

# Heaps - I

Question:

→  $N$  ropes each with a length  
cost of connecting 2 ropes  $\Rightarrow$  sum of their length

$$\begin{cases} R_1 \geq 5 \\ R_2 \geq 7 \end{cases}$$

$$\text{Cost} = 5 + 7 = 12$$

→ min cost to join all the ropes

$$N = 5$$

$$A = \begin{matrix} 2 & 5 & 2 & 6 & 18 \end{matrix}$$

$$\text{Cost} = 7 + 9 + 15 + 18 \Rightarrow \boxed{49}$$

App1: sort the array  $\xrightarrow{\text{Join}}$

$$\begin{matrix} 12 & 6 \end{matrix}$$

$$A =$$

$$\text{Cost} = 4 + 7 + 12 + 18 = \boxed{41}$$

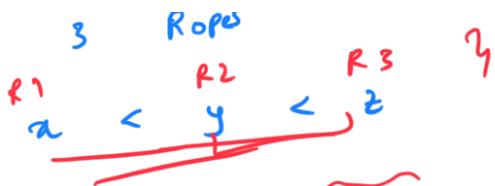
App2: Always join the 2 smallest ropes

$$A = \begin{matrix} 2 & 5 & 2 & 6 & 3 \end{matrix}$$

$$\text{Cost} = 4 + 7 + 11 + 18 = \boxed{40}$$

( $N$  ropes)

Proof -



$$s_1 \geq s_2$$

Strategy 1:

$$c_1 =$$

$$(R_1, R_2), R_3$$

$$(x_1 + y) + (x_2 + y + z)$$

$$\rightarrow z$$

Strategy 2:

$$((R_2, R_3), R_1)$$

$$c_2 =$$

$$(y + z) + (x_1 + y + z)$$

$$\rightarrow z$$

Strategy 3:

$$((R_1, R_3), R_2)$$

$$c_{32}$$

$$(y + z) + (x_1 + y + z)$$

$$A = 2 \ 5 \ 2 \ 6 \ 3$$

$$y \quad z$$

Implementation:

1) Sort the array

$$A = [3 \ 4 \ 5 \ 6 \ -]$$

$$e[el] = 2$$

$$e[er] = 2$$

$$\text{insert}(e[el] + e[er])$$

$$[A[el], A[er]]$$

$$\text{cost} = 4 + \text{t.c for 1 operation: } O(n)$$

logn

$$A = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 6 & 7 & 8 & 9 & 10 \end{bmatrix}$$

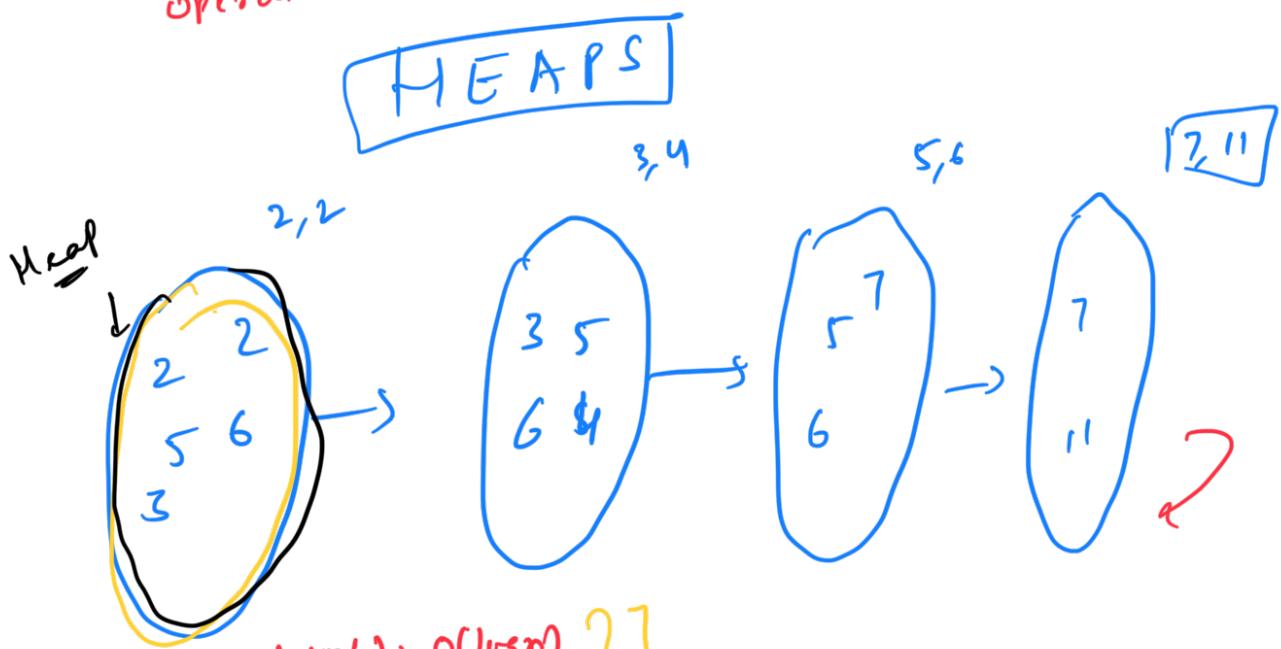
Array

- 1) Fetch the smallest elmt:  $O(1) \rightarrow O(n)$
- 2) Fetch the 2nd smallest:  $O(1) \rightarrow O(m) \quad A[0] \quad A[1]$
- 3) Insert new elmt in sorted array:  $O(n)$

1 operation =  $O(n)$

Total T.C:  $O(n^2)$   $\underbrace{O(n \log n)}_{R_1 R_2 R_3 R_4 R_5} \quad (n-1) \text{ op's}$

→ So we have a DS, which does all these operations in  $O(\log n)$ ?



$\text{extractMin} : O(\log n)$   
 $\text{extractMin} : O(\log n)$   
 $\text{Insert} : O(\log n)$

1 operation:  $O(\log n)$

Total T.C:  $N \log N$

$$\begin{aligned} \text{Operations} &= 1 + 1 + 1 + 1 + 1 = \\ &\quad \frac{N}{2} \\ &= (N-1) \text{ operations} \end{aligned}$$

## Heaps

Heap is a specialized tree-based DS

Structure: Complete Binary Tree

Height of a CBT:  $O(\log n)$

## Types:

Max-Heap: The key present at the root node must be the greatest element among all the keys present in the subtree. This is recursively true of the left & right subtrees.

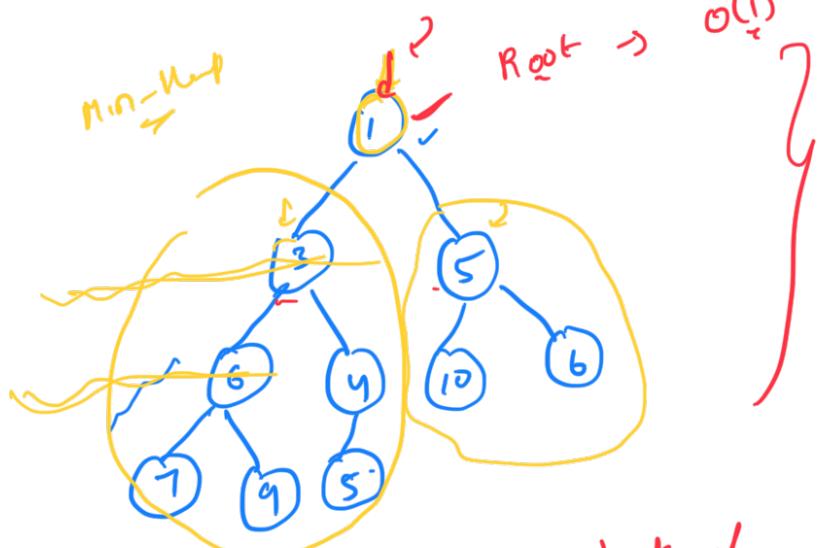
## Complete BT

For all the nodes of Heap;

$$\begin{aligned} \text{node.data} &> \text{node.left.data} \\ &> \text{node.right.data} \end{aligned}$$

Min-Heap: Root is the smallest element. LST & RST are themselves min-heaps.

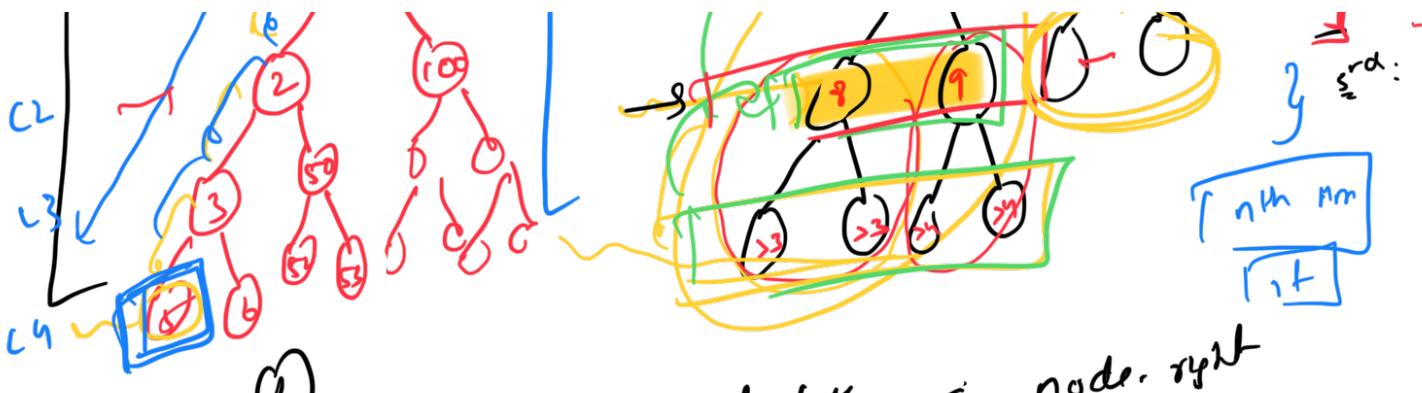
$\text{node.data} < \text{node.left.data}$   
 $\text{node.data} < \text{node.right.data}$



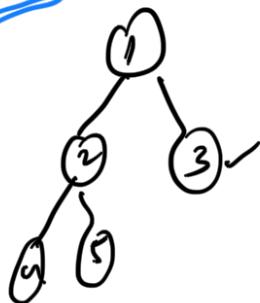
→ For every node,

check if parent < children

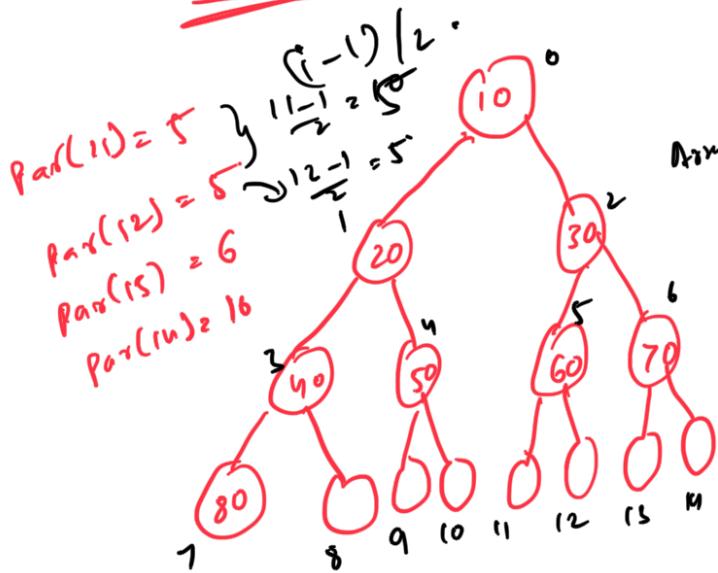




$\text{node.left} < \text{node.right}$   
 $\text{3rd min} = \min(\text{node.right})$   
 $\text{node.left} \leq \text{left},$   
 $\text{node.left} \leq \text{right})$

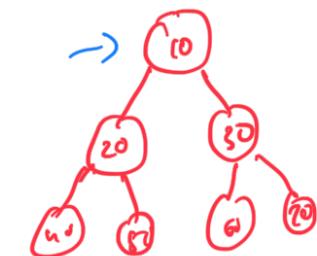
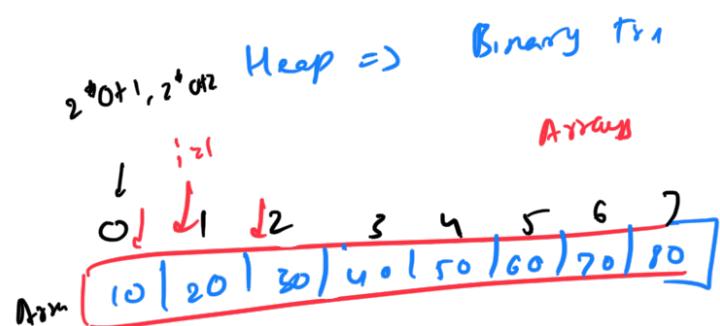


### Representation:



$$\text{par}(50) = 2$$

$$\text{children}(30) = 5, 6$$

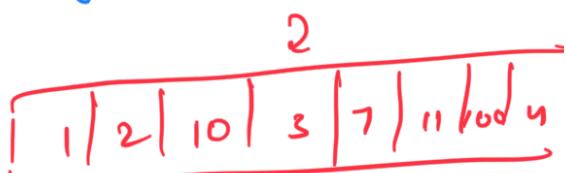


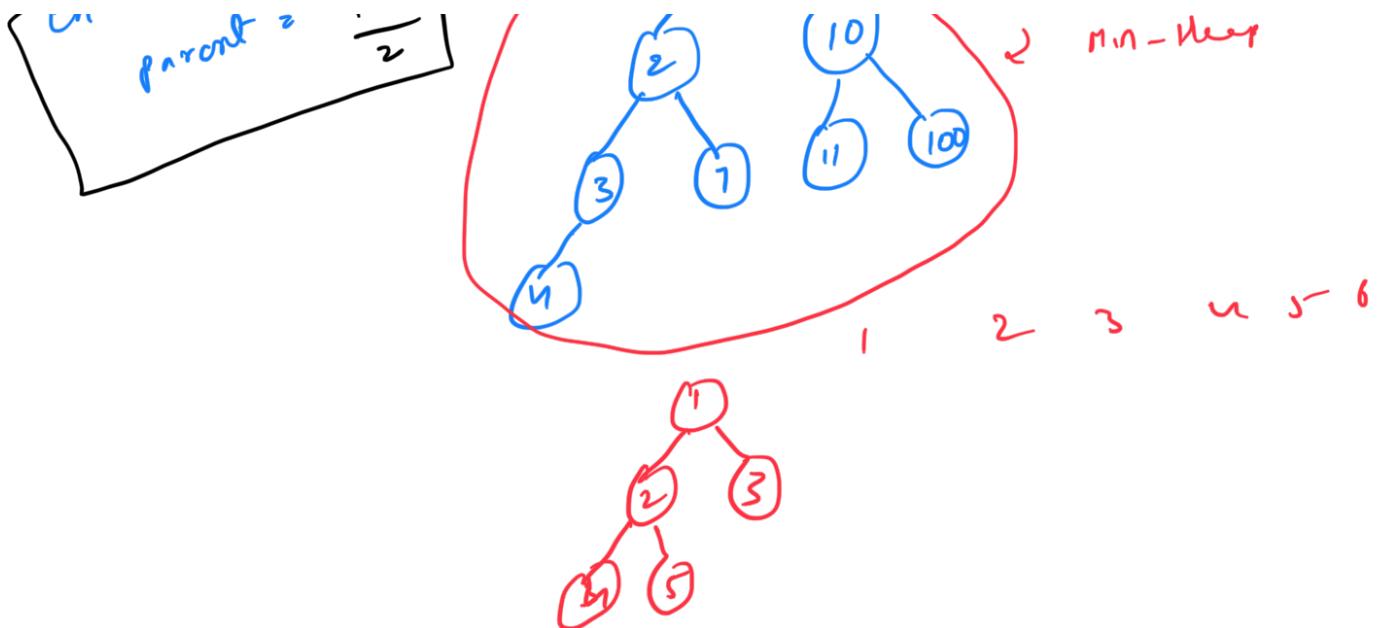
parent index

0	$\Rightarrow 1, 2$
1	$\Rightarrow 3, 4$
2	$\Rightarrow 5, 6$
3	$\Rightarrow 7, 8$
4	$\Rightarrow 9, 10$
5	$\Rightarrow 11, 12$
6	$\Rightarrow 13, 14$

$i \Rightarrow \text{parent}$   
 $2i+1, 2i+2 \Rightarrow \text{children}$

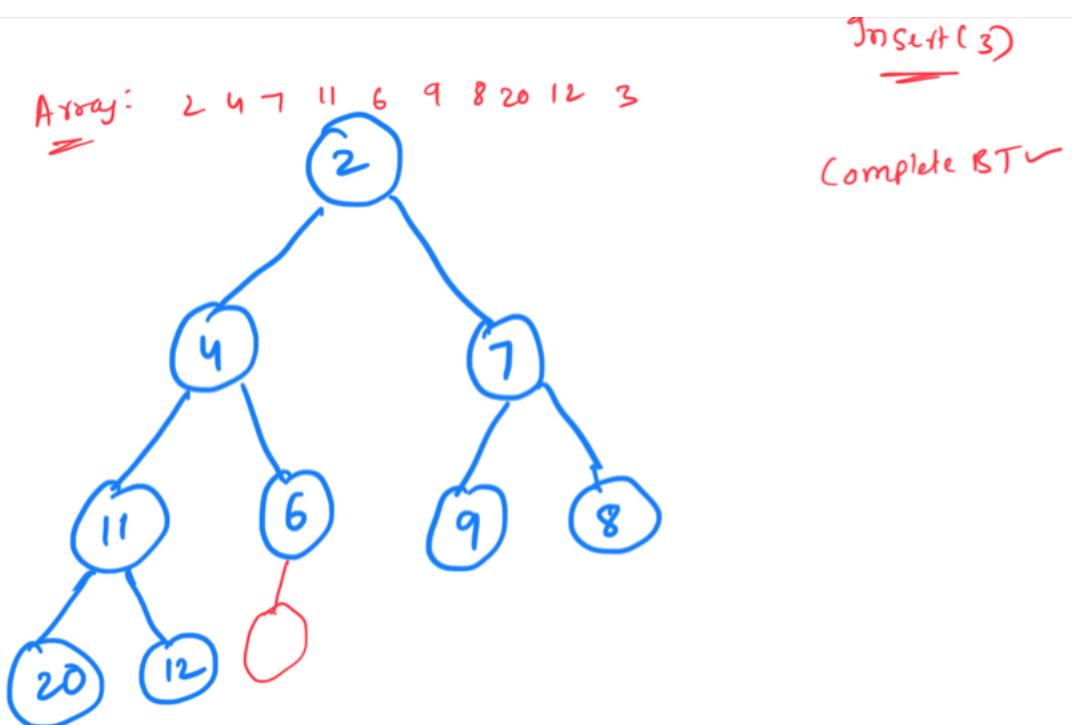
$\text{child index} = i$   
 $i-1$

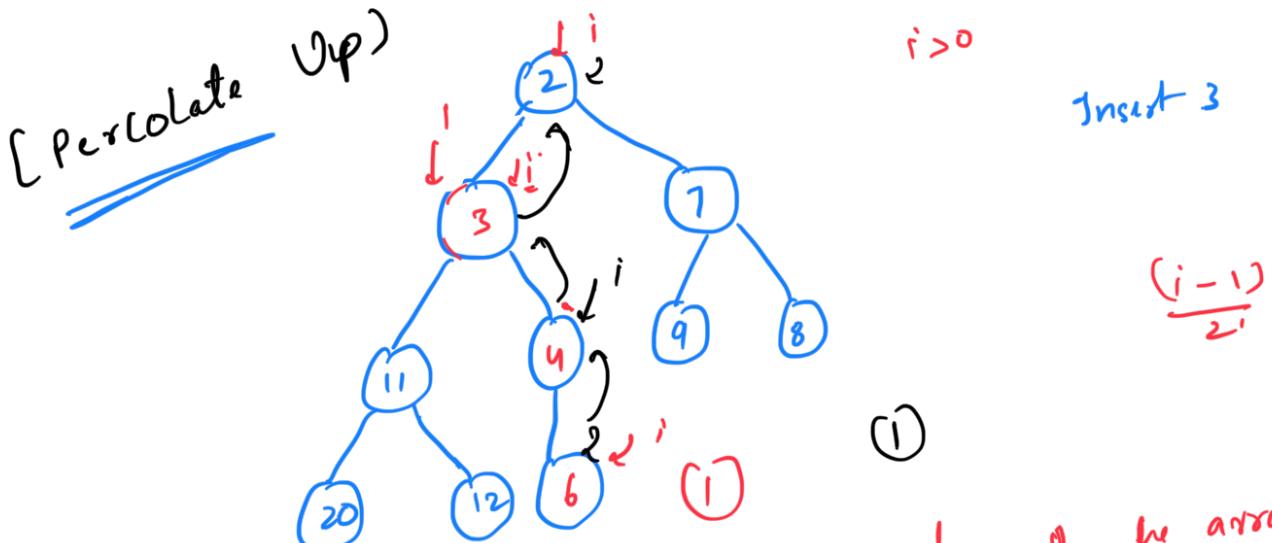




Operation:

insertion:





- 1) Insert Element at the end of the array while parent > children
- 2) Keep swapping

```
void insert (vector<int> &heap, int ele) {
    heap.push_back(ele);
    i = heap.size() - 1;
```

```
while (i > 0 & heap[(i-1)/2] > heap[i]) {
    temp = heap[i];
    heap[i] = heap[(i-1)/2];
    heap[(i-1)/2] = temp;
    i = (i-1)/2;
```

heap = []  
heap = [2]  
i = 0

Percolate  
Up

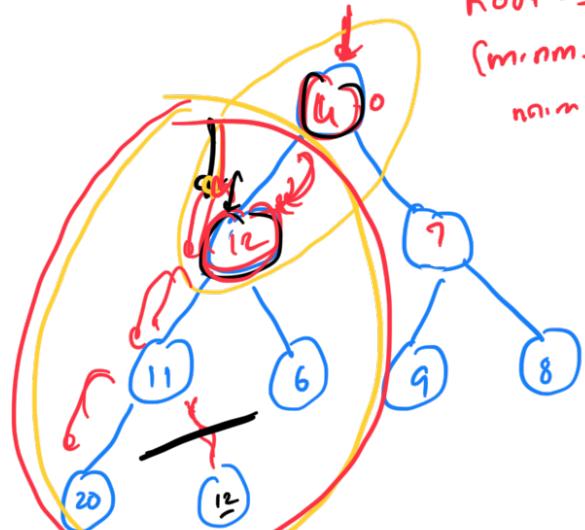
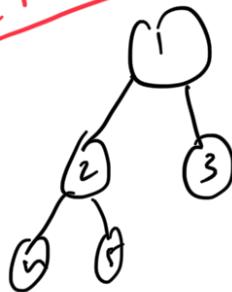
T.C:  $O(\log n)$

- 1) Min ele in Min Heap: Root O(1)
  - 2) Max ele in Min Heap?  $\Rightarrow$  Leaf
  - 3) Search element: O(n)
- $i > 1$   
 $(i-1)/2$
- N nodes  
 $\sim \frac{N}{2}$  Leaf

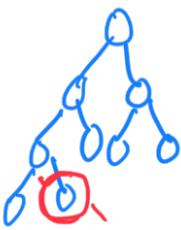


Deletion: (Extract Min())

[Percolate Down]



Root  $\rightarrow$  leafs  
 $(m \cdot n_m - 1) = 0$   
 $n_m \cdot m = 1$



Worst Case:  $O(\log n)$



$(n_m \cdot m = 2)$

```
int extractMin( vector<int> &heap ) {
    int min-el = heap[0];
    heap[0] = heap[heap.size() - 1];
    heap.pop_back();
    percolateDown(0);
    return min-el;
}
```

void percolateDown( heap, i ) {

$$l = 2i + 1;$$

$$r = 2i + 2;$$

$$m \cdot n_m = i$$

if [  $l < n$  ]  $heap[l] < heap[m \cdot n_m]$  ]

$$m \cdot n_m = l;$$

if [  $r < n$  ]  $heap[r] < heap[m \cdot n_m]$  ]

$$m \cdot n_m = r$$

if [  $m \cdot n_m \neq i$  ] {

$$temp = heap[i];$$

$$heap[i] = heap[m \cdot n_m];$$

$$heap[m \cdot n_m] = temp;$$

percolateDown(  $m \cdot n_m$  );

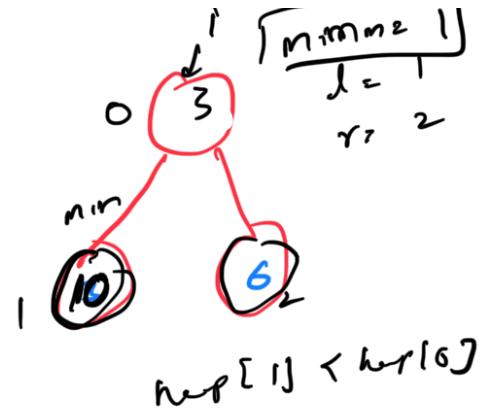
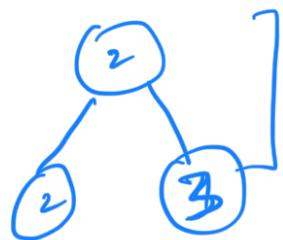
$$m \cdot n_m = i, l, r$$

$$l, r$$

]

T.C:  $O(\log n)$

$\text{heap}[2] < \text{heap}[3]$

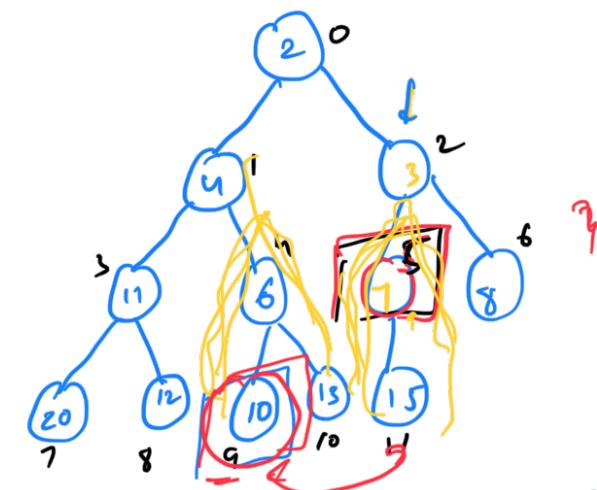


Graphs  $\Rightarrow$  Prim's

Decrease key:

Min-Heap

2 0 4 7 11 6 9 8 20 12 10 15 15  
1 2 3 4 5 6 7 8 9 10 4



parent < child

Percolate Down

decreaseKey(5, 3)

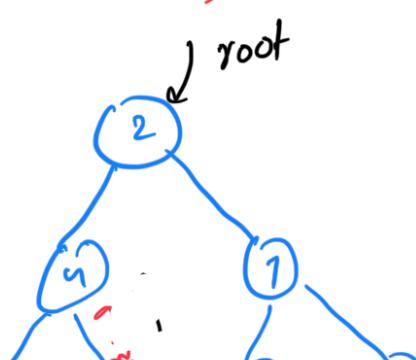
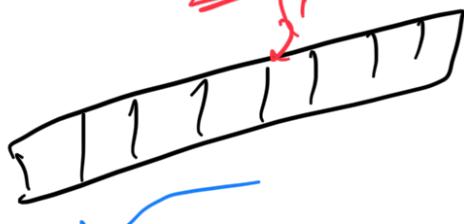
T.C:  $O(\log n)$

Delete a key:

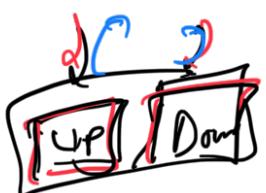
deleteKey(9)  $\xrightarrow{\text{ind}}$   
 $\xrightarrow{i}$

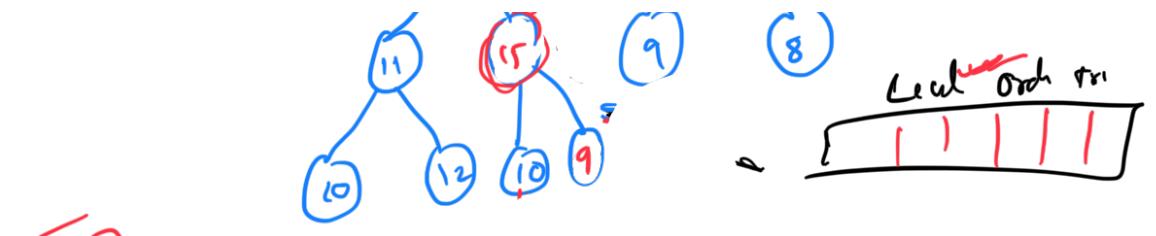
- $\Rightarrow \begin{cases} 1) \text{ decrease key (ind, } -\infty\text{)} \\ 2) \text{ Delete the root } \end{cases}$

Array:



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FO

`swap(heap[i], heap[n-1])  
heap.pop_back()`

$\text{heap}[n-1]$

11 15 9 8

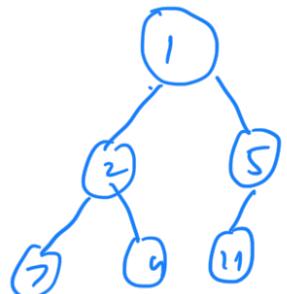
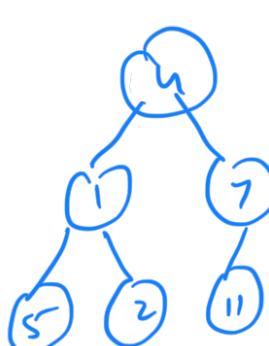
C++:   
 $\begin{cases} \text{priority\_queue} \\ \rightarrow \begin{cases} \text{top()} \rightarrow \text{Min / Max} \\ \text{push} \Rightarrow \text{Insert} \\ \text{pop} \Rightarrow \text{Extract - the Min} \end{cases} \end{cases}$

Question: Build a heap  
Given an array, convert this to a min heap

$A = [1, 7, 5, 2, 11]$

$A' = [1, 2, 5, 7, 4, 11]$  Not a min

$\rightarrow O(n)$



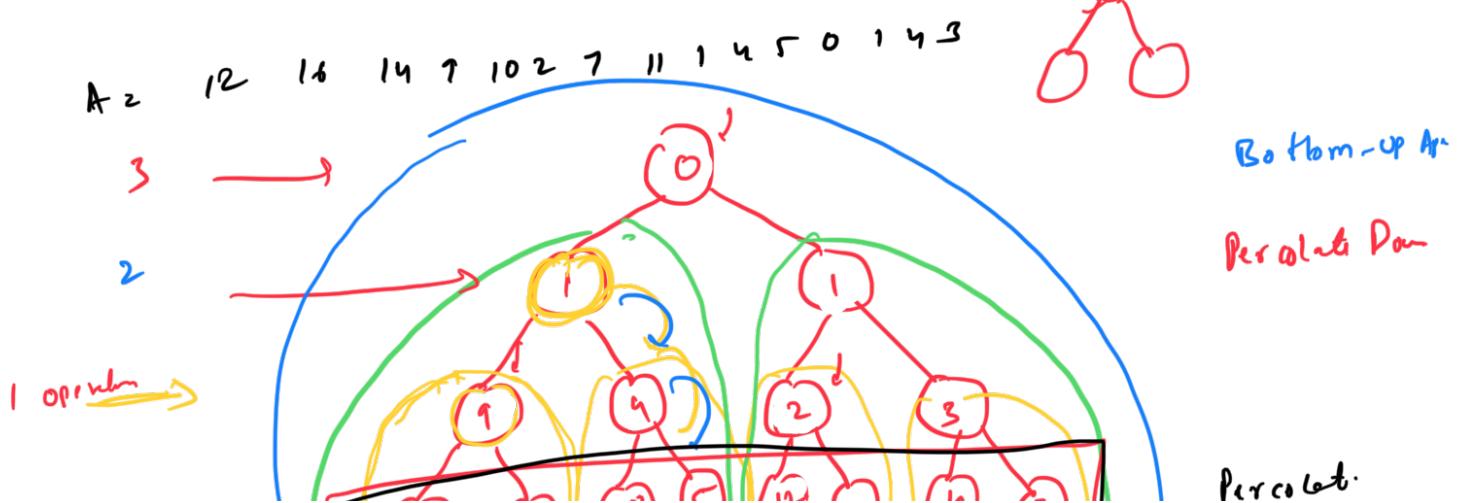
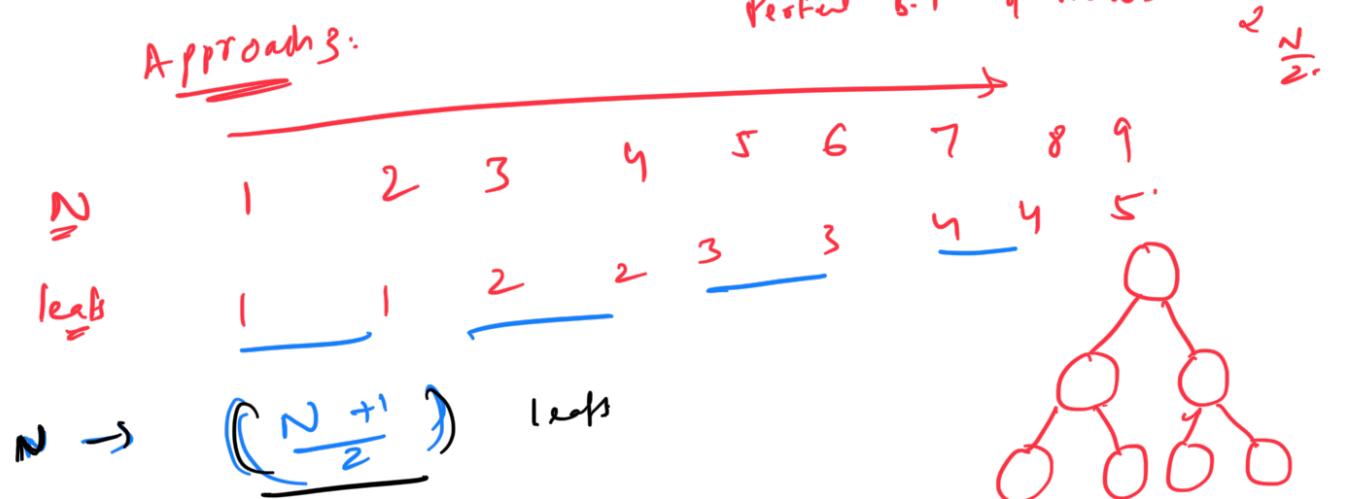
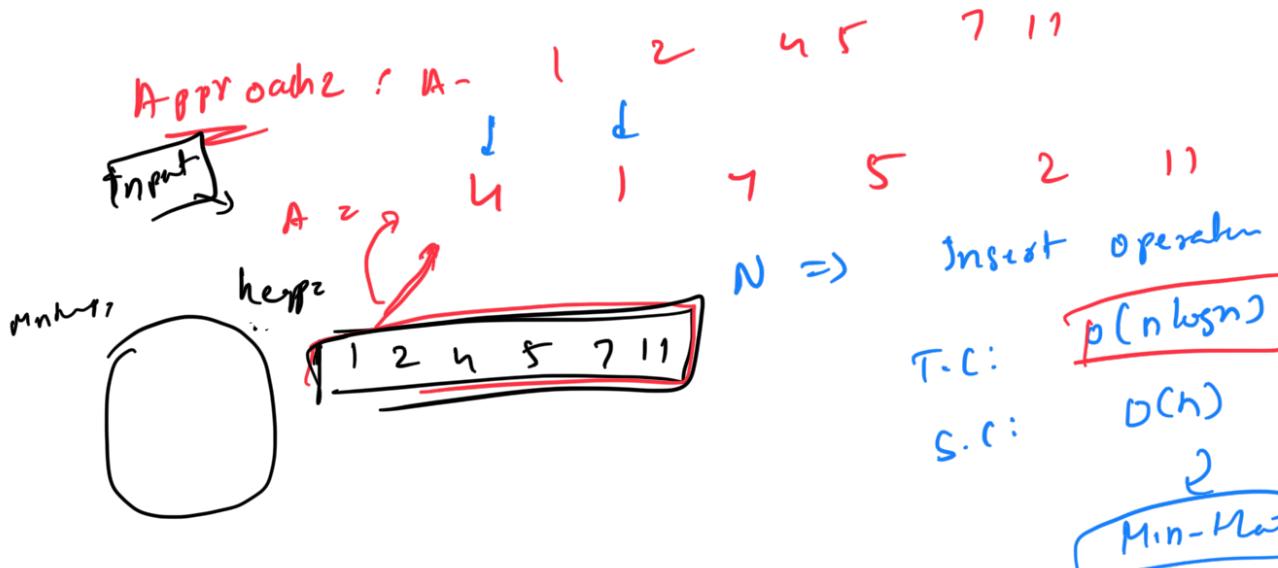
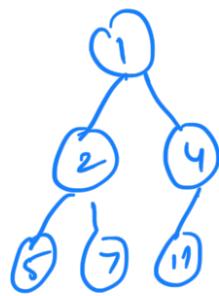
Approach: Sort the array

$A = [4, 1, 7, 5, 2, 11]$

$\sim [4, 5, 7, 2, 11]$

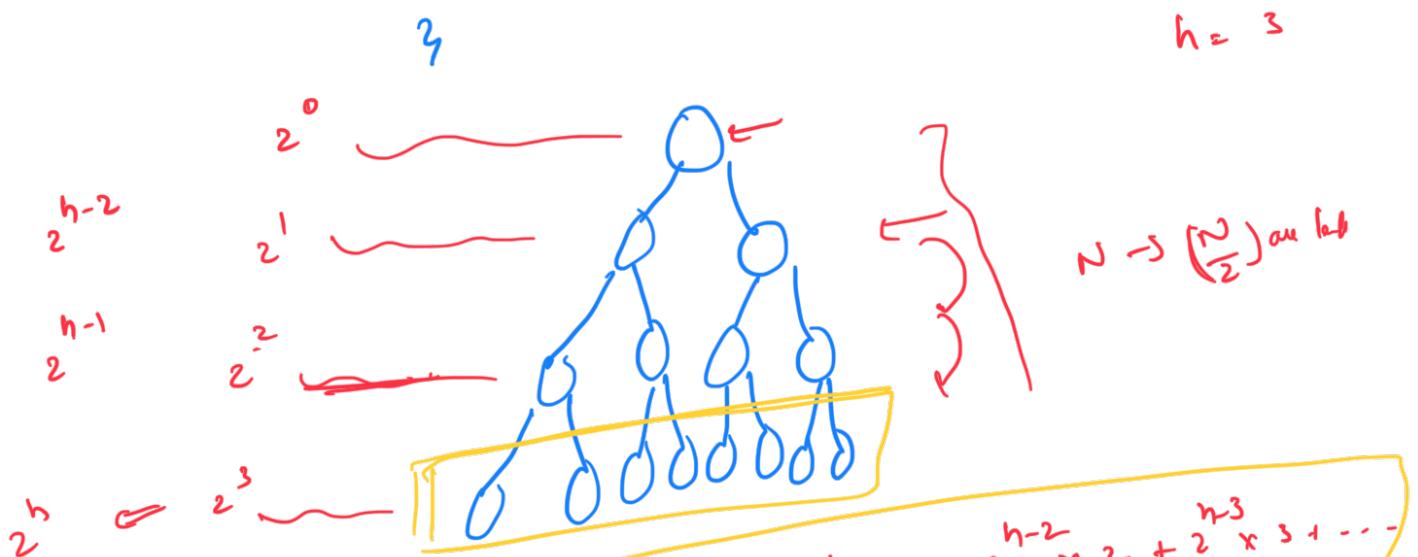
$\text{Sort}(A)$

T.C:  $O(n \log n)$   
S.C:  $O(1)$





```
void buildHeap(arr) {
    for (i =  $\frac{n}{2}$ ; i ≥ 0; i--) {
        percolateDown(i);
    }
}
```



# Operations =

$$2^h \times 0 + 2^{h-1} \times 1 + 2^{h-2} \times 2 + 2^{h-3} \times 3 + \dots + 2^0 \times h$$

$$\sum_{i=0}^h 2^{h-i} \times i$$

$$= K \cdot \text{Constant} = K \cdot n$$

$$= 2^h \sum_{i=0}^h \frac{i}{2^i}$$

$$\# \text{operations} = K \cdot 2^h$$

$$= K \cdot \frac{(N+1)}{2} = O(N)$$

S.C: O(1)

$N$  in terms of  $h$

$$N = 2^{h+1} - 1$$

$$2^{h+1} = N + 1$$

$$2^h = \frac{N+1}{2}$$

Construct a B-B-S-T :  $O(n \log n)$   
 Heap :  $\overbrace{\Theta(n)}$

Percolate Up :

Iteration [Recursion]  
 Recursion [Iteration]

Percolate Up :