## Propositional Probability III: Compilation<sup>1</sup>

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- Goal: to evaluate terms from Prop as efficiently as possible
- Last time we saw  $(\pi, (\varphi, w)) \Downarrow^{e} v$ . We observed that it is efficient (i.e., polynomial in  $|\varphi|$ ) if  $\varphi$  has specific forms: for instance, if it is a simple conjunction of variables. This time, we will see some more sophisticated evaluation strategies

## 1 Memoization

- Consider the formula  $(a \lor b) \land (c \lor d)$  and some w.
- Let's see a sketch of a derivation tree (where we elide w and π for now):

$$(\star) \frac{ \frac{ \cdots}{c \vee d \Downarrow^e v_3} \quad \mathsf{false} \Downarrow^e 0}{ \frac{ (b \wedge (c \vee d)) \Downarrow^e v_1}{ ((a \vee b) \wedge (c \vee d)) \Downarrow^e w(a) v_1 + w(\overline{a}) v_2}} (\star)$$

- **Observe**: both of the subtrees marked by  $(\star)$  are *identical*. We are wasting effort by re-deriving both. We should *reuse* these derivations rather than recomputing them.
- Now we will define a new reduction rule that memoizes previously computed results
- Shape of new relation:  $(\rho, p) \downarrow^m (v, \rho')$ , where  $\rho$  is a memoization table that maps Prop programs to probabilities:

$$(\pi,\rho,(\mathsf{true},w)) \Downarrow^m (1,\rho) \qquad (\pi,\rho,(\mathsf{false},w)) \Downarrow^m (0,\rho)$$
 
$$(\mathsf{MEMO}) \frac{\rho(\varphi) = v}{(\pi,\rho,(\varphi,w)) \Downarrow^e (v,\rho)}$$
 
$$\varphi \notin \rho \qquad (\pi,\rho,(\varphi[\mathsf{true}/x],w)) \Downarrow^m (\rho',v_1) \qquad \qquad (\pi,\rho' \cup (\varphi[\mathsf{true}/x] \mapsto v_1),(\varphi[\mathsf{false}/x],w)) \Downarrow^m (\rho'',v_2) \qquad \qquad (\mathsf{SPLIT})$$
 
$$(SPLIT) \frac{(\pi,\rho' \cup (\varphi[\mathsf{true}/x] \mapsto v_1),(\varphi[\mathsf{false}/x] \mapsto v_2),w(x)v_1 + w(\overline{x})v_2)}{(x::\pi,\rho,(\varphi,w)) \Downarrow^m (\rho'' \cup (\varphi[\mathsf{false}/x] \mapsto v_2),w(x)v_1 + w(\overline{x})v_2)}$$

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So far we've considered *tree-like* proofsystems (also called *Gentzen sequent calculus [Gentzen, 1969]*): these are systems of deductions that describe trees, and cannot share sub-derivations. There are more powerful DAG-like proof-systems that permit the reusing of subderivations. If you are interested in learning more about the power of various proof systems, check out Beame and Pitassi [2001]

## Analysis of memoization

- What kinds of Prop programs does our new memoized reduction scale well on?
- For the purposes of analysis, it can be useful to give a cost-annotated version of our rules that counts how many derivations were produced during runtime. This is fairly easy to do: we augment our relation with an integer n that accumulates the total runtime, so our new relation is  $(\pi, \rho, (\varphi, w)) \downarrow (v, \rho', n)$ :

$$(\pi,\rho,(\mathsf{true},w)) \Downarrow^m (1,\rho,1) \qquad (\pi,\rho,(\mathsf{false},w)) \Downarrow^m (0,\rho,1)$$
 
$$(\mathsf{MEMO}) \frac{\rho(\varphi) = v}{(\pi,\rho,(\varphi,w)) \Downarrow^e (v,\rho,1)}$$
 
$$\varphi \notin \rho \qquad (\pi,\rho,(\varphi[\mathsf{true}/x],w)) \Downarrow^m (\rho',v_1,n_1) \qquad \qquad (\pi,\rho' \cup (\varphi[\mathsf{true}/x] \mapsto v_1),(\varphi[\mathsf{false}/x],w)) \Downarrow^m (\rho'',v_2,n_2) \qquad \qquad (\mathsf{SPLIT}) \frac{(\pi,\rho' \cup (\varphi[\mathsf{true}/x] \mapsto v_1),(\varphi[\mathsf{false}/x] \mapsto v_2),w(x)v_1 + w(\overline{x})v_2,n_1 + n_2 + 1)}{(x::\pi,\rho,(\varphi,w)) \Downarrow^m (\rho'' \cup (\varphi[\mathsf{false}/x] \mapsto v_2),w(x)v_1 + w(\overline{x})v_2,n_1 + n_2 + 1)}$$

- Complexity in the *elimination-width*, similar to variable elimination (won't get into that now...)
- Tractable runtimes
- **Problem**: evaluating probabilities is hard for *arbitrary formulae*. What if we consider a restricted class of formulae for which there exists a runtime semantics that *guaranteed to be efficient in*  $|\varphi|$ ?

**Definition 1** (Tractable runtime). *Let*  $p \Downarrow (v, n)$  *be a cost-annotated* big-step reduction relation for some program p written in language *L.* We call  $\downarrow$  a tractable runtime if n is polynomial in  $(|\varphi|)$  for any program p written in L.

• We need to define a notion of size for Prop programs. Intuitively, the size simply counts how many syntactic constructs are utilized:

$$|\mathsf{true}| = 1 \qquad |\mathsf{false}| = 1 \qquad \frac{|\varphi| = n}{|\neg \varphi| = 1 + n} \qquad |x| = 1$$
 
$$\frac{|\alpha| = n_1 \qquad |\beta| = n_2}{|\alpha \wedge \beta| = 1 + |\alpha| + |\beta|}$$

And so on similarly for other connectives.

- Observe: neither  $\downarrow^e$  nor  $\downarrow^m$  are tractable runtimes. Exercise: find a family of programs that witnesses this intractability for each language.
- Can we come up with a suitable restriction to Prop that guarantees that  $\downarrow^e$  is a tractable runtime?
- Idea, our first tractable runtime: restrict propositional terms to be "pre-split" (or Shannon-expanded). Call this language Props:

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\varphi_S, \alpha_S, \beta_S ::= true_S \mid false_S \mid (x_S \land \alpha_S) \lor (\neg x_S \land \beta_S)
w_S ::= [x_S \mapsto \theta_x, \cdots]
p_{S} ::= (\varphi_{S}, w_{S})
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• We define a cost-annotated enumeration relation  $p_S \downarrow ^e (v,n)$  in a manner identical to Prop for Props

**Theorem 1.** Assume  $p_S \downarrow^e (v, n)$ . Then,  $n \leq 1 + |p_S|$ .

*Proof.* By structural induction on  $(\varphi, w)$ , inducting on  $\varphi$ . Base cases are easy:  $(true, w) \Downarrow (1, 1) \le 1 + 1$ .

Now let's handle  $((x \land \varphi_1) \lor (\neg x \land \varphi_2))$ . Assume by induction that  $\varphi[\mathsf{true}/x] \Downarrow (v_1, n_1), \varphi[\mathsf{false}/x] \Downarrow (v_2, n_2), \text{ and } n_1 \leq 1 + |\varphi[\mathsf{true}/x]|$ and  $n_2 \leq 1 + |\varphi[\mathsf{false}/x]|$ .

Then, by applying the (Split) rule:

$$\frac{\varphi[\mathsf{true}/x] \Downarrow (v_1, n_1) \qquad \varphi[\mathsf{false}/x] \Downarrow (v_2, n_2)}{((x \land \varphi_1) \lor (\neg x \land \varphi_2)) \Downarrow^e (w(x)v_1 + w(\overline{x})v_2, 1 + n_1 + n_2)}$$

Now we are done if we can conclude that  $n_1 + n_2 \le |\varphi|$ . Continuing:

$$\begin{split} n_1 + n_2 & \leq 1 + |\varphi[\mathsf{true}/x]| + 1 + |\varphi[\mathsf{false}/x]| & \text{by I.H.} \quad \text{(1)} \\ & \leq 1 + |\varphi_1[\mathsf{true}/x]| + 1 + |\varphi_2[\mathsf{false}/x]| & (\star) \quad \text{(2)} \\ & \leq 1 + |\varphi_1| + 1 + |\varphi_2| & (\star\star) \quad \text{(3)} \\ & \leq |(x \wedge \varphi_1) \vee (\neg x \wedge \varphi_2) & (\dagger) \quad \text{(4)} \end{split}$$

where  $(\star)$  follows from the definition of substitution, i.e.  $\varphi[\mathsf{true}/x] =$  $(x \wedge \varphi_1) \vee (\neg x \wedge \varphi_2)[\mathsf{true}/x] = \varphi_1[\mathsf{true}/x];$ 

 $(\star\star)$  follows from a simple lemma: substitution can only reduce the size of the formula, i.e.  $|\varphi[v/x]| \leq |\varphi|$  for any variable x and value v.

(†) follows from the definition of 
$$|\cdot|$$
.

Semantics-preserving translations

- Idea: we can give a semantics-preserving translation from an intractable language into a tractable one
- Why would we want to do that?

Figure 1: Compilation from PROP to

- Abstraction: just like we don't want all programmers to program in assembly, it's useful to identify good target languages
- Modular reasoning: We can separate our efficiency argument into 2 stages: proving the target efficient, and proving the translation efficient (or characterizing the efficiency of the translation)
- Compilation from Prop to Props: define a relation → that translates each term in each language while preserving semantics:

• We will want to prove this compilation correct by proving that it preserves denotation:

*x* free in  $\varphi$ 

 $\frac{\varphi[\mathsf{true}/x] \leadsto \varphi_1 \qquad \varphi[\mathsf{false}/x] \leadsto \varphi_2}{\varphi \leadsto (x_S \land \varphi_1) \lor (\neg x_S \land \varphi_2)}$ 

**Definition 2** (Semantics preserving compilation). Let  $L_1$  and  $L_2$  be languages with semantic interpretations  $\llbracket \cdot \rrbracket$  with the same semantic domain. A compilation  $\rightsquigarrow$  from language  $L_1$  to language  $L_2$  is semantics**preserving** if, for any program  $p_1$  written in  $L_1$  where  $p_1 \rightsquigarrow p_2$ , it is the *case that*  $[p_1] = [p_2]$ .

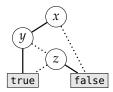
**Theorem 2.** The compilation rules in Figure 1 are semantics-preserving.

- In a seminal paper Felleisen [1990] distinguished between different kinds of programming languages based on their supported syntactic features. He compared languages on the basis of expressivity: whether or not it was possible to express all programs in one language in the other.
- Here we consider a refinement of this notion to efficient expressiveness, which captures whether or not an efficient (i.e., polynomialtime) translation exists between two languages:

**Definition 3** (Efficient expressiveness). A program  $p_1$  written in language  $L_1$  is efficiently expressible as a program  $p_2$  written in language L<sub>2</sub> if there exists a polynomial-time semantics-preserving translation  $p_1 \rightsquigarrow p_2$ . We say language  $L_1$  is efficiently expressible as  $L_2$ , written  $L_1 \sqsubseteq L_2$ , if all programs in  $L_1$  are efficiently expressible as programs in

• Observe: Props is efficiently expressible as Props, but not vice versa

- Binary decision diagrams
- **Problem**: Props is not very expressive
- Goal: More expressive tractable languages
- What can we improve about Props? Observe that there may be repetitious sub-syntactic terms, and we'd like to exploit those, just like we observed in our memoized reduction scheme
- How can we represent repetitious subterms syntactically? By changing our syntax to permit directed acyclic graphs!
- Syntax of binary decision diagram (BDD): a rooted binary directed acyclic graph with two kinds of nodes:
  - Choice nodes, written Ite(x, l, h), where x is a propositional variable (called the top variable), *l* is an edge called the *low edge*, and *h* is an edge called the *high edge*
  - Terminal nodes, which are labeled true or false.
- The syntax of BDD is given as graphical notation, for example:



Solid edges are high edges, dotted edges are low edges

• Semantics of BDD:

## References

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Gerhard Gentzen. Investigations into logical deduction. The collected papers of Gerhard Gentzen, pages 68–131, 1969.