

# IN4315: Software Architecture

## Architecting for Quality

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# Contents

- Internal vs. External Quality
- Meta-quality
- Quality attributes along the software lifecycle: design, operation, failure, attack, change and long-term



# Quality



# Types of Requirements

Functional	Shall do	It works!
Non-Functional	Shall be	It works/evolves well



# Functional

- Correctness
- Completeness
- Compliance (e.g., Ethical Implications)

# Non-Functional ...

- Internal vs. External
- Static vs. Dynamic



# Internal vs. External

**External** qualities concern the fitness for purpose of the software product, whether it satisfies stakeholder concerns. They are affected by the deployment environment.

**Internal** qualities describe the developer's perception of the state of the software project and change during the design and development process.



# Static vs. Dynamic

**Static** qualities concern structural properties of the system that can be assessed before it is deployed in production

**Dynamic** qualities describe the system's behavior:

- during normal operation
- in the presence of failures
- under attack
- responding to change
- in the long term



# Meta-Qualities

- Observability
- Measurability
- Repeatability (Jitter)
- Predictability
- Auditability
- Accountability
- Testability



# Quality Attributes

Meta	Stakeholders	Functionality		Feasibility		Usability		Performance		Scalability		Dependability		Security		Privacy		Flexibility		Compatibility		Evolvability				
		Internal	External	Time to Market	Affordability	Consistency	Aesthetics	Deployability	Accessibility	Ease of support	Serviceability	Manageability	Visibility	Modularity	Reusability	Composability	Failure	Attack	Survivability	Change	Portability	Maintainability	Interoperability	Durability	Disposability	
Observability																										
Measurability																										
Repeatability																										
Auditability																										
Accountability																										
Testability																										



# Quality Attributes

## Meta

Observability  
Measurability  
Repeatability  
Predictability  
Auditability  
Accountability  
Testability

Stakeholders

Internal

External

## Functionality

Correctness  
Completeness  
Compliance  
Ethics

## Feasibility

Time to Market  
Affordability  
Consistency



Design

# Quality Attributes

Measurability  
Repeatability  
Predictability  
Auditability  
Accountability  
Testability

Design

Internal

External

## Functionality

Correctness  
Completeness  
Compliance  
Ethics

## Feasibility

Time to Market  
Affordability  
Consistency  
Simplicity  
Clarity  
Stability

Aesthetics

## Modularity Reusability

Composability

Deployability  
powered by  




# Quality Attribute

Operation

Failure

Design

Consistency

Simplicity

Clarity

Stability

**Modularity**  
**Reusability**

Composability

Manageability

Visibility

Aesthetics

Deployability

**Usability**

Accessibility

Ease of support

Serviceability

**Performance**

**Scalability**

**Dependability**

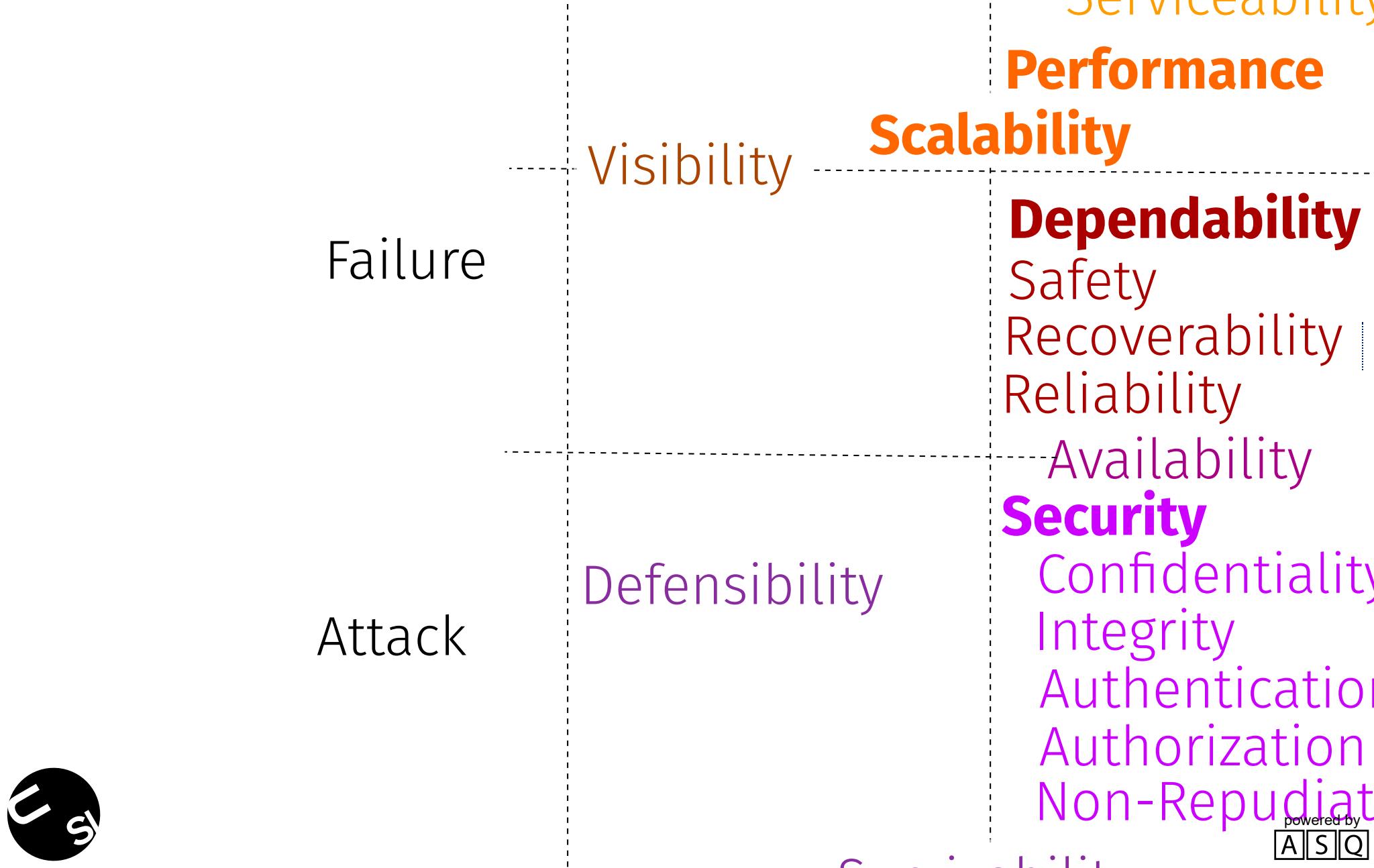
Safety

Recoverability

Predictability



# Quality Attributes



# Quality Attribute

Change

Survivability

Privacy

Flexibility

Modifiability

Elasticity

Configurability

Customizability

Resilience  
Adaptability  
Extensibility

Compatibility

Portability

Ease of Integration

Interoperability

Evolvability

Maintainability

Durability



# Design



# Design Qualities

- Feasibility
- Consistency
- Simplicity
- Clarity
- Aesthetics
- Stability
- Modularity
- Reusability
- Composability



# Feasibility

What's the likelihood of success for your new project?

- Affordability
- Time to Market



# Affordability

Are there enough resources to complete the project?

- Money
  - Hardware
  - People (Competent, Motivated)
- Time
- Slack



# Slack

Are there enough free resources (just in case)?

- Deal with unexpected events
- Breathing space to recharge
- Planning, backlog grooming
- Keep track of the big picture
- Reflect and refactor
- Pay back technical debt
- Learn and experiment



# Time to Market

How soon can we start learning from our users?

**Slow**

Build from scratch

Perfect product

Design by committee

**Fast**

Reuse and assemble

Minimum viable product (MVP)

Dedicated designer



# Modularity

Is there a structural decomposition of the architecture?

Prerequisite for: Code Reuse, Separate Compilation, Incremental Build, Distributed Deployment, Separation of Concerns, Dependency Management, Parallel Development in Larger Teams

Programming Languages **with** modules:

Ada, Algol, COBOL, Dart, Erlang, Fortran, Go, Haskell, Java, Modula, Oberon, Objective-C, Perl, Python, Ruby

Programming languages **without** modules:

C, C++, JavaScript

Modularisation is a design issue, not a language issue (David Parnas)



# Reusability

Can we use this software many times for different purposes?

- Reuse Mechanism: Fork (duplication) vs. Reference (dependencies)
- Origin: Internal vs. External (Not Invented Here Syndrome)
- Scope: General-purpose vs. Domain-specific
- Pre-requisites for reuse: trusted "quality" components, standardized and documented interfaces, marketplaces

It is often easier to write an incorrect program than to understand how to reuse a correct one (Will Tracz, 1987)



# Design Consistency

What's the design's conceptual integrity and coherence?

Understanding a part helps to understand the whole

Avoid unexpected surprises (POLA):

- Pick a naming convention
- Follow the architectural style constraints
- Document architectural decisions

Know the rules (and when to break them)

It is better to have a system reflect one set of design ideas, than to have one that contains many good but independent and uncoordinated ideas (Fred Brooks, 1995)



# Simplicity

What's the complexity of the design?

- A simple solution for a complex problem
- One general solution vs. many specific solutions:
  - Lack of duplication (DRY)
  - Minimal variability
- Conciseness
- Resist changes that compromise simplicity
- Refactor to simplify

As simple as possible, but not simpler (Albert Einstein)

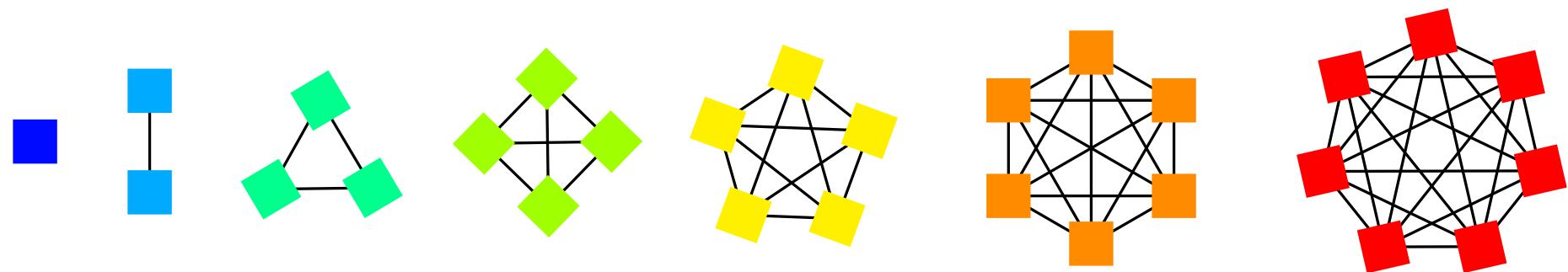


Done

# Complexity

## What is the primary source of complexity?

- The number of components of the architecture
- The amount of connections between the components



# Clarity

Is the design easy to understand?

A clear architecture distills the most essential aspects into simple primitive elements that can be combined to solve the important problems of the system

Freedom from ambiguity and irrelevant details

Definitive, precise, explicit and undisputed decisions

Opposite: Clutter, Confusion, Obscurity



# Stability

How likely to change is your design?

## Unstable

Prototype

Implementation

Depends on many components

Likely to break clients

Experimental spike, throw-away code

## Stable

Product

Interface

Many components depend on it

Platform to build upon

Worthy of further investment: building, testing, documenting



# Composability

How easy is it to assemble the architecture from its constituent parts?

- Assuming all components are ready, putting them together is fast, cheap and easy
- $\text{Cost}(\text{Composition}) < \text{Cost}(\text{Components})$
- Components can be easily recomposed in different ways



# Deployability

How difficult is it to deploy the system in production?

## Hard

Manual Release

Scheduled Updates

Unplanned Downtime

Wait for Dependencies

Changes cannot be undone

## Easy

Automated Release

Continuous Updates

Planned or No Downtime

No synchronization

Rollback Possible



# Normal Operation



# Normal Operation

- Performance
- Scalability
- Capacity
- Usability
- Ease of Support
- Serviceability
- Visibility



# Performance

How timely are the external interactions of the system?

- Latency
  - Communication/Computation Delay
  - User-Perceived: First Response vs. Completion Time
- Throughput
  - Computation: Number of Requests/Time
  - Communication: Limited by Bandwidth (Data/Time)



# Scalability

Is the performance guaranteed with an increasing workload?

- Architecture designed for growth:
  - client requests (throughput)
  - number of users (concurrency)
  - amount of data (input/output)
  - number of nodes (network size)
  - number of software components (system size)
- Scalability is limited by the maximum **capacity** of the system
- Software systems are expected to handle workload variations of 3-10 orders of magnitude over short/large periods



# Capacity

How much work can the system perform?

- **Capacity:** Maximum achievable throughput without violating latency requirements
- **Utilization:** Percentage of time a system is busy
- **Saturation:** Full utilization, no spare capacity
- **Overload:** Beyond saturation, performance degradation, instability
- Ensure that there is always some spare capacity



**Done**

## Measuring Normal Operation Qualities

Results are displayed  after users submit their input

The system can process messages sent in

After  of initial training, users are already productive

Last Friday the workload reached

1 second

1M concurrent  
clients

1000  
requests/second

1 hour



# Usability

Is the user interface intuitive and convenient to use?

- Learnability (first time users)
- Memorability (returning users)
- Efficiency (expert users)
- Satisfaction (all users)
- Accessibility
- Internationalization



# Ease of Support

Can users be effectively helped in case of problems?

## Hard

Cryptic Error Messages

Heisen-bugs

Unknown Configuration

Configuration

No Error Logs

User in the Loop

## Easy

Self-Correcting Errors

Reproducible Bugs

Remotely Visible Configuration

No configuration

Stack Traces in Debug Logs

Remote Screen; telemetry



# Serviceability

How convenient is the ordinary maintenance of the system?

## Hard

Complete Operational Stop

Reboot to upgrade

Install Wizard

Restart to apply configuration change

Manual Bug Reports

## Easy

Service Running System

Transparent upgrade

Unattended Installation Script

Hot/live configuration

Automatic Crash Report



# Visibility

Is it possible to monitor runtime events and interactions?

To which extent the system behavior and internal state can be observed during operation?

Are there logs to debug, detect errors or audit the system in production?

Is the system self-aware?

**Process Visibility:** can the progress of the project be measured and tracked?

We see in order to move; we move in order to see. (William Gibson)



# Failure Mode



# Dependability Qualities

- Availability
- Reliability
- Recoverability
- Safety
- Security



# Reliability

How long can the system keep running?

- MTBF - Mean Time Between Failures
- MTTF - Mean Time To Failure

# Recoverability

How long does it take to repair the system?

- MTTR - Mean Time to Recovery
- MTTR - Mean Time to Repair
- MTTR - Mean Time to Respond



# Availability

How likely is it that the system is functioning correctly?

## Availability and Reliability

- Availability = MTTF / (MTTF + MTTR)

## Availability and Downtime

- Availability =  $(T_{total} - T_{down}) / T_{total}$

Availability	Downtime (1 Year)
99%	3.65 days
99.9%	8.76 hours
99.99%	53 minutes
99.999%	5.26 minutes
99.9999%	31.5 seconds



**Done**

## Measuring Availability and Reliability

The service level agreement states up to [REDACTED] downtime per [REDACTED], an availability of [REDACTED]

After we call support, they need to be there within [REDACTED]

Rebooting the server takes [REDACTED]

The uptime of our oldest server has reached [REDACTED]

1 month

30 minutes

5 seconds

1 hour

4 years

99.861%



# Robust



Safe

# Secure

Is damage prevented during **erroneous use** outside the operating range?

Is damage prevented during use within the operating range?

Is damage prevented during **intentional/hostile use** outside the operating range?



# Under Attack

A dramatic sunset or sunrise over a layer of clouds. The sky is filled with various cloud formations, from wispy cirrus to large, billowing cumulus. Bright rays of light, likely the sun, break through the clouds, creating a warm, golden glow. The overall atmosphere is one of intensity and drama.

# Security

- Authentication
  - How to confirm the user's identity?
- Authorization
  - How to selectively restrict access to the system?
- Confidentiality
  - How to avoid unauthorized information disclosure?
- Integrity
  - How to protect data from tampering?
- Availability
  - How to withstand denial of service attacks?



# Defensibility

Is the system protected from attacks?

# Survivability

Does the system survive the mission?



# Privacy

How to keep personal information secret?

Privacy	Good	Poor
Default	Opt-in	Opt-out
Purpose	Specific, explicit	Generic, unknown
Tracking	None	Third-party Fingerprinting
Personal identification	Data anonymization	Data re-identification
Retention	Delete after use	Forever
Breach	Prompt Notification	Silent



# Change



# Change Qualities

What changes are expected in the future?

No Change: put it in hardware

Software is expected to change

Versioning



# Flexibility

- Configurability
- Customizability
- Modifiability
- Extensibility
- Resilience
- Adaptability
- Elasticity



# Configurability

Can architectural decisions be delayed until after deployment?

- Component Activation, Instantiation, Placement, Binding
- Resource Allocation
- Feature Toggle

## Poor

Undocumented configuration options

Hard-coded parameters  
(rebuild to change)

## Good

Documented configuration options

Startup parameters  
(restart to change)

## Better

Sensible defaults provided

Live parameters  
(instant change)



# Customizability

Can the architecture be specialized to address the needs of individual customers?

- One size Fits All
- Product Line
- White Labeling
- UI Theming, Skin
- Configurability, Composability



# Change Duration

- Temporary: **Resilience**

Can the architecture return to the original design after the change is reverted?

- Permanent: **Adaptability**

Can the architecture evolve to adapt to the changed requirements?



# Adapt to Changing Requirements

- New Feature: **Extensibility**

Can functionality be added to the system?

- Existing Feature: **Modifiability**

Can already implemented functionality be changed?

Can functionality be removed from the system?



# Elasticity

Can workload changes be absorbed by dynamically re-allocating resources?

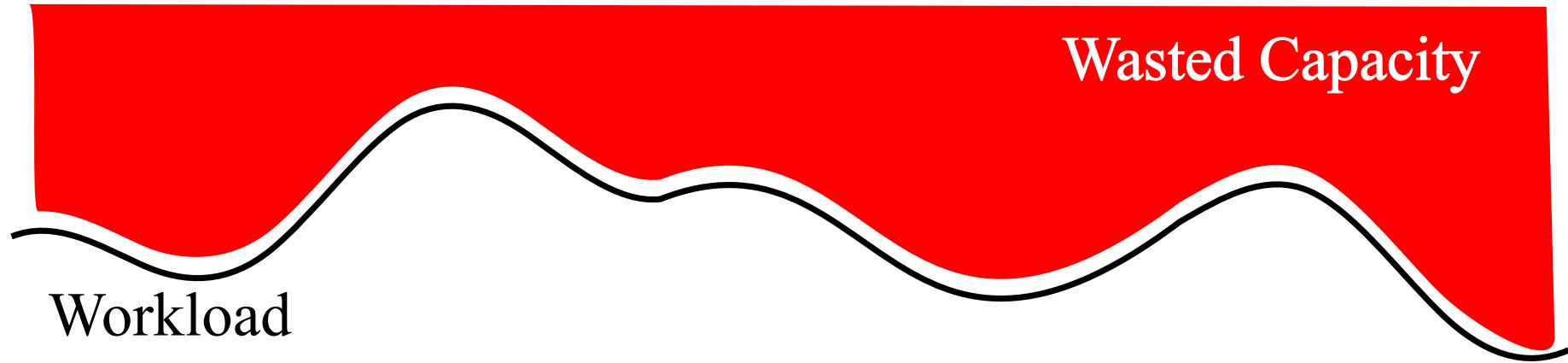
- Assumption: Scalability + Pay as you go
- Cost(SLA Violation)  $\gg$  Cost(Extra Resource)
- Example: Cloud Computing



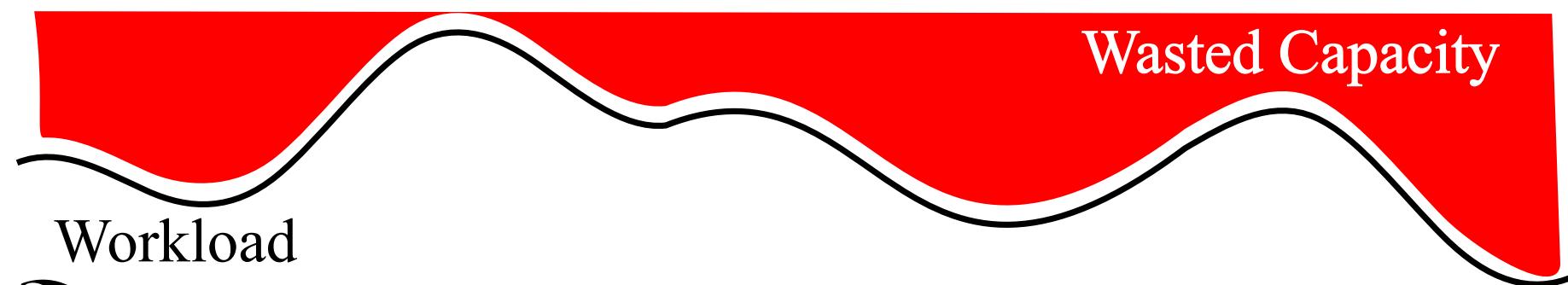
# Elasticity

Can workload changes be absorbed by dynamically re-allocating resources?

## Static Resource Allocation



## Static Resource Allocation



# Elasticity

Can workload changes be absorbed by dynamically re-allocating resources?

Static Resource Allocation

Wasted Capacity

Workload

Overload

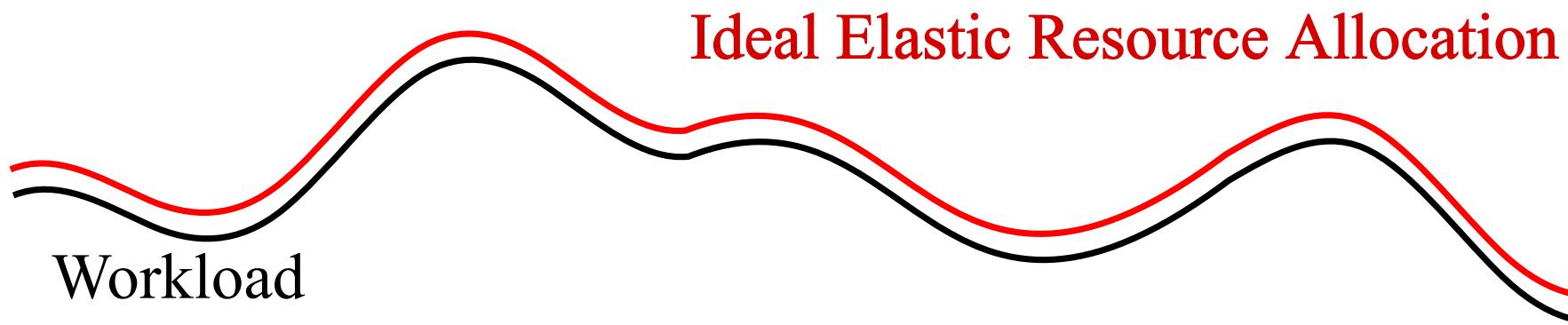
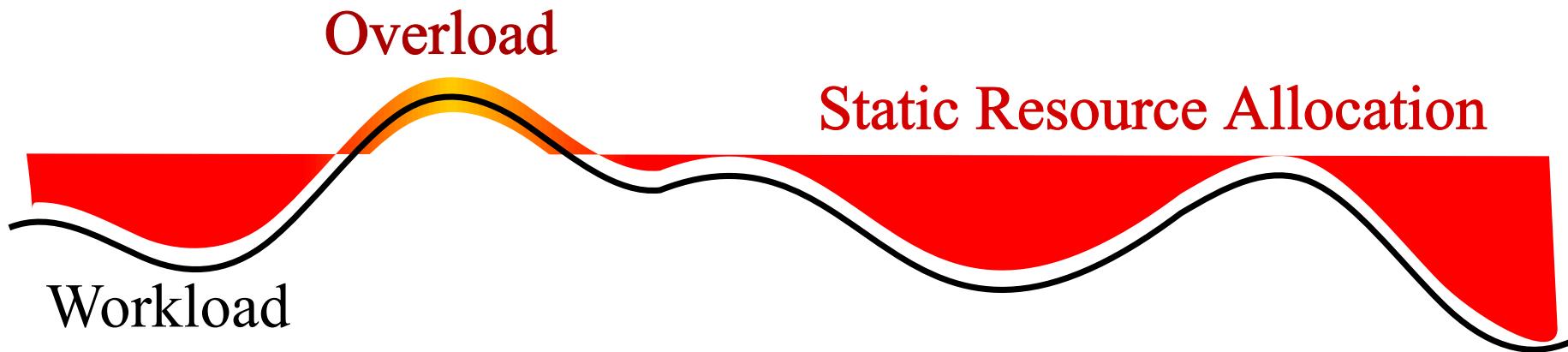
Static Resource Allocation

Workload



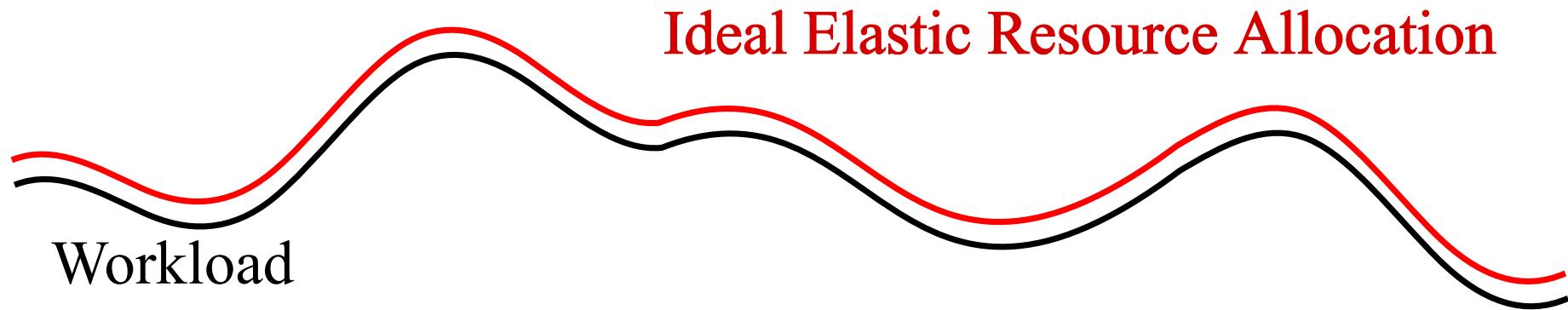
# Elasticity

Can workload changes be absorbed by dynamically re-allocating resources?



# Elasticity

Can workload changes be absorbed by dynamically re-allocating resources?



# Compatibility

Does X work together with Y?

- Interfaces
- Protocols and Data Formats (Interoperability)
- Platforms (Portability)
- Source vs. Binary
- Semantic Versioning (Backwards and Forwards Compatibility)



# Portability

Can the software run on multiple execution platforms without modification?

- Write Once, Compile/Run/Test Anywhere
- Cost(porting) << Cost(rewriting)
- Platform-Independent vs. Native Code
- Deployment: Universal Binaries
- Runtime: OS Layer, Virtual Machine Layer, Hardware Abstraction Layer



# Interoperability

Can two systems exchange information to successfully interact?

- Abstraction Levels:
  - Payload Syntax
  - Message Semantics
  - Protocols/Conversations
- Content Type Negotiation
- Standardization
- Mediation



# Ease of Integration

How expensive is it to integrate our system with others?

## Expensive

Hub and Spoke (2 systems)

Point to Point (N systems)

No API

Custom Binary Data

Air gap

Batched, periodic

## Easy

Point to Point (2 systems)

Hub and Spoke (N systems)

Standard Interface

Standard Text, XML, JSON Data

No Firewall

Continuous, real-time



# Long Term



# Long Term Qualities

- Durability
- Maintainability
- Sustainability



# Durability

How permanent is the data?

- Persistence Layer (DB, Container, OS)
- Checkpoint and Restore
- Backup and Disaster Recovery
- Long-term Digital Preservation



# Maintainability

How to deal with software entropy?

- Change is inevitable
- Keep the quality level over time
- Adaptive, perfective, corrective, preventive maintenance
- Re-engineer, reverse engineer or retire legacy systems

If you never kill anything, you will live among zombies (Gregor Hohpe, 2015)



Done

# Maintainability

Adaptive

Perfective

Corrective

Preventive

Fail  
Over to  
Backup  
Data  
Center

Bug Fix

Year  
2038  
Time  
Overflow

New  
Feature

Comply  
with  
New  
Law

Refactor

Write  
Documentation

Upgrade  
Dependencies

Optimize  
Performance

# Types of Maintenance

- Adaptive** Deal with external "evolutionary" pressure  
(avoid quality gets worse over time)
- Perfective** Improve external qualities
- Corrective** Remove defects (ensure acceptable, good enough quality)
- Preventive** Improve internal qualities



# Sustainability

- Technical

How to avoid your software becomes obsolete in the long term?

- Economic

How to ensure your software development organization does not go bankrupt in the long term?

- Growth

How to bootstrap the growth of your startup?



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