



## Activity Statement

October 1, 2020

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Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

## Account Information

|                      |                 |
|----------------------|-----------------|
| Name                 | Siddharth Gupta |
| Account              | DU2472401       |
| Master Name          | RAM R SEN       |
| Account Type         | Broker Client   |
| Customer Type        | Individual      |
| Account Capabilities | Margin          |
| Base Currency        | USD             |

## Net Asset Value

|                                     | September 30, 2020 |      | October 1, 2020     |              |                     | Change           | Change in NAV               |  | Total        |
|-------------------------------------|--------------------|------|---------------------|--------------|---------------------|------------------|-----------------------------|--|--------------|
|                                     | Total              |      | Long                | Short        | Total               |                  |                             |  |              |
| Cash                                | 546,754.25         |      | 447,055.64          | 0.00         | 447,055.64          | -99,698.62       | Starting Value              |  | 999,066.40   |
| Stock                               | 452,312.15         |      | 566,750.53          | 0.00         | 566,750.53          | 114,438.38       | Mark-to-Market              |  | 15,063.38    |
| Interest Accruals                   | 0.00               | 0.00 |                     | -2.53        | -2.53               | -2.53            | Change in Interest Accruals |  | -2.53        |
|                                     |                    |      |                     |              |                     |                  | Commissions                 |  | -323.62      |
| <b>Total</b>                        | <b>999,066.40</b>  |      | <b>1,013,806.17</b> | <b>-2.53</b> | <b>1,013,803.64</b> | <b>14,737.23</b> | Ending Value                |  | 1,013,803.64 |
| <b>Time Weighted Rate of Return</b> |                    |      |                     |              |                     | <b>1.48%</b>     |                             |  |              |

## Mark-to-Market Performance Summary

|                    | Quantity |         | Price   |         | Mark-to-Market P/L |             |             |       |           |      |  |
|--------------------|----------|---------|---------|---------|--------------------|-------------|-------------|-------|-----------|------|--|
| Symbol             | Prior    | Current | Prior   | Current | Position           | Transaction | Commissions | Other | Total     | Code |  |
| Stocks             |          |         |         |         |                    |             |             |       |           |      |  |
| DOW                | 23       | 23      | 47.0500 | 46.1100 | -21.62             | 0.00        | 0.00        | 0.00  | -21.62    |      |  |
| FOXA               | 0        | 0       | --      | 28.0200 | 0.00               | 786.00      | -107.34     | 0.00  | 678.66    |      |  |
| GE                 | 1,000    | 1,000   | 6.2300  | 6.2400  | 10.00              | 0.00        | 0.00        | 0.00  | 10.00     |      |  |
| HAL                | 0        | 25,000  | --      | 11.1700 | 0.00               | -2,404.00   | -125.00     | 0.00  | -2,529.00 |      |  |
| TWTR               | 10,000   | 6,000   | 44.5000 | 46.7000 | 22,000.00          | -5,307.00   | -91.28      | 0.00  | 16,601.72 |      |  |
| Total              |          |         |         |         | 21,988.38          | -6,925.00   | -323.62     | 0.00  | 14,739.76 |      |  |
| Total (All Assets) |          |         |         |         | 21,988.38          | -6,925.00   | -323.62     | 0.00  | 14,739.76 |      |  |

## Realized &amp; Unrealized Performance Summary

|                    |           | Realized   |          |            |          |          | Unrealized |          |            |          |       |          |      |
|--------------------|-----------|------------|----------|------------|----------|----------|------------|----------|------------|----------|-------|----------|------|
| Symbol             | Cost Adj. | S/T Profit | S/T Loss | L/T Profit | L/T Loss | Total    | S/T Profit | S/T Loss | L/T Profit | L/T Loss | Total | Total    | Code |
| Stocks             |           |            |          |            |          |          |            |          |            |          |       |          |      |
| DOW                | 0.00      | 0.00       | 0.00     | 0.00       | 0.00     | 0.00     | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 0.00     |      |
| FOXA               | 0.00      | 678.66     | 0.00     | 0.00       | 0.00     | 678.66   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 678.66   |      |
| GE                 | 0.00      | 0.00       | 0.00     | 0.00       | 0.00     | 0.00     | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 0.00     |      |
| HAL                | 0.00      | 0.00       | 0.00     | 0.00       | 0.00     | 0.00     | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 0.00     |      |
| TWTR               | 0.00      | 2,191.72   | 0.00     | 0.00       | 0.00     | 2,191.72 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 2,191.72 |      |
| Total              | 0.00      | 2,870.38   | 0.00     | 0.00       | 0.00     | 2,870.38 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 2,870.38 |      |
| Total (All Assets) | 0.00      | 2,870.38   | 0.00     | 0.00       | 0.00     | 2,870.38 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 2,870.38 |      |

## Cash Report

|                              |  |  |  | Total       | Securities  | Futures |
|------------------------------|--|--|--|-------------|-------------|---------|
| <b>Base Currency Summary</b> |  |  |  |             |             |         |
| Starting Cash                |  |  |  | 546,754.25  | 546,754.25  | 0.00    |
| Commissions                  |  |  |  | -323.62     | -323.62     | 0.00    |
| Net Trades (Sales)           |  |  |  | 734,759.00  | 734,759.00  | 0.00    |
| Net Trades (Purchase)        |  |  |  | -834,134.00 | -834,134.00 | 0.00    |
| Ending Cash                  |  |  |  | 447,055.64  | 447,055.64  | 0.00    |
| Ending Settled Cash          |  |  |  | 138,373.64  | 138,373.64  | 0.00    |

## Open Positions

| Symbol        | Quantity | Mult | Cost Price | Cost Basis  | Close Price | Value             | Unrealized P/L | Code |
|---------------|----------|------|------------|-------------|-------------|-------------------|----------------|------|
| <b>Stocks</b> |          |      |            |             |             |                   |                |      |
| <b>USD</b>    |          |      |            |             |             |                   |                |      |
| DOW           | 23       | 1    | 0.0000     | 0.00        | 46.1100     | 1,060.53          | 0.00           |      |
| GE            | 1,000    | 1    | 0.0000     | 0.00        | 6.2400      | 6,240.00          | 0.00           |      |
| HAL           | 25,000   | 1    | 0.0000     | 0.00        | 11.1700     | 279,250.00        | 0.00           |      |
| TWTR          | 6,000    | 1    | 0.0000     | 0.00        | 46.7000     | 280,200.00        | 0.00           |      |
| <b>Total</b>  |          |      |            | <b>0.00</b> |             | <b>566,750.53</b> | <b>0.00</b>    |      |

## Trades

| Symbol            | Date/Time            | Quantity | T. Price | C. Price | Proceeds      | Comm/Fee       | Basis       | Realized P/L  | MTM P/L       | Code |
|-------------------|----------------------|----------|----------|----------|---------------|----------------|-------------|---------------|---------------|------|
| <b>Stocks</b>     |                      |          |          |          |               |                |             |               |               |      |
| <b>USD</b>        |                      |          |          |          |               |                |             |               |               |      |
| FOXA              | 2020-10-01, 12:05:55 | 10,000   | 27.7618  | 28.0200  | -277,618.00   | -50.00         | 277,668.00  | 0.00          | 2,582.00      | O;P  |
| FOXA              | 2020-10-01, 13:09:12 | -10,000  | 27.8404  | 28.0200  | 278,404.00    | -57.34         | -277,668.00 | 678.66        | -1,796.00     | C;P  |
| <b>Total FOXA</b> |                      | <b>0</b> |          |          | <b>786.00</b> | <b>-107.34</b> | <b>0.00</b> | <b>678.66</b> | <b>786.00</b> |      |
| HAL               | 2020-10-01, 12:35:57 | 25,000   | 11.26616 | 11.1700  | -281,654.00   | -125.00        | 281,779.00  | 0.00          | -2,404.00     | O;P  |

## Trades

|                   |                      |               |            |         |                    |                |                    |                 |                  |     |
|-------------------|----------------------|---------------|------------|---------|--------------------|----------------|--------------------|-----------------|------------------|-----|
| <b>Total HAL</b>  |                      | <b>25,000</b> |            |         | <b>-281,654.00</b> | <b>-125.00</b> | <b>281,779.00</b>  | <b>0.00</b>     | <b>-2,404.00</b> |     |
| TWTR              | 2020-10-01, 11:04:30 | -10,000       | 45.6355    | 46.7000 | 456,355.00         | -61.28         | -454,102.00        | 2,191.72        | -10,645.00       | C;P |
| TWTR              | 2020-10-01, 11:41:40 | 6,000         | 45.8103333 | 46.7000 | -274,862.00        | -30.00         | 274,892.00         | 0.00            | 5,338.00         | O;P |
| <b>Total TWTR</b> |                      | <b>-4,000</b> |            |         | <b>181,493.00</b>  | <b>-91.28</b>  | <b>-179,210.00</b> | <b>2,191.72</b> | <b>-5,307.00</b> |     |
| <b>Total</b>      |                      |               |            |         | <b>-99,375.00</b>  | <b>-323.62</b> | <b>102,569.00</b>  | <b>2,870.38</b> | <b>-6,925.00</b> |     |

## Interest Accruals

## Base Currency Summary

|                          |       |
|--------------------------|-------|
| Starting Accrual Balance | 0.00  |
| Interest Accrued         | -2.53 |
| Accrual Reversal         | 0.00  |
| Ending Accrual Balance   | -2.53 |

## Financial Instrument Information

| Symbol        | Description         | Conid     | Security ID  | Listing Exch | Multiplier | Type   | Code |
|---------------|---------------------|-----------|--------------|--------------|------------|--------|------|
| <b>Stocks</b> |                     |           |              |              |            |        |      |
| DOW           | DOW INC             | 356576040 | US2605571031 | NYSE         | 1          | COMMON |      |
| FOXA          | FOX CORP - CLASS A  | 356858008 | US35137L1052 | NASDAQ       | 1          | COMMON |      |
| GE            | GENERAL ELECTRIC CO | 7516      | US3696041033 | NYSE         | 1          | COMMON |      |
| HAL           | HALLIBURTON CO      | 7890      | US4062161017 | NYSE         | 1          | COMMON |      |
| TWTR          | TWITTER INC         | 137780444 | US90184L1026 | NYSE         | 1          | COMMON |      |

## Codes

| Code | Meaning  | Code (Cont.) | Meaning (Cont.)                                      |
|------|--|--------------|--|
| A    | Assignment   | LI           | Last In, First Out (LIFO) tax basis election         |
| ADR  | ADR Fee Accrual  | LT           | Long Term P/L  |
| AEx  | Automatic exercise for dividend-related recommendation.  | Lo           | Direct Loan  |
| Adj  | Adjustment   | M            | Entered manually by IB                               |
| Al   | Allocation   | MEx          | Manual exercise for dividend-related recommendation. |
| Aw   | Away Trade   | ML           | Maximize Losses tax basis election                   |
| B    | Automatic Buy-in   | MLG          | Maximize Long Term Gain tax basis election           |
| Bo   | Direct Borrow  | MLL          | Maximize Long Term Loss tax basis election           |
| C    | Closing Trade  | MSG          | Maximize Short Term Gain tax basis election          |
| CD   | Cash Delivery  | MSL          | Maximize Short Term Loss tax basis election          |
| CP   | Complex Position   | O            | Opening Trade  |
| Ca   | Cancelled  | P            | Partial Execution                                    |
| Co   | Corrected Trade  | PI           | Price Improvement                                    |
| Cx   | Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers | Po           | Interest or Dividend Accrual Posting                 |

## Codes

| Code | Meaning   | Code (Cont.) | Meaning (Cont.)   |
|------|---|--------------|---|
| ETF  | ETF Creation/Redemption   | Pr           | Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade |
| Ep   | Resulted from an Expired Position   | R            | Dividend Reinvestment   |
| Ex   | Exercise  | RED          | Redemption to Investor  |
| FP   | IB acted as principal for the fractional share portion of this trade  | RP           | IB acted as riskless principal for the fractional share portion of this trade   |
| FPA  | IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade                         | RPA          | IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade  |
| G    | Trade in Guaranteed Account Segment   | Re           | Interest or Dividend Accrual Reversal   |
| HC   | Highest Cost tax basis election   | Ri           | Reimbursement   |
| HFI  | Investment Transferred to Hedge Fund  | SI           | This order was solicited by Interactive Brokers   |
| HFR  | Redemption from Hedge Fund  | SL           | Specific Lot tax basis election   |
| I    | Internal Transfer   | SO           | This order was marked as solicited by your Introducing Broker   |
| IA   | This transaction was executed against an IB affiliate   | SS           | Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market  |
| INV  | Investment Transfer from Investor   | ST           | Short Term P/L  |
| IPO  | This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade. | T            | Transfer  |
| L    | Ordered by IB (Margin Violation)  | Un           | Unvested shares from stock grant  |
| LD   | Adjusted by Loss Disallowed from Wash Sale  |              |   |