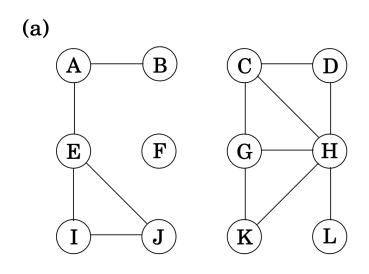
# Strongly Connected Components

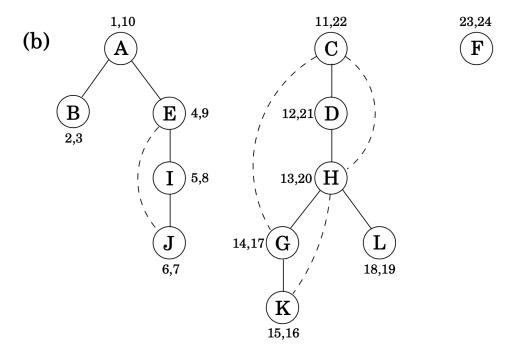
Yixiong Gao July 8<sup>th</sup>, 2022

## Connectivity in undirected graphs

- The definition of **connected** is straightforward.
- We can get each **connected component** by DFS in O(n) time.

Figure 3.6 (a) A 12-node graph. (b) DFS search forest.



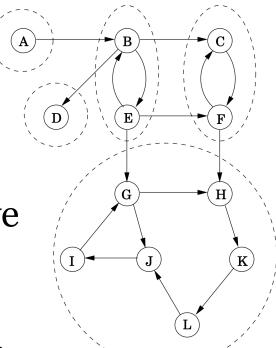


## Connectivity in directed graphs

• Two nodes u and v are *connected* if:
There is a path from u to v and a path from v to u.

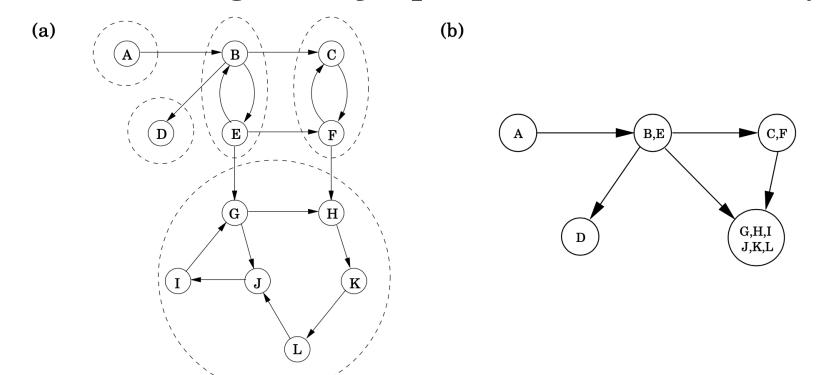
• This relation partitions V into **disjoint sets** that we call **strongly connected components**.

(Exercise 3.30) "connected" is an equivalence relation.



## Build meta-graph by SCC

- Shrink each SCC down to a single *meta-node*.
- Draw edges between *meta-nodes* by original edges.
- The resulting *meta-graph* must be a **DAG**. (why?)



## Meaning

• Property Every directed graph is a DAG of its SCCs.

• The connectivity structure of a directed graph is two-tiered.

• Top: a DAG which is a rather simple structure (linearized).

• Detail: look inside one of the nodes of this DAG and examine the strongly connected component within.

## Kosaraju's Algorithm

Getting all the SCC in linear time by DFS twice.

## Overview

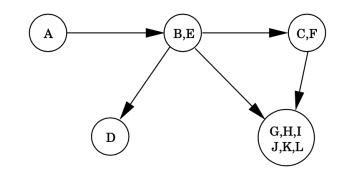
- Motivation: (1) Every directed graph is a DAG of its SCCs;
  - (2) DAG can be linearized.

#### Algorithm Kosaraju's algorithm (abstract version)

while the graph is not empty do

- 1: Find a sink SCC;
- 2: Delete it from the graph;

#### end while



## Find a sink SCC

- Solution: (1) Find a **node** that lies somewhere in the sink SCC;
  - (2) Explore at this node, we will traverse the whole SCC.

#### **Property 1**

If the explore subroutine is started at node u, then it will terminate precisely when all nodes reachable from u have been visited.

- All nodes in the same SCC are pairwise reachable (connected);
- Otherwise, node u can't reach other nodes in different SCC.
  - Cause the SCC which node u lies is a sink component.

## Find a node lies in the sink SCC

#### **Property 2**

If C and C' are SCCs, and there is an edge from a node in C to a node in C', then the highest **post number** in C is bigger than the highest post number in C'.

- If dfs visits C before C', the first node visited in C will have a higher post number than any node of C'.
- Otherwise, the dfs will get stuck after seeing all of C' but before seeing any of C, in which case the property follows immediately.

#### **Property 3**

The node that receives the **highest post number** in a dfs must lie in a **source** strongly connected component.

## Cont'd

#### **Property 3**

The node that receives the **highest post number** in a dfs must lie in a **source** strongly connected component.

- However, what we need is a node in the **sink** component.
- Consider the **reverse** graph  $G^R$  (G with all edge reversed)!
- $G^R$  has the same SCCs as G (the relation "connected" is symmetry).
  - The meta-graph of  $G^R$  is also the reverse graph of the meta-graph of G.
- The node with the highest post number comes from a **source** SCC in  $G^R$ , which is to say a **sink** SCC in G.

## Kosaraju's Algorithm

#### Algorithm Kosaraju's algorithm (abstract version)

while the graph is not empty do

1: Find a sink SCC;

2: Delete it from the graph;

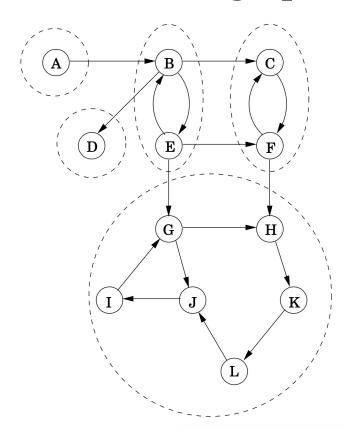
end while

#### Algorithm Kosaraju's algorithm

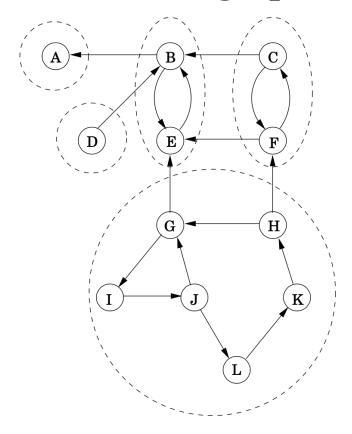
- 1. Run dfs on  $G^R$ , record the post number.
- 2. Run dfs on *G* , following decreasing order of their post numbers from step 1. ("Delete" means ignoring nodes has been visited.)

## Example

the directed graph



the reverse graph



## **Time Complexity**

#### Algorithm Kosaraju's algorithm

- 1. Run dfs on  $G^R$ , record the post number.
- 2. Run dfs on *G* , following decreasing order of their post numbers from step 1. ("Delete" means ignoring nodes has been visited.)
- This algorithm is linear-time, only the constant in the linear term is about twice that of straight depth-first search.
- \*Question: How can we order the vertices of G by decreasing post numbers in linear time?

# Tarjan's SCC Algorithm

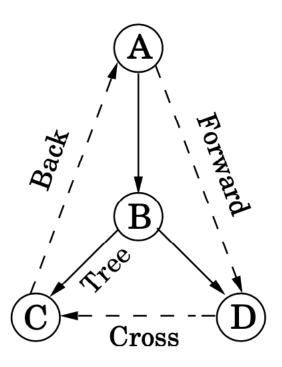
Getting all the SCC in linear time by DFS only once.

## Overview

- The collection of dfs trees is a spanning forest of the graph.
- The strongly connected components will be recovered as certain subtrees of this forest.
- The roots of these subtrees are called the "roots" of the strongly connected components.
  - Any node of a strongly connected component might serve as a root, if it happens to be the first node of a component that is discovered by search.
- How to find the correspond subtree of a SCC?

### Review

#### DFS tree



Tree edges are actually part of the DFS forest.

Forward edges lead from a node to a *nonchild* descendant in the DFS tree.

Back edges lead to an ancestor in the DFS tree.

Cross edges lead to neither descendant nor ancestor; they therefore lead to a node that has already been completely explored (that is, already postvisited).

• What kinds of edges are useful?

## Motivation

- The relation "connected" is based on circles.
- Consider finding circles on the DFS tree by adding edges.
- Back edge (u, v): nodes on the path from v to u are all connected, since they forms a circle.
- Cross edge (u, v): if v could reach some ancestors of u, it's useful. {(u, v), path from v to ancestor of u, path from ancestor of u to u}
  - \*Here we only need to consider the ancestor with minimum depth.
- Actually we can consider Back edge and Cross edge in the same way.
- What we concerned is the node v could reach with minimum depth.

## Stack invariant

- Nodes are placed on a stack in the order they are visited.
- A node remains on the stack after it has been visited if and only if there exists a path in the input graph from it to some node earlier on the stack.
- In other words, it means that in the DFS a node would be only removed from the stack after all its **connected paths** have been traversed. When the DFS will backtrack it would remove the nodes on a single path and return to the root in order to start a new path.

## Pop principle

- At the end of the call that visits v and its descendants, we know whether v itself has a path to any node earlier on the stack.
  - If so, the call returns, leaving v on the stack to preserve the invariant.
  - If not, then v must be the root of SCC, which consists of v together with any nodes later on the stack than v (such nodes all have paths back to v but not to any earlier node, because if they had paths to earlier nodes then v would also have paths to earlier nodes which is false).
- The connected component rooted at v is then popped from the stack and returned, again preserving the invariant.

## Lowlink Mark

- index[u]: the pre number in pre-visit DFS;
- lowlink[u]: the smallest index of any node **on the stack** known to be reachable from v through v's DFS **subtree**, including v itself.
- We can easily find that  $lowlink[u] \le index[u]$  all the time.

At the end of the call that visits v and its descendants,
 If lowlink[u] = index [u], then the node u is a "root" of a SCC!

## Tarjan's SCC Algorithm

```
Algorithm tarjan is
   input: graph G = (V, E)
   output: set of strongly connected components (sets of vertices)
   index := 0
   S := \text{empty stack}
   for each v in V do
      if v.index is undefined then
             strongconnect(v)
      end if
   end for
```

```
function strongconnect(v)
    // Set the depth index for v to the smallest unused index
    v.index := index
    v.lowlink := index
    index := index + 1
    S.push(v)
    v.onStack := true
    // Consider successors of v
    for each (v, w) in E do
        if w.index is undefined then
            // Successor w has not yet been visited; recurse on it
            strongconnect(w)
            v.lowlink := min(v.lowlink, w.lowlink)
        else if w.onStack then
            // Successor w is in stack S and hence in the current SCC
            // If w is not on stack, then (v, w) is an edge pointing to an SCC already found and must be ignored
            // Note: The next line may look odd - but is correct.
            // It says w.index not w.lowlink; that is deliberate and from the original paper
            v.lowlink := min(v.lowlink, w.index)
        end if
    end for
    // If v is a root node, pop the stack and generate an SCC
    if v.lowlink = v.index then
        start a new strongly connected component
        repeat
            w := S.pop()
            w.onStack := false
            add w to current strongly connected component
        while w \neq v
        output the current strongly connected component
    end if
end function
```

## **Time Complexity**

- The Tarjan procedure is called once for each node;
- The forall statement considers each edge at most once.
- The algorithm's running time is therefore linear in the number of edges and nodes in G, which is, O(|V|+|E|).
- In order to achieve this complexity, the test for whether w is on the stack should be done in constant time. This may be done, for example, by storing a flag on each node that indicates whether it is on the stack, and performing this test by examining the flag.

# Thanks for listening!