



# Technical documentation

Guidance for data centres contributing to SDMS

# Versions

| Version | Date       | Comment                                                                                                                                                           | Responsible                                                                                       |
|---------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| 1.0     | 2023-02-25 | Major rewrite - for comments                                                                                                                                      |                                                                                                   |
| 0.9     | 2022-11-30 | Minor modifications to text, added section about SIOS-KC training, added some links.                                                                              | Luke Marsden                                                                                      |
| 0.8     | 2022-06-10 | Minor modifications to text, fixed and removed dead links and updated visual profile.                                                                             | Ilkka Matero                                                                                      |
| 0.7     | 2022-01-22 | Updates following lessons learned in harvesting especially from GeoNetwork. Added outline for product manual. Updated information on interpretation of ISO 19115. | Øystein Godøy                                                                                     |
| 0.6     | 2020-04-14 | Corrections of incorrect cross references, added descriptions on file formats and cleaned recommendations                                                         | Øystein Godøy                                                                                     |
| 0.5     | 2020-03-15 | Cleaning of comments, preparation for conversion to ASCIIDOC and establishment of work flow on GitHub.                                                            | Øystein Godøy                                                                                     |
| 0.4     | 2017-05-27 | Comments from SDMS WG incorporated and cleaned.                                                                                                                   | Øystein Godøy                                                                                     |
| 0.3     | 2017-03-17 | Comments from the SDMS WG incorporated.                                                                                                                           | Stein Tronstad<br>Torill Hamre<br>Markus Fiebig<br>Terry Hannant<br>Angelo Viola<br>Øystein Godøy |
| 0.2     | 2017-01-15 | Comments from Angela Schäfer and Torill Hamre are included.                                                                                                       | Øystein Godøy<br>Angela Schäfer<br>Torill Hamre                                                   |
| 0.1     | 2016-10-20 | First draft for internal discussion in the SDMS Working Group.                                                                                                    | Øystein Godøy                                                                                     |
| 0.0     | 2016-04-15 | First draft based on a similar document developed for the WMO Global Cryosphere Watch.                                                                            | Øystein Godøy                                                                                     |

# Table of Contents

|                                                            |    |
|------------------------------------------------------------|----|
| 1. Introduction .....                                      | 4  |
| 1.1. Background .....                                      | 4  |
| 1.2. Scope .....                                           | 5  |
| 1.3. Intended audience .....                               | 5  |
| 1.4. Training and support .....                            | 5  |
| 1.5. Applicable documents .....                            | 5  |
| 2. Interoperability interfaces .....                       | 7  |
| 2.1. Discovery metadata .....                              | 7  |
| 2.1.1. Background .....                                    | 7  |
| 2.1.2. Exchange mechanisms for metadata .....              | 8  |
| 2.1.2.1. Introduction .....                                | 8  |
| 2.1.2.2. OAI-PMH .....                                     | 8  |
| 2.1.2.3. OGC CSW .....                                     | 9  |
| 2.1.2.4. Sensor Description (Not yet supported) .....      | 9  |
| 2.1.2.5. Other .....                                       | 10 |
| 2.1.3. Information structures for discovery metadata ..... | 10 |
| 2.1.3.1. Internal discovery model .....                    | 10 |
| 2.1.3.2. ISO19115 .....                                    | 16 |
| 2.1.3.3. GCMD DIF .....                                    | 17 |
| 2.2. Data .....                                            | 17 |
| 2.2.1. Background .....                                    | 17 |
| 2.2.2. Exchange mechanisms for data .....                  | 18 |
| 2.2.2.1. Introduction .....                                | 18 |
| 2.2.2.2. HTTP/FTP .....                                    | 18 |
| 2.2.2.3. OPeNDAP .....                                     | 18 |
| 2.2.2.4. OGC WFS .....                                     | 19 |
| 2.2.2.5. OGC WCS .....                                     | 19 |
| 2.2.2.6. OGC WMS map projections .....                     | 19 |
| 2.2.3. File formats .....                                  | 20 |
| 2.2.3.1. Introduction .....                                | 20 |
| 2.2.3.2. Darwin Core Archive .....                         | 20 |
| 2.2.3.3. JSON/GeoJSON/JSON-LD .....                        | 20 |
| 2.2.3.4. NetCDF/CF .....                                   | 21 |
| 2.2.3.5. WMO BUFR .....                                    | 21 |
| 2.2.3.6. WMO Grib .....                                    | 22 |
| 2.2.3.7. XML .....                                         | 22 |
| Appendix A: Outline for Product Manual .....               | 23 |
| A.1. Introduction .....                                    | 23 |

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|                    |    |
|--------------------|----|
| A.2. Outline ..... | 23 |
|--------------------|----|

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# 1. Introduction

## 1.1. Background

Environmental and climate changes are currently observed at a global scale and in particular in the Arctic. In order to give better estimates of the future changes, the Arctic has to be monitored and analysed by a multi-disciplinary observation system which is suited to validate and gradually improve Earth System Models. The best chance to achieve significant results within a relatively short time frame is found in regions with a large natural climate gradient, and where processes sensitive to the expected changes are particularly important.

Svalbard and the surrounding ocean areas fulfil all these criteria; Svalbard is located in a region with a very large climate gradient, being alternately influenced by cold central Arctic or mild marine climate conditions at time scales of weeks to years. It is also located in the region with the strongest inflow and outflow processes between the Arctic and lower-latitude oceans. In addition, Svalbard is the only region in the world (and has the facilities) where one can study and quantify one of the remaining unknowns in the climate puzzle; the extraterrestrial and especially solar influence on climate.

The vision for the Svalbard Integrated Arctic Earth Observing System (SIOS) is to be a regional observational system for long term acquisition and proliferation of fundamental knowledge on global environmental change within an Earth System Science (ESS) perspective in and around Svalbard. SIOS will systematically develop and implement methods for how observational networks are to be construed and thus become a leading observational system in the Arctic and Polar regions. The SIOS Data Management System (SDMS) [\[RD-2\] Data Access Portal](#) is the entry point to SIOS datasets. It offers a web interface that contains information about datasets (metadata). These metadata are harvested on a regular basis from [\[RD-3\] data centres contributing to SIOS](#). These data centres manage the data on behalf of the owners and providers of the data.

A major innovative element of SIOS will be the Knowledge Centre, which will facilitate interaction between observation, modelling and process research, strategic processes, a service point to user communities and a platform for data handling and utilization [\[RD-1\]](#). The SIOS Data Management System (SDMS) will be a functionality-enabling component of the Knowledge Centre, supporting data submission, discovery, access, use and preservation of SIOS relevant datasets.

For the SDMS the term “dataset” is defined in line with the INSPIRE Directive as “an identifiable collection of spatial data”, i.e. a collection of data that has a reference by name or coordinates to a geographic location or area, as well as designated start and end times. A dataset can contain observations (remote or in situ), derived quantities (from either of these two types of data sources) or forecasts of future states of environmental parameters. The data values can be located at a single point, along a line or transect, in a regular or irregular grid, and be captured or estimated at one or more altitudes/depths. A dataset can be stored on paper, in files (one or more), or in a database, and is often accompanied by descriptions (metadata) of its content. The purpose of the SDMS is to provide the SIOS user community a single entry point to relevant datasets. It is however not the purpose to centralise all data, but rather to integrate existing and future data centres contributing to SIOS using machine readable interfaces to metadata and data.

The first version of this document is based on a similar document developed for WMO Global Cryosphere Watch.

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## 1.2. Scope

This document identifies aspects that have to be handled in establishing SDMS. The purpose is to enable transparency on metadata and in the long term also on data through limiting the interfaces to be developed and maintained. The short term goal is to enable visibility of relevant datasets through discovery metadata, and in the long term to harmonize accessing data via a defined set of data access interfaces. Emphasis is primarily on the semantics and structure of interfaces to metadata and data, and less on underlying file formats.

The requirements in the document represent long term goals, requiring funding for full implementation. Some partners have implemented parts of the interfaces mentioned, while others have a longer way to go. This is reflected in the prioritised functionality to implement as outlined in the implementation plan of the SIOS Preparatory Phase [\[RD-8\]](#), see section 5.3.1<sup>[1]</sup>.

## 1.3. Intended audience

System managers at the data centres contributing to the SDMS.

## 1.4. Training and support

SIOS-KC offers help and services related to preparing metadata and data in accordance to the FAIR data management principles (Wilkinson et al., 2016) and the interoperability guidelines outlined within this document. You can find information about training courses, online material, and what support SIOS-KC can offer you [here](#).

## 1.5. Applicable documents

- [RD-1]**     [Svalbard Integrated Arctic Earth Observing System – Preparatory Phase \(SIOS-PP\) Description of Work.](#)
  - [RD-2]**     Robert Huber and Michael Klages (lead authors), 2012. [SIOS Data Management System – User Requirements Document](#). SIOS Deliverable D6.3.
  - [RD-3]**     [Distributed SIOS Data Management System Implementation Plan, 2014, SIOS Deliverable D6.6.](#)
  - [RD-4]**     [SIOS Data Policy](#)
  - [RD-5]**     SDMS Concepts and acronyms
  - [RD-6]**     SDMS System Requirements Document
  - [RD-7]**     SDMS Architecture Design Document
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|                |                                                                                                                    |
|----------------|--------------------------------------------------------------------------------------------------------------------|
| <b>[RD-8]</b>  | SDMS System implementation and integration plan                                                                    |
| <b>[RD-9]</b>  | SDMS Operations Manual                                                                                             |
| <b>[RD-10]</b> | <a href="#">WMO Information System</a>                                                                             |
| <b>[RD-11]</b> | <a href="#">WMO Core Profile of the ISO 19115</a>                                                                  |
| <b>[RD-12]</b> | <a href="#">WIGOS</a> , including the metadata standard                                                            |
| <b>[RD-13]</b> | <a href="#">The Open Archives Initiative Protocol for Metadata Harvesting, Version 2</a>                           |
| <b>[RD-14]</b> | <a href="#">OAI-PMH tools</a>                                                                                      |
| <b>[RD-15]</b> | <a href="#">OGC CSW specification</a>                                                                              |
| <b>[RD-16]</b> | <a href="#">GCMD DIF Writers Guide</a>                                                                             |
| <b>[RD-17]</b> | <a href="#">GCMD Science Keywords</a>                                                                              |
| <b>[RD-18]</b> | <a href="#">Climate and Forecast Standard Names</a>                                                                |
| <b>[RD-19]</b> | <a href="#">WMO Code Lists</a>                                                                                     |
| <b>[RD-20]</b> | <a href="#">NetCDF</a>                                                                                             |
| <b>[RD-21]</b> | <a href="#">Climate and Forecast Conventions</a>                                                                   |
| <b>[RD-22]</b> | <a href="#">OPeNDAP</a>                                                                                            |
| <b>[RD-23]</b> | <a href="#">UNIDATA's Common Data Model</a>                                                                        |
| <b>[RD-24]</b> | <a href="#">OpenSearch</a>                                                                                         |
| <b>[RD-25]</b> | Wilkinson et al., 2016: <a href="#">The FAIR Guiding Principles for scientific data management and stewardship</a> |
| <b>[RD-26]</b> | <a href="#">SPDX License List</a>                                                                                  |
| <b>[RD-27]</b> | <a href="#">OSGeo Link Properties Lookup Table</a>                                                                 |
| <b>[RD-28]</b> | <a href="#">GCMD URL Types</a>                                                                                     |

[1] The official version of this document has some issues with references, an updated version will be made available within the collaboration area for the SDMS WG.

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## 2. Interoperability interfaces

### 2.1. Discovery metadata

#### 2.1.1. Background

Metadata are generated by the data centres hosting the datasets. Metadata are harvested and ingested in the central catalogue for usage by the SIOS Data Access Portal user community. SIOS Data Access Portal metadata are divided in 4 categories:

1. Index metadata for identifying relevant products for a specific purpose.
2. Configuration metadata for tuning of user services for a specific dataset.
3. Use metadata for understanding the data accessed.
4. Site metadata for understanding the context in which a dataset has been generated.

The first category is the metadata provided by the data centres in a standard format, e.g. GCMD DIF or ISO 19115. The second category is maintained in the central metadata repository and is used for configuration of higher order services like visualisation, transformation, etc., and is created internally in the SIOS Data Access Portal based on information retrieved from contributing data centres. The third category is covered e.g. by utilisation of NetCDF files formatted according to the Climate and Forecast Convention where sufficient information to actually use the data is provided. The fourth category links directly to WIGOS metadata <sup>[2]</sup>. These metadata describes the station, its surroundings, instrumentation, procedures etc. There is some overlap between these metadata and the first category.

The SIOS Data Access Portal harvests metadata to a central repository that is used to search for relevant datasets. It does not utilise distributed search as this is a slower process compared to searching in a central repository. Metadata are harvested at regular intervals and checked for conformance according to the standards identified herein and in [\[RD-7\]](#). The discovery metadata are harvested from partner data repositories every night, then converted to the data model used in the data catalogue and ingested if it passes the quality check. The nightly harvest is an incremental harvest of changes since the last time the harvest was run. Every 3 months a full harvest is done to clean up potentially stale datasets.

#### NOTE

A separate document is developed on the harvest process (release pending conversion from LibreOffice document to ASCIIDOC and addition to GitHub).

Regardless of the metadata standard used and the mechanism for transport of the information the following recommendation should be implemented at the repositories.

#### Requirement

All datasets should have a unique identifier issued by the host data centre. This is used to track datasets in the central repository and check for duplicates. The identifier is set by the authoritative source for the dataset.

This implies that SIOS Data Access Portal will not specify or change a unique identifier unless the dataset is hosted by the SIOS Data Access Portal.



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|             |                                                                                                                                                                                                                  |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>NOTE</b> | The only exception to this is when SIOS is harvesting discovery metadata using THREDDS Data Catalogues (described later) and the underlying NetCDF files doesn't have a proper and guaranteed unique identifier. |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                       |                                                                                                                                                                                                                                                                                                                                                                                                |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Recommendation</b> | Always include a license in the discovery metadata. Following the <a href="#">SIOS Data Policy</a> , SIOS recommends usage of the Creative Commons Attribution License and use identifiers and URL to license text from <a href="https://spdx.org/licenses/">https://spdx.org/licenses/</a> . It is recommended to include both a standardised identifier and a link to the full license text. |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## 2.1.2. Exchange mechanisms for metadata

### 2.1.2.1. Introduction

Metadata should be exposed using a suitable interface that allows information on existing datasets as well as changes to the inventory to be conveyed to the SIOS Data Access Portal. Suitable interfaces for this are OAI-PMH and OGC CSW. Other interfaces are constantly evaluated, but to ensure a cost effective solution the number interfaces must be limited.

|                       |                                                                                                                                                                  |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Recommendation</b> | OAI-PMH is the recommended interface to use due to its simplicity and cost effective nature. A number of software solutions supporting this is freely available. |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Although OAI-PMH is recommended, OGC CSW will also be harvested. Work is in progress to enable support for other exchange mechanisms for discovery metadata.

### 2.1.2.2. OAI-PMH

The Open Archives Initiatives Protocol for Metadata Harvesting (OAI-PMH) is the recommended interface for providing discovery metadata to the SIOS Data Access Portal. It is a cost-effective and robust implementation for exchange of metadata between data centres. It is much cheaper to implement than most alternatives and there are a number of open source tools available that implements this (e.g. pycsw, GeoNetwork). Some of these are listed on [\[RD-14\]](#). The central node of SDMS is using [pyCSW](#) to provide OAI-PMH (and other machine readable endpoints).

When implementing OAI-PMH there is a number of SDMS recommendations that are based on experience during the International Polar Year, SIOS Preparatory Phase and the operation of SDMS.

|                    |                                 |
|--------------------|---------------------------------|
| <b>Requirement</b> | OAI-PMH version 2 must be used. |
|--------------------|---------------------------------|

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Recommendation</b> | When implementing OAI-PMH for large repositories containing much more than SIOS relevant data, configuration of a dedicated SIOS set is strongly recommended as this reduces the load on the SDMS, which otherwise has to do filtering of all harvested metadata. The name of the set that SDMS should harvest has to be communicated, and a set specification like "SIOS" is recommended. More information is available in <a href="#">OAI-PMH Set specification</a> . |
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**Recommendation** When records are deleted in the contributing data centres catalogues, information on this has to be communicated to the central catalogue. In order to achieve this OAI-PMH identifies the support for deleted records through the **deletedRecord** element retrieved in the Identify request. Valid responses are no, persistent and transient. SDMS contributing data centres must support **transient** and must maintain transient records for at least 1 month <sup>[4]</sup>. More information on this feature is available in [OAI-PMH specification of deleted records](#).

**Requirement** The OAI-PMH interface by default offers metadata in [Dublin Core](#). This is insufficient for SDMS purposes. Metadata has to be offered in [ISO19115](#) and/or [GCMD DIF](#).

**Recommendation** Details on the discovery metadata specifications are provided below. In order to properly identify the metadata standards in the OAI-PMH service endpoint it is recommended to use the keywords below.

**Keywords**

- “dif” for GCMD DIF, preferably (dif9 or dif10 to reflect the version)
- “iso19139” for the ISO19115 minimum profile

### 2.1.2.3. OGC CSW

The Open Geospatial Consortium Catalogue Services for the Web (OGC CSW [\[RD-14\]](#)) is another standard for exposing the content of a catalogue in a standardised form. Similar to OAI-PMH records are exposed using XML. Compared to OAI-PMH, OGC CSW is more expensive to implement from the specification although there are several tools supporting it. It is the recommended exchange mechanism for metadata within the European framework INSPIRE<sup>[5]</sup> and is supported by the SIOS Data Access Portal.

**Requirement** Do not use SOAP.

**Requirement** Serve ISO19115/ISO19139. Detailed information on ISO19115 profiles is provided later in the document.

**IMPORTANT** Only version 2.0.2 exchange has been thoroughly tested so far.

### 2.1.2.4. Sensor Description (Not yet supported)

The implementation of Sensor Web Enablement (SWE) as a useful suite of standards of the OGC for building the Sensor Web is recommended to allow for interoperability and to reduce the integration efforts of new data sources, although not supported by the SIOS Data Management System central node yet.

Recommended data formats are Observations and Measurements (O&M) and SensorML. For describing devices and sensors in terms of metadata to NRT-data streams or archived and disseminated data basic SensorML should be applicable. These are Standard for modelling/encoding sensor Metadata based on XML (latest version: SensorML 2.0). Hence Editors are needed to facilitate the provision of these metadata to the SDMS with focus on ISO 19115 metadata. As controlled vocabulary for device description the NERC-

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SeaDataNet vocabulary could be a useful recommendation if agreed on.

**WARNING**

SWE is not supported for data discovery purposes, but is considered for other purposes within SIOS.

### 2.1.2.5. Other

Harvesting of discovery information using OpenSearch being tested, but is yet not supported for regular exchange of discovery metadata within SIOS. According to [\[RD-24\]](#) OpenSearch is a “collection of simple formats for the sharing of search results. The OpenSearch description document format can be used to describe a search engine so that it can be used by search client applications.”

Within the Polar Data Management Community an effort has been addressing exchange of discovery metadata using schema.org. The intention is to make it easier for data repositories to announce their datasets by embedding schema.org in web pages. The work is based on ESIP’s Science on schema.org<sup>[6]</sup>. Harvesting information from data repositories serving schema.org is under testing for third party data repositories.

**NOTE**

More information on schema.org to be added.

## 2.1.3. Information structures for discovery metadata

### 2.1.3.1. Internal discovery model

SIOS is harvesting discovery metadata from data centres using established discovery metadata schemes and transforms this information into the internal information model used. [Table 1](#) below shows the elements of the information model and mappings against ISO19115 and GCMD DIF. Further mappings are under development.

Table 1. Discovery model elements and mappings against exchange standards.

| Element              | Description                                                                                                                                                                                               | ISO19115                                                                                                                                                                                                                                                                           | GCMD DIF           |
|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| metadata_identifier  | A unique identifier (A UUID with namespace is recommended) for the dataset.                                                                                                                               | gmd:fileIdentifier/gco:CharacterString                                                                                                                                                                                                                                             | Entry_ID           |
| last_metadata_update | Last date of updated metadata using the form YYYY-MM-DDTHH:MM:SSZ                                                                                                                                         | gmd:dateStamp                                                                                                                                                                                                                                                                      | FIXME              |
| title                | A short title for the dataset.                                                                                                                                                                            | gmd:identificationInfo/gmd:MD_DataIdentification/gmd:citation/gmd:CI_Citation/gmd:title/gco:CharacterString                                                                                                                                                                        | Entry_Title        |
| abstract             | Short summary describing the dataset embedded in gco:CharacterString.                                                                                                                                     | gmd:identificationInfo/gmd:MD_DataIdentification/gmd:abstract                                                                                                                                                                                                                      | Summary            |
| temporal_extent      | Temporal extent of the dataset. Currently gaps are not handled.                                                                                                                                           | gmd:identificationInfo/gmd:MD_DataIdentification/gmd:extent/gmd:EX_Extent/gmd:temporalElement/gmd:EX_TemporalExtent/gmd:extent/gml:TimePeriod<br>Relies on gml:beginPosition always to be present, if gml:endPosition is missing it is considered an ongoing observational effort. | Temporal_Coverage  |
| geographic_extent    | Spatial extent of the dataset. Requires all 4 corners (gmd:northBoundLatitude/gco:Decimal etc) of the BoundingBox to be set, also for point measurements. Points are interpreted if values are identical. | gmd:identificationInfo/gmd:MD_DataIdentification/gmd:extent/gmd:EX_Extent/gmd:geographicElement/gmd:EX_GeographicBoundingBox                                                                                                                                                       | Spatial_Coverage   |
| iso_topic_category   | ISO Topic Category, using a controlled vocabulary.                                                                                                                                                        | gmd:identificationInfo/gmd:MD_DataIdentification/gmd:topicCategory/gmd:MD_TopicCategoryCode                                                                                                                                                                                        | ISO_Topic_Category |

| Element  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ISO19115                                                                                                                                                                                                                                                                                                                                      | GCMD DIF                                         |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| keywords | <p>A word or phrase that describes some aspect of a resource. Can be one of several types. It is used to describe the parameters in a dataset, the project affiliation etc. Proper identification of the purpose of the keywords and the vocabularies used is required. Project names are used to tag datasets in the SDMS system, e.g. as SIOS Core Data, SESS 2020 etc. If the keyword starts with 'EARTH SCIENCE &gt;' keywords are extracted and put in a separate list for GCMD keywords and used in the search interface. The full path of GCMD science keywords including '&gt;' is required.</p> | <p>gmd:identificationInfo/gmd:MD_DataIdentification/gmd:descriptiveKeywords/gmd:MD_Keywords/gmd:keyword/gco:CharacterString</p> <p>The scope for keywords has to be identified by identification of the purpose (parameter/variable definitions, projects etc) of keywords in ISO records. Details on how this is done is provided below.</p> | <p>Parameters</p> <p>Earth Science keywords.</p> |

| Element   | Description                                                                                                                                                                                                                   | ISO19115                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | GCMD DIF                                                          |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| personnel | This field is used to identify personnel with various roles in relation to the dataset. It should also include contact information, at least email address and name of the affiliated institution, role (see below) and name. | <ul style="list-style-type: none"><li>• gmd:identificationInfo/gmd:MD_DataIdentification/gmd:pointOfContact/gmd:CI_ResponsibleParty</li><li>• gmd:contact/gmd:CI_ResponsibleParty</li><li>• Extraction and crediting people involved relies on gmd:role/gmd:CI_RoleCode to have attribute codeListValue set according to a predefined set of values. ISO codes principalInvestigator, pointOfContact, and author are translated into roles of Principal Investigator, Technical Contact, Metadata Author respectively. Roles not listed above are translated into Technical Contact.</li></ul> | Personnel<br>Extracts information from Originating_Center as well |

| Element        | Description                                                                                                                                                                                                                                                                                                                     | ISO19115                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | GCMD DIF                                                                                                                                               |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| data_access    | URL to the actual dataset accompanied with identification of the protocol supported.                                                                                                                                                                                                                                            | <p>gmd:distributionInfo/gmd:MD_Distribution/gmd:transferOptions/gmd:MD_DigitalTransferOptions/gmd:onLine/gmd:CI_OnlineResource</p> <p>This implies that elements gmd:protocol/gco:CharacterString and gmd:linkage/gmd:URL must be set and the gmd:protocol contains a predefined keyword<sup>[7]</sup>. This is used both to identify direct download of datasets (i.e. HTTP or FTP) as well as services on top of dataset (e.g. OPeNDAP, OGC WMS). It is important to note that direct download should not refer to a website requiring manual intervention. Direct download will be handled by the basket in the data portal and enables bundling of data for download etc.</p> | <p>Related_URL</p> <p>The purpose of the URL has to be properly identified using the relevant fields and vocabularies. Details are provided below.</p> |
| use_constraint | License for the metadata using <a href="#">SPDX License List</a> . The identifier (adhering to the SPDX formatting) goes into gmx:Anchor and the link to the text into the attribute of this xlink:href. This is currently a recommended field, but it is strongly recommended and suggested to become mandatory in the future. | gmd:identificationInfo/gmd:MD_DataIdentification/gmd:resourceConstraints/gmd:MD_LegalConstraints/gmd:useLimitation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Use_Constraint                                                                                                                                         |

| Element             | Description                                                                                                                                                        | ISO19115                                                                                                                                                                                                                                                                                                                                                                                                                                      | GCMD DIF                                                                 |
|---------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| data_center         | The host data center of the dataset. This should have both a long and short name, but only specification for the long name is currently identified.                | gmd:distributionInfo/gmd:MD_Distribution/gmd:distributor/gmd:MD_Distributor<br>The long name goes into<br>gmd:distributorContact/gmd:CI_Responsibl<br>eParty/gmd:organisationName/gco:Charact<br>erString and the URL for the data center<br>into<br>gmd:distributorContact/gmd:CI_Responsibl<br>eParty/gmd:contactInfo/gmd:CI_Contact/gm<br>d:onlineResource/gmd:CI_OnlineResource/<br>gmd:linkage/gmd:URL. More information<br>to be added. | Data_Center                                                              |
| project             | Project where the dataset was generated or collected. Preferably both short and long names should be provided.                                                     | FIXME<br>Project information is conveyed through keywords in ISO19115 profiles. The scope for keywords has to be identified by identification of the purpose (parameter/variable definitions, projects etc) of keywords in ISO records. Details on how this is done is provided below.                                                                                                                                                        | Project                                                                  |
| dataset_language    | Should be English.                                                                                                                                                 | gmd:identificationInfo/gmd:MD_DataIdenti<br>fication/gmd:language                                                                                                                                                                                                                                                                                                                                                                             | Data_Set_Language                                                        |
| storage_information | Should be NetCDF/CF or Darwin Core Archive in SDMS. Other standardised formats may be supported later. Non standard formats should have a detailed product manual. | FIXME                                                                                                                                                                                                                                                                                                                                                                                                                                         | Distribution<br>Extracts information from the Distribution element FIXME |
| platform            | FIXME                                                                                                                                                              | FIXME                                                                                                                                                                                                                                                                                                                                                                                                                                         | FIXME                                                                    |



### 2.1.3.2. ISO19115

ISO19115 is an information container that can be populated with several controlled vocabularies in some of the elements. The search model for the SIOS Data Portal is currently built around parameter descriptions using the GCMD Science Keywords [\[RD-17\]](#). A mapping exist between Climate and Forecast standard names [\[RD-18\]](#) and GCMD Science Keywords. ISO 19115 is used by e.g. INSPIRE at the European level and WMO (WMO Core Profile [\[RD-11\]](#)) at the global level.

**Requirement** ISO19115 records **must** at least state the unique id, temporal and spatial location, scientific content, responsible data centre and PI as well as links to the actual data<sup>[10]</sup>. Datasets without such specifications are not ingested in the catalogue.

**Requirement** All times **must** be encoded as ISO8601.

**Recommendation** ISO19115 records **should** contain GCMD Science Keywords (with full hierarchy from Earth Science and using > as separator) or some other machine readable vocabulary following the FAIR guiding principles [\[RD-25\]](#). The vocabulary used must be identified.

**Recommendation** For stations where it is relevant (e.g. stations contributing to WMO GCW through CryoNet) it is mandatory to have one keyword from the WMO CategoryCode list [\[RD-19\]](#)<sup>[11]</sup>. Relevant keywords for SDMS are e.g. weatherObservations, meteorology, hydrology, climatology, glaciology. In the context of SDMS alone, this is not a requirement.

**Recommendation** Metadata records **should** include information on the host data centre for the dataset.

**NOTE** More details to be added on ISO19115 guidance. In particular on how to embed references to the vocabularies used and information on the data centre hosting the data.

**NOTE** SDMS interprets both gmd:MD\_Metadata and gmi:MI\_Metadata.

**NOTE** How to add dataset landing pages etc are still a bit fuzzy. SDMS still interprets dataSetURI as a dataset landing page, but this is deprecated in ISO. Some data centres put dataset landing pages in gmd:distributionInfo/gmd:MD\_Distribution/gmd:transferOptions/gmd:MD\_DigitalTransferOptions/gmd:onLine/gmd:CI\_OnlineResource and use a combination of various elements like gmd:function/gmd:CI\_OnLineFunctionCode, gmd:name/gco:CharacterString to identify relevant additional information. SDMS is currently interpreting phrases like "Extended human readable information about the dataset" and "Landing page" as Dataset landing pages when found. Information tagged "Project on RiS" is translated into the category Other documentation and "Homepage" is interpreted as a project home page reference. More details need to be agreed on this.

|             |                                                                                                                                                                                                        |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>NOTE</b> | SDMS will extract name of the project that has generated the data from the descriptiveKeywords if these have gmd:type/gmd:MD_KeywordTypeCode set to 'project'. More details need to be agreed on this. |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

### 2.1.3.3. GCMD DIF

The Global Change Master Directory (GCMD) Directory Interchange Format (DIF) [\[RD-16\]](#) is a metadata standard that is widely used (e.g. by the Antarctic Master Directory) and that was the foundation for data management during the International Polar Year.

|                       |                                                                                                                                                                                                                                                                                                                                          |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Recommendation</b> | GCMD comes with a number of predefined controlled vocabularies that should be used in specific sections of the metadata. As indicated in the table above some sections are free text in GCMD while it is suggested to use controlled vocabularies in SDMS context.                                                                       |
| <b>Recommendation</b> | GCMD do not require a controlled vocabulary for the quality element. SDMS should to improve search results <sup><a href="#">[13]</a></sup> .                                                                                                                                                                                             |
| <b>Recommendation</b> | Related_URL has several subtypes. The existing <a href="#">list of type and subtype</a> must be used to allow the SIOS Data Portal to filter the purpose of the URLs provided. When types are “View Data Set Landing Page”, “View Extended Metadata”, “View Professional Home Page”, and “View Project Home Page”, no subtype is needed. |
| <b>Recommendation</b> | All times must be encoded as ISO8601 either as YYYY-MM-DD or YYYY-MM-DDTHH:MM:SSZ.                                                                                                                                                                                                                                                       |

## 2.2. Data

### 2.2.1. Background

While interoperability at the metadata level is important for SDMS, exchange of observations and data products is vital to the success of SDMS. This implies both exchange of archived data as well as exchange of real time information. In order to facilitate such exchange of information within the SDMS community a certain level of standardisation is required, especially in order to be able to automatically combine multiple datasets into a new dataset fulfilling user requirements (e.g. for CalVal activities). This standardisation is also required to ensure that all users can easily understand the data that is made available and perform intercomparisons as well as use it in analyses.

In this context documentation of data through standardised use metadata is required. Use metadata helps one to identify variables, their structure (e.g. spatiotemporal dimensions and mapping to file format), units of variables, encoding of missing values, quality/accuracy estimates, map projection and coordinate reference system etc.

Application of a common data model simplifies integration and intercomparison of datasets. Application of NetCDF [\[RD-20\]](#) as the file format, utilising the Climate and Forecast [\[RD-18\]](#) convention and serving data through OPeNDAP [\[RD-22\]](#) simplifies the issue of integration and combination of data through the Common

Data Model [\[RD-23\]](#). Other solutions may be added, but this is an easy win and address requirements both within numerical simulation communities, satellite CalVal communities and WMO programmes.

## 2.2.2. Exchange mechanisms for data

### 2.2.2.1. Introduction

Traditionally data has been exchanged using FTP in various file formats. Modern technology opens up for other mechanisms for transporting data. Many technologies share some features, but there are differences in complexity and cost of implementation.

#### 2.2.2.2. HTTP/FTP

This is the easiest manner to support data exchange, but it has limitations for large datasets as well as there is no common data model or standardisation of file formats. Often data are served in various ASCII formats that differs from data centre to data centre without any standardised metadata simplifying the process of understanding and using the data. Integration of data from various data centres usually takes much human effort. This is simplified if standardised formats like WMO BUFR or WMO Grib are used, but also for these additional information is required to fully understand the content. Data in NetCDF following the Climate and Forecast Convention is self describing and connects to the Common Data Model.

Segmentation of real time data has to be supported by the contributing data centre.

**Recommendation** Whenever data are served as direct download through HTTP or FTP, data should be served in a FAIR compliant data format, see [Section 2.2.3](#) using standardised encoding structures and vocabularies.

#### 2.2.2.3. OPeNDAP

The Data Access Protocol simplifies integration of data from various data centres as it is utilising the [Common Data Model](#), provided input data are encoded according to Climate and Forecast conventions use metadata follows the data and the application of a data stream removes the step of downloading a file and keeping track of this while working on the data. It also allows segmentation of data in variable space and time and it is RESTful<sup>[14]</sup>. OPeNDAP can relate to files or relational database systems and is extensively used by e.g. Copernicus services, Earth System Grid Federation and others.

SDMS is currently able to consume OPeNDAP enabled datasets, but the level of support depends on the structure and standards (CF) conformance of the data served.

**Recommendation** Where possible, OPeNDAP should be supported for data access (combining multiple physical files to a single virtual dataset or visualisation of e.g. timeseries).

Several OPeNDAP implementations exist (e.g. [THREDDS](#), [ERDDAP](#), [Hyrax](#) and [pyDAP](#)). Utilisation of OPeNDAP simplifies handling of both archive and real time data as the real time segmentation of data is performed by the client asking for data. *OPeNDAP also minimises the overhead as no files are moved, the client connects to data streams, reads the necessary data and close the connection.*

**IMPORTANT**

To enable automatic visualisation of timeseries data at stations, data has to be encoded as NetCDF-CF with the featureType global attribute set. It is furthermore important to avoid mixing stations in a file, vbut rather have one file per station.

#### 2.2.2.4. OGC WFS

OGC Web Feature Service (WFS) is a mechanism allowing subsetting of information, but relies on transferring files in Geography Markup Language (GML). There is no standardised form for use metadata in GML. GML behaves like NetCDF without the Climate and Forecast convention. It is a container that can hold anything. GML is a XML schema and so it can be combined/extended with other XML schemas.

SDMS is currently **not** able to consume OGC WFS and implementation is not recommended until the OGC API is more mature. The new OGC API will be able to serve data as e.g. NetCDF/CF and GeoJSON in addition to the other formats.

**NOTE**

Work is in progress to enable support for the upcoming OGC API approaches, in particular OGC Environmental Data Retrieval (EDR). No such activity is undertaken for the old OGC WFS.

**IMPORTANT**

The data portal is not able to consume data through OGC WFS.

#### 2.2.2.5. OGC WCS

OGC Web Coverage Service (WCS) is similar to OGC WFS but focuses on information representing phenomena that varies in time and space. Like WFS it transfers files, but the number of file formats may be extended and support e.g. GML, GeoTIFF, HDF-EOS, NetCDF. Like WMS, WCS can also transform a set of files to a common map projection and extract a specific area of interest in space and time by “[https://en.wikipedia.org/wiki/Web\\_Coverage\\_Service](https://en.wikipedia.org/wiki/Web_Coverage_Service)[trimming]” or “slicing”,

**NOTE**

Same comment here as for OGC WFS and the support for new OGC API's like OGC EDR.

**IMPORTANT**

SDMS is not able to consume data through OGC WCS.

#### 2.2.2.6. OGC WMS map projections

OGC Web Mapping Service (WMS) is useful for visualising maps etc. It provides a graphical representation of data but no access to data in itself.

**Recommendation**

Each WMS server must support the following map projections:

1. EPSG:32661: WGS 84 / UPS North
2. EPSG:4326: WGS 84
3. EPSG:3408: NSIDC EASE-Grid North
4. EPSG:3410: NSIDC EASE-Grid Global
5. EPSG:32633: UTM Zone 33x

SDMS is only able to consume WMS services that provide a GetCapability document per dataset and where the WMS URL is clearly identified using standardised vocabularies (currently GCMD URL Types [RD-28] and OSGEO [RD-27] are supported).

**Recommendation** OGC WMS services should present a Getcapabilities document per dataset, not a common document for all datasets served.

**IMPORTANT** SDMS is not capable of parsing WMS layers for specific datasets from service specific GetCapabilities documents.

## 2.2.3. File formats

### 2.2.3.1. Introduction

Most of the exchange mechanisms mentioned above transfer files. In order to properly understand the content of a file some use metadata is usually necessary. File formats that embed use metadata (and also discovery metadata) are preferred (e.g. NetCDF/CF and Darwin Core Archive). NetCDF in itself is not self describing, but NetCDF following the Climate and Forecast Convention is self describing. Adding the [NetCDF Attribute Convention for Dataset Discovery](#) embeds full discovery metadata (e.g. originator/PI, constraints etc.) in the file.

When it is not possible to encode data as NetCDF-CF or Darwin Core Archive, data can be uploaded in a non-proprietary file format that is easy to consume for users (without specific software) accompanied by a detailed product manual<sup>[15]</sup> (in PDF format). *This approach cannot be used for SIOS Core Data.*

### 2.2.3.2. Darwin Core Archive

Darwin Core Archive is a file format much used within the biological community and in particular within biodiversity. It is the backbone of the Global Biodiversity Information facility (GBIF) and the Ocean Biodiversity Information System (OBIS). In essence it is a set of comma separated files (CSV) bundled with a metadata file (meta.xml) and using controlled vocabularies to describe the content. A second file (eml.xml) describes the content and structure of the CSVs and links the column headers to the Darwin Core terms. SDMS cannot do much on top of Darwin Core Archives (the diversity of types if data is too large), but the format is more or less FAIR compliant and is recommended for use within SDMS. Further information is available at <https://dwc.tdwg.org/terms/>.

**Recommendation** Darwin Core Archive is recommended for use for biodiversity data within SDMS.

### 2.2.3.3. JSON/GeoJSON/JSON-LD

JavaScript Object Notation ([JSON](#)) and the geographical extension [GeoJSON](#) of this is similar to NetCDF in that it is a container lacking standardised metadata. [JSON-LD](#) (JavaScript Object Notation for Linked Data,) enables encoding of Linked Data using JSON.

There is currently no standardised FAIR compliant implementation of JSON for the types of data SDMS is handling. The CF convention could be implemented in JSON and there is work internationally pushing in this direction, but not yet mature enough.

|                  |                                                                                                                     |
|------------------|---------------------------------------------------------------------------------------------------------------------|
| <b>IMPORTANT</b> | SDMS is currently <b>not</b> able to consume JSON files as they are not sufficiently standardised across providers. |
| <b>NOTE</b>      | SDMS should work to enable ACDD and CF elements in GeoJSON files when the new OGC API's emerge.                     |

#### 2.2.3.4. NetCDF/CF

NetCDF is a container like JSON and XML, and as such not a recommended file format for data within SDMS. However, the Climate and Forecast convention constrains the degrees of freedom within NetCDF and enforces structures and application of controlled vocabularies to describe the content of the data. CF-NetCDF is thus a FAIR compliant file format and recommended for use within SDMS. However, even NetCDF/CF have too many degrees of freedom to allow higher order services to be established for datasets. Thus some further constraints on granularity and structures are recommended. NetCDF/CF is the backbone of the Earth System Grid Federation serving IPCC data, Copernicus Marine Environmental Monitoring Service (CMEMS), SeaDataNet and several other services. The file format is recommended for meteorological, oceanographic, hydrological and glaciological data (although exceptions exist). Work is in progress within WMO to identify specific CF profiles for NetCDF for use within WMO.

|                       |                                                                                                                                                                                                                                                                                  |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Recommendation</b> | NetCDF following the Climate and Forecast Convention with NetCDF Attribute Convention for Dataset Discovery is recommended as the go-to file format where possible, as it is a dynamic standard with a semantic framework and it maps directly to the generic Common Data Model. |
| <b>Recommendation</b> | NetCDF/CF files should be encoded according to CF-1.8 or higher.                                                                                                                                                                                                                 |
| <b>Recommendation</b> | NetCDF/CF files should also include global attributes according to the <a href="#">Attribute Convention for Dataset Discovery</a> .                                                                                                                                              |
| <b>Recommendation</b> | For datasets representing time series or profiles it is required to add the global attribute featureType with the appropriate content. If no featureType is found in the data it is assumed that the data are gridded in nature.                                                 |
| <b>Recommendation</b> | It is <b>not</b> recommended to combine information from several stations in a single NetCDF/CF file (granularity issue).                                                                                                                                                        |
| <b>Recommendation</b> | You can check your NetCDF file against the CF and ACDD conventions at <a href="https://sios-svalbard.org/dataset_validation/form">https://sios-svalbard.org/dataset_validation/form</a>                                                                                          |

#### 2.2.3.5. WMO BUFR

Binary Universal Form for the Representation of meteorological data (BUFR) is a binary data format maintained by WMO. Its main purpose is operational exchange of real time data and it is adapted for robust transfer on varying bandwidth connections. Data that are supposed to be exchanged using WMO Global Telecommunication System (GTS) should be encoded in WMO BUFR. BUFR is a table driven file format, implying that the format is not self explaining and the user has to have the correct table to

understand the content.

**IMPORTANT**

BUFR is, although being a standardised format, not recommended for data sharing within SDMS.

**NOTE**

SDMS will extract information in BUFR format and convert this to CF-NetCDF and make this available in the SIOS Data Portal.

### 2.2.3.6. WMO Grib

GRIdded Binary (GRIB) is a binary format maintained by WMO. As BUFR, this format is best suited for real time exchange over WMO GTS. It is also a table driven format like BUFR, having the same limitations.

**IMPORTANT**

GRIB is, although being a standardised format, not recommended for data sharing within SDMS.

### 2.2.3.7. XML

Extensible Markup Language (XML) is similar to NetCDF in that it is a container lacking standardised metadata describing its contents. There are many variants of XML and the overhead is large, as the format is text-based.

**NOTE**

XML is more or less fully replaced by various flavours of JSON now. The new OGC API's also include CF-NetCDF as a information container.

**IMPORTANT**

SDMS is currently **not** able to consume XML files nor is XML recommended as exchange format although standardised representations (e.g. WaterML) exist.

[2] WIGOS Metadata are based on the OGC Observations and Measurements Schema.

[3] This may change.

[4] This may change.

[5] Not required for scientific data.

[6] <https://github.com/ESIPFed/science-on-schema.org>

[7] OSGEO or GCMD keywords are required for proper interpretation. More details to be added for this. These keywords are translated in the harvesting routine.

[8] This recommendation will be revisited.

[9] There is currently no way of including this information in GCMD DIF, although a mapping to ISO TopicCategories may be used.

[10] This recommendation will be revisited.

[11] There is currently no way of including this information in GCMD DIF, although a mapping to ISO TopicCategories may be used.

[12] This work should relate to international activities in this field in the context of e.g. GEO, ICES, WMO etc. and must be coordinated within SDMS by the Terminology Team.

[13] This work should relate to international activities in this field in the context of e.g. GEO, ICES, WMO etc. and must be coordinated within SDMS by the Terminology Team.

[14] <http://apievangelist.com/2014/12/05/history-of-apis-noaa-apis-have-been-restful-for-over-20-years/>

[15] There is currently no template for product manuals available. This is to be developed.

# Appendix A: Outline for Product Manual

## A.1. Introduction

When datasets cannot be presented in a FAIR compliant file format (i.e. self describing), a product manual describing the dataset and how to interpret it **should** accompany the dataset.

|           |                                                                                                                                                      |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| IMPORTANT | This product manual has to be served as a PDF document and have the sections identified below.                                                       |
| IMPORTANT | The product manual must be linked to the data through the discovery metadata at least, preferably it should be available in the same bundle as well. |

## A.2. Outline

Outline of sections that should be covered by a product manual for data that cannot be described in a FAIR compliant file format.

|                                                                                                                                                                                                                                                          |                                                                       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|
| IMPORTANT                                                                                                                                                                                                                                                | The file formats used should always be free and open, not commercial. |
| <div>1. Introduction</div> <div><div>a. General overview of the data production process</div><div>b. Information of personnel involved and their contact details</div></div>                                                                             |                                                                       |
| <div>2. Description of the data</div> <div><div>a. Description of which variables that are measured, their characteristics including units on variables etc.</div></div>                                                                                 |                                                                       |
| <div>3. Description of the file format</div> <div><div>a. Description of structure and organisation of the data within this file format. Should include all necessary information to decode the file.</div></div>                                        |                                                                       |
| <div>4. References to software</div> <div><div>a. Description of and links to software that can read and interpret the data. Should focus on open software. Alternatively code snippets for Python, R etc could be embedded in the document.</div></div> |                                                                       |