

ACL SIG-FinTech

Constitution of the ACL Special Interest Group on Economic and Financial Natural Language Processing (SIG-FinTech)

2024.11.12

1) STATEMENT OF PURPOSE

The purpose of the Association for Computational Linguistics (ACL) Special Interest Group on Economic and Financial Natural Language Processing (SIG-FinTech) shall be:

- (1) to promote interest in Computational Linguistics and Natural Language Processing approaches to economic and financial languages
- (2) to provide means of exchanging news of recent research developments, commercial applications and other matters of interest in computational approaches to the study of economic and financial languages
- (3) to supervise the organization of meetings and workshops in financial NLP, such as FinNLP, guaranteeing at least one meeting in per year
- (4) to provide and facilitate access to resources relevant to economic and financial NLP, including workshop proceedings, registries of available tools, and collections
- (5) to raise awareness and provide education on the ethical considerations, potential negative impacts, and best practices in the application of NLP to economic and financial domains
- (6) to foster interdisciplinary collaboration with experts in ethics, law, cybersecurity, and other relevant fields to address the challenges and responsibilities associated with economic and financial NLP
- (7) to ensure that research and applications in financial NLP are conducted in accordance with relevant legal and regulatory frameworks, and to provide members with up-to-date information on applicable laws and regulations
- (8) to support the formation of an ethics committee or advisory board that can guide SIG activities and review research proposals with potential ethical implications

2) ELECTED OFFICERS

The elected officers of the SIG shall consist of a Chair and a Secretary. The Chair and Secretary shall be members in good standing of the ACL. Both Chair and Secretary will serve for three years.

The duties of the Chair shall be:

- To have primary executive authority over actions and activities of the SIG
- To prepare a written report on the activities of the SIG for the Executive Committee of the ACL, for presentation to the ACL at its Annual Business Meeting
- To be a Liaison Representative for the SIG and communicate with the Executive Committee of the ACL
- To assign a chair of the ethics committee to review SIG activities with potential ethical implications

The duties of the Secretary shall be:

- To maintain a membership roster of the SIG
- To communicate with members of the SIG
- To answer inquiries about the SIG

- To conduct elections as mandated below
- To be responsible for any moneys awarded to the SIG by the ACL and to present an annual report on the SIG finances to the Executive Committee of the ACL

3) ELECTION OF OFFICERS

All officers of the SIG shall be elected by an anonymous vote of the Membership. This vote shall take place at least 4 weeks before the expiration of the terms of the officers to be elected, with at least 4 weeks' notice of nominations to SIG members. Elections will be electronic, using either electronic mail or the Web.

Candidates will present their nomination following a Call issued by the Chair at least two months before the election. They must be members in good standing of the SIG. The Chair and Secretary will be elected every three years. The candidate with the maximum number of votes will be elected Chair, the next runner-up will be elected Secretary. Votes arriving by the notified closing date will be counted by a SIG member appointed by the Chair and agreed to by all candidates. Results of the election shall be notified to the members within four weeks after the close of voting.

4) CHANGES TO THE CONSTITUTION

Changes to the SIG-FinTech constitution may be proposed by at least 10 members. The changes will be posted on the SIG-FinTech Web page, with the members invited to comment on the changes. Afterwards, a ratification vote will be held. The amendment will be adopted if a majority of those voting approve it.

The ACL Executive Committee may veto proposed constitution changes if they are incompatible with the ACL Constitution or SIG guidelines.