

Chapter1: 核心计算与交换技术

1. The Network Core: Switching (交换技术)

1. Circuit Switching (电路交换)

- **Definition:** End-to-end resources (bandwidth) are **reserved** (资源独占).
- **Multiplexing:** FDM (Frequency bands), TDM (Time slots).

必考 (Exam Focus)

**Exam Focus:** 电路交换可以有几个对话同时进行

Network with 4 switches (A, B, C, D) in a ring. Each link has  $N$  circuits.

- **Check:** Total active connections on any single link  $\leq N$ .
- **Path Finding:** Connection A-C via B occupies Link A-B **AND** Link B-C.

2. Packet Switching (分组交换)

- **Store-and-Forward:** Router must receive the **entire packet** before transmitting to next link.
- **Message Segmentation:** Breaking a large message into smaller packets (Assgn 1 P31).

必考 (Exam Focus)

**Exam Focus:** 传输时间计算 [Assgn 1 P31 & Tut 1 Q1]

Sending Data Size  $F$  over  $N$  links (hops) with rate  $R$ .

- **Case A: 不切片 (One huge packet):**

$$D = N \times \frac{F}{R}$$

- **Case B: 切片 ( $P$  packets, each size  $L$ ):** The first packet faces full delay; subsequent packets follow in a "pipeline".

$$D = \underbrace{N \times \frac{L}{R}}_{\text{Time for 1st pkt}} + \underbrace{(P-1) \times \frac{L}{R}}_{\text{Time for remaining pkts}} = (N+P-1) \frac{L}{R}$$

- **Trade-off:** Segmentation reduces delay (pipelining) but adds overhead (headers).

必考 (Exam Focus)

**Exam Focus: Binomial & Congestion** [Source: Tutorial 1 Q4]

Scenario:  $N$  users, active prob  $p = 0.1$ . Link supports  $K$  users.

1. 正好  $n$  个用户在用 (Q4c Answer):

$$P(X = n) = \binom{N}{n} \cdot \underbrace{p^n}_{\text{active}} \cdot \underbrace{(1-p)^{N-n}}_{\text{inactive}}$$

*Match option with  $p^n$ , e.g.,  $(0.1)^n (0.9)^{120-n}$  [Source: Tutorial 1 Q4c]*

2. **Combinations Formula (组合数公式):**

$$\binom{N}{n} = \frac{N!}{n!(N-n)!}$$

*Example: From 4 choose 2  $(\binom{4}{2}) = \frac{4 \times 3 \times 2 \times 1}{(2 \times 1) \times (2 \times 1)} = 6$  [Source: Tutorial 1 Q4 Solution]*

3. 拥堵的概率 (活跃人数  $> K$ ):

$$P(\text{congestion}) = \sum_{i=K+1}^N P(X = i) = \sum_{i=K+1}^N \binom{N}{i} p^i (1-p)^{N-i}$$

2. Delay Physics (延迟物理学 - Assgn 1 P6 重点)

1. Transmission vs. Propagation

$$d_{trans} = \frac{L}{R} \quad (\text{Size/Bandwidth}) \quad | \quad d_{prop} = \frac{d}{s} \quad (\text{Distance/Speed})$$

必考 (Exam Focus)

**Exam Focus:** "Where is the bit?" [Assgn 1 P6]

Host A sends packet  $L$  to B (distance  $m$ , speed  $s$ , rate  $R$ ). Start at  $t = 0$ .

- **At  $t = d_{trans}$ :** The **last bit** has just left Host A.
- **First Bit Position:** At time  $t$ , first bit is at distance  $x = t \times s$ .
- **Comparison:**
  - If  $d_{prop} > d_{trans}$ : First bit is on the wire, last bit has left A. (Link contains the whole packet).
  - If  $d_{prop} < d_{trans}$ : First bit has arrived at B, last bit is still at A. (Packet stretches across the link).
- **Equilibrium:**  $d_{prop} = d_{trans} \Rightarrow \frac{m}{s} = \frac{L}{R}$ .

3. Total Delay Calculation (综合计算)

1. The Four Sources Formula

$$d_{total} = d_{proc} + d_{queue} + d_{trans} + d_{prop}$$

Coach's Notes (避坑指南)

**陷阱/易错点: Packetization Delay [Tutorial 2 Q1]**

If converting Analog (Voice) to Digital:

- You cannot send bits one by one. You must **fill** a packet first.
- $d_{\text{pack}} = \frac{\text{Packet Size (bits)}}{\text{Encoding Rate (bps)}}$
- Total Time =  $d_{\text{pack}} + d_{\text{trans}} + d_{\text{prop}}$ .

必考 (Exam Focus)

**Exam Focus: Queuing Delay [Tutorial 2 Q4]**

New packet arrives. One packet ( $L$ ) is halfway done ( $x$  bits sent).  $n$  packets waiting.

$$d_{queue} = \underbrace{\frac{L-x}{R}}_{\text{Residual time}} + \underbrace{n \times \frac{L}{R}}_{\text{Waiting packets}}$$

4. Diagnostic Tools: Traceroute (网络诊断工具 - 必考)

必考 (Exam Focus)

**Exam Focus: Traceroute/Tracert Mechanics** [Source: Tutorial 2 Q5]

1. **Mechanism (原理):**

- Uses ICMP packets with incrementing **TTL** (Time To Live).
- $TTL = 1$ : 1st router drops packet, sends "Time Exceeded" back. (Measures Hop 1).
- $TTL = 2$ : 2nd router drops packet... (Measures Hop 2).

2. **Output Columns (输出含义) [Q5a]:**

- First column: Hop number (1, 2, 3...).
- Next 3 columns: **Three distinct RTT measurements** (measured in ms) for that hop. (Sends 3 probes per hop).
- Last column: Router Name/IP Address.

3. **The Asterisk ‘\*’ (星号含义) [Q5b]:**

- Means **Request Timed Out** (no response within default 5 sec).
- **Reason:** Packet lost OR (more likely) **Firewall** at that router blocks ICMP packets.

4. **Delay Anomaly (反常延迟) [Q5c]:**

- **Question:** Why is  $RTT(\text{Router } N) > RTT(\text{Router } N+1)$ ? (Further is faster?)
- **Answer:** RTT includes **Queuing Delay**.
- Queuing is **variable** (stochastic). Hop  $N$  might be temporarily congested (high queuing) while Hop  $N+1$  is idle later.

Part Supplement: 核心概念大白话讲解

- 1. Message Segmentation (报文分段) 到底快在哪里 ? (P31)**
- 想象你要搬 1000 块砖去 3 公里外的工地，中间有 2 个中转站。
- **\*\*No Segmentation (整车搬)\*\*:** 你把 1000 块砖装一辆大卡车。开到中转站 A，卸货，检查，再装车，再开到 B... 必须等整车到了才能动。

- **\*\*Segmentation (车队搬)\*\***: 你把砖分给 10 辆小车 (Packet)。
- **\*\* 流水线效应 (Pipeline)\*\***: 第 1 辆小车刚从中转站 A 出发去 B, 第 2 辆小车就可以立刻从起点出发去 A 了! 大家都在路上跑, 不用干等。
- **\*\* 公式记忆 \*\***:  $(N + P - 1)L/R$ 。
  - $N \times L/R$ : 第 1 辆车跑完全程 (穿过  $N$  段路) 的时间。
  - $(P - 1) \times L/R$ : 因为是流水线, 第 1 辆车到了之后, 剩下  $P - 1$  辆车会紧接着一个接一个到达 (每隔  $L/R$  来一辆)。

2. 详解四种延迟与”Where is the bit?” [Source: Assignment 1 P6]

A. 四种延迟的物理意义 (The 4 Delays)

想象你要送一个车队 (数据包) 经过收费站 (路由器) 去往下一个城市:

1.  $d_{proc}$  (**处理延迟 - 检查证件**): 收费站大爷看一眼车牌、决定让你走哪条路的时间。每个交换机的处理延迟都要算。
2.  $d_{queue}$  (**排队延迟 - 堵车**): 你到收费站时, 前面已经有别的车在排队了。你得等它们发完。特点: 这是网络卡顿的罪魁祸首, 波动极大 (取决于拥堵程度)。
3.  $d_{trans}$  (**传输延迟 - 挤牙膏/过闸口**): **关键!** 这是把你的车队一辆接一辆推过收费站闸口的时间。
  - 车队越长 (数据包  $L$  越大), 推得越慢。
  - 闸口手脚越快 (带宽  $R$  越大), 推得越快。
  - **公式**:  $L/R$ 。只跟“推”的动作有关, 跟路多远没关系。
4.  $d_{prop}$  (**传播延迟 - 路上跑/飞行**): **关键!** 这是车队离开闸口后, 在高速公路上狂奔到下一站的时间。
  - 路越远 (距离  $d$  越大), 跑得越久。
  - 车速越快 (光速  $s$  越大), 跑得越快。
  - **公式**:  $d/s$ 。跟带宽  $R$  毫无关系。

B. 考题直觉: 比特到底在哪里? [Source: Assignment 1 P6]

这题专门考  $d_{trans}$  和  $d_{prop}$  的物理区别。想象你在高空往地上挤牙膏:

- $d_{trans}$ : 你把整管牙膏从管子里挤出来的时间。
- $d_{prop}$ : 牙膏从空中掉到地上的时间。
- **Case 1:  $d_{prop} > d_{trans}$  (高空作业)**: 场景: 离地很高 (链路很长), 或者你挤得巨快 (带宽很大)。结果: 你手里的牙膏已经全部挤完了 (Last bit left sender), 但第一滴牙膏还没落地 (First bit hasn’t reached receiver)。整条数据链都悬在半空中 (Link 上)。
- **Case 2:  $d_{prop} < d_{trans}$  (贴地作业)**: 场景: 离地很近 (链路短), 或者你挤得很慢 (带宽小)。结果: 你还在费劲地挤最后一点牙膏 (Last bit at sender), 第一滴早就掉地上了 (First bit arrived)。**接收端已经开始收数据了, 发送端还没发完。**

Chapter2: 应用层

1. Principles & Addressing

Architectures

- **Client-Server (C/S)**: Server always-on, fixed IP. Clients do not talk directly. Scalability limited by server bandwidth.
- **P2P**: No always-on server. Arbitrary end systems communicate. **Self-scalability** (New peers bring service capacity).

Process Addressing (Identifier)

Process ID = IP Address (Host) + Port Number (Process).

必考 (Exam Focus)

Socket Identification (Tutorial 5 Q1/Q2)

- **UDP Socket**: Identified by **2-tuple**: (Dest IP, Dest Port).
- **TCP Socket**: Identified by **4-tuple**: (Src IP, Src Port, Dest IP, Dest Port).
- *Implication*: Web Server (Port 80) distinguishes clients via Src IP/Port. Different source IPs connect to same Dest Port 80 but different *sockets*.

2. Web and HTTP

HTTP Basics (基础概念)

HTTP is **Stateless** (无状态) and uses **TCP** (Port 80).

- **RTT (Round-Trip Time)**: 数据包往返一次所需的时间。
- **TCP Handshake (握手)**: 建立连接需要消耗  $1 \times RTT$ 。
- **Connection Types (连接类型)**:
  - **Non-Persistent (非持久)**: 1 object per TCP connection.
  - **Persistent (持久)**: Multiple objects per TCP connection.

HTTP Message Anatomy (实战: HTTP 报文解剖)

Scenario: Analyzing raw ASCII from Wireshark (Tutorial 3 Q1).

Raw Message
GET /cs453/index.html HTTP/1.1<cr><lf> Host: gaia.cs.umass.edu<cr><lf> User-Agent: Mozilla/5.0...<cr><lf> Accept-Language: en-us,en;q=0.5<cr><lf> Connection: keep-alive<cr><lf> <cr><lf>

Line-by-Line Decoding (逐行解码)

1. **Request Line (请求行 - Line 1)**:
  - **GET: Method** (我要下载/获取资源)。
  - **/cs453...: Path** (资源路径)。**注意**: 这不是完整 URL。
  - **HTTP/1.1: Version** (1.1 默认支持持久连接)。
2. **Header Lines (首部行 - Lines 2+)**:
  - **Host: gaia.cs.umass.edu** (目标主机)。ul>  - *Critical*: Web cache 和 Proxy 需要此信息来定位服务器。
- **User-Agent**: 浏览器身份 (如 Chrome/Firefox)。服务器可据此返回适配内容 (Mobile vs Desktop)。
- **Connection**:
  - **keep-alive** → **Persistent**: 保持连接, 复用通道传后续数据。
  - **close** → **Non-persistent**: 传完即断开。

Coach's Notes (避坑指南)

Trap: What’s MISSING? (隐形考点)

- **Q1: Client IP?** (客户端 IP 在哪?)  
**A: Unknown.** HTTP 是应用层协议 (信纸), 不包含网络层 IP 地址 (快递单)。IP 在 IP Datagram 中。
- **Q2: Full URL?** (完整地址是什么?)  
**A:** 报文中只有路径。完整 URL = **http:// + Host 字段 + Request Path**。

HTTP Response Time Analysis (响应时间计算)

Ref: Tutorial 3 Q3. 核心考察  $RTT$  计算逻辑。

1. Cost Model (耗时模型)

设  $RTT_0$  为 Client 到 Server 的往返时间。

- **New Connection Cost** =  $2 \times RTT_0$ 
  - 解释: 1 RTT (TCP 握手) + 1 RTT (HTTP 请求与响应)。
  - 适用: Non-Persistent 的每次请求, 或 Persistent 的第一次请求。
- **Existing Connection Cost** =  $1 \times RTT_0$ 
  - 解释: 连接已建立, 只需 1 RTT (HTTP 请求与响应)。
  - 适用: Persistent 的后续对象请求。

2. Scenario Analysis (场景计算)

**Task**: Fetch 1 Base HTML +  $N$  Referenced Objects. (Total  $N + 1$  items). **Pre-condition**:  $RTT_{DNS}$  is the total time for DNS resolution.

Scenario A: Non-Persistent Serial (串行)

- *Logic*: 每个对象都要新开连接, 必须排队 (One by one)。
- *Formula*:

$$\text{Total} = RTT_{DNS} + \underbrace{2RTT_0}_{\text{Base HTML}} + \underbrace{N \times 2RTT_0}_{\text{N Objects}}$$

- *Ex*: If  $N = 8$ , Delay =  $RTT_{DNS} + 18RTT_0$ 。

Scenario B: Non-Persistent Parallel (并行,  $k$  connections)

- *Logic*: 就像用  $k$  辆车运  $N$  箱货。需要运送  $\lceil N/k \rceil$  趟 (Batches)。
- *Critical Step*: **Base HTML 必须先下载** (耗时  $2RTT_0$ ), 解析出  $N$  个链接后, 才能开启并行下载。
- *Formula*:

$$\text{Total} = RTT_{DNS} + \underbrace{2RTT_0}_{\text{Base HTML}} + \underbrace{\lceil \frac{N}{k} \rceil \times 2RTT_0}_{\text{Parallel Batches}}$$

- *Ex*: If  $N = 8, k = 5$ . Batches =  $\lceil 8/5 \rceil = 2$ . Delay =  $RTT_{DNS} + 2RTT_0 + 2(2RTT_0) = RTT_{DNS} + 6RTT_0$ 。

Scenario C: Persistent (持久连接, Pipelining)

- *Logic*: 握手一次, 后续直接传。
- *Formula*:

$$\text{Total} = RTT_{DNS} + \underbrace{2RTT_0}_{\text{Base HTML}} + \underbrace{N \times 1RTT_0}_{\text{N Objects}}$$

- *Ex*: If  $N = 8$ . Delay =  $RTT_{DNS} + 2RTT_0 + 8RTT_0 = RTT_{DNS} + 10RTT_0$ 。

必考 (Exam Focus)
<b>Summary Cheat Sheet (必背公式)</b> <i>Assumptions: <math>N</math> referenced objects.</i> <ol style="list-style-type: none"><li><b>Non-Persistent:</b> <math>2RTT_0(1 + N)</math></li><li><b>Non-Persistent Parallel (<math>k</math>):</b> <math>2RTT_0(1 + \lceil \frac{N}{k} \rceil)</math></li><li><b>Persistent:</b> <math>2RTT_0 + N \times RTT_0</math></li></ol> <i>Note: All formulas assume DNS is already resolved or added separately.</i>

3. DNS (Domain Name System)

Map Hostname (www.site.com) ↔ IP. UDP Port 53.

Hierarchy

Root → TLD (.com) → Authoritative (site.com).

- Iterative:** "I don't know, ask him" (Server returns next server IP).
- Recursive:** "I'll find out for you" (Server queries next on your behalf).

4. DNS Latency Calculation (Tutorial 3 Q2)

Definitions (定义)

- $RTT_L$ : Round-Trip Time between **Client** ↔ **Local DNS**.
- $RTT_r$ : RTT between **Local DNS** ↔ External Servers (**Root, TLD, Auth**).
- Assumption:** Local DNS acts as a proxy performing **iterative** queries.

必考 (Exam Focus)
<b>Problem 4: IP Access vs. Hostname Access</b> <ol style="list-style-type: none"><li><b>Q:</b> Can you access the webpage by typing this IP? <b>A: No.</b><ul style="list-style-type: none"><li><b>Key Concept: Virtual Hosting.</b></li><li><b>Explanation:</b> Many websites share the same IP. The web server distinguishes them using the <b>HTTP Host header</b>.</li><li><b>Mechanism:</b> Typing the IP sets the Host header to the IP address. The server typically doesn't map the raw IP to the specific website configuration, resulting in a default page or error.</li></ul></li></ol>

必考 (Exam Focus)
<b>Scenario A: No Cache (Full Query)</b> Local DNS must query the entire hierarchy. <ol style="list-style-type: none"><li><b>Client</b> ↔ <b>Local:</b> Request + Final Reply (<math>1 \times RTT_L</math>).</li><li><b>Local</b> ↔ <b>Root:</b> Get TLD address (<math>1 \times RTT_r</math>).</li><li><b>Local</b> ↔ <b>TLD:</b> Get Auth address (<math>1 \times RTT_r</math>).</li><li><b>Local</b> ↔ <b>Auth:</b> Get IP address (<math>1 \times RTT_r</math>).</li></ol> Total Delay = $RTT_L + 3RTT_r$

必考 (Exam Focus)
<b>Scenario B: With Local Cache</b> Local DNS has the IP mapping cached. <ul style="list-style-type: none"><li>Local DNS replies immediately without contacting external servers.</li><li>Only Client-Local interaction is needed.</li></ul> Total Delay = $RTT_L$

Coach's Notes (避坑指南)
<b>Variant: Partial Cache (变种考点) Q:</b> What if Local DNS caches the TLD server address but not the final IP? <b>A:</b> <ul style="list-style-type: none"><li>Skip Root (<math>0 \times RTT_r</math>).</li><li>Must query TLD (<math>1 \times RTT_r</math>) + Auth (<math>1 \times RTT_r</math>).</li><li><b>Total:</b> <math>RTT_L + 2RTT_r</math>.</li></ul>

File Distribution: C-S vs. P2P

必考 (Exam Focus)
<b>Exam Focus: 文件分发时间计算</b> <p>Let <math>F</math> = 文件大小 (bits), <math>N</math> = 接收文件的 Peer 数量, <math>u_s</math> = 服务器上 传速率 (upload rate of server), <math>d_{min}</math> = 最小的下载速率, <math>u_i</math> = 第 <math>i</math> 个 Peer 的 上传速率.</p> <ol style="list-style-type: none"><li><b>Client-Server (C-S) Architecture:</b> The server must upload <math>N</math> copies sequentially.<math display="block">D_{CS} \geq \max \left\{ \frac{NF}{u_s}, \frac{F}{d_{min}} \right\}</math><ul style="list-style-type: none"><li>If <math>u_s/N &lt; d_{min}</math>: Server is bottleneck → Time is <math>NF/u_s</math>.</li><li>If <math>u_s/N &gt; d_{min}</math>: Slowest client is bottleneck → Time is <math>F/d_{min}</math>.</li></ul></li><li><b>Peer-to-Peer (P2P) Architecture:</b> Server uploads at least once; system capacity grows with <math>N</math>.<math display="block">D_{P2P} \geq \max \left\{ \frac{F}{u_s}, \frac{F}{d_{min}}, \frac{NF}{u_s + \sum u_i} \right\}</math><ul style="list-style-type: none"><li>Term 1 (<math>F/u_s</math>): Server sends one copy (min requirement).</li><li>Term 2 (<math>F/d_{min}</math>): Slowest peer download time.</li><li>Term 3 (<math>\frac{NF}{u_{total}}</math>): System aggregate upload limit.</li></ul></li></ol>

Coach's Notes (避坑指南)
<b>Unit Conversion Trap!</b> <p>Most problems give File Size in <b>Gbits</b> or <b>MBytes</b>, but Rates in <b>Mbps</b> or <b>Kbps</b>.</p> <ul style="list-style-type: none"><li>Always convert everything to <b>bits</b> and <b>bits/sec</b> (or Mb and Mbps) first!</li><li>1 Gbit = 1000 Mbits = <math>10^9</math> bits (Network definitions usually use decimal <math>10^3</math>, not <math>2^{10}</math>, read question carefully).</li><li>Example: <math>F = 15 \text{ Gbits} = 15,000 \text{ Mbits}</math>.</li></ul>

Case A: Server Bottleneck (瓶颈)

Condition:  $\frac{u_s}{N} < d_{min}$

必考 (Exam Focus)
<b>Standard Answer Template (背诵):</b> <ul style="list-style-type: none"><li><b>Bottleneck Identification:</b> Since <math>u_s/N &lt; d_{min}</math>, the <b>bottleneck</b> is the server's upload capacity.</li><li><b>Scheme:</b> The server transmits the file to all <math>N</math> peers <b>simultaneously</b>, dividing its total upload rate <math>u_s</math> equally among them.</li><li><b>Justification:</b> Each peer receives data at a rate of <math>u_s/N</math>. Since <math>u_s/N &lt; d_{min}</math>, every peer is capable of downloading at this rate.</li><li><b>Time:</b><math display="block">\text{Time} = \frac{F}{u_s/N} = \frac{NF}{u_s}</math></li></ul>

Case B: Client Bottleneck (瓶颈)

Condition:  $\frac{u_s}{N} > d_{min}$

必考 (Exam Focus)
<b>Standard Answer Template (背诵):</b> <ul style="list-style-type: none"><li><b>Bottleneck Identification:</b> Since <math>u_s/N &gt; d_{min}</math>, the <b>bottleneck</b> is the peer with the minimum download rate (<math>d_{min}</math>).</li><li><b>Scheme:</b> The server transmits the file to each peer simultaneously at a rate of <math>d_{min}</math>.</li><li><b>Justification:</b> The total upload rate required from the server is <math>N \cdot d_{min}</math>. Since <math>u_s/N &gt; d_{min}</math> implies <math>u_s &gt; N \cdot d_{min}</math>, the server has <b>sufficient bandwidth</b> to support this.</li><li><b>Time:</b><math display="block">\text{Time} = \frac{F}{d_{min}}</math></li></ul>

BitTorrent Protocol

Mechanisms

- Tit-for-Tat:** Users reciprocate by uploading to peers who upload to them at the highest rates. (Prevents free-riding to some extent).
- Choking:** Temporarily refusing to upload to a peer.
- Optimistic Unchoking:** Periodically selecting a *random* peer to upload to, regardless of past performance.
  - Purpose: To discover better connections and let new peers (with no data) start downloading.

Tutorial 原题

- Free-riding:** Can Bob download without uploading? **Yes**, but very slowly. He relies entirely on *Optimistic Unchoking* from others.
- Sybil Attack:** Can Bob speed up free-riding? **Yes**. By creating multiple identities (different IPs), he increases the probability of being selected for *Optimistic Unchoking* by other peers.

Streaming Video (DASH)

**DASH:** Dynamic Adaptive Streaming over HTTP.

- **Concept:** Video is split into chunks; each chunk is encoded at multiple rates/qualities. Client requests chunks dynamically based on current bandwidth.
- **Storage Question:** How many files does the server store for  $N$  qualities?
  - **Mixed Audio/Video:** Audio & Video mixed in one file.  $\Rightarrow N$  files.
  - **Separate Audio/Video:** Audio and Video stored separately (flexible).  $\Rightarrow 2N$  files ( $N$  video files +  $N$  audio files).

Part Supplement: 核心概念大白话讲解

1. P2P 为什么快？(The Intuition)

- **CS 模式**就像老师发卷子，只有老师一个人在发，学生越多，老师越累（时间  $NF/u_s$ ），发完所有人的时间线性增长。
- **P2P 模式**就像传八卦，老师告诉一个学生，这个学生转头告诉其他人。\*\*每个人都在贡献上传带宽\*\*。人越多，帮忙传的人也越多，所以时间不会无限变长，而是趋于稳定。

2. 怎么算 P2P 时间？(The Formula) 不要死记硬背，看短板原理：

- **短板 1 (发车):** 服务器至少得先把文件完整吐出来一次吧？ $\rightarrow F/u_s$
- **短板 2 (接收):** 最笨的那个学生（网速最慢）接收完要多久？ $\rightarrow F/d_{min}$
- **短板 3 (总水量):** 整个池子需要  $N \times F$  的水，而所有水龙头（服务器 + 所有 Peers）加起来的出水速度是  $u_{total}$ 。灌满池子要多久？ $\rightarrow NF/u_{total}$
- **取最大值:** 这三个短板里最慢的那个，就是最后完成的时间。

3. BitTorrent 的“吸血鬼” (Free-riding)

- 系统设计是“谁对我好，我对谁好” (Tit-for-Tat)。
- 但是为了给新人机会，系统会每隔一会“随机选个幸运儿”送数据 (Optimistic Unchoking)。
- **Bob 想白嫖:** 他不上传，正经途径拿不到数据，只能等着被当成“幸运儿”。
- **Bob 开挂:** 他开 100 个小号，被随机砸中当幸运儿的概率就大了，这就是 Sybil Attack。

5. Electronic Mail

- **SMTP:** Push. Client to Server, or Server to Server. Persistent. ASCII.
- **POP3:** Pull. Download & Delete (stateless) or Keep.
- **IMAP:** Pull. Complex, keeps state (folders) on server.

Chapter3: 传输层

Multiplexing & Demultiplexing (Socket Identification)

UDP vs. TCP Socket Identification

- **UDP Socket (Connectionless):**
  - Identified by a **2-tuple**: (Destination IP, Destination Port).
  - **Behavior:** Packets with the *same* Dest IP and Dest Port are directed to the **same** socket, regardless of Source IP/Port.
- **TCP Socket (Connection-Oriented):**

- Identified by a **4-tuple**: (Source IP, Source Port, Destination IP, Destination Port).
- **Behavior:** A Web Server (Port 80) creates a **unique socket** for each distinct connection. Even if two packets target Port 80, if their Source IP or Source Port differ, they go to different sockets.

Coach's Notes (避坑指南)

陷阱/易错点 (Exam Trap):

- **Q:** 两个不同的客户端 (Host A, Host C) 向同一个 Web 服务器 (Host B, Port 80) 发送 HTTP 请求，它们会进入同一个 Socket 吗？
- **A:** 不会。因为 HTTP 使用 TCP, TCP Socket 由 4-tuple 标识。Host A 和 Host C 的 Source IP 不同，因此服务器会分流 (Demultiplex) 到两个不同的进程/线程中处理。
- **注意:** 返回的数据包 (Reply Segment) 会交换源和目的。例如，Server 回复 Host A 时，Source Port = 80, Dest Port = A's ephemeral port.

必考 (Exam Focus)

Exam Focus: Scenario Analysis (From Problem 2)

- **Context:** Host C (IP: C) runs two browser windows.
- **Process 1:** Source Port 7532  $\rightarrow$  Server B (IP: B), Port 80.
- **Process 2:** Source Port 26145  $\rightarrow$  Server B (IP: B), Port 80.
- **Server Response:**
  - To Process 1: Src Port: 80, Dst Port: 7532, Dst IP: C.
  - To Process 2: Src Port: 80, Dst Port: 26145, Dst IP: C.
- **Key:** The Source Port distinguishes the two processes on the same host.

互联网校验和 (Error Detection)

Calculation Method (1s Complement Sum)

**Mechanism:** Treat data as a sequence of 16-bit (or 8-bit in tutorial) integers.

1. **Sum:** Add integers using standard binary addition.
  2. **Wraparound:** If there is a carry out of the MSB (Most Significant Bit), add 1 to the result.
  3. **Checksum:** Take the **1s complement** (flip all bits) of the final sum.
- **检测错误:** The receiver adds all received data words plus the received Checksum.
    - If the result is **all 1s** (11...1, which is -0 in 1s complement), the data is considered valid.
    - If the result contains any **0**, an error is detected.

错误检测能力分析 (From Tutorial 5 Q3)

- **1-bit Error: Always Detected.**
  - If a single bit flips ( $0 \rightarrow 1$  or  $1 \rightarrow 0$ ), the total sum will change. The new sum added to the original checksum will no longer result in all 1s.
- **2-bit Error: Possible to Go Undetected.**
  - If two errors occur that "cancel each other out" during the sum-

- mation, the error will be missed.
- *Example:* If one bit in a column flips  $0 \rightarrow 1$  and another bit in the **same column** (but different word) flips  $1 \rightarrow 0$ , the sum for that column remains unchanged.
- All one-bit errors will be detected, but two-bit errors can be undetected (e.g., if the last digit of the first word is converted to a 0 and the last digit of the second word is converted to a 1).

必考 (Exam Focus)

Calculation Example (From Problem 3): Sum three 8-bit bytes: 00100011, 01001110, 01010100.

1. Add first two:  $00100011 + 01001110 = 01110001$
2. Add third:  $01110001 + 01010100 = \mathbf{11000101}$  (Sum)
3. Checksum (flip bits):  $\sim 11000101 = \mathbf{00111010}$

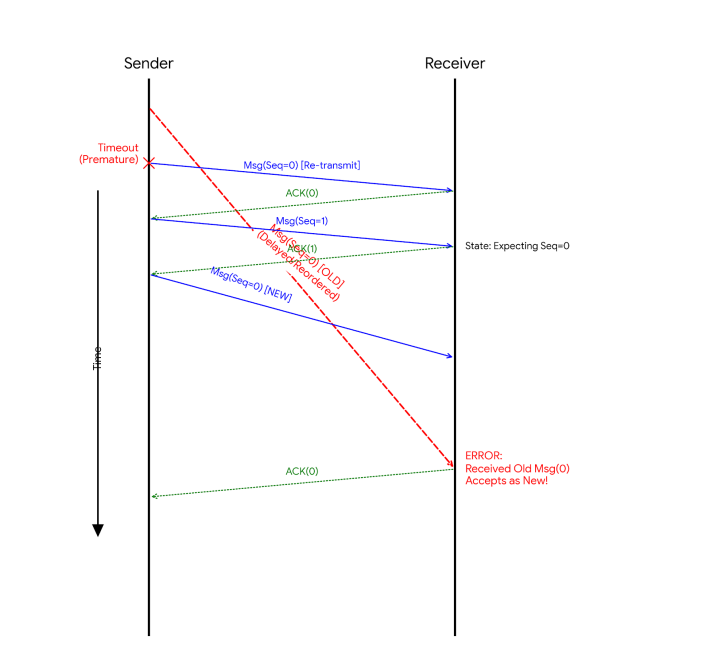
RDT 3.0 Failure under Packet Reordering

The Problem Scenario

- **Question:** If the network can **reorder messages** (not just lose them), will RDT 3.0 (Alternating-Bit Protocol, Seq 0/1) work?
- **Answer: No, it fails.**
- **Reason:** The protocol relies on 1-bit sequence numbers (0 and 1). It assumes FIFO (First-In-First-Out). A delayed "Old Packet 0" cannot be distinguished from a "New Packet 0" if they arrive out of order.

Failure Trace Analysis

The failure is triggered by a **Premature Timeout** caused by network delay (packet reordering).





必考 (Exam Focus)
<b>Exam Focus: Failure Step-by-Step (Tutorial 5 Problem 4)</b> Assume Sender is on left, Receiver on right (as shown in the figure above). <ol style="list-style-type: none"><li><b>Send Old M0:</b> Sender transmits packet <math>M0_{old}</math>. It gets <b>delayed</b> (stuck in network), but NOT lost.</li><li><b>Premature Timeout:</b> Sender timer expires. Sender thinks M0 is lost.</li><li><b>Resend M0:</b> Sender retransmits <math>M0_{rex}</math>.</li><li><b>Normal Exchange:</b><ul style="list-style-type: none"><li>Receiver gets <math>M0_{rex}</math>, sends <math>ACK0</math>.</li><li>Sender gets <math>ACK0</math>, sends <math>M1</math>.</li><li>Receiver gets <math>M1</math>, sends <math>ACK1</math>.</li></ul></li><li><b>Send New M0:</b> Sender gets <math>ACK1</math>, sends next packet <math>M0_{new}</math> (Seq wraps back to 0).</li><li><b>The Failure:</b> The original delayed <math>M0_{old}</math> finally arrives at Receiver.</li><li><b>Receiver Error:</b><ul style="list-style-type: none"><li>Receiver is waiting for Seq 0 (the <math>M0_{new}</math>).</li><li>It sees <math>M0_{old}</math> (Seq 0).</li><li><b>Outcome:</b> Receiver <b>accepts old data as new data</b>. Protocol fails.</li></ul></li></ol>

Coach's Notes (避坑指南)
<b>陷阱/易错点 (Why 1-bit Seq is not enough):</b> <ul style="list-style-type: none"><li>误区: 认为有 ACK 和 Timeout 就能保证可靠。</li><li>真相: ACK/Timeout 解决的是<b>丢包</b>问题。</li><li>面对<b>乱序 (Reordering)</b>, 必须有足够大的序号空间 (Sequence Number Space) 来区分不同轮次的同一个序号 (例如区分 “第 1 轮的包 0” 和 “第 2 轮的包 0”)。</li><li>RDT 3.0 只有 1 bit (0/1), 无法区分, 所以会把旧包当新包。</li></ul>

两种核心协议 (GBN vs SR)

Mechanism Comparison

- Go-Back-N (GBN):**
  - ACK Type:** **Cumulative ACK**.  $ACK(n)$  表示序号  $n$  及之前的所有包都已正确接收。
  - Receiver Behavior:** 只接收按序到达的包。如果中间有包丢失 (如 pkt 6 丢了), 后续到达的包 (pkt 7) 会被直接丢弃 (Discard), 并重复发送对最后一个按序到达包的 ACK (即 ACK 5) 。
  - Sender Behavior:** 一旦超时, 重传所有已发送但未确认的包 (即 “回退 N 步”)
- Selective Repeat (SR):**
  - ACK Type:** **Individual ACK**. 接收端会对每个正确接收的包单独发送 ACK。
  - Receiver Behavior:** 如果 pkt 6 丢了, pkt 7 到达, 接收端会缓存 (Buffer) pkt 7 并发送 ACK 7。

- Sender Behavior:** Maintains a timer for *each* packet. On time-out, retransmits **ONLY** the lost packet. (只重传**超时未收到 ACK**的那一个包 (如只重传 pkt 6))

必考 (Exam Focus)
<b>Exam Focus: Packet Loss Scenario (Problem 1)</b> <i>Scenario:</i> Window size $N = 8$ . Packets 0, 1, ..., 7 sent. <b>Packet 6 is lost</b> . <ol style="list-style-type: none"><li><b>GBN Outcome:</b><ul style="list-style-type: none"><li>Receiver gets 0-5: Sends ACK 0-5.</li><li>Receiver gets 7: <b>Discards</b> 7 (out-of-order). Re-sends <b>ACK 5</b> (Last correct in-order).</li><li>Sender receives: ACK 0, 1, 2, 3, 4, 5, <b>5, 5</b> (Duplicate ACKs).</li></ul></li><li><b>SR Outcome:</b><ul style="list-style-type: none"><li>Receiver gets 0-5: Sends ACK 0-5.</li><li>Receiver gets 7: <b>Buffers</b> 7. Sends <b>ACK 7</b>.</li><li>Sender receives: ACK 0, 1, 2, 3, 4, 5, <b>7</b>. (Missing ACK 6).</li></ul></li></ol>

Sequence and Acknowledgement Numbers

Definitions

- Sequence Number (Seq):** The byte-stream number of the **first byte** in the segment.
- Acknowledgement Number (ACK):** The sequence number of the **next byte** expected by the receiver.
- Calculation:**  $Next\_Seq = Current\_Seq + Data\_Size$ .

Scenario Analysis (From Tutorial 6 Q2)

- Setup:** Host B has received bytes up to 126. Next expected is 127.
- Transmission:**
  - Seg 1:** Seq 127, Size 80 bytes. (Range: 127-206).
  - Seg 2:** Seq 207, Size 40 bytes. (Range: 207-246).

必考 (Exam Focus)
<b>Exam Focus: Timeout &amp; Delayed ACK (Problem 2d)</b> <i>Scenario:</i> Seg 1 & 2 arrive at B. B sends ACK 207 & ACK 247. <b>ACK 207 is lost</b> . A times out. (场景: Seg 1 和 2 到达 B。B 发送 ACK 207 和 247。ACK 207 丢失。A 发生超时。) <ol style="list-style-type: none"><li><b>Timeout at A (A 发生超时):</b> Since ACK 207 is lost and ACK 247 hasn't arrived, A times out on Seg 1. (由于 ACK 207 丢失且 ACK 247 未到达, A 对 Seg 1 触发超时。)</li><li><b>Retransmission (重传):</b> A re-sends <b>Seg 1</b> (Seq 127). (TCP re-transmits oldest unacked). (A 重传 **Seg 1**。TCP 总是重传最早未被确认的段。)</li><li><b>Late ACK Arrives (迟到的 ACK):</b> ACK 247 arrives at A. (ACK 247 到达 A。)</li><li><b>Resolution (解决机制):</b><ul style="list-style-type: none"><li>Because TCP uses <b>Cumulative ACK</b>, ACK 247 implies "I have everything up to 247". (因 TCP 使用 **累积确认**, ACK 247 意味着"247 之前的数据全收到了".)</li><li>Sender A marks both Seg 1 and Seg 2 as acknowledged. No further retransmissions. (A 将 Seg 1 和 Seg 2 都标记为已确认。A 不会再重传 Seg 2。)</li></ul></li><li><b>Receiver's Response (接收端响应):</b> B receives retransmitted Seg 1. It discards data (duplicate) but sends <b>ACK 247</b> (current expectation). (B 收到重传的 Seg 1。丢弃重复数据, 但再次发送 **ACK 247** 告知当前期望序号。)</li></ol>

Coach's Notes (避坑指南)
<b>陷阱/易错点 (Cumulative ACK Power):</b> <ul style="list-style-type: none"><li>误区: 以为 ACK 207 丢了, Host A 就永远不知道 Seg 1 到了。</li><li>真相: 只要后续的 ACK 247 到了, 它就覆盖了 ACK 207 的功能。累计确认意味着: “只要我收到了大的 ACK, 小的 ACK 丢了也没事”。</li></ul>

超时计算公式 (From Tutorial 6 Q4)

The Three-Step Algorithm

You must calculate these in exact order for every new sample.

- 更新 EstimatedRTT (相当于”移动平均线”, 反映正常水平):**

$$EstimatedRTT_{new} = (1 - \alpha) \cdot EstimatedRTT_{old} + \alpha \cdot SampleRTT$$

(Typically  $\alpha = 0.125$ )

- 更新 DevRTT (相当于”波动率”, 反映网络抖动):**

$$DevRTT_{new} = (1 - \beta) \cdot DevRTT_{old} + \beta \cdot |SampleRTT - EstimatedRTT_{new}|$$

(Typically  $\beta = 0.25$ . Note: Use the **NEW** EstimatedRTT here!)

3. 更新 TimeoutInterval (意思是不怕慢,就怕慢得离谱。只有慢出 4 倍安全区,才算超时) :

$$TimeoutInterval = EstimatedRTT_{new} + 4 \cdot DevRTT_{new}$$

必考 (Exam Focus)

Calculation Trace (Problem 4):  
*Given:* Initial Est=100, Dev=5.  $\alpha = 0.125, \beta = 0.25$ .  
Sample 1: 106 ms

- $Est = 0.875(100) + 0.125(106) = 100.75$  ms
- $Dev = 0.75(5) + 0.25|106 - 100.75| = 5.06$  ms
- $Timeout = 100.75 + 4(5.06) = 121$  ms

Sample 2: 120 ms (Use values from Sample 1 as Old)

- $Est = 0.875(100.75) + 0.125(120) = 103.16$  ms
- $Dev = 0.75(5.06) + 0.25|120 - 103.16| = 8.01$  ms
- $Timeout = 103.16 + 4(8.01) = 135.2$  ms

Part Supplement: 核心概念大白话讲解

- GBN vs SR (快递员的性格):
  - GBN (强迫症): ”包裹 6 丢了? 那我手里拿到的包裹 7 也不要了, 你把 6 和 7 都给我重发一遍!” (丢乱序, 累计确认)。
  - SR (好说话): ”包裹 6 丢了? 没事, 包裹 7 我先收下存着。你只把 6 补给我就行。” (缓存乱序, 独立确认)。
- TCP 的累计确认 (Cumulative ACK):
  - 就像闯关游戏。ACK 247 意思是”第 247 关之前的我都通关了”。
  - 哪怕你没收到”通关第 207 关”的提示 (ACK 丢失), 只要看到”通关第 247 关”的提示, 你就知道前面的肯定都过了。

TCP Congestion Control (拥塞控制核心)

1. Phases & Transitions (状态流转)
- TCP 通过调整拥塞窗口 (**cwnd**) 来控制发送速率。
- Slow Start (SS):
    - 特征: 指数增长 (Exponential), 每收到一个 ACK, cwnd += 1 MSS (每 RTT 翻倍)。
    - 条件:  $cwnd < ssthresh$ 。
    - 目的: 刚开始或重置后, 快速拉升占用带宽。
  - Congestion Avoidance (CA):
    - 特征: 线性增长 (Additive Increase), 每过一个 RTT, cwnd += 1 MSS。
    - 条件:  $cwnd \geq ssthresh$ 。
    - 目的: 接近瓶颈时小心试探。
  - Fast Recovery (FR) (仅 Reno):
    - 特征: 在收到 3 个重复 ACK 后进入。此时 cwnd 保持较高水平 ( $ssthresh + 3$ ), 每收到一个重复 ACK, cwnd 还会临时增加 (模拟数据包守恒), 直到新 ACK 到达退出 FR 进入 CA。

2. Reno vs Tahoe (必考区别)

核心考点: 发生丢包时, 两者的反应不同。请务必区分 **Timeout** 和 **3 Duplicate ACKs** 两种场景。

Scenario A: Timeout (严重拥塞)

场景描述: ACK 完全没回来, 通常意味着网络严重堵塞。

- TCP Tahoe & Reno (行为一致):
  - ssthresh: 设为当前  $cwnd/2$
  - cwnd: 重置为 1 MSS
  - 状态流转: 进入 Slow Start

Scenario B: 3 Duplicate ACKs (轻微拥塞)

场景描述: 收到重复 ACK, 说明有后续包到达 (网络还能通), 只是中间丢了一个。

- TCP Tahoe (激进重置):
  - ssthresh: 设为当前  $cwnd/2$
  - cwnd: 重置为 1 MSS
  - 状态流转: 进入 Slow Start
- TCP Reno (快速恢复):
  - ssthresh: 设为当前  $cwnd/2$
  - cwnd: 设为  $ssthresh + 3$  (减半后膨胀)
  - 状态流转: 进入 Fast Recovery (随后进入 CA)

Sequence & ACK Calculation (计算题)

1. Sequence Number Logic

TCP 是字节流协议, Sequence Number 指的是报文段中第一个字节的编号。

Coach's Notes (避坑指南)

True/False Trap (Tutorial 8 Q1):

- Truth: Next Seq =  $m + \text{Data Length}$ . (TCP 计数基于字节, 不是包个数! )
- Truth: Host B sends empty ACK segments if no data is available. (必须确认)

必考 (Exam Focus)

Scenario: Seg 1 (Seq=65) and Seg 2 (Seq=92) sent back-to-back.

- Q: Size of Seg 1?
- A:  $Seq_2 - Seq_1 = 92 - 65 = 27$  bytes.

2. ACK Number Logic (Cumulative ACK)

ACK 表示接收方期待接收的下一个字节。如果中间有丢包, ACK 会卡在第一个丢失字节的编号上。

必考 (Exam Focus)

Scenario: Seg 1 (Seq=65, Size=27) is LOST. Seg 2 (Seq=92) ARRIVES.

- Receiver State: 收到了 92, 但 65 还没到。
- Logic: 只能确认连续数据的末尾。
- ACK Value: **65** (一直喊“我要 65” )。
- Note: 即使 Seg 2 到了, 也不能 ACK 92+Length, 必须 ACK 65。

Performance & Utilization (窗口计算)

Utilization Formula

要求信道利用率 (Utilization) 达到一定比例, 求最小窗口  $N$ 。

Formula:

$$U = \frac{\text{Time to send } N \text{ packets}}{\text{Total Cycle Time}} = \frac{N \times (L/R)}{RTT + (L/R)}$$

- $N$ : Window Size (packets)
- $L/R$ : Transmission Delay (Time to push one packet)
- $RTT$ : Round Trip Time

Coach's Notes (避坑指南)

Calculation Steps (Tutorial 7 P4):

- Unit Conversion: 将 Packet Size 换算成 bits, 将  $L/R$  换算成 msec (与 RTT 统一).
$$L = 1200 \text{ bytes} = 9600 \text{ bits}$$
$$d_{trans} = \frac{9600}{10^9} = 9.6\mu s = 0.0096 \text{ ms}$$
- Solve Inequality:
$$\frac{N \times 0.0096}{30 + 0.0096} \geq 0.97 \implies N \geq 3032.22$$
- Round Up:  $N = 3033$ .

Fairness (公平性)

TCP vs UDP

- UDP: 无拥塞控制, 不退让, 可能挤占带宽。
- TCP: 有拥塞控制 (AIMD), 理论上趋向于  $R/N$  的公平分配。
- Key Question: Is TCP fairer? **NO**.
- Reason: TCP 应用可以开启 **Parallel Connections** (如 Web 浏览器开 10 个连接下载图片)。即使每个连接都退让, 总带宽占用依然比单连接用户大得多。

Part Supplement: 核心概念大白话讲解

- 关于 Tahoe vs Reno:
  - Tahoe (老实人): 不管是超时还是 3 个重复 ACK, 一律心态崩盘, cwnd 回到 1, 慢启动重来。

- **Reno (聪明人)**: 如果是 3 个重复 ACK (说明网络还能传 ACK, 没死透), cwnd 减半, 然后直接进入拥塞避免 (加法增长), 这叫 “快速恢复”。只有超时 (彻底死透) 才回 1。
- 关于 **Sequence Number**:
  - 就像书的页码。第一个包是第 1-100 页 (Seq=1), 第二个包是第 101-200 页 (Seq=101)。
  - 如果你收到 Seq=101 的包, 你就知道第一个包肯定是  $101 - 1 = 100$  字节长。
- 关于 **Pipeline 利用率**:
  - 想象一条传送带。停止等待协议就是放一个包裹, 等它到了终点拿回回执再放下一个, 中间全是空的。
  - Pipeline 就是要一次性放 N 个包裹, 铺满传送带。公式就是算出这 N 个包裹的总长度除以传送带循环一次的时间。

## Chapter4: 网络层

### Fragmentation Parameters

Due to Link Layer MTU (Maximum Transmission Unit) limits, large IP datagrams must be split.

- **Identification (ID)**: Same for all fragments of one original packet. (同一个原始包的所有分片 ID 相同)
- **Flags (MF)**: 1 = More fragments follow; 0 = Last fragment.
- **Fragment Offset**: Position of the fragment data, divided by 8.

必考 (Exam Focus)

Calculation Steps (Tutorial 8 P2): Given: Datagram=1600B (20B Header + 1580B Data), MTU=500B.

1. Calculate Max Payload:

MaxData = MTU - Header = 500 - 20 = 480 bytes

2. Check 8-Byte Rule:

480 mod 8 = 0 (Perfect! If not, round down to nearest 8)

3. Calculate Number of Fragments:

[1580/480] = 4 fragments

4. Calculate Offset for k-th fragment:

Offset<sub>k</sub> =  $\frac{(k-1) \times 480}{8}$

Offsets: 0, 60, 120, 180.

Coach's Notes (避坑指南)

Why Divide by 8?

- The Offset field in IP Header is only **13 bits**, but Total Length is **16 bits**.
- Hardware constraint requires a scaling factor of  $2^3 = 8$ .
- **Consequence**: Payload of all non-last fragments **must** be divisible by 8.

### Part Supplement: 核心概念大白话讲解

- 关于分片 (**Fragmentation**):
  - 就像搬家。你有一个 1600 斤的大柜子 (Datagram), 但电梯 (MTU) 一次只能运 500 斤。
  - 你必须把柜子拆成几块。每块除了木头 (Payload), 还要包上防撞角 (Header, 20 斤)。
  - 所以每次只能运 480 斤木头。
- 关于 **Offset 为什么除以 8**:
  - 头部里的 “位置记录本” (Offset 字段) 格子太小写不下大数字。所以约定: 记录本上写 “1”, 代表实际的 “8”。填表的时候千万别忘了除以 8!

### Longest Prefix Match (最长前缀匹配)

When forwarding a packet, the router selects the entry with the **longest matching subnet mask**. 当一个 IP 地址同时匹配转发表中的多条记录时, 路由器会选择 \*\* 掩码最长 (匹配的位数最多) \*\* 的那一条作为转发接口。

**Rule**: More specific routes (longer prefix) > General routes (shorter prefix).

必考 (Exam Focus)

Handling "Holes" in Ranges (Tutorial 8 P4): How to route a range like Link 2 (...0001 to ...1111) while excluding ...0000?

1. **Strategy**: Do NOT break Link 2 into tiny pieces.

2. **Step 1**: Define Link 2 generally (e.g., prefix 11100001 -> Interface 2).

3. **Step 2**: Define the "Hole" / Exception specifically (e.g., prefix 11100001 00000000 -> Interface 1 or Default).

4. **Logic**: The router will automatically match the "Hole" to the specific rule (Longer Prefix) and the rest to the general rule.

### Part Supplement: 核心概念大白话讲解

- 最长前缀匹配 (**LPM**):
  - 就像快递分拣。
  - 规则 A: “北京的快递” -> 放左边。
  - 规则 B: “北京海淀的快递” -> 放右边。
  - 这是一个 “北京海淀” 的快递, 虽然它也属于北京, 但规则 B 描述得更精确 (前缀更长), 所以必须按规则 B 走。
- 打补丁策略:
  - 如果你想表达 “除了小明, 全班都出去”。

- 不需要列出除了小明外的所有名字。
- 只需要两条规则: 1. “小明 -> 留下”; 2. “全班 -> 出去”。
- 因为 “小明” 比 “全班” 更具体, LPM 原则会保证小明被留下来。

### 链路状态路由 (Link-State Routing) - Dijkstra 算法 [Centralized]

Link-State (LS) routing algorithms require global network knowledge (topology and link costs) available at every node. **Dijkstra's Algorithm** is the standard implementation.

- **前提**: 网络拓扑和所有链路开销 (Link Costs) 对所有节点都是已知的。通常通过 Link State Broadcast 实现
- **Goal**: Compute least-cost paths from one source node to all other destinations.
- **Notation**:
  - $c(x, y)$ : 节点  $x$  到  $y$  的链路开销。如果不相邻则为  $\infty$ 。
  - $D(v)$ : 当前从源节点到节点  $v$  的路径开销估值
  - $p(v)$ : 路径中  $v$  的前驱节点 (Predecessor)。
  - $N'$ : 已找到确定最短路径的节点集合。

### Dijkstra's Iteration Steps

1. **Initialization**:  $N' = \{u\}$  (source). For all neighbors  $v$ ,  $D(v) = c(u, v)$ . Others  $\infty$ .
2. **Loop**:
  - Find  $w$  not in  $N'$  with the **minimum**  $D(w)$ .
  - Add  $w$  to  $N'$ .
  - **Update neighbors**: For each neighbor  $v$  of  $w$  (not in  $N'$ ):

$$D(v) = \min(D(v), D(w) + c(w, v))$$

- **Logic**: New cost = Cost to get to  $w$  + Cost from  $w$  to  $v$ .

必考 (Exam Focus)

Exam Focus: Running Dijkstra (Tutorial 9 P1) Task: Compute shortest path from node x. Step-by-Step Table:

Step	$N'$	$D(v), p(v)$	$D(u), p(u)$	$D(w), p(w)$	$D(y), p(y)$	$D(z), p(z)$
0	x	3,x	$\infty$	6,x	6,x	8,x
1	xv	<b>3,x</b>	7,v	6,x	6,x	8,x
2	xvu	3,x	<b>7,v</b>	6,x	6,x	8,x
3	xvuw	3,x	7,v	<b>6,x</b>	8,x	8,x
...	...	...	...	...	...	...

**Key Selection**: In Step 1, node  $v$  has cost 3, node  $w$  has 6. Since  $3 < 6$ , we pick  $v$  into  $N'$  first. **Update**: After picking  $v$  (cost 3), we check neighbor  $u$ . Old cost to  $u$  was  $\infty$ . New cost via  $v$  is  $D(v) + c(v, u) = 3 + 4 = 7$ . Since  $7 < \infty$ , update  $D(u) = 7, p(u) = v$ .

Coach's Notes (避坑指南)
<b>Dijkstra Pitfalls (易错点):</b> <ul style="list-style-type: none"><li>• <b>Not updating correctly:</b> Always check if <math>D(w) + c(w, v)</math> is smaller than the current <math>D(v)</math>.</li><li>• <b>Tie-breaking:</b> If two nodes have the same minimum cost, you can pick either (usually lexicographical order, e.g., <math>u</math> before <math>w</math>).</li><li>• <b>Oscillations:</b> In link-state routing, if link costs depend on traffic volume, routes can oscillate (flip-flop) rapidly.</li></ul>

距离向量路由 Distance-Vector Routing) - Bellman-Ford 【Distributed】  
Distance-Vector (DV) is iterative, asynchronous, and distributed. Nodes only know costs to direct neighbors and receive "vectors" (estimates) from them.

$$d_x(y) = \min_v \{c(x, v) + d_v(y)\}$$

- $d_x(y)$ : 节点  $x$  到  $y$  的最短路径开销。.
- $c(x, v)$ :  $x$  到邻居  $v$  的直接链路开销.
- $d_v(y)$ : 邻居  $v$  报告的它到  $y$  的距离 (received via gossip).

必考 (Exam Focus)
<b>Exam Focus: DV Table Update (Tutorial 9 P2 &amp; P3) Scenario:</b> Node $x$ has neighbors $w$ (cost 2) and $y$ (cost 5). <b>Given from neighbors:</b> <ul style="list-style-type: none"><li>• <math>w</math> reports: "I can reach <math>u</math> in cost 5". (<math>d_w(u) = 5</math>)</li><li>• <math>y</math> reports: "I can reach <math>u</math> in cost 6". (<math>d_y(u) = 6</math>)</li></ul> <b>Calculation:</b> $d_x(u) = \min \left\{ \underbrace{c(x, w) + d_w(u)}_{2+5=7}, \underbrace{c(x, y) + d_y(u)}_{5+6=11} \right\} = 7$ <b>Result:</b> $x$ sets its distance to $u$ as 7, and next-hop is $w$ .

Coach's Notes (避坑指南)
<b>Count-to-Infinity Problem:</b> <ul style="list-style-type: none"><li>• <b>Good news travels fast:</b> A decrease in link cost propagates quickly.</li><li>• <b>Bad news travels slow:</b> If a link breaks or cost increases, nodes may bounce incorrect data back and forth, slowly incrementing costs to infinity.</li><li>• <b>Solution: Poisoned Reverse.</b> If <math>z</math> routes through <math>y</math> to get to <math>x</math>, <math>z</math> tells <math>y</math> that its distance to <math>x</math> is <math>\infty</math> (so <math>y</math> won't route back through <math>z</math>).</li></ul>

Comparison: Link-State vs Distance-Vector

- **1. Network Knowledge (视野范围)**
  - **Link-State (LS): Global.** Each node has a complete map of the entire network topology.

- **Distance-Vector (DV): Local.** Nodes only know the "distance" to their direct neighbors.
- **2. Communication Strategy (沟通方式)**
  - **LS: Broadcast.** Link status info is flooded to **all** nodes in the network.
  - **DV: Exchange.** Routing tables are sent only to direct **neighbors**.
- **3. Convergence & Issues (收敛速度)**
  - **LS: Fast** and deterministic.
  - **DV: Slow.** Can suffer from **Routing Loops** and the "Count-to-Infinity" problem.
- **4. Complexity (复杂度)**
  - **LS:** Message complexity  $O(N|E|)$ ; Computation time  $O(N^2)$  (using Dijkstra).
  - **DV:** Varies greatly depending on network changes.
- **5. Robustness (健壮性)**
  - **LS: High.** A router acts independently; bad calculations are contained locally.
  - **DV: Low.** Errors propagate widely because nodes blindly trust neighbors ("Gossip" effect).

Tutorial 10: IP Subnetting & Routing Protocols

**1. IP Subnetting & VLSM (CIDR)**

CIDR (Classless Inter-Domain Routing) allows allocating IP addresses more efficiently using Variable Length Subnet Masks (VLSM).

- **CIDR Notation:**  $a.b.c.d/x$ , where  $x$  is the **Network Prefix length**.
- **Block Size:**  $2^{32-x}$  (Total IP addresses in the subnet).
- **Usable Hosts:**  $2^{32-x} - 2$  (Minus Network Address & Broadcast Address).
- **Alignment Rule:** The starting address of a subnet must be divisible by its **Block Size**.
- 分配子网时可选的块大小只有: **2, 4, 8, 16, 32, 64, 128, 256...**

Coach's Notes (避坑指南)
<b>陷阱: The "Just Missed It" Case (15 Hosts)</b> <ul style="list-style-type: none"><li>• <b>Scenario:</b> You need to support <b>15 hosts</b>.</li><li>• <b>Calculation:</b> <math>15 + 2 = 17</math> IPs needed.</li><li>• <b>Block Size 16 (/28):</b><ul style="list-style-type: none"><li>– Total IPs: 16.</li><li>– Usable Hosts: <math>16 - 2 = 14</math>.</li><li>– <b>Result: Not enough!</b> (<math>14 &lt; 15</math>).</li></ul></li><li>• <b>Block Size 32 (/27):</b><ul style="list-style-type: none"><li>– Total IPs: 32.</li><li>– Usable Hosts: <math>32 - 2 = 30</math>.</li><li>– <b>Result: Correct.</b> (Even though wasteful).</li></ul></li><li>• <b>Rule of Thumb:</b> If required hosts <math>&gt; 2^k - 2</math>, jump to <math>2^{k+1}</math>.</li></ul>

必考 (Exam Focus)
<b>Subnet Allocation (Tutorial 10 P1): Given:</b> Base Block 223.1.17.0/24. Subnet 1 (62 hosts) already occupies .0 - .63 (/26). <b>Goal A: Allocate Subnet 2 (106 hosts)</b> 1. <b>Calculate Size:</b> Need $N \geq 106 + 2 = 108$ . $2^k \geq 108 \implies k = 7 \quad (\text{Host bits})$ 2. <b>Determine Mask:</b> $32 - 7 = /25$ . Block Size = 128. 3. <b>Find Position:</b> <ul style="list-style-type: none"><li>• Block [0-127]: Overlaps with Subnet 1 (0-63). <b>Conflict!</b></li><li>• Block [128-255]: Free.</li></ul> 4. <b>Result: 223.1.17.128/25.</b> <b>Goal B: Allocate Subnet 3 (14 hosts)</b> 1. <b>Calculate Size:</b> Need $N \geq 14 + 2 = 16$ . $2^k \geq 16 \implies k = 4 \quad (\text{Host bits})$ 2. <b>Determine Mask:</b> $32 - 4 = /28$ . Block Size = 16. 3. <b>Find Position:</b> <ul style="list-style-type: none"><li>• Range 0-63 (Subnet 1) &amp; 128-255 (Subnet 2) are taken.</li><li>• Free space: 64-127. First available multiple of 16 is <b>.64</b>.</li></ul> 4. <b>Result: 223.1.17.64/28.</b>

Coach's Notes (避坑指南)
<b>Pitfalls in Subnetting:</b> <ul style="list-style-type: none"><li>• <b>The "+2" Rule:</b> Always add 2 for Network ID and Broadcast ID. If asked for 63 hosts, <math>63 + 2 = 65</math>, so you need size 128 (/25), NOT 64 (/26).</li><li>• <b>Alignment:</b> A /25 subnet (size 128) cannot start at .64. It MUST start at .0 or .128.</li><li>• <b>No Overlap:</b> Draw a number line to ensure assigned ranges do not cross.</li></ul>

**2. Routing Hierarchy (AS & BGP)**

The internet is divided into **Autonomous Systems (AS)**. Different protocols are used inside vs. between ASes.

- **Intra-AS Routing (IGP):** Protocols inside an AS (e.g., **OSPF, RIP**). Focus on **Performance** (shortest path).
- **Inter-AS Routing (EGP):** Protocols between ASes (e.g., **BGP**). Focus on **Policy** (political/economic control) and **Scale**.
- **eBGP (External):** Connects border routers of **different** ASes.
- **iBGP (Internal):** Propagates external info to routers within the **same** AS.



必考 (Exam Focus)

Determining Learning Protocol (Tutorial 10 P3): Scenario:  
Traffic destined for prefix  $x$  (located in AS4). Path:  $AS4 \rightarrow AS3 \rightarrow AS1$ .  
1. **AS4 Border  $\rightarrow$  AS3 Border (3c):**

- Communication between **Different ASes**.
- Protocol: **eBGP**.

2. **AS3 Border (3c)  $\rightarrow$  AS3 Internal (3a):**

- Propagating external info ( $x$ ) within the **Same AS**.
- Protocol: **iBGP**.
- Note: OSPF/RIP is used here only to find the path to 3c, not to learn about  $x$  itself.*

3. **AS3 Border (3a)  $\rightarrow$  AS1 Border (1c):**

- Communication between **Different ASes**.
- Protocol: **eBGP**.

Part Supplement: 核心概念大白话讲解

- 关于切蛋糕 (Subnetting):
  - +2 原则:** 就像订酒店房间, 如果你带了 106 个人, 不能只开 106 间房, 因为头尾两间房 (网络号、广播号) 被锁住了不能住人。所以你要订 128 间的大套房。
  - 对齐原则:** 大套房 (/25) 只能建在整层楼的起点 (.0 或.128), 不能建在楼道中间 (比如.64)。
- 关于外交 (BGP):
  - AS (自治系统):** 把 AS 想象成一个独立的 “国家”。
  - Intra-AS (OSPF):** 国内的导航软件 (高德/百度), 只管怎么在国内走得最快。
  - eBGP:** 两国边境的 “外交官”, 负责交换信息 (“去美国走我这边”。
  - iBGP:** 国内的新闻联播, 负责把外交官听到的消息告诉国内的老百姓 (“外交官说, 去美国要往东边走”。

Chapter5: 数据链路层

2D Parity Check (二维奇偶校验)

Extension of single-bit parity. Data is arranged in a matrix to detect and correct errors.

- Detection Capability:** Can detect 2-bit errors (and any odd number of errors).
- Correction Capability:** Can **correct** single-bit errors by locating the intersection of the bad row and bad column.
- Corner Bit:** The parity of the row-parity column and column-parity row must match.

必考 (Exam Focus)

Calculation Steps (Tutorial 11 P1): Given: Data ‘1110 0110 1001 1101’ (16 bits), Even Parity. Find the checksum field.  
1. **Arrange as Matrix:** Split 16 bits into  $4 \times 4$ .  
2. **Calculate Parities:**

1	1	1	0	<b>1</b> $\leftarrow$ Row 1 (3 ones $\rightarrow$ +1)
0	1	1	0	<b>0</b> $\leftarrow$ Row 2 (2 ones $\rightarrow$ +0)
1	0	0	1	<b>0</b> $\leftarrow$ Row 3 (2 ones $\rightarrow$ +0)
1	1	0	1	<b>1</b> $\leftarrow$ Row 4 (3 ones $\rightarrow$ +1)
<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b> $\leftarrow$ Corner Bit

  
3. **Result:** The checksum field contains 9 bits: Row Parities (4) + Col Parities (4) + Corner (1). **Answer:** ‘1001 1100 0’.

Coach's Notes (避坑指南)

陷阱警示 (Pitfalls):

- Corner Bit:** Don't forget the bottom-right bit! It checks the parity of the "Row Parity Column" and "Column Parity Row". Both must yield the same result.
- 4-Bit Rectangular Error:** If 4 bits form a rectangle of errors, 2D parity **cannot detect** it (Row/Col parity remains even).

Slotted ALOHA (时隙 ALOHA)

Nodes transmit only at the beginning of synchronized slots.

- Success Condition:** Only ONE node transmits, all others are silent.
- Max Efficiency:**  $1/e \approx 0.37$  (when  $N \rightarrow \infty$ ).

必考 (Exam Focus)

Throughput Calculation (Tutorial 11 P2): General Formula:  
 $P(\text{Node } i \text{ success}) = P(i \text{ sends}) \times \prod_{j \neq i} P(j \text{ silent})$ .  
**Case 1: Asymmetric Nodes (A and B)** Given  $p_A > p_B$ .

- Throughput of A:  **$p_A(1 - p_B)$** . (A sends AND B is silent).
- Total Efficiency:  $p_A(1 - p_B) + p_B(1 - p_A)$ .
- Is throughput proportional?** If  $p_A = 2p_B$ , is  $Th_A = 2Th_B$ ? **No.** A's activity suppresses B's success chance.

**Case 2: One "Big" Node (A) vs Many "Small" Nodes** Node A has prob  $2p$ , others ( $N - 1$  nodes) have prob  $p$ .

- Throughput of A:  $2p \times (1 - p)^{N-1}$  (A sends,  $N - 1$  others silent).
- Throughput of any other node:  $p \times (1 - 2p) \times (1 - p)^{N-2}$  (Node sends, A silent,  $N - 2$  others silent).

CSMA (Carrier Sense Multiple Access)

"Listen before transmit".

- Why collisions occur?** Due to **Propagation Delay**. Node B may not hear A's transmission immediately and starts sending.

Self-learning Switches (自学习交换机)

Switches are plug-and-play devices that filter and forward frames based on MAC addresses.

- Learning:** Records (Source MAC, Incoming Port) into the Switch Table.
- Forwarding:**
  - If Dest MAC known: **Unicast** (Selective Forwarding).
  - If Dest MAC unknown: **Flood** (Send to all ports except incoming).

必考 (Exam Focus)

Switch Table Evolution (Tutorial 11 P4): Scenario: A sends to G (Flood); then G responds to A (Unicast). Topology:  $A \leftrightarrow S1 \leftrightarrow S4 \leftrightarrow S3 \leftrightarrow G$  (S2 is connected to S4 but not on the path).  
**Step 1: A  $\rightarrow$  G (Flooding)**

- All switches ( $S1, S2, S3, S4$ ) learn A's location.
- S2 receives the flood from S4, learns A is at the interface leading to S4.

**Step 2: G  $\rightarrow$  A (Unicast Response)**

- Path:  $G \rightarrow S3 \rightarrow S4 \rightarrow S1 \rightarrow A$ .
- $S3, S4, S1$  learn G's location.
- Crucial Point: S2 does NOT learn G.** The frame is unicast along the path  $S3 \rightarrow S4 \rightarrow S1$ . S2 is off-path and never sees the frame.

**Final Table State:**

- S1, S3, S4: Know both A and G.
- S2: Knows **only A**.

Part Supplement: 核心概念大白话讲解

- 二维校验 (2D Parity) 的 “神技”:** 单比特校验只能告诉你 “出错了”, 但不知道哪里错。二维校验就像玩 “数独”, 横着数不对, 竖着数也不对, 交叉点就是那个 “坏人”。这让它能**纠正** 1 bit 错误。但如果坏成一个矩形 (4 个角), 校验就全瞎了。
- Slotted ALOHA 的计算秘诀:** 不要死记公式。想求谁成功, 就让他**举手** ( $p$ ), 同时强迫**其他所有可能会捣乱的人闭嘴** ( $1 - p$ )。不管是 2 个人还是 N 个人, 逻辑永远是:  $P(\text{Me}) \times \text{AllOthers}(\text{Silent})$ 。
- S2 为什么没学到 G (Switching Trap):** 交换机是 “听声辨位” 的。
  - A 找 G 时是大喊大叫 (泛洪), 全网都听到了 A, 所以 S2 记住了 A。
  - G 回复 A 时, 因为大家都知道 A 在哪, 所以 G 是悄悄话传回去 (单播)。这条线不经过 S2, S2 根本听不到 G 的声音, 自然学不到 G。

Application Layer (Assignment 1)

POP3 Protocol 邮件协议 (Assign 1 P17)

Mail Access Protocol (retrieving mail from server). Stateless (server doesn't remember history across sessions).

- Download-and-delete:** Messages are removed after retrieval. User cannot access them from another device. 读完就删。坑点: 如果你在手

- 机上读了邮件，回家用电脑就看不到了。
- **Download-and-keep**: Server keeps a copy. **Trap**: If client is stateless, it might re-download old messages unless client tracks UIDs. 读完服务器还留底。现在的客户端大多默认这个。
  - **无状态 (Stateless)**: POP3 服务器不记事，它不知道你上次读到哪了。

Zoom 架构 (Assign 1 Q)

- **Architecture**: Hybrid. Client-Server for signaling/auth; P2P (preferred) or Server-Relay for media streaming.
- **Transport: UDP** is preferred for voice/video (speed > reliability). **TCP** used for signaling/chat.

Link Layer (Assignment 3)

Address Resolution Protocol (ARP) (Assign 3 P15)

Mapping IP Address (Network Layer) → MAC Address (Link Layer).

必考 (Exam Focus)

ARP Packet Flow:

- **Query**: "Who has IP X?" → Broadcast MAC (FF-FF-FF-FF-FF-FF).
- **Response**: "I have IP X, my MAC is Y" → Unicast MAC.
- **Switch Action**: Floods Broadcast frames; Learns Source MAC from incoming frames.
- **Router Action**: Stops Broadcasts. ARP is local to the subnet.

CSMA/CD (Assign 3 P18)

Carrier Sense Multiple Access / Collision Detection (Ethernet). 核心逻辑：边说边听。为什么有最小帧长限制？

- **Collision Condition**: Sender must transmit long enough to detect a collision returning from the farthest node.
- **Formula**:  $T_{trans} \geq 2 \times T_{prop\_max}$
- If  $T_{trans} < 2 \times T_{prop}$ , sender might finish before knowing a collision occurred (Packet lost without detection).

CRC 校验 (循环冗余检查) (Assign 3 P1)

Cyclic Redundancy Check.

必考 (Exam Focus)

Steps to calculate CRC (Remainder  $R$ ):

1. **Generator  $G$** :  $r + 1$  bits. (Degree  $r$ ).
2. **Append Zeros**: Add  $r$  zeros to Data  $D$ .
3. **Binary Division (XOR)**: Divide  $D \cdot 2^r$  by  $G$  using XOR subtraction.
4. **Remainder**: The result is the CRC.

*Example*:  $G = 10011$  (5 bits,  $r = 4$ ). Append 0000 to Data. Perform XOR division.

Part 5: 综合大题 - ”打开网页的全过程” (Assignment 3 P31)

**Scenario**: Connect to network, download URL. No cache.

1. **DHCP (UDP)**: New PC broadcasts "I need IP". DHCP Server replies with IP, Mask, DNS IP, Gateway IP.
2. **ARP (1)**: PC needs Gateway MAC to send outside. Broadcasts "Who has Gateway IP?". Gateway replies.
3. **DNS (UDP)**: PC sends DNS Query to DNS Server IP (via Gateway). Resolves URL → Web Server IP.
4. **TCP Handshake**: PC sends SYN to Web Server IP. (Routing via Gateway → Internet → Server).
5. **HTTP (TCP)**: PC sends HTTP GET. Server replies HTTP 200 OK.

Coach's Notes (避坑指南)

Common Exam Mistake: MAC Addresses

- **Source MAC**: Always the device *sending* the frame on the *current* link.
- **Dest MAC**: Always the device *receiving* the frame on the *current* link (e.g., the Gateway Router, NOT the final Web Server).
- **Src/Dest IP**: End-to-end (PC to Web Server), usually do not change.

Part Supplement: 核心概念大白话讲解

- **ARP Scope**: ARP 喊话 (Broadcast) 出不去路由器。你在家喊“谁是网关”，只有家里的设备听得见。
- **CSMA/CD**: “边说边听”。如果你说话太快（包太短），还没听到远处的回音（冲突信号）就挂了电话，那你就不知道刚才的话被盖住了。所以包必须够长。
- **Switch vs Router**: Switch 是“二层傻瓜”，只看 MAC，不知道 IP，看到不知道的 MAC 就广播；Router 是“三层精英”，看 IP，隔离广播，修改 MAC 头转发包。