

## § 10.4 旋转曲面的面积

一. 微元法

略

面积, 体积,

弧长

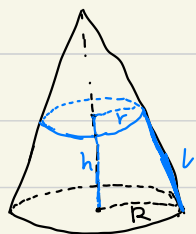
可求长曲线. 弧长

§ 21.1

二. 旋转曲面的面积

(§ 21.6)

圆台侧面面积的直观推导



圆台上底为  $r$ , 下底半径为  $R$ ,  $r < R$ , 高为  $h$ ,

母线  $l = \sqrt{(R-r)^2 + h^2}$ . 该圆台可视为下底半径为  $R$ ,

高为  $H = \frac{R}{R-r} h$

$$\frac{H-h}{H} = \frac{r}{R}$$

的圆锥的底部, 该圆锥的母线

$$L = \sqrt{R^2 + H^2} = \sqrt{R^2 + \left(\frac{R}{R-r}\right)^2 h^2} = \sqrt{(R-r)^2 + h^2} \cdot \frac{R}{R-r}.$$

将该圆锥侧面展开, 得到半径为  $L$ , 弧长为  $2\pi R$  的扇形,



$$\text{于是顶角 } \alpha = \frac{2\pi R}{L} = \frac{2\pi(R-r)}{\sqrt{(R-r)^2 + h^2}}.$$

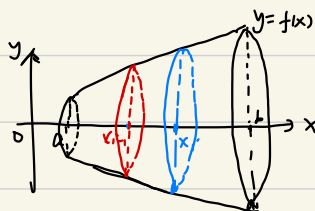
所以圆台侧面面积为

$$S = \frac{1}{2} \alpha L^2 - \frac{1}{2} \alpha (L-r)^2 = \frac{1}{2} \alpha (2Ll - l^2)$$

$$= \pi(R+r) \sqrt{(R-r)^2 + h^2}$$

曲线  $y = f(x)$ ,  $x \in [a, b]$

$f(x) \geq 0$ ,  $f$  在  $[a, b]$  上连续可导.

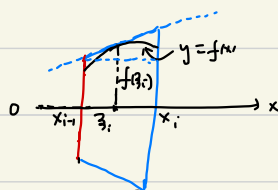


对  $[a, b]$  作分割  $T = \{\Delta x_i\}$ , 令  $\xi_i = \frac{1}{2}(x_{i-1} + x_i)$ .

将旋转体位于  $\Delta x_i$  的部分近似为  $\xi_i$  下圆台:

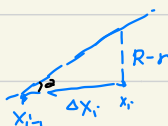
上、下底分别为  $r, R$ , 母线经过点  $(\xi_i, f(\xi_i))$ , 母线

为曲线  $y = f(x)$  在点  $(\xi_i, f(\xi_i))$  处的切线的一部分, 则



$$\frac{1}{2}(R+r) = f(\xi_i), \quad \frac{R-r}{\Delta x_i} = |f'(\xi_i)|$$

从而该圆台侧面面积为



$$S_i = \pi \cdot 2f(\xi_i) \cdot \sqrt{1 + f'(\xi_i)^2} \Delta x_i$$

$$= 2\pi f(\xi_i) \sqrt{1 + f'(\xi_i)^2} \Delta x_i$$

作 Riemann 和, 得  $2\pi \sum_{i=1}^n f(\xi_i) \sqrt{1 + f'(\xi_i)^2} \Delta x_i$

由于  $f$  在  $[a, b]$  上连续可导, 则  $f(x) \sqrt{1 + f'(x)^2}$  在  $[a, b]$  上连续, 从而可积.

$$S = \lim_{n \rightarrow \infty} 2\pi \sum_{i=1}^n f(\xi_i) \sqrt{1 + f'(\xi_i)^2} \Delta x_i = 2\pi \int_a^b f(x) \sqrt{1 + f'(x)^2} dx.$$

注: 若曲线方程为参数方程

$$x = x(t), \quad y = y(t), \quad \alpha \leq t \leq \beta.$$

$x(t), y(t)$  在  $[\alpha, \beta]$  上连续可导,  $y(t) \geq 0$ , 则由该曲线所绕成

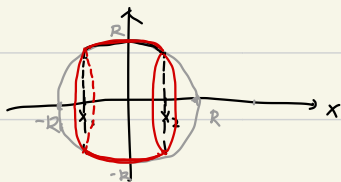
的旋转曲面面积为

$$S = 2\pi \int_{\alpha}^{\beta} y(t) \sqrt{x'(t)^2 + y'(t)^2} dt.$$

例11. 计算  $x^2 + y^2 = R^2$  在  $[x_1, x_2] \subset [-R, R]$  上的弧段绕着  $x$  轴

旋转所得球带的面积

解:  $y = f(x) = \sqrt{R^2 - x^2}$ ,  $x \in [x_1, x_2]$



$$S = 2\pi \int_{x_1}^{x_2} f(x) \sqrt{1 + f'(x)^2} dx$$

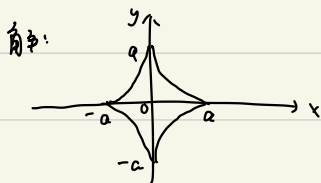
$$= 2\pi \int_{x_1}^{x_2} \sqrt{R^2 - x^2} \cdot \sqrt{1 + \frac{x^2}{R^2 - x^2}} dx$$

$$= 2\pi \int_{x_1}^{x_2} R dx = 2\pi R (x_2 - x_1)$$

当  $x_1 = -R$ ,  $x_2 = R$ , 得到球的表面积  $S = 4\pi R^2$ .

例12. 内摆线  $x = a \cos^3 t$ ,  $y = a \sin^3 t$  绕  $x$  轴旋转所得的旋转

曲面的面积.



$$S = 2 \cdot 2\pi \int_0^{\frac{\pi}{2}} a \sin^3 t \sqrt{(-3a \cos^2 t \sin t)^2 + (3a \sin^2 t \cos t)^2} dt$$

$$= 12\pi a^2 \int_0^{\frac{\pi}{2}} \sin^4 t \cos t dt$$

$$= 12\pi a^2 \left( \int_0^{\frac{\pi}{2}} \sin^4 t d\sin t \right)$$

$$= \frac{12}{5} \pi a^2.$$

# 习题 10.4

Ex1. 求旋转曲面积

(1)  $y = \sin x$ ,  $0 \leq x \leq \pi$ . 绕  $x$  轴.

$$\int \sqrt{x^2 + a^2} dx \quad (a > 0)$$

解:  $S = 2\pi \int_0^\pi \sin x \sqrt{1 + \cos^2 x} dx = \frac{1}{2} (x \sqrt{x^2 + a^2} + a \ln |x + \sqrt{x^2 + a^2}|) + C$

$$= -2\pi \int_0^\pi \sqrt{1 + \cos^2 x} d \cos x$$

$$= -2\pi \int_1^{-1} \sqrt{1 + t^2} dt \quad (t = \cos x)$$

$$= 2\pi \int_{-1}^1 \sqrt{1 + t^2} dt.$$

$$= 2\pi \cdot \frac{1}{2} (t \sqrt{1 + t^2} + \ln |t + \sqrt{1 + t^2}|) \Big|_{-1}^1 = [2\sqrt{2} + 2\ln(\sqrt{2} + 1)] \pi$$

(2)  $x = a(t - \sin t)$ ,  $y = a(1 - \cos t)$ ,  $0 \leq t \leq 2\pi$  ( $a > 0$ ). 绕  $x$  轴.



解:  $x'(t) = a(1 - \cos t)$ ,  $y'(t) = a \sin t$ .

$$x'^2(t) + y'^2(t) = a^2(1 - \cos t)^2 + a^2 \sin^2 t = 2a^2(1 - \cos t).$$

$$S = 2\pi \int_0^{2\pi} y(t) \sqrt{x'^2(t) + y'^2(t)} dt$$

$$= 2\pi \int_0^{2\pi} a(1 - \cos t) \cdot \sqrt{2a^2(1 - \cos t)} dt$$

$$= 2\sqrt{2} \pi a^2 \int_0^{2\pi} (1 - \cos t)^{\frac{3}{2}} dt \quad 1 - \cos t = 2\sin^2 \frac{t}{2}$$

$$= 2\sqrt{2} \pi a^2 \cdot 2\sqrt{2} \int_0^{2\pi} (\sin^2 \frac{t}{2})^{\frac{3}{2}} dt$$

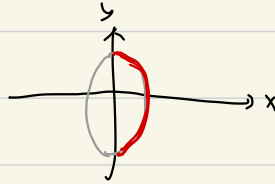
$$= 8\pi a^2 \cdot 2 \int_0^\pi \sin^3 u du \quad (u = \frac{t}{2})$$

$$= 32\pi a^2 \int_0^\pi \sin^3 u du$$

$$= 32\pi a^2 \cdot \frac{2}{3}$$

$$= \frac{64}{3} \pi a^2.$$

$$(2) \frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \text{ . 绕 } y \text{ 轴}$$



$$\text{解: } x = x(t) = a \cos t, \quad y = y(t) = b \sin t,$$

$$-\frac{\pi}{2} \leq t \leq \frac{\pi}{2}.$$

$$x'(t) = -a \sin t, \quad y'(t) = b \cos t.$$

$$\text{所以, } \sqrt{x'^2(t) + y'^2(t)} = \sqrt{a^2 \sin^2 t + b^2 \cos^2 t},$$

$$S = 2\pi \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} x(t) \cdot \sqrt{x'^2(t) + y'^2(t)} dt$$

$$= 2\pi \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} a \cos t \sqrt{a^2 \sin^2 t + b^2 \cos^2 t} dt$$

$$= 2\pi a \int_{-1}^1 \sqrt{(a^2 - b^2)u^2 + b^2} du \quad (u = \sin t)$$

$$\textcircled{1} \text{ 当 } a = b, \quad S = 4\pi a^2.$$

$$\textcircled{2} \text{ 当 } a > b.$$

$$S = 2\pi a \int_{-1}^1 \sqrt{\underbrace{a^2 - b^2}_{>0} u^2 + b^2} du$$

$$= 2\pi a \sqrt{a^2 - b^2} \cdot \int_{-1}^1 \sqrt{u^2 + \frac{b^2}{a^2 - b^2}} du$$

$$= 2\pi a \sqrt{a^2 - b^2} \cdot \frac{1}{2} \left( u \sqrt{u^2 + \frac{b^2}{a^2 - b^2}} + \frac{\frac{b^2}{a^2 - b^2}}{a^2 - b^2} \ln \left| u + \sqrt{u^2 + \frac{b^2}{a^2 - b^2}} \right| \right) \Big|_{-1}^1$$

$$= \pi a \sqrt{a^2 - b^2} \cdot \left( 2 \sqrt{1 + \frac{b^2}{a^2 - b^2}} + \frac{b^2}{a^2 - b^2} \ln \left| u + \sqrt{u^2 + \frac{b^2}{a^2 - b^2}} \right| \right)$$

$$= 2\pi a^2 + \frac{\pi a b^2}{\sqrt{a^2 - b^2}} \ln \frac{(a + \sqrt{a^2 - b^2})^2}{b^2}$$

$$= 2\pi a^2 + \frac{2\pi a b^2}{\sqrt{a^2 - b^2}} \ln \frac{a + \sqrt{a^2 - b^2}}{b}.$$

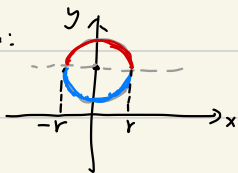
$$\int \sqrt{a^2 - x^2} dx = \frac{1}{2} \left( x \sqrt{a^2 - x^2} + a^2 \arcsin \frac{x}{a} \right) + C$$

① 当  $a < b$  时,

$$\begin{aligned} S &= 2\pi a \cdot \sqrt{b^2 - a^2} \int_{-1}^1 \sqrt{\frac{b^2}{b^2 - a^2} - u^2} du \\ &= 2\pi a \cdot \sqrt{b^2 - a^2} \cdot \frac{1}{2} \left( u \sqrt{\frac{b^2}{b^2 - a^2} - u^2} + \frac{b^2}{b^2 - a^2} \arcsin \frac{\sqrt{b^2 - a^2} \cdot x}{b} \right) \Big|_{-1}^1 \\ &= \pi a \sqrt{b^2 - a^2} \cdot \left( 2 \sqrt{\frac{b^2}{b^2 - a^2} - 1} + \frac{2b^2}{b^2 - a^2} \arcsin \frac{\sqrt{b^2 - a^2}}{b} \right) \\ &= 2\pi a^2 + \frac{2\pi a b^2}{\sqrt{b^2 - a^2}} \arcsin \frac{\sqrt{b^2 - a^2}}{b} . \end{aligned}$$

(4)  $x^2 + (y-a)^2 = r^2$  ( $r < a$ ), 绕  $x$  轴.

解:



$$y = f_1(x) = a + \sqrt{r^2 - x^2}, \quad x \in [-r, r],$$

$$y = f_2(x) = a - \sqrt{r^2 - x^2}, \quad x \in [-r, r].$$

$$f_1'(x) = -\frac{x}{\sqrt{r^2 - x^2}}, \quad f_2'(x) = \frac{x}{\sqrt{r^2 - x^2}},$$

$$\text{所以 } 1 + f_1'^2(x) = \frac{r^2}{r^2 - x^2}, \quad 1 + f_2'^2(x) = \frac{r^2}{r^2 - x^2}.$$

$$S = 2\pi \int_{-r}^r f_1(x) \sqrt{1 + f_1'^2(x)} dx + 2\pi \int_{-r}^r f_2(x) \sqrt{1 + f_2'^2(x)} dx$$

$$= 2\pi \int_{-r}^r (a + \sqrt{r^2 - x^2}) \frac{r}{\sqrt{r^2 - x^2}} dx + 2\pi \int_{-r}^r (a - \sqrt{r^2 - x^2}) \frac{r}{\sqrt{r^2 - x^2}} dx$$

$$= 4\pi a \int_{-r}^r \frac{r}{\sqrt{r^2 - x^2}} dx$$

$$= 4\pi a r \arcsin \frac{x}{r} \Big|_{-r}^r = 4\pi^2 a r.$$

Ex2. 平面光滑曲线由极坐标方程

$$r = r(\theta), \quad \alpha \leq \theta \leq \beta \quad ([\alpha, \beta] \subset [0, \pi], \quad r(\theta) > 0)$$

给出, 求该曲线绕极轴旋转所得旋转曲面的面积.

解:  $x = x(\theta) = r(\theta) \cos \theta, \quad y = y(\theta) = r(\theta) \sin \theta, \quad \alpha \leq \theta \leq \beta,$

$$x'(\theta) = r'(\theta) \cos \theta - r(\theta) \sin \theta,$$

$$y'(\theta) = r'(\theta) \sin \theta + r(\theta) \cos \theta.$$

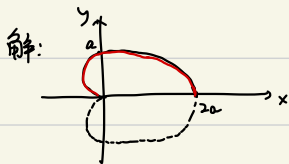
$$\text{则 } x'^2(\theta) + y'^2(\theta) = r^2(\theta) + r'^2(\theta).$$

于是, 光滑曲线  $r = r(\theta), \alpha \leq \theta \leq \beta$  绕极轴旋转所得旋转曲面的面积

$$\begin{aligned} S &= 2\pi \int_{\alpha}^{\beta} y(\theta) \sqrt{x'^2(\theta) + y'^2(\theta)} d\theta \\ &= 2\pi \int_{\alpha}^{\beta} r(\theta) \sin \theta \cdot \sqrt{r^2(\theta) + r'^2(\theta)} d\theta \end{aligned}$$

Ex3. 求由极坐标曲线绕极轴旋转所得旋转曲面的面积.

(1) 心形线  $r = a(1 + \cos \theta) \quad (a > 0)$



$$r'(\theta) = -a \sin \theta,$$

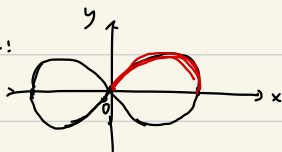
$$\begin{aligned} r^2(\theta) + r'^2(\theta) &= a^2(1 + \cos \theta)^2 + a^2 \sin^2 \theta \\ &= 2a^2(1 + \cos \theta). \end{aligned}$$

$$\begin{aligned} S &= 2\pi \int_0^{\pi} r(\theta) \sin \theta \cdot \sqrt{r^2(\theta) + r'^2(\theta)} d\theta \\ &= 2\pi \int_0^{\pi} a(1 + \cos \theta) \sin \theta \cdot \sqrt{2a^2(1 + \cos \theta)} d\theta \\ &= 2\sqrt{2}\pi a^2 \int_0^{\pi} (1 + \cos \theta)^{\frac{3}{2}} \sin \theta d\theta = -d(1 + \cos \theta) \end{aligned}$$

$$\begin{aligned}
&= -2\sqrt{2}\pi a^2 \int_0^\pi (1+\cos\theta)^{\frac{3}{2}} d(1+\cos\theta) \\
&= -2\sqrt{2}\pi a^2 \int_2^0 t^{\frac{3}{2}} dt \quad (t=1+\cos\theta) \\
&= 2\sqrt{2}\pi a^2 \int_0^2 t^{\frac{3}{2}} dt \\
&= 2\sqrt{2}\pi a^2 \cdot \frac{2}{5} t^{\frac{5}{2}} \Big|_0^2 = \frac{32}{5}\pi a^2
\end{aligned}$$

(2) 双纽线  $r^2 = 2a^2 \cos 2\theta$  ( $a > 0$ )

解:



只需考虑  $\theta \in [0, \frac{\pi}{4}]$  的部分:

$$r = \sqrt{2a^2 \cos 2\theta}, \quad \theta \in [0, \frac{\pi}{4}]$$

$$r(\theta) = \sqrt{2a^2 \cos 2\theta} = \sqrt{2} a \sqrt{\cos 2\theta}, \quad 0 \leq \theta \leq \frac{\pi}{4}$$

$$r'(\theta) = \sqrt{2} a \cdot \frac{-\sin 2\theta}{\sqrt{\cos 2\theta}}, \quad 0 \leq \theta \leq \frac{\pi}{4},$$

$$\text{则 } r^2(\theta) + r'^2(\theta) = 2a^2 \cos 2\theta + 2a^2 \cdot \frac{\sin^2 2\theta}{\cos 2\theta} = 2a^2 \cdot \frac{1}{\cos 2\theta},$$

所以由曲线  $r = \sqrt{2a^2 \cos 2\theta}$ ,  $\theta \in [0, \frac{\pi}{4}]$  绕极轴旋转所得的旋

转曲面面积为

$$\begin{aligned}
S_0 &= 2\pi \int_0^{\frac{\pi}{4}} r(\theta) \sin\theta \cdot \sqrt{r^2(\theta) + r'^2(\theta)} d\theta \\
&= 2\pi \int_0^{\frac{\pi}{4}} \sqrt{2} a \sqrt{\cos 2\theta} \sin\theta \cdot \sqrt{\frac{2a^2}{\cos 2\theta}} d\theta \\
&= 2\pi \int_0^{\frac{\pi}{4}} 2a^2 \sin\theta d\theta \\
&= 4\pi a^2 \int_0^{\frac{\pi}{4}} \sin\theta d\theta \\
&= 2(2-\sqrt{2})\pi a^2.
\end{aligned}$$

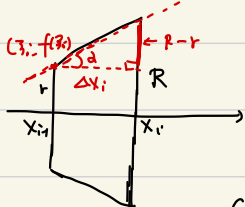
所以整个双纽线绕极轴旋转所得旋转曲面面积  $S = 2S_0 = 4(2-\sqrt{2})\pi a^2$ .



$$(\xi_i = \frac{1}{2}(x_{i-1} + x_i))$$

Ex4.  $\xi_i$  取  $x_{i-1}$ , 是否可以推导出同样的旋转曲面面积公式?

解:  $\xi_i = x_{i-1}, \quad r = f(\xi_i) = f(x_{i-1})$



$$\frac{R-r}{\Delta x_i} = f'(\xi_i), \text{ 所以}$$

$$R = f'(\xi_i) \Delta x_i + r = f'(\xi_i) \Delta x_i + f(\xi_i).$$

$$S_i = \pi (R+r) \sqrt{(R-r)^2 + h^2}$$

$$= \pi [f'(\xi_i) \Delta x_i + 2f(\xi_i)] \sqrt{(f'(\xi_i) \Delta x_i)^2 + (\Delta x_i)^2}$$

$$= \pi [f'(\xi_i) \Delta x_i + 2f(\xi_i)] \cdot \sqrt{1 + f'^2(\xi_i)} \cdot \Delta x_i$$

$$= 2\pi f(\xi_i) \sqrt{1 + f'^2(\xi_i)} \Delta x_i + \pi f'(\xi_i) \Delta x_i \cdot \Delta x_i$$

$$\sum_{i=1}^n S_i = 2\pi \sum_{i=1}^n f(\xi_i) \sqrt{1 + f'^2(\xi_i)} \Delta x_i + \pi \sum_{i=1}^n f'(\xi_i) \Delta x_i \cdot \Delta x_i$$

$\downarrow \quad ||T|| \rightarrow 0$

$$2\pi \int_a^b f(x) \sqrt{1 + f'^2(x)} dx$$

$$||T|| = \max_{1 \leq i \leq n} \{\Delta x_i\}.$$

由  $|\pi \sum_{i=1}^n f'(\xi_i) \Delta x_i \cdot \Delta x_i| \leq |\pi \sum_{i=1}^n f'(\xi_i) \Delta x_i| \cdot ||T||,$

$$\lim_{||T|| \rightarrow 0} \pi \sum_{i=1}^n f'(\xi_i) \Delta x_i = \pi \int_a^b f'(x) dx = \pi (f(b) - f(a)).$$

所以  $\lim_{||T|| \rightarrow 0} \pi \sum_{i=1}^n f'(\xi_i) \Delta x_i \cdot \Delta x_i = 0.$

$$\lim_{||T|| \rightarrow 0} \sum_{i=1}^n S_i = 2\pi \int_a^b f(x) \sqrt{1 + f'^2(x)} dx.$$