

AKA Gallager Codes  
Low Density Parity Check Codes

Aditya

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# Outline

Formalizing Error Correction Codes

Simple Soft Decision Decoders

Formalizing Linear Block Codes

Low Density Parity Check Codes

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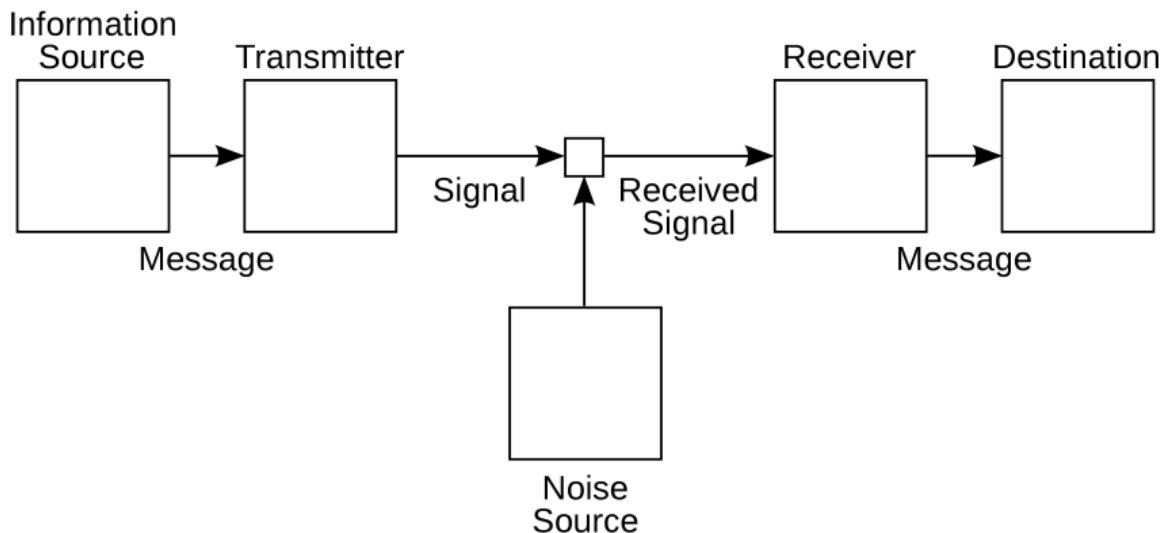
## Section 1

### Formalizing Error Correction Codes

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# The Model

- Transmitter AKA modulator does bits  $\mapsto$  signals.
- In our model, noise source adds Gaussian noise  $n(t)$  that is independent from symbol to symbol.



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# Modulation

- Remember, the goal is to map bits (0s and 1s) to a signal.
- We will use binary phase shift keying (BPSK), which works by changing (*modulating*) the phase of a basis function. Then,  $0 \mapsto s_0(t)$  and  $1 \mapsto s_1(t)$ :

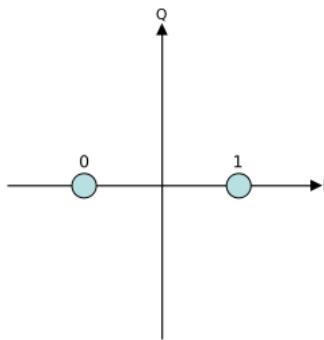
$$s_0(t) = \sqrt{\frac{2E}{T}} \sin\left(2\pi ft + \frac{\pi}{2}\right)$$
$$s_1(t) = \sqrt{\frac{2E}{T}} \sin\left(2\pi ft - \frac{\pi}{2}\right)$$

- Looks hard, but phasors can help!

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## Constellations

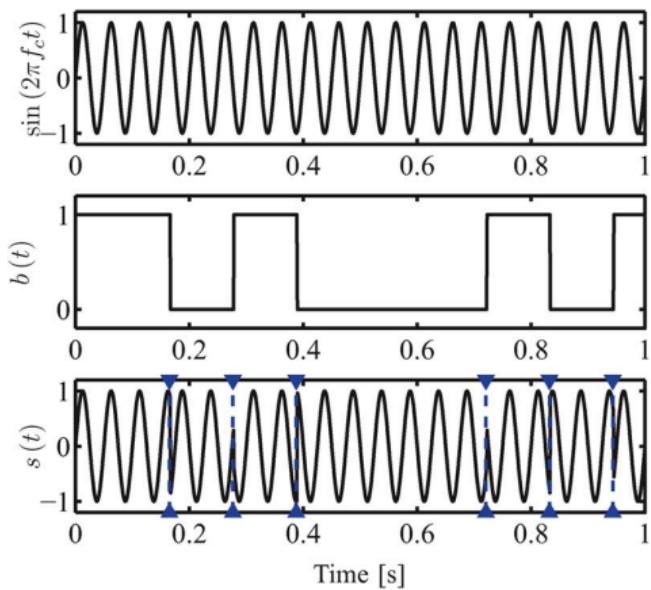
- Constellation diagram (very similar to phasor diagram) – in  $\mathbb{C}$ , the argument gives the phase shift, and the norm gives the amplitude of a signal.



- So, BPSK maps  $0 \mapsto +1$  and  $1 \mapsto -1$ . Note that this is a mapping from  $\mathbb{F}_2 \mapsto \mathbb{R}$ .

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## BPSK in time domain



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## Through the channel

We add in white Gaussian noise (AWGN).

$$y(t) = x(t) + n(t)$$

where  $x(t)$  is the input signal, and  $n(t)$  is a Gaussian process, and independent for each symbol.

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# Demodulate!

$$\rho = \int_0^T y(t) \sqrt{\frac{2E}{T}} \sin\left(2\pi ft + \frac{\pi}{2}\right) dt$$

- **Important:**  $\rho \in \mathbb{R}$ .
- Why does this work? There's a little bit of analog signal processing that's not too relevant...in essence, the process involves re-multiplying by the carrier signal, then using a low pass filter to pick out the data.

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## What do we do with $\rho$ ?

Remember, we need to map back from  $\mathbb{R} \mapsto \mathbb{F}_2$ .

**Hard decision decoding:** Threshold at 0 to get the output bit:

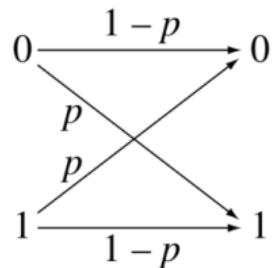
$$b = \begin{cases} 0, & \rho > 0 \\ 1, & \rho \leq 0 \end{cases}$$

**Soft decision decoding:** We'll talk about it soon!

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## Binary symmetric channels

- It can be shown that BPSK over AWGN is a BSC.



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## How to compute bit-flip probability $p$ ?

The bit-error rate  $p$  is given by:

$$\text{BER} = P(t = +1) \cdot P(n \leq -1) + P(t = -1) \cdot P(n \geq +1)$$

Assuming an even mix of 0s and 1s,

$$\text{BER} = \frac{1}{2}P(n \leq -1) + \frac{1}{2}P(n \geq +1)$$

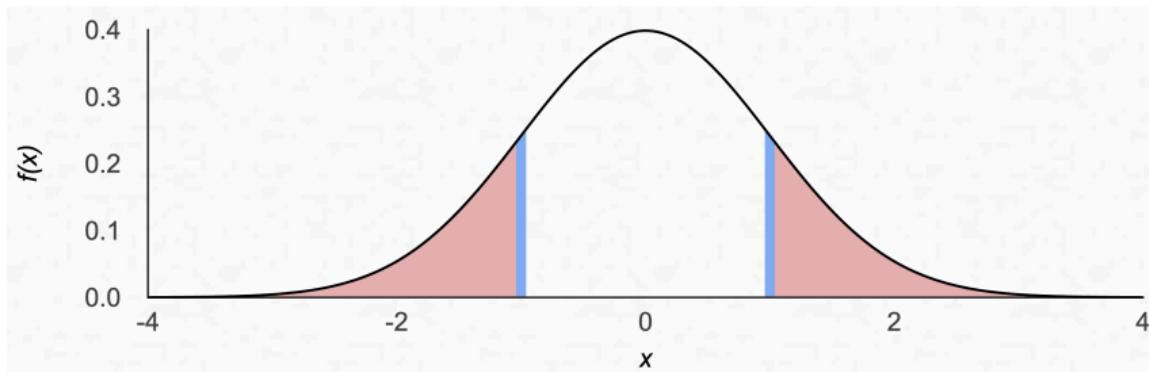
Recall, the noise is a Gaussian distribution with variance  $\sigma$ .

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## After some statistics...

The transition probability of our model is:

$$p = \text{BER} = Q\left(\frac{1}{\sigma}\right)$$



$$Q(x) := \frac{1}{2} \operatorname{erfc}\left(\frac{x}{\sqrt{2}}\right)$$

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## One last thing: power!

Signal-to-noise ratio:

$$\text{SNR}_{\text{dB}} = 10 \log_{10} \left( \frac{P_{\text{signal}}}{P_{\text{noise}}} \right)$$

In discrete time,

$$\text{SNR} = \frac{E_s}{\sigma^2} = \frac{E_s}{\frac{N_0}{2}} = \frac{2E_s}{N_0}$$

where  $E_s$  is the energy per symbol,  $N_0/2$  is the power spectral density (variance) of the noise signal.

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## SNR for BPSK

$$E_s = \frac{(-1)^2 + 1^2}{2} = 1 \implies \text{SNR} = \frac{1}{\sigma^2}$$

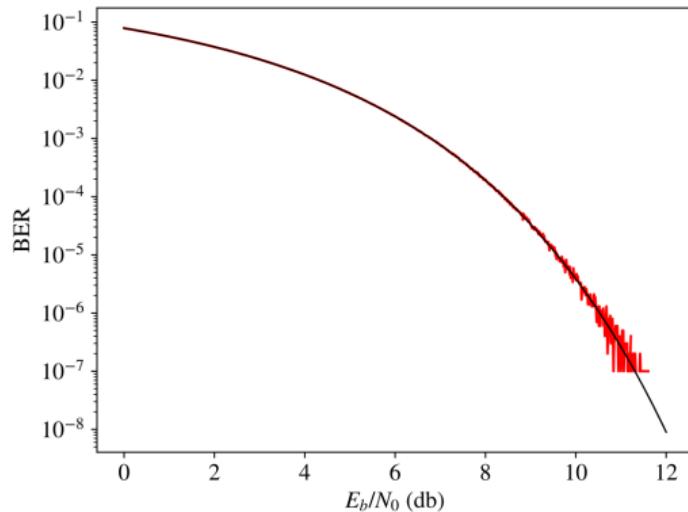
We get a nice relation between SNR and BER for our model:

$$\text{BER} = Q\left(\sqrt{\text{SNR}}\right)$$

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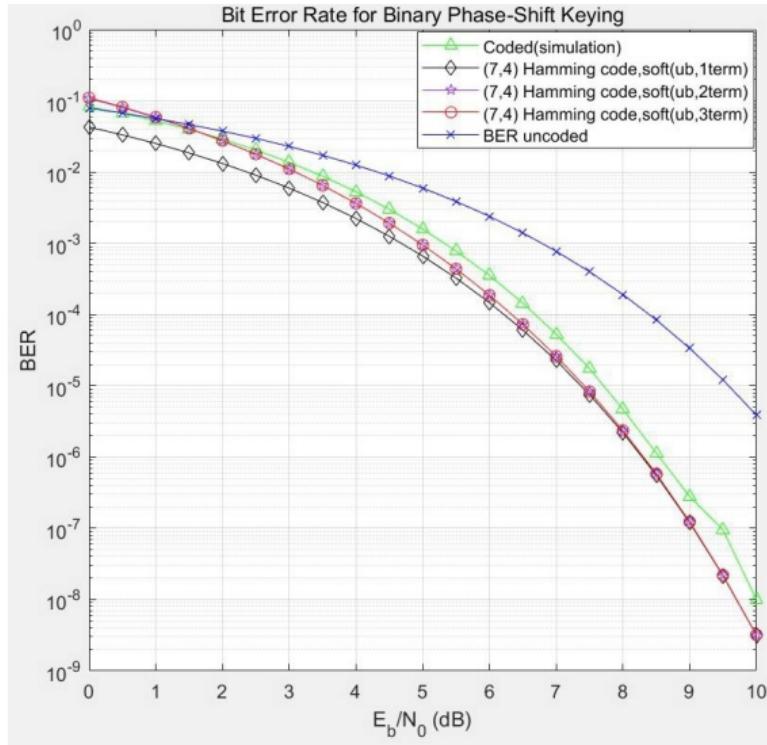
## SNR vs. BER

Red line is a Monte-Carlo simulation that counts bit errors for AWGN ( $\sigma = 1$ ). We usually use  $E_b/N_0$  (SNR per bit) instead of  $2E_s/N_0$  (SNR) in these graphs.



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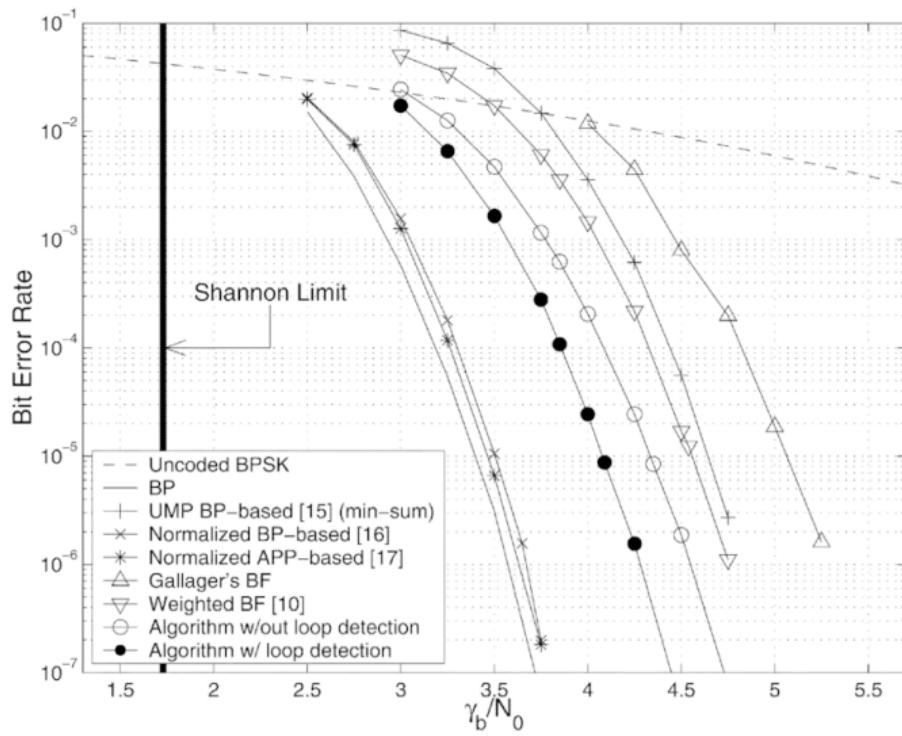
# What do error correction codes do?



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# Shannon Limit and Capacity-Approaching Codes

$$E_b = E_s/R = nE_s/k$$



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## Section 2

### Simple Soft Decision Decoders

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## $n = 3$ Repetition Code

What's the easiest way to make sure someone understands *exactly* what you're saying?

Repeat yourself (say it three times)!

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## Encoder

Note that the rate of this code is  $k/n = 1/3$ .

$m$	$c$	$\vec{s}$
0	000	[+1, +1, +1]
1	111	[-1, -1, -1]

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## Hard decision decoder

The output from the demodulator is some vector of real numbers, say  $\vec{r} = [r_0, r_1, r_2]$ . Then, hard decision decode this to  $\vec{b}$  by thresholding at zero. Finally, use a majority function:

$\vec{b}$	$\hat{c}$
000	000
001	000
010	000
100	000
<hr/>	
011	111
101	111
110	111
111	111
<hr/>	

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## So, we're happy with ourselves

- Not so fast – let's analyze this code within the formal framework we laid out earlier.

$$\frac{E_b}{N_0} = \frac{E_s/\sigma^2}{2R} = \frac{3}{2\sigma^2}$$

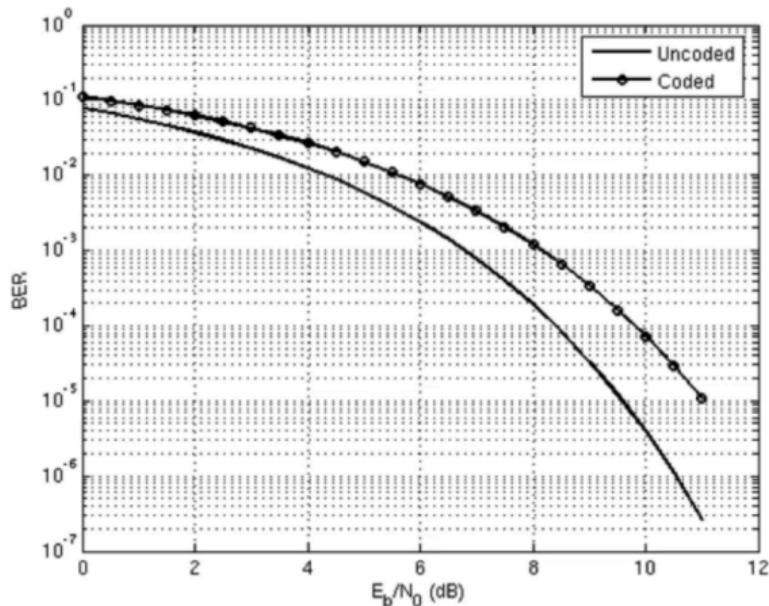
- The probability of a bit-flip is then:

$$\implies p = Q\left(\sqrt{\frac{2E_b}{3N_0}}\right)$$

- The overall probability of an error is  $\text{BER} = 3p^2(1 - p) + p^3$ .

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## Plotting the hard decision decoder for $n = 3$ repetition code



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## Soft decision decoding

- The received real vector  $\vec{r}$  can be analyzed in a real vector space.
- Compare the correlation of  $\vec{r}$  with the codewords, and pick the output symbol based on that. If:

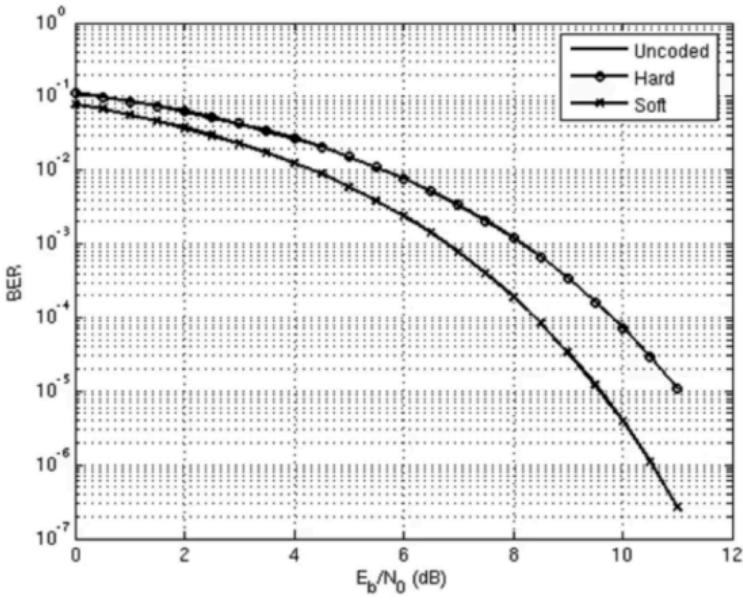
$$\vec{r} \cdot [+1 \quad +1 \quad +1] > \vec{r} \cdot [-1 \quad -1 \quad -1]$$

$\hat{c} = 000$  else  $\hat{c} = 111$ .

- More simply, check  $r_0 + r_1 + r_2 > 0$ .
- This is an optimal maximum likelihood decoder.

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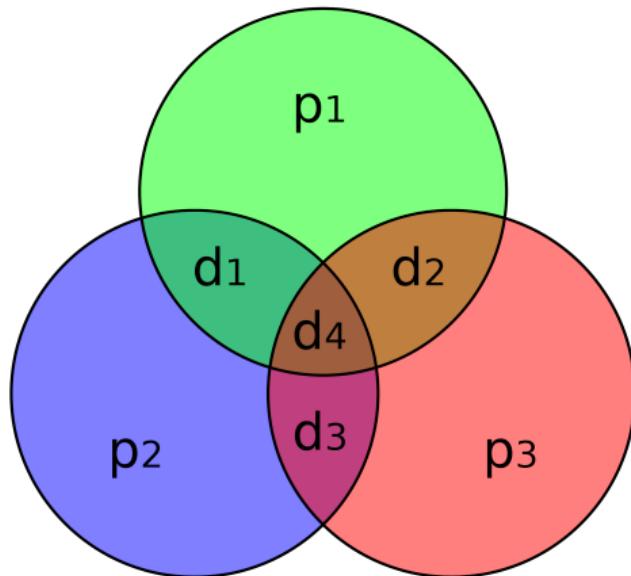
# BER vs SNR per bit for optimal decoding of repetition code



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## (7, 4) Hamming Code

Recall from Anakin's introductory meeting on codes the (7, 4) Hamming code.



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## Hard decision decoder

- After the hard decision thresholding of the received vector  $\vec{r}$  around 0 to get  $\vec{b}$ ,
- Correct to the codeword at the closest Hamming distance from  $\vec{b}$ .
- This is the *minimum distance decoder* for the Hamming code.

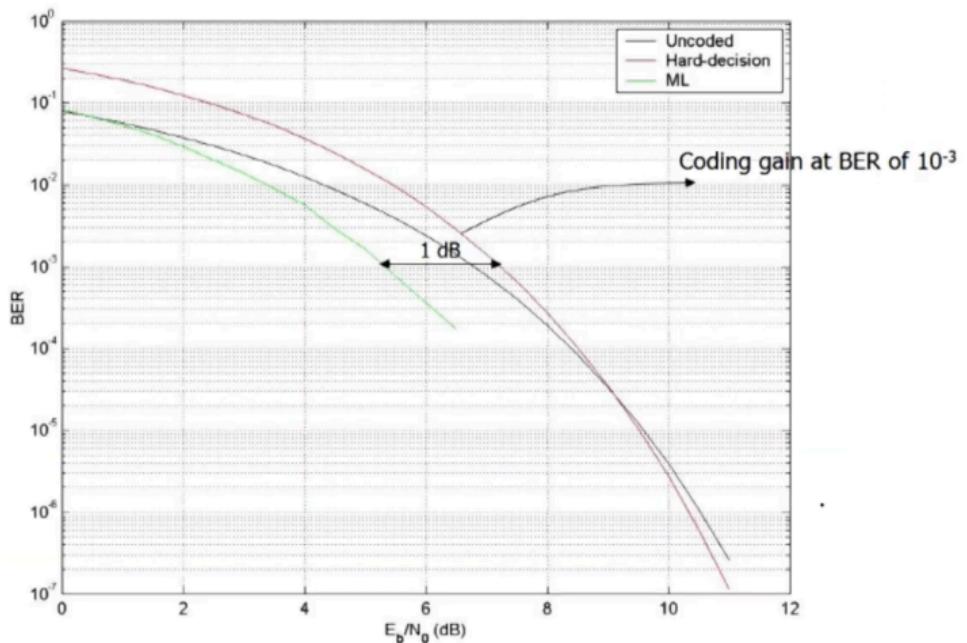
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## Soft decision decoder

- Find the closest codeword to  $\vec{r}$  in *Euclidean* distance.
- That is, in the vector space  $\mathbb{R}^n$ .
- Clearly, this is (much) more complex, and becomes hard to implement as  $k$  increases for a Hamming code.
- This is the *maximum likelihood decoder* for the Hamming code.

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# BER vs SNR per bit for Hamming (7,4) Decoders



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## SISO Decoding

- There is another kind of decoder, the soft-in soft-out decoder.
- We start with implementing it for the repetition code (this is really easy and just for demonstrating the technique).

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## Belief

- The output of the SISO decoder is a real vector  $\vec{L} = [L_0 \ L_1 \ L_2]$ , where each  $L_i$  indicates the strength of the “belief” that bit  $c_i$  of the codeword is (say) 0.
- What does this mean? Imagine you received the vector [3.2, 4.3, 2.4].
- This indicates that it’s very likely, in each case, that the transmitted symbol was +1.

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## Tell me more about your beliefs

- What about  $\vec{r} = [0.02, -3.2, -0.6]$ ?
- A hard-decision decoder would turn this into  $[1, -1, -1]$ .
- However, for a SISO decoder and a repetition code, you *know* that all the bits should be the same.
- How sure are you about 0.02?

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## Formalizing the intuition

The probabilities below are of interest (Bayes' rule):

$$P(c_0 = 0|r_0) = \frac{f(r_0|c_0 = 0)P(c_0 = 0)}{f(r_0)}$$

$$P(c_0 = 1|r_0) = \frac{f(r_0|c_0 = 1)P(c_0 = 1)}{f(r_0)}$$

It is natural to divide these quantities:

$$\frac{P(c_0 = 0|r_0)}{P(c_0 = 1|r_0)} = \frac{f(r_0|c_0 = 0)}{f(r_0|c_0 = 1)}$$

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## Intrinsic log likelihood ratios

Recall that the noise is normally distributed, so  $f(r_0|c_0 = 0) = 1 + N(0, \sigma^2)$  and  $f(r_0|c_0 = 1) = -1 + N(0, \sigma^2)$ . Plugging in the Gaussian PDF and simplifying gives

$$\frac{P(c_0 = 0|r_0)}{P(c_0 = 1|r_0)} = \exp \frac{2r_0}{\sigma^2}$$

So, the *intrinsic log likelihood ratio* of  $r_0$  is:

$$l_0 = \log \frac{P(c_0 = 0|r_0)}{P(c_0 = 1|r_0)} = \frac{2r_0}{\sigma^2}$$

This is general for any intrinsic LLR in BPSK/AWGN. (Typically, we ignore the constant factor here, since it's merely a constant scaling of our belief.)

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## Output log likelihood ratios

We still want to get  $L_i$ , which is a belief *in the context* of the other elements of the received vector  $\vec{r}$ . Formally, we want:

$$L_i = \log \frac{P(c_i = 0 | r_0, r_1, r_2)}{P(c_i = 1 | r_0, r_1, r_2)}$$

Skipping the Bayes' rule transformation, we see that:

$$L_i = \log \frac{f(r_0, r_1, r_2 | c_0 = 0)}{f(r_0, r_1, r_2 | c_0 = 1)}$$

Since this is an AWGN channel, each normal distribution in this joint PDF is independent, so, after inserting a product of similar distributions as in the intrinsic case, we simply get:

$$L_i = \frac{2}{\sigma^2} (r_0 + r_1 + r_2)$$

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## SISO Decoding a Repetition Code

Thus, after adjusting for the scaling factors, the SISO decoder output is given by

$$L_0 = \underbrace{r_0}_{\text{intrinsic}} + \underbrace{r_1 + r_2}_{\text{extrinsic}}$$

The “extrinsic” is really saying “what do  $r_1$  and  $r_2$  tell me about  $r_1$ ? ”

In our example ( $[0.02, -3.2, -0.6]$ ), this would result in:  $[-3.78, -3.78, -3.78]$ .

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## A more interesting SISO Decoder: SPC Codes

- For a message  $m$ , XOR all the bits, and tack on the parity bit at the end. This is codeword  $c$ .
- This is the **single parity check code**.
- Consider, the  $(3, 2)$  SPC code:

$m$	$c$
00	000
01	011
10	101
11	110

- Let's design a SISO decoder, whose input is  $\vec{r}$ , and output is a 3-dimensional vector  $\vec{L}$  of log likelihood ratios that corresponds to  $\vec{r}$ .

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## Extrinsic information

- It's clear what  $r_0$  says about  $c_0$ : it's just the intrinsic belief.
- What do  $r_1$  and  $r_2$  say about  $c_0$ , though?
- Formally, we want:

$$l_{ext,0} = \log \frac{P(c_0 = 0|r_1, r_2)}{P(c_0 = 1|r_1, r_2)}$$

- We know  $c_0 = c_1 \oplus c_2$ . So,

$$P(c_0 = 0|r_1, r_2) = p_2 p_3 + (1 - p_2)(1 - p_3)$$

where

$$p_2 = \log \frac{P(c_2 = 0|r_2)}{P(c_2 = 1|r_2)} \quad p_3 = \log \frac{P(c_3 = 0|r_3)}{P(c_3 = 1|r_3)}$$

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## After some boring algebra...

We get that the relation  $c_0 = c_1 \oplus c_2$  in the likelihood domain is

$$\tanh \frac{l_{ext,0}}{2} = \tanh \frac{l_1}{2} \cdot \tanh \frac{l_2}{2}$$

Breaking this up into the sign and the absolute values with logarithms,  
 $\operatorname{sgn} l_{ext,0} = \operatorname{sgn} l_1 \operatorname{sgn} l_2$

$$\log \left( \tanh \frac{|l_{ext,0}|}{2} \right) = \log \left( \tanh \frac{|l_1|}{2} \right) + \log \left( \tanh \frac{|l_2|}{2} \right)$$

Define  $f(x) := \log \tanh |x|/2$ . Then,  $f(x) = f^{-1}(x)$ . So,

$$|l_{ext,0}| = f(f(l_1) + f(l_2))$$

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## SISO Decoder for SPC Codes

$$L_0 = l_0 + l_{ext,0}$$

where

$$l_0 = \frac{2}{\sigma^2} r_0$$

and

$$|l_{ext,0}| = f(f(l_1) + f(l_2))$$

$$\operatorname{sgn} l_{ext,0} = \operatorname{sgn} l_1 \operatorname{sgn} l_2$$

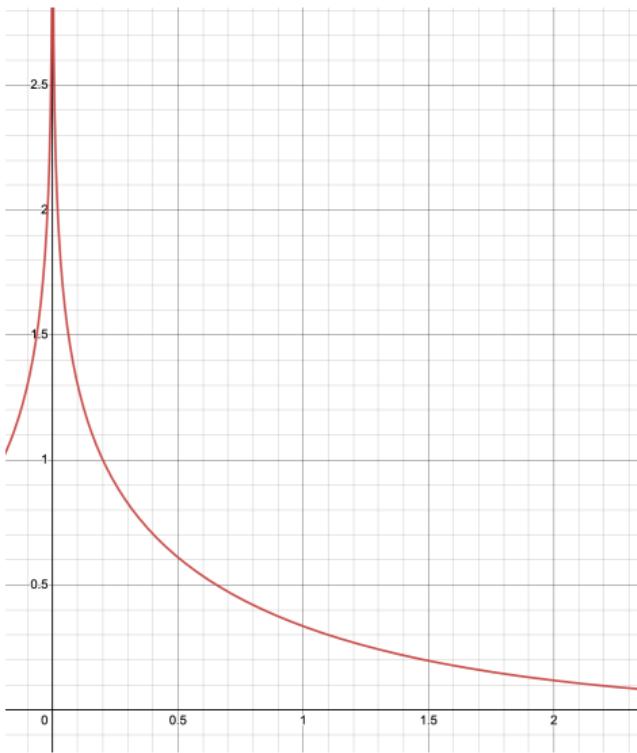
where

$$f(x) := \log \tanh \frac{|x|}{2}$$

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# Computing $f(x)$ is hard

Approximate it!



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## Min-sum approximation

Small values dominate, so  $f(|l_1|) + f(|l_2|) = f(\min(|l_1|, |l_2|))$ . Translating back to our original formula,

$$|l_{ext,0}| = f(f(l_1) + f(l_2)) = f(f(\min(|l_1|, |l_2|))) = \min(|l_1|, |l_2|)$$

Since  $f$  is its own inverse.

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## SISO Decoder for General $(n, n-1)$ SPC Code

Generalizes very naturally:

$$l_0 = \frac{2}{\sigma^2} r_0$$

and

$$l_{ext,0} = (\operatorname{sgn}(l_1) \operatorname{sgn}(l_2) \cdots \operatorname{sgn}(l_{n-1})) \min(|l_1|, |l_2|, \dots, |l_{n-1}|)$$

...and so on for each  $L_i$ . Low-hanging optimizations here for both the sign and the minimum operations.

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## Section 3

### Formalizing Linear Block Codes

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# Introduction

- From Wikipedia: “A **linear code** of length  $n$  and dimension  $k$  is a linear subspace  $C$  with dimension  $k$  of the vector space  $\mathbb{F}_q^n$  where  $\mathbb{F}_q$  is the finite field with  $q$  elements.”
- More simply, a linear block code takes an input vector of bits  $\vec{m}$ , and produces  $\vec{c} = [\vec{m} \ \vec{p}]$ , where  $\vec{p}$  is the *parity check vector*.
- $\vec{m}$  is of dimension (length)  $k$ ,  $\vec{p}$  is of dimension  $p$ , and  $\vec{c}$  is of dimension  $n = k + p$ .
- The elements of  $\vec{p}$  are computed by XORing (adding modulo 2) certain bits of  $\vec{m}$ .

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## Example of simple (6, 3) linear block code

Parity computation is given by:

$$p_0 = m_0 \oplus m_1$$

$$p_1 = m_1 \oplus m_2$$

$$p_2 = m_2 \oplus m_0$$

Clearly, the rate is  $R = 1/2$ .

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## Generator matrices

Clearly,

$$\begin{bmatrix} p_0 & p_1 & p_2 \end{bmatrix} = \begin{bmatrix} m_0 & m_1 & m_2 \end{bmatrix} \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

To get the full systematic codeword, tack on  $I_3$ :

$$\begin{bmatrix} m_0 & m_1 & m_2 & p_0 & p_1 & p_2 \end{bmatrix} = \begin{bmatrix} m_0 & m_1 & m_2 \end{bmatrix} \underbrace{\begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}}_G$$

This matrix is known as the generator matrix for the code:  $G = [I_k \ P]$ . It has rank  $k$ , and its rows form the basis for the code space.

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## Parity check matrix

- Given by  $H = [P^T \ I_{n-k}]$ , a  $(n - k) \times n$  matrix.

$$\underbrace{\begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}}_H \begin{bmatrix} m_0 \\ m_1 \\ m_2 \\ p_0 \\ p_1 \\ p_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

- In general, given a codeword,  $Hc^T = 0$ .

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## Exercise

Construct the generator matrix  $G$  and parity check matrix  $H$  for the  $n = 3$  repetition code.

Bonus: do the same for the  $(7, 4)$  Hamming code.

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## Solution

$$G = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix}$$

$$H = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

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## Section 4

### Low Density Parity Check Codes

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## Some of the keywords should now make sense

- LDPC codes are linear block codes with a very sparse parity check matrix  $H$ .
- That is,  $\text{popcount}(H) \ll n(n - k)$ .

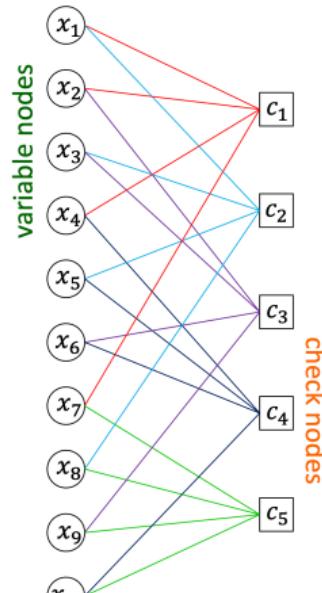
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# Tanner Graphs and Parity Check Matrices

Important: any one row of  $H$  that is, each check node corresponds to a single parity check code.

$$H = \begin{pmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 & x_{10} \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix} \begin{matrix} c_1 \\ c_2 \\ c_3 \\ c_4 \\ c_5 \end{matrix}$$

(a) Parity-check matrix



(b) Tanner graph

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# Code Generation and Encoding

- Isn't terribly interesting, and we may come back to it later.
- Fundamentally the same idea as encoding any linear block code: a matrix multiplication (alternately, using the parity check matrix to figure out which bits to XOR).
- To optimize code performance, encoding complexity, memory footprint, a “base matrix” is carefully selected, then expanded in a certain way using circulant matrices to get the parity check matrix.
- The really interesting part of LDPC is the decoding algorithm.

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## LDPC Decoding

- SISO
- Iterative, belief propagation algorithm
- Uses the min-sum approximation from earlier
- For SISO decoding, recall that we want

$$L_i = \log \frac{P(c_i = 0 | \vec{r})}{P(c_i = 1 | \vec{r})}$$

that indicates the strength of the “belief” that bit  $c_i$  of the codeword is (say) 0.

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## Plan: use the Tanner graph

- Variable nodes (LHS) are connected to check nodes (RHS).
- Pass extrinsic information through the edges of the graph, so all the nodes “work together”, adding their knowledge.
- Four steps of the decoding algorithm:
  1. Initialization
  2. Check-node processing
  3. Variable-node processing
  4. If syndrome is not zero or maximum iterations not reached, GOTO 2.

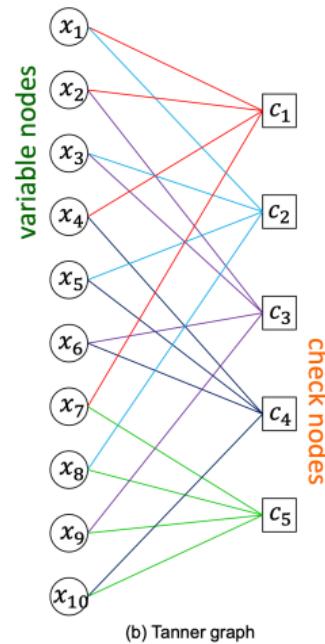
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# Visualization in the Tanner Graph

Initialize all the variable nodes with their channel (intrinsic) LLR  $l_i$ .

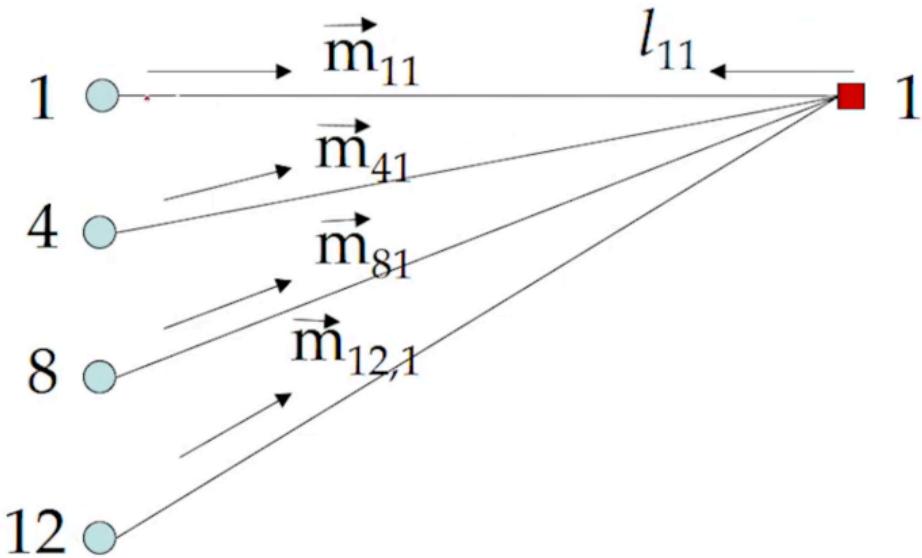
$$\mathbf{H} = \begin{pmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 & x_{10} \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix} \begin{matrix} c_1 \\ c_2 \\ c_3 \\ c_4 \\ c_5 \end{matrix}$$

(a) Parity-check matrix



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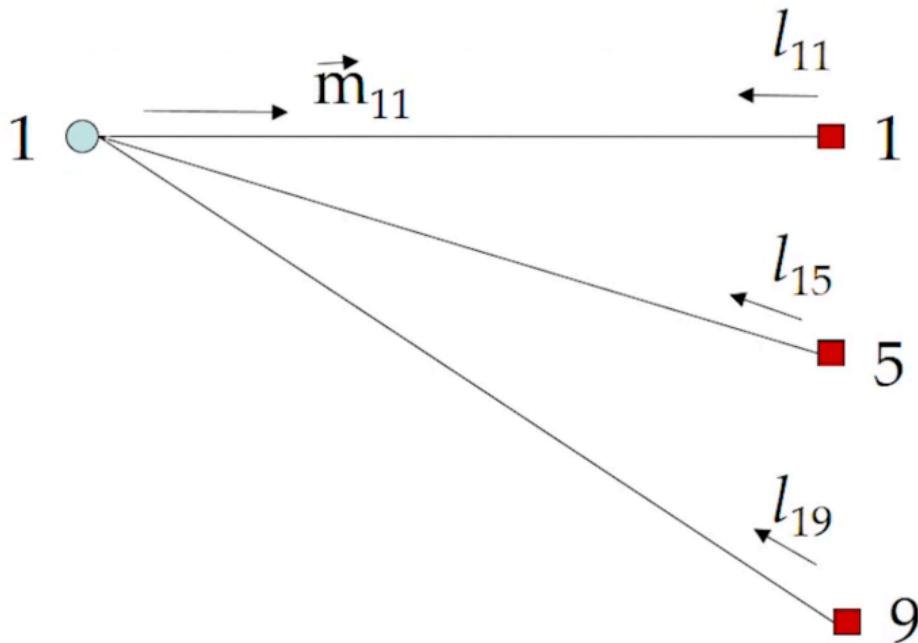
## Check-node processing



This is an SPC! Check node (1) (say  $\beta_1$ ) will do a SISO SPC decoding.

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## Variable-node processing



Each check node returns the *extrinsic* information from the SPC computation for each variable node (say  $\alpha_i$ ). This forms a repetition code!

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## Some properties

- More iterations is better
- Using the min-sum approximation causes a degradation in error-rate performance, but makes SISO SPC check node decoders very simple.
- Small cycles in the Tanner graph (low girth) can ruin performance for iterative decoding.
- Characterizing performance of LDPC codes requires “density evolution” analysis.

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# Thanks for coming!

From <https://www.inference.org.uk/mackay/codes/gifs/>

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