

# Chapter1: 核心计算与交换技术

## 1. The Network Core: Switching (交换技术)

### 1. Circuit Switching (电路交换)

- Definition:** End-to-end resources (bandwidth) are reserved (资源独占).
- Multiplexing:** FDM (Frequency bands), TDM (Time slots).

#### 必考 (Exam Focus)

##### Exam Focus: 电路交换可以有几个对话同时进行

Network with 4 switches (A, B, C, D) in a ring. Each link has  $N$  circuits.

- Check:** Total active connections on any single link  $\leq N$ .
- Path Finding:** Connection A-C via B occupies Link A-B AND Link B-C.

### 2. Packet Switching (分组交换)

- Store-and-Forward:** Router must receive the entire packet before transmitting to next link.
- Message Segmentation:** Breaking a large message into smaller packets (Assgn 1 P31).

#### 必考 (Exam Focus)

##### Exam Focus: 传输时间计算 [Assgn 1 P31 & Tut 1 Q1]

Sending Data Size  $F$  over  $N$  links (hops) with rate  $R$ .

- Case A: 不切片 (One huge packet):**

$$D = N \times \frac{F}{R}$$

- Case B: 切片 ( $P$  packets, each size  $L$ ):** The first packet faces full delay; subsequent packets follow in a "pipeline".

$$D = \underbrace{N \times \frac{L}{R}}_{\text{Time for 1st pkt}} + \underbrace{(P-1) \times \frac{L}{R}}_{\text{Time for remaining pkts}} = (N+P-1) \frac{L}{R}$$

- Trade-off:** Segmentation reduces delay (pipelining) but adds overhead (headers).

#### 必考 (Exam Focus)

##### Exam Focus: Binomial & Congestion [Source: Tutorial 1 Q4]

Scenario:  $N$  users, active prob  $p = 0.1$ . Link supports  $K$  users.

- 正好  $n$  个用户在用 (Q4c Answer):

$$P(X = n) = \binom{N}{n} \cdot \underbrace{p^n}_{\text{active}} \cdot \underbrace{(1-p)^{N-n}}_{\text{inactive}}$$

Match option with  $p^n$ , e.g.,  $(0.1)^n (0.9)^{120-n}$  [Source: Tutorial 1 Q4c]

- Combinations Formula (组合数公式):

$$\binom{N}{n} = \frac{N!}{n!(N-n)!}$$

Example: From 4 choose 2 ( $\binom{4}{2}$ ) =  $\frac{4 \times 3 \times 2 \times 1}{(2 \times 1) \times (2 \times 1)} = 6$  [Source: Tutorial 1 Q4 Solution]

- 拥堵的概率 (活跃人数  $> K$ ):

$$P(\text{congestion}) = \sum_{i=K+1}^N P(X = i) = \sum_{i=K+1}^N \binom{N}{i} p^i (1-p)^{N-i}$$

### 2. Delay Physics (延迟物理学 - Assgn 1 P6 重点)

#### 1. Transmission vs. Propagation

$$d_{trans} = \frac{L}{R} \quad (\text{Size/Bandwidth}) \quad | \quad d_{prop} = \frac{d}{s} \quad (\text{Distance/Speed})$$

#### 必考 (Exam Focus)

##### Exam Focus: "Where is the bit?" [Assgn 1 P6]

Host A sends packet  $L$  to B (distance  $m$ , speed  $s$ , rate  $R$ ). Start at  $t = 0$ .

- At  $t = d_{trans}$ : The last bit has just left Host A.
- First Bit Position:** At time  $t$ , first bit is at distance  $x = t \times s$ .
- Comparison:**
  - If  $d_{prop} > d_{trans}$ : First bit is on the wire, last bit has left A. (Link contains the whole packet).
  - If  $d_{prop} < d_{trans}$ : First bit has arrived at B, last bit is still at A. (Packet stretches across the link).
- Equilibrium:**  $d_{prop} = d_{trans} \Rightarrow \frac{m}{s} = \frac{L}{R}$ .

### 3. Total Delay Calculation (综合计算)

#### 1. The Four Sources Formula

$$d_{total} = d_{proc} + d_{queue} + d_{trans} + d_{prop}$$

#### Coach's Notes (避坑指南)

##### 陷阱/易错点: Packetization Delay [Tutorial 2 Q1]

If converting Analog (Voice) to Digital:

- You cannot send bits one by one. You must fill a packet first.
- $d_{pack} = \frac{\text{Packet Size (bits)}}{\text{Encoding Rate (bps)}}$
- Total Time =  $d_{pack} + d_{trans} + d_{prop}$ .

#### 必考 (Exam Focus)

##### Exam Focus: Queuing Delay [Tutorial 2 Q4]

New packet arrives. One packet ( $L$ ) is halfway done ( $x$  bits sent).  $n$  packets waiting.

$$d_{queue} = \underbrace{\frac{L-x}{R}}_{\text{Residual time}} + \underbrace{n \times \frac{L}{R}}_{\text{Waiting packets}}$$

### 4. Diagnostic Tools: Traceroute (网络诊断工具 - 必考)

#### 必考 (Exam Focus)

##### Exam Focus: Traceroute/Tracert Mechanics [Source: Tutorial 2 Q5]

###### 1. Mechanism (原理):

- Uses ICMP packets with incrementing TTL (Time To Live).
- TTL = 1: 1st router drops packet, sends "Time Exceeded" back. (Measures Hop 1).
- TTL = 2: 2nd router drops packet... (Measures Hop 2).

###### 2. Output Columns (输出含义) [Q5a]:

- First column: Hop number (1, 2, 3...).
- Next 3 columns: Three distinct RTT measurements (measured in ms) for that hop. (Sends 3 probes per hop).
- Last column: Router Name/IP Address.

###### 3. The Asterisk '\*' (星号含义) [Q5b]:

- Means Request Timed Out (no response within default 5 sec).
- Reason: Packet lost OR (more likely) Firewall at that router blocks ICMP packets.

###### 4. Delay Anomaly (反常延迟) [Q5c]:

- Question: Why is RTT(Router  $N$ ) > RTT(Router  $N+1$ )? (Further is faster?)
- Answer: RTT includes Queuing Delay.
- Queuing is variable (stochastic). Hop  $N$  might be temporarily congested (high queuing) while Hop  $N+1$  is idle later.

## Part Supplement: 核心概念大白话讲解

### 1. Message Segmentation (报文分段) 到底快在哪里? (P31)

想象你要搬 1000 块砖去 3 公里外的工地，中间有 2 个中转站。

- \*\*No Segmentation (整车搬)\*\*: 你把 1000 块砖装一辆大卡车。开到中转站 A, 卸货, 检查, 再装车, 再开到 B... 必须等整车到了才能动。

- \*\*Segmentation (车队搬)\*\*: 你把砖分给 10 辆小车 (Packet)。
- \*\*流水线效应 (Pipeline)\*\*: 第 1 辆小车刚从中转站 A 出发去 B, 第 2 辆小车就可以立刻从起点出发去 A 了! 大家都在路上跑, 不用干等。
- \*\*公式记忆\*\*:  $(N + P - 1)L/R$ .
  - $- N \times L/R$ : 第 1 辆车跑完全程 (穿过 N 段路) 的时间。
  - $- (P - 1) \times L/R$ : 因为是流水线, 第 1 辆车到了之后, 剩下  $P - 1$  辆车会紧接着一个接一个到达 (每隔  $L/R$  来一辆)。

## 2. 详解四种延迟与“Where is the bit?” [Source: Assignment 1 P6]

### A. 四种延迟的物理意义 (The 4 Delays)

想象你要送一个车队 (数据包) 经过收费站 (路由器) 去往下一个城市:

- $d_{proc}$  (处理延迟 - 检查证件): 收费站大爷看一眼车牌、决定让你走哪条路的时间。每个交换机的处理延迟都要算。
- $d_{queue}$  (排队延迟 - 堵车): 你到收费站时, 前面已经有别的车在排队了。你得等它们发完。特点: 这是网络卡顿的罪魁祸首, 波动极大 (取决于拥堵程度)。
- $d_{trans}$  (传输延迟 - 挤牙膏/过闸口): 关键! 这是把你的车队一辆接一辆推过收费站闸口的时间。
  - 车队越长 (数据包  $L$  越大), 推得越慢。
  - 闸口手脚越快 (带宽  $R$  越大), 推得越快。
  - 公式:  $L/R$ 。只跟“推”的动作有关, 跟路多远没关系。
- $d_{prop}$  (传播延迟 - 路上跑/飞行): 关键! 这是车队离开闸口后, 在高速公路上狂奔到下一站的时间。
  - 路越远 (距离  $d$  越大), 跑得越久。
  - 车速越快 (光速  $s$  越大), 跑得越快。
  - 公式:  $d/s$ , 跟带宽  $R$  毫无关系。

### B. 考题直觉: 比特到底在哪里? [Source: Assignment 1 P6]

这题专门考  $d_{trans}$  和  $d_{prop}$  的物理区别。想象你在高空往地上挤牙膏:

- $d_{trans}$ : 你把整管牙膏从管子里挤出来的时间。
- $d_{prop}$ : 牙膏从空中掉到地上的时间。
- Case 1:**  $d_{prop} > d_{trans}$  (高空作业): 场景: 离地很高 (链路很长), 或者你挤得巨快 (带宽很大)。结果: 你手里的牙膏已经全部挤完了 (Last bit left sender), 但第一滴牙膏还没落地 (First bit hasn't reached receiver)。整条数据链都悬在半空中 (Link 上)。
- Case 2:**  $d_{prop} < d_{trans}$  (贴地作业): 场景: 离地很近 (链路短), 或者你挤得很慢 (带宽小)。结果: 你还在费劲地挤最后一点牙膏 (Last bit at sender), 第一滴早就掉地上了 (First bit arrived)。接收端已经开始收数据了, 发送端还没发完。

## Chapter2: 应用层

### 1. Principles & Addressing

#### Architectures

- Client-Server (C/S):** Server always-on, fixed IP. Clients do not talk directly. Scalability limited by server bandwidth.
- P2P:** No always-on server. Arbitrary end systems communicate. **Self-scalability** (New peers bring service capacity).

#### Process Addressing (Identifier)

Process ID = IP Address (Host) + Port Number (Process).

#### 必考 (Exam Focus)

##### Socket Identification (Tutorial 5 Q1/Q2)

- UDP Socket:** Identified by **2-tuple**: (Dest IP, Dest Port).
- TCP Socket:** Identified by **4-tuple**: (Src IP, Src Port, Dest IP, Dest Port).
- Implication:** Web Server (Port 80) distinguishes clients via Src IP/Port. Different source IPs connect to same Dest Port 80 but different *sockets*.

### 2. Web and HTTP

#### HTTP Basics (基础概念)

HTTP is **Stateless** (无状态) and uses **TCP** (Port 80).

- RTT (Round-Trip Time):** 数据包往返一次所需的时间。
- TCP Handshake (握手):** 建立连接需要消耗  $1 \times RTT$ 。
- Connection Types (连接类型):**
  - Non-Persistent (非持久):** 1 object per TCP connection.
  - Persistent (持久):** Multiple objects per TCP connection.

#### HTTP Message Anatomy (实战: HTTP 报文解剖)

Scenario: Analyzing raw ASCII from Wireshark (Tutorial 3 Q1).

#### Raw Message

```
GET /cs453/index.html HTTP/1.1<cr><lf> Host: gaia.cs.umass.edu<cr><lf> User-Agent: Mozilla/5.0 ...<cr><lf> Accept-Language: en-us,en;q=0.5<cr><lf> Connection: keep-alive<cr><lf> <cr><lf>
```

#### Line-by-Line Decoding (逐行解码)

##### 1. Request Line (请求行 - Line 1):

- GET: Method** (我要下载/获取资源)。
- /cs453...: Path** (资源路径)。注意: 这不是完整 URL。
- HTTP/1.1: Version** (1.1 默认支持持久连接)。

##### 2. Header Lines (首部行 - Lines 2+):

- Host: gaia.cs.umass.edu** (目标主机)。
  - Critical:** Web cache 和 Proxy 需要此信息来定位服务器。
- User-Agent:** 浏览器身份 (如 Chrome/Firefox)。服务器可据此返回适配内容 (Mobile vs Desktop)。
- Connection:**
  - keep-alive → Persistent:** 保持连接, 复用通道传后续数据。
  - close → Non-persistent:** 传完即断开。

#### Coach's Notes (避坑指南)

##### Trap: What's MISSING? (隐形考点)

- Q1: Client IP?** (客户端 IP 在哪?)

**A: Unknown.** HTTP 是应用层协议 (信纸), 不包含网络层 IP 地址 (快递单)。IP 在 IP Datagram 中。

- Q2: Full URL?** (完整地址是什么?)

**A:** 报文中只有路径。完整 URL =  $http:// + \text{Host 字段} + \text{Request Path}$ 。

#### HTTP Response Time Analysis (响应时间计算)

Ref: Tutorial 3 Q3. 核心考察 RTT 计算逻辑。

##### 1. Cost Model (耗时模型)

设  $RTT_0$  为 Client 到 Server 的往返时间。

- New Connection Cost** =  $2 \times RTT_0$

– 解释: 1 RTT (TCP 握手) + 1 RTT (HTTP 请求与响应)。

– 适用: Non-Persistent 的每次请求, 或 Persistent 的第一次请求。

- Existing Connection Cost** =  $1 \times RTT_0$

– 解释: 连接已建立, 只需 1 RTT (HTTP 请求与响应)。

– 适用: Persistent 的后续对象请求。

##### 2. Scenario Analysis (场景计算)

**Task:** Fetch 1 Base HTML +  $N$  Referenced Objects. (Total  $N + 1$  items). **Pre-condition:**  $RTT_{DNS}$  is the total time for DNS resolution.

##### Scenario A: Non-Persistent Serial (串行)

- Logic:** 每个对象都要新开连接, 必须排队 (One by one)。

- Formula:**

$$\text{Total} = RTT_{DNS} + \underbrace{2RTT_0}_{\text{Base HTML}} + \underbrace{N \times 2RTT_0}_{\text{N Objects}}$$

- Ex:** If  $N = 8$ , Delay =  $RTT_{DNS} + 18RTT_0$ .

##### Scenario B: Non-Persistent Parallel (并行, $k$ connections)

- Logic:** 就像用  $k$  辆车运  $N$  箱货。需要运送  $\lceil N/k \rceil$  趟 (Batches)。

**Critical Step:** **Base HTML** 必须先下载 (耗时  $2RTT_0$ ), 解析出  $N$  个链接后, 才能开启并行下载。

- Formula:**

$$\text{Total} = RTT_{DNS} + \underbrace{2RTT_0}_{\text{Base HTML}} + \lceil \frac{N}{k} \rceil \times 2RTT_0 + \underbrace{\text{Parallel Batches}}_{\text{N Objects}}$$

- Ex:** If  $N = 8, k = 5$ . Batches =  $\lceil 8/5 \rceil = 2$ . Delay =  $RTT_{DNS} + 2RTT_0 + 2(2RTT_0) = RTT_{DNS} + 6RTT_0$ .

##### Scenario C: Persistent (持久连接, Pipelining)

- Logic:** 握手一次, 后续直接传。

- Formula:**

$$\text{Total} = RTT_{DNS} + \underbrace{2RTT_0}_{\text{Base HTML}} + \underbrace{N \times 1RTT_0}_{\text{N Objects}}$$

- Ex:** If  $N = 8$ . Delay =  $RTT_{DNS} + 2RTT_0 + 8RTT_0 = RTT_{DNS} + 10RTT_0$ .

### 必考 (Exam Focus)

**Summary Cheat Sheet (必背公式)** Assumptions:  $N$  referenced objects.

1. **Non-Persistent:**  $2RTT_0(1 + N)$
2. **Non-Persistent Parallel ( $k$ ):**  $2RTT_0(1 + \lceil \frac{N}{k} \rceil)$
3. **Persistent:**  $2RTT_0 + N \times RTT_0$

Note: All formulas assume DNS is already resolved or added separately.

### 3. DNS (Domain Name System)

Map Hostname (www.site.com)  $\leftrightarrow$  IP. UDP Port 53.

#### Hierarchy

Root  $\rightarrow$  TLD (.com)  $\rightarrow$  Authoritative (site.com).

- **Iterative:** "I don't know, ask him" (Server returns next server IP).
- **Recursive:** "I'll find out for you" (Server queries next on your behalf).

### 4. DNS Latency Calculation (Tutorial 3 Q2)

#### Definitions (定义)

- $RTT_L$ : Round-Trip Time between Client  $\leftrightarrow$  Local DNS.
- $RTT_r$ : RTT between Local DNS  $\leftrightarrow$  External Servers (Root, TLD, Auth).
- **Assumption:** Local DNS acts as a proxy performing iterative queries.

### 必考 (Exam Focus)

#### Problem 4: IP Access vs. Hostname Access

1. Q: Can you access the webpage by typing this IP? A: No.

- **Key Concept: Virtual Hosting.**
- **Explanation:** Many websites share the same IP. The web server distinguishes them using the **HTTP Host header**.
- **Mechanism:** Typing the IP sets the Host header to the IP address. The server typically doesn't map the raw IP to the specific website configuration, resulting in a default page or error.

### 必考 (Exam Focus)

**Scenario A: No Cache (Full Query)** Local DNS must query the entire hierarchy.

1. **Client  $\leftrightarrow$  Local:** Request + Final Reply ( $1 \times RTT_L$ ).
2. **Local  $\leftrightarrow$  Root:** Get TLD address ( $1 \times RTT_r$ ).
3. **Local  $\leftrightarrow$  TLD:** Get Auth address ( $1 \times RTT_r$ ).
4. **Local  $\leftrightarrow$  Auth:** Get IP address ( $1 \times RTT_r$ ).

$$\text{Total Delay} = RTT_L + 3RTT_r$$

### 必考 (Exam Focus)

**Scenario B: With Local Cache** Local DNS has the IP mapping cached.

- Local DNS replies immediately without contacting external servers.
- Only Client-Local interaction is needed.

$$\text{Total Delay} = RTT_L$$

#### Coach's Notes (避坑指南)

**Variant: Partial Cache (变种考点)** Q: What if Local DNS caches the TLD server address but not the final IP? A:

- Skip Root ( $0 \times RTT_r$ ).
- Must query TLD ( $1 \times RTT_r$ ) + Auth ( $1 \times RTT_r$ ).
- **Total:**  $RTT_L + 2RTT_r$ .

### File Distribution: C-S vs. P2P

#### 必考 (Exam Focus)

#### Exam Focus: 文件分发时间计算

Let  $F$  = 文件大小 (bits),  $N$  = 接收文件的 Peer 数量,  $u_s$  = 服务器上上传速率 (upload rate of server),  $d_{min}$  = 最小的下载速率,  $u_i$  = 第  $i$  个 Peer 的上传速率.

1. **Client-Server (C-S) Architecture:** The server must upload  $N$  copies sequentially.

$$D_{CS} \geq \max \left\{ \frac{NF}{u_s}, \frac{F}{d_{min}} \right\}$$

- If  $u_s/N < d_{min}$ : Server is bottleneck  $\rightarrow$  Time is  $NF/u_s$ .
- If  $u_s/N > d_{min}$ : Slowest client is bottleneck  $\rightarrow$  Time is  $F/d_{min}$ .

2. **Peer-to-Peer (P2P) Architecture:** Server uploads at least once; system capacity grows with  $N$ .

$$D_{P2P} \geq \max \left\{ \frac{F}{u_s}, \frac{F}{d_{min}}, \frac{NF}{u_s + \sum u_i} \right\}$$

- Term 1 ( $F/u_s$ ): Server sends one copy (min requirement).
- Term 2 ( $F/d_{min}$ ): Slowest peer download time.
- Term 3 ( $\frac{NF}{u_{total}}$ ): System aggregate upload limit.

#### Coach's Notes (避坑指南)

#### Unit Conversion Trap!

Most problems give File Size in Gbits or MBytes, but Rates in Mbps or Kbps.

- Always convert everything to bits and bits/sec (or Mb and Mbps) first!
- $1 \text{ Gbit} = 1000 \text{ Mbits} = 10^9 \text{ bits}$  (Network definitions usually use decimal  $10^3$ , not  $2^{10}$ , read question carefully).
- Example:  $F = 15 \text{ Gbits} = 15,000 \text{ Mbits}$ .

### Case A: Server Bottleneck (瓶颈)

Condition:  $\frac{u_s}{N} < d_{min}$

#### 必考 (Exam Focus)

#### Standard Answer Template (背诵):

- **Bottleneck Identification:** Since  $u_s/N < d_{min}$ , the **bottleneck** is the server's upload capacity.
- **Scheme:** The server transmits the file to all  $N$  peers **simultaneously**, dividing its total upload rate  $u_s$  equally among them.
- **Justification:** Each peer receives data at a rate of  $u_s/N$ . Since  $u_s/N < d_{min}$ , every peer is capable of downloading at this rate.
- **Time:**

$$\text{Time} = \frac{F}{u_s/N} = \frac{NF}{u_s}$$

### Case B: Client Bottleneck (瓶颈)

Condition:  $\frac{u_s}{N} > d_{min}$

#### 必考 (Exam Focus)

#### Standard Answer Template (背诵):

- **Bottleneck Identification:** Since  $u_s/N > d_{min}$ , the **bottleneck** is the peer with the minimum download rate ( $d_{min}$ ).
- **Scheme:** The server transmits the file to each peer simultaneously at a rate of  $d_{min}$ .
- **Justification:** The total upload rate required from the server is  $N \cdot d_{min}$ . Since  $u_s/N > d_{min}$  implies  $u_s > N \cdot d_{min}$ , the server has **sufficient bandwidth** to support this.
- **Time:**

$$\text{Time} = \frac{F}{d_{min}}$$

### BitTorrent Protocol

#### Mechanisms

- **Tit-for-Tat:** Users reciprocate by uploading to peers who upload to them at the highest rates. (Prevents free-riding to some extent).
- **Choking:** Temporarily refusing to upload to a peer.
- **Optimistic Unchoking:** Periodically selecting a *random* peer to upload to, regardless of past performance.
  - Purpose: To discover better connections and let new peers (with no data) start downloading.

#### Tutorial 原题

- **Free-riding:** Can Bob download without uploading? **Yes**, but very slowly. He relies entirely on *Optimistic Unchoking* from others.
- **Sybil Attack:** Can Bob speed up free-riding? **Yes**. By creating multiple identities (different IPs), he increases the probability of being selected for *Optimistic Unchoking* by other peers.

### Streaming Video (DASH)

**DASH:** Dynamic Adaptive Streaming over HTTP.

- **Concept:** Video is split into chunks; each chunk is encoded at multiple rates/qualities. Client requests chunks dynamically based on current bandwidth.

- **Storage Question:** How many files does the server store for  $N$  qualities?

- **Mixed Audio/Video:** Audio & Video mixed in one file.  $\Rightarrow N$  files.

- **Separate Audio/Video:** Audio and Video stored separately (flexible).  $\Rightarrow 2N$  files ( $N$  video files +  $N$  audio files).

#### Part Supplement: 核心概念大白话讲解

##### 1. P2P 为什么快? (The Intuition)

- CS 模式就像老师发卷子, 只有老师一个人在发, 学生越多, 老师越累(时间  $NF/u_s$ ), 发完所有人的时间线性增长。
- P2P 模式就像传八卦, 老师告诉一个学生, 这个学生转头告诉其他人。\*\* 每个人都在贡献上传带宽 \*\*。人越多, 帮忙传的人也越多, 所以时间不会无限变长, 而是趋于稳定。

##### 2. 怎么算 P2P 时间? (The Formula) 不要死记硬背, 看短板原理:

- 短板 1 (发车): 服务器至少得先把文件完整吐出来一次吧?  $\rightarrow F/u_s$
- 短板 2 (接收): 最笨的那个学生(网速最慢)接收完要多久?  $\rightarrow F/d_{min}$
- 短板 3 (总水量): 整个池子需要  $N \times F$  的水, 而所有水龙头(服务器 + 所有 Peers) 加起来的出水速度是  $u_{total}$ 。灌满池子要多久?  $\rightarrow NF/u_{total}$
- 取最大值: 这三个短板里最慢的那个, 就是最后完成的时间。

##### 3. BitTorrent 的“吸血鬼” (Free-riding)

- 系统设计是“谁对我好, 我对谁好” (Tit-for-Tat)。
- 但是为了给新人机会, 系统会每隔一会“随机选个幸运儿”送数据 (Optimistic Unchoking)。
- **Bob 想白嫖:** 他不上传, 正经途径拿不到数据, 只能等着被当成“幸运儿”。
- **Bob 开挂:** 他开 100 个小号, 被随机砸中当幸运儿的概率就大了, 这就是 Sybil Attack。

##### 5. Electronic Mail

- **SMTP:** Push. Client to Server, or Server to Server. Persistent. ASCII.
- **POP3:** Pull. Download & Delete (stateless) or Keep.
- **IMAP:** Pull. Complex, keeps state (folders) on server.

## Chapter3: 传输层

### Multiplexing & Demultiplexing (Socket Identification)

#### UDP vs. TCP Socket Identification

##### • UDP Socket (Connectionless):

- Identified by a **2-tuple**: (Destination IP, Destination Port).

- **Behavior:** Packets with the *same* Dest IP and Dest Port are directed to the *same* socket, regardless of Source IP/Port.

##### • TCP Socket (Connection-Oriented):

- Identified by a **4-tuple**: (Source IP, Source Port, Destination IP, Destination Port).

- **Behavior:** A Web Server (Port 80) creates a **unique socket** for each distinct connection. Even if two packets target Port 80, if their Source IP or Source Port differ, they go to different sockets.

#### Coach's Notes (避坑指南)

##### 陷阱/易错点 (Exam Trap):

- **Q:** 两个不同的客户端 (Host A, Host C) 向同一个 Web 服务器 (Host B, Port 80) 发送 HTTP 请求, 它们会进入同一个 Socket 吗?
- **A: 不会。** 因为 HTTP 使用 TCP, TCP Socket 由 4-tuple 标识。Host A 和 Host C 的 Source IP 不同, 因此服务器会分流 (Demultiplex) 到两个不同的进程/线程中处理。
- **注意:** 返回的数据包 (Reply Segment) 会交换源和目的。例如, Server 回复 Host A 时, Source Port = 80, Dest Port = A's ephemeral port.

#### 必考 (Exam Focus)

##### Exam Focus: Scenario Analysis (From Problem 2)

- **Context:** Host C (IP: C) runs two browser windows.
- **Process 1:** Source Port 7532  $\rightarrow$  Server B (IP: B), Port 80.
- **Process 2:** Source Port 26145  $\rightarrow$  Server B (IP: B), Port 80.
- **Server Response:**
  - To Process 1: Src Port: 80, Dst Port: 7532, Dst IP: C.
  - To Process 2: Src Port: 80, Dst Port: 26145, Dst IP: C.
- **Key:** The Source Port distinguishes the two processes on the same host.

### 互联网校验和 (Error Detection)

#### Calculation Method (1s Complement Sum)

**Mechanism:** Treat data as a sequence of 16-bit (or 8-bit in tutorial) integers.

1. **Sum:** Add integers using standard binary addition.
  2. **Wraparound:** If there is a carry out of the MSB (Most Significant Bit), add 1 to the result.
  3. **Checksum:** Take the **1s complement** (flip all bits) of the final sum.
- **检测错误:** The receiver adds all received data words plus the received Checksum.
- If the result is **all 1s** ( $1\dots 1$ , which is  $-0$  in 1s complement), the data is considered valid.
  - If the result contains any **0**, an error is detected.

#### 错误检测能力分析 (From Tutorial 5 Q3)

##### • 1-bit Error: Always Detected.

- If a single bit flips ( $0 \rightarrow 1$  or  $1 \rightarrow 0$ ), the total sum will change. The new sum added to the original checksum will no longer result in all 1s.

##### • 2-bit Error: Possible to Go Undetected.

- If two errors occur that “cancel each other out” during the sum-

mation, the error will be missed.

- **Example:** If one bit in a column flips  $0 \rightarrow 1$  and another bit in the **same column** (but different word) flips  $1 \rightarrow 0$ , the sum for that column remains unchanged.
- All one-bit errors will be detected, but two-bit errors can be undetected (e.g., if the last digit of the first word is converted to a 0 and the last digit of the second word is converted to a 1).

#### 必考 (Exam Focus)

##### Calculation Example (From Problem 3): Sum three 8-bit bytes:

00100011, 01001110, 01010100.

1. Add first two:  $00100011 + 01001110 = 01110001$
2. Add third:  $01110001 + 01010100 = 11000101$  (Sum)
3. Checksum (flip bits):  $\sim 11000101 = 00111010$

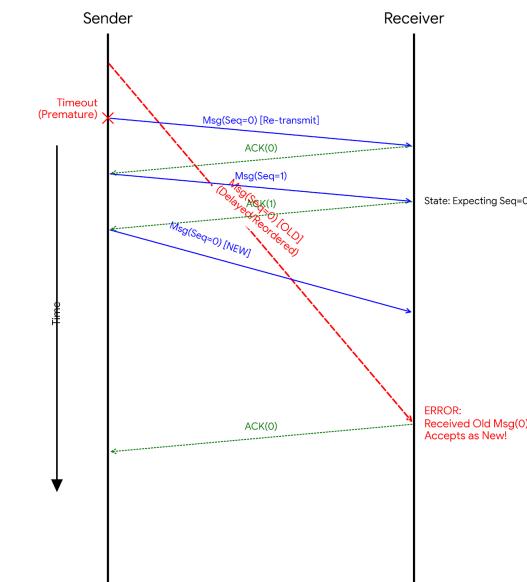
## RDT 3.0 Failure under Packet Reordering

#### The Problem Scenario

- **Question:** If the network can **reorder messages** (not just lose them), will RDT 3.0 (Alternating-Bit Protocol, Seq 0/1) work?
- **Answer: No, it fails.**
- **Reason:** The protocol relies on 1-bit sequence numbers (0 and 1). It assumes FIFO (First-In-First-Out). A delayed “Old Packet 0” cannot be distinguished from a “New Packet 0” if they arrive out of order.

#### Failure Trace Analysis

The failure is triggered by a **Premature Timeout** caused by network delay (packet reordering).



### 必考 (Exam Focus)

#### Exam Focus: Failure Step-by-Step (Tutorial 5 Problem 4)

Assume Sender is on left, Receiver on right (as shown in the figure above).

1. **Send Old M0:** Sender transmits packet  $M0_{old}$ . It gets **delayed** (stuck in network), but NOT lost.
2. **Premature Timeout:** Sender timer expires. Sender thinks  $M0$  is lost.
3. **Resend M0:** Sender retransmits  $M0_{rex}$ .
4. **Normal Exchange:**
  - Receiver gets  $M0_{rex}$ , sends  $ACK_0$ .
  - Sender gets  $ACK_0$ , sends  $M1$ .
  - Receiver gets  $M1$ , sends  $ACK_1$ .
5. **Send New M0:** Sender gets  $ACK_1$ , sends next packet  $M0_{new}$  (Seq wraps back to 0).
6. **The Failure:** The original delayed  $M0_{old}$  finally arrives at Receiver.
7. **Receiver Error:**
  - Receiver is waiting for Seq 0 (the  $M0_{new}$ ).
  - It sees  $M0_{old}$  (Seq 0).
  - **Outcome:** Receiver **accepts old data as new data**. Protocol fails.

### Coach's Notes (避坑指南)

#### 陷阱/易错点 (Why 1-bit Seq is not enough):

- **误区:** 认为有 ACK 和 Timeout 就能保证可靠。
- **真相:** ACK/Timeout 解决的是丢包问题。
- 面对乱序 (Reordering), 必须有足够的序号空间 (Sequence Number Space) 来区分不同轮次的同一个序号 (例如区分“第 1 轮的包 0”和“第 2 轮的包 0”)。
- RDT 3.0 只有 1 bit (0/1), 无法区分, 所以会把旧包当新包。

### 两种核心协议 (GBN vs SR)

#### Mechanism Comparison

- **Go-Back-N (GBN):**
  - **ACK Type:** **Cumulative ACK**.  $ACK(n)$  表示序号  $n$  及之前的所有包都已正确接收。
  - **Receiver Behavior:** 只接收按序到达的包。如果中间有包丢失 (如 pkt 6 丢了), 后续到达的包 (pkt 7) 会被直接丢弃 (Discard), 并重发对最后一个按序到达包的 ACK (即 ACK 5)。
  - **Sender Behavior:** 一旦超时, 重传所有已发送但未确认的包 (即“回退 N 步”)
- **Selective Repeat (SR):**
  - **ACK Type:** **Individual ACK**. 接收端会对每个正确接收的包单独发送 ACK。
  - **Receiver Behavior:** 如果 pkt 6 丢了, pkt 7 到达, 接收端会缓存 (Buffer) pkt 7 并发送 ACK 7。

- **Sender Behavior:** Maintains a timer for *each* packet. On timeout, retransmits **ONLY** the lost packet. (只重传超时未收到 ACK 的那个包 (如只重传 pkt 6))

### 必考 (Exam Focus)

#### Exam Focus: Timeout & Delayed ACK (Problem 2d)

**Scenario:** Seg 1 & 2 arrive at B. B sends ACK 207 & ACK 247. **ACK 207 is lost**. A times out.

(场景: Seg 1 和 2 到达 B. B 发送 ACK 207 和 247。ACK 207 丢失。A 发生超时。)

1. **Timeout at A (A 发生超时):** Since ACK 207 is lost and ACK 247 hasn't arrived, A times out on Seg 1.  
(由于 ACK 207 丢失且 ACK 247 未到达, A 对 Seg 1 触发超时。)
2. **Retransmission (重传):** A re-sends **Seg 1** (Seq 127). (TCP re-transmits oldest unacked).  
(A 重传 \*\*Seg 1\*\*。TCP 总是重传最早未被确认的段。)
3. **Late ACK Arrives (迟到的 ACK):** ACK 247 arrives at A.  
(ACK 247 到达 A。)
4. **Resolution (解决机制):**
  - Because TCP uses **Cumulative ACK**, ACK 247 implies "I have everything up to 247".  
(因 TCP 使用 \*\*累积确认\*\*, ACK 247 意味着“247 之前的数据全收到了”。)
  - Sender A marks both Seg 1 and Seg 2 as acknowledged. No further retransmissions.  
(A 将 Seg 1 和 Seg 2 都标记为已确认。A 不会再重传 Seg 2。)
5. **Receiver's Response (接收端响应):** B receives retransmitted Seg 1. It discards data (duplicate) but sends **ACK 247** (current expectation).  
(B 收到重传的 Seg 1。丢弃重复数据, 但再次发送 \*\*ACK 247\*\* 告知当前期望序号。)

### Coach's Notes (避坑指南)

#### 陷阱/易错点 (Cumulative ACK Power):

- **误区:** 以为 ACK 207 丢了, Host A 就永远不知道 Seg 1 到了。
- **真相:** 只要后续的 ACK 247 到了, 它就覆盖了 ACK 207 的功能。累计确认意味着: “只要我收到了大的 ACK, 小的 ACK 丢了也没事”。

### 超时计算公式 (From Tutorial 6 Q4)

#### The Three-Step Algorithm

You must calculate these in exact order for every new sample.

1. **更新 EstimatedRTT (相当于“移动平均线”, 反映正常水平):**

$$EstimatedRTT_{new} = (1 - \alpha) \cdot EstimatedRTT_{old} + \alpha \cdot SampleRTT$$

(Typically  $\alpha = 0.125$ )

2. **更新 DevRTT (相当于“波动率”, 反映网络抖动):**

$$DevRTT_{new} = (1 - \beta) \cdot DevRTT_{old} + \beta \cdot |SampleRTT - EstimatedRTT_{new}|$$

(Typically  $\beta = 0.25$ . Note: Use the NEW EstimatedRTT here!)

### 必考 (Exam Focus)

#### Exam Focus: Packet Loss Scenario (Problem 1)

**Scenario:** Window size  $N = 8$ . Packets 0, 1, ..., 7 sent. **Packet 6 is lost**.

1. **GBN Outcome:**
  - Receiver gets 0-5: Sends ACK 0-5.
  - Receiver gets 7: **Discards 7** (out-of-order). Re-sends **ACK 5** (Last correct in-order).
  - Sender receives: ACK 0, 1, 2, 3, 4, 5, **5, 5** (Duplicate ACKs).
2. **SR Outcome:**
  - Receiver gets 0-5: Sends ACK 0-5.
  - Receiver gets 7: **Buffers 7**. Sends **ACK 7**.
  - Sender receives: ACK 0, 1, 2, 3, 4, 5, **7**. (Missing ACK 6).

### Sequence and Acknowledgement Numbers

#### Definitions

- **Sequence Number (Seq):** The byte-stream number of the **first** byte in the segment.
- **Acknowledgement Number (ACK):** The sequence number of the **next byte** expected by the receiver.
- **Calculation:**  $Next\_Seq = Current\_Seq + Data\_Size$ .

### Scenario Analysis (From Tutorial 6 Q2)

- **Setup:** Host B has received bytes up to 126. Next expected is 127.
- **Transmission:**
  - **Seg 1:** Seq 127, Size 80 bytes. (Range: 127-206).
  - **Seg 2:** Seq 207, Size 40 bytes. (Range: 207-246).

3. 更新 TimeoutInterval (意思是不怕慢, 就怕慢得离谱。只有慢出 4 倍安全区, 才算超时) :

$$\text{TimeoutInterval} = \text{EstimatedRTT}_{\text{new}} + 4 \cdot \text{DevRTT}_{\text{new}}$$

#### 必考 (Exam Focus)

#### Calculation Trace (Problem 4):

Given: Initial Est=100, Dev=5.  $\alpha = 0.125$ ,  $\beta = 0.25$ .

#### Sample 1: 106 ms

- $\text{Est} = 0.875(100) + 0.125(106) = 100.75 \text{ ms}$
- $\text{Dev} = 0.75(5) + 0.25|106 - 100.75| = 5.06 \text{ ms}$
- $\text{Timeout} = 100.75 + 4(5.06) = 121 \text{ ms}$

#### Sample 2: 120 ms (Use values from Sample 1 as Old)

- $\text{Est} = 0.875(100.75) + 0.125(120) = 103.16 \text{ ms}$
- $\text{Dev} = 0.75(5.06) + 0.25|120 - 103.16| = 8.01 \text{ ms}$
- $\text{Timeout} = 103.16 + 4(8.01) = 135.2 \text{ ms}$

## Part Supplement: 核心概念大白话讲解

#### • GBN vs SR (快递员的性格):

- GBN (强迫症): “包裹 6 丢了? 那我手里拿到的包裹 7 也不要了, 你把 6 和 7 都给我重发一遍!” (丢弃乱序, 累计确认)。
- SR (好说话): “包裹 6 丢了? 没事, 包裹 7 我先收下存着。你只把 6 补给我就行。” (缓存乱序, 独立确认)。

#### • TCP 的累计确认 (Cumulative ACK):

- 就像闯关游戏。ACK 247 意思是“第 247 关之前的我都通关了”。
- 哪怕你没收到“通关第 207 关”的提示 (ACK 丢失), 只要看到“通关第 247 关”的提示, 你就知道前面的肯定都过了。

## TCP Congestion Control (拥塞控制核心)

#### 1. Phases & Transitions (状态流转)

TCP 通过调整拥塞窗口 (**cwnd**) 来控制发送速率。

#### • Slow Start (SS):

- 特征: 指数增长 (Exponential), 每收到一个 ACK, cwnd += 1 MSS (每 RTT 翻倍)。
- 条件:  $cwnd < ssthresh$ 。
- 目的: 刚开始或重置后, 快速拉升占用带宽。

#### • Congestion Avoidance (CA):

- 特征: 线性增长 (Additive Increase), 每过一个 RTT, cwnd += 1 MSS。
- 条件:  $cwnd \geq ssthresh$ 。
- 目的: 接近瓶颈时小心试探。

#### • Fast Recovery (FR) (仅 Reno):

- 特征: 在收到 3 个重复 ACK 后进入。此时 cwnd 保持较高水平 ( $ssthresh + 3$ ), 每收到一个重复 ACK, cwnd 还会临时增加 (模拟数据包恒定), 直到新 ACK 到达退出 FR 进入 CA。

#### 2. Reno vs Tahoe (必考区别)

核心考点: 发生丢包时, 两者的反应不同。请务必区分 **Timeout** 和 **3 Duplicate ACKs** 两种场景。

#### Scenario A: Timeout (严重拥塞)

场景描述: ACK 完全没回来, 通常意味着网络严重堵塞。

#### • TCP Tahoe & Reno (行为一致):

- **ssthresh**: 设为当前  $cwnd/2$
- **cwnd**: 重置为 1 MSS
- 状态流转: 进入 Slow Start

#### Scenario B: 3 Duplicate ACKs (轻微拥塞)

场景描述: 收到重复 ACK, 说明有后续包到达 (网络还能通), 只是中间丢了一个。

#### • TCP Tahoe (激进重置):

- **ssthresh**: 设为当前  $cwnd/2$
- **cwnd**: 重置为 1 MSS
- 状态流转: 进入 Slow Start

#### • TCP Reno (快速恢复):

- **ssthresh**: 设为当前  $cwnd/2$
- **cwnd**: 设为  $ssthresh + 3$  (减半后膨胀)
- 状态流转: 进入 Fast Recovery (随后进入 CA)

## Sequence & ACK Calculation (计算题)

#### 1. Sequence Number Logic

TCP 是字节流协议, Sequence Number 指的是报文段中第一个字节的编号。

#### Coach's Notes (避坑指南)

#### True/False Trap (Tutorial 8 Q1):

1. **Truth:** Next Seq =  $m + \text{Data Length}$ . (TCP 计数基于字节, 不是包个数! )
2. **Truth:** Host B sends empty ACK segments if no data is available. (必须确认)

#### 必考 (Exam Focus)

Scenario: Seg 1 (Seq=65) and Seg 2 (Seq=92) sent back-to-back.

- Q: Size of Seg 1?
- A:  $\text{Seq}_2 - \text{Seq}_1 = 92 - 65 = 27 \text{ bytes}$ .

#### 2. ACK Number Logic (Cumulative ACK)

ACK 表示接收方期待接收的下一个字节。如果中间有丢包, ACK 会卡在第一个丢失字节的编号上。

#### 必考 (Exam Focus)

Scenario: Seg 1 (Seq=65, Size=27) is LOST. Seg 2 (Seq=92) ARRIVES.

- **Receiver State:** 收到了 92, 但 65 还没到。

- **Logic:** 只能确认连续数据的末尾。

- **ACK Value:** 65 (一直喊“我要 65”)。

- **Note:** 即使 Seg 2 到了, 也不能 ACK 92+Length, 必须 ACK 65。

## Performance & Utilization (窗口计算)

#### Utilization Formula

要求信道利用率 (Utilization) 达到一定比例, 求最小窗口  $N$ 。

#### Formula:

$$U = \frac{\text{Time to send } N \text{ packets}}{\text{Total Cycle Time}} = \frac{N \times (L/R)}{RTT + (L/R)}$$

- $N$ : Window Size (packets)

- $L/R$ : Transmission Delay (Time to push one packet)

- $RTT$ : Round Trip Time

#### Coach's Notes (避坑指南)

#### Calculation Steps (Tutorial 7 P4):

1. **Unit Conversion:** 将 Packet Size 换算成 bits, 将  $L/R$  换算成 msec (与 RTT 统一)。

$$L = 1200 \text{ bytes} = 9600 \text{ bits}$$

$$d_{trans} = \frac{9600}{10^9} = 9.6\mu\text{s} = 0.0096 \text{ ms}$$

2. **Solve Inequality:**

$$\frac{N \times 0.0096}{30 + 0.0096} \geq 0.97 \implies N \geq 3032.22$$

3. **Round Up:**  $N = 3033$ .

## Fairness (公平性)

#### TCP vs UDP

- **UDP:** 无拥塞控制, 不退让, 可能挤占带宽。

- **TCP:** 有拥塞控制 (AIMD), 理论上趋向于  $R/N$  的公平分配。

- **Key Question:** Is TCP fairer? NO.

- **Reason:** TCP 应用可以开启 Parallel Connections (如 Web 浏览器开 10 个连接下载图片)。即使每个连接都退让, 总带宽占用依然比单连接用户大得多。

## Part Supplement: 核心概念大白话讲解

#### • 关于 Tahoe vs Reno:

- **Tahoe (老实人):** 不管是超时还是 3 个重复 ACK, 一律心态崩盘, cwnd 回到 1, 慢启动重来。

- **Reno (聪明人):** 如果是 3 个重复 ACK (说明网络还能传 ACK, 没死透), cwnd 减半, 然后直接进入拥塞避免 (加法增长), 这叫“快速恢复”。只有超时 (彻底死透) 才回 1。

#### • 关于 Sequence Number:

- 就像书的页码。第一个包是第 1-100 页 (Seq=1), 第二个包是第 101-200 页 (Seq=101)。
- 如果你收到 Seq=101 的包, 你就知道第一个包肯定是  $101 - 1 = 100$  字节长。

#### • 关于 Pipeline 利用率:

- 想象一条传送带。停止等待协议就是放一个包裹, 等它到了终点拿回再放下一个, 中间全是空的。
- Pipeline 就是要一次性放 N 个包裹, 铺满传送带。公式就是算出这 N 个包裹的总长度除以传送带循环一次的时间。

## Chapter4: 网络层

### Fragmetation Parameters

Due to Link Layer MTU (Maximum Transmission Unit) limits, large IP datagrams must be split.

- **Identification (ID):** Same for all fragments of one original packet. (同一个原始包的所有分片 ID 相同)
- **Flags (MF):** 1 = More fragments follow; 0 = Last fragment.
- **Fragment Offset:** Position of the fragment data, divided by 8.

### 必考 (Exam Focus)

**Calculation Steps (Tutorial 8 P2): Given:** Datagram=1600B (20B Header + 1580B Data), MTU=500B.

#### 1. Calculate Max Payload:

$$\text{MaxData} = \text{MTU} - \text{Header} = 500 - 20 = 480 \text{ bytes}$$

#### 2. Check 8-Byte Rule:

$$480 \bmod 8 = 0 \quad (\text{Perfect! If not, round down to nearest 8})$$

#### 3. Calculate Number of Fragments:

$$\lceil 1580 / 480 \rceil = 4 \text{ fragments}$$

#### 4. Calculate Offset for k-th fragment:

$$\text{Offset}_k = \frac{(k-1) \times 480}{8}$$

Offsets: 0, 60, 120, 180.

### Coach's Notes (避坑指南)

#### Why Divide by 8?

- The Offset field in IP Header is only **13 bits**, but Total Length is **16 bits**.
- Hardware constraint requires a scaling factor of  $2^3 = 8$ .
- Consequence:** Payload of all non-last fragments **must** be divisible by 8.

#### Part Supplement: 核心概念大白话讲解

##### • 关于分片 (Fragmentation):

- 就像搬家。你有一个 1600 斤的大柜子 (Datagram), 但电梯 (MTU) 一次只能运 500 斤。
- 你必须把柜子拆成几块。每块除了木头 (Payload), 还要包上防撞角 (Header, 20 斤)。
- 所以每次只能运 480 斤木头。

##### • 关于 Offset 为什么除以 8:

- 头部里的“位置记录本”(Offset 字段)格子太小写不下大数字。所以约定: 记录本上写“1”, 代表实际的“8”。填表的时候千万别忘了除以 8!

#### Longest Prefix Match (最长前缀匹配)

When forwarding a packet, the router selects the entry with the **longest matching subnet mask**. 当一个 IP 地址同时匹配转发表中的多条记录时, 路由器会选择 \*\*掩码最长 (匹配的位数最多)\*\* 的那一条作为转发接口。

**Rule:** More specific routes (longer prefix) > General routes (shorter prefix).

### 必考 (Exam Focus)

**Handling "Holes" in Ranges (Tutorial 8 P4):** How to route a range like Link 2 (...0001 to ...1111) while excluding ...0000?

- Strategy:** Do NOT break Link 2 into tiny pieces.
- Step 1:** Define Link 2 generally (e.g., prefix 11100001 -> Interface 2).
- Step 2:** Define the "Hole" / Exception specifically (e.g., prefix 11100001 00000000 -> Interface 1 or Default).
- Logic:** The router will automatically match the "Hole" to the specific rule (Longer Prefix) and the rest to the general rule.

#### Part Supplement: 核心概念大白话讲解

##### • 最长前缀匹配 (LPM):

- 就像快递分拣。
- 规则 A: “北京的快递” -> 放左边。
- 规则 B: “北京海淀的快递” -> 放右边。
- 这是一个“北京海淀”的快递, 虽然它也属于北京, 但规则 B 描述得更精确 (前缀更长), 所以必须按规则 B 走。

##### • 打补丁策略:

- 如果你想表达“除了小明, 全班都出去”。

- 不需要列出除了小明外的所有名字。

- 只需要两条规则: 1. “小明 -> 留下”; 2. “全班 -> 出去”。

- 因为“小明”比“全班”更具体, LPM 原则会保证小明被留下来。

### 链路状态路由 (Link-State Routing) - Dijkstra 算法【Centralized】

Link-State (LS) routing algorithms require global network knowledge (topology and link costs) available at every node. **Dijkstra's Algorithm** is the standard implementation.

- 前提:** 网络拓扑和所有链路开销 (Link Costs) 对所有节点都是已知的。通常通过 Link State Broadcast 实现

- Goal:** Compute least-cost paths from one source node to all other destinations.

##### • Notation:

- $c(x, y)$ : 节点  $x$  到  $y$  的链路开销。如果不相邻则为  $\infty$ .
- $D(v)$ : 当前从源节点到节点  $v$  的路径开销估值
- $p(v)$ : 路径中  $v$  的前驱节点 (Predecessor).
- $N'$ : 已找到确定最短路径的节点集合。

### Dijkstra's Iteration Steps

- Initialization:**  $N' = \{u\}$  (source). For all neighbors  $v$ ,  $D(v) = c(u, v)$ . Others  $\infty$ .

#### 2. Loop:

- Find  $w$  not in  $N'$  with the **minimum  $D(w)$** .
- Add  $w$  to  $N'$ .
- Update neighbors:** For each neighbor  $v$  of  $w$  (not in  $N'$ ):

$$D(v) = \min(D(v), D(w) + c(w, v))$$

- Logic:** New cost = Cost to get to  $w$  + Cost from  $w$  to  $v$ .

### 必考 (Exam Focus)

**Exam Focus: Running Dijkstra (Tutorial 9 P1) Task:** Compute shortest path from node x. **Step-by-Step Table:**

Step	$N'$	$D(v), p(v)$	$D(u), p(u)$	$D(w), p(w)$	$D(y), p(y)$	$D(z), p(z)$
0	x	3,x	$\infty$	6,x	6,x	8,x
1	xv	3,x	7,v	6,x	6,x	8,x
2	xvu	3,x	7,v	6,x	6,x	8,x
3	xvuw	3,x	7,v	6,x	8,x	8,x
...	...	...	...	...	...	...

**Key Selection:** In Step 1, node  $v$  has cost 3, node  $w$  has 6. Since  $3 < 6$ , we pick  $v$  into  $N'$  first. **Update:** After picking  $v$  (cost 3), we check neighbor  $u$ . Old cost to  $u$  was  $\infty$ . New cost via  $v$  is  $D(v) + c(v, u) = 3 + 4 = 7$ . Since  $7 < \infty$ , update  $D(u) = 7, p(u) = v$ .

#### Coach's Notes (避坑指南)

#### Dijkstra Pitfalls (易错点):

- Not updating correctly:** Always check if  $D(w) + c(w, v)$  is smaller than the current  $D(v)$ .
- Tie-breaking:** If two nodes have the same minimum cost, you can pick either (usually lexicographical order, e.g.,  $u$  before  $w$ ).
- Oscillations:** In link-state routing, if link costs depend on traffic volume, routes can oscillate (flip-flop) rapidly.

#### 距离向量路由 Distance-Vector Routing) - Bellman-Ford [Distributed]

Distance-Vector (DV) is iterative, asynchronous, and distributed. Nodes only know costs to direct neighbors and receive "vectors" (estimates) from them.

$$d_x(y) = \min_v \{c(x, v) + d_v(y)\}$$

- $d_x(y)$ : 节点  $x$  到  $y$  的最短路径开销。
- $c(x, v)$ :  $x$  到邻居  $v$  的直接链路开销。
- $d_v(y)$ : 邻居  $v$  报告的它到  $y$  的距离 (received via gossip).

#### 必考 (Exam Focus)

#### Exam Focus: DV Table Update (Tutorial 9 P2 & P3)

**Scenario:** Node  $x$  has neighbors  $w$  (cost 2) and  $y$  (cost 5). Given from neighbors:

- $w$  reports: "I can reach  $u$  in cost 5". ( $d_w(u) = 5$ )
- $y$  reports: "I can reach  $u$  in cost 6". ( $d_y(u) = 6$ )

**Calculation:**

$$d_x(u) = \min \left\{ \underbrace{c(x, w) + d_w(u)}_{2+5=7}, \underbrace{c(x, y) + d_y(u)}_{5+6=11} \right\} = 7$$

**Result:**  $x$  sets its distance to  $u$  as 7, and next-hop is  $w$ .

#### Coach's Notes (避坑指南)

#### Count-to-Infinity Problem:

- Good news travels fast:** A decrease in link cost propagates quickly.
- Bad news travels slow:** If a link breaks or cost increases, nodes may bounce incorrect data back and forth, slowly incrementing costs to infinity.
- Solution: Poisoned Reverse.** If  $z$  routes through  $y$  to get to  $x$ ,  $z$  tells  $y$  that its distance to  $x$  is  $\infty$  (so  $y$  won't route back through  $z$ ).

#### Comparison: Link-State vs Distance-Vector

##### 1. Network Knowledge (视野范围)

- Link-State (LS): Global.** Each node has a complete map of the entire network topology.

- Distance-Vector (DV): Local.** Nodes only know the "distance" to their direct neighbors.

##### 2. Communication Strategy (沟通方式)

- LS: Broadcast.** Link status info is flooded to all nodes in the network.

- DV: Exchange.** Routing tables are sent only to direct neighbors.

##### 3. Convergence & Issues (收敛速度)

- LS: Fast** and deterministic.

- DV: Slow.** Can suffer from **Routing Loops** and the "Count-to-Infinity" problem.

##### 4. Complexity (复杂度)

- LS:** Message complexity  $O(N|E|)$ ; Computation time  $O(N^2)$  (using Dijkstra).

- DV:** Varies greatly depending on network changes.

##### 5. Robustness (健壮性)

- LS:** High. A router acts independently; bad calculations are contained locally.

- DV:** Low. Errors propagate widely because nodes blindly trust neighbors ("Gossip" effect).

#### Tutorial 10: IP Subnetting & Routing Protocols

##### 1. IP Subnetting & VLSM (CIDR)

CIDR (Classless Inter-Domain Routing) allows allocating IP addresses more efficiently using Variable Length Subnet Masks (VLSM).

- CIDR Notation:**  $a.b.c.d/x$ , where  $x$  is the **Network Prefix length**.

- Block Size:**  $2^{32-x}$  (Total IP addresses in the subnet).

- Usable Hosts:**  $2^{32-x} - 2$  (Minus Network Address & Broadcast Address).

- Alignment Rule:** The starting address of a subnet must be divisible by its **Block Size**.

- 分配子网时可选的块大小只有: 2, 4, 8, 16, 32, 64, 128, 256....

#### Coach's Notes (避坑指南)

#### 陷阱: The "Just Missed It" Case (15 Hosts)

- Scenario:** You need to support 15 hosts.

- Calculation:**  $15 + 2 = 17$  IPs needed.

- Block Size 16 (/28):**
  - Total IPs: 16.
  - Usable Hosts:  $16 - 2 = 14$ .
  - Result:** Not enough! ( $14 < 15$ ).

- Block Size 32 (/27):**
  - Total IPs: 32.
  - Usable Hosts:  $32 - 2 = 30$ .
  - Result:** Correct. (Even though wasteful).

- Rule of Thumb:** If required hosts  $> 2^k - 2$ , jump to  $2^{k+1}$ .

#### 必考 (Exam Focus)

**Subnet Allocation (Tutorial 10 P1):** Given: Base Block 223.1.17.0/24. Subnet 1 (62 hosts) already occupies .0 - .63 (/26).

#### Goal A: Allocate Subnet 2 (106 hosts)

- Calculate Size:** Need  $N \geq 106 + 2 = 108$ .

$$2^k \geq 108 \implies k = 7 \text{ (Host bits)}$$

- Determine Mask:**  $32 - 7 = /25$ . Block Size = 128.

#### 3. Find Position:

- Block [0-127]: Overlaps with Subnet 1 (0-63). **Conflict!**
- Block [128-255]: Free.

- Result:** 223.1.17.128/25.

#### Goal B: Allocate Subnet 3 (14 hosts)

- Calculate Size:** Need  $N \geq 14 + 2 = 16$ .

$$2^k \geq 16 \implies k = 4 \text{ (Host bits)}$$

- Determine Mask:**  $32 - 4 = /28$ . Block Size = 16.

#### 3. Find Position:

- Range 0-63 (Subnet 1) & 128-255 (Subnet 2) are taken.
- Free space: 64-127. First available multiple of 16 is **.64**.

- Result:** 223.1.17.64/28.

#### Coach's Notes (避坑指南)

#### Pitfalls in Subnetting:

- The "+2" Rule:** Always add 2 for Network ID and Broadcast ID. If asked for 63 hosts,  $63 + 2 = 65$ , so you need size 128 (/25), NOT 64 (/26).
- Alignment:** A /25 subnet (size 128) cannot start at .64. It MUST start at .0 or .128.
- No Overlap:** Draw a number line to ensure assigned ranges do not cross.

#### 2. Routing Hierarchy (AS & BGP)

The internet is divided into **Autonomous Systems (AS)**. Different protocols are used inside vs. between ASes.

- Intra-AS Routing (IGP):** Protocols inside an AS (e.g., OSPF, RIP). Focus on **Performance** (shortest path).
- Inter-AS Routing (EGP):** Protocols between ASes (e.g., BGP). Focus on **Policy** (political/economic control) and **Scale**.
- eBGP (External):** Connects border routers of **different ASes**.
- iBGP (Internal):** Propagates external info to routers within the **same AS**.

### 必考 (Exam Focus)

**Determining Learning Protocol (Tutorial 10 P3): Scenario:** Traffic destined for prefix  $x$  (located in AS4). Path: AS4 → AS3 → AS1.

#### 1. AS4 Border → AS3 Border (3c):

- Communication between **Different ASes**.
- Protocol: **eBGP**.

#### 2. AS3 Border (3c) → AS3 Internal (3a):

- Propagating external info ( $x$ ) within the **Same AS**.
- Protocol: **iBGP**.
- Note: OSPF/RIP is used here only to find the path to 3c, not to learn about  $x$  itself.*

#### 3. AS3 Border (3a) → AS1 Border (1c):

- Communication between **Different ASes**.
- Protocol: **eBGP**.

## Part Supplement: 核心概念大白话讲解

### • 关于切蛋糕 (Subnetting):

- +2 原则:** 就像订酒店房间, 如果你带了 106 个人, 不能只开 106 间房, 因为头尾两间房 (网络号、广播号) 被锁住了不能住人。所以你要订 128 间的大套房。
- 对齐原则:** 大套房 (/25) 只能建在整层楼的起点 (.0 或 .128), 不能建在楼道中间 (比如.64)。

### • 关于外交 (BGP):

- AS (自治系统):** 把 AS 想象成一个独立的“国家”。
- Intra-AS (OSPF):** 国内的导航软件 (高德/百度), 只管怎么在国内走得最快。
- eBGP:** 两国边境的“外交官”, 负责交换信息 (“去美国走我这边”)。
- iBGP:** 国内的新闻联播, 负责把外交官听到的消息告诉国内的老百姓 (“外交官说, 去美国要往东边走”)。

## Chapter5: 数据链路层

### 2D Parity Check (二维奇偶校验)

Extension of single-bit parity. Data is arranged in a matrix to detect and correct errors.

- Detection Capability:** Can detect 2-bit errors (and any odd number of errors).
- Correction Capability:** Can **correct** single-bit errors by locating the intersection of the bad row and bad column.
- Corner Bit:** The parity of the row-parity column and column-parity row must match.

### 必考 (Exam Focus)

**Calculation Steps (Tutorial 11 P1): Given:** Data ‘1110 0110 1001 1101’ (16 bits), Even Parity. Find the checksum field.

#### 1. Arrange as Matrix: Split 16 bits into $4 \times 4$ .

#### 2. Calculate Parities:

1	1	1	0	1 ← Row 1 (3 ones → +1)
0	1	1	0	0 ← Row 2 (2 ones → +0)
1	0	0	1	0 ← Row 3 (2 ones → +0)
1	1	0	1	1 ← Row 4 (3 ones → +1)
<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b> ← Corner Bit

**3. Result:** The checksum field contains 9 bits: Row Parities (4) + Col Parities (4) + Corner (1). **Answer:** ‘1001 1100 0’.

### Coach's Notes (避坑指南)

#### 陷阱警示 (Pitfalls):

- Corner Bit:** Don't forget the bottom-right bit! It checks the parity of the "Row Parity Column" and "Column Parity Row". Both must yield the same result.
- 4-Bit Rectangular Error:** If 4 bits form a rectangle of errors, 2D parity **cannot detect** it (Row/Col parity remains even).

### Slotted ALOHA (时隙 ALOHA)

Nodes transmit only at the beginning of synchronized slots.

- Success Condition:** Only ONE node transmits, all others are silent.
- Max Efficiency:**  $1/e \approx 0.37$  (when  $N \rightarrow \infty$ ).

### 必考 (Exam Focus)

#### Throughput Calculation (Tutorial 11 P2): General Formula:

$$P(\text{Node } i \text{ success}) = P(i \text{ sends}) \times \prod_{j \neq i} P(j \text{ silent}).$$

#### Case 1: Asymmetric Nodes (A and B) Given $p_A > p_B$ .

- Throughput of A:  $p_A(1 - p_B)$ . (A sends AND B is silent).
- Total Efficiency:  $p_A(1 - p_B) + p_B(1 - p_A)$ .
- Is throughput proportional?** If  $p_A = 2p_B$ , is  $Th_A = 2Th_B$ ? **No.** A's activity suppresses B's success chance.

#### Case 2: One "Big" Node (A) vs Many "Small" Nodes

Node A has prob  $2p$ , others ( $N - 1$  nodes) have prob  $p$ .

- Throughput of A:  $2p \times (1 - p)^{N-1}$  (A sends,  $N - 1$  others silent).
- Throughput of any other node:  $p \times (1 - 2p) \times (1 - p)^{N-2}$  (Node sends, A silent,  $N - 2$  others silent).

### CSMA (Carrier Sense Multiple Access)

“Listen before transmit”.

- Why collisions occur?** Due to **Propagation Delay**. Node B may not hear A's transmission immediately and starts sending.

### Self-learning Switches (自学习交换机)

Switches are plug-and-play devices that filter and forward frames based on MAC addresses.

- Learning:** Records (Source MAC, Incoming Port) into the Switch Table.

#### • Forwarding:

- If Dest MAC known: **Unicast** (Selective Forwarding).
- If Dest MAC unknown: **Flood** (Send to all ports except incoming).

### 必考 (Exam Focus)

**Switch Table Evolution (Tutorial 11 P4): Scenario:** A sends to G (Flood); then G responds to A (Unicast). Topology:  $A \leftrightarrow S1 \leftrightarrow S4 \leftrightarrow S3 \leftrightarrow G$  (S2 is connected to S4 but not on the path).

#### Step 1: A → G (Flooding)

- All switches ( $S1, S2, S3, S4$ ) learn **A**'s location.
- S2** receives the flood from  $S4$ , learns **A** is at the interface leading to  $S4$ .

#### Step 2: G → A (Unicast Response)

- Path:  $G \rightarrow S3 \rightarrow S4 \rightarrow S1 \rightarrow A$ .
- $S3, S4, S1$  learn **G**'s location.
- Crucial Point:** **S2 does NOT learn G.** The frame is unicast along the path  $S3 \rightarrow S4 \rightarrow S1$ . **S2** is off-path and never sees the frame.

#### Final Table State:

- $S1, S3, S4$ : Know both **A** and **G**.
- $S2$ : Knows **only A**.

## Part Supplement: 核心概念大白话讲解

**二维校验 (2D Parity) 的“神技”:** 单比特校验只能告诉你“出错了”, 但不知道哪里错。二维校验就像玩“数独”, 横着数不对, 竖着数也不对, 交叉点就是那个“坏人”。这让它能纠正 1 bit 错误。但如果坏成一个矩形 (4 个角), 校验就全瞎了。

**Slotted ALOHA 的计算秘诀:** 不要死记公式。想求谁成功, 就让他举手 ( $p$ ), 同时强迫其他所有可能会捣乱的人闭嘴 ( $1 - p$ )。不管是 2 个人还是 N 个人, 逻辑永远是:  $P(\text{Me}) \times \text{AllOthers}(\text{Silent})$ 。

**S2 为什么没学到 G (Switching Trap):** 交换机是“听声辨位”的。  
 – A 找 G 时是大喊大叫 (泛洪), 全网都听到了 A, 所以 S2 记住了 A。  
 – G 回复 A 时, 因为大家都听到 A 在哪, 所以 G 是悄悄话传回去 (单播)。这条线不经过 S2, S2 根本听不到 G 的声音, 自然学不到 G。

## Application Layer (Assignment 1)

### POP3 Protocol 邮件协议 (Assign 1 P17)

Mail Access Protocol (retrieving mail from server). Stateless (server doesn't remember history across sessions).

- Download-and-delete:** Messages are removed after retrieval. User cannot access them from another device. 读完就删。坑点: 如果你在手

机上读了邮件，回家用电脑就看不到了。

- **Download-and-keep:** Server keeps a copy. **Trap:** If client is stateless, it might re-download old messages unless client tracks UIDs. 读完服务器还留底。现在的客户端大多默认这个。
- **无状态 (Stateless):** POP3 服务器不记事，它不知道你上次读到哪了。

#### Zoom 架构 (Assign 1 Q)

- **Architecture:** Hybrid. Client-Server for signaling/auth; P2P (preferred) or Server-Relay for media streaming.
- **Transport:** **UDP** is preferred for voice/video (speed > reliability). **TCP** used for signaling/chat.

### Link Layer (Assignment 3)

#### Address Resolution Protocol (ARP) (Assign 3 P15)

Mapping IP Address (Network Layer) → MAC Address (Link Layer).

##### 必考 (Exam Focus)

##### ARP Packet Flow:

- **Query:** "Who has IP X?" → Broadcast MAC (FF-FF-FF-FF-FF-FF).
- **Response:** "I have IP X, my MAC is Y" → Unicast MAC.
- **Switch Action:** Floods Broadcast frames; Learns Source MAC from incoming frames.
- **Router Action:** Stops Broadcasts. ARP is local to the subnet.

#### CSMA/CD (Assign 3 P18)

Carrier Sense Multiple Access / Collision Detection (Ethernet). 核心逻辑：边说边听。为什么有最小帧长限制？

- **Collision Condition:** Sender must transmit long enough to detect a collision returning from the farthest node.
- **Formula:**  $T_{trans} \geq 2 \times T_{prop\_max}$
- If  $T_{trans} < 2 \times T_{prop}$ , sender might finish before knowing a collision occurred (Packet lost without detection).

#### CRC 校验 (循环冗余检查) (Assign 3 P1)

Cyclic Redundancy Check.

##### 必考 (Exam Focus)

##### Steps to calculate CRC (Remainder R):

1. **Generator G:**  $r + 1$  bits. (Degree  $r$ ).
2. **Append Zeros:** Add  $r$  zeros to Data  $D$ .
3. **Binary Division (XOR):** Divide  $D \cdot 2^r$  by  $G$  using XOR subtraction.
4. **Remainder:** The result is the CRC.

Example:  $G = 10011$  (5 bits,  $r = 4$ ). Append 0000 to Data. Perform XOR division.

**Scenario:** Connect to network, download URL. No cache.

1. **DHCP (UDP):** New PC broadcasts "I need IP". DHCP Server replies with IP, Mask, DNS IP, Gateway IP.
2. **ARP (1):** PC needs Gateway MAC to send outside. Broadcasts "Who has Gateway IP?". Gateway replies.
3. **DNS (UDP):** PC sends DNS Query to DNS Server IP (via Gateway). Resolves URL → Web Server IP.
4. **TCP Handshake:** PC sends SYN to Web Server IP. (Routing via Gateway → Internet → Server).
5. **HTTP (TCP):** PC sends HTTP GET. Server replies HTTP 200 OK.

##### Coach's Notes (避坑指南)

##### Common Exam Mistake: MAC Addresses

- **Source MAC:** Always the device *sending* the frame on the *current* link.
- **Dest MAC:** Always the device *receiving* the frame on the *current* link (e.g., the Gateway Router, NOT the final Web Server).
- **Src/Dest IP:** End-to-end (PC to Web Server), usually do not change.

##### Part Supplement: 核心概念大白话讲解

- **ARP Scope:** ARP 喊话 (Broadcast) 出不去路由器。你在家里喊“谁是网关”，只有家里的设备听得见。
- **CSMA/CD:** “边说边听”。如果你说话太快（包太短），还没听到远处的回音（冲突信号）就挂了电话，那你就不知道刚才的话被盖住了。所以包必须够长。
- **Switch vs Router:** Switch 是“二层傻瓜”，只看 MAC，不知道 IP，看到不知道的 MAC 就广播；Router 是“三层精英”，看 IP，隔离广播，修改 MAC 头转发包。