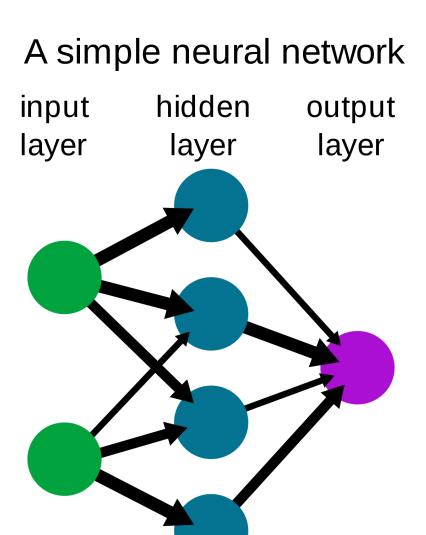
# VGG-16 Image Recognition

### Presented by:

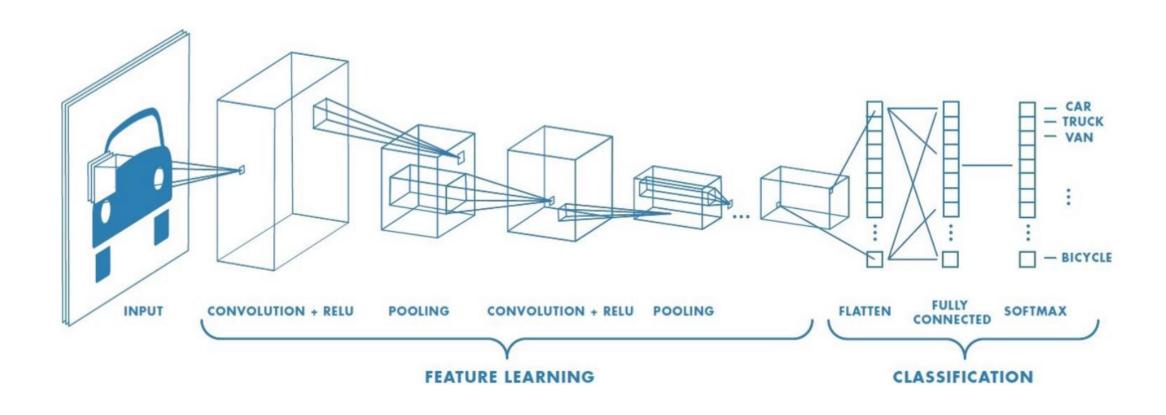
- Aswhin Rathore
- Lazarus S F
- Likitha
- Manudeep
- Sai Krishna Teja Damaraju

### Neural network

- A set of algorithms, modeled loosely after the human brain, that are designed to recognize patterns.
- It comprised of node layers, containing an input layer, one or more hidden layers, and an output layer.
- A Convolutional Neural Network(CNN), is a class of neural network that specializes in processing data that has a grid-like topology, such as an image.

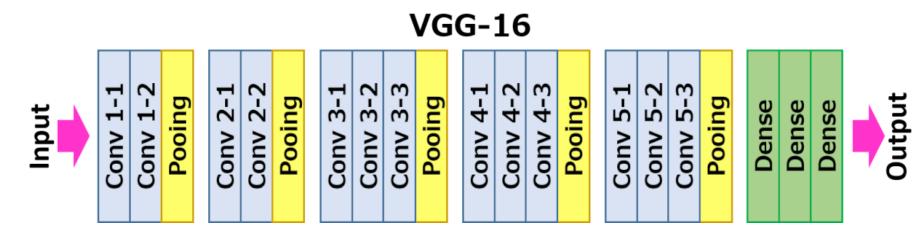


## CNN Architecture

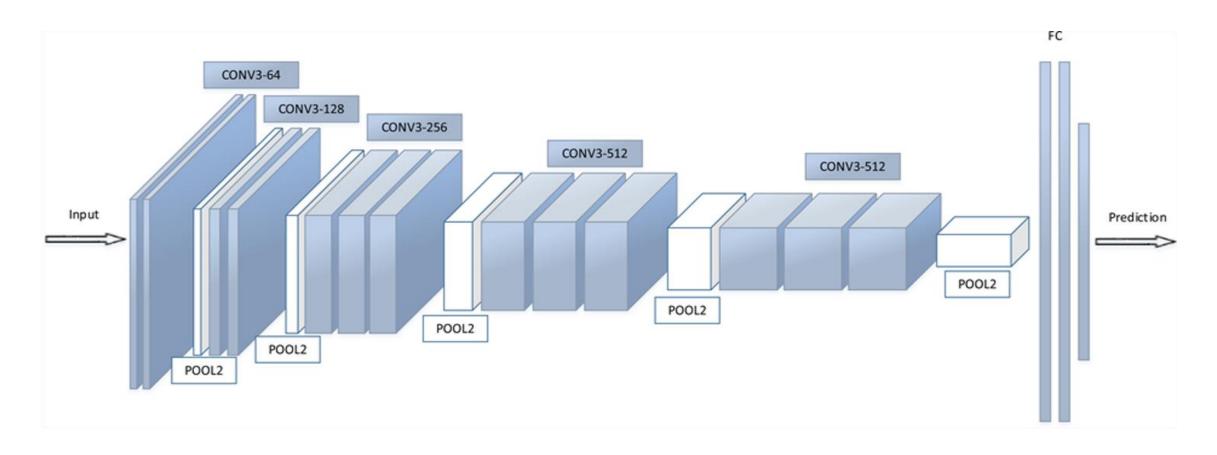


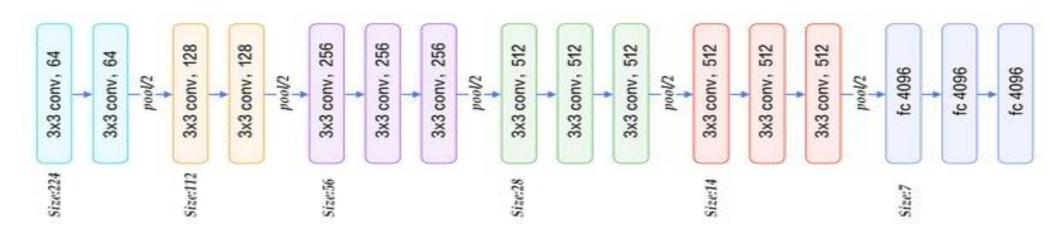
## VGG16 – What problem it solves

- VGG16 is a CNN for Large-scale image recognition.
- It is 16 layers deep.
- It has 13 convolutional layers followed by 3 fully connected layers. The width of the network starts at a small value of 64 and increases by a factor of 2 after every pooling layer. It achieves the top-5 accuracy of 92.3 % on ImageNet Dataset.









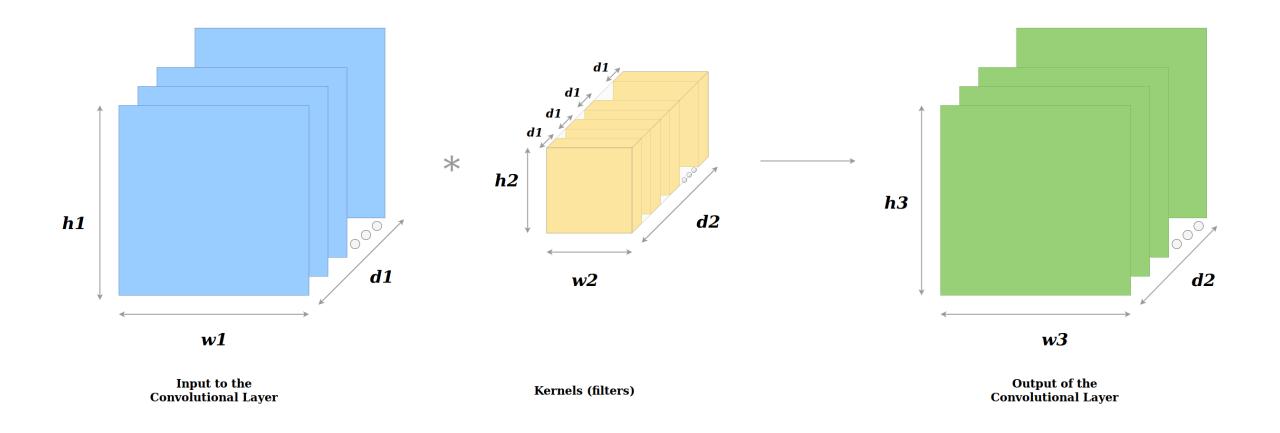
## Code: Layers

- 1. Convolution2D(inputs\_channel=1, num\_filters=64, kernel\_size=3, padding=1, stride=1, learning\_rate=Ir, name='conv1') + ReLu()
- 2. Convolution2D(inputs\_channel=64, num\_filters=64, kernel\_size=3, padding=1, stride=1, learning\_rate=Ir, name='conv2')
  + ReLu()
  - +Maxpooling2D(pool\_size=2, stride=2, name='maxpool1')
- 3. Convolution2D(inputs\_channel=64, num\_filters=128, kernel\_size=3, padding=1, stride=1, learning\_rate=lr,name='conv3') + Relu()
- 4. Convolution2D(inputs\_channel=128, num\_filters=128, kernel\_size=3, padding=1, stride=1, learning\_rate=lr, name='conv4') + Relu()
  - + Maxpooling2D(pool\_size=2, stride=2, name='maxpool2')
- 5. Convolution2D(inputs\_channel=128, num\_filters=256, kernel\_size=3, padding=1, stride=1, learning\_rate=Ir, name='conv5') + Relu()
- 6. Convolution2D(inputs\_channel=256, num\_filters=256, kernel\_size=3, padding=1, stride=1, learning\_rate=Ir, name='conv6')+ Relu()
- 7. Convolution2D(inputs\_channel=256, num\_filters=256, kernel\_size=3, padding=1, stride=1, learning\_rate=Ir, name='conv7') + Relu()
  - Maxpooling2D(pool\_size=2, stride=2, name='maxpool3')
- 8. Convolution2D(inputs\_channel=256, num\_filters=512, kernel\_size=3, padding=1, stride=1, learning\_rate=lr, name='conv8') + ReLu()
- 9. Convolution2D(inputs\_channel=512, num\_filters=512, kernel\_size=3, padding=1, stride=1, learning\_rate=lr, name='conv9') + ReLu()

## Code: Layers

```
10. Convolution2D(inputs_channel=512, num_filters=512, kernel_size=3, padding=1, stride=1, learning_rate=lr,
   name='conv10')
   + ReLu()
   +Maxpooling2D(pool size=2, stride=2, name='maxpool4')
11. Convolution2D(inputs channel=512, num filters=512, kernel size=3, padding=1, stride=1, learning rate=Ir,
    name='conv11')
   + ReLu()
12. Convolution2D(inputs channel=512, num filters=512, kernel size=3, padding=1, stride=1, learning rate=lr,
    name='conv12')
   + ReLu()
13. Convolution 2D (inputs channel = 512, num filters = 512, kernel size = 3, padding = 1, stride = 1, learning rate = lr,
    name='conv13')
   + ReLu()
   + Maxpooling2D(pool size=2, stride=2, name='maxpool5')
   +Flatten()
14. FullyConnected(num_inputs=2048, num_outputs=4096, learning_rate=lr, name='fc1')
  + ReLu()
15. FullyConnected(num_inputs=4096, num_outputs=4096, learning_rate=lr, name='fc2')
   + ReLu()
16. FullyConnected(num_inputs=4096, num_outputs=10, learning_rate=lr, name='fc3')
   + Softmax()
```

## Convolution layer

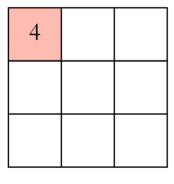


## Convolution layer

1	1	1	О	0
0	1	1	1	О
0	О	1	1	1
0	0	1	1	О
0	1	1	0	0

1	0	1
0	1	0
1	0	1

1x1	1x0	1x1	0	0
0x0	1x1	1x0	1	0
0x1	0x0	1x1	1	1
0	0	1	1	0
0	1	1	0	0



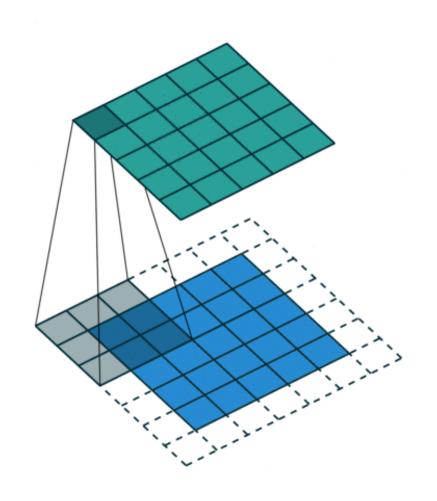
Input

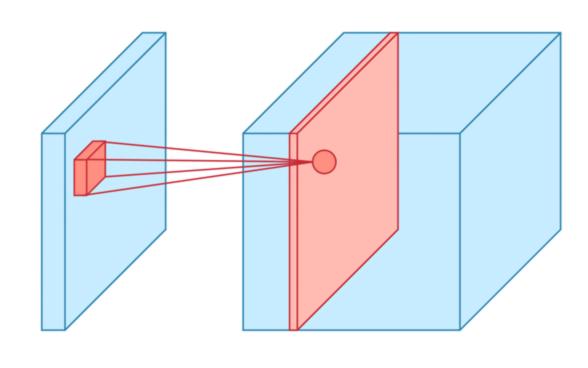
Filter / Kernel

Input x Filter

Feature Map

## Convolution layer – Calculation of output using 2D convolution

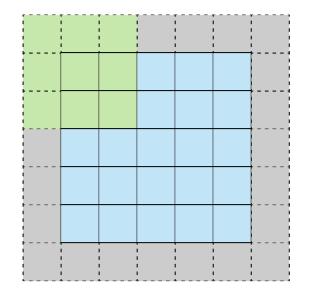


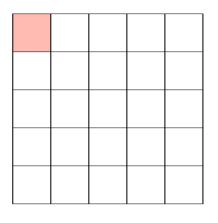


## Convolution layer - Calculation of output using 2D convolution

1x1	1x0	1x1	0	0
0x0	1x1	1x0	1	0
0 <b>x</b> 1	0x0	1x1	1	1
0	0	1	1	0
0	1	1	0	0

4	



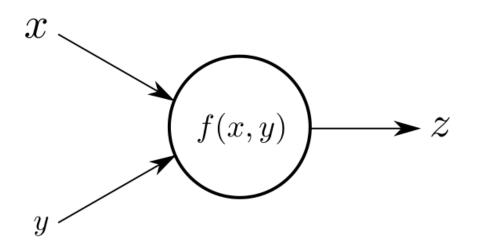


Stride 1 with Padding

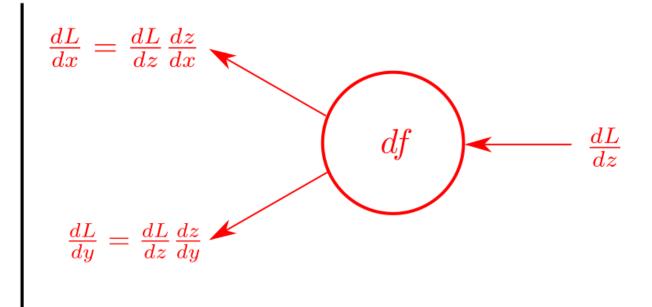
Feature Map

## Convolution layer – Back Propogation

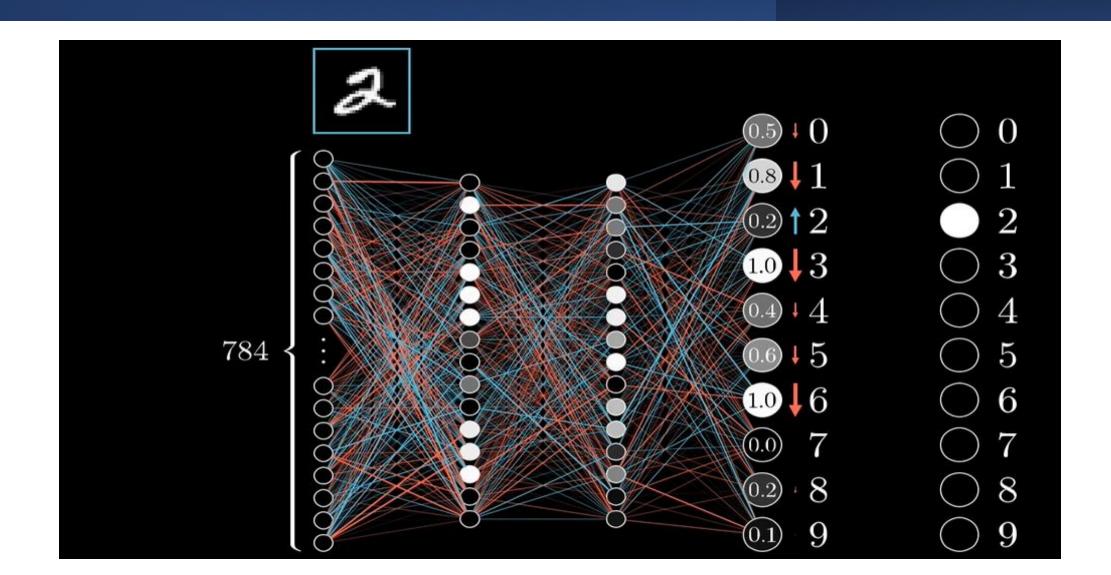
### **Forwardpass**



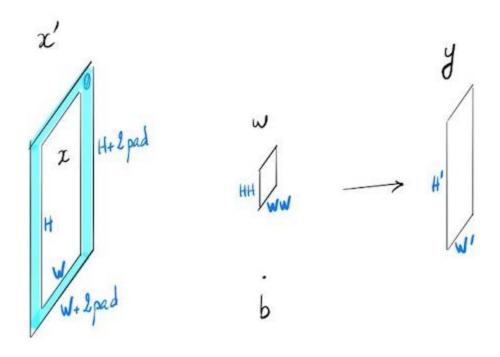
### **Backwardpass**



## Convolution layer – Loss function



## Convolution layer – Back Propogation



$$\forall (i,j) \in [1,H'] \times [1,W']$$

(1) 
$$y_{ij} = \left(\sum_{k=1}^{HH} \sum_{l=1}^{WW} w_{kl} x'_{si+k-1, sj+l-1}\right) + b$$

Gradient of our cost function L with respect to y:

$$dy = \left(\frac{\partial L}{\partial y_{ij}}\right)$$

We are looking for

$$dx = \frac{\partial L}{\partial x}, dw = \frac{\partial L}{\partial w}, db = \frac{\partial L}{\partial b}$$

$$db = \frac{\partial L}{\partial y} \cdot \frac{\partial y}{\partial b} = dy \cdot \frac{\partial y}{\partial b}$$

## Convolution layer – Back Propogation

$$dw = \frac{\partial L}{\partial y} \cdot \frac{\partial y}{\partial w} = dy \cdot \frac{\partial y}{\partial w}$$

$$\frac{\partial y}{\partial w} = \begin{bmatrix} \frac{\partial y_1}{\partial w_1} & \frac{\partial y_1}{\partial w_2} \\ \frac{\partial y_2}{\partial w_1} & \frac{\partial y_2}{\partial w_2} \\ \frac{\partial y_3}{\partial w_1} & \frac{\partial y_3}{\partial w_2} \end{bmatrix}$$

$$dw_1 = x_1 dy_1 + x_2 dy_2 + x_3 dy_3$$
  
$$dw_2 = x_2 dy_1 + x_3 dy_2 + x_4 dy_3$$

$$dx = \frac{\partial L}{\partial y} \cdot \frac{\partial y}{\partial x} = dy^T \cdot \frac{\partial y}{\partial x}$$

$$\frac{\partial y}{\partial x} = \begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \frac{\partial y_1}{\partial x_2} & \frac{\partial y_1}{\partial x_3} & \frac{\partial y_1}{\partial x_4} \\ \frac{\partial y_2}{\partial x_1} & \frac{\partial y_2}{\partial x_2} & \frac{\partial y_2}{\partial x_3} & \frac{\partial y_2}{\partial x_4} \\ \frac{\partial y_3}{\partial x_1} & \frac{\partial y_3}{\partial x_2} & \frac{\partial y_3}{\partial x_3} & \frac{\partial y_3}{\partial x_4} \end{bmatrix}$$

$$dx_1 = w_1 dy_1 dx_2 = w_2 dy_1 + w_1 dy_2 dx_3 = w_2 dy_2 + w_1 dy_3 dx_4 = w_2 dy_3$$

$$db = \sum_{i=1}^{3} \sum_{j=1}^{3} dy_{ij}$$

$$dw = \begin{bmatrix} x_{11} & x_{12} & x_{13} & x_{14} \\ x_{21} & x_{22} & x_{23} & x_{24} \\ x_{31} & x_{32} & x_{33} & x_{34} \\ x_{41} & x_{42} & x_{43} & x_{44} \end{bmatrix} * \begin{bmatrix} dy_{11} & dy_{12} & dy_{13} \\ dy_{21} & dy_{22} & dy_{23} \\ dy_{31} & dy_{32} & dy_{33} \end{bmatrix} = x * dy$$

$$\frac{\partial y}{\partial x} = \begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \frac{\partial y_1}{\partial x_2} & \frac{\partial y_1}{\partial x_3} & \frac{\partial y_1}{\partial x_4} \\ \frac{\partial y_2}{\partial x_1} & \frac{\partial y_2}{\partial x_2} & \frac{\partial y_2}{\partial x_3} & \frac{\partial y_2}{\partial x_4} \\ \frac{\partial y_3}{\partial x_1} & \frac{\partial y_3}{\partial x_2} & \frac{\partial y_3}{\partial x_3} & \frac{\partial y_3}{\partial x_4} \end{bmatrix}$$

$$dx_1 = w_1 dy_1$$

$$dx_2 = w_2 dy_1 + w_1 dy_2$$

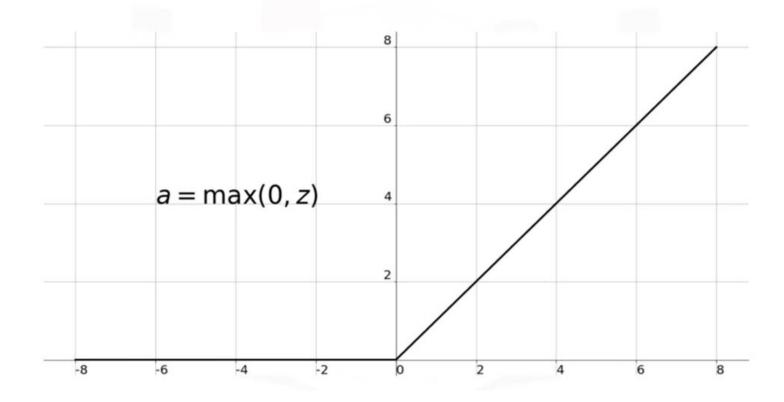
$$dx_3 = w_2 dy_2 + w_1 dy_3$$

$$dx = \begin{bmatrix} x_{11} & x_{12} & x_{13} & x_{14} \\ x_{21} & x_{22} & x_{23} & x_{24} \\ x_{31} & x_{32} & x_{33} & x_{34} \\ x_{41} & x_{42} & x_{43} & x_{44} \end{bmatrix} * \begin{bmatrix} dy_{11} & dy_{12} & dy_{13} \\ dy_{21} & dy_{22} & dy_{23} \\ dy_{31} & dy_{32} & dy_{33} \end{bmatrix} = x * dy$$

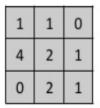
$$dx = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & dy_{11} & dy_{12} & dy_{13} & 0 \\ 0 & dy_{21} & dy_{22} & dy_{23} & 0 \\ 0 & dy_{31} & dy_{32} & dy_{33} & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} * \begin{bmatrix} w_{22} & w_{21} \\ w_{12} & w_{11} \end{bmatrix} = dy_2 0 * w'$$

## Convolution layer – Activation function

### **ReLU Function**

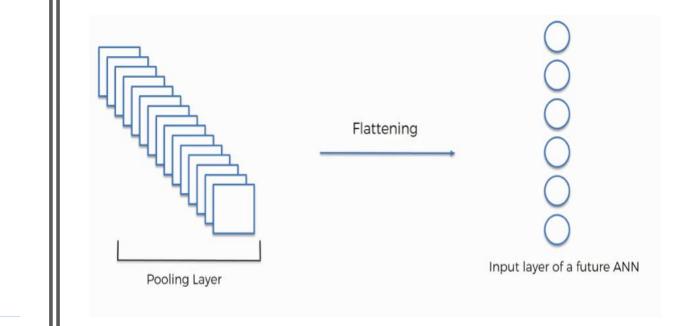


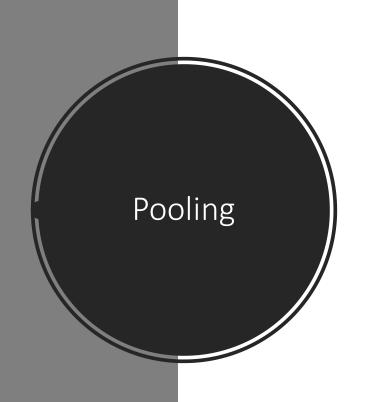
# Convolution layer – Flattening

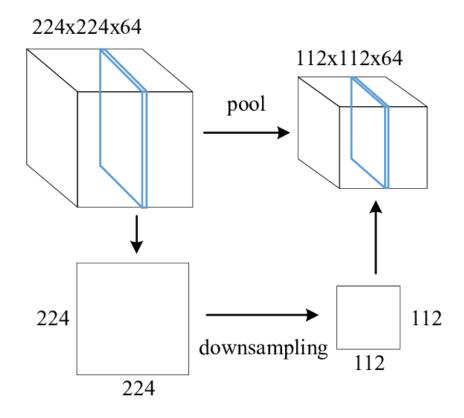


Pooled Feature Map

Flattening







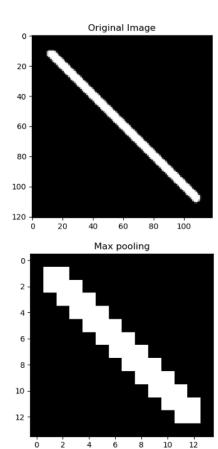
- to "accumulate" features from maps generated by convolving a filter over an image.
- to progressively reduce the spatial size of the representation to reduce the number of parameters and computation in the network.
- it reduces the computational cost by reducing the number of parameters to learn

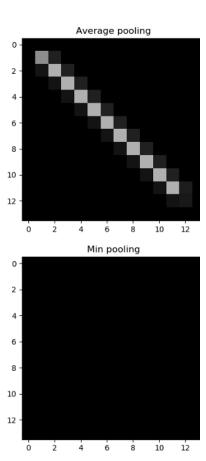
## Pooling

Max pooling selects the brighter pixels from the image. It is useful when the background of the image is dark and we are interested in only the lighter pixels of the image.

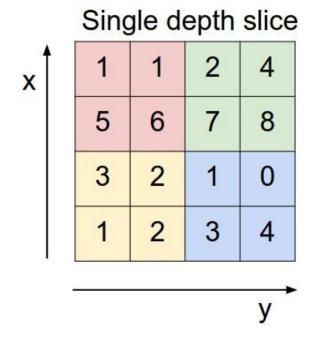
Similarly, **min pooling** is used in the other way round.

Average pooling method smooths out the image and hence the sharp features may not be identified when this pooling method is used.





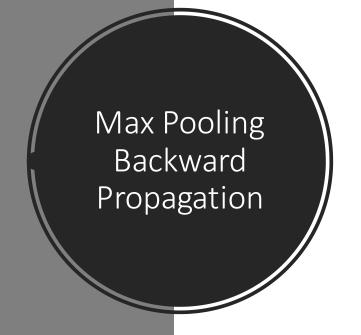


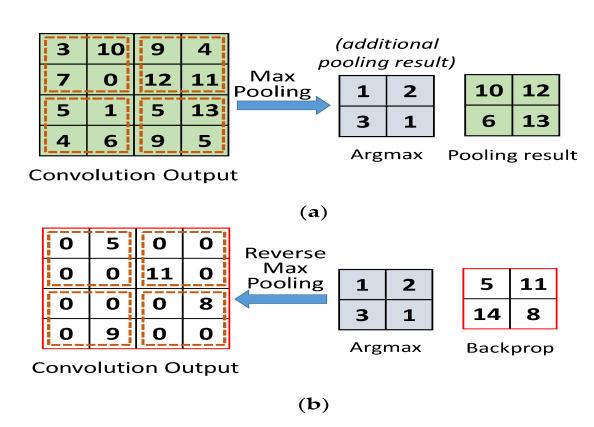


max pool with 2x2 filters and stride 2

6	8
3	4

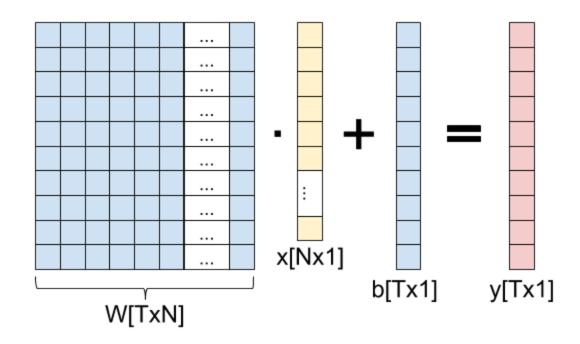
• Maximum pooling, or max pooling, is a pooling operation that calculates the maximum, or largest, value in each patch of each feature map.





- To keep track of the "winning unit" its index noted during the forward pass and used for gradient routing during backpropagation.
- the error is just assigned to where it comes from the "winning unit" because other
  units in the previous layer's pooling blocks did not contribute to it hence all the other
  assigned values of zero.

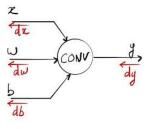
Fully
Connected
layer
Forward
Propagation



- After feature extraction we need to **classify the data into various classes**, this can be done using a fully connected (FC) neural network.
- Fully connected layers connect every neuron in one layer to every neuron in another layer.

### Fully Connected layer Backward Propagation

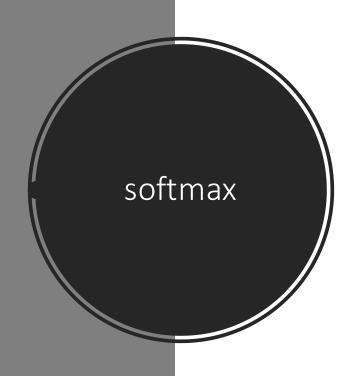
- We are calculating gradients: dw, dx, db
- Using these gradients, we are updating weights and bias.



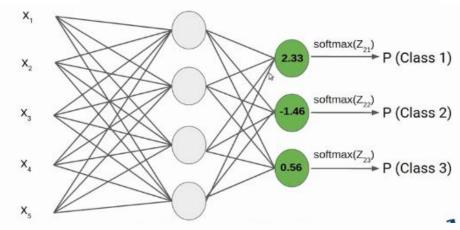
$$egin{array}{l} rac{\partial L}{\partial w_{11}} = dout_{y1}.x1 \ rac{\partial L}{\partial w_{12}} = dout_{y1}.x2 \ rac{\partial L}{\partial w_{13}} = dout_{y1}.x3 \end{array}$$

$$egin{aligned} rac{\partial L}{\partial x_1} &= dout_{y1}.w11 \ rac{\partial L}{\partial x_2} &= dout_{y1}.w12 \ rac{\partial L}{\partial x_3} &= dout_{y1}.w13 \end{aligned}$$

$$rac{\partial L}{\partial b_1} = dout_{y1}$$



$$softmax(z_i) = \frac{exp(z_i)}{\sum_{j} exp(z_j)}$$



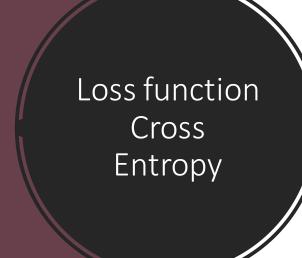
#### Example:

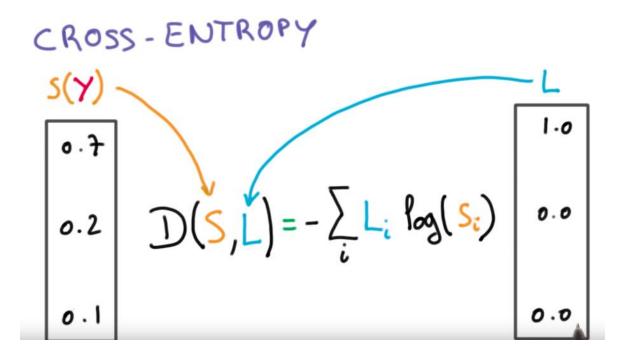
2.33 P (Class 1) = 
$$\frac{\exp(2.33)}{\exp(2.33) + \exp(-1.46) + \exp(0.56)}$$
 = 0.83827314

-1.46 P (Class 2) = 
$$\frac{\exp(-1.46)}{\exp(2.33) + \exp(-1.46) + \exp(0.56)}$$
 = 0.01894129

18

0.56 P (Class 3) = 
$$\frac{\exp(0.56)}{\exp(2.33) + \exp(-1.46) + \exp(0.56)}$$
 = 0.14278557





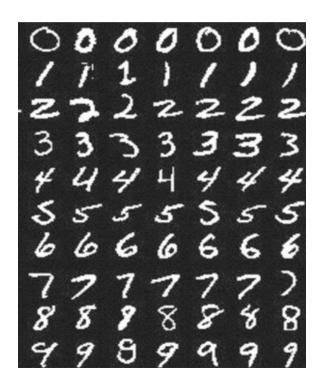
- Cross-entropy loss measures the performance of a classification model whose output is a probability value between 0 and 1.
- predicting a probability of .012 when the actual observation label is 1 would be bad and result in a high loss value.

## 1,2,3 ...Let's get going...!

- Dataset details
- Feature Engineering
- Training and testing parameters
- Results
- Scope and plan for Optimization
- Drawbacks
- Conclusion

### Dataset details

- MNIST Numbered (0-9) images dataset
- Image characteristic: Gray scale\*\*
- Total number of images: 60000
- Output classes: 10 (0-9)
- 6000 images of each class



<sup>\*\*</sup> VGG16 is known to work great for RGB images as well

## Feature Engineering

- **Zooming** into the images 5 Max-Pooling layers.
  - 28x28 images to 64x64 images
- Shuffle the dataset (training images and training labels)
  - Make sure every batch will have all variety of images.

### Normalization datasets

 Alter numeric columns of the dataset to a common scale, without distorting differences in the ranges of values or losing information so that the learning and prediction will not be biased.

### One-Hot encode of labels

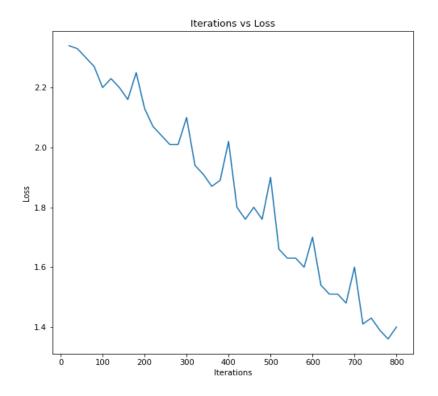
• 6 -> [0,0,0,0,0,0,1,0,0,0]

## Training parameters and Model run

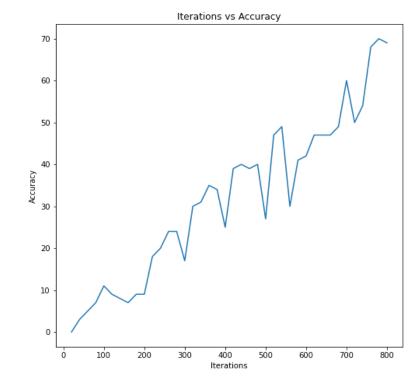
- model.train(X\_train, y\_train, batchSize, epochs, 'MagicNumbersWB.pkl')
  - Train images dataset size: 100 (shuffled dataset containing all varieties of data)\*
  - BatchSize: 20 \*\*
  - Epochs: 8 \*\*
  - MagicNumbersWB.pkl??
  - Load calculated weights and biases to MagicNumbersWB.pkl.
- model.run(X\_test, y\_test, 'MagicNumbersWB.pkl')
  - Load calculated weights and biases to all layers
  - How do we load weights and biases ??
    - wb = read from 'MagicNumbersWB.pkl' (pickle.load)
    - layers[x].loadWeights(wb[x]['layerX.weights'], wb[x][layerX.bias'])
  - Forward pass on all layers.
  - Compare output of softMax (prediction with label)

<sup>\*\*</sup> small dataset size and epochs due to time constraints, will be running better dataset sizes and epochs next week

## Results



Implemented VGG16 Net
Train accuracy: ~70%
Test accuracy: 60 %



## Scope for Optimization

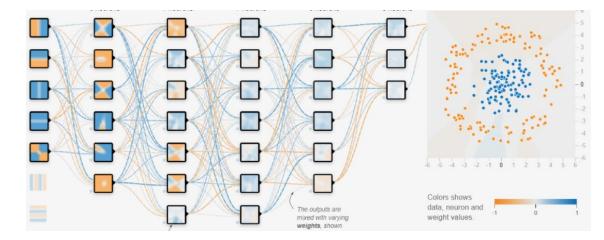
- Drop out
- ADAM technique
- Data Augmentation



## **Drop Out**

### **Neural Network**

Representaion capacity **More Neurons** & Deeper networks



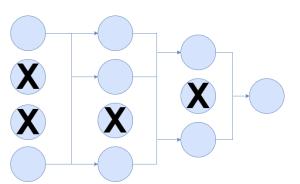
### **Drop out**

Drop out networks X





- 0.5 probability for every neuron (to be dropped) guarantees a fresh network every run.
- Neural network with drop out technique, is possibly an average of all possible different neuron connection combinations.

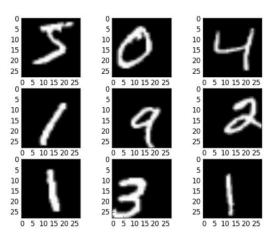


## ADAM Optimization

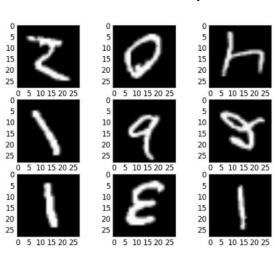
- Adaptive Momentum Estimate
  - Regular gradient descent maintains a single learning rate (termed alpha) for all weight updates and the learning rate does not change during training.
  - ADAM computes individual adaptive learning rates for different parameters from estimates of first and second moments of the gradients.
- ADAM is known to be a combination of :
  - Adaptive Gradient Algorithm (AdaGrad): per-parameter learning rate to improve performance on problems with sparse gradients (natural language and computer vision problems)
  - Root Mean Square Propagation (RMSProp): per-parameter learning rates that are adapted based on the average of recent magnitudes of the gradients for the weight. This means the algorithm does well on online and other noisy patterns.

<u>Source</u>: https://machinelearningmastery.com/adam-optimization-from-scratch/

#### Random Shift



#### Random Flip



## Data Augmentation

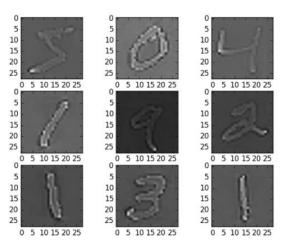
Data augmentation ??

Imagine all the things you could do in photoshop with a picture!

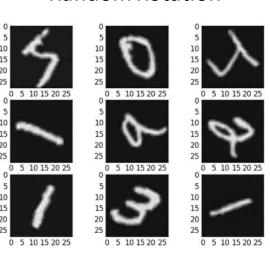
- Flipping (both vertically and horizontally)
- Rotating
- Zooming and scaling

and more...

#### **Random Whitening**



#### **Random Rotation**



```
INPUT: [224x224x3] memory: 224*224*3=150K weights: 0
CONV3-64: [224x224x64] memory: 224*224*64=3.2M weights: (3*3*3)*64=1,728
CONV3-64: [224x224x64] memory: 224*224*64=3.2M weights: (3*3*64)*64=36,864
POOL2: [112x112x64] memory: 112*112*64=800K weights: 0
CONV3-128: [112x112x128] memory: 112*112*128=1.6M weights: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128] memory: 112*112*128=1.6M weights: (3*3*128)*128 = 147,456
POOL2: [56x56x128] memory: 56*56*128=400K weights: 0
CONV3-256: [56x56x256] memory: 56*56*256=800K weights: (3*3*128)*256 = 294,912
CONV3-256: [56x56x256] memory: 56*56*256=800K weights: (3*3*256)*256 = 589,824
CONV3-256: [56x56x256] memory: 56*56*256=800K weights: (3*3*256)*256=589,824
POOL2: [28x28x256] memory: 28*28*256=200K weights: 0
CONV3-512: [28x28x512] memory: 28*28*512=400K weights: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512] memory: 28*28*512=400K weights: (3*3*512)*512=2,359,296
CONV3-512: [28\times28\times512] memory: 28*28*512=400K weights: (3*3*512)*512=2,359,296
POOL2: [14x14x512] memory: 14*14*512=100K weights: 0
CONV3-512: [14x14x512] memory: 14*14*512=100K weights: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K weights: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K weights: (3*3*512)*512 = 2,359,296
POOL2: [7x7x512] memory: 7*7*512=25K weights: 0
FC: [1x1x4096] memory: 4096 weights: 7*7*512*4096 = 102,760,448
FC: [1x1x4096] memory: 4096 weights: 4096*4096 = 16,777,216
FC: [1 \times 1 \times 1000] memory: 1000 weights: 4096*1000 = 4,096,000
TOTAL memory: 24M * 4 bytes ~= 93MB / image (only forward! ~*2 for bwd)
```

TOTAL memory: 24M \* 4 bytes ~= 95Mb / Image (only forward: ~\*2 for by

### Drawbacks

### Major drawbacks with VGGNet:

- It is *very slow* to train.
- The network architecture weights themselves are quite large (concerning disk/bandwidth).
- Due to its depth and number of fully-connected nodes, VGG16 is over 533MB, making the deployment a tiring task

### Conclusion

• Implemented VGG16 Net

• Train accuracy: 70 %

• Test accuracy: 60 %

### Next steps:

- Run the training for 10000 images and more epochs
- Implement possible optimizations

Thank you!
Open to Questions