equiv 9a \pmod{13}$ .
- b)  $c \equiv 11b \pmod{13}$ .
- c)  $c \equiv a + b \pmod{13}$ .
- d)  $c \equiv 2a + 3b \pmod{13}$ .
- e)  $c \equiv a^2 + b^2 \pmod{13}$ .
- f)  $c \equiv a^3 - b^3 \pmod{13}$ .

# Representations of Integers

## Theorem

*Let  $b$  be an integer greater than 1. Then if  $n$  is a positive integer, it can be expressed uniquely in the form*

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$$
$$(n = (a_k a_{k-1} \dots a_1 a_0)_b)$$

*where  $k$  is a nonnegative integer,  $a_0, a_1, \dots, a_k$  are nonnegative integers less than  $b$  and  $a_k \neq 0$ .*

**Example 1:**  $165 = 2 \cdot 8^2 + 4 \cdot 8 + 5 = (245)_8$

**Example 2:**  $5 = 1 \cdot 2^2 + 0 \cdot 2^1 + 1 = (101)_2$

$$325 = 325_{10} = 5 \cdot 10^0 + 2 \cdot 10^1 + 3 \cdot 10^2$$

$$1232 = 1232_{10} = 2 \cdot 10^0 + 3 \cdot 10^1 + 2 \cdot 10^2 + 1 \cdot 10^3$$

$$(234)_5 = 4 \cdot 5^0 + 3 \cdot 5^1 + 2 \cdot 5^2 = 69$$

$$(1001)_2 = 1 \cdot 2^0 + 0 \cdot 2^1 + 0 \cdot 2^2 + 1 \cdot 2^3 = 9$$

$$(30071)_8 = ?$$

# Base Conversion

**Example** 1: Find the octal expansion of  $(12345)_{10}$ .

## Solution

Computing  $12345 : 8 \Rightarrow q = 1543, r = 1$

Computing  $1543 : 8 \Rightarrow q = 192, r = 7$

Computing  $192 : 8 \Rightarrow q = 24, r = 0$

Computing  $24 : 8 \Rightarrow q = 3, r = 0$ .

Computing  $3 : 8 \Rightarrow q = 0, r = 3$ .

Then  $(12345)_{10} = (30071)_8$

## Exercise

11. Convert each of the following expansions to **decimal expansion**.

a)  $(1021)_3$     b)  $(325)_7$     c)  $(A3)_{12}$     d)  $(401)_5$     e)  $(12B7)_{13}$

12. Convert 69 to

a) a binary expansion    b) a base 6 expansion    c) a base 9 expansion

## ALGORITHM 1 Constructing Base $b$ Expansions.

```
procedure base  $b$  expansion( $n, b$ : positive integers with  $b > 1$ )  
   $q := n$   
   $k := 0$   
  while  $q \neq 0$   
     $a_k := q \bmod b$   
     $q := q \operatorname{div} b$   
     $k := k + 1$   
  return  $(a_{k-1}, \dots, a_1, a_0)$   $\{(a_{k-1} \dots a_1 a_0)_b$  is the base  $b$  expansion of  $n\}$ 
```



# Algorithms for Integer Operations

- Addition integers in binary format
- Multiplying integers in binary format

# Algorithm 1: Adding of integers in binary format

Quy tắc cộng 2 số trong hệ nhị phân:

$$1 + 0 = 1$$

$$0 + 1 = 1$$

$$1 + 1 = 10 \text{ (ghi 0, nhớ 1)}$$

$$\text{Ví dụ 1: } (0111)_2 + (1110)_2$$

$$\text{Ví dụ 2: } (100011)_2 + (1110)_2$$

## ALGORITHM 2 Addition of Integers.

**procedure** *add*(*a*, *b*: positive integers)  
{the binary expansions of *a* and *b* are  $(a_{n-1}a_{n-2} \dots a_1a_0)_2$   
and  $(b_{n-1}b_{n-2} \dots b_1b_0)_2$ , respectively}  
*c* := 0  
**for** *j* := 0 **to** *n* − 1  
    *d* :=  $\lfloor (a_j + b_j + c)/2 \rfloor$   
    *s<sub>j</sub>* :=  $a_j + b_j + c - 2d$   
    *c* := *d*  
  
*s<sub>n</sub>* := *c*  
**return** (*s*<sub>0</sub>, *s*<sub>1</sub>, ..., *s<sub>n</sub>*) {the binary expansion of the sum is  $(s_ns_{n-1} \dots s_0)_2$ }

## Algorithm 2: Multiplying integers in binary format

Quy tắc nhân 2 số nhị phân:  $1 \times 1 = 1$

$$\begin{array}{r} 1011 \\ \times 1010 \\ \hline 0000 \\ 1011 \\ 0000 \\ 1011 \end{array}$$

### ALGORITHM 3 Multiplication of Integers.

```
procedure multiply( $a, b$ : positive integers)
{the binary expansions of  $a$  and  $b$  are  $(a_{n-1}a_{n-2} \dots a_1a_0)_2$ 
  and  $(b_{n-1}b_{n-2} \dots b_1b_0)_2$ , respectively}
for  $j := 0$  to  $n - 1$ 
  if  $b_j = 1$  then  $c_j := a$  shifted  $j$  places
  else  $c_j := 0$ 
 $\{c_0, c_1, \dots, c_{n-1}$  are the partial products $\}$ 
 $p := 0$ 
for  $j := 0$  to  $n - 1$ 
   $p := p + c_j$ 
return  $p$   $\{p$  is the value of  $ab\}$ 
```

# Prime and Greatest Common Divisors

## Definition

- An integer  $p$  greater than 1 is called a **prime** if the only positive factors of  $p$  are 1 and  $p$ .
- A positive integer that is greater than 1 and is not prime is called **composite**.

## Example

2, 5, 7, 29 are primes

9, 15, 26 are composites.

# Theorem 1- The fundamental theorem of arithmetic:

Every positive integer greater than 1 can be written uniquely as a prime or as the product of two or more primes where the prime factors are written in order of nondecreasing size

Examples:

Primes: 37

Composite:  $100 = 2.2.5.5 = 2^2 5^2$

$999 = 3.3.3.37 = 3^3 37$

## Exercise

Find the prime factorization of  $10!$



# Greatest Common Divisors and Least Common Multiples

## Definition 2:

Let  $a, b$  be integers, not both zero. The largest integer  $d$  such that  $d|a$  and  $d|b$  is called the *greatest common divisor* of  $a$  and  $b$ .

**Notation:**  $\gcd(a,b)$

Example:  $\gcd(24,36)=?$

Divisors of 24: 2 3 4 6 8 12 =  $2^3 3^1$

Divisors of 36: 2 3 4 6 9 12 18 =  $2^2 3^2$

$\gcd(24,36)=12 = 2^2 3^1$  // Get factors having minimum power

## Theorem

$a = p_1^{a_1} p_2^{a_2} \dots p_n^{a_n}$  where  $a_1, \dots, a_n$  are nonnegative integer.

$b = p_1^{b_1} p_2^{b_2} \dots p_n^{b_n}$  where  $b_1, \dots, b_n$  are nonnegative integer.

Then

$$\gcd(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \dots p_n^{\min(a_n, b_n)}$$

## Example

$$180 = 2^2 \cdot 3^2 \cdot 5$$

$$24 = 2^3 \cdot 3$$

$$\gcd(180, 24) = 2^2 \cdot 3 = 12$$

# Greatest Common Divisors and Least Common Multiples

## Definition 3:

The integers  $a, b$  are *relatively prime* if their greatest common divisor is 1

Example:

$\gcd(3,7)=1 \rightarrow 3,7$  are relatively prime

$\gcd(17,22)=1 \rightarrow 17,22$  are relatively prime

$\gcd(17,34) = 17 \rightarrow 17, 34$  are **not** relatively prime

## Exercise

Which positive integers less than 30 are relatively prime to 30?

# Euclidean Algorithm

## Theorem If

$a = bq + r$  with  $a, b, q, r \in \mathbf{Z} \Rightarrow \gcd(a, b) = \gcd(b, r)$ .

In other words,  $\gcd(a, b) = \gcd(b, a \bmod b)$

## Example

Find the greatest common divisor of 441 and 662?

662 chia 441, dư 221

441 chia 221, dư 220

221 chia 220, dư 1

220 chia 1, dư 0

$\gcd(662, 441) = 1$

# Euclidean Algorithm

## ALGORITHM 1 The Euclidean Algorithm.

```
procedure  $\gcd(a, b$ : positive integers)  
   $x := a$   
   $y := b$   
  while  $y \neq 0$   
     $r := x \bmod y$   
     $x := y$   
     $y := r$   
  return  $x$ { $\gcd(a, b)$  is  $x$ }
```

## Exercise

Use the Euclidean algorithm to find

a)  $\gcd(14, 28)$

b)  $\gcd(8, 28)$

c)  $\gcd(28, 35)$

# Greatest Common Divisors and Least Common Multiples

## Definition 5:

The Least common multiple of the positive integer  $a$  and  $b$  is the smallest integer that is divisible by both  $a$  and  $b$

Notation:  $\text{lcm}(a,b)$

Example:

$$\text{lcm}(12,36) = 36 \quad \text{lcm}(7,11) = 77$$

$$\text{lcm}(2^3 \underline{3^5} \underline{7^2}, 2^4 \underline{3^3}) = 2^4 3^5 7^2$$



## Theorem

$a = p_1^{a_1} p_2^{a_2} \dots p_n^{a_n}$  where  $a_1, \dots, a_n$  are nonnegative integer.

$b = p_1^{b_1} p_2^{b_2} \dots p_n^{b_n}$  where  $b_1, \dots, b_n$  are nonnegative integer.

Then

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \dots p_n^{\max(a_n, b_n)}$$

Find  $\text{lcm}(\underline{2^3 \cdot 3^5 \cdot 7^2}, \underline{2^4 \cdot 3^3})$

# Greatest Common Divisors and Least Common Multiples

## Theorem 5:

Let  $a, b$  be positive integers then

$$ab = \gcd(a, b) \cdot \text{lcm}(a, b)$$

Example:  $\gcd(8, 12) = 4$   $\text{lcm}(8, 12) = 24 \Rightarrow 8 \cdot 12 = 4 \cdot 24$

Proof: Based on analyzing  $a, b$  to prime factors to get  $\gcd(a, b)$  and  $\text{lcm}(a, b)$

$$\Rightarrow ab = \gcd(a, b) \cdot \text{lcm}(a, b)$$

# Applications of Congruences: Hasing functions

## Bài toán

Giả sử ta có 10 cái hộp được đánh số từ  $1, 2, \dots, 10$  và có các thẻ được đánh số từ 1 đến 100. Ta muốn phân bố đều các thẻ này vào các hộp. Hãy mô tả mô hình toán học cho bài toán này.

Hashing Function:  $H(k) = k \bmod m$

Using in searching data in memory.

$k$ : data searched,  $m$  : memory block

Examples:

$$H(064212848) \bmod 111 = 14$$

$$H(037149212) \bmod 111 = 65$$

**Collision:**  $H(k_1) = H(k_2)$ . For example,  $H(107405723) = 14$

Q8. Suppose that a computer has only the memory locations  $0, 1, 2, \dots, 19$ . Use the hashing function  $h$  where  $h(x) = (x + 5) \bmod 20$  to determine the memory locations in which 57, 32, and 97 are stored.

## Exercise

Which memory locations are assigned by the hasing function  $h(k) = k \bmod 101$  to the records of insurance company customers with these Social Security Numbers?

a) 104578690      b) 432222187

# Pseudorandom Numbers

## (Số giả ngẫu nhiên)

Randomly chosen numbers are often needed for computer simulations.

### Definition

**Pseudo-random numbers**  $x_{n+1} = ax_n + c \bmod m$

$$2 \leq a < m \quad 0 \leq c < m, \quad 0 \leq x_0 < m$$

**Example** Choosing  $m = 9$ ,  $a = 7$ ,  $c = 4$ ,  $x_0 = 3$  we have

$$x_{n+1} = (7x_n + 4) \bmod 9 \text{ with } x_0 = 3.$$

$$x_1 = 7x_0 + 4 \bmod 9 = 25 \bmod 9 = 7$$

$$x_2 = 7x_1 + 4 \bmod 9 = 53 \bmod 9 = 8$$

Similarly  $x_3 = 6$ ,  $x_4 = 1$ ,  $x_5 = 2$ ,  $x_6 = 0$ ,  $x_7 = 4$ .

## Exercise

1) Suppose

$$x_{n+1} = 3x_n + 11 \pmod{13}$$

If  $x_3 = 5$ , find  $x_2$  and  $x_4$ .

$$\begin{aligned} \therefore x_4 &= 3x_3 + 11 \\ &= 26 \pmod{13} = 0 \end{aligned}$$

$$\begin{aligned} \therefore x_3 &= 3x_2 + 11 \pmod{13} \\ 5 &= 3x_2 + 11 \pmod{13} \rightarrow \end{aligned}$$

$$\begin{aligned} -6 &\equiv 3x_2 \\ \textcircled{-6} &\equiv 3x_2 \pmod{13} \end{aligned}$$

$$x_2 = 11$$

cộng nhiều lần với 13 / chia để cho về  
phải để tìm  $x_2$   
và  $x_2 < \text{số chia (13)}$

## Exercise

A pseudorandom number sequence is generated as follows

$$x_0 = 2, x_n = (3x_{n-1} + 2) \mod 11$$

Find  $x_3$ .

A.3    B.4    C.5    D.8



# Cryptography

## Classical Cryptography

Julius Caesar cipher: shifting each letters forward in the alphabet.

**Example**  $A \rightarrow D, B \rightarrow E, \dots, X \rightarrow A, \dots$

**Problem:** How to model Caesar cipher mathematically ?

**Answer**  $Z_{26} = \{0, 1, 2, \dots, 25\}$ , each element in  $Z_{26}$  is assigned to each letter.

**Example** :  $0 \equiv A, 1 \equiv B, 2 \equiv C, \dots, 25 \equiv Z$ .

Then consider the function  $f$

$$f(p) = p + 3 \pmod{26} \text{ and } f^{-1}(p) = p - 3 \pmod{26}$$

encrypt

decrypt

Cryptography: letter 1  $\rightarrow$  letter 2

Examples: shift cipher with  $k$ ,  $f(p) = (p+k) \bmod 26$

$\rightarrow f^{-1}(p) = (p-k) \bmod 26$

**Sender:** (encoding)

Message: "ABC" ,  $k=3$

Using  $f(p) = (p+3) \bmod 26$  // 26 characters

ABC  $\rightarrow$  0 1 2  $\rightarrow$  3 4 5  $\rightarrow$  DEF

**Receiver:** (decoding)

DEF  $\rightarrow$  3 4 5

Using  $f^{-1}(p) = (p-3) \bmod 26$

3 4 5  $\rightarrow$  0 1 2  $\rightarrow$  ABC

## Exercise

Using the function  $f(x) = (x + 10) \bmod 26$  to encrypt messages. Answer each of these questions.

- a) Encrypt the message STOP.
- b) Decrypt the message LEI.

## Exercise

Explaining why  $f(x) = 2x \pmod{26}$  is not a good coding function.

$$\text{lcm}(a, b) = 2^3 \cdot 3^4 \cdot 5^7 \cdot 7^2 ;$$

## Exercise

What is the greatest common divisor of

$$a = 2^3 \cdot \underline{3^2} \cdot 5^7, \quad b = 3^4 \cdot \underline{5^3} \cdot 7^2$$

A. 225    B. 1125    C. 375    D. 2250    E. None

$$\text{gcd} = \frac{a \cdot b}{\text{lcm}} = 1125$$

$$\{ 3, 5 \}$$

## Exercise

Find the sum  $10\underbrace{1}_{\text{red}}\underbrace{111}_{\text{green}} + 11\underbrace{0}_{\text{red}}\underbrace{111}_{\text{green}}$  in binary representation

- A.  $\underbrace{1100110}_{\text{green}}$     B.  $\underbrace{1100111}_{\text{green}}$   
C.  $\underbrace{1000101}_{\text{green}}$     D.  $\underbrace{1010110}_{\text{green}}$

## Exercise

How many numbers in the set  $(80, 90, -80, -90)$  are congruent to 5 modulo 17?

A.0   B.1   C.2   D.3   E.4

so nao dong du voi 5 khi chia lay du voi 7  
Tips: lay  $x - 5$  va check xem co chia het cho 17 ko

## Exercise

Find the greatest common divisor of  $2^3 \cdot 3^2 \cdot 5 \cdot 7$  and  $2^4 \cdot 5^2 \cdot 11^3$

A.360    B.2310    C.40    D.120



## Exercise

Given the Euclidean Algorithm  
procedure gcd( $a, b$ : positive integers)

$x := a$

$y := b$

while  $y > 0$

$r := x \bmod y$

$x := y$

$y := r$

return  $x$

If  $a = 16, b = 573$ , then before performing step 3 of the loop

A.  $x = 35, y = 13$     B.  $x = 13, y = 3$

C.  $x = 35, y = 16$     D.  $x = 16, y = 13$  (R)

573 mod 16 = R

15: F

## Exercise

Find the hexa-decimal expansion of  $(11011111011)_2$

A.  $(6EB)_{16}$

B.  $(6FB)_{16}$

C.  $(6FA)_{16}$

D.  $(6FC)_{16}$

6.

11: B

## Exercise

How many primes are in (89, 111, 103, 205)?

A.2   B.3   C.4   D.1   E.None

## Exercise

Find the decimal expansion of the binary number 101011

- A. 53    B. 49    C. 47    D. 43    E. None

43

## Exercise

Given three sets of integers

i)  $\{5, 12, 18\}$

ii)  $\{4, 9, 25, 49\}$

iii)  $\{12, 17, 23, 21, 35\}$

Which set consists of pairwise relative prime?

A. i    B. ii    C. iii    D. None

## Exercise

Consider an encryption scheme using the function

$$f(p) = 7p + 3 \pmod{26}$$

Encrypt the message "NO"

A.QB

B.QX

C.ZX

D.DB

E.DH

~~P~~

—

16

Q

19

## Exercise

Let  $a = 131 \div 29$ ,  $b = -131 \div 29$ . Find  $a+b$

- A. -1    B. 29    C. 0    D. 8

$$4 + (-5)$$

## Exercise

Suppose a message has been encrypted using the function  $f(p) = p + 9 \pmod{26}$ . If the encoded message is *UE*, decrypt the message.

- A. OK    B. LV    C. CK    D. DG

$p-9$

$26-4$

11 21

$\pmod{26}$   $11-9$  2



## Exercise

Suppose that a computer has only the memory locations  $0, 1, 2, \dots, 29$ . Use the hashing function  $h$  where  $h(k) = k \bmod 30$  to determine the locations in which 197 are stored.

A.17    B. 13    C.7    D.23