# Can't Be Late: Optimizing Spot Instance Savings under Deadlines

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#### **Overview**

**Spot Instances:** Lower cost but preemptible, making them challenging for deadline-sensitive jobs.

Use on-demand instances as backup to meet deadlines while leveraging spot instances for cost savings.

**Key Contribution:** Development of the Uniform Progress policy, which is:

- Parameter-free
- Does not rely on assumptions about spot instance availability

#### **Empirical Study:**

- Based on real AWS spot availability traces
- Demonstrates significant cost reduction compared to the greedy policy
- Ensures deadlines are met

Implementation: Integrated into SkyPilot, an intercloud broker system

**Results:** Achieves 27%-84% cost savings across various real-world workloads.



#### Introduction

#### **Spot instances vs on-demand instances**

- a. On-demand instances: always available but come at a high price
- Spot instances: much cheaper but less available and can be preempted unexpectedly

	V100 GPU	64-core CPU
AWS	3×	2-6×
Azure	$3-6\times$	$3-10\times$
GCP	$3\times$	4–11×

Table 1: Cost savings of spot vs. on-demand instances.

#### Introduction

How to enable an application to leverage spot instances while still meet its deadline?

A simple solution: use a spot instance up to the point at which the remaining computation time equals the remaining time to deadline, and then switch to an on-demand instance until it finishes. (greedy policy)



#### Introduction

## **Authors' solution: Uniform Progress**

#### **Highlights:**

- Parameter-free
- 2. Does not rely on assumptions about spot instance availability
- 3. Achieves 27%-84% cost savings across various real-world workloads.



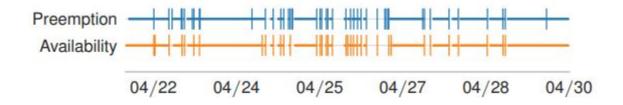
## **Characterization of Spot Instances**

#### 1. Trace Collection

Challenge: expensive

collect a real preemption trace for V100 instances for three-month long traces in 9 zones could cost over \$10,000.

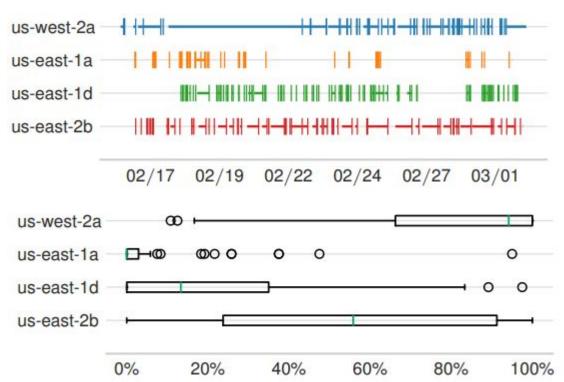
Solution: propose an approximation by collecting availability traces





## **Characterization of Spot Instances**

### High Variance in Spot Availability

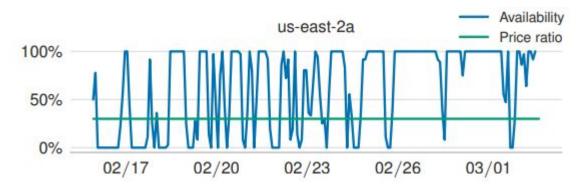


Boxplots of spot availability fraction, i.e., percentage of the time an instance is available in 6-hour windows.



# **Characterization of Spot Instances**

## **High volatility**



a highly volatile pattern: availability can change from 100% to 0% within hours.

However, spot pricing is much more stable.

#### **Problem Setup**

C(t): remaining computation time at time t

R(t):remaining time-to-deadline at time t

C(0): total computation time

R(0): deadline

$$R(t) = R(0) - t$$

$$\partial C(t) / \partial t = -1$$

We assume that both C(0) and R(0) are given and the job is fault-tolerant to interruptions.

#### **Problem Setup**

d: changeover delay, which includes the time required to launch an instance, set up dependencies, and recover any potential progress loss

d is charged at the new instance type's price.

The goal is to minimize the cost for completing job's computation timeC(0) before deadline R(0). For simplicity, we define the price for an on-demand instance to be k > 1, and a spot instance to be 1 and both prices are fixed throughout the time before deadline R(0).

## **Scheduling Policy**

At any time t, a job can be in one of the following three states: idle, running on a spot instance, or running on an on-demand instance.

Spot State \ Instance State	Idle	Spot	On-Demand
Spot Available	1	3	4
Spot Unavailable	2	1	(5)

ideal case: d = 0

transition between state ② and ③, until C(t) = R(t)

Then transition between 3 and 5

optimal because it utilizes all available spot instance lifetimes before the deadline

#### **Scheduling Policy**

Practical case: d > 0

Have to decide whether it is worth switching to a different instance at the expense of losing time d without making progress

#### **Greedy policy:**

**Thrifty Rule:** The job should remain idle after C(t) = 0.

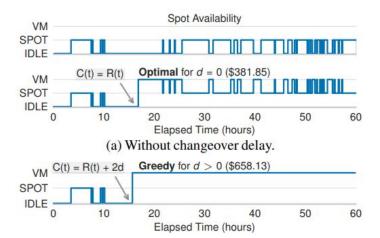
**Safety Net Rule:** When a job is idle and R(t) < C(t) + 2d, switch to on-demand and stay on it until the end.

**Exploitation Rule:** Once start using a spot instance, stay on it until it is preempted.

#### **Scheduling Policy**

The greedy policy behaves as follows:

- 1. Stay on any available spot instance until it is preempted (Exploitation Rule), and keep waiting if no spot instance is available
- (Safety Net Rule) When R(t)<C(t)+2d holds and the job is idle, move to on-demand and stay there until the end.





Can we do better than greedy while not assuming future knowledge?



#### **Worst Case with Competitive Analysis**

c: competitive ratio, which is the ratio of the cost of the policy to the best omniscient policy with full knowledge of future spot availability.

To simplify the presentation, we assume changeover delay d is small and ignore the term O(d). We use R(t)=C(t)+d as Safety Net Rule's condition, instead of R(t)=C(t)+2d, which will not affect the conclusion, due to negligible O(d).

A natural bound for c is  $1 \le c \le k$ , where k can be reached when the oblivious adversary choose a case that a given policy have to use all on-demand, and the omniscient policy could use all spot instances.

### **Worst Case with Competitive Analysis**

Theorem 1. For any deterministic policy P,  $c \ge k - O(d)$ .

As an adversary can simply make spot available from t', where R(t') = C(t') + d.

A policy has to be randomized to beat greedy, whose competitive ratio c = k.



#### **Worst Case with Competitive Analysis**

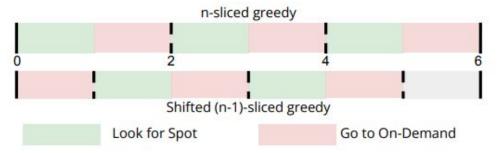
#### A better policy: randomized shifted greedy (RSF) policy

we divide the time into n even slices with length R(0)/n and apply greedy in each of these slices with C(0)/n progress to make.

In each slice, the policy enforces the job to make  $\geq \frac{C(0)}{n}$  units of progress within  $\frac{R(0)}{n}$ . We then shift the n-sliced greedy policy by  $\frac{C(0)}{n}$ , to get **shifted (n-1)-sliced greedy policy** It uses on-demand for time  $\frac{C(0)}{n}$  from start, then applies (n-1)-sliced greedy from t =  $\frac{C(0)}{n}$  until  $t = R(0) - \frac{R(0) - C(0)}{n}$ 

#### **Worst Case with Competitive Analysis**

#### A better policy: randomized shifted greedy (RSF) policy



We can define a randomized shifted greedy (RSF) policy by using either the n-sliced or the shifted (n-1)-sliced greedy with equal probability at any time t. We can prove that the competitive ratio for RSF is bounded and lower than greedy.

$$Theorem~2.~If~R\left(0
ight)\geq2C\left(0
ight),~then~for~RSF~policy~has~c\leqrac{k+1}{2}+rac{k-1}{2n}+O\left(d
ight)< k.$$



#### **Worst Case with Competitive Analysis**

#### A better policy: randomized shifted greedy (RSF) policy

For  $R(0) \le 2C(0)$ , we can simply use on-demand until R(t) = 2C(t) then start using RSF policy. We denote this modified RSF (MRSF) policy.

$$Corollary\,1.\,Let\,a=rac{R\left(0
ight)}{c\left(0
ight)}-1 for\,0\leq a\leq1.\,MRSF\,policy\,has:$$

$$c \leq k - ak + a\left(rac{k+1}{2} + rac{k-1}{2n}
ight) + O\left(d
ight) = k - rac{a\left(k-1
ight)\left(n-1
ight)}{2n} + O\left(d
ight) < k$$

With MRSF policy, we shown that there exists a policy that performs better than greedy for any R(0), C(0) in worst cases by randomization and distributing job progress.

#### **Average Case with Stochastic Model**

In order to model the spot process, we consider a smoothed version where we assume that a fractional spot is always available, with a ratio r < 1, i.e., a job running on the fractional spot makes r amount of progress per unit of time.

Similarly, for simplicity, we assume that d is relatively small and ignore terms of O(d).



#### **Average Case with Stochastic Model**

For greedy policy, It will use the fractional spot until R(t') = C(t') + O(d) and then switch to on-demand.

At time t', the job progress on the fractional spot would beC(0) – C(t') = rt' – O(d)

C(t') = C(0) - rt' + O(d), and the remaining time would be R(t') = R(0) - t'. We can derive t' and expected payment (total cost) p:

$$R(t') = C(t') \Longrightarrow R(0) - t' = C(0) - rt' + O(d)$$
 (1)

$$t' = \frac{R(0) - C(0) + O(d)}{1 - r} \tag{2}$$

$$p = rt' + (R(0) - t')k + O(d) = (r - k)t' + kR(0) + O(d)$$
 (3)

#### **Average Case with Stochastic Model**

For greedy policy, It will use the fractional spot until R(t') = C(t') + O(d) and then switch to on-demand.

At time t', the job progress on the fractional spot would beC(0) – C(t') = rt' – O(d)

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$$R(t') = C(t') \Longrightarrow R(0) - t' = C(0) - rt' + O(d) \tag{1}$$

$$t' = \frac{R(0) - C(0) + O(d)}{1 - r} \tag{2}$$

$$p = rt' + (R(0) - t')k + O(d) = (r - k)t' + kR(0) + O(d)$$
 (3)

We can observe that the payment depends on the fractional spot ratio r. Since r-k < 0, payment p reduces when the time t' spent on the fractional spot increases.



#### **Average Case with Stochastic Model**

For n-sliced greedy policy, when we started considering the expected payment across difference traces, variance for fractional spot involves.

Consider spot fraction R as a random variable with mean r and variance v. We can prove that the expected time on the fractional spot E[t'] increases with the variance v.

Difference of n-sliced (with variance  $\hat{v}$ ) to original greedy (with variance v):

$$\Delta = \frac{R(0) - C(0)}{(1 - r)^3} (\hat{v} - v)$$

Since  $\Delta > 0$ , We can conclude that n-sliced greedy has larger E[t'], leading to a lower expected cost p than original greedy in average case.

#### **Time Sliced**

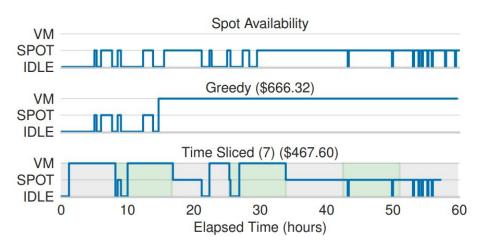
Based on the n-sliced greedy policy, we propose the Time Sliced policy.

We divide the time before deadline, R(0), into slices, and assign each slice a computation time C(0)/n and deadline R(0)/n, denoted as Ci and Ri for slice i. In each time slice, we apply greedy policy.

Two changes compared to the n-sliced greedy policy:

- jobs can continue on spot instances whenever available after Ci(t)≤0,
- 2. if a slice makes more progress than required, we reduce the required computation in the succeeding slice, Ci+1.

#### **Time Sliced**







#### **Uniform Progress**

Although Time Sliced policy with the best slice number n outperforms greedy, selecting the optimal n for different cases is not practical.

At the end of a slice i, ti =  $i\frac{R(0)}{n}$ , i.e., i =  $t_i\frac{n}{R(0)}$ . The current progress, cp(ti) = C(0) – C(ti), is guaranteed to meet the expected progress, ep(ti):

$$cp(t_i) \ge ep(t_i) = i\frac{C(0)}{n} = t_i \frac{C(0)}{R(0)}$$
 (4)

When the slice number n=1, Time Sliced becomes greedy policy. When more slices involve, with larger n, (4) applies to more time steps. We adapt this idea into Time Sliced by pushing  $n \square \infty$ , making each slice infinitesimal. That enforces (4) at any t  $\le$ R(0), i.e., fully distributing progress within the deadline:



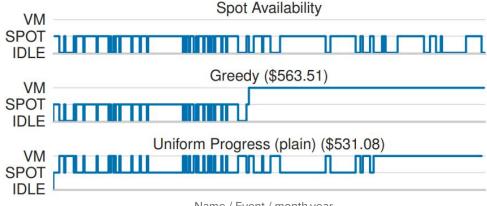
$$cp(t) \ge ep(t) = t\frac{C(0)}{R(0)}, \forall t \le R(0)$$

$$\tag{5}$$

#### **Uniform Progress Policy**

Uniform Progress (plain), that has the following rules:

- Uniform Progress: When the job is idle and cp(t)<ep(t), switch to on-demand and stay on it to catch up progress.
- 2. Taking Risks: Switch to spot whenever it is available (even when cp(t) < ep(t)). Stay on the spot until it is preempted (Exploitation Rule).

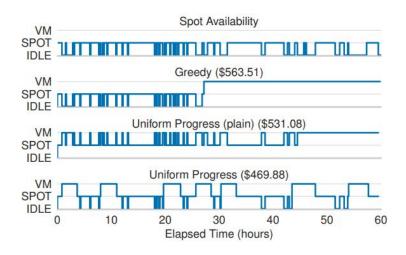


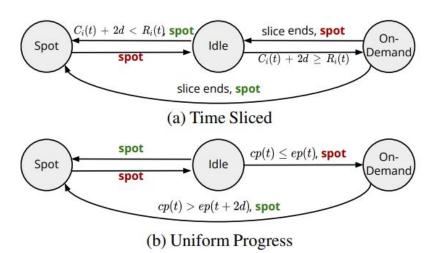


#### **Uniform Progress Policy**

**Uniform Progress policy:** 

Hysteresis: When the job is on on-demand, stay on it until cp(t)≥ep(t+2d).







#### Some other policies:

#### **Omniscient Policy:**

We define some binary variables:

- a(t) whether a spot instance is available at time t.
- s(t), v(t) indicate the policy choose to use a spot/ondemand instance at time t.
- x(t), y(t) represent changeover delays happen to a spot/on-demand instance at time t.

$$\min_{s(t),v(t)} \sum_{t=0}^{R(0)} [s(t)+v(t)k]$$
 (6)

(10)

$$\forall t, s(t) + v(t) \le 1, s(t) \le a(t) \tag{7}$$

$$\sum_{t=0}^{R(0)} [s(t) + v(t)] \ge d \sum_{t=1}^{R(0)} (x(t) + y(t)) + C(0)$$
(8)

$$\forall t, x(t) \le s(t), x(t) \le 1 - s(t-1), x(t) \ge s(t) - s(t-1)$$
 (9)

$$\forall t, y(t) \le v(t), y(t) \le 1 - v(t-1), y(t) \ge v(t) - v(t-1)$$



#### Some other policies:

#### **Partial Lookahead Omniscient Policy:**

It has limited foresight into future spot availability. By partitioning the deadline into n slices, it can only see complete availability within each slice.

#### **Next Spot Lifetime Oracle:**

Cloud providers offer an oracle o(t) that returns the lifetime of the next spot instance a job can acquire at the current time t.

#### **Extending to Multiple Instances:**

**Polarization Rule:** For a job requiring N > 1 instances, a policy should either use no instance or N instances at any time.

We now extend previous policies to multiple instances.

The action space for a policy is simplified to either: N spot, N on-demand, or no instances at any time t. The problem for multiple instances is now equivalent to the single instance, with the one-to-one mapping of states.



#### Relaxing Computation Time and Changeover Delay

**Computation time:** Given that no policy can predict C(0) precisely beforehand, we adjust the deadline guarantee of the policies to be best effort, ensuring a finish time within the original deadline plus the difference.

**Changeover delay:** We assume that no policies can foresee the exact changeover delay until its occurrence, though the average changeover delay is given. If a user would like to ensure the original deadline with a given maximum changeover delay, they can specify a new deadline.

#### **Time Spent on On-demand and Spot Instances**

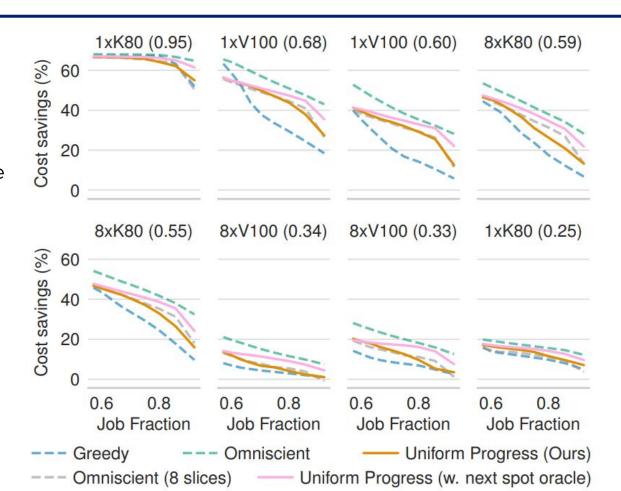
Policy	On-Demand (hours)	Spot (hours)	Spot Util.
On-Demand	$48.0 \pm 0.0$	$0.0 \pm 0.0$	0%
Greedy	$30.8 \pm 17.7$	$17.2 \pm 17.7$	63%
Uniform Progress	$25.1 \pm 15.3$	$22.9 \pm 15.4$	84%
Omniscient	20.7±15.5	$27.4 \pm 15.5$	100%

The results with a fixed job fraction C(0) R(0) = 0.8 on the 2-week traces, averaging across eight (instance type, availability zone) pairs, each with 300 randomly sampled traces.

#### **Various Deadlines**

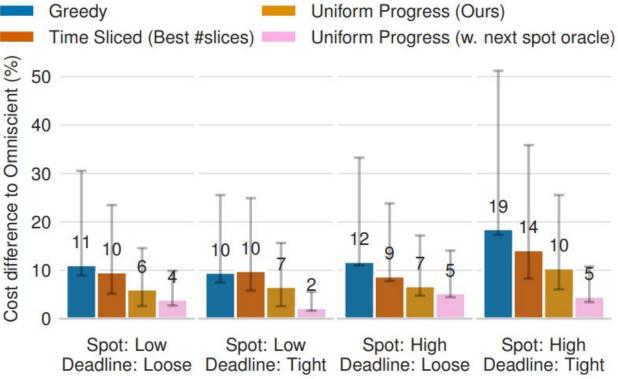
Uniform Progress achieves similar performance in most cases, despite lacking future knowledge.

This suggests any other policy without future knowledge may not yield much higher savings.



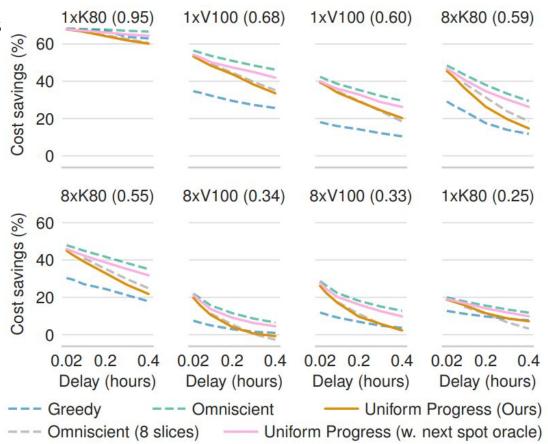


## Impact of Spot Fraction and Deadline



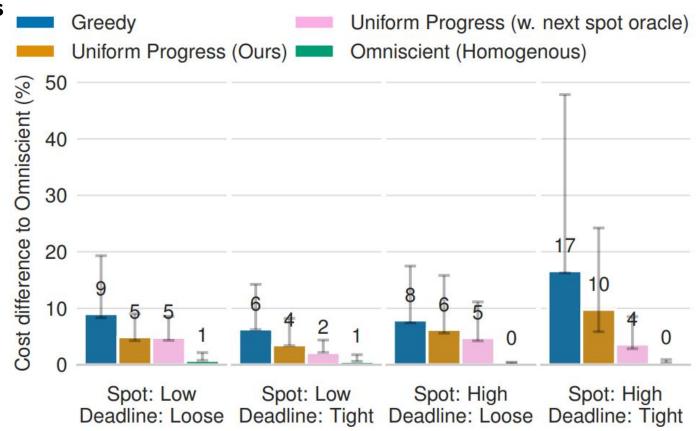


#### **Different Changeover Delays**



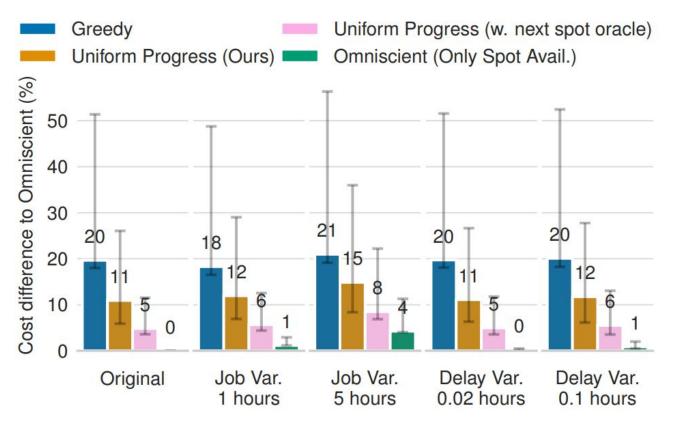


#### **Multiple Instances**





## **Relaxed Computation Time and Changeover Delay**





## **Practical Usage**

Workload	Location	<b>Instance Type</b>	Spot Price (Discount)	Computation	Deadlines	Changeover Delay
ML Training	AWS (us-west-2b)	p3.2xlarge	\$0.92/hr (-67%)	72 hrs	84/100 hrs	$4+5+9 \text{ mins} \approx 0.3 \text{ hrs}$
Bioinformatics	GCP (us-east1-b)	c3-highcpu-88	\$0.34/hr (-91%)	22.5 hrs	24/28  hrs	$2+1+8 \text{ mins} \approx 0.2 \text{ hrs}$
Data Analytics	AWS (us-east-1c)	r5.16xlarge	\$1.85/hr (-55%)	27 hrs	30/36  hrs	$4+1+7 \text{ mins} \approx 0.2 \text{ hrs}$

Table 4: Detailed characteristics of real workloads. Deadlines are derived from job fractions 90% and 75%, and changeover delays are the sum of VM provisioning, environment setup, and job recovery progress loss time.

		Uniform Progress			
Workload	On-demand	Tight DDL (0.9)	Loose DDL (0.75)		
ML	\$233.5	\$138.2 (-41%)	\$122.0 (-48%)		
Bioinfo	\$140.5	\$51.9 (-63%)	\$22.8 (-84%)		
Analytics	\$109.6	\$80.0 (-27%)	\$74.1 (-32%)		

Table 5: Cost savings for real workloads. Results of two deadlines are shown (job fractions 0.9 and 0.75).

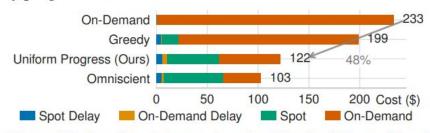


Figure 16: Cost breakdown of each policy for ML workload.

