# Entropy Maximized Multi-Robot Patrolling with Steady State Distribution Approximation

Han Xiao\*, Hongliang Guo\*, Wei-Yun Yau, Daniela Rus and Marcelo H. Ang Jr.

Abstract—This paper investigates the multi-robot patrolling (MuRP) problem with the objective of approaching a uniform area coverage frequency. The problem requires coordinating a robot team to persistently monitor a given topological environment. Prevailing MuRP solutions for uniform coverage either incur high (non-polynomial) computational complexity operations for the global optimal solution, or recourse to simple but effective heuristics for approximate solutions without any performance guarantee. In this paper, we bridge the gap by proposing an efficient iterative algorithm, namely Entropy Maximized Patroller (EM-Patroller), with the per-iteration performance improvement guarantee and polynomial computational complexity. We reformulate the multi-robot patrolling problem in topological environments as a joint steady state distribution entropy maximization problem, and employ multi-layer perceptron (MLP) to model the relationship between each robot's patrolling strategy and the individual steady state distribution. Then, we derive a multi-agent model-based policy gradient method to gradually update the robots' patrolling strategies towards the optimum. Complexity analysis indicates the polynomial computational complexity of EM-Patroller, and we also show that EM-Patroller has additional benefits of catering to miscellaneous userdefined joint steady state distributions and incorporating other objectives, e.g., entropy maximization of individual steady state distribution, into the objective. We compare EM-Patroller with state-of-the-art MuRP algorithms in a range of canonical multirobot patrolling environments, and deploy it to a real multi-robot system for patrolling in a self-constructed indoor environment.

Index Terms—joint steady state distribution, multi-robot patrolling (MuRP), multi-agent model-based policy gradient.

#### I. Introduction

ULTI-ROBOT patrolling (MuRP) aims at protecting a physical environment by deploying multiple robots to persistently travel around it and perform local observations for security purposes [1]. MuRP has application potentials in various scenarios, such as surveillance and vigilance for police patrolling [2], [3], hazardous environment monitoring [4], [5], patrolling and disinfecting a COVID-19 infected area [6], [7]. Many of the aforementioned tasks are mundane, dangerous and/or costly for human beings, and thus they serve as well suited use cases for multi-robot systems (MRSs).

To date, researchers have developed various algorithms as MuRP solutions, and a brief literature review will be provided in Section II. Here, we wish to articulate that prevailing MuRP methodologies for the uniform frequency coverage problem

can be roughly categorized into two groups, namely (1) optimization methods, which formulate MuRP as a (multi-agent) travelling salesman problem (TSP), and incur non-polynomial computational complexity algorithms for the global optimal solution; and (2) heuristic algorithms, which design various local heuristics/rules to foster efficient robot-robot collaboration. Optimization methods are able to deliver the optimal solution at the cost of high computational complexity. On the other hand, heuristic algorithms yield simple yet effective patrolling strategies but cannot offer any global performance guarantee.

This paper aims at bridging the research gap by proposing an efficient optimization method, which guarantees periteration performance improvement and in the meanwhile possesses polynomial computational complexity. Specifically, we propose an Entropy Maximized Patroller (EM-Patroller), which formulates MuRP for uniform frequency coverage as a joint steady state distribution entropy maximization problem, and employs multi-layer perceptron (MLP) to model the relationship between each robot's patrolling strategy and the individual steady state distribution. We iteratively update the robot team's patrolling strategy through model-based multiagent policy gradient and show that EM-Patroller has polynomial computational complexity and guarantees to improve the multi-robot patrolling performance iteration by iteration. Additionally, EM-Patroller has the flexibility of catering to miscellaneous other user-defined target joint steady state distributions, e.g., selectively put emphasis on a certain area's visitation frequency, as well as incorporating other objectives, e.g., the individual steady state distribution entropy maximization, into the objective. We will verify empirically that incorporating the individual steady state distribution entropy as an auxiliary optimization objective enhances EM-Patroller's robustness performance against individual failures. We evaluate and compare EM-Patroller's performance with state of the arts in a range of canonical MuRP environments, and also demonstrate the deployment process of EM-Patroller to a real multi-robot system in self-constructed indoor environments.

The contributions of this paper can be summarized as follows: (1) we propose EM-Patroller, which serves as a polynomial complexity algorithm with the per-iteration performance improvement guarantee; (2) EM-Patroller has the flexibility of catering to miscellaneous user-defined target joint steady state distribution instead of confining itself to joint steady state entropy maximization; and (3) EM-Patroller exhibits great robustness performance against individual robot failures when we incorporate the individual steady state distribution entropy maximization into the optimization objective.

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TABLE I BIRD'S-EYE-VIEW OF THE MURP LITERATURE

Environments		Robot Models			Objectives				Methodologies		
Discrete	[8]–[12]	Comm. Models	robot-to-robot (R2R)	[8], [13]–[15]	Inherent	Minimize Idleness	Worst Idleness	[1], [8], [13]	Planning based	Offline	Global
	Grid		robot-to-environment (R2E)	[21]–[23]				[14], [16]–[19]			[16], [20], [21]
	World			[26]–[30]			Mean Idleness	[15], [22], [26]			Partitioned
	[15]		robot-to-center (R2C)	[1], [20]				[28], [31], [32]			[8]–[11]
	[17]–[20]			[24], [33]			MFPT	[25], [34], [35]			[13], [14]
	[22]–[25]		Decentralized (No Communication)		milerent	Approximate Frequency	Uniform Dist.	[15], [20], [27]			[18], [25], [31]
	Topology		[9]–[11], [16]	9]–[11], [16]				[29], [32], [37]		Online	[17], [24]
	Graph		[18], [25], [31], [36]				Prioritized Dist.	[10], [11], [21]	Learning-based		basad
	[1], [13], [14]	Motion Constraint Misc. Motion Cost	Motion Constraint	[19]		Maximize	Entropy of Revisit	[38]	[22], [23], [26]–[28], [3		
	[16], [21]		[37]		Unpredictability	Entropy Rate	[39]	[22], [23], [20]–[28], [30]			
	[26]–[33]	Factors	Limited Visibility	[17], [25]	Intruder	Maximize Intruder Capture Probability		[40]–[42]	Heuristics		
	[36], [37]		Limited Endurance	[9], [10]	Oriented	Maximize Intrude	er Detection Count	[36] [1], [15], [19], [29], [32], [36],		[32], [36], [37]	

#### II. LITERATURE REVIEW

This section presents a brief literature review of multi-robot patrolling along the taxonomies of (1) patrolling environments; (2) robot models; (3) objectives; and (4) mainstream methodologies. Table I displays a bird's-eye-view of MuRP literature and one is referred to [42], [43] for comprehensive reviews.

- 1) Patrolling Environments: In MuRP, the patrolling environments can be continuous [8]–[12], or discrete. For discrete environment, it is either represented by a grid world [15], [17]–[20], [22]–[25], which functions as a straightforward way of transforming the continuous environments into discrete ones, or by a topological graph [1], [13], [14], [16], [21], [26]–[33], [36], [37], which merely describes the topological relationship between different areas of the environment.
- 2) Robot Models: First, the patrolling robots' communication models during online execution serve as one of the most crucial elements in fostering multi-robot collaboration for coordinated patrolling. We partition the robots' communication models into the following four categories, namely (1) robot-to-robot (R2R) communication [8], [13]–[15], [26]; (2) robot-to-environment (R2E) communication [21]–[23], [26]–[30]; (3) robot-to-center (R2C) communication [1], [20], [24], [33] and (4) purely decentralized (no communication) [9]–[11], [16], [18], [25], [31], [36]. In the meanwhile, researchers in the MuRP domain have also considered miscellaneous factors of the robots, *e.g.*, motion characteristics [19], motion cost [37], limited visibility [17], [25], fuel or battery life constraints [9], [10], into the MuRP-related algorithm design process.
- 3) Patrolling Objectives: Researchers have proposed various multi-robot patrolling objectives, and in this paper, we roughly categorize those objectives into two groups depending on whether the intruder's strategy influences the objective score or not, namely inherent MuRP objective, and intruder-oriented MuRP objective. The inherent MuRP objective does not depend on the intruder's characteristics, instead, it evaluates the MuRP system's performance based on internal characteristics, such as idleness, node visit frequency, system's unpredictability. For example, idleness-related objectives include minimizing maximal/worst idleness [1], [8], [13], [14], [16]–[19], minimizing mean idleness [15], [22], [23], [26], [28], [31], [32], minimizing mean first-passage time (MFPT) [25], [34], [35]; node-visit frequency objectives include approach-

ing uniform frequency coverage [15], [20], [27], [29], [32], [37], approximating prioritized node-visit frequency [10], [11], [21]; and the unpredictability metric includes maximizing the entropy of return time (RT) [38], maximizing the average entropy rate [39]. On the other hand, intruder-oriented MuRP objectives evaluate the MuRP system's performance based on the statistics with respect to the intruder's behavior, *e.g.*, maximizing the intruder's capture probability within a given time budget [40]–[42], or maximizing the number of intruders being captured/detected within a given time horizon [36].

4) Mainstream Methodologies: This paper characterizes the mainstream methodologies for MuRP problem into three main categories, namely planning-based methods [18], [25], learning-based methods [22], [23] and heuristics [15], [32]. Planning-based methods typically formulate the MuRP problem into the mathematical optimization framework, and either incur off-the-shelf optimization solvers for offline planning solutions [8]-[11], [14], [16], [18], [20], [21], [25], [31] or receding horizon optimization tools [17], [24] for online replanning solutions. Depending on the nature of the formulated optimization problem, the robots' resulting patrolling strategies can be deterministic [8]–[11], [13], [14], [16]–[18], [20], [24], [31] or stochastic [21], [25], and the robots can be automatically allocated to separated regions within the environment [8]–[11], [13], [14], [18], [25], [31] or each robot is patrolling the whole environment with separated patrolling phases among team members [16], [17], [20], [21], [24].

Learning-based methods are deemed as recent emerging trends for MuRP solutions. They typically formulate the MuRP problem within the decentralized partial observable Markov decision process (Dec-POMDP) framework, and design the proper reward signal for each robot, so that the cumulative rewards represent (approximately) the MuRP system's overall objective. The majority of learning-based methods for MuRP target idleness-related objectives, and the reward signal is designed to reflect the instantaneous system-level idleness metric [23] or individual node-level idleness metric [22], [26]. For example, Jana *et al.* develop a deep Q-network (DQN)-based multi-robot patrolling algorithm, which is scalable to large-scale graph environments, as the state-space encoding process is *independent* of the number of nodes in the graph [23]. The instantaneous reward signal for deep Q-network (DQN)-based

multi-robot patrolling is defined as the ratio of instantiated local idleness to global idleness, and in this way, the agents are able to coordinate the minimize the system-level idleness so as to reach the maximal cumulative rewards.

The third category of methods designs various local heuristics/rules for effective and coordinated MuRP solutions. Each robot just follows the sometimes randomized decision making policy based on pre-defined local rules for patrolling services, and the system will exhibit emerging collective performance. For example, Kappel et al. design and evaluate four local heuristic rules, namely watershed rule, time-based rule, evaporation heuristic, and communication-frequency strategy, to dispatch multiple UAVs for patrolling services, and demonstrate up-to-standard performance [15]. In general, designing heuristics for multi-robot patrolling is an effective and robust strategy, and is easy to implement. However, the algorithms in this category cannot establish a clear relationship between the local heuristics/rules and the system-level performance metric. Therefore, it is difficult, if not impossible, twist the heuristics for a fresh new MuRP objective metric.

This paper targets the MuRP problem of reaching a uniform node coverage. Planning methods for uniform coverage usually incur non-polynomial computational complexity algorithms for the ultimate optimal solution, on the other hand, most learning-based methods find it very difficult, if not impossible, to design the local reward signal whose accumulation resembles the system-level uniform coverage requirement. Lastly, for heuristics, the related algorithms are usually targeting the system-level idleness-based metric instead of uniform coverage, and it is impossible for the heuristic-based algorithms to yield iteration-by-iteration performance improvement guarantee, which is crucial in some critical MuRP application scenarios. Therefore, this paper aims at bridging the gap by proposing an efficient iterative optimization algorithm, which possesses both polynomial computational complexity and periteration performance improvement guarantee.

# III. METHODOLOGY

This section presents EM-Patroller as the efficient solution to MuRP for uniform coverage. We first formulate multi-robot patrolling as a mathematical optimization problem and then deliver the multi-agent model-based policy gradient theorem, which serves as the core of EM-Patroller to update each robot's policy parameters. Later we present EM-Patroller's pseudo code and analyze its polynomial computational complexity with the big O notation. The section ends with displaying three main variants of the EM-Patroller, namely (1) robust EM-Patroller, (2) variational EM-Patroller, and (3) soft EM-Patroller.

# A. Problem Formulation: MuRP for Uniform Coverage

The multi-robot patrolling (MuRP) problem that we are considering in this paper is to coordinate a team of N mobile robots to persistently monitor a given topological environment  $(\mathcal{G})$  so that each node's *coverage frequency* is equal to each other, *i.e.*, uniform coverage.

**Definition 1** (Coverage Frequency  $(\lambda)$ ). The coverage frequency of a node s, *i.e.*,  $\lambda(s)$ , is defined as the average number of times that s is visited by any robot per unit time.

The patrolling environment is modeled as an undirected and connected unit-cost graph  $\mathcal{G}(\mathcal{V},\mathcal{E})$ , where  $\mathcal{V}(|\mathcal{V}|=n)$  refers to the set of nodes and  $\mathcal{E}(|\mathcal{E}|=m)$  refers to the set of edges. The term 'unit-cost' means that  $\forall (s,s')\in\mathcal{E}$ , the transition time from s to s' is one time unit for any robot in the multi-robot system. We lay down the following three assumptions on robot models to assist clarifying the MuRP problem setup.

**Assumption 1** (Node Residency). The robots reside in nodes instead of edges at all times, e.g.,  $\forall t \in \mathbb{Z}^+$  and  $\forall i \in \{1,2,\ldots,N\}$ , we have  $s_t^{(i)} \in \mathcal{V}$ , where  $s_t^{(i)}$  denotes robot i's location at time step t.

**Assumption 2** (Stationary and Memory-less Policy). The robot's patrolling strategy is confined to be stationary and memory-less, e.g., for robot i, the patrolling strategy  $\pi_i$  does not change over time and depends only on the ego robot's current residing node, i.e.,  $\pi_i = \pi_i(s_t^{(i)})$ .

**Assumption 3** (No Collision or Congestion). It is allowed that two or more robots reside in the same node at the same time, and there is no congestion time incurred when two or more robots are executing the same edge at the same time.

With the three robot model assumptions, we are ready to introduce the concept of individual steady state distribution.

**Definition 2** (Individual Steady State Distribution  $(\mu_i)$ ). The individual steady state distribution, denoted as  $\mu_i$  for robot i, is the stationary distribution of the Markov chain induced by  $\pi_i$  for Graph  $\mathcal{G}$ .

Note that, theoretically, the existence of stationary distribution of a Markov chain (MC), requires that the induced MC is *irreducible* and *aperiodic* [44]. However, in practice, we find that as long as the robot's starting policy induces an irreducible and aperiodic Markov chain, the following updated policies will satisfy the constraint automatically, with a small enough update step size. Therefore, we do not consider the existence of stationary distribution as an explicit constraint in the multiagent model-based policy gradient's derivation process.

**Definition 3** (Joint Steady State Distribution  $(\mu)$ ). The joint steady state distribution, denoted as  $\mu$  for the MRS, is the **normalized** coverage frequency vector, *i.e.*,  $\forall s \in \mathcal{V}$ , we have  $\mu(s) = \lambda(s)/(\sum_{s \in \mathcal{V}} \lambda(s))$ .

From Definition 3, we can see that the system-level joint steady state distribution is merely the normalized coverage frequency, and with the normalization operation, we transform the coverage frequency vector to a distribution, and gauge the performance of the MuRP system with the entropy of the joint steady state distribution. In this way, the uniform coverage MuRP problem can be formulated as:

$$\max_{\pi_1, \dots, \pi_N} \sum_{s \in \mathcal{V}} -\mu(s) \log (\mu(s)). \tag{1}$$

# B. Multi-Agent Model-based Policy Gradient

In this subsection, we derive the gradient of the objective function in Eq. (1), with respect to the parameterized individual policies. Before that, we first establish the relationship between  $\mu$  and  $\lambda$ , between  $\lambda$  and  $\mu_i$ , and between  $\mu_i$  and  $\pi_i(\theta_i)$ , where  $\theta_i \in \mathbb{R}^d$  refers to robot *i*'s policy parameters.

From Definition 3, we can see that  $\forall s \in \mathcal{V}$ , and we have  $\mu(s) = \lambda(s) / (\sum_{s \in \mathcal{V}} \lambda(s))$ . Defining un-normalized entropy as:

$$J(\boldsymbol{\theta}_1, \boldsymbol{\theta}_2, \dots, \boldsymbol{\theta}_N) = \sum_{s \in \mathcal{V}} -\lambda(s) \log \lambda(s), \tag{2}$$

we deliver the following corollary without proof due to page limitations:

**Corollary 1.** The combined individual policies which maximize the entropy of the joint steady state distribution as shown in Eq. (1), are equivalently maximizing  $J(\theta_1, \theta_2, \dots, \theta_N)$ .

The proof process of Corollary 1 is to make use of the fact that  $\mu(s) \propto \lambda(s)$ , and  $\sum_{s \in \mathcal{V}} \lambda(s)$  serves as a normalization factor. With Corollary 1, we transform the optimization problem in Eq. (1) into:

Next, we establish the relationship between  $\lambda(s)$  and  $\mu_i(s)$ . Recall Definition 1, we can see that  $\lambda(s)$  is essentially the probability that node s is visited/covered by any robot per unit time. On the other hand,  $\mu_i(s)$  refers to the probability that node s is covered by robot i within any given unit time period. Therefore, we have:

$$\lambda(s) = 1 - \prod_{i=1}^{N} (1 - \mu_i(s)), \tag{4}$$

where N is the number of patrolling robots.

The last step before deriving multi-agent model-based policy gradient is to connect the parameterized policy, *i.e.*,  $\pi_i(\theta_i)$ , with the individual steady state distribution, *i.e.*,  $\mu_i$ . Given a parameterized policy  $\pi_i(\theta_i)$ , and the topological graph  $\mathcal{G}$ , one is able to calculate the state transition matrix of the policy-induced first-order Markov chain, and we represent the state transition matrix as  $P_{\theta_i}$ . In this case, the individual steady state distribution  $\mu_i$  satisfies that

$$P_{\theta_i}\mu_i = \mu_i. \tag{5}$$

14 Final.

Now, when given any  $\theta_i$ , we are able to generate  $P_{\theta_i}$ , and then calculate  $\mu_i$  by solving Eq. (5) either analytically or iteratively. However, we need to calculate the gradient of  $\mu_i$  with respect to  $\theta_i$ , *i.e.*, the Jacobian matrix  $\partial \mu_i/\partial \theta_i$ , which is one of the required inputs of the multi-agent model-based policy gradient.

In this paper, we treat the modeling process from  $\theta_i$  to  $\mu_i$  as a machine learning problem, and establish a multi-layer perceptron (MLP) which takes  $\theta_i$  as inputs and  $\mu_i$  as outputs, *i.e.*,  $\mu_i = \text{mlp}(\theta_i)$ . Since for any  $\theta_i$ , we are able to calculate  $\mu_i$  with Eq. (5). It means that we can have as many training and testing samples as we need, and train the MLP to

approximate the relationship between  $\theta_i$  and  $\mu_i$ . With well-trained MLP, we can calculate the gradient of  $\mu_i$  with respect to  $\theta_i$  with back-propagation.

With the established relationships between  $\mu$  and  $\lambda$ ; between  $\lambda$  and  $\mu_i$ ; and between  $\mu_i$  and  $\theta_i$ , we present the multiagent model-based policy gradient theorem as follows:

**Theorem 1** (Multi-Agent Model-based Policy Gradient).  $\forall i \in \{1, 2, ..., N\}$ , we have:

$$\nabla_{\boldsymbol{\theta}_i} J = \sum_{s \in \mathcal{V}} (\log \lambda(s) + 1)(1 - \lambda(s)) \nabla_{\boldsymbol{\theta}_i} \log(1 - \mu_i(s)).$$

The proof process of Theorem 1 is to make use of the chain rule of multivariable calculus, and take advantage of the fact that  $\forall j \neq i$ ,  $\nabla_{\theta_i} \mu_j(s) = 0$ . We omit the process due to page limitations, but present it in the open-access code repository.

# C. Pseudo Code and Computational Complexity Analysis

With the multi-agent model-based policy gradient theorem, we deliver the training process of EM-Patroller in Algorithm 1.

```
Algorithm 1: Training Process of EM-Patroller
```

```
Input: Graph \mathcal{G}; number of robots N; pre-trained MLP for \mathcal{G}, i.e., \forall i \in \{1, 2, \dots, N\}, \mu_i = \text{mlp}(\boldsymbol{\theta}_i); max. training epoch: T_{\text{max}}; learning rate \alpha; Output: Parameterized individual policy for each
```

**Output:** Parameterized individual policy for each robot, *i.e.*,  $\forall i \in \{1, 2, ..., N\}$ ,  $\pi_i(\boldsymbol{\theta}_i)$ ;

**Init:** Randomly initialized policy parameters:  $\forall i \in \{1, 2, ..., N\}, \ \theta_i \in \mathcal{R}^d; \ t \leftarrow 0;$ 

```
1 while t \leq T_{\max} - 1 do
            foreach i \in \{1, 2, \dots, N\} do
 2
                   \nabla_{\theta_i} J \leftarrow \mathbf{0};
 3
                   Calculate P_{\theta_i} based on \mathcal{G};
 4
                  Calculate and store the individual steady state
  5
                     distribution \mu_i by solving Eq. (5) either
                     analytically or iteratively;
 6
            foreach s \in \mathcal{V} do
                   Calculate \lambda(s) based on \mu_i(s) through Eq. (4);
 7
                  foreach i \in \{1, 2, ..., N\} do
 8
                         Calculate \nabla_{\theta_i} \log(1 - \mu_i(s));
  9
                          \nabla_{\boldsymbol{\theta}_{i}} J \leftarrow \nabla_{\boldsymbol{\theta}_{i}} J + (\log \lambda(s) + 1)(1 - \lambda(s)) \nabla_{\boldsymbol{\theta}_{i}} \log(1 - \mu_{i}(s));
10
            foreach i \in \{1, 2, \dots, N\} do
11
              \qquad \qquad \boldsymbol{\theta}_i \leftarrow \boldsymbol{\theta}_i + \alpha \cdot \boldsymbol{\nabla}_{\boldsymbol{\theta}_i} J; 
          t \leftarrow t + 1;
```

Next, we use the big O notation [45] to evaluation the computational complexity of EM-Patroller's training process. Examining Algorithm 1, we find that the core computation happens between Line 2 and Line 12, which consists of three loops. For the first loop, both Line 3 and Line 4 have the  $\mathcal{O}(1)$  complexity. Line 5 involves solving Eq. (5), and

has  $\mathcal{O}(n^3)$  complexity regardless of an analytic or iterative solution strategy. In summary, the first loop has  $\mathcal{O}(n^3N)$  complexity. Similar analysis can be applied to evaluate the computational complexity of the second and third loop, and we get  $\mathcal{O}(n(N+Ndh))$ , where h is the number of hidden nodes in MLP and  $\mathcal{O}(N)$ , respectively. Summing up the complexity for the three loops and ignoring all terms except for the leading ones, we can get EM-Patroller's computational complexity at  $\mathcal{O}\big((n^3+n\times d\times h)\times N\times T_{\max}\big)$ , which is polynomial with respect to number of robots (N) and scale of the graph (n).

#### D. Variations of EM-Patroller

In this subsection, we briefly discuss three main variants of EM-Patroller, namely robust EM-Patroller, variational EM-Patroller and soft EM-Patroller. We do not lay down the related gradient derivation process due to page limitations.

- 1) Robust EM-Patroller: To increase the EM-Patroller's robustness against individual failures, we augment EM-Patroller with auxiliary objectives, which target maximizing the individual uniform coverage property. Specifically, we design  $J_r = -\frac{1}{N} \sum_{i=1}^N \sum_{s \in \mathcal{V}} \mu_i(s) \log \mu_i(s)$  as the auxiliary objective, and define  $J + \alpha_r J_r$ , where  $\alpha_r \geq 0$ , as the augmented objective function, then we get the robust EM-Patroller.
- 2) Variational EM-Patroller: Suppose that we have a specific multi-robot patrolling task, which has *prioritized* regions to be covered more frequently. Defining the target joint steady state distribution as  $\mu'$ , which is not necessarily a uniform distribution, we can formulate the new objective as minimizing the Kullback-Leibler divergence (KL divergence) [46] from  $\mu$  to  $\mu'$ , i.e.,  $D_{\text{KL}}(\mu \parallel \mu')$ . After derivation, we can define  $\tilde{J} = -D_{\text{KL}}(\mu \parallel \mu') = -\sum_{s \in \mathcal{V}} \mu(s) \log(\mu(s)/\mu'(s))$  as the new objective function. Note that when we designate  $\mu'$  as the uniform distribution, variational EM-Patroller recovers to the canonical EM-Patroller.
- 3) Soft EM-Patroller: Another desired property for a MuRP algorithm is that it is 'unpredictable' from the observers, i.e., intruders. We gauge the unpredictability as the averaged expected entropy rate. Here, the entropy rate at node s for robot i refers to the entropy of robot i's policy at s. In this case, the auxiliary objective is defined as  $J_s = -\frac{1}{N} \sum_{i=1}^N \sum_{s \in \mathcal{V}} \left( \mu(s) \sum_a \pi_i(a|s) \log \pi_i(a|s) \right)$ . We define  $J + \alpha_s J_s$ , where  $\alpha_s \geq 0$ , as the augmented objective function, and get soft EM-Patroller. Note that the term 'soft' comes from soft actor critic [47], whose auxiliary objective is to maximize the expected entropy of the agent's policy.

#### IV. SIMULATION RESULTS AND ANALYSIS

In this section, we benchmark EM-Patroller's performance with state of the arts in a range of canonical MuRP environments. For state of the arts, we select (1) multiple travelling salesman problem with spectral clustering (mTSP-SC) [18]; (2) DQN-Patroller [23]; (3) weighted node counting (w-NC) [32]; (4) PatrolGRAPH\* [21] and (5) PatrolGRAPH<sup>A</sup> [29]. Note that mTSP-SC, DQN-Patroller and w-NC serve as the most recent MuRP solutions along the taxonomies of planning, learning and heuristics, respectively.

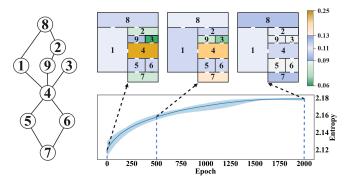
We twist DQN-Patroller fit to the topological environments by enlarging the action space to contain all valid edges in Graph  $\mathcal{G}$ , instead of confining it to four actions in the original paper.

The hyperparameters for EM-Patroller and state of the arts are configured as follows: (1) EM-Patroller¹:  $\alpha=1\times 10^{-4}$ ,  $\alpha_r=1.0$ ,  $\alpha_s=2.0$ ; (2) DQN-Patroller:  $\alpha=7.5\times 10^{-4}$ ,  $\gamma=0.95$ ,  $\epsilon=0.93\times 0.992^K$ , where K is the number of episodes; (3) PatrolGRAPH\*:  $\sigma=0.01$ ; (4) PatrolGRAPHA:  $K_1=1.0$ ,  $K_2=10.0$ ,  $\epsilon=1\times 10^{-8}$ . Note that mTSP-SC and w-NC are free of hyperparameters. All algorithms are implemented in Python3.8 with publicly available source code², and all tests are executed on an 8 core CPU, 32GB RAM cloud computer with the NVIDIA Tesla V100 and 64-bit Ubuntu system.

In the following three subsections, we first showcase the periteration improvement process of EM-Patroller in a simple yet illustrative environment, namely HOUSE, and then compare the performance of EM-Patroller with state of the arts in two canonical MuRP environments, namely MUSEUM and OFFICE. Finally, we evaluate the performance of robust EM-Patroller, variational EM-Patroller and soft EM-Patroller, with regard to their respective characteristics.

## A. Performance Illustration in a Simple Environment

This subsection illustrates the per-iteration performance improvement characteristic of EM-Patroller in a simple environment, namely HOUSE, whose topology is shown in Fig. 1(a).



- (a) House Topo.
- (b) Entropy Evolution Process and Heat Maps

Fig. 1. Training process of EM-Patroller in 'HOUSE' Environment, with N=2 robots. The shaded area in bottom half of Fig. 1(b) indicates the standard deviation for differently initialized policy parameters. All figures are best viewed in color.

We configure two robots (both starts at node 4) with randomly initialized policy parameters,  $\theta_i$ , and train EM-Patroller for 2000 epochs/iterations. The bottom half of Fig. 1(b) shows the evolution process of the joint steady state distribution's entropy, which reflects its uniformity, and the top half of Fig. 1(b) visualizes the representative heat maps at Epoch 1, Epoch 500 and Epoch 2000, respectively. We can see that as the training process continues, the joint steady state distribution gradually approaches the uniform distribution.

 $<sup>^1</sup>$ Due to space limitations, we skip the ablation study results of EM-Patroller's hyper-parameters, *i.e.*,  $\alpha$ ,  $\alpha_r$ ,  $\alpha_s$ , and directly display the final settings. Interested audiences are referred to the code repository for details.

<sup>&</sup>lt;sup>2</sup>Code repository: https://github.com/SEANIRLO/EM-Patroller.git

# B. Performance Comparison with State of the Arts

This subsection compares EM-Patroller with state of the arts in terms of the un-normalized entropy, i.e., the value of J in Eq. (2), in two canonical MuRP environments, namely MUSEUM and OFFICE, as visualized in Fig. 2.

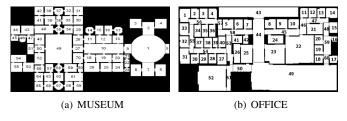


Fig. 2. Canonical MuRP test environments from [48], each room is associated with the corresponding node number. Left: MUSEUM; Right: OFFICE

We let the robots start at node 1 in MUSEUM and node 43 in OFFICE, and patrol the respective environment for uniform coverage. Fig. 3 shows the comparison results, where we can see that EM-Patroller achieves the best performance (gauged by the un-normalized entropy: *J*) in both environments for a variety of team sizes. Here, we wish to note that mTSP-SC calculates the optimal *deterministic* policies, which performs consistently better than DQN-Patroller, in that DQN-Patroller also outputs deterministic policies. However, EM-Patroller and other state of the arts yield *stochastic* patrolling policies, which have the potential to outperform mTSP-SC. We construct a simple yet illustrative one-robot patrolling task, which favors the stochastic patrolling policy over deterministic ones, and audiences are referred to the code repository for details.

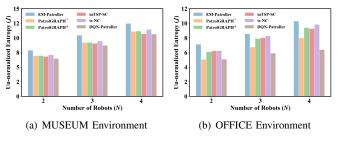


Fig. 3. Performance comparison with state of the arts in MUSEUM and OFFICE. Y-axis corresponds to the un-normalized entropy defined in Eq. (2)

# C. Evaluation of EM-Patroller's Variations

This subsection evaluates the performance of robust EM-Patroller, variational EM-Patroller and soft EM-Patroller in MUSEUM and OFFICE. We gauge robustness as the ratio of the system's performance when one robot quits the team to the normal system's performance. Fig. 4(a) shows robustness comparisons between the canonical EM-Patroller and robust EM-Patroller. Fig. 4(b) visualizes the convergence processes of KL divergence for variational EM-Patroller in both environments, when we pick 4 prioritized nodes and double the corresponding importance weights. Fig. 4(c) shows the evolution process of the unpredictability (gauged by the averaged entropy rate) for soft EM-Patroller with respect to different number of robots in both MuRP environments.

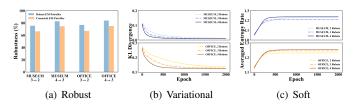


Fig. 4. Performance evaluation of EM-Patroller's variations in terms of (1) robustness; (2) KL divergence; (3) un-predictability (averaged entropy rate).

#### V. SYSTEM INTEGRATION AND EXPERIMENTAL RESULTS

This section deploys EM-Patroller to a real multi-robot system and demonstrates its functionality in a self-constructed indoor environment. The patrolling robots are DM3008 robots<sup>3</sup> as shown in Fig. 5(a). DM3008 is a differential drive robot, with an embedded single beam LiDAR (LDS-50C-2) for map construction and obstacle detection. The product offers the simultaneous localization and mapping (SLAM) functionality, as well as an autonomous navigation module, which navigates the robot within a pre-constructed map while avoiding obstacles.

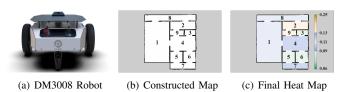


Fig. 5. The patrolling robot testbed, constructed map and the final heat map.

We integrate EM-Patroller, which functions as an intermediate goal generator, to DM3008, and evaluate EM-Patroller's performance through the joint steady state distribution's uniformity. The indoor environment mimics the 'HOUSE' environment, whose constructed map is shown in Fig. 5(b). We deploy two DM3008 robots for patrolling, and execute 2000 consecutive time steps. The heat map of the environment's normalized coverage frequency are shown in Fig. 5(c). From Fig. 5(c), we can see that EM-Patroller approaches, more or less, the uniform coverage of the environment. A demonstration video is uploaded with the main manuscript, and more videos are available in the previously referred code repository.

## VI. CONCLUSION AND FUTURE WORK

This paper proposes EM-Patroller for the uniform coverage MuRP problem. EM-Patroller enjoys both polynomial computational complexity and per-iteration performance improvement guarantee. We compare EM-Patroller with state of the arts in two canonical MuRP environments, and also deploy it to real autonomous robot testbeds for demonstration in a self-constructed indoor environment.

In the future, we would like to design the model-free version of EM-Patroller, which does not need the explicit modeling process between parameterized policies and the steady state distribution. In the meanwhile, we are also keen on incorporating intruder-based objectives into EM-Patroller.

<sup>&</sup>lt;sup>3</sup>More details about DM3008 are available from www.puweii.com.

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LIST OF ACRONYMS

Dec-POMDP decentralized partial observable

Markov decision process.

DQN deep Q-network.

EM-Patroller Entropy Maximized Patroller. KL divergence Kullback–Leibler divergence.

MC Markov chain.

MFPT mean first-passage time.
MLP multi-layer perceptron.
MRS multi-robot system.
MRSs multi-robot systems.

mTSP-SC multiple travelling salesman problem

with spectral clustering.

MuRP multi-robot patrolling.

R2C robot-to-center.
R2E robot-to-environment.
R2R robot-to-robot.
RT return time.

SLAM simultaneous localization and map-

ping.

TSP travelling salesman problem.
UAV unmanned aerial vehicles.
w-NC weighted node counting.