# YUANKAI WU

815 Sherbrooke St W.

 $(+1)5142198721 \diamond$ yuankai.wu@mcgill.ca  $\diamond$ https://kaimaoge.github.io

### RESEARCH INTERESTS

- **Deep spatiotemporal modeling**: I focus on using machine learning techniques to understand and model spatiotemporal traffic data.
- Reinforcement learning based transportation system control: I am very interested in applying deep reinforcement learning models to tackle challenging transportation control problems.
- Connected & automated vehicle highway systems: I am interested in developing vehicle infrastructure cooperative control systems.

#### EDUCATION AND EXPERIENCE

Mcgill University, Montreal, Canada

Postdoc Researcher in Department of Civil Engineering

Dec.2019 - Now

\* Advisors: Prof. Lijun Sun & Aurelie labbe (HEC Montreal)

The Joint Research Institute on Internet of Mobility, Southeast Univ. and Univ. of Wisconsin-Madison, Nanjing, China

Research associate Jun.2019 - Dec.2019

Beijing Institute of Technology, Beijing, China

PhD of Vehicle Operation Engineering

Sep.2015 - Jun.2019

- \* Dissertation: A high dimensional traffic state processing method based on tensorial model
- \* Advisors: Prof. Hongwen He

### University of Wisconsin-Madison, Madison, USA

Visiting PhD in Department of Civil & Environmental Engineering

Dec.2016 - Jun.2017

- Research: Automated & connected vehicle highway systmes
- Advisors: Prof. Bin Ran

#### Beijing Institute of Technology, Beijing, China

Master of Transportation Engineering

Sep.2012 - Mar.2015

- Dissertation: Short-term traffic prediction based on dynamic tensor completion
- Advisors: Prof. Huachun Tan

#### Shanghai Ocean University, Shanghai, China

Bachelor of Mechanical Engineering

Oct.2008 - Jun.2012

• Overall Ranking: 3/120

# **PUBLICATIONS**

#### Journal Papers

- [1] Lian, R., Peng, J., **Wu, Y.\***, Tan, H., Zhang, H. (2020). Rule-interposing deep reinforcement learning based energy management strategy for power-split hybrid electric vehicle. Energy, 117297.
- [2] Wu, Y., Tan, H., Chen, X., & Ran, B. (2019). Memory, attention and prediction: a deep learning architecture for car-following. Transportmetrica B: Transport Dynamics, 1-19.

- [3] Wu, Y., Tan, H., Peng, J., Zhang, H., & He, H. (2019). Deep reinforcement learning of energy management with continuous control strategy and traffic information for a series-parallel plug-in hybrid electric bus. Applied Energy, 247, 454-466.
- [4] Wu, Y., Tan, H., Li, Y., Zhang, J., & Chen, X. (2018). A fused CP factorization method for incomplete tensors. IEEE transactions on neural networks and learning systems, 30(3), 751-764.
- [5] Wu, Y., Tan, H., Qin, L., Ran, B., & Jiang, Z. (2018). A hybrid deep learning based traffic flow prediction method and its understanding. Transportation Research Part C: Emerging Technologies, 90, 166-180.
- [6] Wu, Y., Tan, H., Li, Y., Li, F., & He, H. (2017). Robust tensor decomposition based on Cauchy distribution and its applications. Neurocomputing, 223, 107-117.
- [7] Wu, Y., Tan, H., Peng, J., & Ran, B. (2019). A Deep Reinforcement Learning Based Car Following Model for Electric Vehicle. Smart City Application (Chinese), 2(5).
- [8] Tan, H., **Wu**, **Y**., Shen, B., Jin, P. J., & Ran, B. (2016). Short-term traffic prediction based on dynamic tensor completion. IEEE Transactions on Intelligent Transportation Systems, 17(8), 2123-2133.
- [9] Tan, H., Zhang, H., Peng, J., Jiang, Z., & Wu, Y. (2019). Energy management of hybrid electric bus based on deep reinforcement learning in continuous state and action space. Energy Conversion and Management, 195, 548-560.
- [10] Tan, H., Liang, X., Wu, Z., Wu, Y., & Tan, H. (2019). Stochastic resonance in two kinds of asymmetric nonlinear systems with time-delayed feedback and subject to additive colored noise. Chinese journal of physics, 57, 362-374.
- [11] Ran, B., Tan, H., **Wu**, **Y**., & Jin, P. J. (2016). Tensor based missing traffic data completion with spatialtemporal correlation. Physica A: Statistical Mechanics and its Applications, 446, 54-63.
- [12] Tan, H., Li, Q., Wu, Y., Wang, W., & Ran, B. (2015). Freeway short-term travel time prediction based on dynamic tensor completion. Transportation Research Record, 2489(1), 97-104.
- [13] Tan, H., Wu, Y., Cheng, B., Wang, W., & Ran, B. (2014). Robust missing traffic flow imputation considering nonnegativity and road capacity. Mathematical Problems in Engineering, 2014.

# **Conference Papers**

- [1] Tan, H., Zhong, Z., Wu, Y., Chen, X., & Zhang, J. (2018). A Deep Architecture Combining CNNS and GRBMS for Traffic Speed Prediction. In CICTP 2017.
- [2] Tan, H., Xuan, X., **Wu**, Y., Zhong, Z., & Ran, B. (2016). A comparison of traffic flow prediction methods based on DBN. In CICTP 2016.
- [3] Tan, H., Wang, P., **Wu, Y.**, Zhang, J., & Ran, B. (2016). High-dimension traffic data imputation based on a square norm. In CICTP 2016.
- [4] Tan, H., Li, Q., Wu, Y., Ran, B., & Liu, B. (2015). Tensor Recovery Based Non-Recurrent Traffic Congestion Recognition. In CICTP 2015.
- [5] Wu, Y., Tan, H., Peter, J., Shen, B., & Ran, B. (2015). Short-term traffic flow prediction based on multilinear analysis and k-nearest neighbor regression. In CICTP 2015.
- [6] Tan, H., **Wu**, **Y**., Feng, J., Wang, W., & Ran, B. (2014, November). Traffic missing data completion with spatial-temporal correlations. In 93rd Annual Meeting of the Transportation Research Board, Washington, DC.
- [7] Tan, H., Wu, Y., Feng, G., Wang, W., & Ran, B. (2013). A new traffic prediction method based on dynamic tensor completion. Procedia-Social and Behavioral Sciences, 96, 2431-2442.

# **Preprint Papers**

- [1] Wu, Y., Tan, H., Jiang, Z., & Ran, B., (2019). ES-CTC: A deep neuroevolution model for cooperative intelligent freeway traffic control. arxiv preprint arXiv: 1905.04083
- [2] Wu, Y., Tan, H., Ran, B. (2018). Differential variable speed limits control for freeway recurrent bottlenecks via deep reinforcement learning. arXiv preprint arXiv: 1810.10952
- [3] Wu, Y., & Tan, H. (2016). Short-term traffic flow forecasting with spatial-temporal correlation in a hybrid deep learning framework. arXiv preprint arXiv:1612.

# Under review papers

- [1] Xi, C., Shi, T., **Wu, Y.**, & Sun, L., Efficient Motion Planning for Automated Lane Change based on Imitation Learning and Mixed-Integer Optimization. submitted to ITSC2020
- [2] Wang, X., Xu, X., Wu, Y., & Liu, J., A spatiotemporal graph convolution gated recurrent unit model for short-term passenger flow prediction. submitted to ITSC2020
- [3] Fan, C., Peng, Y., Peng, S., Zhang, H., **Wu, Y.**, & Wu, S., Detection of Train Driver Fatigue And Distraction Based on Forehead EEG: A Time-Series Ensemble Learning Method. submitted to IEEE Transactions on Intelligent Transportation Systems.
- [4] Li, Q., Tan, H., **Wu**, **Y\*.**, Ye, L., & Ding, F. Traffic flow prediction with missing data imputed by tensor completion methods. accepted by IEEE Access.
- [5] Lian, R., Tan, H., Peng, J., Li, Q., & Wu, Y\*. Cross type transfer for deep reinforcement learning based hybrid electric vehicle energy management. submitted to IEEE Transactions on Vehicular Technology.
- [6] Wang, Y., Peng, J., **Wu**, **Y\*.**, & Tan, H. Hybrid electric vehicle energy management with computer vision and deep reinforcement learning. submitted to IEEE Transactions on Industrial Informatics.
- [7] Zhang, H., **Wu**, **Y\*.**, Tan, H., & Ran, B. Disentangled Representation Learning Based Citywide Traffic Flow Prediction with Spatio-temporal Generative Adversary Network. submitted to IEEE Transactions on Intelligent Transportation Systems.
- [8] Dong, H., Ding, F., Tan, H., **Wu, Y.**, Qin, L., & Ran, B. Rail transit OD matrix completion via manifold regularized tensor factorization. submitted to IEEE Transactions on Intelligent Transportation Systems.
- [9] Wu, Y., Tan, H., Qin, L., & Ran, B. Differential Variable Speed Limits Control for Freeway Recurrent Bottlenecks via Deep Actor-critic Algorithm. submitted to Transportation Research Part C: Emerging Technologies.
- [10] Tan, H., Li, Q., Jiang, Z., **Wu, Y.**, & Ye, L. Non-recurrent Traffic Congestion Detection with a Coupled Scalable Bayesian Robust Tensor Factorization Model. submitted to IEEE Transactions on Intelligent Transportation Systems.

#### **PATENTS**

- [1] ranked 6/8. (2019). Connected automated vehicle highway systems and methods for shared mobility. US20190244518A1
- [2] ranked 7/14. (2019). Intelligent road infrastructure system (iris): systems and methods.US201900 96238A1.
- [3] ranked 4/6. (2019). A tensor recovery based non recurrent traffic event detection method. CN1072202 11A.

- [4] ranked 7/12. (2019). A connected autonomous transportation management system for shared mobility. CN109118758A.
- [5] ranked 9/14. (2018). An intelligent road infrastructure system and its application. CN108447291A.
- [6] ranked 6/6. (2017). Method and system for preventing tramcars from collision at intersection. CN107067817A.
- [7] ranked 4/6. (2016). A tensor completion and recovery method. CN107220211A.

#### PROJECTS EXPERIENCE

# Deep Spatiotemporal Modeling for Urban Traffic Data

Ivado Postdoc Funding, (Role: PI. Award CAD 140,000\$)

Feb.2020-

- Characterize the spatiotemporal propagation properties of traffic data by deep spatiotemporal neural networks;
- Decouple interaction between external factors and traffic pattern by disentangle representation;
- Capture the strong regularity in collective travel behavior by low-rank tensor factorization;
- Utilize the cross-variable relationship by deep factors models.

#### An Open Learning Platform for Smart Transportation

Mitacs Canada and Fundway Technology Inc

Dec.2019-

 Develop reinforcement learning platform for traffic signal control based on real-world traffic data and scenarios.

# Multi-tensor networks for coupled high-dimensional multi-modal big data and its empirical study

National Natural Science Foundation of China, key project

Jan. 2018-Aug. 2019

- Research on coupled high dimensional data analysis via multi-tensor/tensor networks factorization.
- Proposed a modified tensor factorization framework that fuses the l2 norm constraint, sparseness (l1 norm), manifold, and smooth information simultaneously.

### Multi-dimensional traffic data completion

National Natural Science Foundation of China

Sep.2012-Dec.2016

- Research on traffic data tensor modeling strategies and its impacts on traffic data imputation performance.
- Developed a tensor decomposition model based on Cauchy distribution for missing data imputation and denoise
- Developed a novel short-term traffic flow prediction approach based on dynamic tensor completionwhich can predict future traffic data and impute missing data simultaneously

# Deep reinforcement learning based energy management strategy for plug-in hybrid electric vehicles

National Natural Science Foundation of China

Jun.2018-Aug.2019

- Research on policy gradient based deep reinforcement learning algorithm and deep neuroevolution algorithm based energy management systems.
- Developed an energy management strategy using continues deep reinforcement learning and traffic information for a plug-in hybrid electric bus.

# Design and evaluation of Connected and Automated Vehicle & Highway systems

Research in TOPS lab, University of Wisconsin, Madison

Dec.2016-Aug.2019

- Case study and road-side unit design for the CAVH systems.
- Development of multiple sensors fusion and multiple road side units control framework using ROS.
- Research on information fusion algorithm for road-side camera and microwave radar
- Research on autonomous driving trajectory planning based on road-side units

# Big data platform for key technologies of electric vehicles SAIC MOTOR open funding

Jan. 2016-Dec. 2017

- Research on AI driving coach using big data based on deep reinforcement learning.
- Research on hybrid electric vehicle energy management using traffic information based on deep reinforcement learning.

# Research on anti collision system of vehicle based on video processing

Open Fund of State Key Laboratory of Automotive Safety and Energy

Jan. 2014-Dec. 2015

- Research on lane detection method.
- Research on vehicle detection method.

#### Research Internship of the Tencent Map Group

Tencent computer system Co. Ltd.

Jul.2014-Oct.2014

• Development of a traffic state prediction method using sparse floating car data. The method has been used for congestion broadcasting

#### **AWARDS**

Second Prize of Chinese Institute of Electronics (ranked $6/10$ )	2019
China National Scholarships for PhD student	Nov.2017
China Scholarship Council (CSC) scholarships	Jul.2016
Best paper reward of the 12th academic conference of Beijing Institute of Technology	Oct.2014

#### TALKS AND PRESENTATIONS

- [1] Oct. 2019, Control methods for connected automated vehicle & highway systems, Hunan University, Changsha, China.
- [2] Jun. 2019, Tensor decomposition and its application on traffic data analysis, Tongji University, Shanghai, China.
- [3] Jun. 2019, A deep reinforcement learning based car following model for electric vehicle, Proceedings of the 2019 World Transport Convention, Beijing, China
- [4] May. 2019, Traffic data analysis and data-driven control for connected and automated vehicle & highway systems, Central South University, Changsha, China.
- [5] Jun. 2018, A hybrid deep learning based traffic flow prediction method and its understanding, Central South University, Changsha, China
- [6] Apr. 2018, Deep learning method and its application on transportation systems, Beijing Jiaotong University, Beijing, China.

- [7] Aug. 2015, Short-term traffic flow prediction based on multilinear analysis and k-nearest neighbor regression, CICTP2015, Beijing, China.
- [8] Jan. 2015, Freeway short-term travel time prediction based on dynamic tensor completion, 94th TRB annual meeting, Washington DC, USA.
- [9] Nov. 2014, Robust Missing Traffic Flow Imputation Considering Nonnegativity and Road-capacity, Beijing Institute of Technology, Beijing, China.
- [10] Jan. 2014, Traffic Missing Data Completion with SpatialTemporal Correlations, 93rd TRB annual meeting, Washington DC, USA.
- [11] Aug. 2013, A new traffic prediction method based on dynamic tensor completion, CICTP2013, Shenzeng, China.

#### PROFESSIONAL SERVICE

#### Reviewer

• Transportation Research Part C: Emerging Technologies, • IEEE Transactions on Intelligent Transportation Systems, • IEEE Transactions on Industry Informatics, • Artificial Intelligence in Medicine, • Transactions in GIS, • Journal of Cleaner Production, • Applied soft computing, • Journal of Advanced Transportation, • IEEE Sensors Journal, • Neurocomputing, • IEEE Access, • Physica A: Statistical Mechanics and its Applications, • Wireless Sensor Network, • Wireless Communications and Mobile Computing, • IEEE/CAA Journal of Automatica Sinica, • SN Applied Sciences (SNAS), • TRB Annual Meeting - Transportation Research Board, • CICTP.

#### Member

- IVADO: The institute for data valorization
- Mitacs
- China Highway and Transportation Society