

# Expert Systems

What are they

Why are they Useful

Architecture

Forward Chaining

Backward Chaining

Shells

Example

# What is an Expert System?

*Software that stores knowledge from a human expert on a particular area and applies that knowledge to solve problems in that domain similar to how the human expert would do it.*

The term “Expert System” is also used for systems that store knowledge that is not necessarily expert – but that is structured and used in a similar way ..

The term “Knowledge-Based System” is also used

## Many Applications in:

Business

Medicine

Manufacturing

Military

Banking

etc

# Why are they Useful ?

1. They are considered a “strong” method of searching for solutions

In sharp contrast to “weak” methods which search through many alternatives using a heuristic evaluation function applied to many of all the possible alternatives

An important lesson learned by AI researchers –  
“Knowledge gets to the answer much more quickly than sophisticated search methods”

Example: Find a restaurant that serves “paella”

Exhaustive :	Call every restaurant in town
Heuristic – weak	Call every spanish restaurant in town
Expert – strong	In Tampa, the Columbia serves paella

## **Why are they Useful ?**

2. They can preserve valuable human expertise

Usually this expertise is expensive and difficult to obtain

# A Little History

late 1950's - - General Problem Solver (GPS) Newell and Simon

Emphasized the use of powerful search methods that could be applied to a large set of problems

Use of **rules** to represent human knowledge and to reason

Based on the cognitive model of a **long term memory** where rules are stored and **short term memory** where temporary knowledge is stored while solving a specific problem

Use of a **cognitive processor** to select and activate the rules depending on specific input data

late 1960's - - Use of Specific Domain Knowledge to Solve Problems

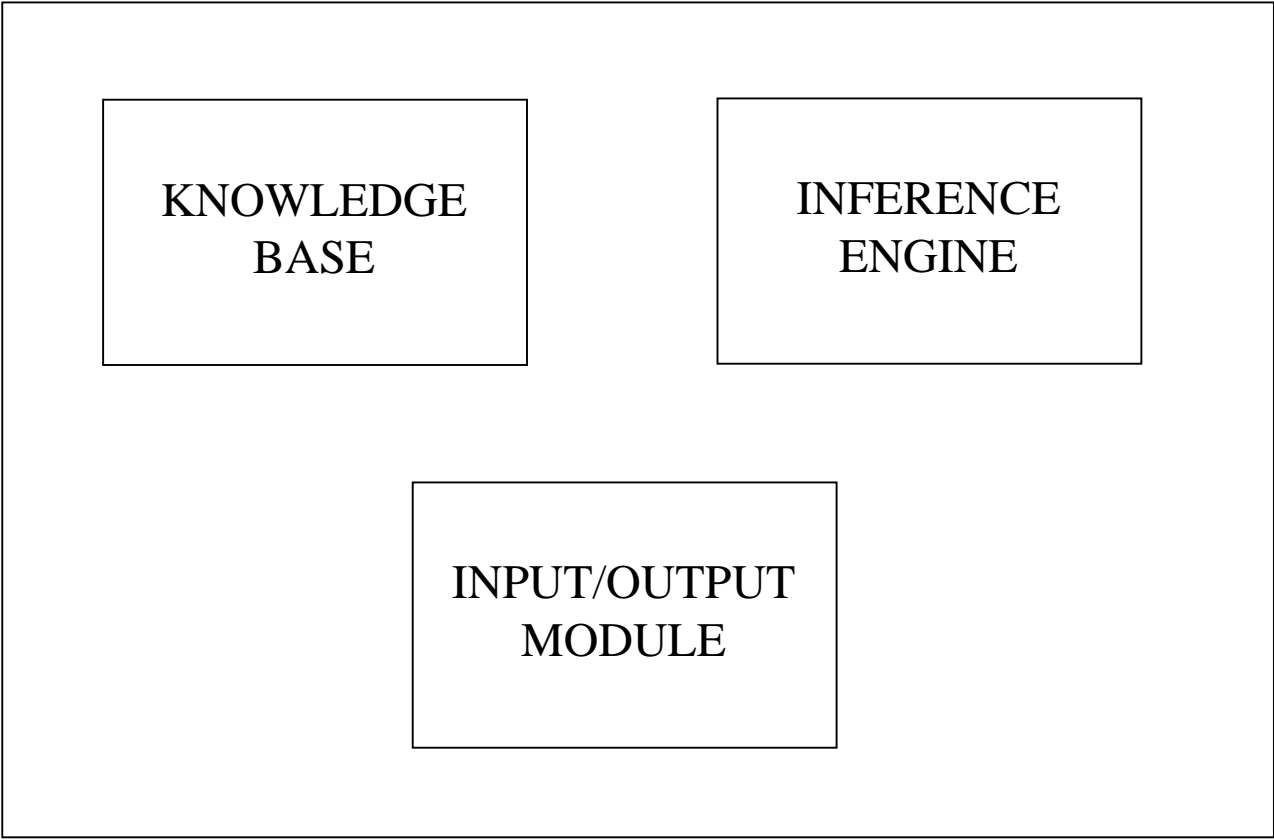
DENDRAL – in chemistry

MYCIN – in medicine

PROSPECTOR – for mineral exploration

# Separation of Knowledge from Its Application

## EXPERT SYSTEM



*Compare this architecture to the traditional approach of designing and implementing an algorithm to solve a problem*

# **Most Common Types of Inference Engines**

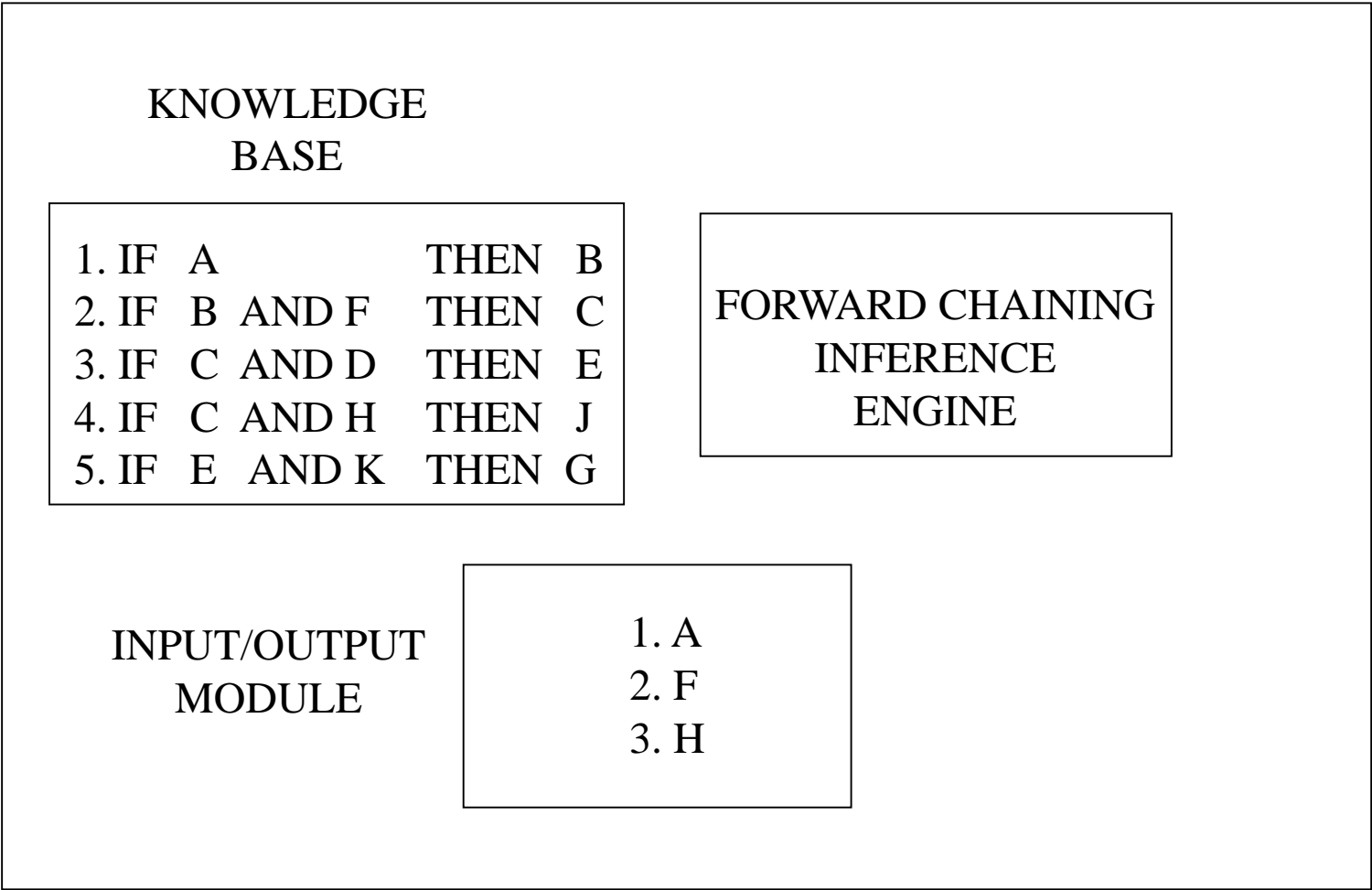
## **Used in Rule-Based Systems**

**FORWARD CHAINING**

**BACKWARD CHAINING**

# Forward Chaining

## EXPERT SYSTEM



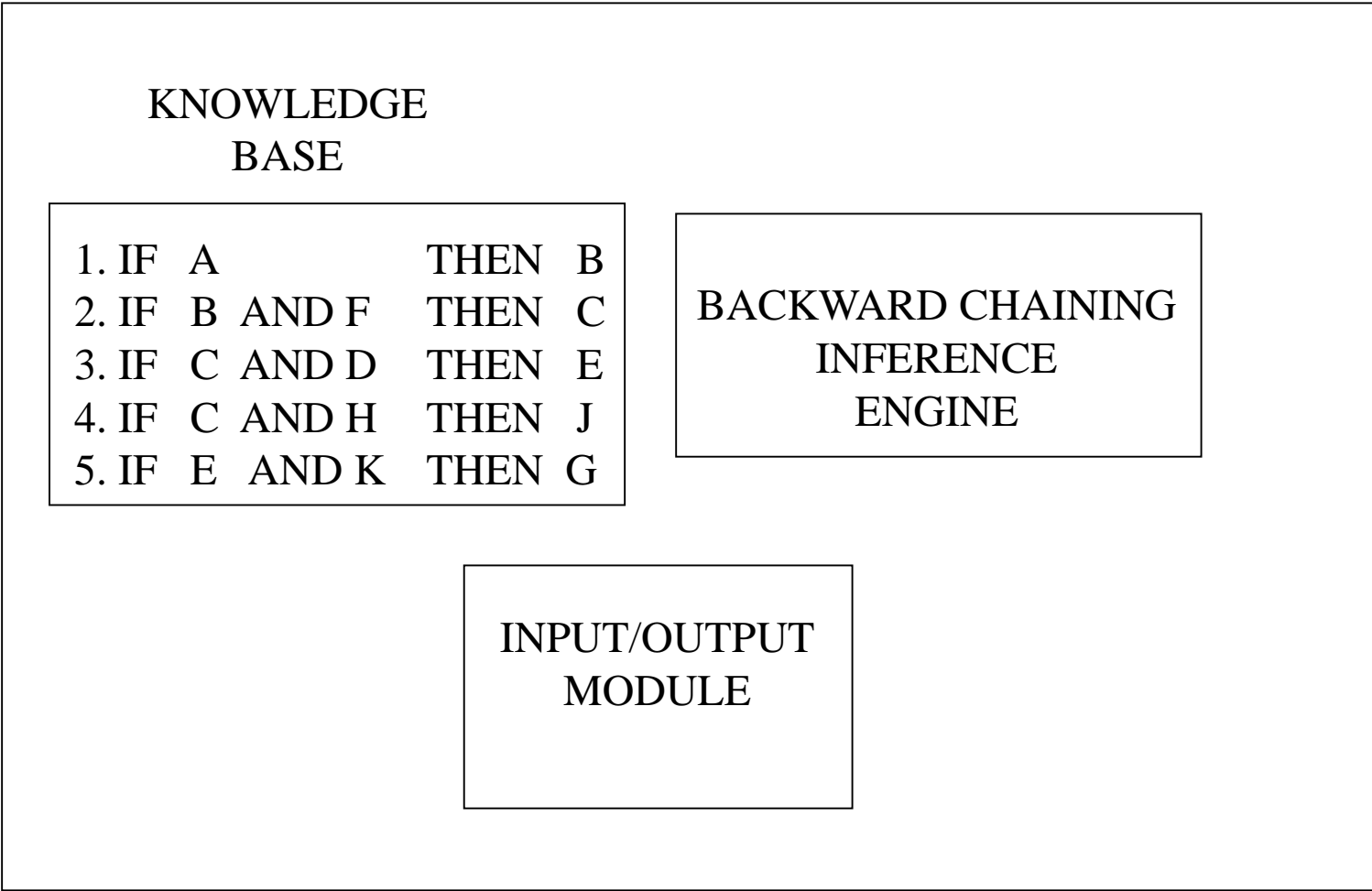
Forward Chaining Inference Engine works from known facts to conclude:

B, C, J ARE TRUE



# Backward Chaining

## EXPERT SYSTEM



Backward Chaining Inference Engine tries to prove that a specific conclusion (or goal) is true:

Is G true ?

It will ask (via the I/O module) if A is true. If so then it will ask if F is true. If so, then it will ask if D is true. If so it will ask if K is true.

# Explanation Capabilities

*Why is it advantageous to be able to explain conclusions reached by expert systems?*

KNOWLEDGE  
BASE

1. IF	A	THEN	B
2. IF	B AND F	THEN	C
3. IF	C AND D	THEN	E
4. IF	C AND H	THEN	J
5. IF	E AND K	THEN	G

Why is G true in this particular instance?

**Answer:** Because E is true and K is true

Continue:

Why is E true in this particular instance?

**Answer:** Because C is true and D is true

Continue:

Why is C true in this particular instance?

**Answer:** Because B is true and F is true

Continue:

Why is B true in this particular instance?

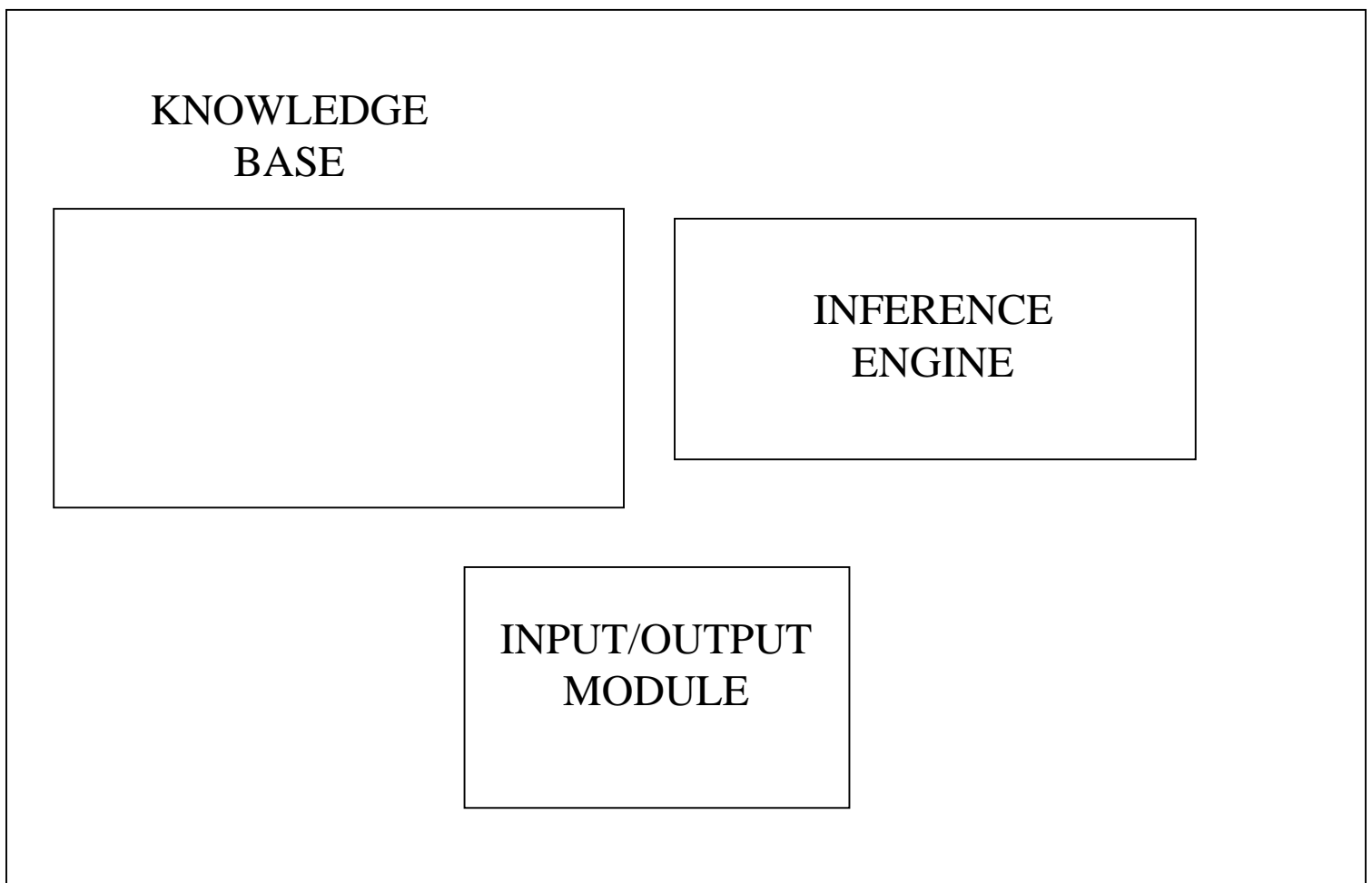
**Answer:** Because A is true

*What makes it possible to explain why a specific conclusion was reached?*

# Expert Systems Shells

A software tool to build expert systems

- Includes
1. A language to capture the knowledge
  2. An inference engine to reason
  3. A way to build a GUI – may include explanation feature
  4. A deployment mechanism for the system



# A Simple Set of Rules

if     has\_sauce = yes and  
       sauce = spicy  
then  wine\_body = full

if     has\_sauce = no and  
       main\_component = fish  
then  wine\_body = light

if     wine\_body = light and  
       preferred\_color = white  
then  wine = riesling

if     wine\_body = full and  
       preferred\_color = red  
then  wine = cabernet\_sauvignon

if     wine\_body = light and  
       preferred\_color = red  
then  wine = zinfandel

if     has\_sauce = yes and  
       main\_component = pasta  
then  wine = chianti

## **NOTICE:**

*Syntax*

*How Knowledge is represented*

*implied objects*

*limitations*

# Backward Chaining

## With the Set of Rules

Work **from the goal** of finding a wine **to the data** needed

goal → data

goal = wine

if has\_sauce = yes and  
sauce = spicy  
then wine\_body = full

### NOTICE:

*How search is done*

if has\_sauce = no and  
main\_component = fish  
then wine\_body = light

*Need for pattern matching*

if wine\_body = light and  
preferred\_color = white  
then wine = riesling

*When to ask user questions*

*When to Stop Searching*

if wine\_body = full and  
preferred\_color = red  
then wine = cabernet\_sauvignon

if wine\_body = light and  
preferred\_color = red  
then wine = zinfandel

if has\_sauce = yes and  
main\_component = pasta  
then wine = chianti

# Forward Chaining

## With the Set of Rules

Work from the data given to the conclusions that can be reached

data → conclusions

main\_component = fish  
preferred\_color = white

has\_sauce = no

if has\_sauce = yes and  
sauce = spicy  
then wine\_body = full

if has\_sauce = no and  
main\_component = fish  
then wine\_body = light

if wine\_body = light and  
preferred\_color = white  
then wine = riesling

if wine\_body = full and  
preferred\_color = red  
then wine = cabernet\_sauvignon

if wine\_body = light and  
preferred\_color = red  
then wine = zinfandel

if has\_sauce = yes and  
main\_component = pasta  
then wine = chianti

### NOTICE:

*How search is done*

*Need for pattern matching*

*No questions asked*

*When to Stop Searching*

# **Some Observations on Forward and Backward Chaining**

Backward Chaining is used when:

A goal has been established and you need to find if the data can show that the goal is true

Most problems involving “diagnosis” are backward chaining – example: a physician does not collect “all possible” data from you but only the data needed to support a diagnosis

Forward Chaining is used when:

All of the relevant data is available beforehand  
example: Theorem proving using resolution – you know a group of facts are true but you don’t know what can be proven from them

# Contrast Between Rule-Based Expert Systems and Conventional (Algorithmic) Programs

## Rule-Based System

program consists of rules plus inference engine

knowledge about the problem is in the rules

inference engine selects which rules to apply

rules can be added or deleted anywhere in the list of rules

## Algorithmic Program

program consists of statements in a specified sequence

knowledge about the problem is in the statements AND in which sequence the statements are placed

programmer specifies sequence explicitly

adding or deleting statements must carefully consider their position in the sequence



# **Create a Set of Rules for a simple problem domain**

Select a Domain

Select an Expert

Create the rules

Test them

# Create a Set of Rules for a simple problem domain

1.    If                    X has hair  
      Then                X-species = mammal
  
2.    If                    X gives milk  
      Then                X-species = mammal
  
3.    If                    X has feathers  
      Then                X-species = bird
  
4.    If                    X flyes AND  
                              X lays eggs  
      Then                X-species = bird
  
5.    If                    X-species = mammal AND  
                              X eats meat  
      Then                X-mammal-type = carnivore
  
6.    If                    X-species = mammal AND  
                              X has pointed teeth AND  
                              X has claws AND  
                              X has forward-pointed eyes  
      Then                X-mammal-type = carnivore
  
7.    If                    X-species = mammal AND  
                              X has hooves  
      Then                X-mammal-type = ungulate

# Create a Set of Rules for a simple problem domain

8. If X-species = mammal AND  
X chews cud  
Then X-mammal-type = ungulate
9. If X-mammal-type = carnivore AND  
X has tawny color AND  
X has dark spots  
Then X = cheetah
10. If X-mammal-type = carnivore AND  
X has tawny color AND  
X has black strips  
Then X = tiger
11. If X-mammal-type = ungulate AND  
X has long legs AND  
X has long neck AND  
X has tawny color AND  
X has dark spots  
Then X = giraffe
12. If X-mammal-type = ungulate AND  
X has white color AND  
X has black stripes  
Then X = zebra

## Create a Set of Rules for a simple problem domain

13. If                   X-species = bird AND  
                          X does not fly AND  
                          X has long legs AND  
                          X has long neck AND  
                          X is black and white  
Then                   X = ostrich

14. If                   X-species = bird AND  
                          X does not fly AND  
                          X swims AND  
                          X is black and white  
Then                   X = penguin

15. If                   X-species = bird AND  
                          X is a good flyer  
Then                   X = albatross