

# ML Testing

Release Engineering for Machine Learning Applications  
**(REMLA, CS4295)**



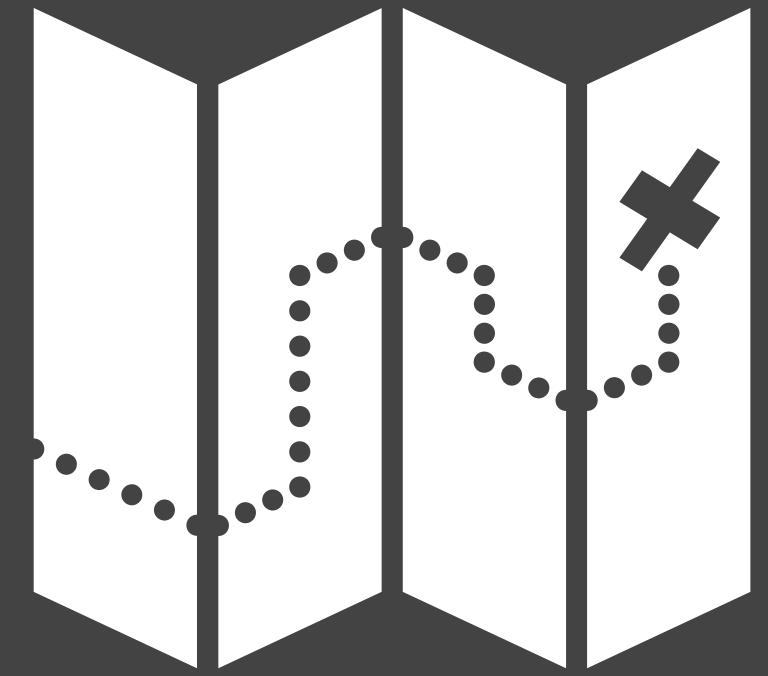
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# Outline

- ML Testing Landscape
- What to test?
- How to test?
- Mutamorphic Testing
- Tools and Resources



# ML Testing Landscape

## Machine Learning Testing: Survey, Landscapes and Horizons

Jie M. Zhang, Mark Harman, Lei Ma, Yang Liu

**Abstract**—This paper provides a comprehensive survey of Machine Learning Testing (ML testing) research. It covers 138 papers on testing properties (e.g., correctness, robustness, and fairness), testing components (e.g., the data, learning program, and framework), testing workflow (e.g., test generation and test evaluation), and application scenarios (e.g., autonomous driving, machine translation). The paper also analyses trends concerning datasets, research trends, and research focus, concluding with research challenges and promising research directions in ML testing.

**Index Terms**—machine learning, software testing, deep neural network,

<https://arxiv.org/abs/1906.10742>

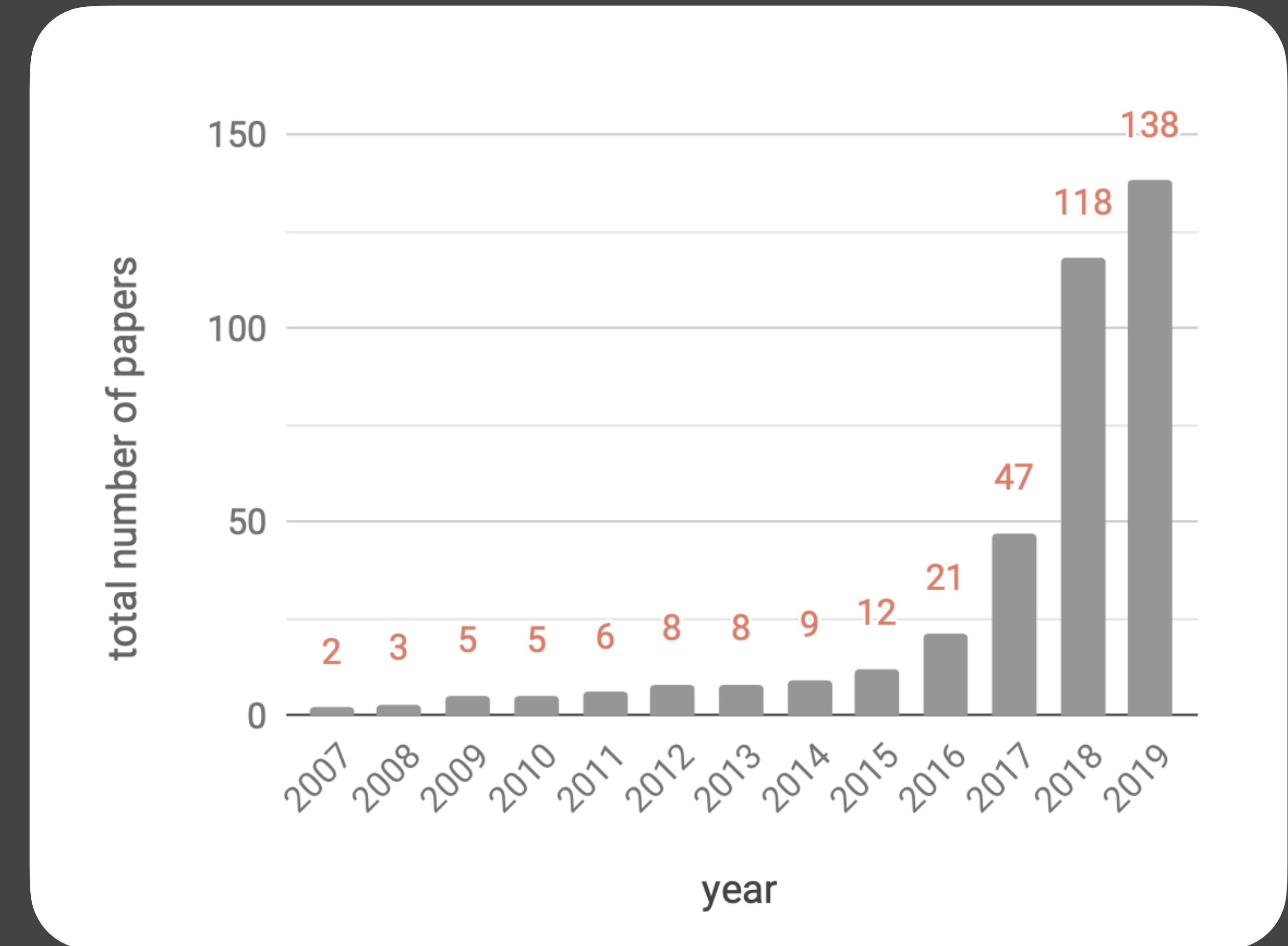
# ML Testing

## Zhang et al. (2019)

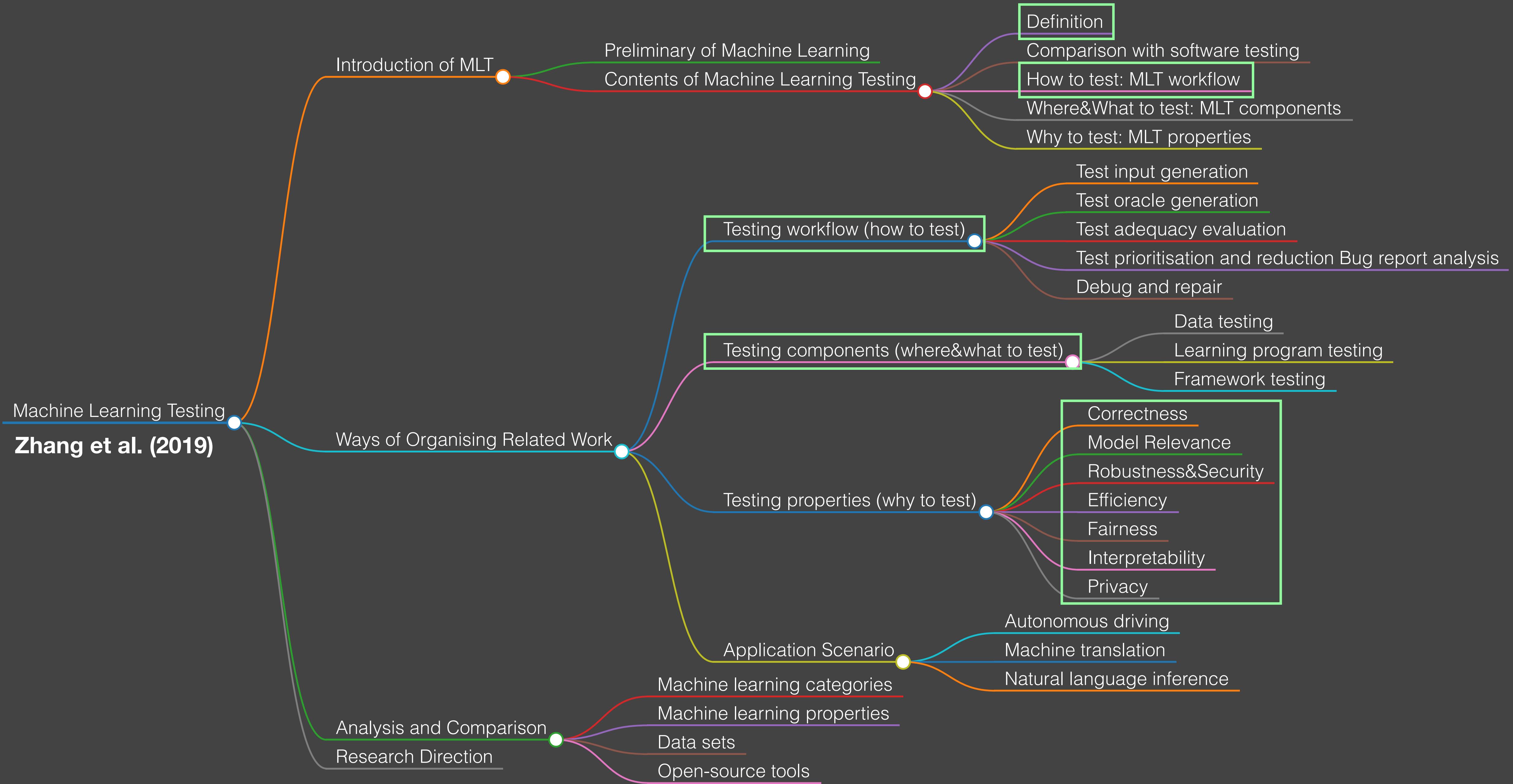
- Definition 1 – **ML Bug:** any imperfection in a machine learning item that causes a discordance between the existing and the required conditions.
- Definition 2 – **ML Testing:** any activities designed to reveal machine learning bugs.

# ML Testing Publications during 2007–2019

(⚠ Cumulative plot)

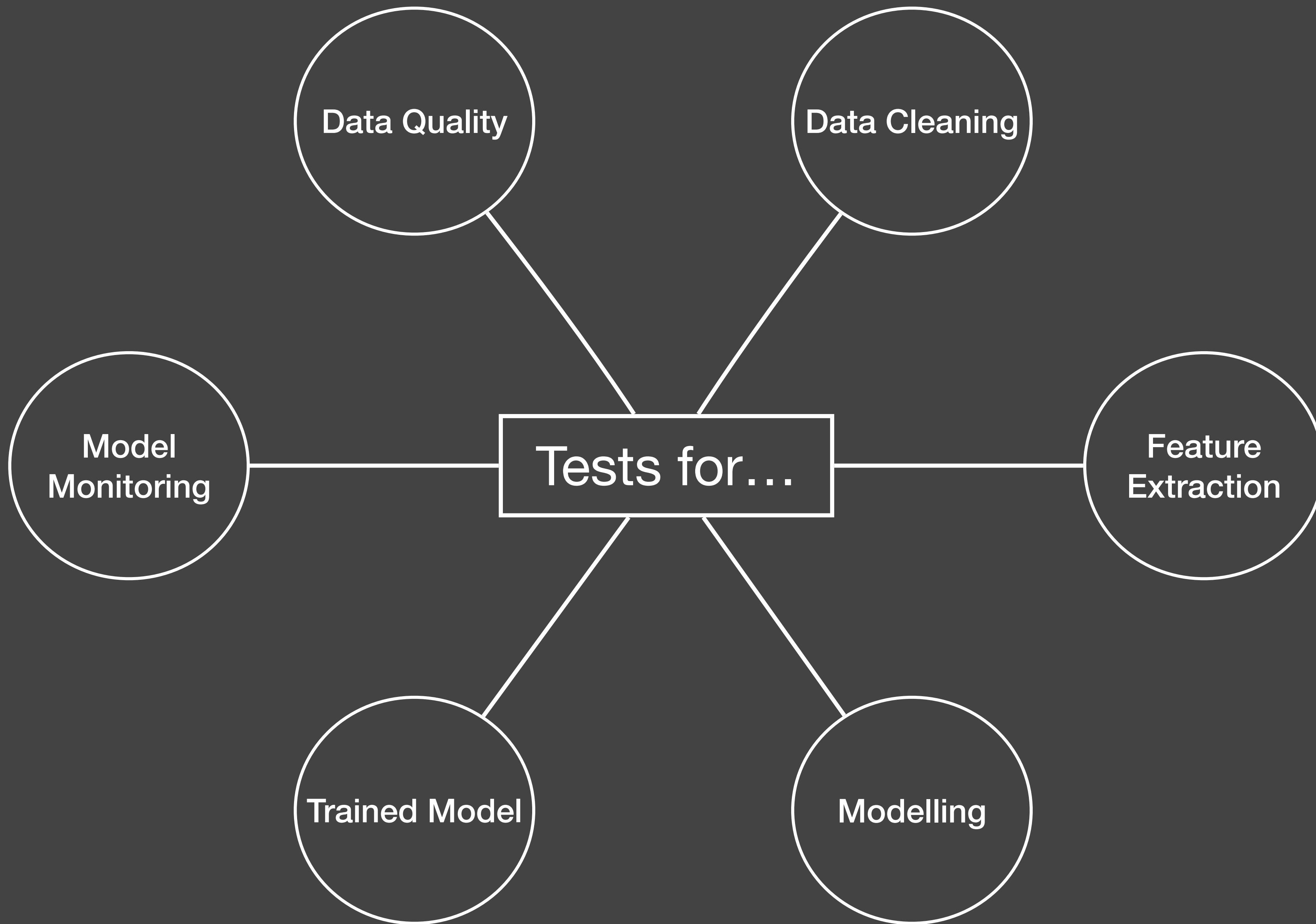


Zhang et al. (2019)



# Automated ML testing

- Ensure that all the executions are exactly the same, in the same environment (no more “**it works on my machine, I swear!**”).
- **Run tests faster**: let’s say that you have one thousand models in your pipeline. Running one by one for sure is not the best way to spend your time.
- **Easier debugging**: detect model’s malfunctioning earlier, avoiding deploying it into production.



# What should we test?

# ML Test Score

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## What's your ML Test Score? A rubric for ML production systems

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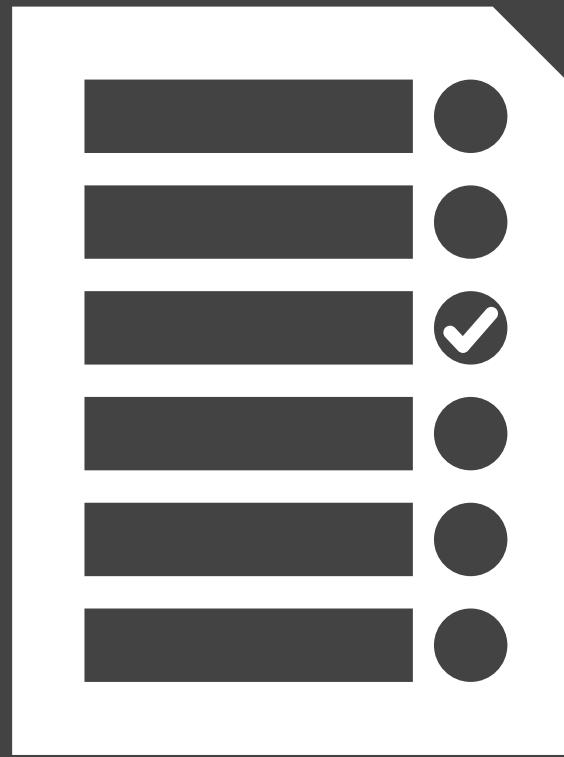
**Eric Breck, Shangqing Cai, Eric Nielsen, Michael Salib, D. Sculley**  
Google, Inc.  
`{ebreck, cais, nielsene, msalib, dsculley}@google.com`

### Abstract

Using machine learning in real-world production systems is complicated by a host of issues not found in small toy examples or even large offline research experiments. Testing and monitoring are key considerations for assessing the production-readiness of an ML system. But how much testing and monitoring is enough? We present an ML Test Score rubric based on a set of actionable tests to help quantify these issues.

# ML Test Score

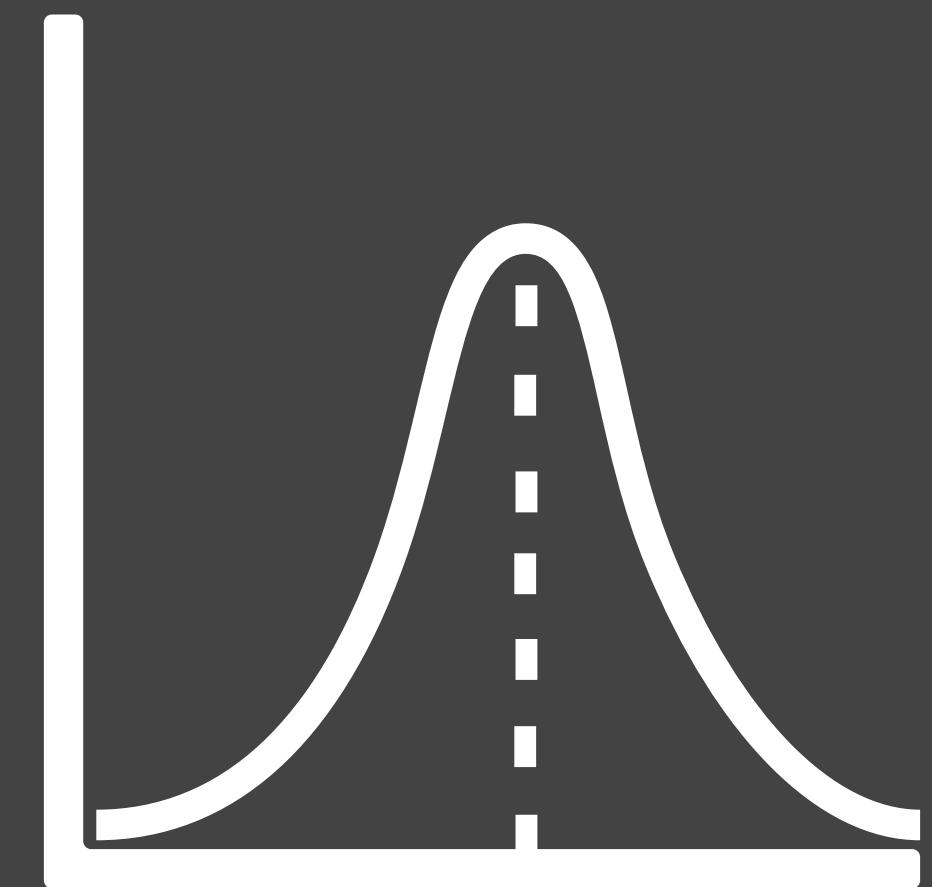
- 4 main angles:
  - Tests for features and data.
  - Tests for model development.
  - Tests for ML infrastructure.
  - Monitoring tests for ML.



## Disclaimer

Some tests are not covered but are not less important.  
Check the paper for the full list.

**Test that the distributions of each feature  
match your expectations.**



**# Tests for Features and Data**

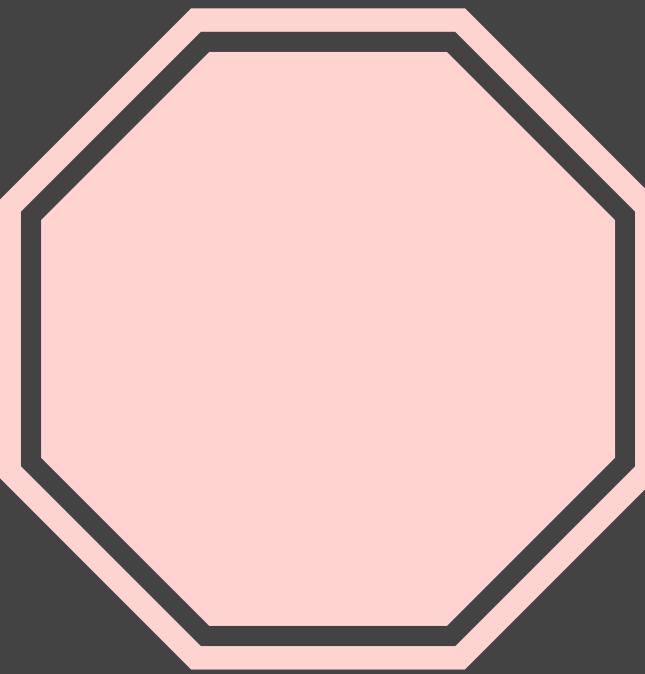
**Test the relationship between each feature and the target, and the pairwise correlations between individual signals.**

# Tests for Features and Data

# Test the cost of each feature.

- Latency
- Memory usage
- More upstream data dependencies
- Additional instability

# Tests for Features and Data



Test that a model does not contain any features that have been manually determined as unsuitable for use.

# Tests for Features and Data

**Test that your system maintains privacy controls across its entire data pipeline.**

(not only in raw data but also in intermediate stages)

# Tests for Features and Data

# Test all code that creates input features, both in training and serving

E.g., methods used to clean date formats; methods used to remove stop words.

# Tests for Features and Data

Test that every model specification undergoes  
a code review and is checked in to a  
repository

mllint might be useful here



# Test the relationship between offline proxy metrics and the actual impact metrics

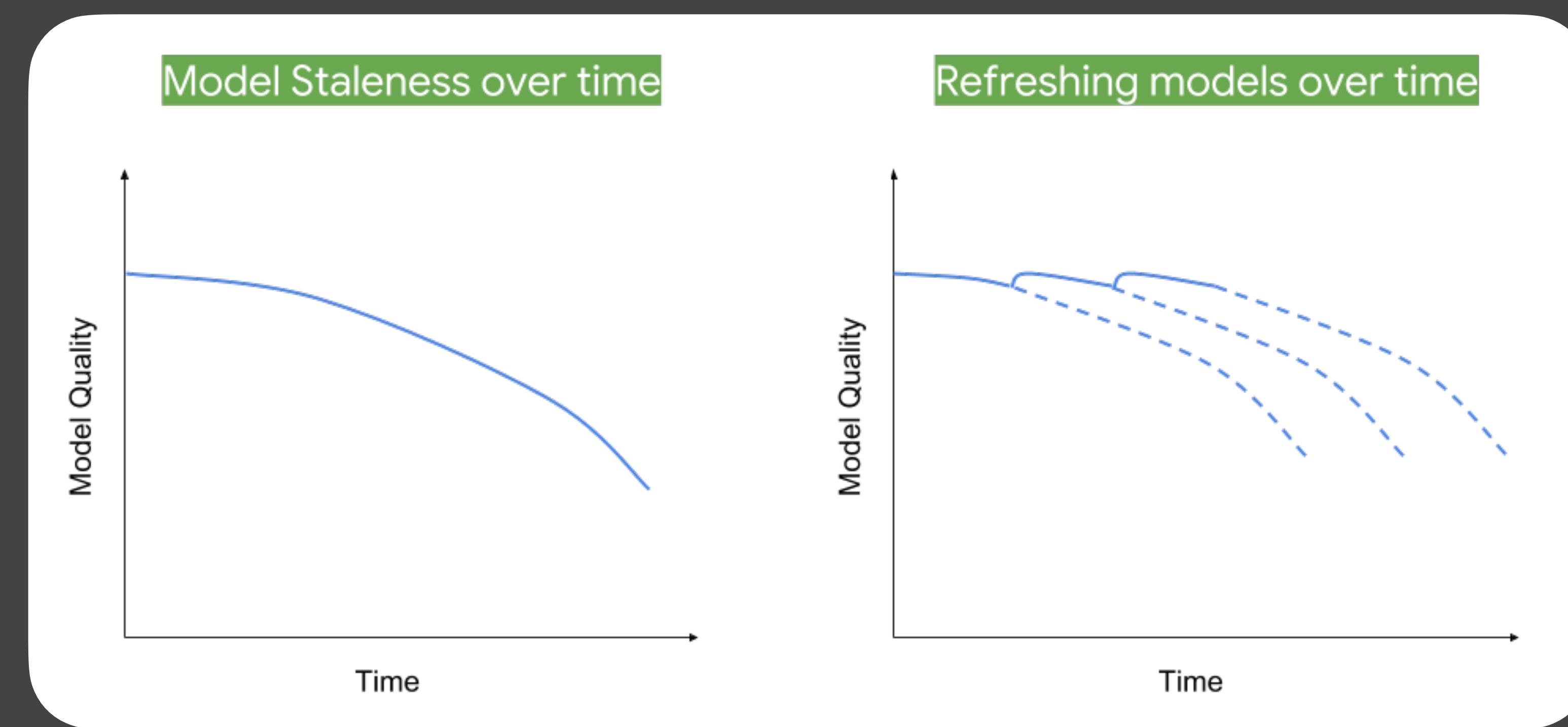
For example, how does a 1% improvement in accuracy metrics translate into effects on business metrics (e.g., user satisfaction)?

**Test the impact of each tunable hyperparameter.**

**What's the oracle?**

# Tests for Model Development

# Test the effect of model staleness.



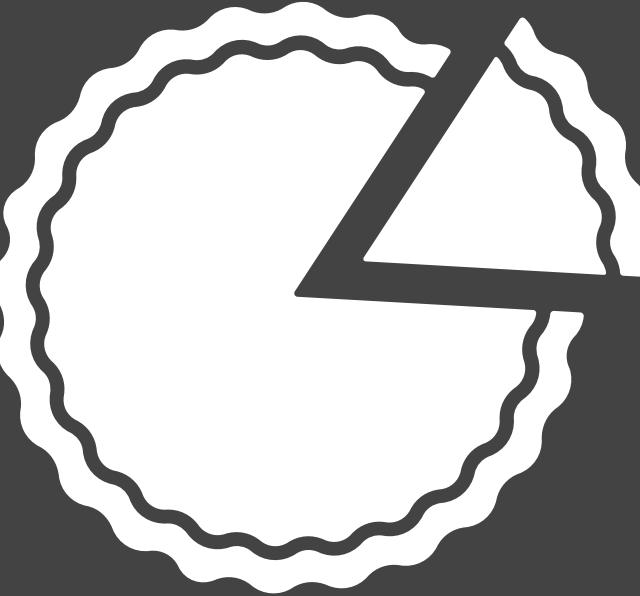
#Tests for Model Development

Figure credits: Clemens Mewald, 2018

# Test against a simpler model as a baseline

# Tests for Model Development

# Test model quality on important data slices.



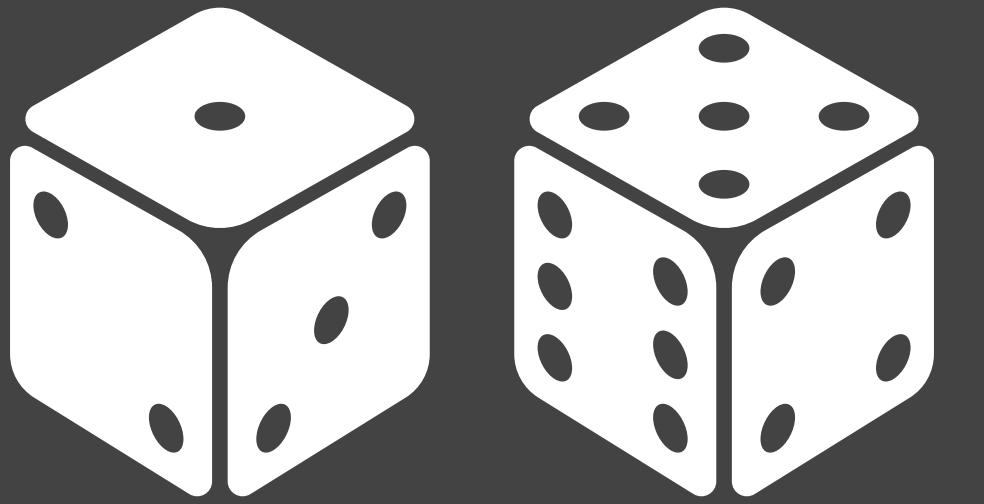
# Tests for Model Development

# Test the model for implicit bias.

# Tests for Model Development

~~Test the reproducibility of training.~~

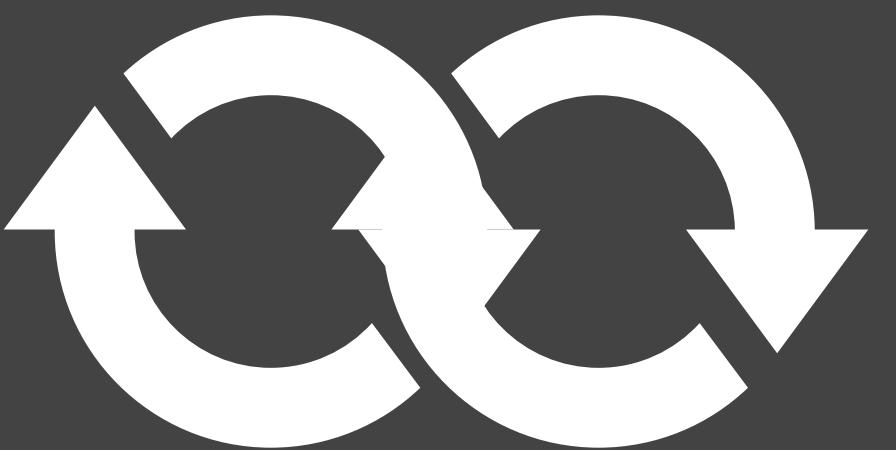
**Test non-determinism robustness.**



~~# Tests for ML Infrastructure~~

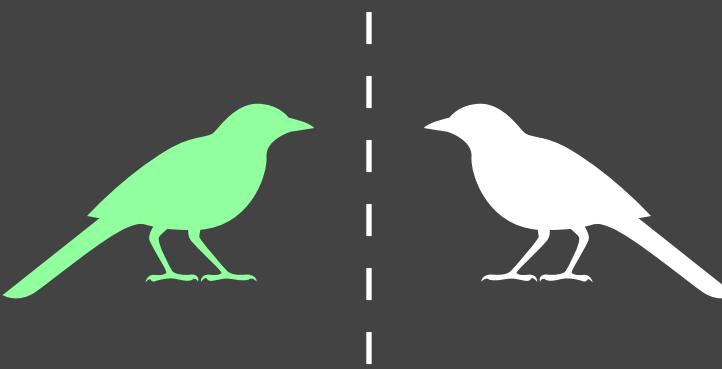
**# Tests for Model Development**

# Integration test the full ML pipeline.



**Test models via a canary process before they enter production serving environments.**

Example: AB testing



Test how quickly and safely a model can be rolled back to a previous serving version.



# Test that data invariants hold in training and serving inputs.

E.g., **shape of distributions** of features should be the same in **training data** and **serving data**.

...

# Test for model staleness.

**Test for dramatic or slow-leak regressions in training speed, serving latency, throughput, or RAM usage.**

Test for regressions in prediction quality on served data.



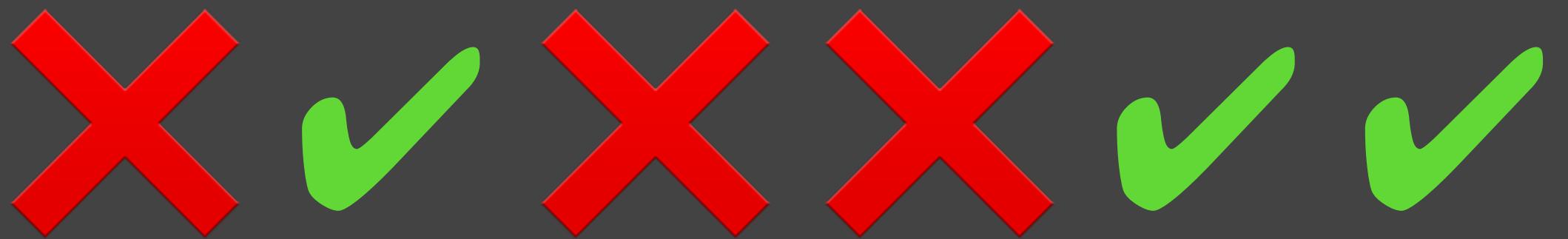
# Final score

## ML test score



- **1 point.** If you do the test manually.
- **2 points.** If you do the test automatically. ★
- Meaning of the score:
  - **0 points:** More of a prototype project than a productionized system.
  - **1-2 points:** Not totally untested, but it is worth considering the possibility of serious holes in reliability.
  - **3-4 points:** There's basic productionization, but additional investment may be needed.
  - **5-6 points:** Reasonably tested, but it's possible that more of those tests and procedures may be automated.
  - **7-10 points:** Strong levels of automated testing and monitoring, appropriate for critical systems.
  - **12+ points:** Exceptional levels of automated testing and monitoring.

But really, how should we test?  
(a few basic examples)



# PyTest – basic example

Project structure

```
/my_project_folder  
...  
/src  
    train_model.py  
/tests  
    test_trained_model.py
```

Run the tests

```
$ pytest
```



./tests/test\_trained\_model.py

```
from sklearn.externals import joblib  
  
def test_something_in_the_model():  
    model = joblib.load('trained_dummy_model.sav')  
    // ...  
    assert ...
```

# Duplicates

## Unit test



./tests/test\_data\_cleaning.py

```
@pytest.fixture()
def df():
    df = pandas.read()
    yield df

def test_no_duplicates(df):
    assert len(df['id'].unique()) == df.shape[0]
    assert df.groupby(['date', 'id']).size().max() == 1
```

# Preprocess methods

## Unit test



./tests/test\_data\_cleaning.py

```
@pytest.fixture()
def df():
    df = pandas.read()
    yield df

def test_preprocess_missing_name(df):
    assert preprocess_missing_name("10019\n") is None

def test_preprocess_city(df):
    assert preprocess_city("amsterdam") == "Amsterdam"
    assert preprocess_city("AMS") == "Amsterdam"
    assert preprocess_city(" Amsterdam ") == "Amsterdam"
```

# Value ranges

## Unit test



./tests/test\_data\_cleaning.py

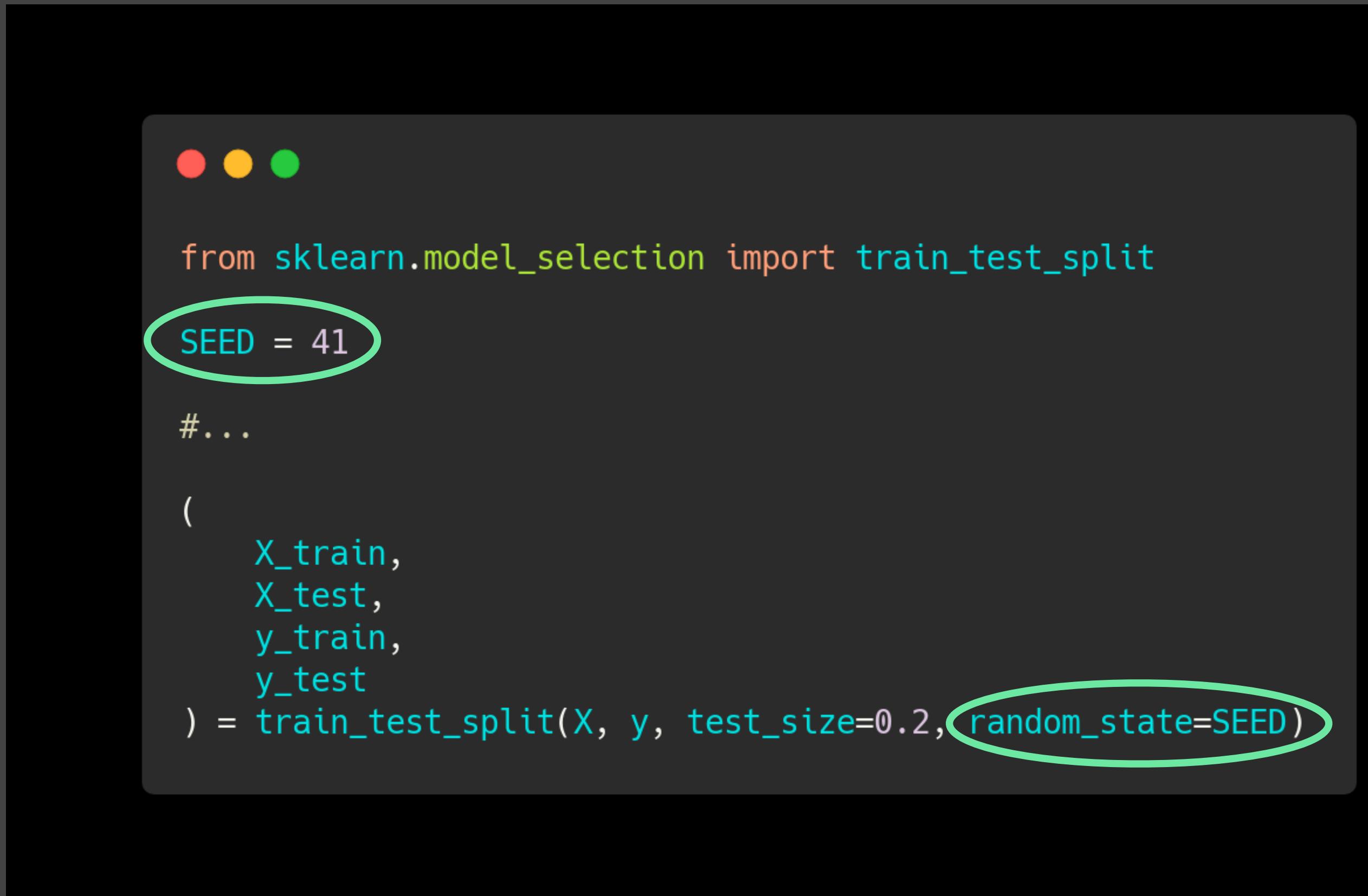
```
@pytest.fixture()
def df():
    df = pandas.read()
    yield df

def test_value_ranges(df):
    assert all (df['percentage'] <=1)
    assert df.groupby('name') ['budget'].sum () <=1000
    assert all (df['height'] >= 0)
```

# Test Non-determinism Robustness

## Model Validation tests

- Performance stability when using different random seeds.
- If a model is performant, it should have little dependency on random variance.
- Make seed an attribute in the pipeline; test different seeds; assert for low variability.



```
from sklearn.model_selection import train_test_split
SEED = 41
#...
(
    X_train,
    X_test,
    y_train,
    y_test
) = train_test_split(X, y, test_size=0.2, random_state=SEED)
```

# Test Non-determinism Robustness

## Unit test



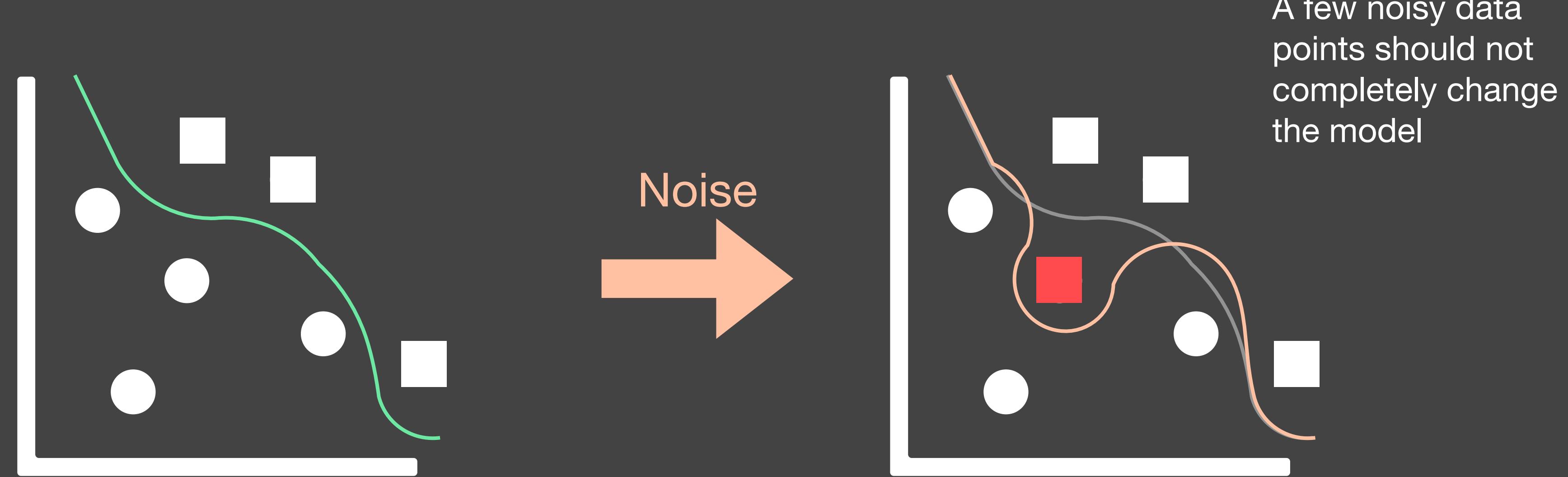
./tests/test\_data\_cleaning.py

```
@pytest.fixture()
def trained_model():
    trained_model = joblib.load('trained_model.sav')
    yield trained_model

def test_nondeterminism_robustness(trained_model):
    original_score = evaluate_score(trained_model) # score between 0..100
    for seed in [1,2]:
        model_variant = train_model(random_state=seed)
        assert abs(original_score - score(model_variant)) <=0.03
```

# Test Noise Robustness

- 1- What happens to the performance **when we change a few training data points?**
- 2- What happens to the performance **when we add acceptable noise to test data points?** (E.g., add typos)



# Test model quality on important data slices

## Model validation tests



./tests/test\_data\_slice.py



This test is highly dependent on the problem.

```
@pytest.fixture()
def trained_model():
    trained_model = joblib.load('trained_model.sav')
    yield trained_model

@pytest.fixture()
def test_data():
    test_data = pandas.read_csv("test_data.csv")
    yield test_data

def test_data_slice(trained_model, test_data):
    original_score = evaluate_score(trained_model, test_data) # score between 0..1
    sliced_data = test_data[test_data['city'] == 'Delft']
    sliced_score = evaluate_score(trained_model, sliced_data)
    assert abs(original_score - sliced_score) <= 0.05
```

# What else?

- A lot of work is yet to be done in this area:
  - There is not much documentation around this topic.
  - **What to test?** Practitioners are looking out for testing best practices.



# Mutamorphic testing and repair

## Automatic Testing and Improvement of Machine Translation

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Peking University  
zhangluucs@pku.edu.cn

### ABSTRACT

This paper presents TransRepair, a fully automatic approach for testing and repairing the consistency of machine translation systems. TransRepair combines mutation with metamorphic testing to detect inconsistency bugs (without access to human oracles). It then adopts probability-reference or cross-reference to post-process the translations, in a grey-box or black-box manner, to repair the inconsistencies. Our evaluation on two state-of-the-art translators, Google Translate and Transformer, indicates that TransRepair has a high precision (99%) on generating input pairs with consistent translations. While the approach is promising, there are many challenges.

Google Translate results for the language pair (English → Chinese)<sup>1</sup>. As can be seen from the figure, Google Translate translates ‘good’ into ‘hen hao de’ (which means ‘very good’) when the subject is ‘men’ or ‘male students’. However, interestingly, but also sadly, it translates ‘good’ into ‘hen duo’ (which means ‘a lot’) when the subject is ‘women’ or ‘female students’<sup>2</sup>.

Such inconsistency may confuse users, and is also clearly *unfair* to female researchers in computer science; producing ‘a lot’ of research is clearly a more pejorative interpretation, when compared to producing ‘very good’ research. To avoid such unfair translations (at scale), we need techniques that can automatically identify and

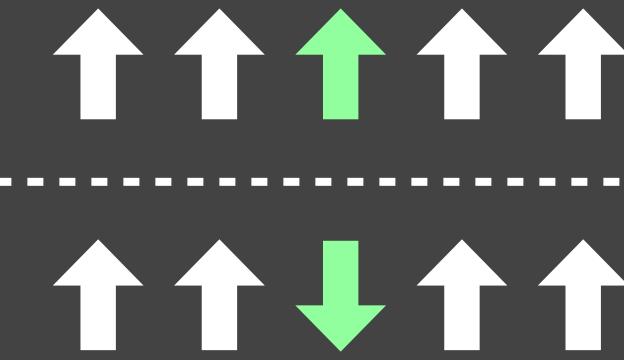
# Mutamorphic testing

- Metamorphic testing + mutation
- Metamorphic testing: derive new test cases based on properties of the existing ones.  
E.g., commutative property:  
`assertEqual(add(1, 2), 3) => assertEqual(add(2, 1), 3)`
- Mutamorphic: the new test cases are not exactly based safe properties
- Black-/grey-box testing. No access to the model or its training codebase.
- Implemented for ML-based translators.  
“*It is okay*” and “*It is fine*” have a mutamorphic relationship (context-similar).

# Mutamorphic Testing

## 1. Automatic Test Input Generation

Generate sentences by replacing 1 word with a context-similar word (**Mutation**).



## 2. Automatic Test Oracle Generation

When the translation of the mutant and the original sentence are fairly different, we have a **failing test**.



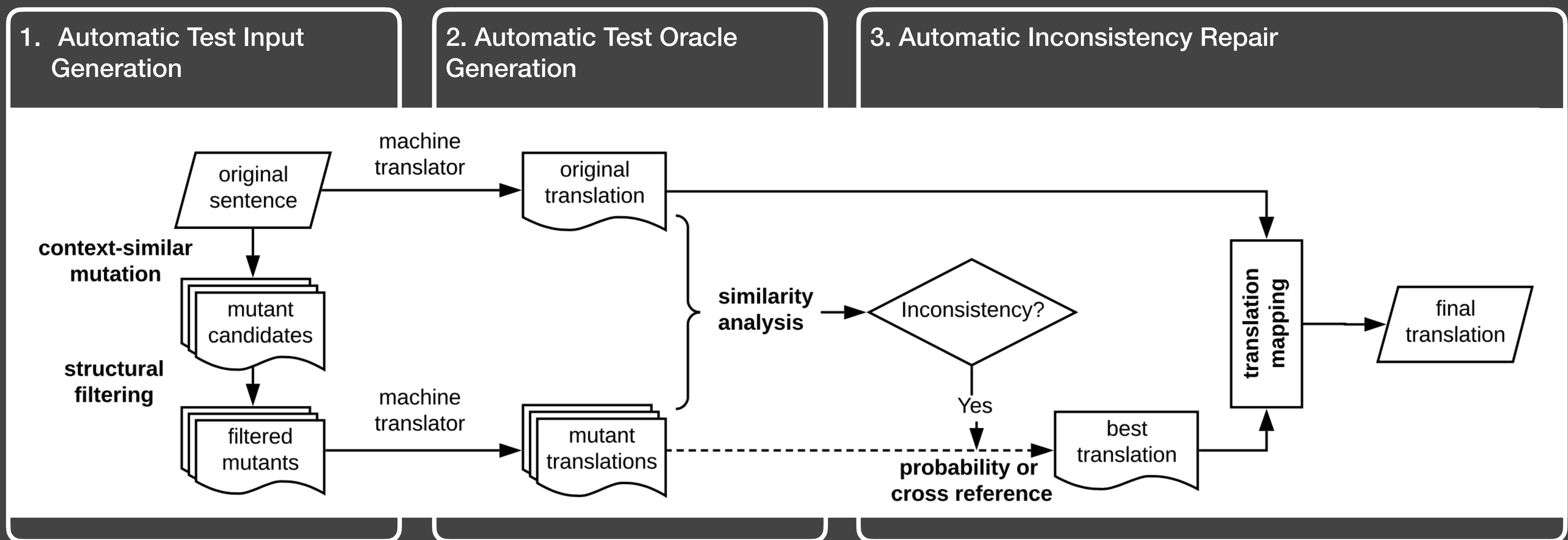
## 3. Automatic Inconsistency Repair

Find a mutant sentence with a translation that we can use to **replace the original translation**.

(Only works for translations with **similar structure** and word types)



# Mutamorphic Testing



# Useful tools

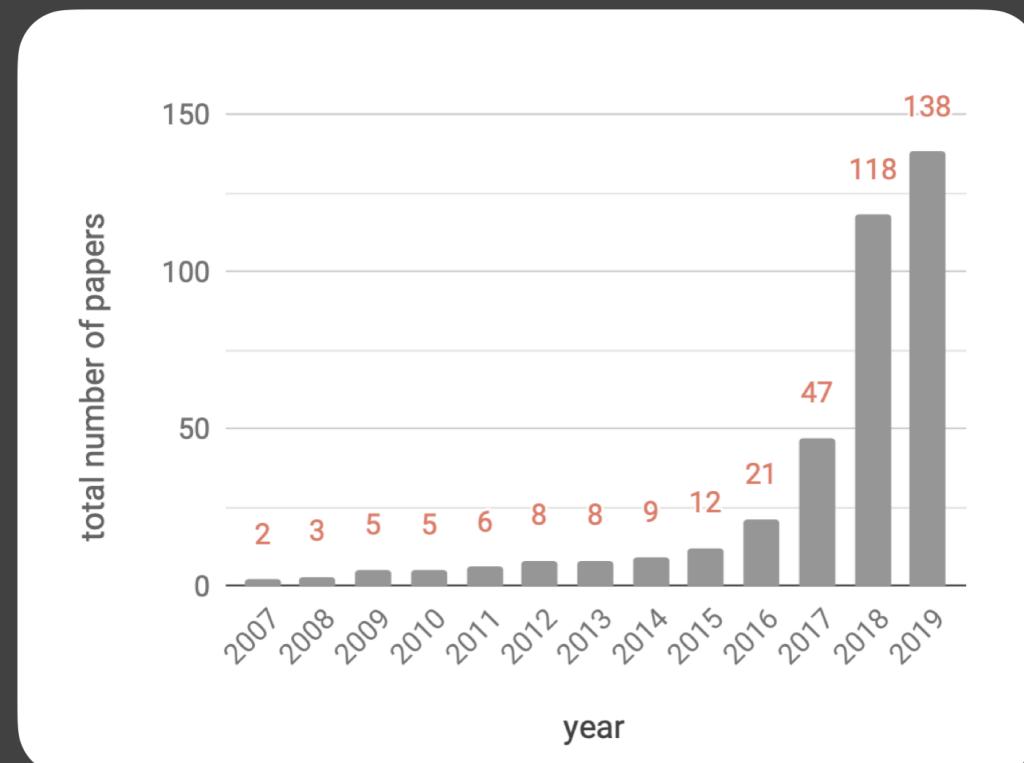
- Great Expectations. <https://greatexpectations.io>
- mllint. <https://github.com/bvobart/mllint>



A screenshot of a web browser displaying the PyPI project page for 'mllint'. The page title is 'mllint 0.5.1'. Below the title, there is a button with the text 'pip install mllint' and a download icon. To the right of the title, there is a green button with a checkmark and the text 'Latest version'. Below the title, the text 'Released: Apr 15, 2021' is visible. The main content area is titled 'Linter for Machine Learning projects'. On the left side, there is a 'Navigation' sidebar with links for 'Project description' (which is highlighted in blue), 'Release history', and 'Download files'. On the right side, there is a 'Project description' section with the heading 'mllint — Linter for Machine Learning projects'. At the bottom of the page, there are several status indicators: 'build passing', 'Go v1.16', 'codecov 89%', 'platform Linux | MacOS | Windows', 'pypi v0.5.1', 'status alpha', 'downloads 36/day', 'downloads 4.8k/month', and 'python 3.6 | 3.7 | 3.8 | 3.9 | 3.10'.

# Wrap-up

## ML Testing Publications during 2007–2019 (⚠ Cumulative plot)



Zhang et al. (2019)

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## ML Test Score

- 4 main angles:
  - Tests for **features** and **data**.
  - Tests for **model development**.
  - Tests for **ML infrastructure**.
  - **Monitoring** tests for ML.



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## Test Non-determinism Robustness Unit test

```
● ● ● ./tests/test_data_cleaning.py

@pytest.fixture()
def trained_model():
    trained_model = joblib.load('trained_model.sav')
    yield trained_model

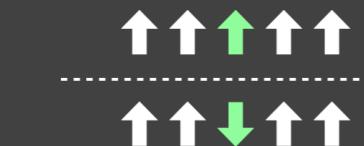
def test_nondeterminism_robustness(trained_model):
    original_score = evaluate_score(trained_model) # score between 0..100
    for seed in [1,2]:
        model_variant = train_model(random_state=seed)
        assert abs(original_score - score(model_variant)) <= 0.03
```

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## Mutamorphic Testing

### 1. Automatic Test Input Generation

Generate sentences by replacing 1 word with a context-similar word (**Mutation**).



### 2. Automatic Test Oracle Generation

When the translation of the mutant and the original sentence are fairly different, we have a **failing test**.

X✓✗✗✓✓

### 3. Automatic Inconsistency Repair

Find a mutant sentence with a translation that we can use to **replace the original translation**.

(Only works for translations with **similar structure** and word types)

✗✓✗✗✓✓  
✓✓✓✓✓✓

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