

## Chapter 11: Trees

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Ngày 26 tháng 6 năm 2023

# Tree

luôn có đường đi

lên thông

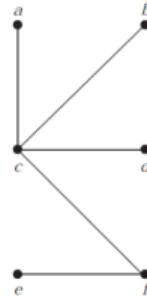
: giữa 2 đỉnh bất kỳ

có đường đi: khép kín

Definition A tree is a graph

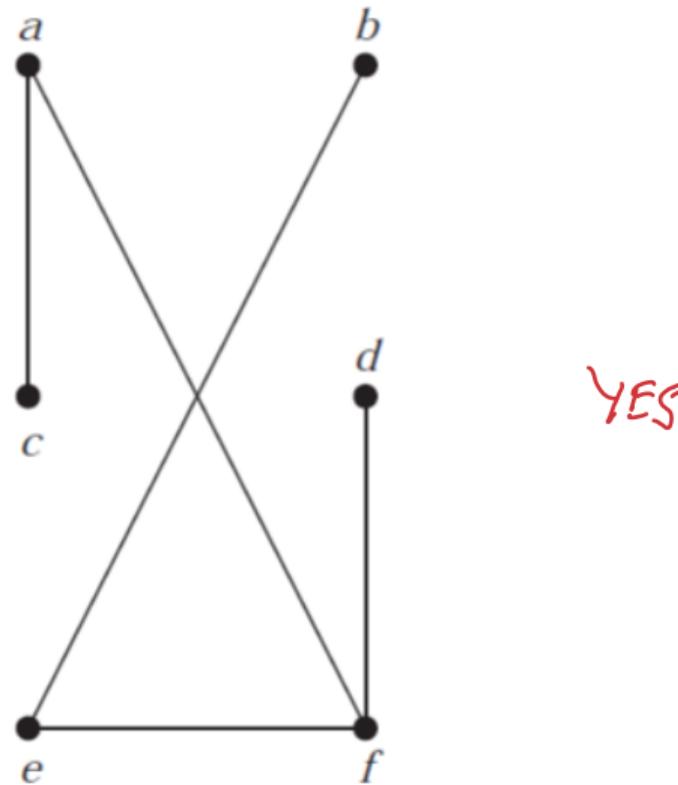
- **connected undirected** (vô hướng, liên thông: giữa 2 đỉnh bất kỳ luôn có đường đi nối 2 đỉnh đó)
- **no simple circuit** (không có chu trình đơn: không có một đường đi khép kín mà không đi qua cạnh nào quá 1 lần )

**Example 1** The graph  $G_1$  is a tree?



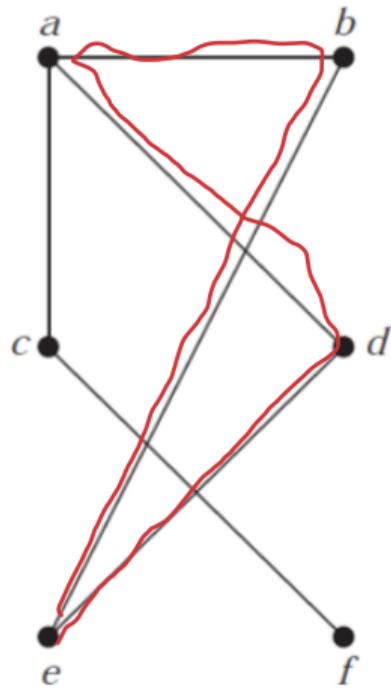
YES

Example 2 The graph  $G_2$  is a tree?



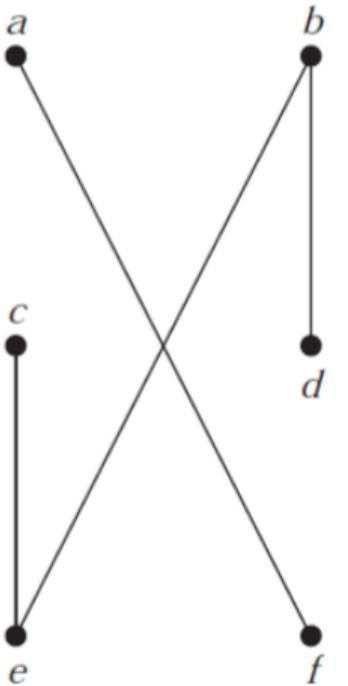
YES

Example } This graph is a tree?



Không  
Có vòng  
ở i, chưa  
tồn

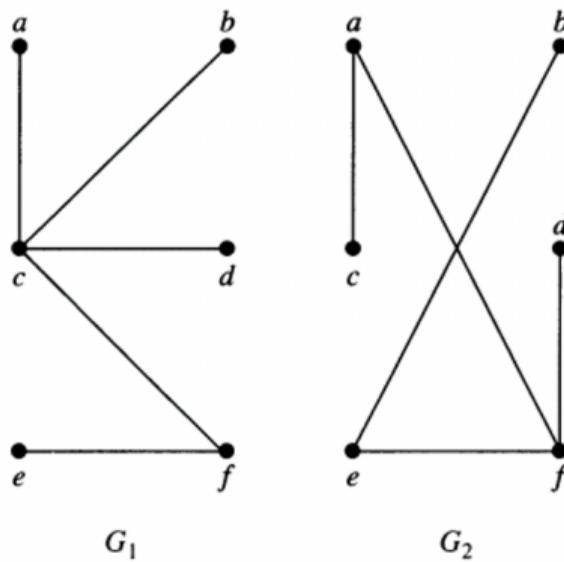
Example 4 This graph is a tree?



↪ω

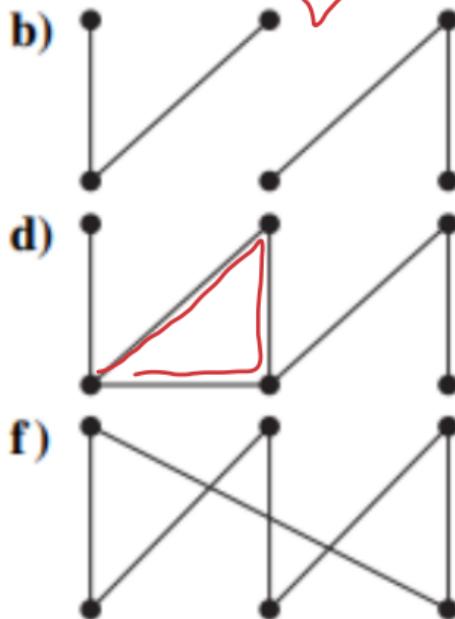
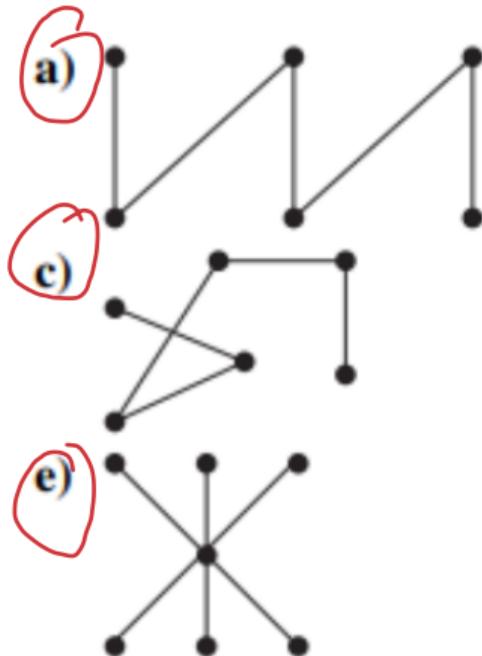
## Theorem

An undirected graph is a tree if and only if there is a unique simple path between any two of its vertices.



# Exercise

1. Which of these graphs are trees?



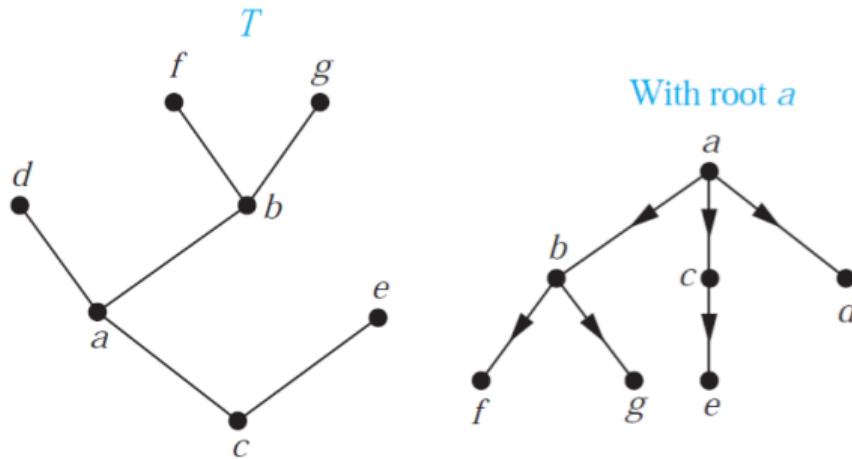
O liên thông

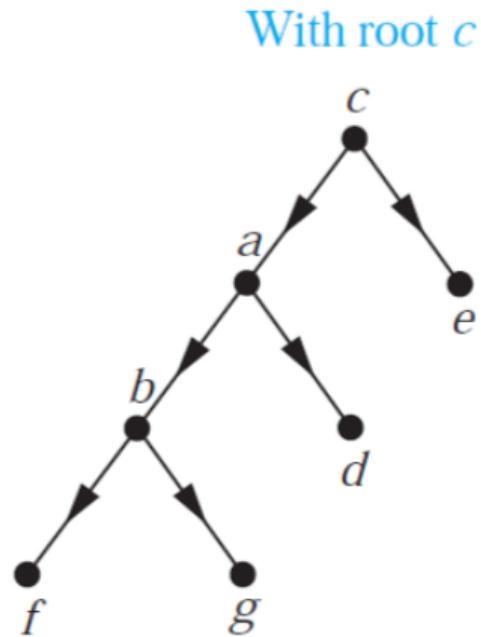
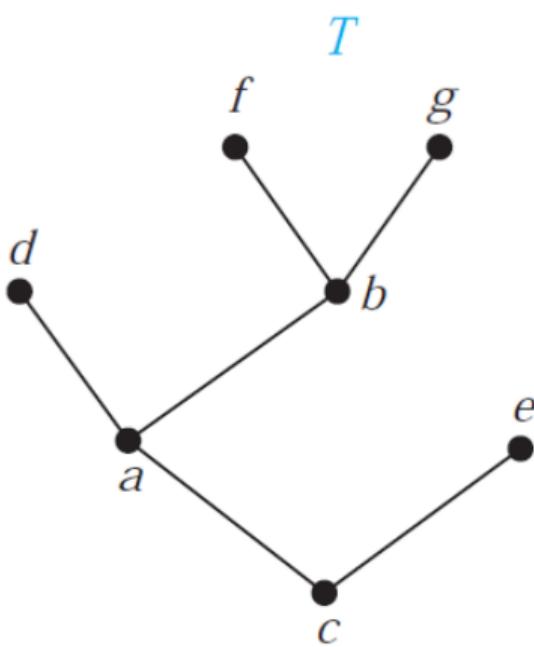
} đồ thị có hợp vần

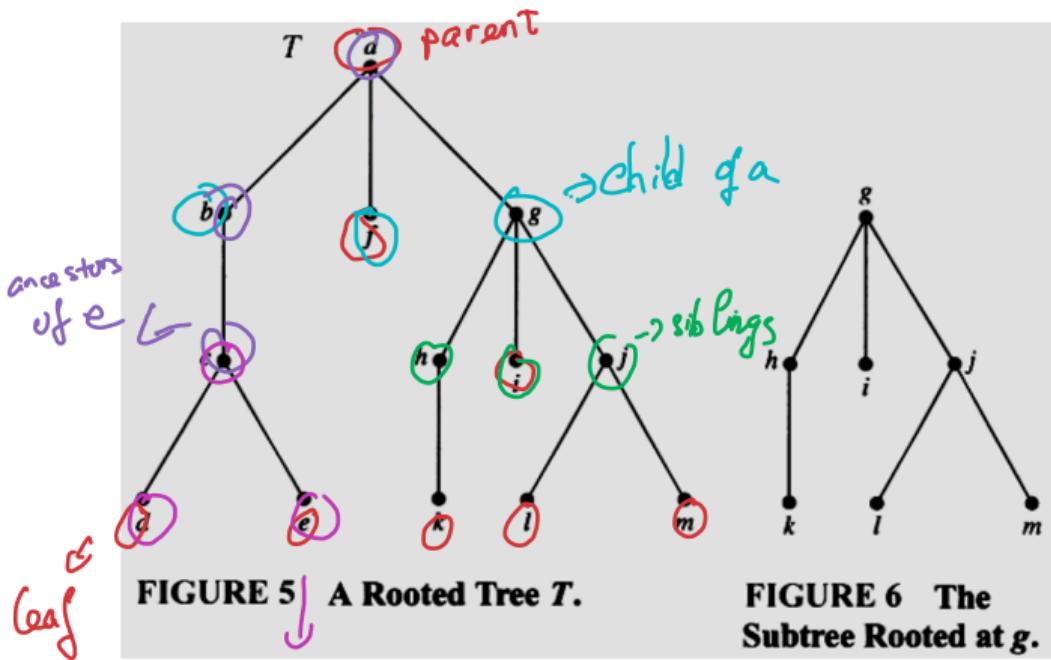
## Definition

A **rooted tree** is a tree in which one **vertex** has been designated as the root and every edge is directed away from the root.

## Example 1







**FIGURE 6** The Subtree Rooted at  $g$ .

Some terminologies:

Subtree : cây con;

Root node (vertex) :  $a$ .

Internal node (<sup>nh</sup> đ<sub>inh</sub> c<sub>ó</sub> con)

Leaf (nh<sub>ững</sub> đ<sub>inh</sub> c<sub>ó</sub> ron)

Parent

Child

Siblings

Descendants

Ancestors

In the tree  $G$  below:

The **parent** of  $c$  is  $b$ .

The **children** of  $g$  are  $h, i, j$ .

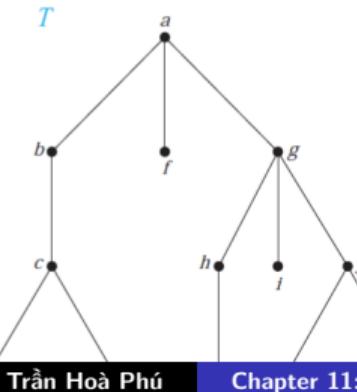
The **siblings** of  $h$  are  $i$  and  $j$ .

The **ancestors** of  $e$  are  $c, b, a$ .

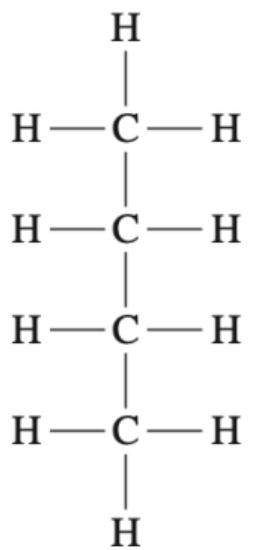
The **descendants** of  $b$  are  $c, d, e$ .

The **internal vertices** are  $a, b, c, g, h$  and  $j$ .  $\rightarrow$  o phải là lá (những đỉnh có con)

The **leaves** are  $d, e, f, i, k, l, m$ .

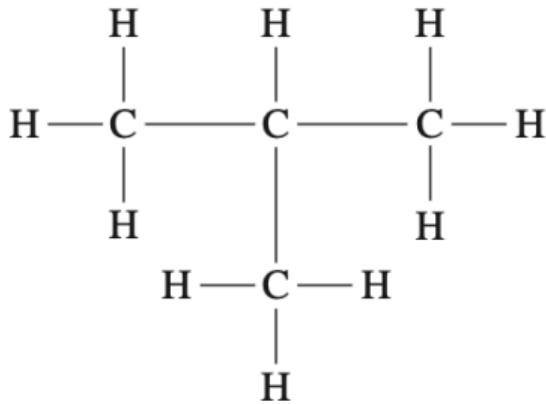


# Tree as Models: Representing Molecules



$C_nH_{2n+2}$

Butane

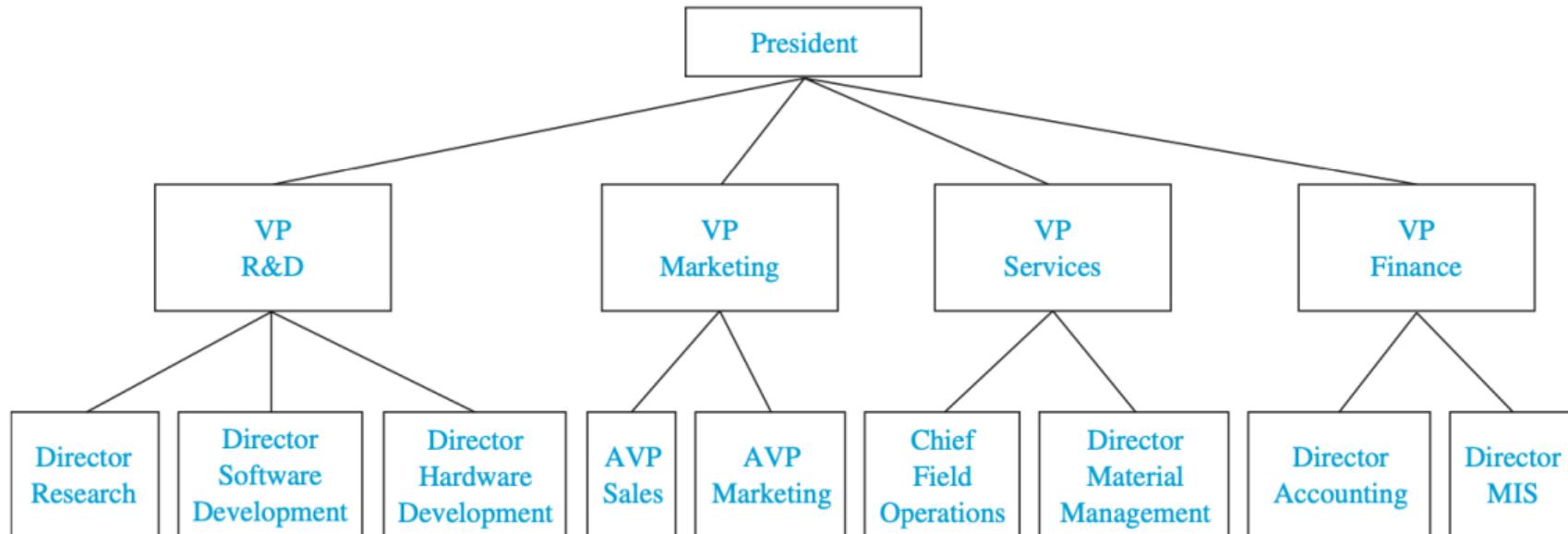


Isobutane

$(CH_3)_3CH$

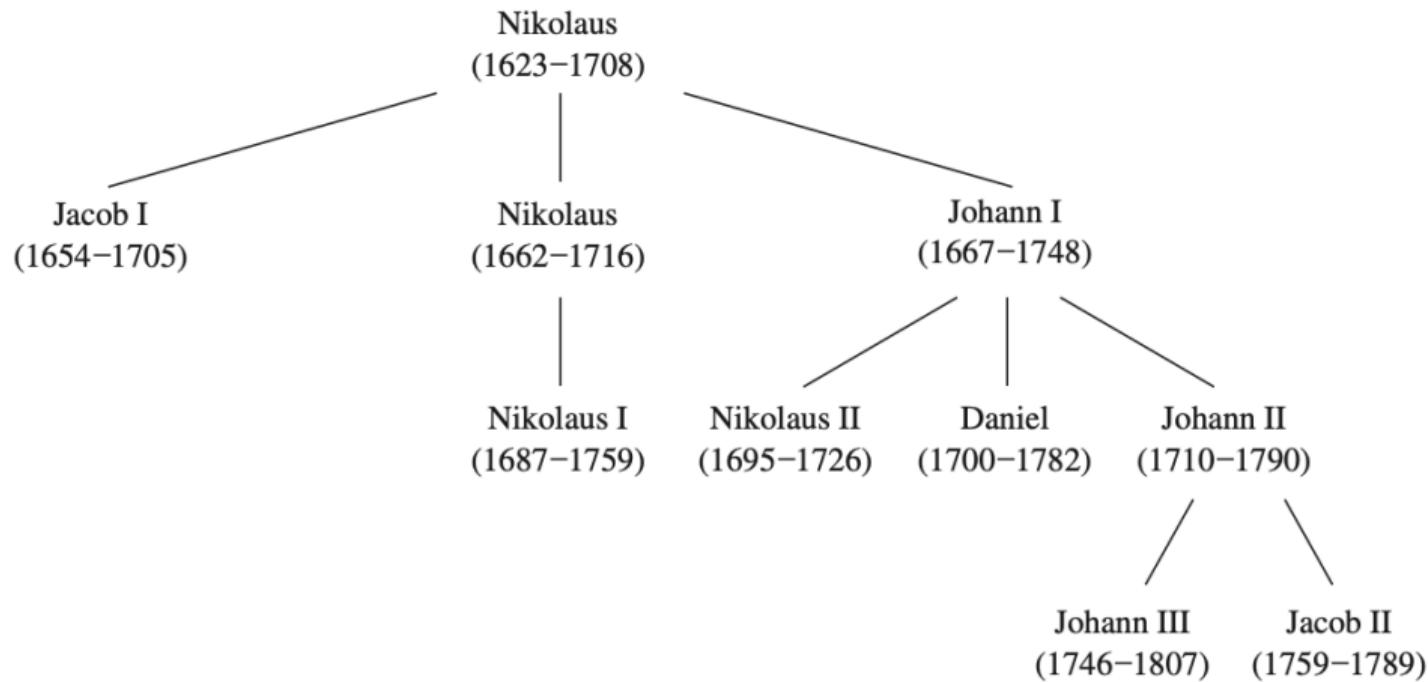
**FIGURE 9** The Two Isomers of Butane.

# Tree as Models: Representing Organizations



**FIGURE 10** An Organizational Tree for a Computer Company.

# Tree as Models: Family Tree



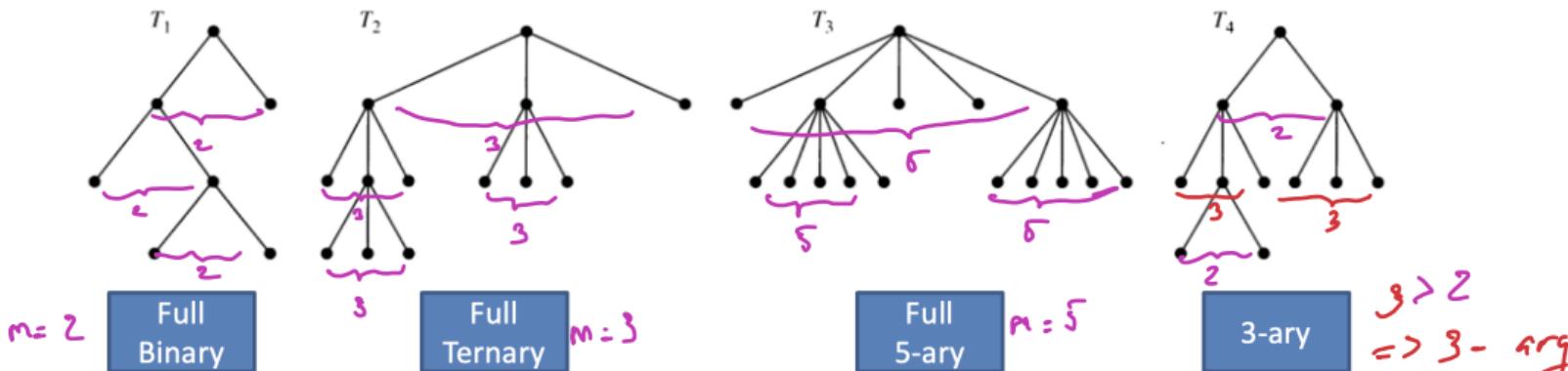
**FIGURE 1** The Bernoulli Family of Mathematicians.

# Introduction to Trees...

## DEFINITION 3

A rooted tree is called an  $m$ -ary tree if every internal vertex has no more than  $m$  children. The tree is called a full  $m$ -ary tree if every internal vertex has exactly  $m$  children. An  $m$ -ary tree with  $m = 2$  is called a binary tree.

:  $m$ -ary:  
(c) có  $m$  con)



Some terminologies on binary tree:

Left child, right child, left subtree, right subtree

**Theorem** A tree with  $n$  vertices has  $n-1$  edges

$$n = e + 1$$

$$\left\{ \begin{array}{l} \text{đỉnh} = \text{cạnh} - 1 \\ \text{đỉnh} = \text{đỉnh trong} + \text{lá} \end{array} \right.$$

$$i+l=n$$

$n$ : số đỉnh,  $e$  số cạnh,  $i$  số đỉnh trong,  $l$  số lá

## Theorem

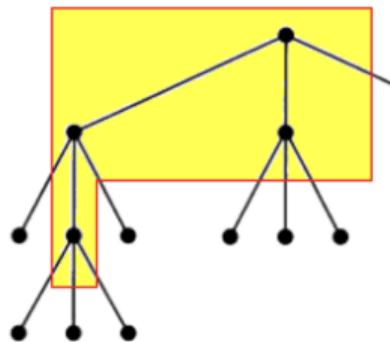
A *full m-ary tree* with  $i$  internal vertices contains  $n = mi + 1$  vertices.

$$n = \overset{\text{(con)}}{\underset{\text{đỉnh}}{\underset{\uparrow}{m.i+1}}} \quad (\text{đỉnh})$$

# Introduction to Trees...

## THEOREM 3

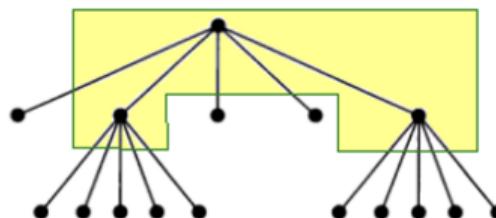
A full  $m$ -ary tree with  $i$  internal vertices contains  $n = mi + 1$  vertices.



3-ary  $n = e + 1$ .

$m=3$   
 $i=4$  (đỉnh trong)  
 $n = 3.4+1=13$  (tổng)

full-arry :  
 $e = m.i$



$m=5$   
 $i=3$   
 $n = 3.5+1=16$

$$\text{a)} n = e^{t \cdot \Sigma}$$

$$\Rightarrow e = n - 1 = 999 \text{ (edges)}$$

b) Fall 5-ary  $\rightarrow m = 5$

$$i = 10\% \Rightarrow n = \frac{e + 1}{m.i} = 50$$

$$\text{c) full binary} = 2\text{-ary} \Rightarrow m=2 \\ i = 1000 \\ \Rightarrow \text{edge} = m.i = 200$$

a) Full 3-array  $\Rightarrow m=3$   
 $n=1017$

$$\overline{l = ? \text{ (leaf)}}$$

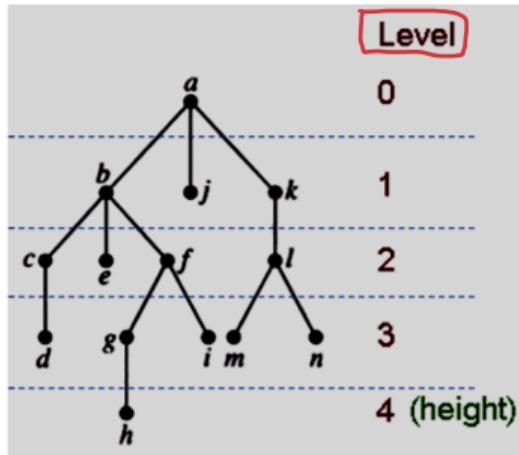
$$\begin{aligned} l &=? \text{ (leaf)} \\ n = m \cdot i + 1. } & n = i + l. \\ \rightarrow i = 33 & \rightarrow l = n - i \\ \text{ices have?} & = 67 \end{aligned}$$

## Exercise

- a) How many edges does a tree with 10000 vertices have?
  - b) How many vertices does a full 5-ary tree with 100 internal vertices have?
  - c) How many edges does a full binary tree with 1000 internal vertices have?
  - d) How many leaves does a full 3-ary tree with 100 vertices have?

# Introduction to Trees...

- Level of a vertex: The length of the path from the root to this vertex.
- Height of Tree: The maximum of levels of vertices = The length of the longest path from the root to any vertex.

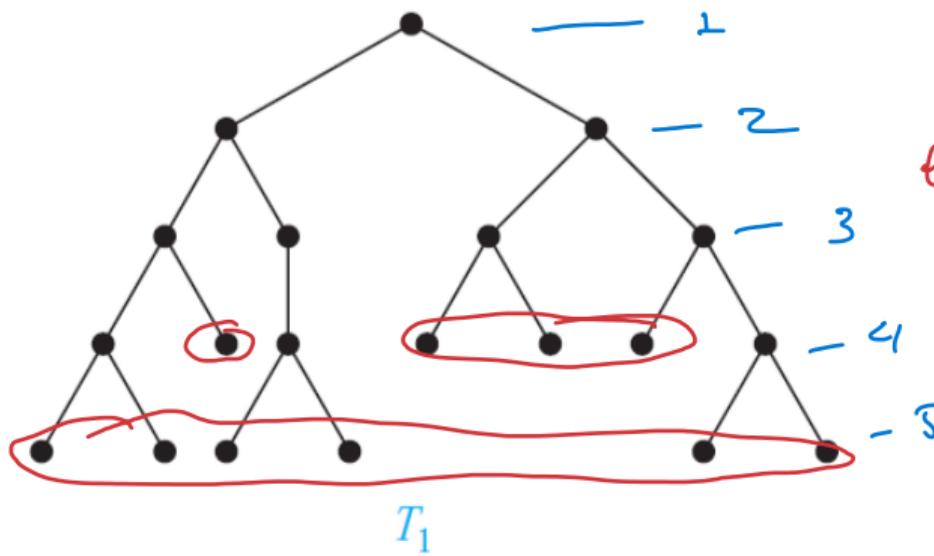


maximum level  
of vertices  
= 4

## Definition

A rooted m-ary tree of height  $h$  is balanced if all leaves are at levels  $h$  or  $h - 1$

Example 1 The rooted tree  $T_1$  below is balanced ?

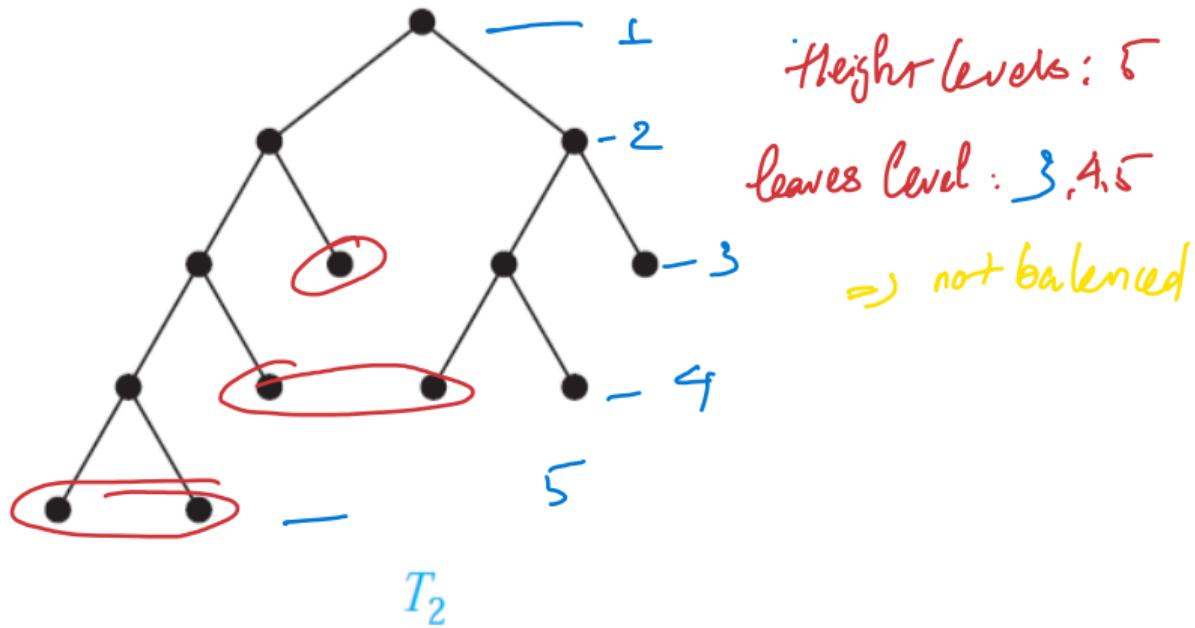


Height level = 5

leaves level 1 : 4,5

→ balanced

Example 2 The rooted tree  $T_2$  is balanced?



## Theorem

*There are at most  $m^h$  leaves in an  $m$ -ary tree of height  $h$ .*

## Theorem

- If an  $m$ -ary tree of height  $h$  has  $l$  leaves, then  $h \geq \lceil \log_m l \rceil$
- If the  $m$ -ary tree is full and balanced, then  $h = \lceil \log_m l \rceil$

*m - ary tree* 
$$l \leq m^h \Leftrightarrow \lceil \log_m l \rceil \leq h$$

*vđ: binary tree v' 6 ld:  $\rightarrow R = \lceil \log_2 6 \rceil \geq 3$*

- Binary Search Tree
- Prefix Code

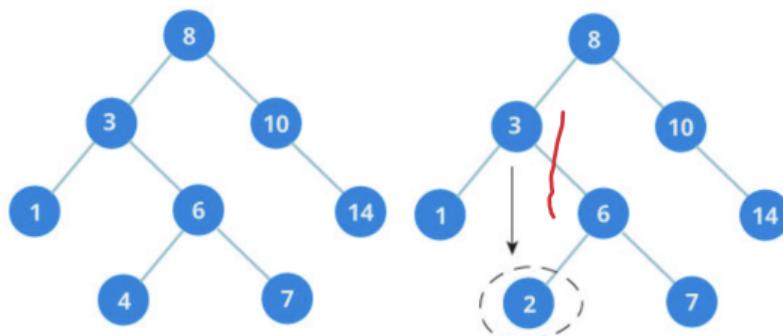
**Problem** How should items in a list be stored so that an item can be easily located?

This problem can be accomplished by the use of a [Binary Search Tree](#)

# Binary Search Tree (Cây tìm kiếm nhị phân)

"Cây tìm kiếm nhị phân" là cây nhị phân có thêm điều kiện:

- Giá trị của tất cả các Node ở cây con bên trái  $\leq$  giá trị của Node gốc.
- Giá trị của tất cả các Node ở cây con bên phải  $>$  giá trị của Node gốc.
- Tất cả các cây con(bao gồm bên trái và phải) cũng đều phải đảm bảo 2 tính chất trên.



năm bên trái -  $\leftarrow$  năm bên phải  
 $(\leq \text{gốc})$   $(> \text{gốc})$

Ex: 8, 5, 4, 11, 6, 9, 12, 7



Comparison to locate "7": 4

Comparison to add "2": 3  
(28, 25, 24)

Example 1 Form a binary search tree for the words mathematics, physics, geography, zoology, meteorology, geology, psychology and chemistry (using alphabetical order).

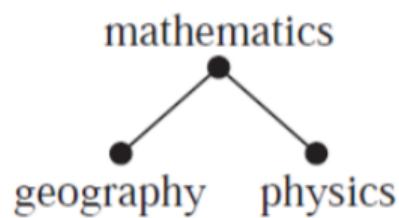
mathematics, physics, geography, zoology, meteorology, geology,  
psychology and *chemistry*

mathematics  
•

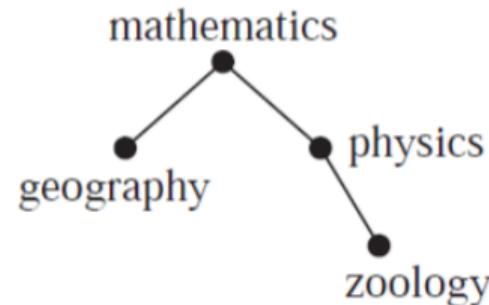
mathematics  
•  
physics

physics > mathematics

mathematics, physics, geography, zoology, meteorology, geology,  
psychology and *chemistry*

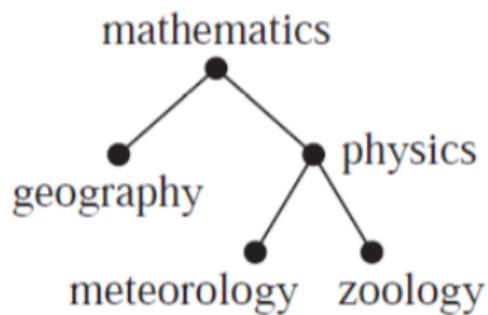


geography < mathematics

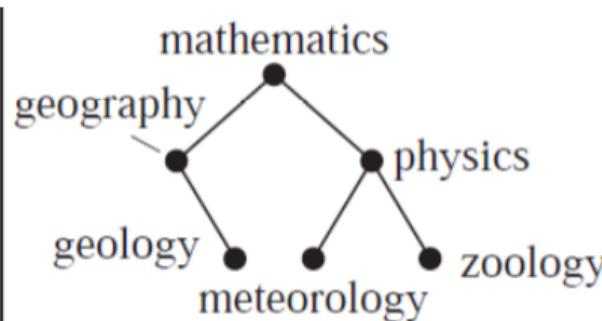


zoology > mathematics  
zoology > physics

mathematics, physics, geography, zoology, meteorology, geology,  
psychology and *chemistry*

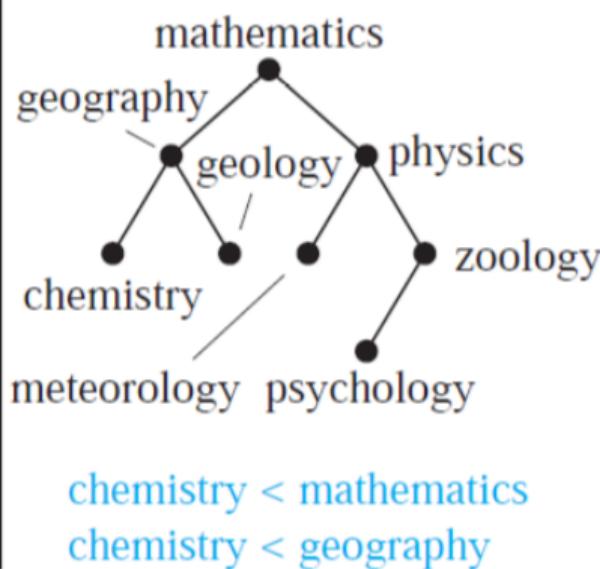
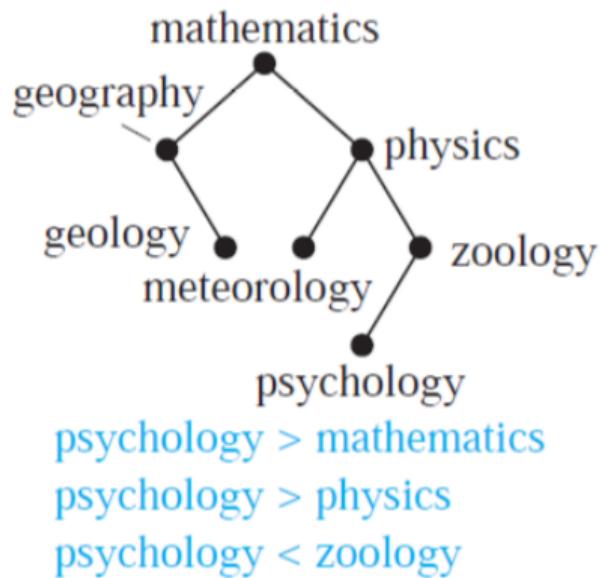


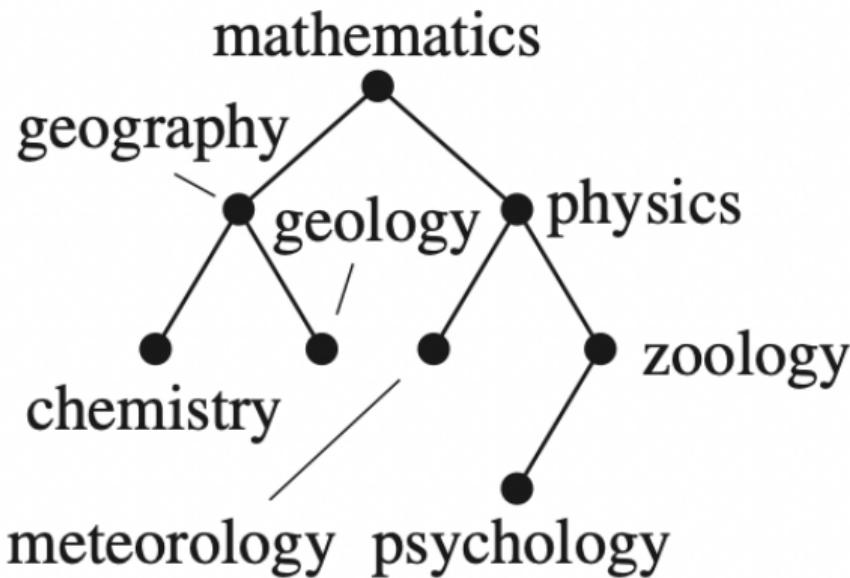
meteorology > mathematics  
meteorology < physics



geology < mathematics  
geology > geography

mathematics, physics, geography, zoology, meteorology, geology,  
psychology and *chemistry*





How to add "football"?

How many comparisons are needed to locate geology?

How many comparisons are needed to add "football"?

## Exercise

- 1) Build a binary search tree for the words banana, peach, apple, pear, coconut, mango and papaya using alphabetical order.
- 2) How many comparisons are needed to locate or to add each of these words in the search tree , starting fresh each time?
  - a) pear
  - b) banana
  - c) kumquat
  - d) orange

## ALGORITHM 1 Locating and Adding Items to a Binary Search Tree.

**procedure** *insertion*(*T*: binary search tree, *x*: item)

*v* := root of *T*

{a vertex not present in *T* has the value null }

**while** *v* ≠ null and *label(v)* ≠ *x*

**begin**

**if** *x* < *label(v)* **then**

**if** left child of *v* ≠ null **then** *v* := left child of *v*

**else** add new vertex as a left child of *v* and set *v* := null

**else**

**if** right child of *v* ≠ null **then** *v* := right child of *v*

**else** add new vertex as a right child of *v* to *T* and set *v* := null

**end**

**if** root of *T* = null **then** add a vertex *v* to the tree and label it with *x*

**else if** *v* is null or *label(v)* ≠ *x* **then** label new vertex with *x* and let *v* be this new vertex

{*v* = location of *x*}

Complexity: O(log n)

Proof: page 698

# Prefix Codes

Standard ASCII character encoding: 8 bits to encode a character.

H: 01001000

e: 01100101

l: 01101100

o: 01101111

!: 00100001

"Hellooo!":  $8 \times 8 = 64$  bits

8  
bit  
mỗi ký tự: 8 bits

H	000	0
e	001	1
l	010	2
o	011	3
!	100	4

H e l l o o o !

000	001	010	010	011	011	011	100
-----	-----	-----	-----	-----	-----	-----	-----

needed to represent "Hellooo!"

$\Rightarrow$  mã hóa vì nó là prefix code.

Only 24 bits

# Prefix Codes

o là phân tách  
các từ rõ khac

Definition Prefix codes are codes with the property: no bit string corresponds to more than one sequence of letters is to encode letters so that the bit string for a letter never occurs as the first part of the bit string for another letter.

Example

$\{0, 10, 110, 101\}$  is not a prefix code.

$\{0, 1, 01\}$  is not a prefix code.

$\{0, 10, 110, 111\}$  is a prefix code.

## Exercise

7. Which of these codes are prefix codes?

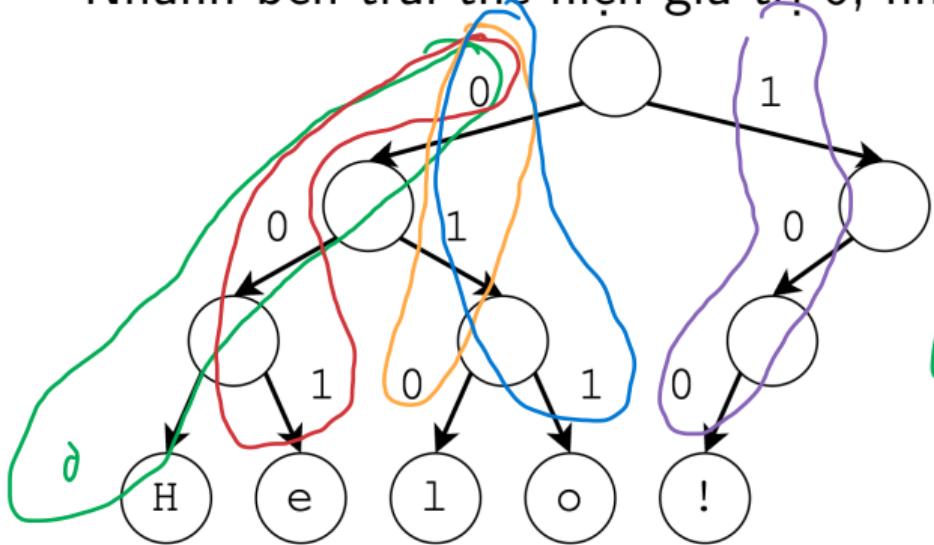
- a) a: 11, e: 00, t: 10, s: 01
- ~~b) a: 0,~~ e: 1, t: 01, s: 001
- c) a: 101, e: 11, t: 001, s: 011, n: 010
- d) a: 010, e: 11, t: 011, s: 1011, n: 1001, i: 10101

Prefix code có thể được biểu diễn thành cây nhị phân mã hóa.

Mỗi ký tự cần mã hóa sẽ nằm ở nút lá.

Giá trị mã hóa của ký tự đó thể hiện bằng đường đi từ nút gốc đến nút lá chứa ký tự đó.

Nhánh bên trái thể hiện giá trị 0, nhánh bên phải thể hiện giá trị 1.



để tạo prefix code

→ ví dụ nhị phân bắc bộ

(bên trái là 0, bên phải 1)

Chữ xuất hiện nhiều, gắn cho chúng một mã có độ dài ít nhất.

Chữ xuất hiện ít hơn, gắn cho chúng một mã có độ dài dài hơn.

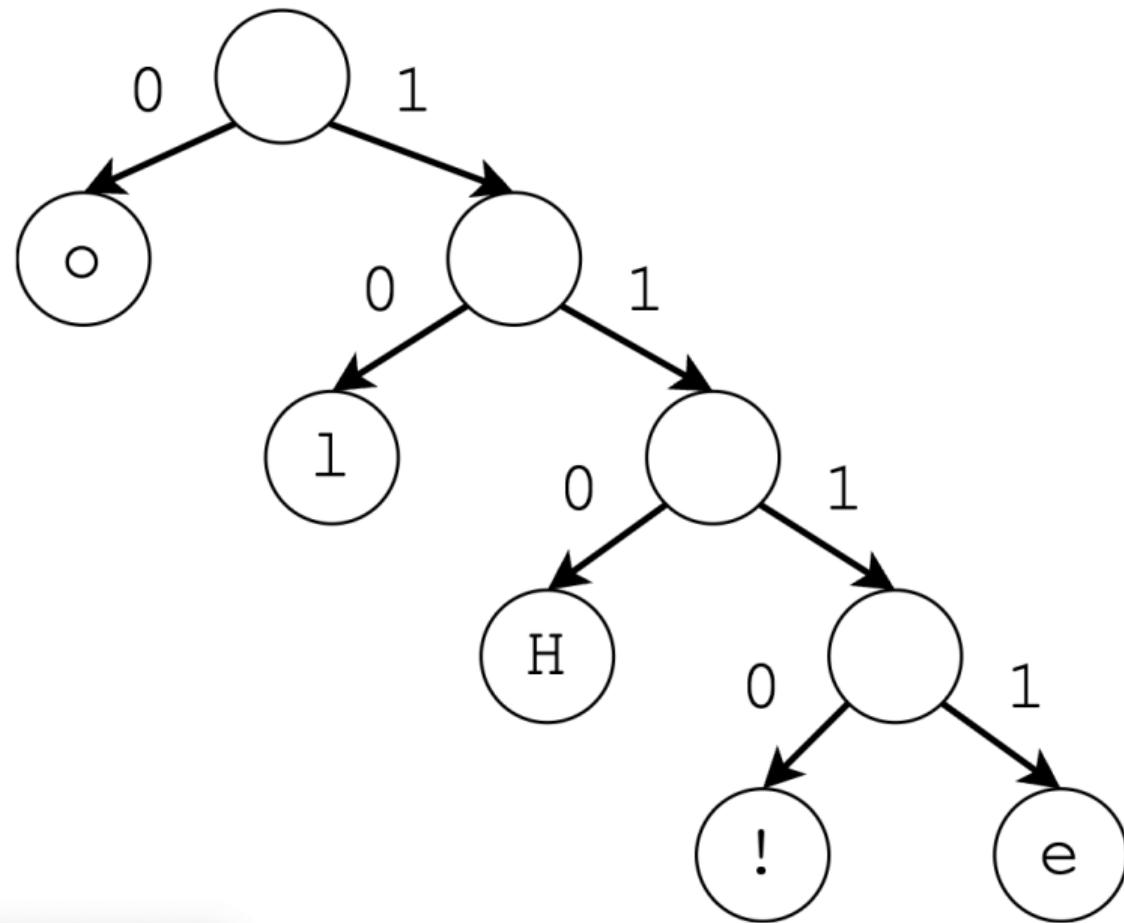
H	110
e	1111
l	10
o	0
!	1110

H e l l o o o !

1110	110	1111	10	10	0	0	0	1110
------	-----	------	----	----	---	---	---	------

"Hellooo!" : 18 bits

⇒ Prefix code.



# Huffman Coding

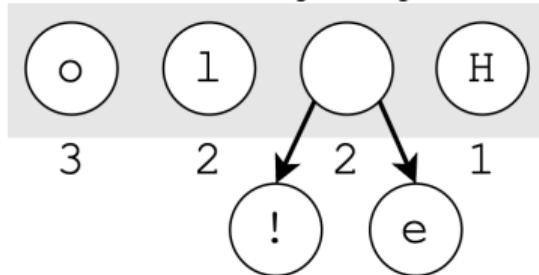
thuat toan maho data

Bước 1: Đếm tần số xuất hiện.

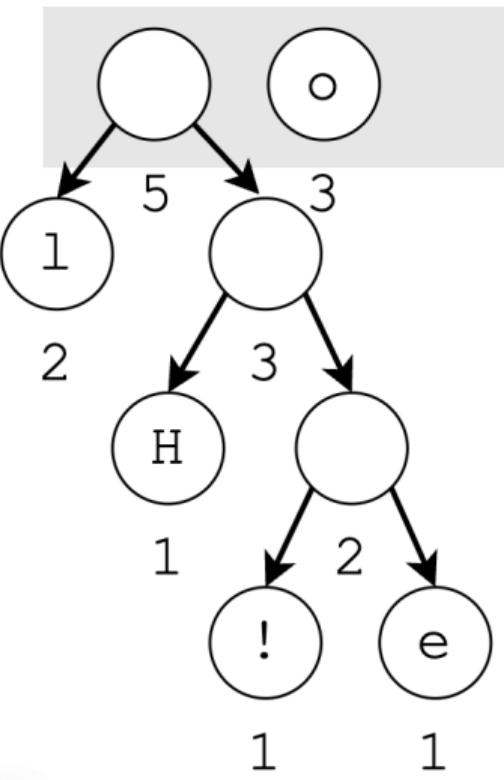
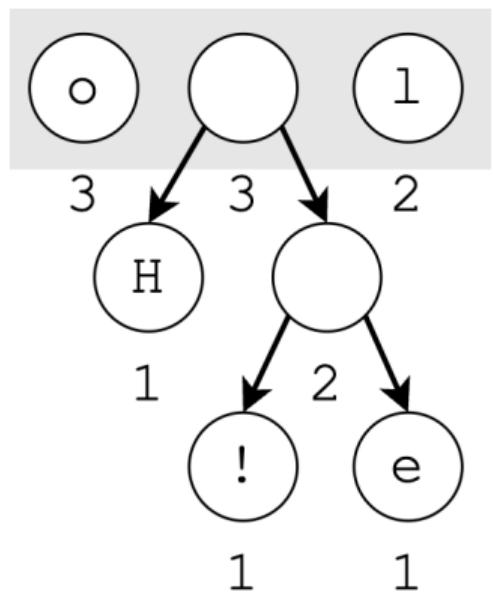
o	l	H	e	!
3	2	1	1	1

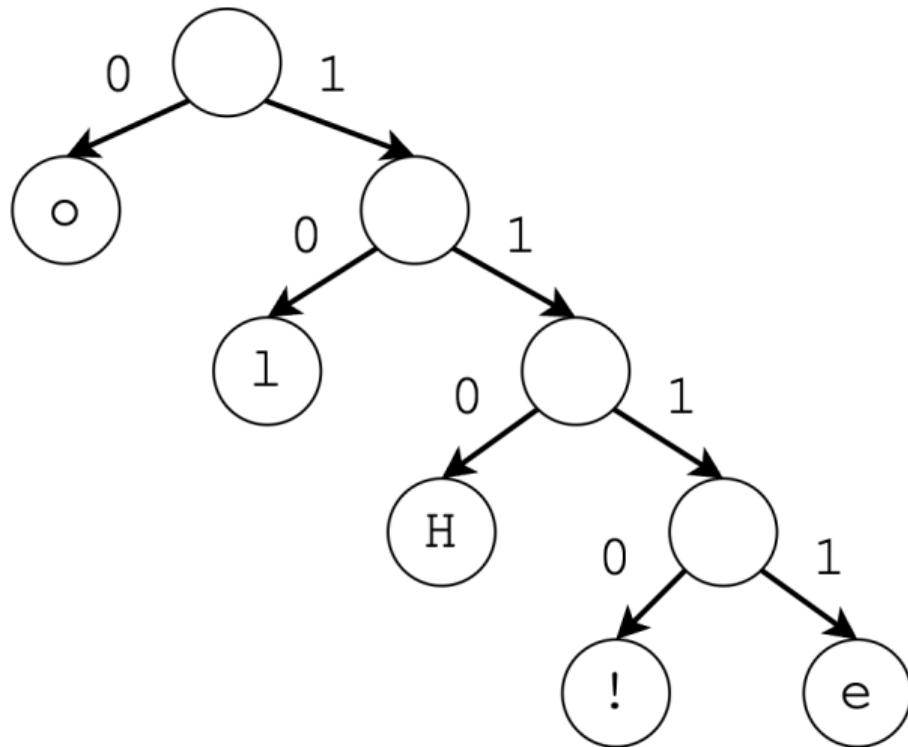
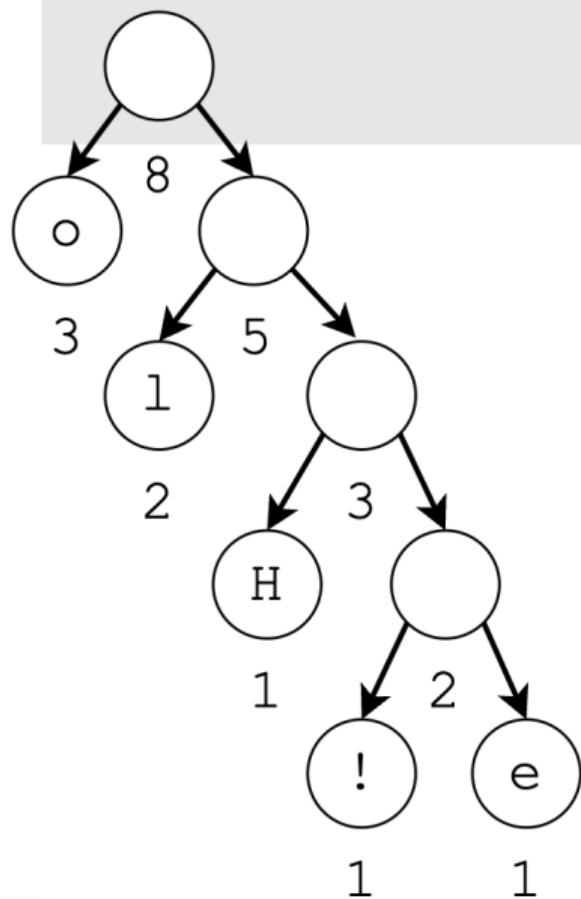
Lý để tìm prefix code  
nhất (tốn ít bits nhất)

Bước 2: Gom 2 cây có trọng số nhỏ nhất thành một cây mới có trọng số = tổng 2 trọng số của 2 cây đó. Cây có trọng số nhỏ để bên trái, cây có trọng số lớn để bên phải. Lặp lại bước này cho đến khi còn 1 cây duy nhất.



He ll oo oo !  
! I - e 3 ?





- o : 0-1 bits (xuất hiện 3 lần)
- l: 10- 2 bits (xuất hiện 2 lần)
- H: 110 - 3 bits (xuất hiện 1 lần)
- !: 1110: 4 bits (xuất hiện 1 lần)
- e: 1111- 4 bits (xuất hiện 1 lần)

**Số bit trung bình để mã hóa 1 ký tự**

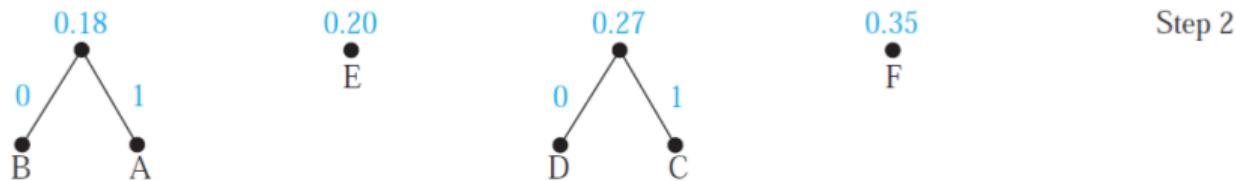
$$\frac{\text{Số lần xuất hiện} \times \text{số bit}}{\text{tổng số lần xuất hiện}}$$

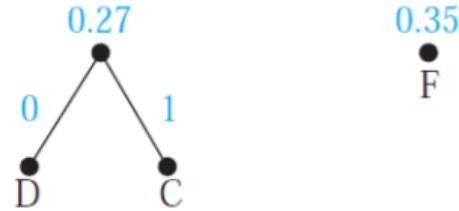
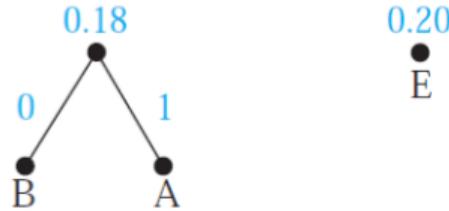
$$\frac{1 \times 3 + 2 \times 2 + 1 \times 3 + 4 \times 1 + 4 \times 1}{8} = 2.25$$

## Example 1

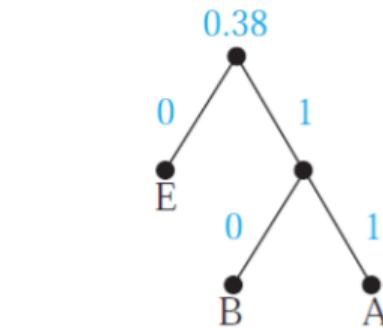
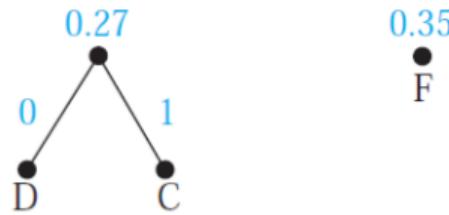
Use Huffman Coding to encode the following symbols with the frequencies listed

$A : 0.08, B : 0.1, C : 0.12, D : 0.15, E : 0.20, F : 0.35$ . What is the average number of bits used to encode a character?

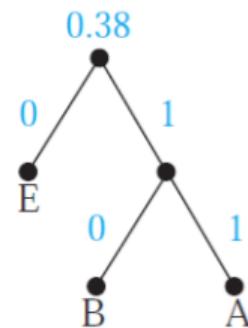
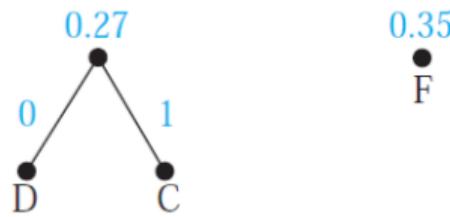




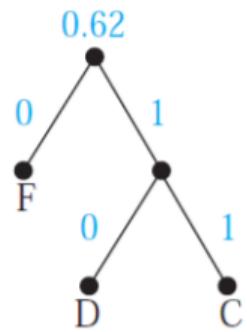
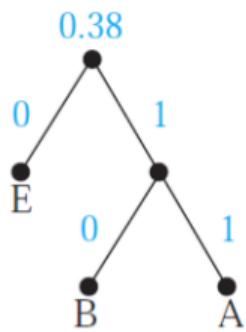
Step 2



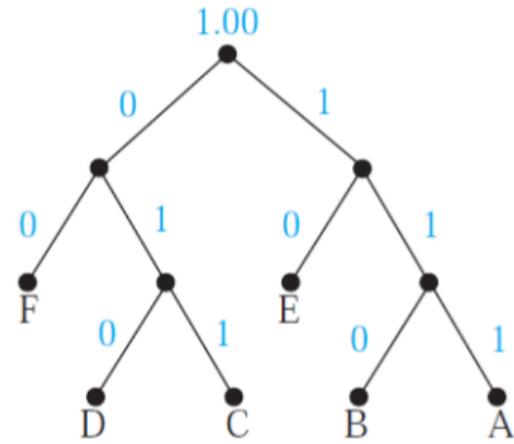
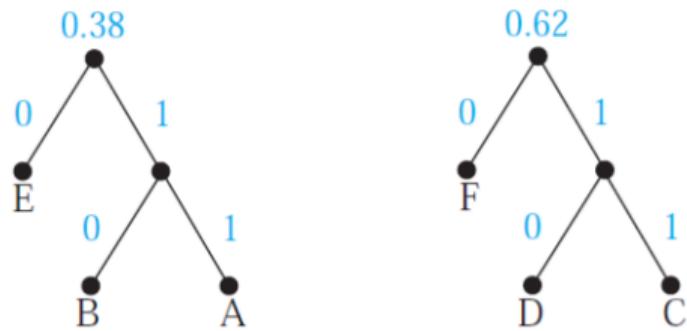
Step 3



Step 3



Step 4



*A* : 111 - 3 bits (frequency: 0.08)

*B* : 110 - 3 bits (frequency: 0.10)

*C* : 011 - 3 bits (frequency: 0.12)

*D* : 010 - 3 bits (frequency: 0.15)

*E* : 10 - 2 bits (frequency: 0.2)

*F* : 00 - 2 bits (frequency: 0.35)

Then, the **average number of bits** used to encode a symbol using this coding is

$$3 \times 0.08 + 3 \times 0.10 + 3 \times 0.12 + 3 \times 0.15 + 2 \times 0.20 + 2 \times 0.35 = 2.45$$

---

## ALGORITHM 2 Huffman Coding.

**procedure** *Huffman*( $C$ : symbols  $a_i$  with frequencies  $w_i$ ,  $i = 1, \dots, n$ )

$F :=$  forest of  $n$  rooted trees, each consisting of the single vertex  $a_i$  and assigned weight  $w_i$

**while**  $F$  is not a tree

Replace the rooted trees  $T$  and  $T'$  of least weights from  $F$  with  $w(T) \geq w(T')$  with a tree having a new root that has  $T$  as its left subtree and  $T'$  as its right subtree. Label the new edge to  $T$  with 0 and the new edge to  $T'$  with 1.

Assign  $w(T) + w(T')$  as the weight of the new tree.

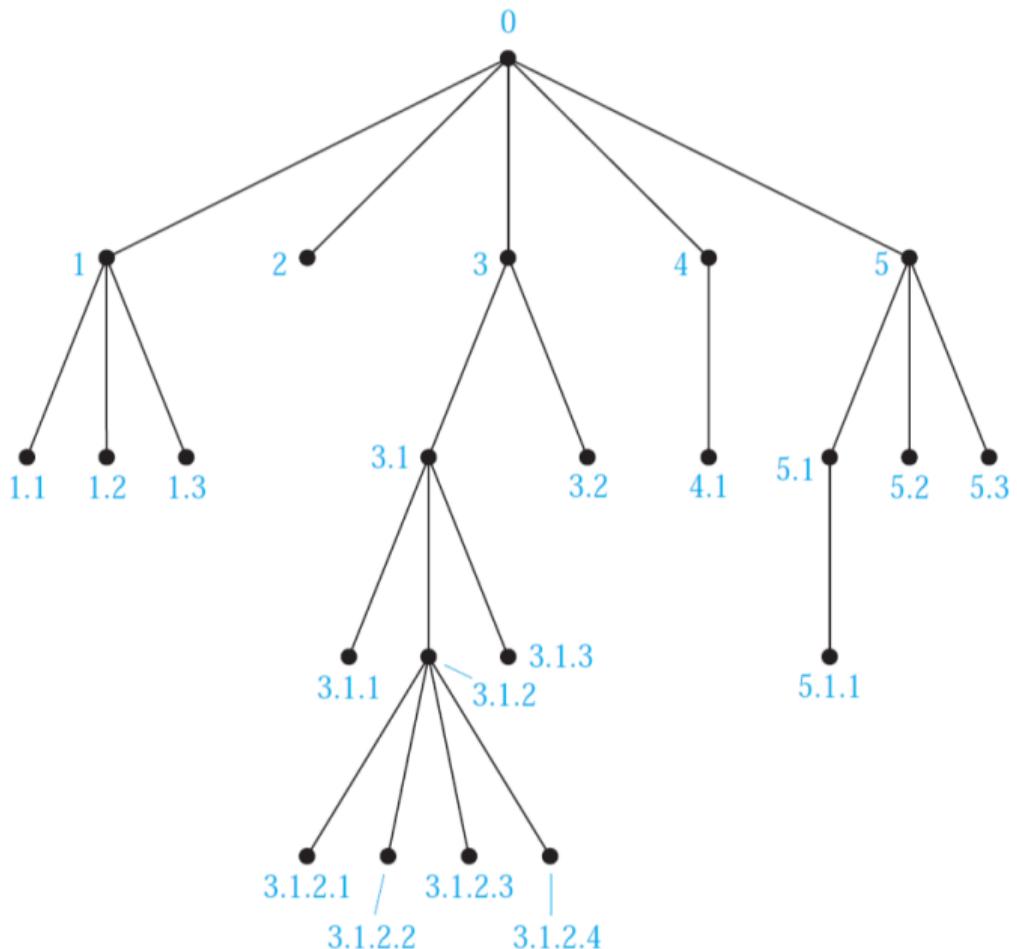
{the Huffman coding for the symbol  $a_i$  is the concatenation of the labels of the edges in the unique path from the root to the vertex  $a_i$ }

## Exercise

1. Use Huffman Coding Algorithm to encode these symbols with given frequencies  
 $a : 0.2, b : 0.1, c : 0.15, d : 0.25, e : 0.3$
2. Use Huffman Coding Algorithm to encode the word "google".  
What is the average number of bits required to encode a character?

[Universal Address Systems](#) is a way of labeling all the vertices by recursively:

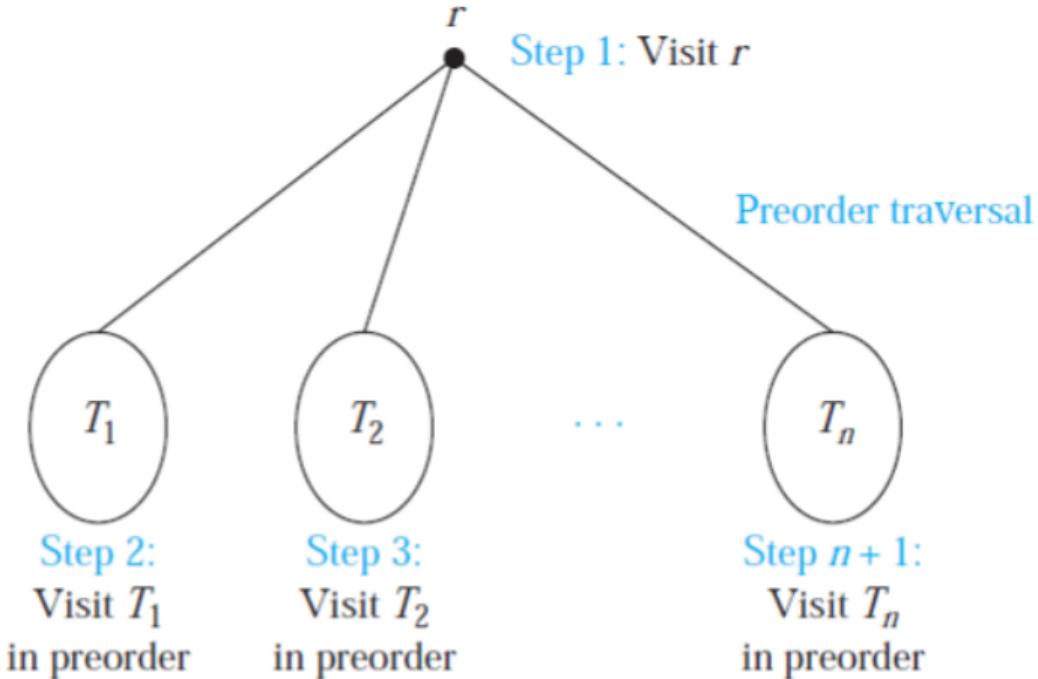
- Label the root with the integer 0. Then label its  $k$  children (at level 1) from left to right with 1, 2, ...,  $k$ .
- For each vertex  $v$  at level  $n$  with label  $A$ , label its  $k_v$  children, as they are drawn from left to right, with  $A.1, A.2, \dots, A.k_v$ .



# Traversal Algorithms

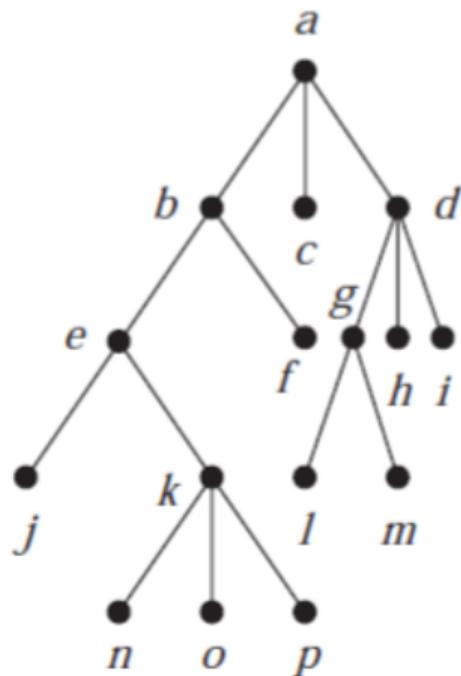
- Traversal Algorithms are procedure for systematically visiting every vertex of an ordered rooted tree.
  - preorder traversal
  - postorder traversal
  - inorder traversal

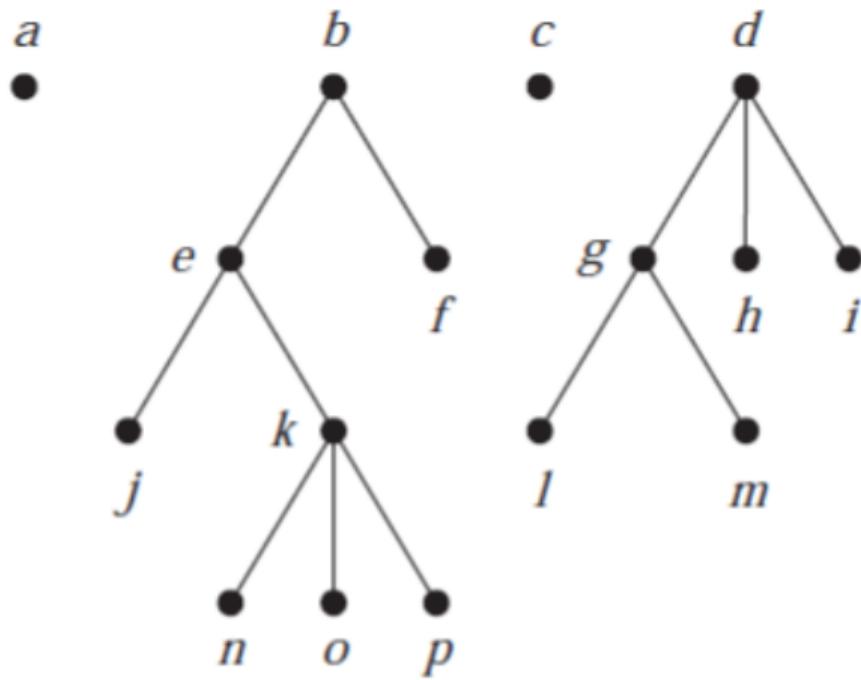
## Preorder traversal: visit root, visit subtrees left to right

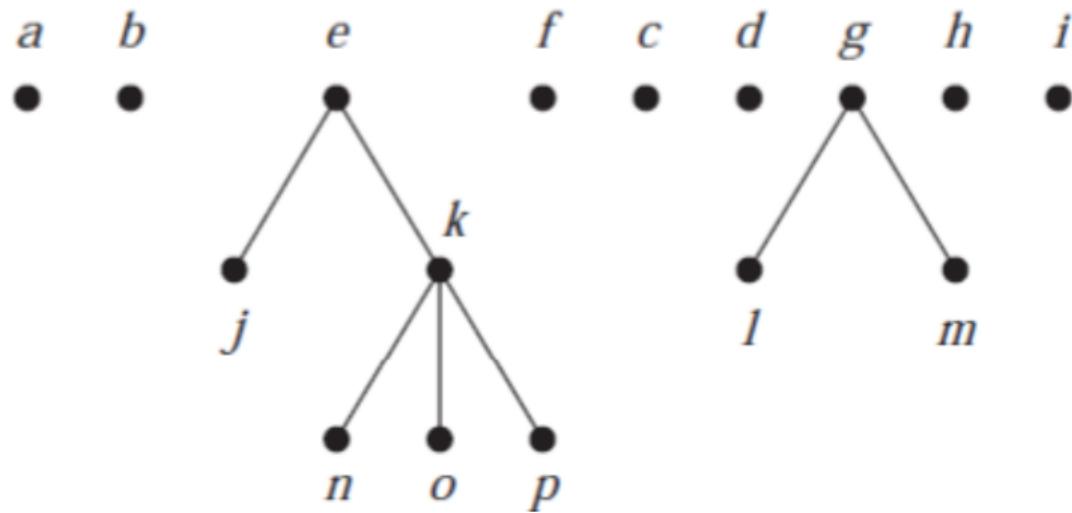


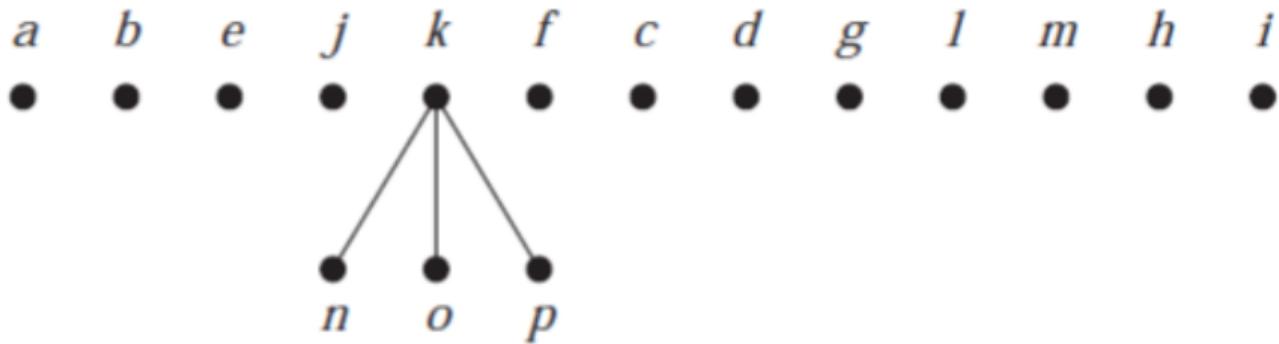
**FIGURE 2** Preorder Traversal.

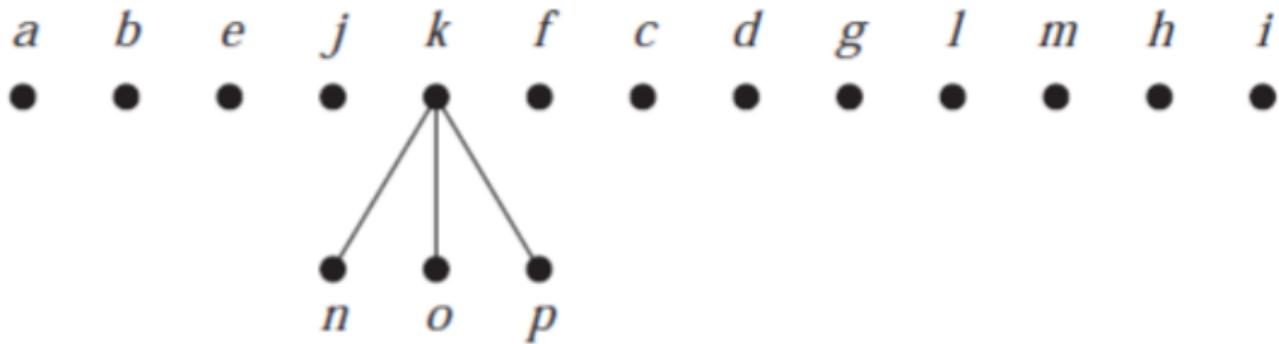
## Example 1 Preorder traversal ( N-L-R)







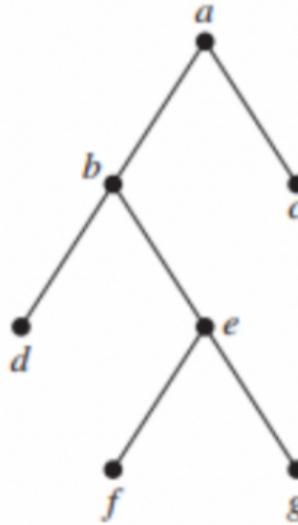




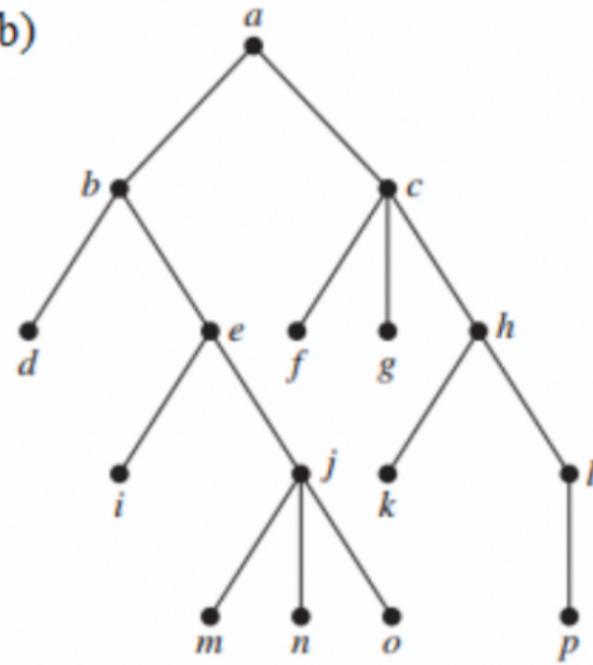
$a$     $b$     $e$     $j$     $k$     $n$     $o$     $p$     $f$     $c$     $d$     $g$     $l$     $m$     $h$     $i$   
 •   •   •   •   •   •   •   •   •   •   •   •   •   •   •   •

# Exercise: Preorder traversal

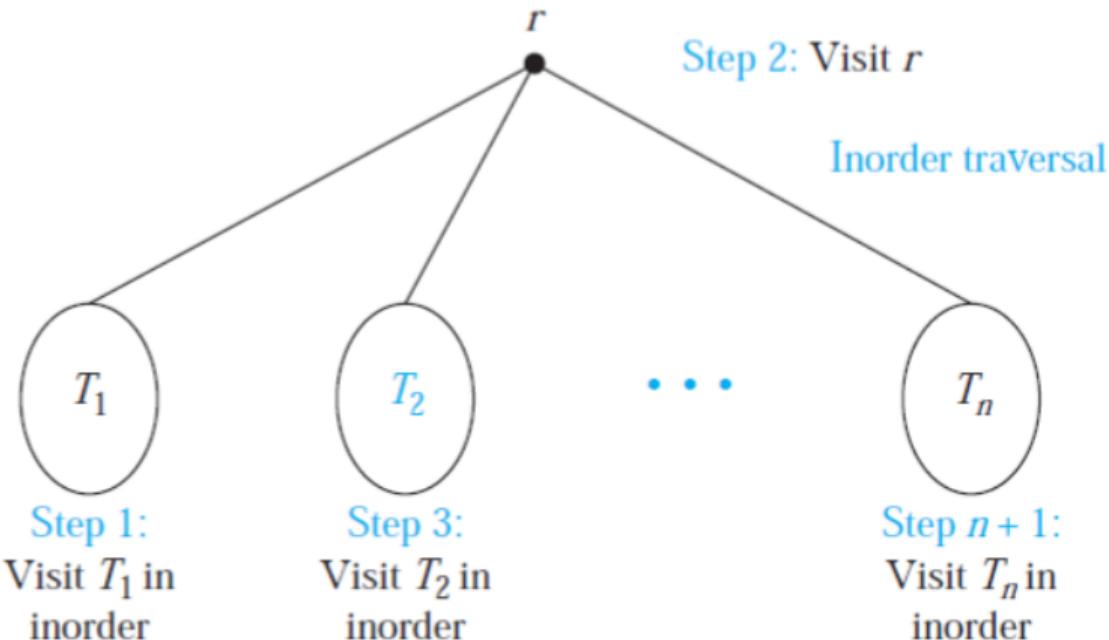
a)



b)

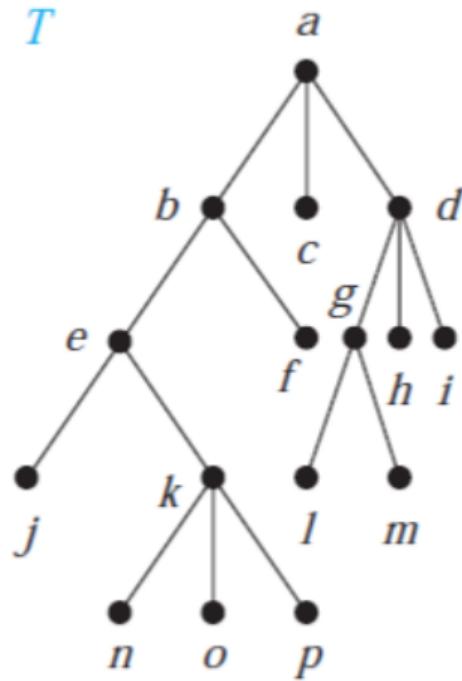


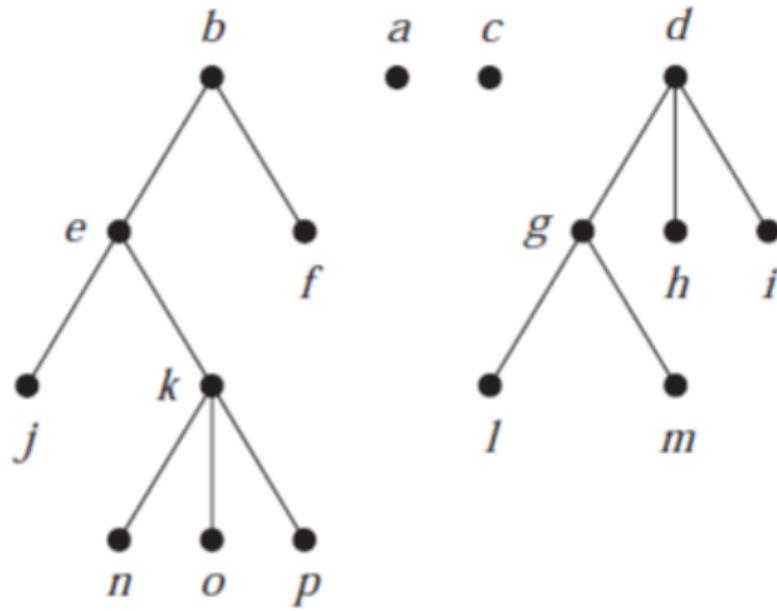
## Inorder Traversal: Visit subtree lefts, visit root, visit subtree right

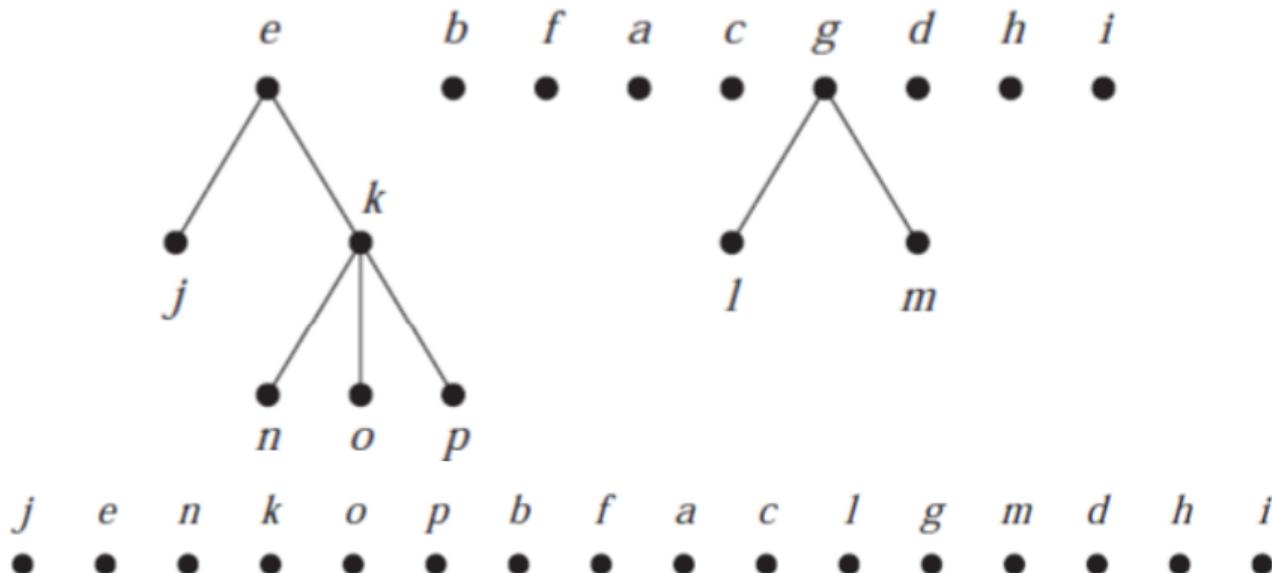


**FIGURE 5** Inorder Traversal.

## Example 1 Inorder Traversal (L-N-R)

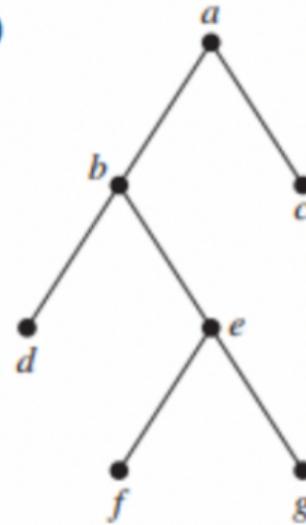




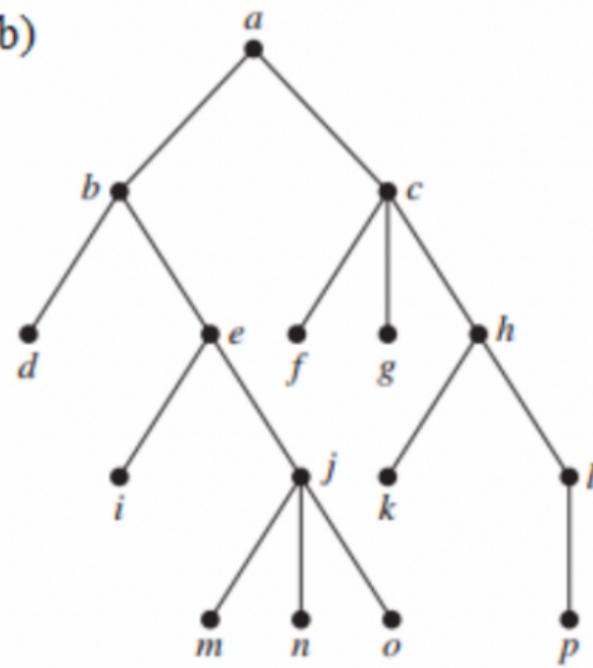


# Exercise: Inorder Traversal

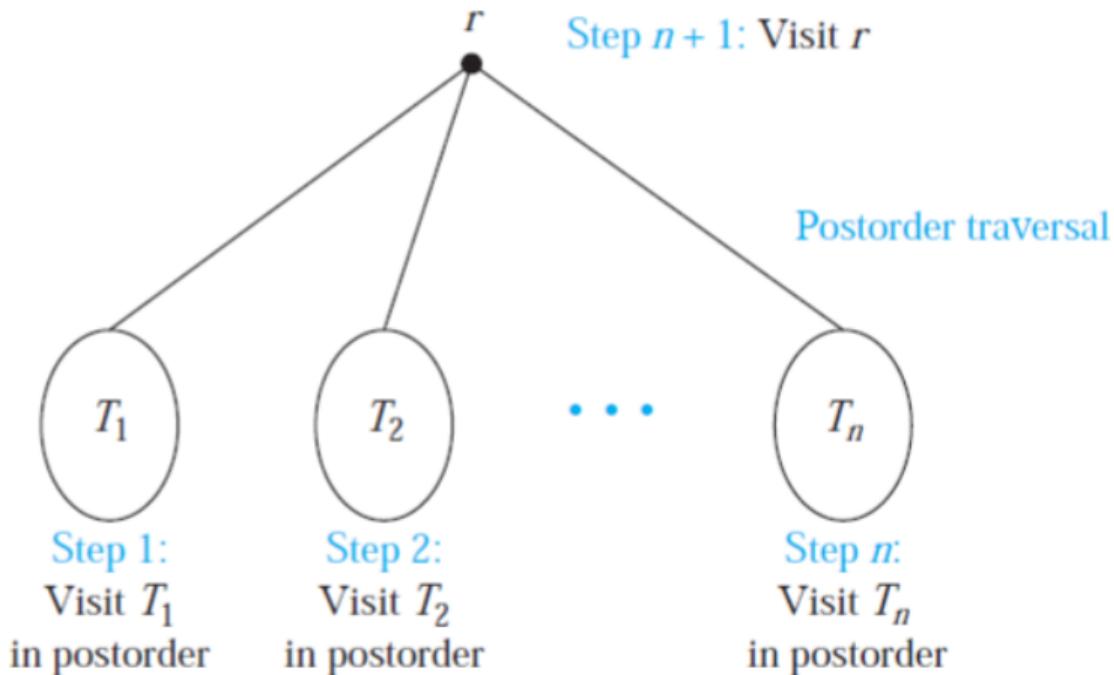
a)



b)

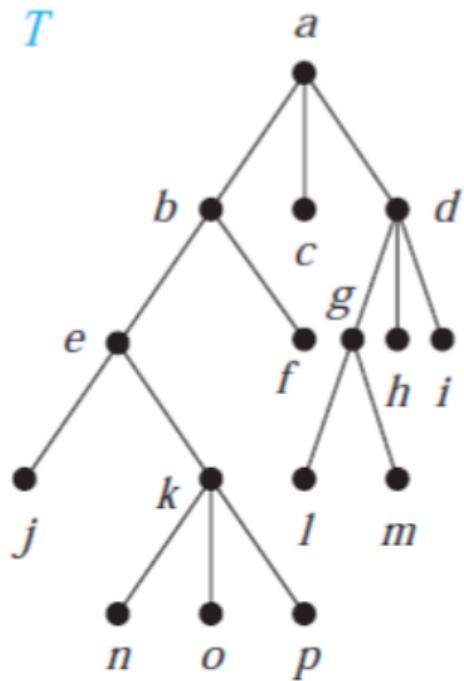


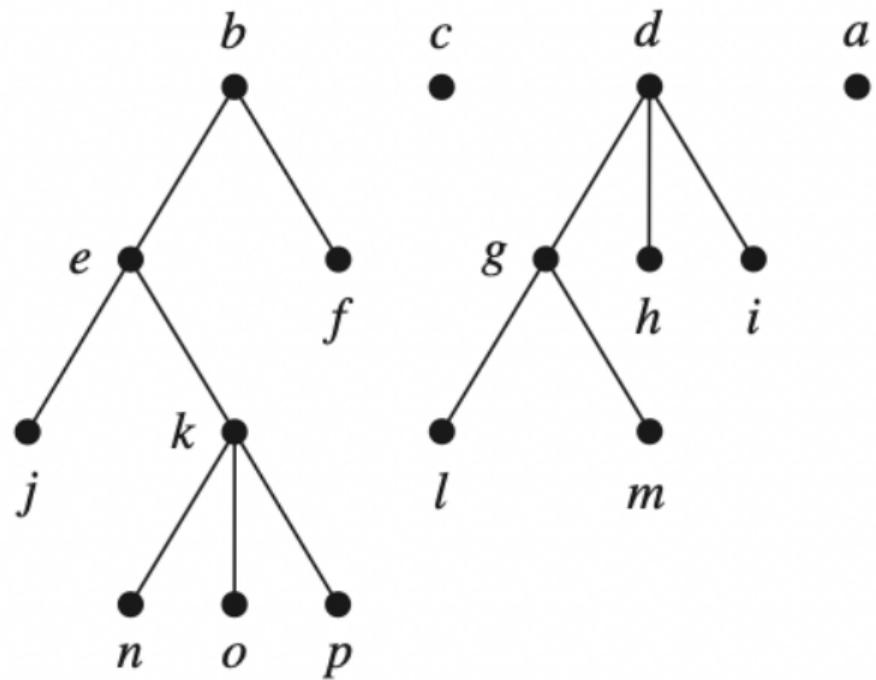
## Postorder traversal: Visit subtrees left to right, visit root

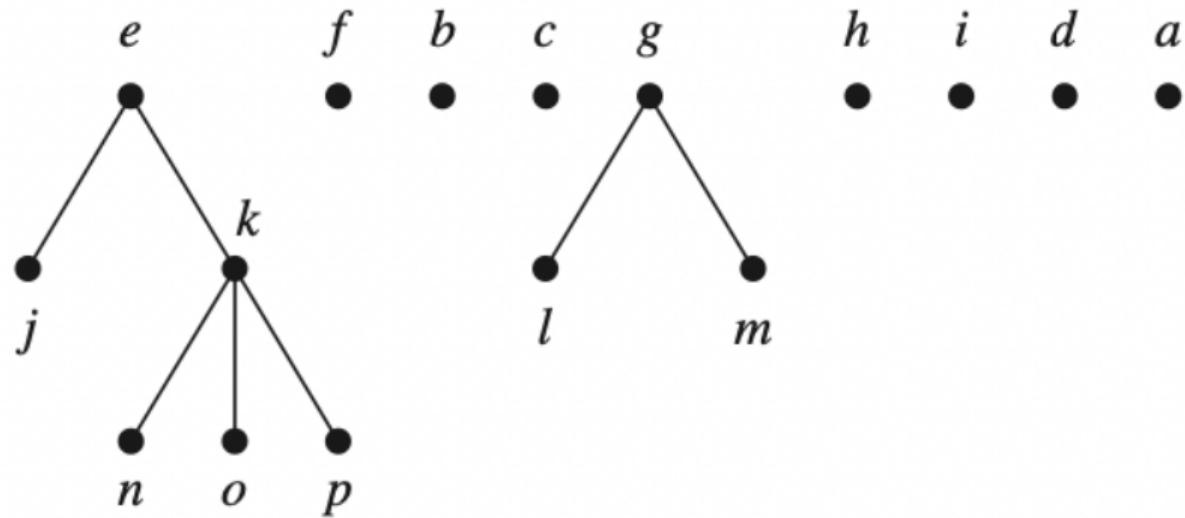


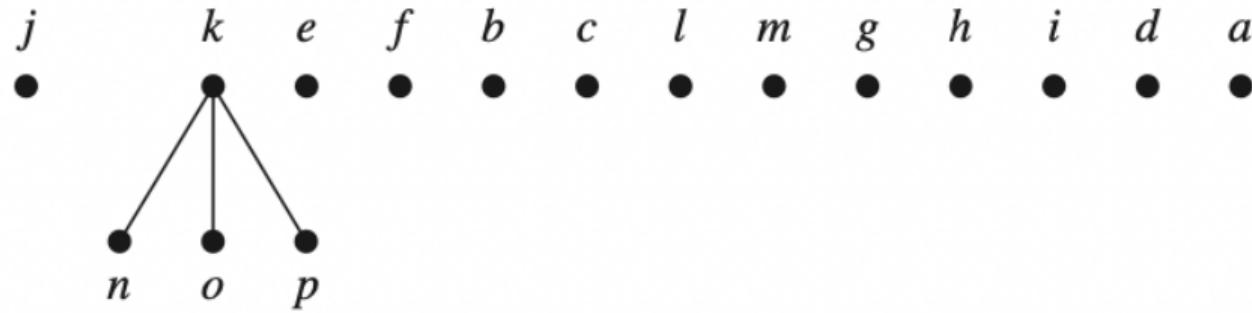
**FIGURE 7 Postorder Traversal.**

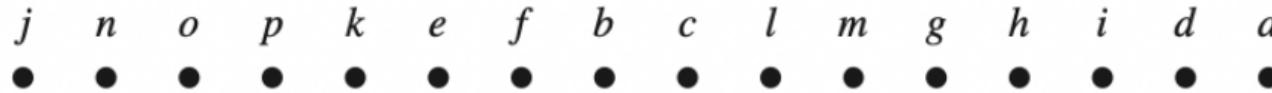
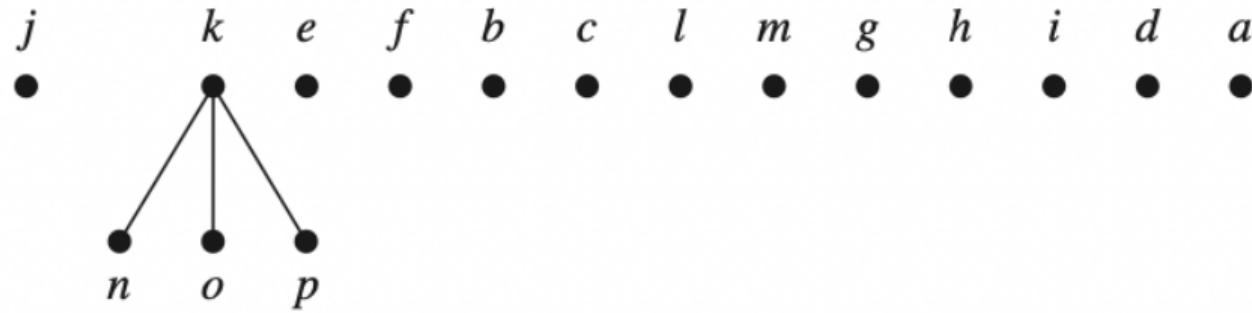
## Example 1 postorder traversal (L - R - N)





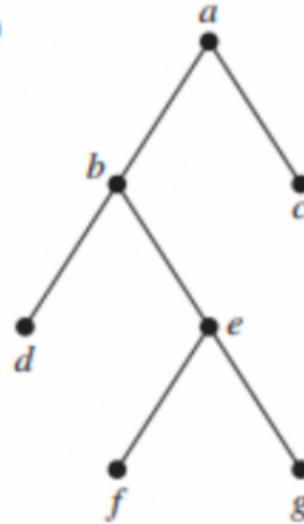




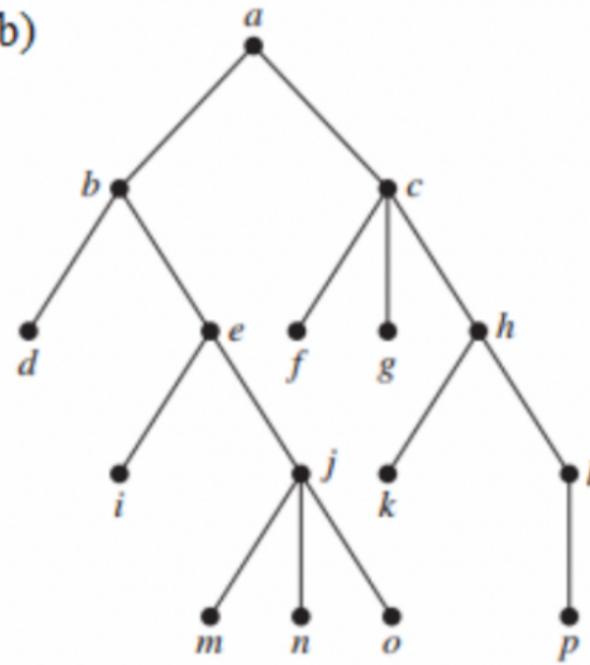


# Exercise: Postorder Traversal

a)

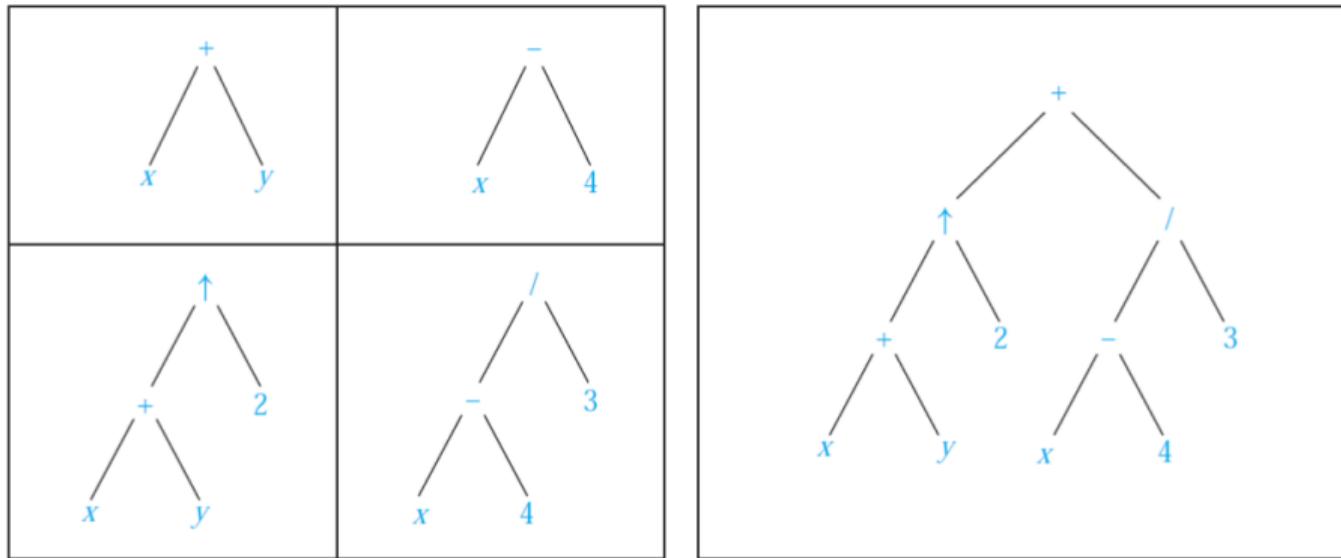


b)



- Ordered rooted trees can be used to represent complicated expressions, such as compound propositions, combinations of sets, and arithmetic expressions.

**Example 1** What is the ordered rooted tree that represents the expression  $((x + y) \uparrow 2) + ((x - 4)/3)??$

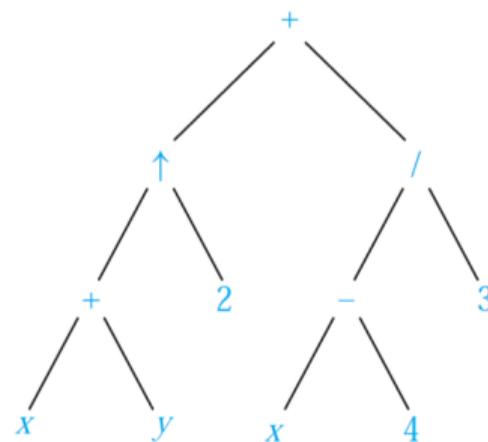


**FIGURE 10** A Binary Tree Representing  $((x + y) \uparrow 2) + ((x - 4)/3)$ .

**Example 2** What is the prefix form for  $((x + y) \uparrow 2) + ((x - 4)/3)$ ?

**Solution**

Step 1: Establishing binary rooted tree



Step 2: traversing the tree in preorder

+   ↑   +   x   y   2   /   -   x   4   3

### Example 3

What is the value of the prefix expression

+ - × 2 3 5 / ↑ 2 3 4?

### Solution

The steps used to evaluate this expression by working right to left.

$$+ \quad - \quad * \quad 2 \quad 3 \quad 5 \quad / \quad \uparrow \quad 2 \quad 3 \quad 4$$
$$2 \uparrow 3 = 8$$

$$+ \quad - \quad * \quad 2 \quad 3 \quad 5 \quad / \quad 8 \quad 4$$
$$8 / 4 = 2$$

$$+ \quad - \quad * \quad 2 \quad 3 \quad 5 \quad 2$$
$$2 * 3 = 6$$

$$\begin{array}{r} + \quad - \quad * \quad 2 \quad 3 \quad 5 \quad 2 \\ \hline 2 * 3 = 6 \end{array}$$

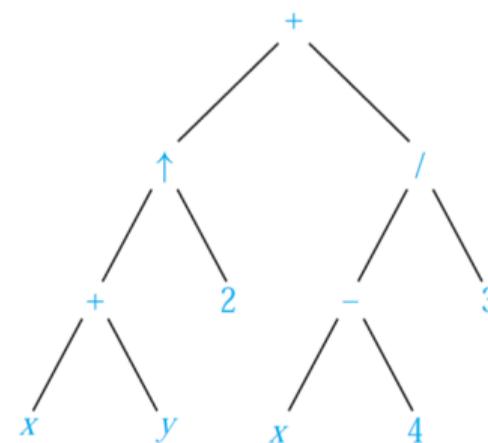
$$\begin{array}{r} + \quad - \quad 6 \quad 5 \quad 2 \\ \hline 6 - 5 = 1 \end{array}$$

$$\begin{array}{r} + \quad 1 \quad 2 \\ \hline 1 + 2 = 3 \end{array}$$

**Example 4** What is the postfix form of the expression  
 $((x + y) \uparrow 2) + ((x - 4)/3)$ ?

**Solution**

Step 1: Establishing binary rooted tree



Step 2: traversing the tree in postorder:  $x\ y\ +\ 2\ \uparrow\ x\ 4\ -\ 3\ / +$

## Example 5

What is the value of the postfix expression  $7\ 2\ 3\ *\ -\ 4\ \uparrow\ 9\ 3\ /\ +$ ?

## Solution

Starting evaluating at the left and carrying out operations when two operands are followed by an operator.

7    2    3    \*    -    4    ↑    9    3    /    +  
\_\_\_\_\_

$$2 * 3 = 6$$

7    6    -    4    ↑    9    3    /    +  
\_\_\_\_\_

$$7 - 6 = 1$$

1    4    ↑    9    3    /    +  
\_\_\_\_\_

$$1^4 = 1$$

$$\begin{array}{ccccccc} 1 & 4 & \uparrow & 9 & 3 & / & + \\ \hline & & & & & & \\ 1^4 = 1 & & & & & & \end{array}$$

$$\begin{array}{ccccc} 1 & 9 & 3 & / & + \\ \hline & & & & \\ 9 / 3 = 3 & & & & \end{array}$$

$$\begin{array}{ccc} 1 & 3 & + \\ \hline & & \\ 1 + 3 = 4 & & \end{array}$$

## Exercise

1. What is the ordered rooted tree that represents the expression  $((x + y) \uparrow 2) + ((x - 4)/3)$ ?
2. What is the **prefix form** for  $((x + y) \uparrow 2) + ((x - 4)/3)$ ?
3. What is the **value** of the **prefix expression**  
 $+ - * 2 3 5 / \uparrow 2 3 4$ ?
4. What is the **postfix form** of the expression  $((x + y) \uparrow 2) + ((x - 4)/3)$ ?

## Exercise

5. What is the value of the postfix expression

7 2 3 \* - 4 ↑ 9 3 / + ?

6. a) Represent the expression  $((x + 2) \uparrow 3) * (y - (3 + x)) - 5$

using a binary tree. Write this expression in

b) prefix notation

c) postfix notation

d) infix notation

## Exercise

7. a) Represent the expressions  $(x + x * y) + (x/y)$  and  $x + ((x * y + x)/y))$  using binary trees.

Write these expressions in

- b) prefix notation
- c) postfix notation
- d) infix notation

## Exercise

Find the postfix notation for the expression  $[x + ((y - 5)^*4)] \uparrow 3$

- A.  $x + y - 5 * 4 \uparrow 3$
- B.  $x 5 y - 4 * + \uparrow 3$
- C.  $x y 5 - 4 * + 3 \uparrow$
- D. None of these other choices is correct

## Exercise

What is the value of this prefix expressions?

+ 4 / \* 7 3 + 5 - 18 ↑ 2 4

- A.5
- B.6
- C.7
- D.8

## Exercise

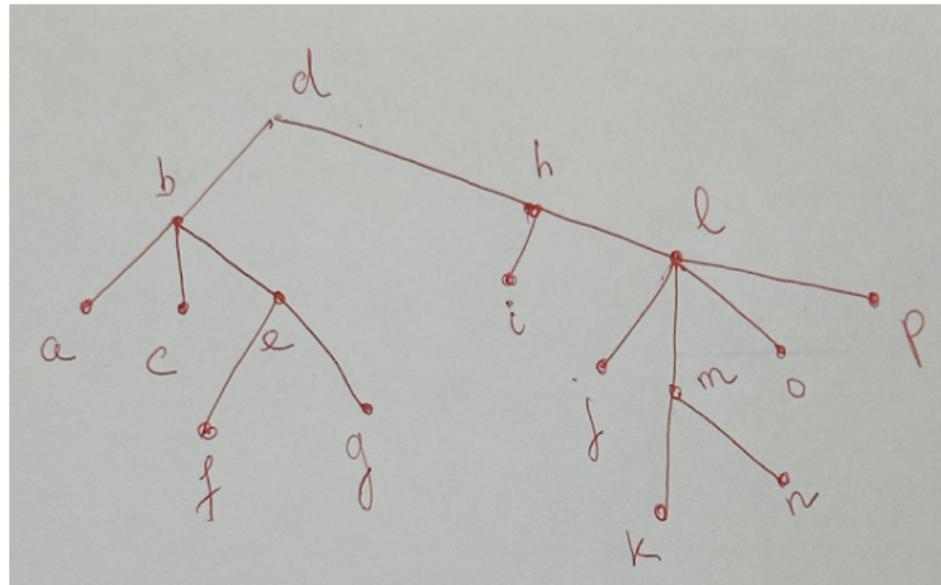
What is the value of this postfix expressions?

4 3 \* 2 ↑ 7 5 – 9 3 / \* /

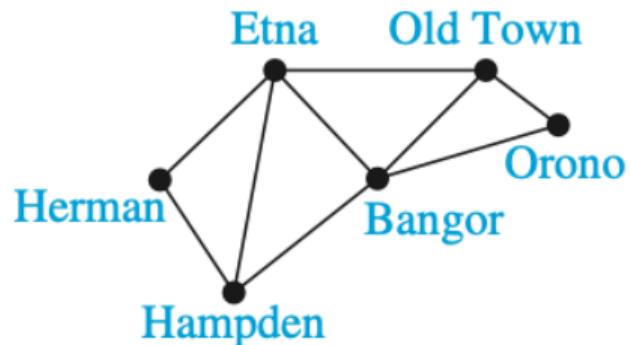
- A.24
- B.25
- C.26
- D.27

# Exercise

If **inorder** traversal is used, what is the position of the vertex "d" in the list?



- A.3    B.4    C.5    D.6    E.7

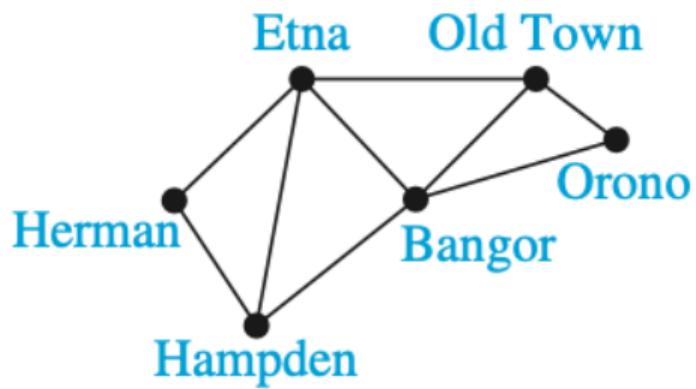


The only way the roads can be kept open in the winter is by frequently plowing them

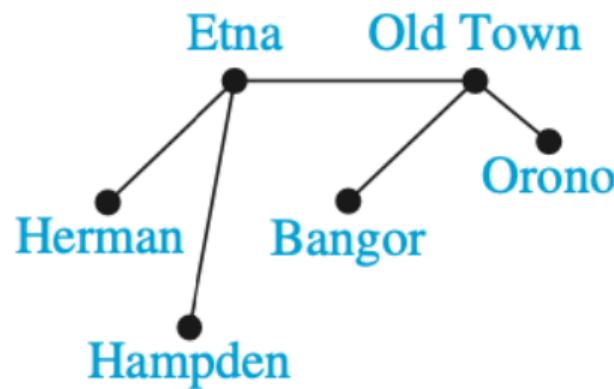
The highway department wants to plow the fewest roads so that there will always be cleared roads connecting any two towns. How can this be done?

cơ đtđt cùn con đtđt nāo đtđt gr̄w̄c 2 th̄: tr̄ī b̄īn̄ l̄ū.

At least five roads must be plowed to ensure that there is a path between any two towns. Figure 1(b) shows one such set of roads



(a)



(b)

Lê  $\downarrow$  cây ~~đi qua~~ ~~hết tất cả các đỉnh của~~  
~~cây~~ ~~thực gốc.~~

### Definition

Let  $G$  be a simple graph. A **spanning tree** of  $G$  is a subgraph of  $G$  that is a tree containing every vertex of  $G$ .

Chu cột kín, tìm spanning tree?

Example 1 Find an spanning tree of the simple graph  $G$  below?

lên thông  
ở vòi đòn chép kín } cát

$\Delta$ : ;  $\square$ : chép kín.  
 $\rightarrow$  bỏ bớt 1 cạnh

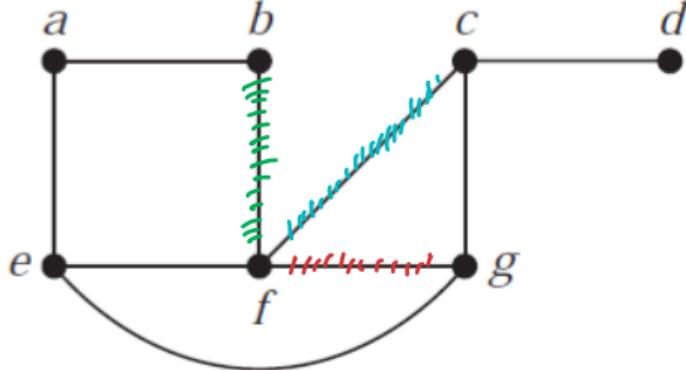
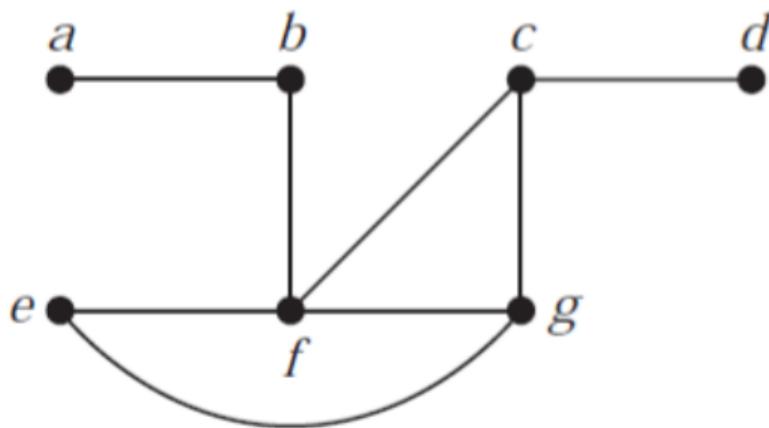
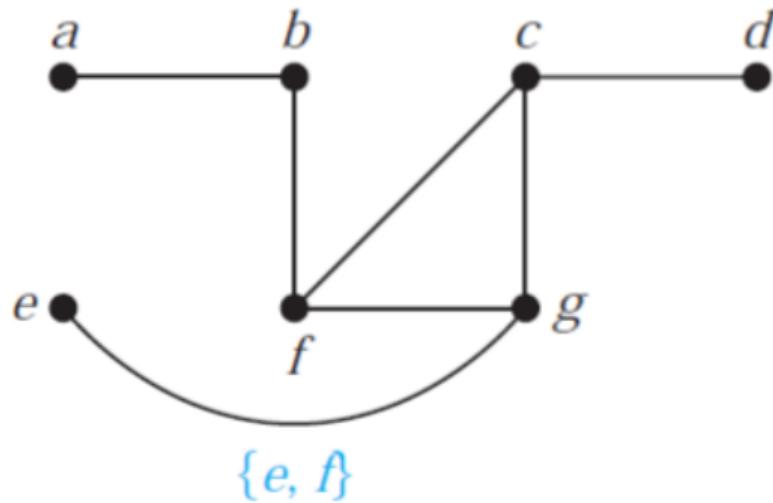


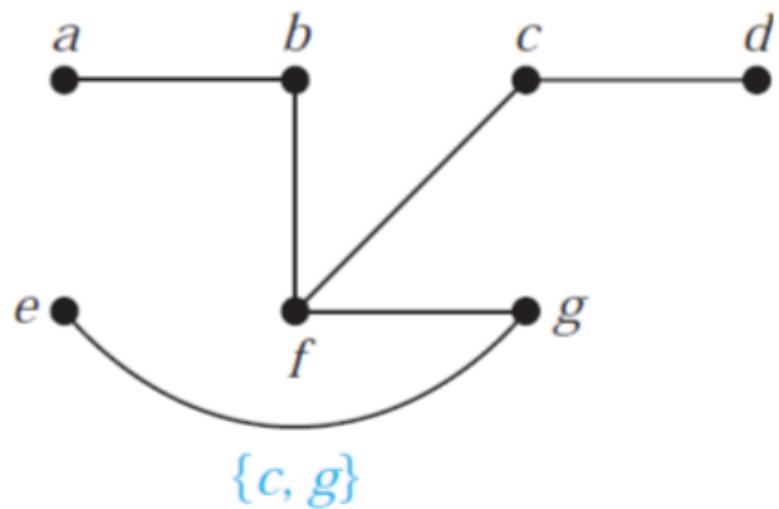
FIGURE 2 The Simple Graph  $G$ .

## Solution



Edge removed:  $\{a, e\}$





## Theorem

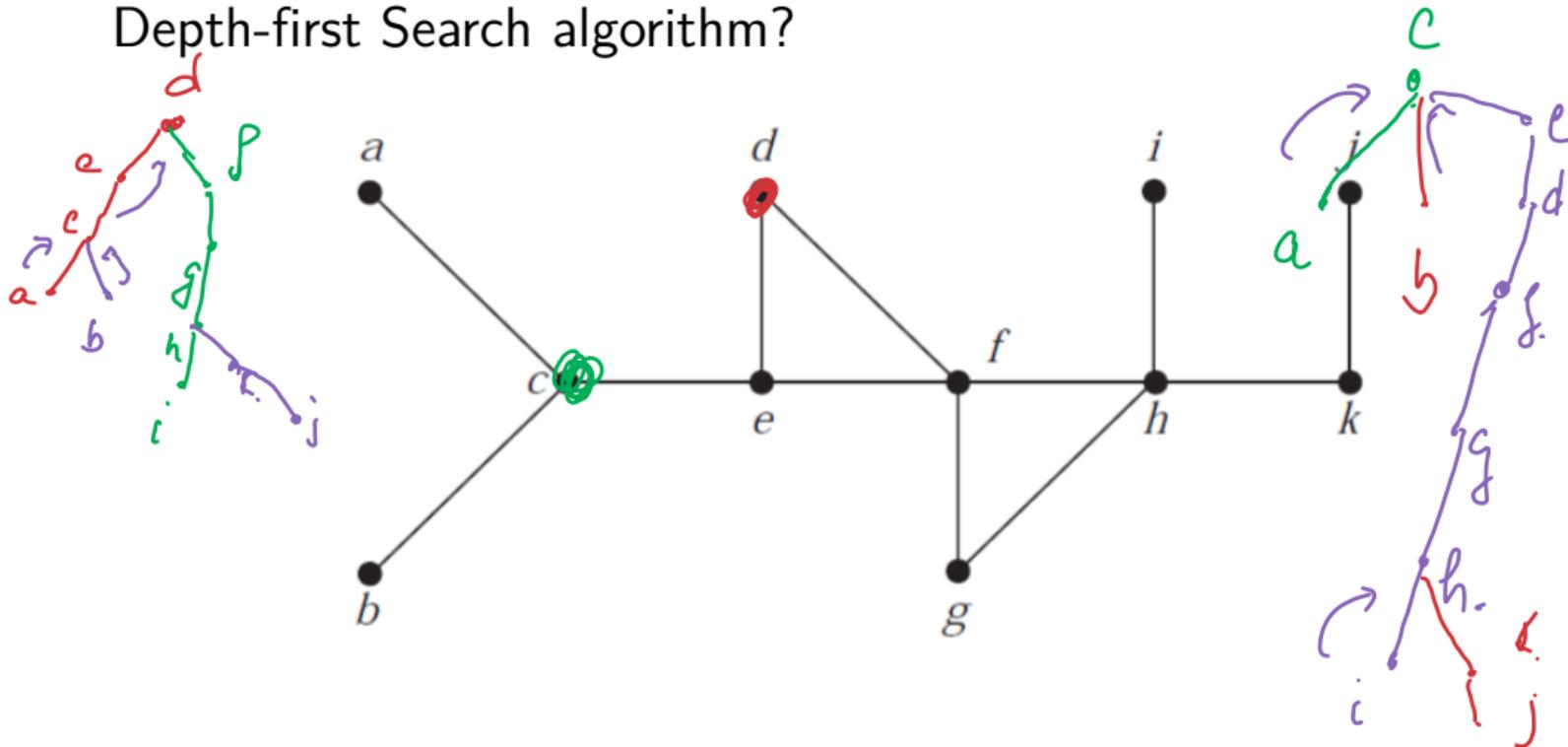
*A simple graph is connected if and only if it has a spanning tree.*

# Depth-First Search $\Rightarrow$ đến ra k-1 "Spanning Tree"

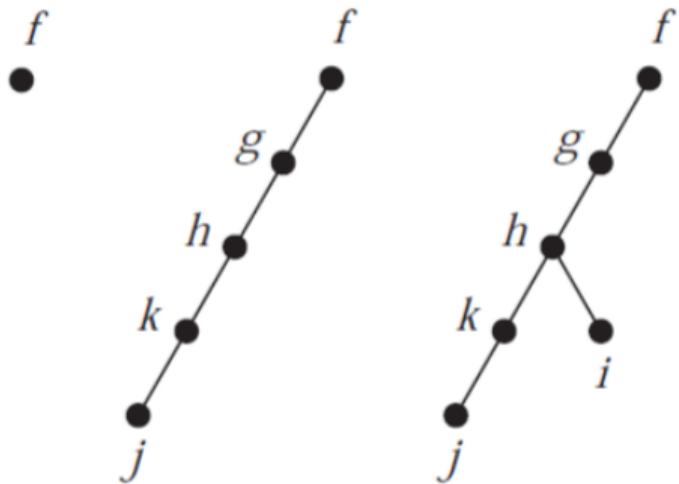
**Depth-first Search** là 1 thuật toán xây dựng "spanning tree" từ 1 connected simple graph bằng cách

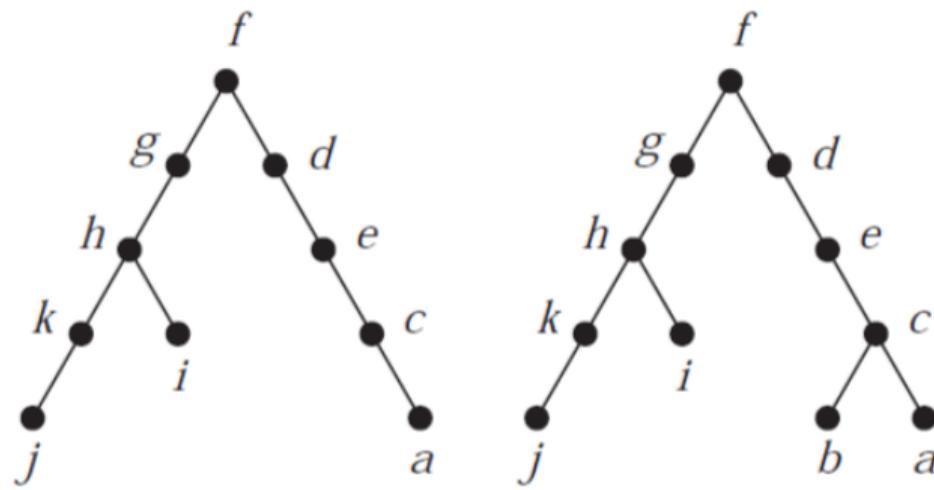
- Chọn 1 đỉnh nào đó làm gốc : vd chọn C
- Đi một con đường bằng cách bắt đầu tại đỉnh này và thêm vào cạnh mới (cạnh mới là cạnh có 1 đỉnh cũ và 1 đỉnh mới)
- Đi được cứ đi, không đi được thì lùi lại rồi đi tiếp con đường mới (nếu có thể), lùi lại mà vẫn không đi được thì lùi lại nữa rồi đi (nếu có thể)
- Tiếp tục quá trình này cho đến khi ta đi hết tất cả các đỉnh

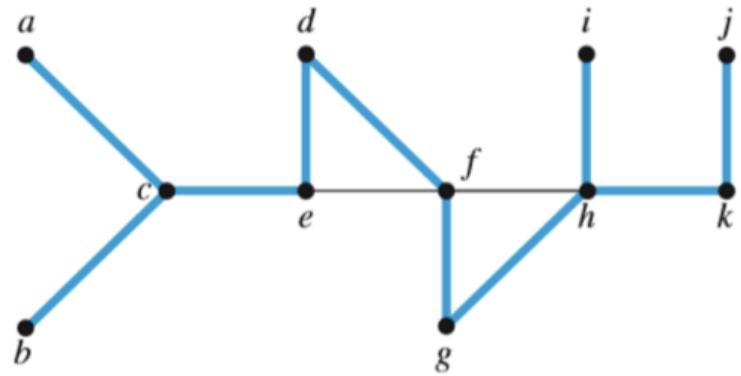
**Example 1** Find a spanning tree of the graph below by using Depth-first Search algorithm?



**Solution** We arbitrarily start with the vertex  $f$ .







The edges selected by depth-first search of a graph are called **tree edges**.

All other edges are called **back edges**.

**FIGURE 8** The Tree Edges and Back Edges of the Depth-First Search in Example 4.

# Depth-first Search Algorithm

```
procedure DFS( $G$ : connected graph with vertices  $v_1, v_2, \dots, v_n$ )
     $T :=$  tree consisting only of the vertex  $v_1$ 
    visit( $v_1$ )
```

```
procedure visit( $v$ : vertex of  $G$ )
    for each vertex  $w$  adjacent to  $v$  and not yet in  $T$ 
        add vertex  $w$  and edge  $\{v, w\}$  to  $T$ 
        visit( $w$ )
```

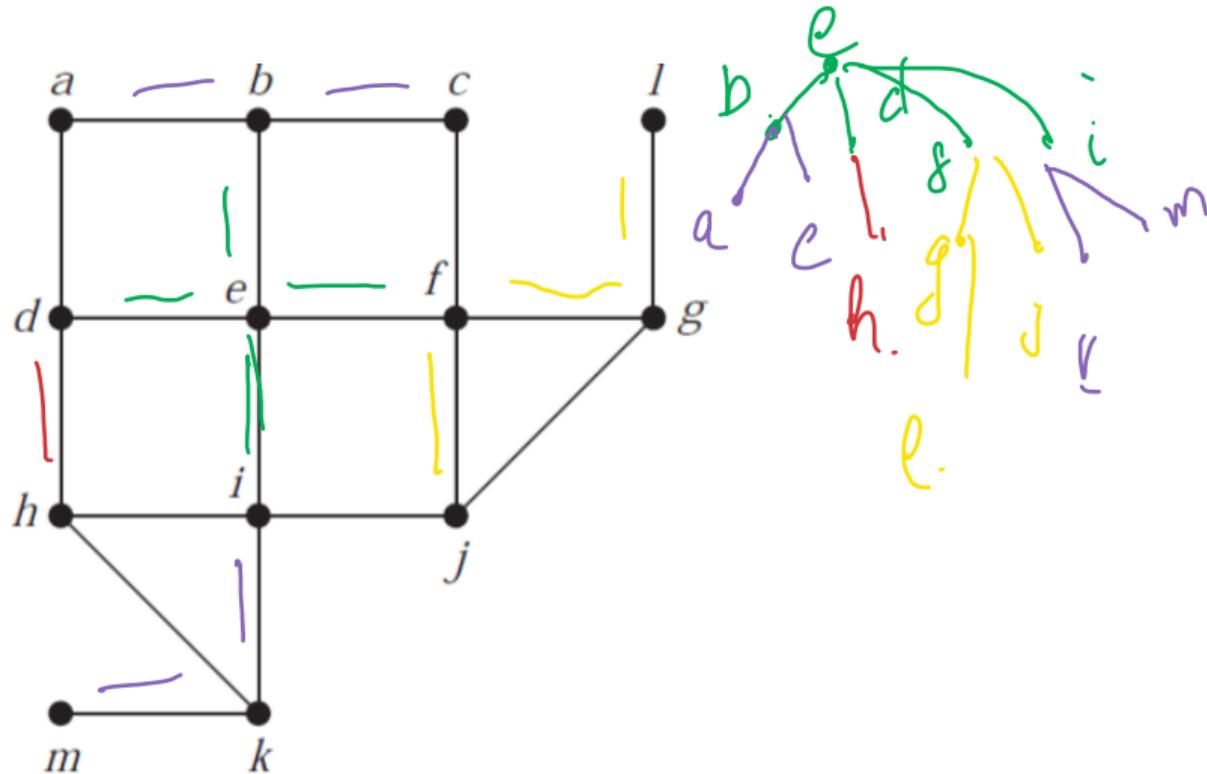
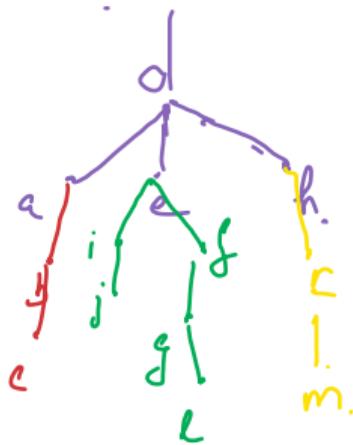
# Breath-First Search

**Breath-first Search** là 1 thuật toán xây dựng "spanning tree" từ 1 connected simple graph bằng cách

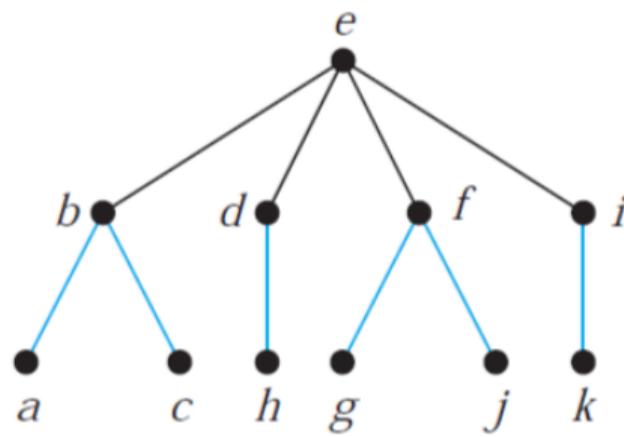
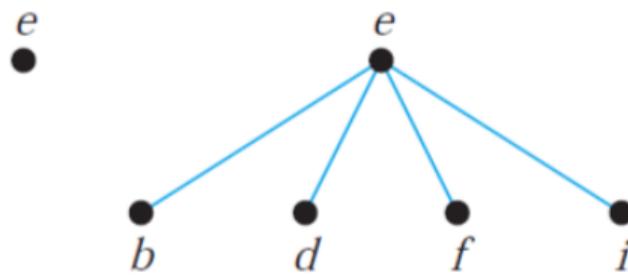
- Chọn 1 đỉnh nào đó làm gốc
- Thêm những cạnh kề với đỉnh này, ta được những đỉnh có mức 1 : *lấy kẽ đỉnh kề / sao cho chưa khép kín*
- Tại những đỉnh có mức 1, thêm những cạnh kề với đỉnh đó, miễn là không tạo ra một đường khép kín. Ta được những đỉnh có mức là 2.
- Tiếp tục quá trình này cho đến khi ta đi hết tất cả các đỉnh

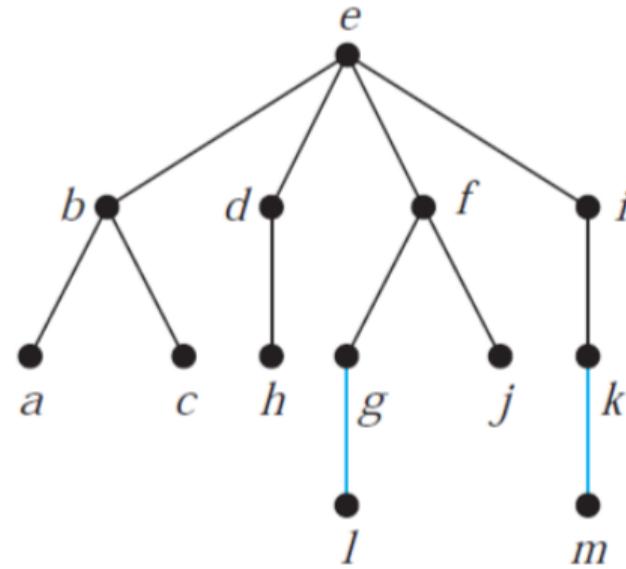
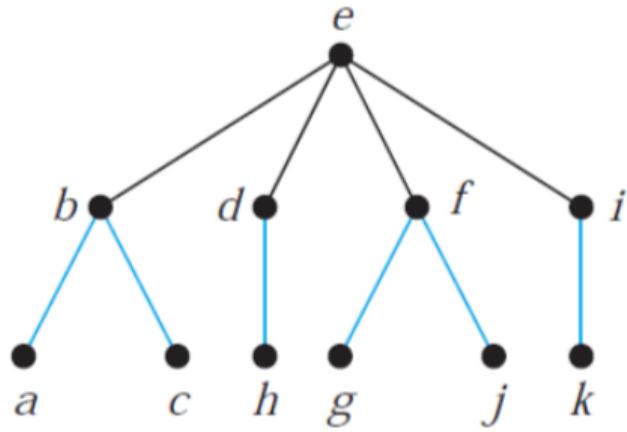
**Example 1** Use Breadth-First search to find a spanning tree for the graph below?

Chọn đê là m gõ C:



## Solution





# Breath-First Algorithm

procedure *BFS* ( $G$ : connected graph with vertices  $v_1, v_2, \dots, v_n$ )

$T :=$  tree consisting only of vertex  $v_1$

$L :=$  empty list

put  $v_1$  in the list  $L$  of unprocessed vertices

**while**  $L$  is not empty

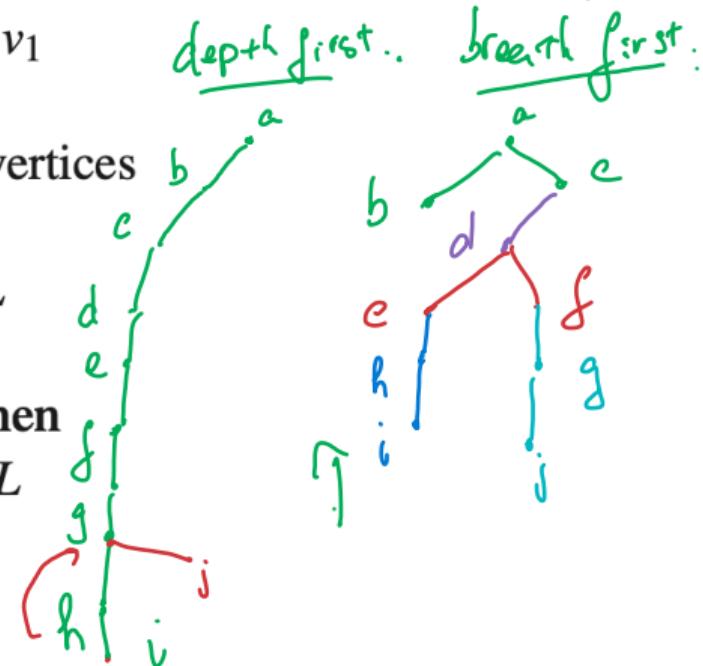
    remove the first vertex,  $v$ , from  $L$

    for each neighbor  $w$  of  $v$

        if  $w$  is not in  $L$  and not in  $T$  then

            add  $w$  to the end of the list  $L$

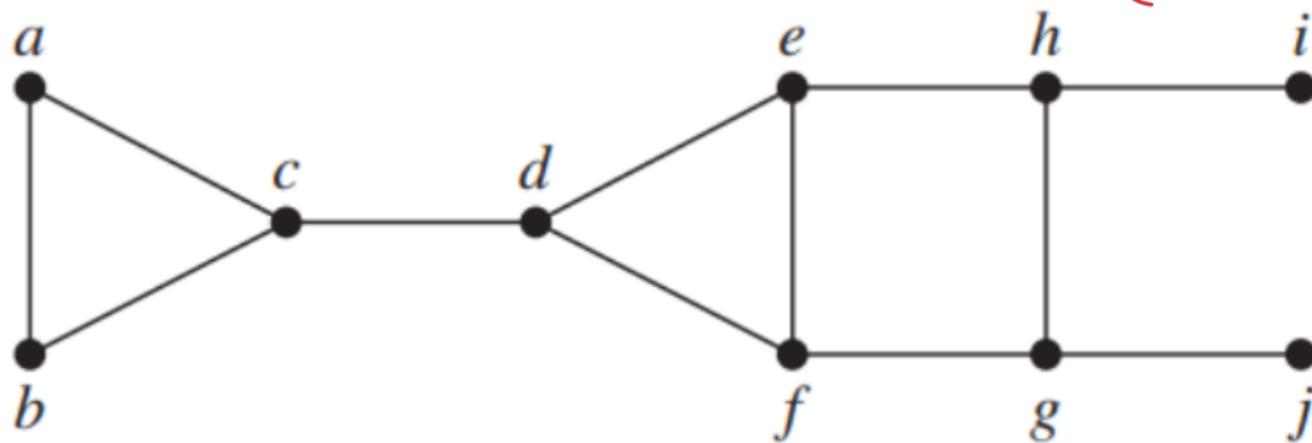
            add  $w$  and edge  $\{v, w\}$  to  $T$



# Exercise

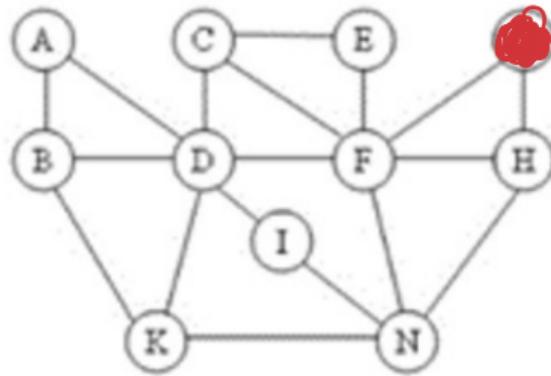
Use **depth-first search** and **breadth-first search** to find a spanning tree of the graph below. Choose a as the root of this spanning tree and assume that the vertices are ordered alphabetically. *nhân tiếp kề nhau:*

*a → b → c*



# Exercise

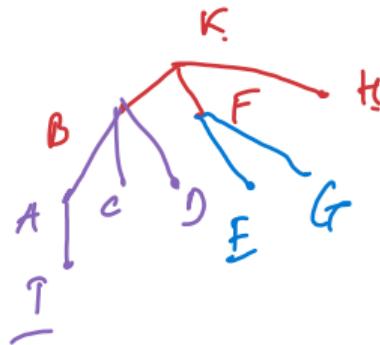
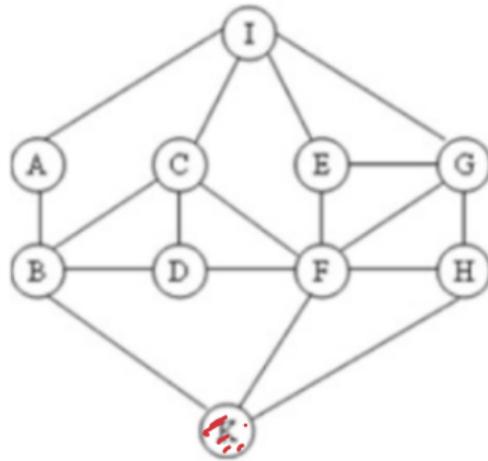
Use depth-first search (DFS) to produce a spanning tree for the given graph. Choose G as the root of this spanning tree and assume that the vertices are ordered alphabetically.



- A. G, F, I, N, K, B, A, C, D, E, H
- B. G, F, C, D, A, B, K, N, H, I, E
- C. G, H, N, K, B, A, C, D, E, I, F
- D. G, A, B, C, D, E, F, N, K, H, I

# Exercise

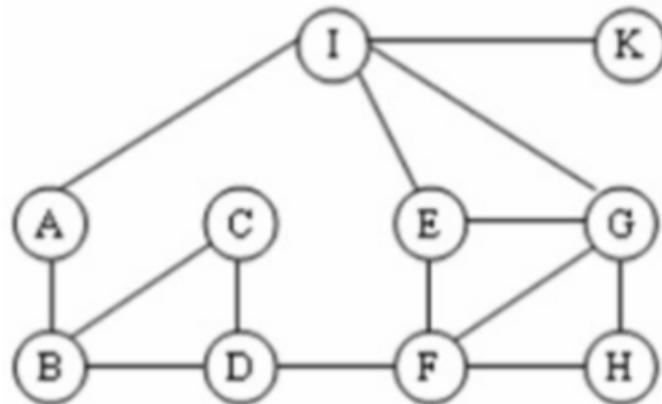
Use breadth-first search (BFS) to produce a spanning tree for the given graph. Choose K as the root of this spanning tree and assume that the vertices are ordered alphabetically.



- A. K, B, F, H, A, C, D, E, G, I
- B. K, B, D, F, H, A, C, E, G, I
- C. K, B, A, C, D, E, F, G, H, I
- D. K, E, F, G, H, A, B, C, D, I

# Exercise

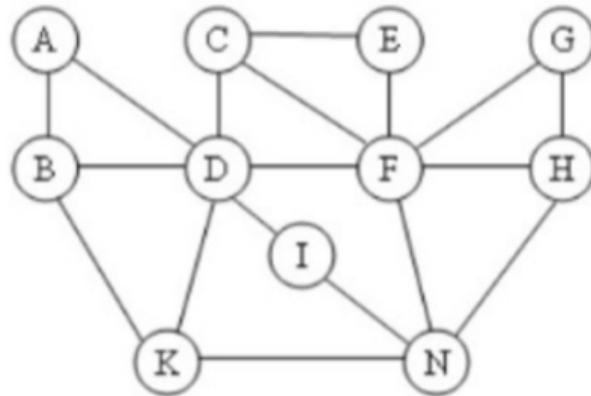
Use breadth-first search (BFS) to produce a spanning tree for the given graph. Choose K as the root of this spanning tree and assume that the vertices are ordered alphabetically.



- A. K, A, B, C, D, E, F, G, H, I
- B. K, A, C, E, G, B, D, F, H, I
- C. K, I, E, G, F, H, A, B, C, D
- D. K, I, A, E, G, B, F, H, C, D

# Exercise

Use depth-first search (DFS) to produce a spanning tree for the given graph. Choose A as the root of this spanning tree and assume that the vertices are ordered alphabetically.



- A. A, B, D, K, I, N, C, E, G, H, F
- B. A, C, E, F, D, B, I, N, K, H, B
- C. A, B, D, C, E, F, G, H, N, I, K
- D. A, K, N, D, F, H, G, E, C, I, B

## Definition

A **minimum spanning tree** in a connected weighted graph is a spanning tree that has the **smallest possible sum of weights** of its edges.

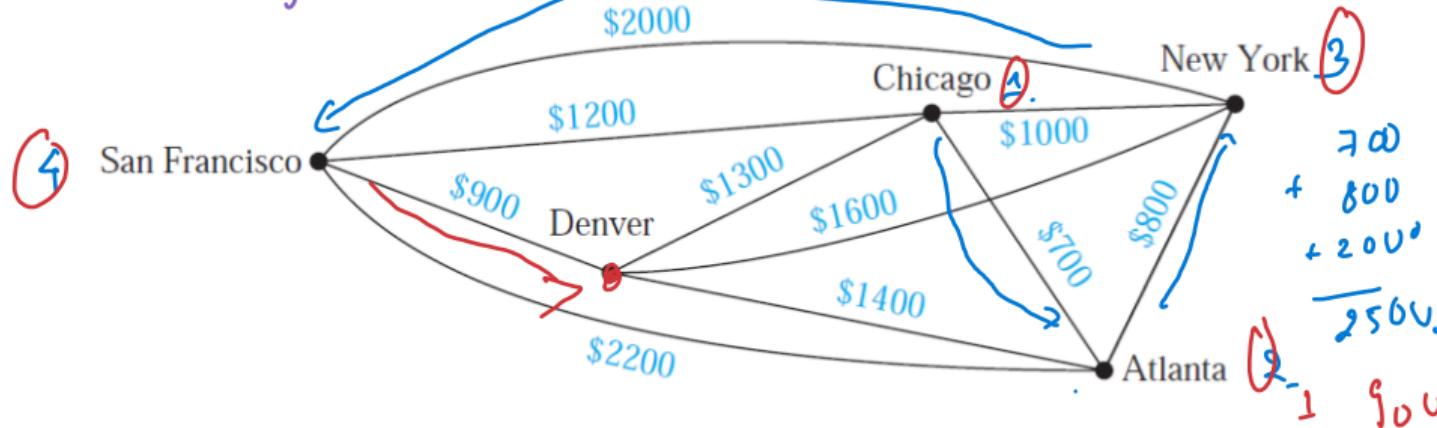


**Example 1** Find a minimum spanning tree.

Cây có trong số nhỏ nhất

## Chia phónhat

\$4000

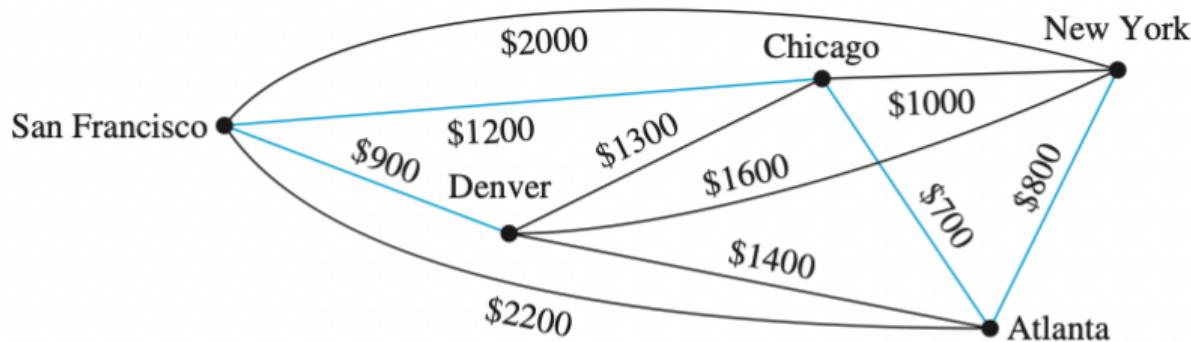


**FIGURE 1** A Weighted Graph Showing Monthly Lease Costs for Lines in a Computer Network.

# Prim's Algorithm

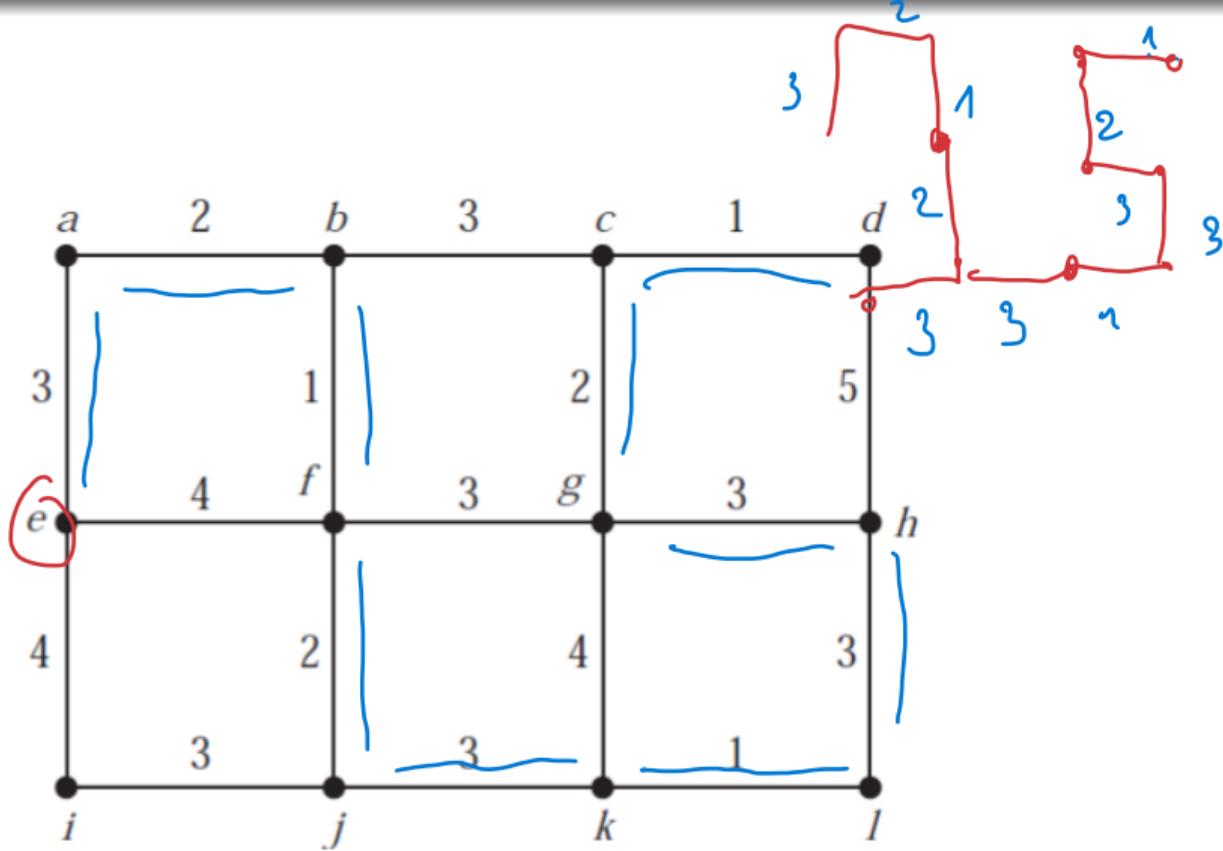
Prim's Algorithm là một thuật toán tìm cây "minimum spanning tree" từ đồ thị liên thông bằng cách

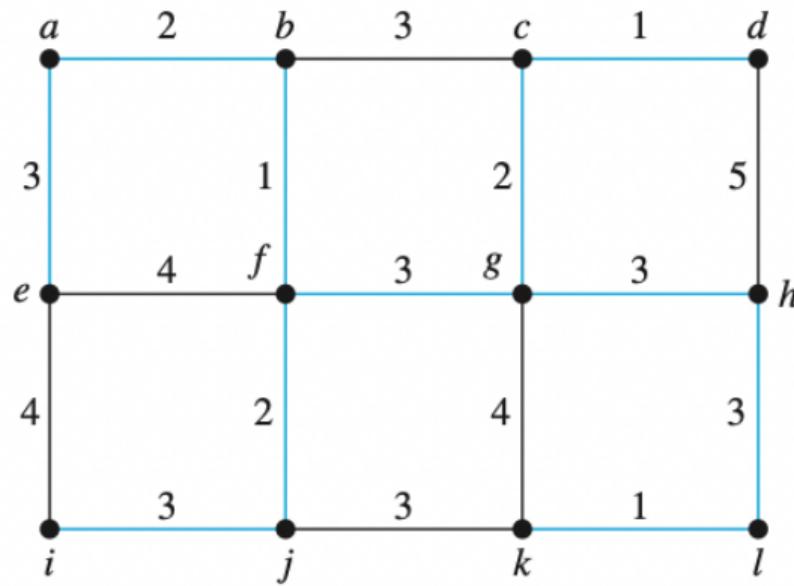
- Lập cây với cạnh nhỏ nhất
- Chọn cạnh có trọng số nhỏ nhất kề với các đỉnh của cây, miễn là không tạo ra một đường khép kín.
- Dừng lại khi có  $n - 1$  cạnh đã thêm vào. ( $n$ : số đỉnh)



Choice	Edge	Cost
1	{Chicago, Atlanta}	\$ 700
2	{Atlanta, New York}	\$ 800
3	{Chicago, San Francisco}	\$ 1200
4	{San Francisco, Denver}	\$ 900
	Total:	\$ 3600

## Example 2





(a)

Choice	Edge	Weight
1	{b, f}	1
2	{a, b}	2
3	{f, j }	2
4	{a, e}	3
5	{ i, j }	3
6	{f, g }	3
7	{c, g }	2
8	{c, d}	1
9	{g, h}	3
10	{h, l}	3
11	{k, l}	$\frac{1}{24}$
Total:		$\frac{1}{24}$

(b)

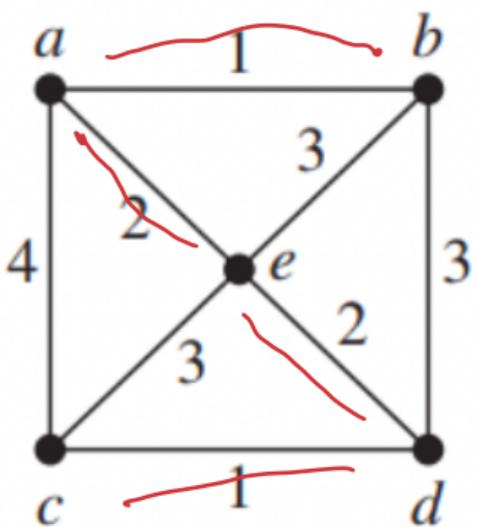
## ALGORITHM 1 Prim's Algorithm.

```
procedure Prim( $G$ : weighted connected undirected graph with  $n$  vertices)  
     $T :=$  a minimum-weight edge  
    for  $i := 1$  to  $n - 2$   
         $e :=$  an edge of minimum weight incident to a vertex in  $T$  and not forming a  
        simple circuit in  $T$  if added to  $T$   
         $T := T$  with  $e$  added  
return  $T$  { $T$  is a minimum spanning tree of  $G$ }
```

thêm cạnh  $e-e$  trong  $G_e$  là hành  $e-e$  -> thép mìn -

## Exercise

Use Prim's algorithm to find a minimum spanning tree for the given weighted graph.



để thép tinh -

thêm cạnh nhỏ nhất,  $\cup$  nhau thiết kế,  $\cup$  khép kín.

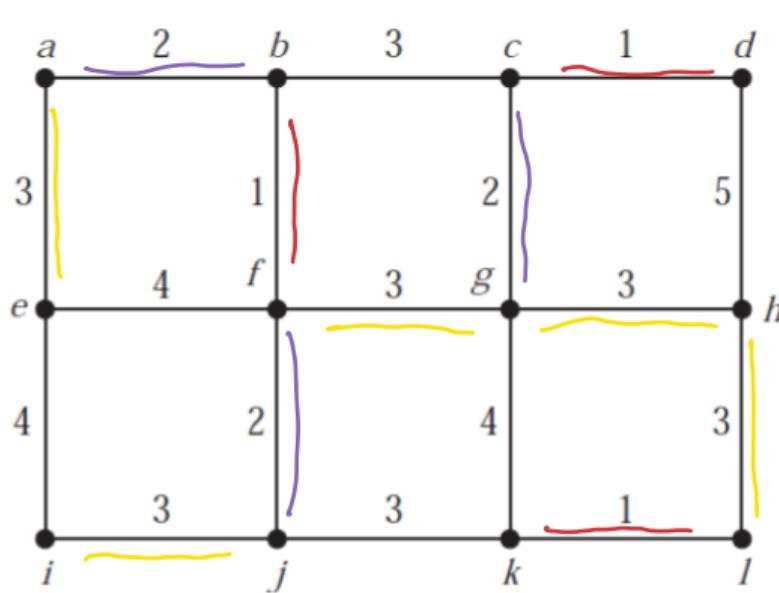
## Kruskal's Algorithm

Kruskal's Algorithm là một thuật toán tìm cây "minimum spanning tree" từ đồ thị liên thông bằng cách

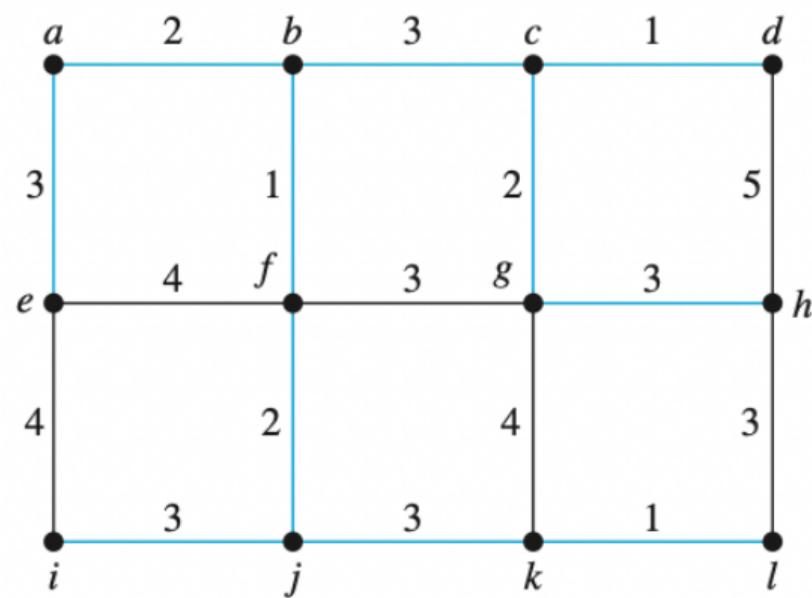
- Lập cây với cạnh nhỏ nhất
- Chọn cạnh có trọng số nhỏ nhất (không nhất thiết kề với các đỉnh của cây), miễn là không tạo ra một đường khép kín với các cạnh đã thêm.
- Dừng lại khi có  $n - 1$  cạnh đã thêm vào. ( $n$ : số đỉnh)

# Kruskal's Algorithm

## Example 2



Cánh nhỏ nhất: 1.  
Cánh nhỏ nhì: 2.  
Cánh nhỏ ba: 3.



Choice	Edge	Weight
1	$\{c, d\}$	1
2	$\{k, l\}$	1
3	$\{b, f\}$	1
4	$\{c, g\}$	2
5	$\{a, b\}$	2
6	$\{f, j\}$	2
7	$\{b, c\}$	3
8	$\{j, k\}$	3
9	$\{g, h\}$	3
10	$\{i, j\}$	3
11	$\{a, e\}$	3
Total:		<u>24</u>

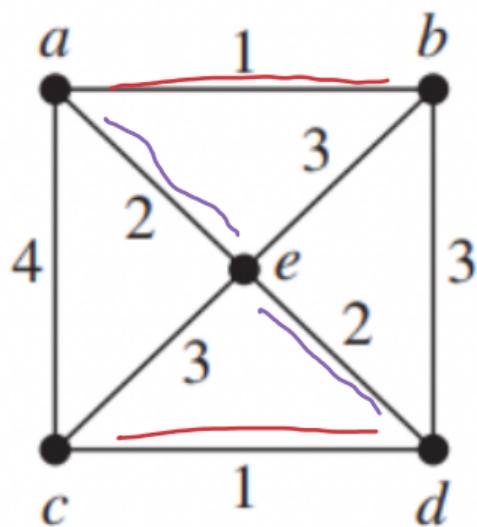
## ALGORITHM 2 Kruskal's Algorithm.

---

```
procedure Kruskal( $G$ : weighted connected undirected graph with  $n$  vertices)
 $T :=$  empty graph
for  $i := 1$  to  $n - 1$ 
     $e :=$  any edge in  $G$  with smallest weight that does not form a simple circuit
    when added to  $T$ 
     $T := T$  with  $e$  added
return  $T$   $\{T$  is a minimum spanning tree of  $G\}$ 
```

# Exercise

Use Krushkal's algorithm to find a minimum spanning tree for the given weighted graph.



*đoạn c còn rẻ có trọng số nhỏ hơn*

IF we use the Prim algorithm to find a minimum tree, what are the fifth and sixth edges (in correct order) added? B

We always assume that an edge is written with two endpoints following the alphabet order (which means we write edge "ad" instead of "da"). We suppose that at each stage if we have many choices, we will choose in reverse direction order. For example, if we have to choose between edges 'bc', 'de', 'az', we will choose 'de' first.

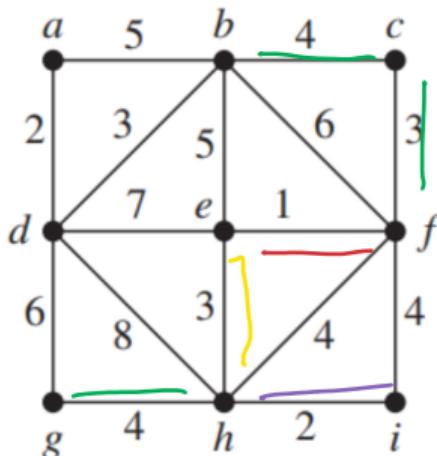
Chọn edge: điểm tiên: ①

eh, cf ✗

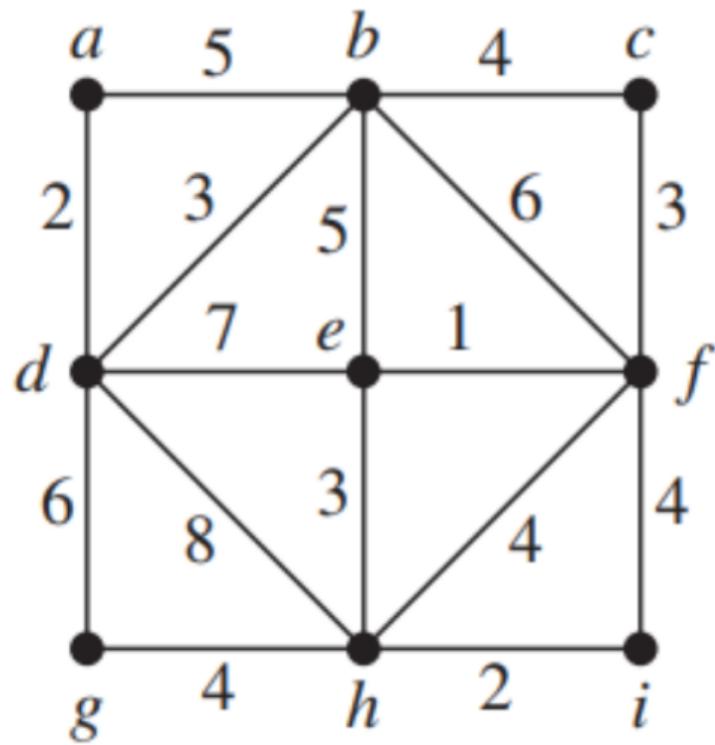
chọn eh.. ②

chọn @ hi rẻ có trọng số nhỏ hơn: 2:  
cf: 3, ⑤ gh: 4. bc: 4

- A. Gh fi B. hc hd C. fi hd D. gh hc E. fi hc F. gh hd



Chọn cạnh số 5, 6.  
⇒ gh và bc.



- A. gh,fi   B. bc,bd   C. fi,bd   D. gh,bc   E. fi,bc   F. gh,bd

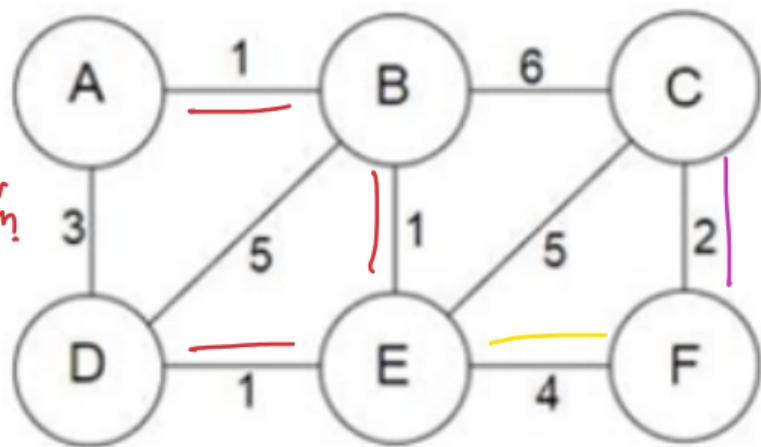
# Exercise

If using the Kruskal's algorithm to find a minimum spanning tree  $T$  from the graph below, which edge is added to  $T$  in the last step?

Kruskal:

thêm cạnh nhỏ nhất

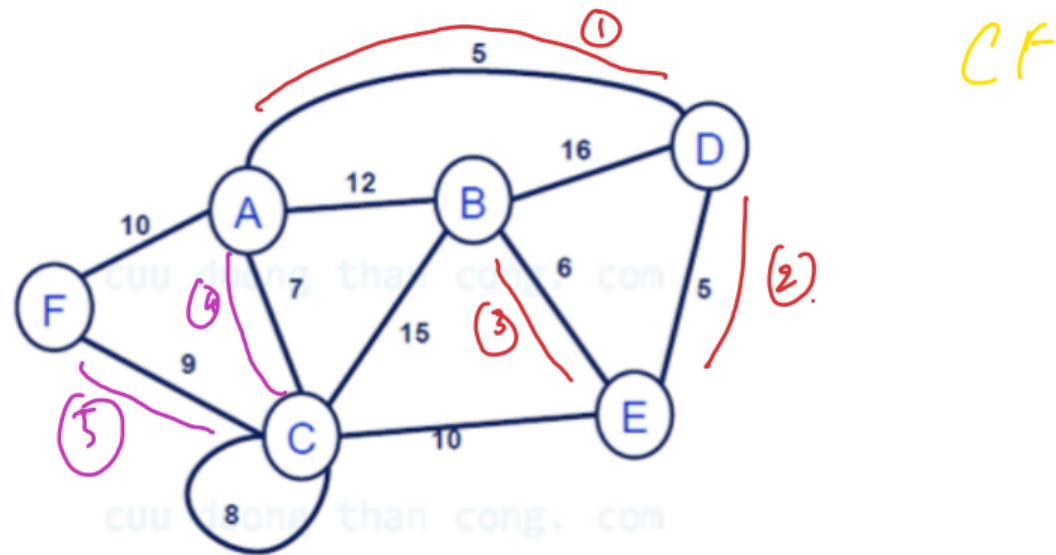
còn liên thông



- A. AD
- B. BD
- C. EC
- D. EF

# Exercise

If using the Prim's algorithm to find a minimum spanning tree  $T$  from the graph below , which edge is added to  $T$  in the last step?



- A. CE   B. AF   C. CF   D. AF