

Enumeration

Relations & Functions

# Outline

1. Properties of Relations on a Set 1.1. Motivation	3
1.3. Graphical Representation of Relations using Digraphs	12
1.4. Equivalence Classes	18
1.5. Iterating Relations	19
2. A Sample Application	21

#### Relations on a Set

We now will focus on relations where the source and target sets are equal. Recall our definition ...

### Definition 1 (Relation on a Set)

A relation from set A to set A is called a relation on A

- Such relations are important because they occur frequently in practice
  - In cryptography we have relations from  $\mathbb{N}$  to  $\mathbb{N}$ .
  - In 3D graphics/animation we are have relations from  $\mathbb{R}^3$  to  $\mathbb{R}^3$ , etc.
- Because the target is equal to the source, we can reapply the same relation, taking the output of one application as the input to the next application.

$$A \xrightarrow{R} A \xrightarrow{R} A \xrightarrow{R} A \xrightarrow{R} A \xrightarrow{R} \cdots$$

• This feature gives rise to powerful algorithms: fixed point methods, hashing, block chaining, etc.

# Reflexive Property

#### Definition 2 (Reflexive)

Let R be a relation on set A. Then R is said to be reflexive iff

$$(a,a) \in R \qquad \forall a \in A$$

On the set of positive integers,  $A = \{1, 2, ...\}$  the following relations are reflexive

- R = "divides", i.e.,  $\{(a_1, a_2) \in R \text{ iff } a_1 \mid a_2 \text{ where } a_1, a_2 \in A\}$  (R is reflexive since every positive integer divides itself)
- R = "less or equal to", i.e.,  $\{(a_1, a_2) \in R \text{ iff } a_1 \leq a_2 \text{ where } a_1, a_2 \in A\}$  (R is reflexive since  $a \leq a$  for every positive integer.)

and the following relations are not reflexive

• 
$$R =$$
 "less than"

$$(1,5) \in R$$
 but  $(5,1) \notin R$ 

• 
$$R =$$
 "is half"

$$(3,6) \in R$$
 but  $(6,3) \notin R$ 

# Symmetric Property

#### Definition 3 (Symmetric/Anti-symmetric)

Let R be a relation on set A. Then R is said to be symmetric iff

$$(a_1, a_2) \in R \Rightarrow (a_2, a_1) \in R \qquad \forall a_1, a_2 \in A$$

And *R* is said to be anti-symmetric iff

$$(a_1, a_2) \in R \land (a_2, a_1) \in R \Rightarrow a_1 = a_2 \forall a_1, a_2 \in A$$

- Note that a relation could be both/either one/neither of symmetric or anti-symmetric.
- A symmetric relation is one in which if  $a_1$  is related to  $a_2$ , then  $a_2$  must be related to  $a_1$ .
- The standard approach to proving a relation is anti-symmetric is via proof by contradiction:
  - Start with assuming  $(a_1, a_2) \in R$  and  $(a_2, a_1) \in R$  with  $a_1 \neq a_2$
  - Prove that  $a_1 \neq a_2$  leads to a contradiction.

Consider the relation  $R = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$ . Is R reflexive? symmetric? anti-symmetric?

(Reflexive) R is not reflexive since, for example  $(2,2) \notin R$ .

(Symmetric) R is symmetric since  $x^2 + y^2 = y^2 + x^2$ . Hence, if  $(x, y) \in R$  then so is  $(y, x) \in R$ .

(Anti-symmetric) R is not anti-symmetric since  $(0,1) \in R$  and  $(1,0) \in R$  but  $1 \neq 0$ .

# Transitive Property

## Definition 5 (Transitive)

Let R be a relation on set A. Then R is said to be transitive iff

$$(a_1, a_2) \in R \land (a_2, a_3) \in R \Rightarrow (a_1, a_3) \in R$$

• Relation like "less than", "greater than", "equal to", "ancestor of", etc. are transitive.

## Definition 6 (Equivalence Relation/Equivalence Classes)

Let R be a relation on a set A. Then we say R is an equivalence relation if R is

- Reflexive
- Symmetric
- Transitive

Then R "divides" the set A into equivalence classes,  $A_1, A_2, \ldots$ , where two elements,  $a_1$  and  $a_2$ , are in the same class iff  $(a_1, a_2) \in R$ . And

$$A_i \cap A_j = \emptyset$$
 when  $i \neq j$ 

and

$$A_1 \cup A_2 \cup A_3 \cdots = A$$

i.e, the sets  $A_1, A_2, \ldots$  are disjoint and exhaustive on A.

Let *A* be the set of all people in Ireland. Consider the relation,  $(x, y) \in R$  if *x* and *y* have the same surname (that is, last name). Then R is an equivalence relation:

(Reflexive) R is reflexive since any person, x, has the same surname as his/her self.

(Symmetric) R is symmetric since if x has the same surname as y then y has the same surname as x.

(Transitive) R is transitive since if x has the same surname as y and y has the same surname as z then x has the same surname as z.

Thus *R* is an equivalence relation.

• The equivalence classes are all those people with surname "Applby", all those people with surname "Murphy", etc.

## Example 8

Let *A* be the set of all people in Ireland. Consider the relation,  $(x, y) \in R$  if *x* and *y* have at least one biological parent in common.

It is easy to see that this relation is reflexive and symmetric:

- (Reflexive) *R* is reflexive since any person, *x*, has at least least one biological parent in common with him/her self.
- (Symmetric) R is symmetric since if x has at least least one biological parent in common with y, then y has at least least one biological parent in common with x.

However, the relation is not transitive:

(Transitive) *R* is not transitive. For example, say Jack and Jill had a child called Larry, then Jack and Bo Beep had a child called Curly, and finally Bo Beep and Jack Sprat had a child called Mo.

Then Larry and Curly are related, and Curly and Mo are related by Larry and Mo are not related.

# Other Properties

Finally the following two properties are give for completeness

## Definition 9 (Irreflective/Asymmetric)

• A relation on set A is irreflective if

$$(a,a) \notin R \qquad \forall a \in A$$

i.e., For all a in A, a is not related to itself.

• A relation on set A is asymmetric if

$$(a_1, a_2) \in R \Rightarrow (a_2, a_1) \notin R \qquad \forall a_1, a_2 \in A,$$

i.e., For all  $a_1$  and  $a_2$  in A, if  $a_1$  is related to  $a_2$ , then  $a_2$  is not related to  $a_1$ ,

#### Lemma 10

A relation R on set A is asymmetric if and only if

- R is irreflexive
- R is antisymmetric

# Graphical Representation of Relations using Digraphs

We will now cover an alternative graphical representation of relations that is useful when studying reflexive, symmetry and transitive properties. This representation is based on directed graphs, called a digraph\*.

### Digraph representation of a Relation

Consider a relation R on the set A:

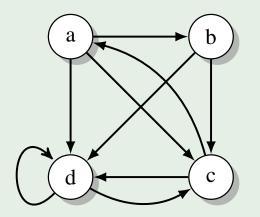
- Each element in  $a \in A$  is drawn as a point/circle called a vertex/node/point.
- If  $(a_1, a_2) \in R$  then a (curved) line with an arrow is drawn from  $a_1$  to  $a_2$ , these are called edges/arcs/lines.
- The position of the vertex and the layout of the edges is not important, only which vertices have directed edges towards which vertices.

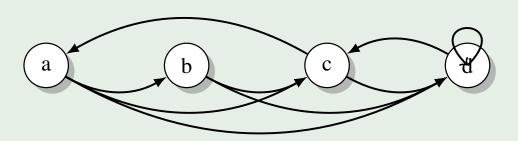
<sup>\*</sup>We will look at the area of graphs in more detail in weeks 9-10.

Let  $A = \{a, b, c, d\}$  and let R be a relation on A defined as

$$R = \{(a,b), (a,c), (a,d), (b,c), (b,d), (c,a), (c,d), (d,c), (d,d)\}$$

Possible digraph representations include





# Digraphs and Properties of Relations

## Reflexivity

In a digraph, a relation is reflexive if and only if every vertex has a self loop<sup>†</sup>.

## Symmetry

In a digraph, a relation is symmetric if and only if for every edge from x to y there is also a corresponding edge from y to x. (i.e., bidirectional edge pairs)

## >Anti-symmetry

In a digraph, a relation is anti-symmetric if and only if, for every edge from *x* to *y* there is never a corresponding edge from *y* to *x*, whenever *x* and *y* are distinct vertices (i.e., we ignore self loops).

## **Transitivity**

In a digraph, a relation is transitive if for every pair of edges (x, y) and (y, z) there is also a edge (x, z).

<sup>&</sup>lt;sup>†</sup>A self loop is an edge from a vertex to itself.

# Digraphs and Properties of Relations

Consider the following relations on  $A = \{a, b, c, d\}$ . Determine their properties

$$R = \{(a, a)\}$$









reflexive X

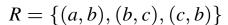
symmetric 🗸

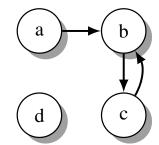
anti-symmetric 🗸

transitive 🗸

irreflexive X

asymmetric X





reflexive X

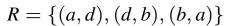
symmetric X

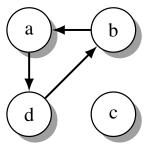
anti-symmetric X

transitive X

irreflexive 🗸

asymmetric X





reflexive X

symmetric X

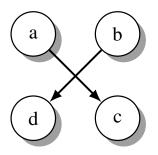
anti-symmetric 🗸

transitive X

irreflexive 🗸

asymmetric 🗸

$$R = \{(a, c), (b, d)\}$$



reflexive X

symmetric X

anti-symmetric 🗸

transitive 🗸

irreflexive 🗸

asymmetric 🗸

#### Closure of Relations

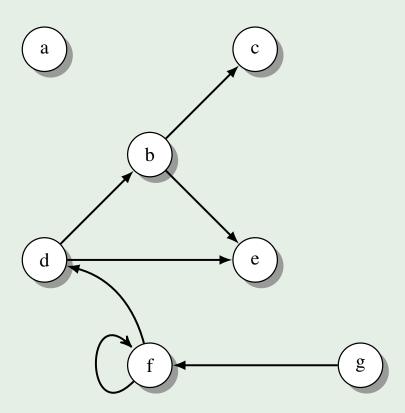
Given a relation that does not have a required property we often want to determine the minimal changes (additions) needed so that the modified relation does have the required property.

#### Definition 12 (Closure of Relations)

For any property X, the "X closure" of relation R is defined as the "smallest" superset of R that has the given property.

- The reflexive closure of a relation R on A, is obtained by adding (a, a) to R for each  $a \in A$ .
- The symmetric closure of a relation R on A, is obtained by adding  $(a_2, a_1)$  to R for each  $(a_1, a_2)$  in R.
- The transitive closure of a relation R on A, is obtained by repeatedly adding  $(a_1, a_3)$  to R for each  $(a_1, a_2)$  and  $(a_2, a_3)$  in R.

Find the symmetric closure, reflective closure and transitive closure of the relation represented in the following digraph.



# **Equivalence Classes**

Earlier we discussed that if a relation, R, is reflexive, symmetric and transitive on set A then R "divides" the set A into equivalence classes,  $A_1, A_2, \ldots$ , where two elements,  $a_1$  and  $a_2$ , are in the same equivalence class iff  $(a_1, a_2) \in R$ .

The equivalence classes are disjoint and exhaustive on A, i.e.,

(disjoint)

$$A_i \cap A_j = \emptyset$$
 when  $i \neq j$ 

 $\bullet$  (exhaustive on A)

$$A_1 \cup A_2 \cup A_3 \cdots = A$$

#### Examples

- The "is equal to" relation over integers is an equivalence relation. The resulting equivalence classes (all singletons) are the individual integers.
- The "have same length" over strings is an equivalence relation. The resulting equivalence classes are strings of length zero, length one, etc.
- The "same remainder when divided by two" over integers is an equivalence relation. The resulting equivalence classes are the odd and even integers.

# **Iterating Relations**

We often want to apply a relation a fixed number of times (for loops) or until some condition is satisfied (while loops). To represent this in Mathematics we define the  $n^{th}$  power of a relation

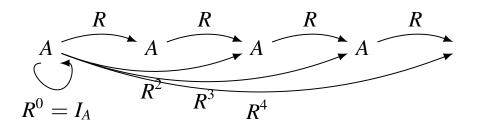
### Definition 14 (Power of a Relation)

The  $n^{\text{th}}$  power of a relation R on a set A is defined recursively as

$$R^{n} = \begin{cases} I_{A} & n = 0, \\ R^{n-1} \circ R & \text{for all } n > 1 \end{cases}$$

where

- $I_A$  is the identity relation on A, i.e,  $I_A = \{(a, a) \mid a \in A\}$
- The "o" means apply after (so apply from right to left).

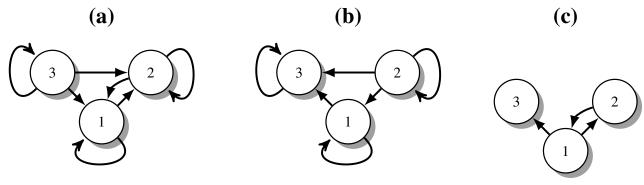


# Review Exercises 1 (Properties of Relations on a Set)

#### **Question 1:**

Consider the relations represented in the following graphs.

- Determine whether the given relations are reflexive, symmetric, antisymmetric, or transitive.
- Determine which relations are asymmetric, irreflexive.
- Which of the graphs are of equivalence relations?
- Construct the transitive closure of each relation.



#### **Question 2:**

Consider the relation on  $\{1, 2, 3, 4, 5, 6\}$  defined by  $R = \{(i, j) : |i - j| = 2\}$ . You

a) Is R reflexive?

c) Is R transitive?

b) Is *R* symmetric?

d) Draw a digraph of *R*.