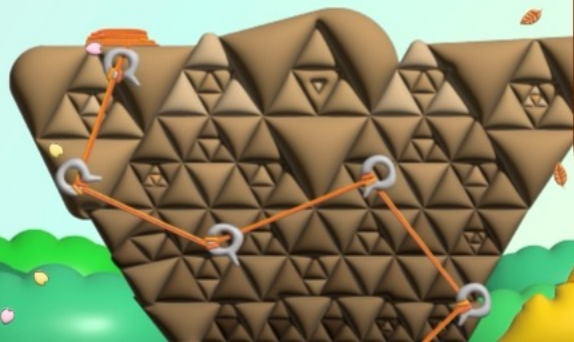


# 파이썬으로 배우는 데이터 구조



한동대학교  
전산전자공학부  
김영섭 교수



# 학습 목표

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BST의 균형을 유지하는

AVL 트리 알고리즘에 대해 학습한다

# Data Structures in Python

## Chapter 7 - 2

- Binary Search Tree(BST)
- BST Algorithms
- **AVL Tree**
- AVL Algorithms

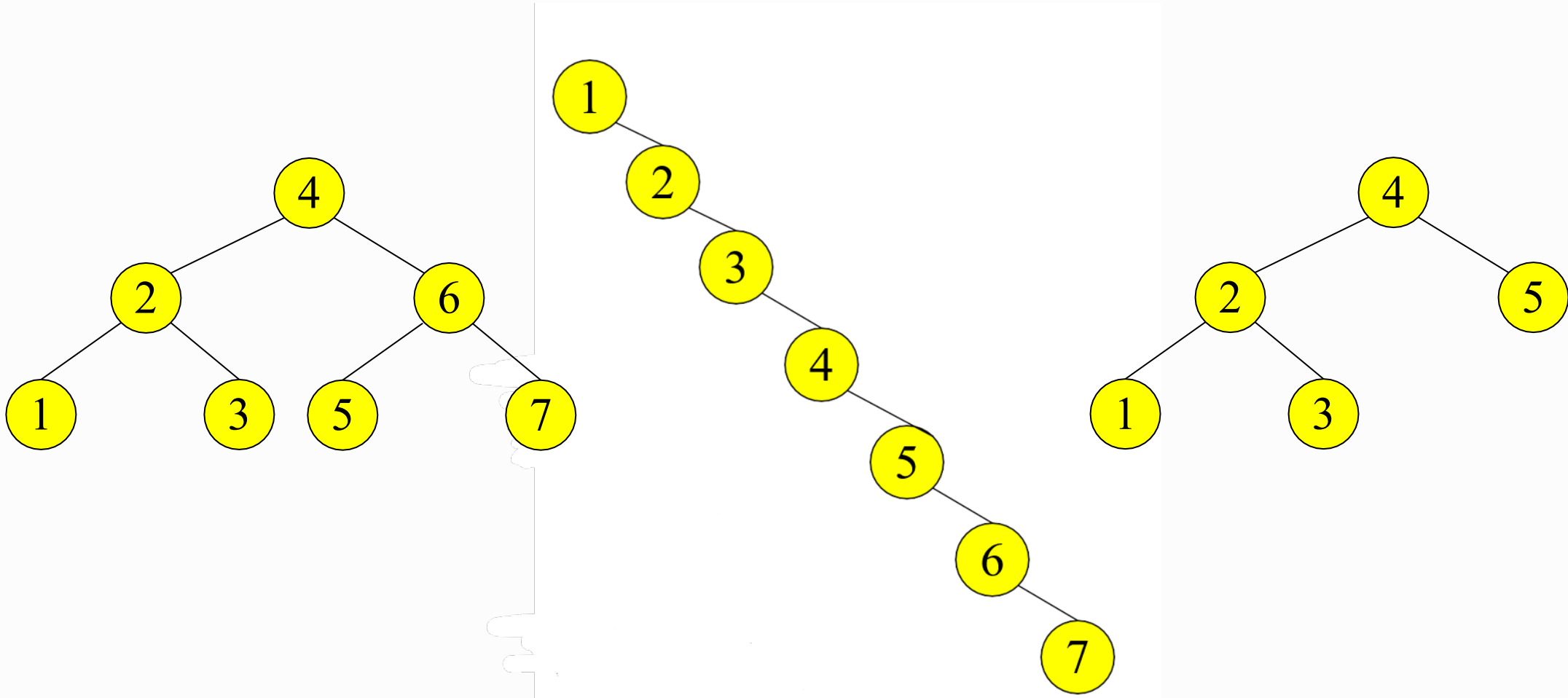
# Agenda & Readings

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- AVL Tree Introduction
  - Binary Search Tree Review
  - AVL Tree Introduction
  - Balance factor
  - Single/Double Rotation
- Reference:
  - Problem Solving with Algorithms and Data Structures  
Chapter 6 - Tree
  - Wikipedia: [AVL tree](#)

## Binary search trees - Review

- Balanced and unbalanced BST
  - The **best-case** time complexity of BST operations is  $O(\log_2 N)$ , and the **worst-case**  $O(N)$ .



## Binary search trees - Review

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- Many algorithms exist for keeping BST balanced
  - **A**delson-**V**elskii and **L**andis (AVL) tree - (height-balanced tree)
  - Weight-balanced trees
  - **Red-black** trees;
  - **Splay** trees and other self-adjusting trees
  - **B-trees** and other (e.g., 2-4 trees) multiway search trees

# AVL Tree - Good but not Perfect Balance

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AVL Tree (1962)

- Named after two Russian mathematicians
- Georgii **A**delson-**V**elsky (1922 - 2014)
- Evgenii Mikhailovich **L**andis (1921-1997)

# AVL Tree - Good but not Perfect Balance

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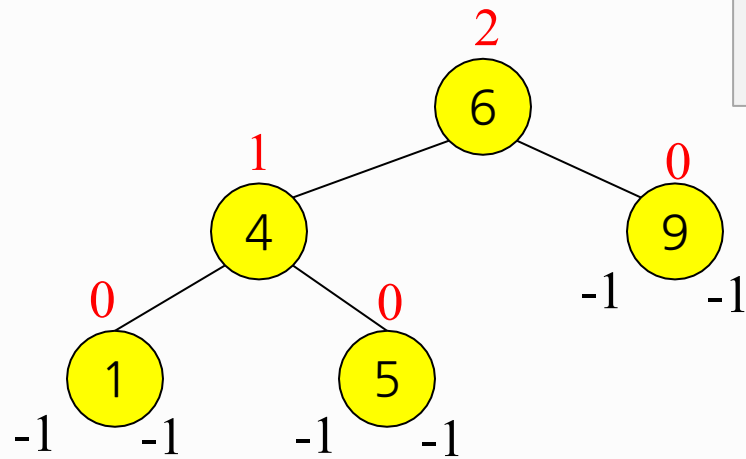
AVL Tree Algorithm:

- Named after two Russian mathematicians in 1962
- Georgii **A**delson-**V**elsky (1922 - 2014)
- Evgenii Mikhailovich **L**andis (1921-1997)
  
- AVL tree is a height-balanced binary search tree.
  - Balance factor of a node
    - $bf = \text{height}(\text{left subtree}) - \text{height}(\text{right subtree})$
    - May store current heights in each node or compute it on the fly
  - For every node, heights of left and right subtree can differ **by no more than one.**



# Balance Factor

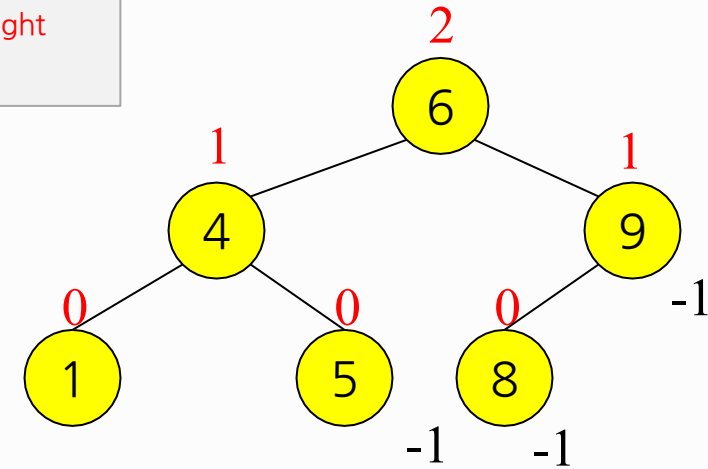
Tree A (AVL)



- height of node =  $h$
- balance factor =  $h_{\text{left}} - h_{\text{right}}$
- empty height = -1

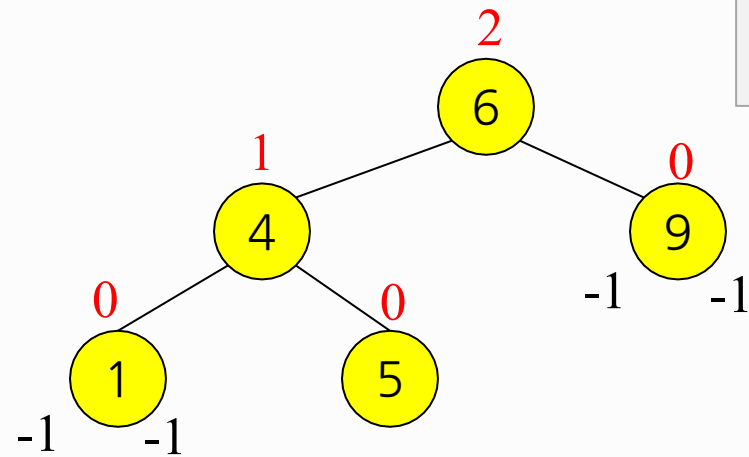
Node heights  
before inserting 7

Tree B (AVL)



# Balance Factor

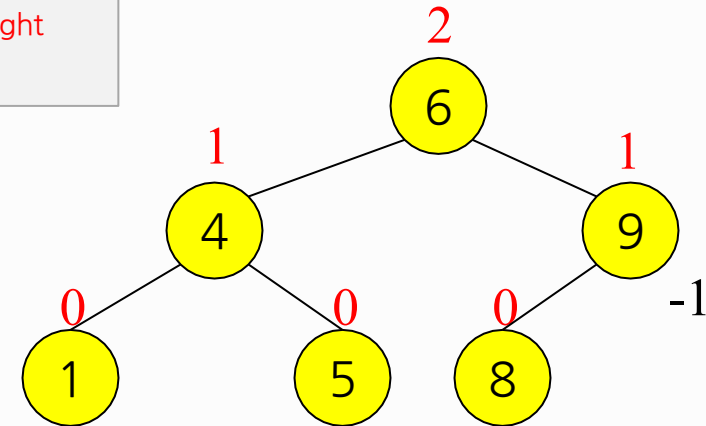
Tree A (AVL)



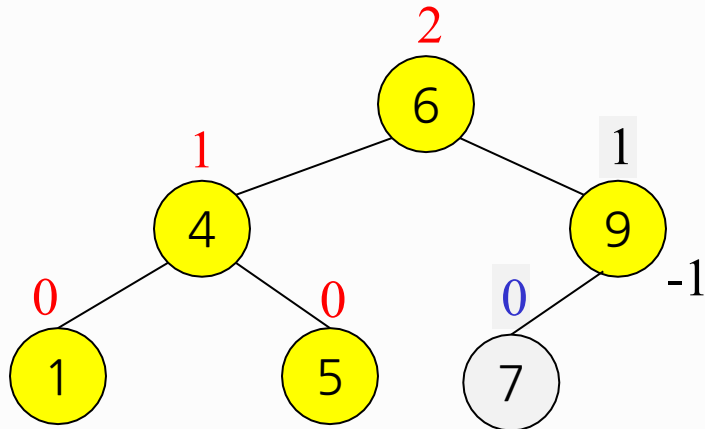
- height of node =  $h$
- balance factor =  $h_{\text{left}} - h_{\text{right}}$
- empty height = -1

Node heights  
**before** inserting 7

Tree B (AVL)

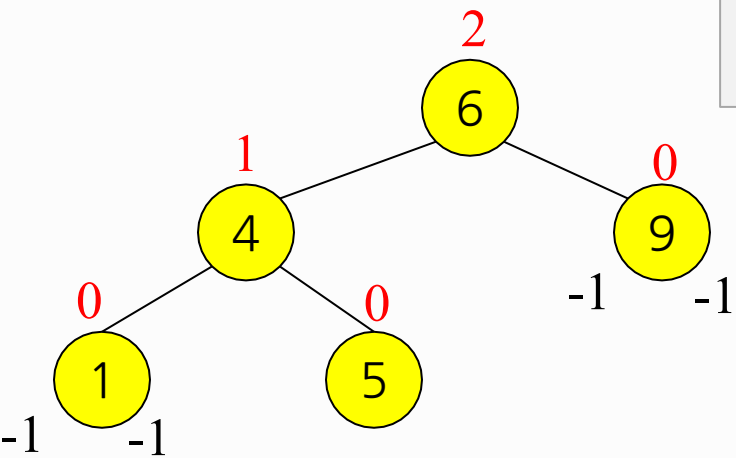


Node heights  
**after** inserting 7



# Balance Factor

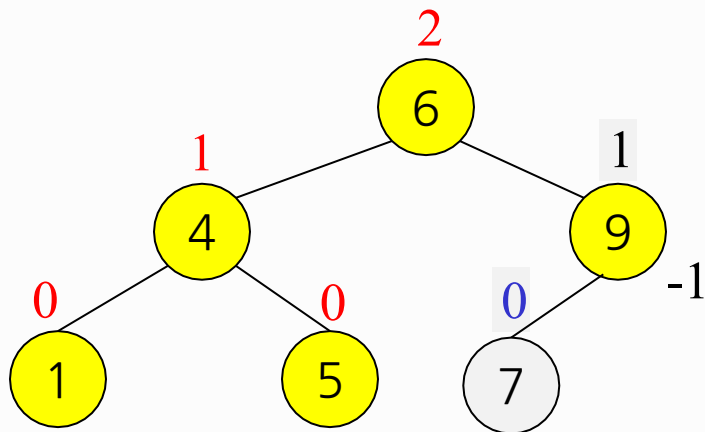
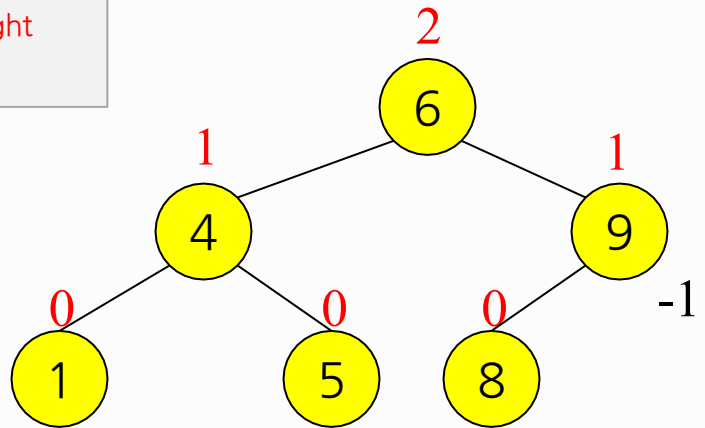
Tree A (AVL)



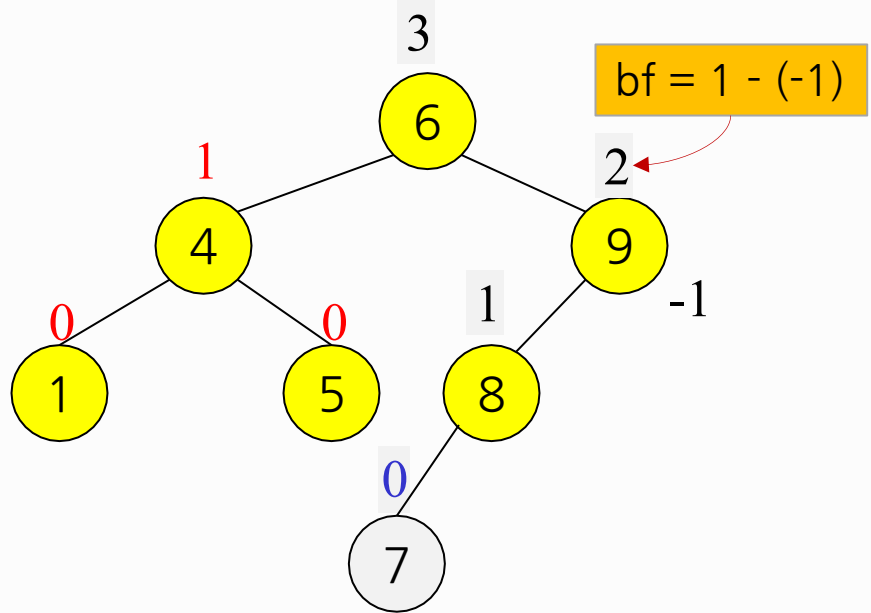
- height of node =  $h$
- balance factor =  $h_{\text{left}} - h_{\text{right}}$
- empty height = -1

Node heights  
before inserting 7

Tree B (AVL)



Node heights  
after inserting 7

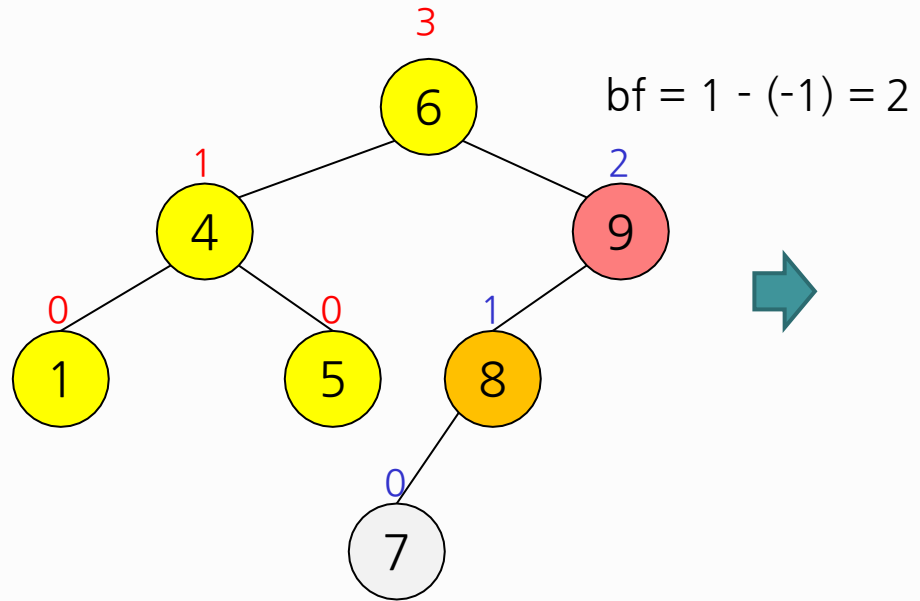


# Rotation in AVL Trees

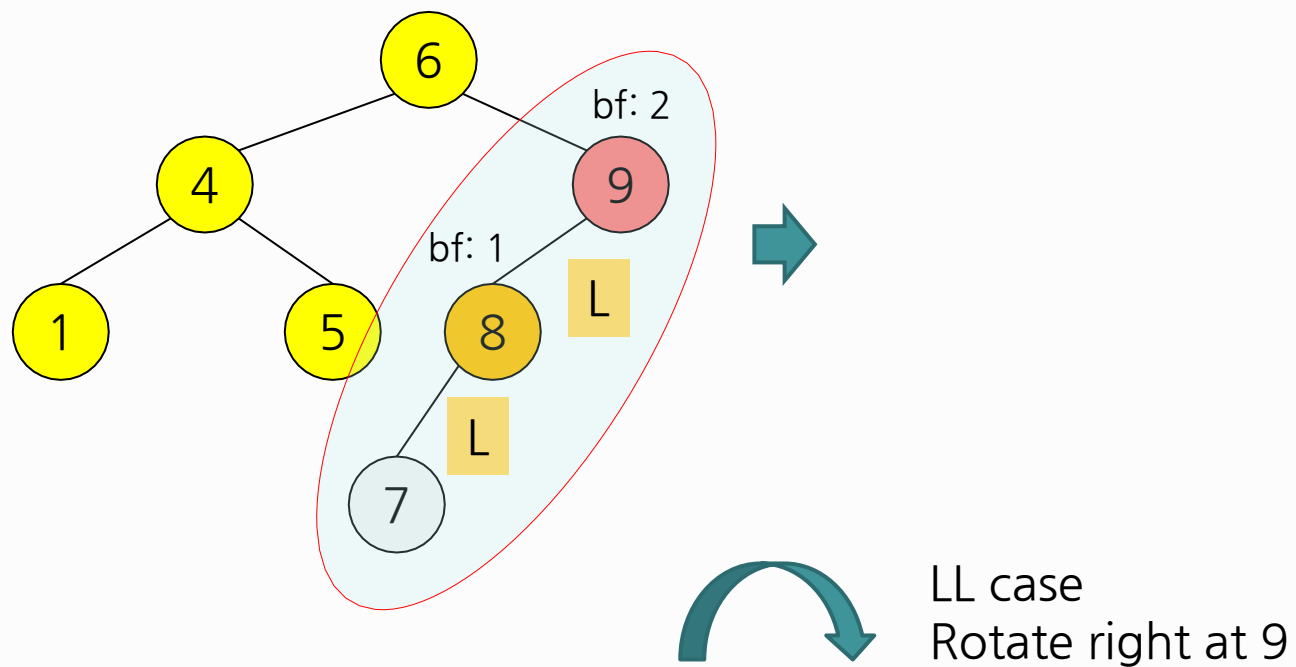
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- Insert operation may cause balance factor to become 2 or -2 for some node
  - Only nodes on the path from insertion point to the root node have possibly changed in height.
  - So, after the insertion, **go back up** to the root node by node.
  - If a new balance factor (the difference  $h_{\text{left}} - h_{\text{right}}$ ) is **2 or -2**, adjust tree by **rotation** around the node.

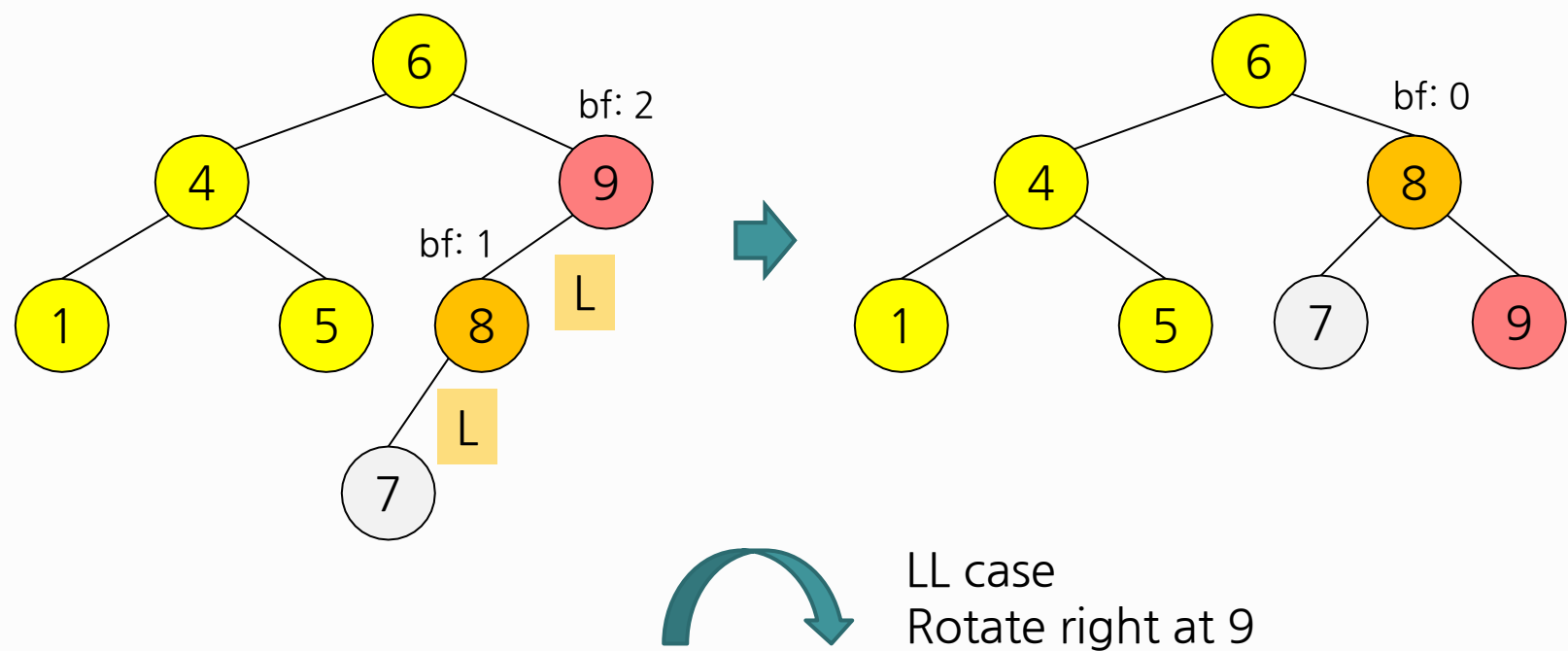
# Single Rotation Example



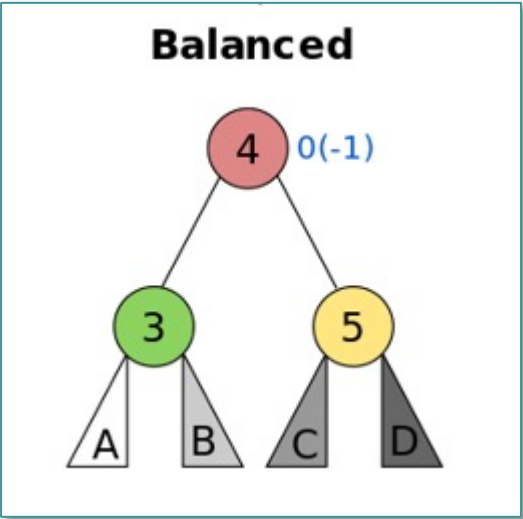
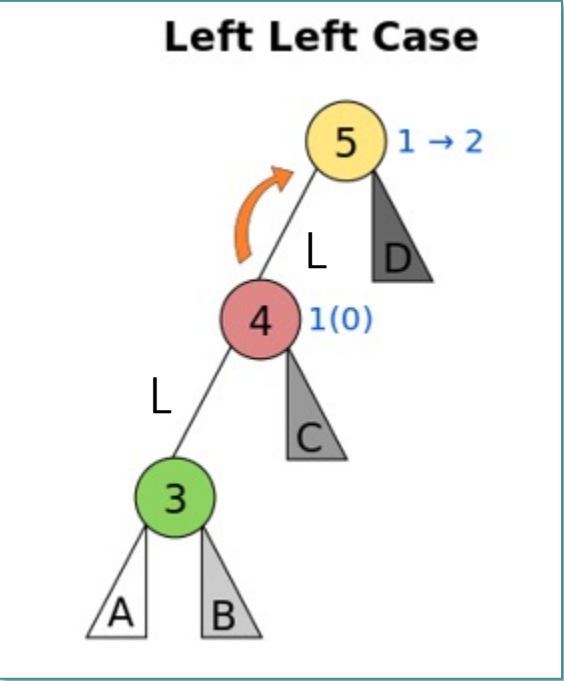
# Single Rotation Example



# Single Rotation Example



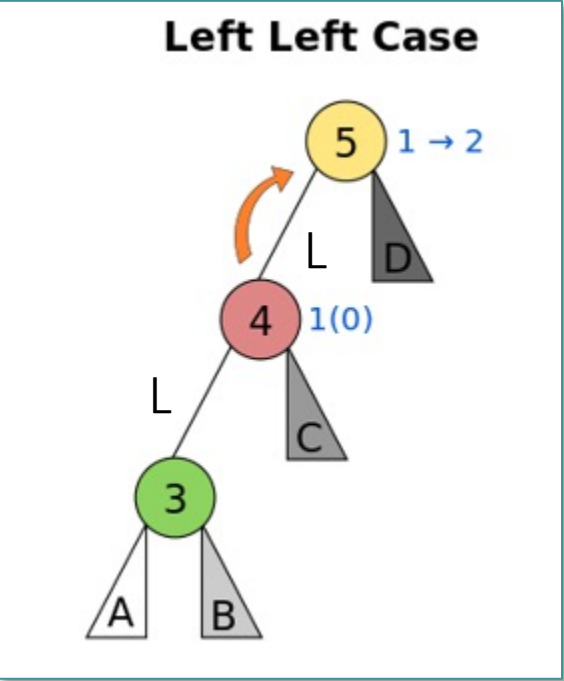
# Single Rotation Example



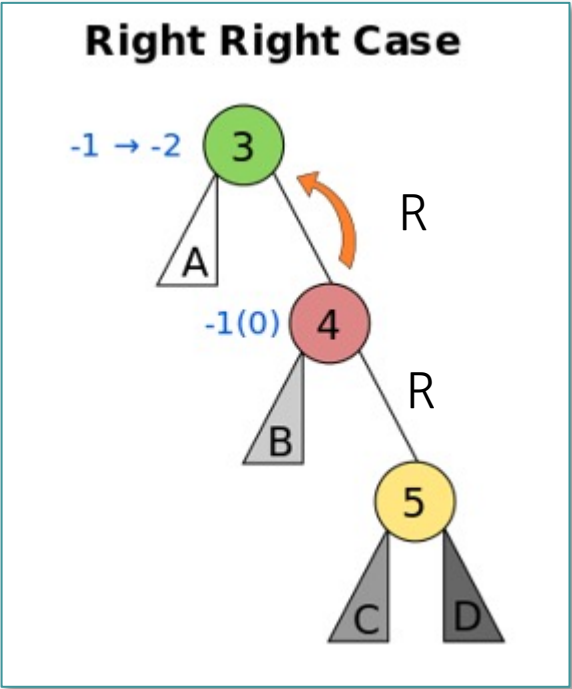
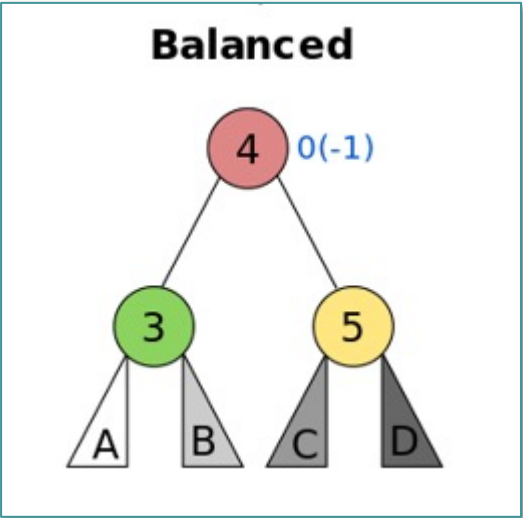
LL Case - Single Right Rotation



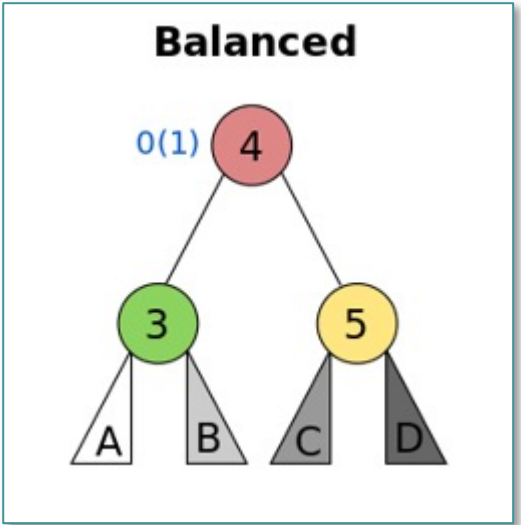
# Single Rotation Example



LL Case - Single Right Rotation



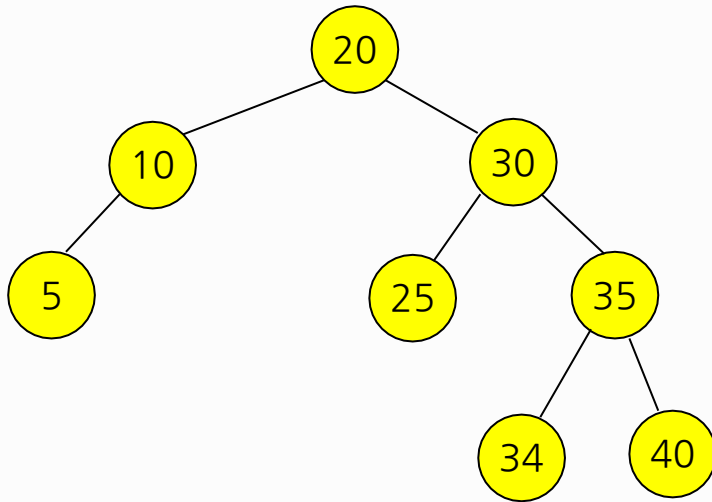
RR Case - Single Left Rotation



# Single Rotation Exercise:

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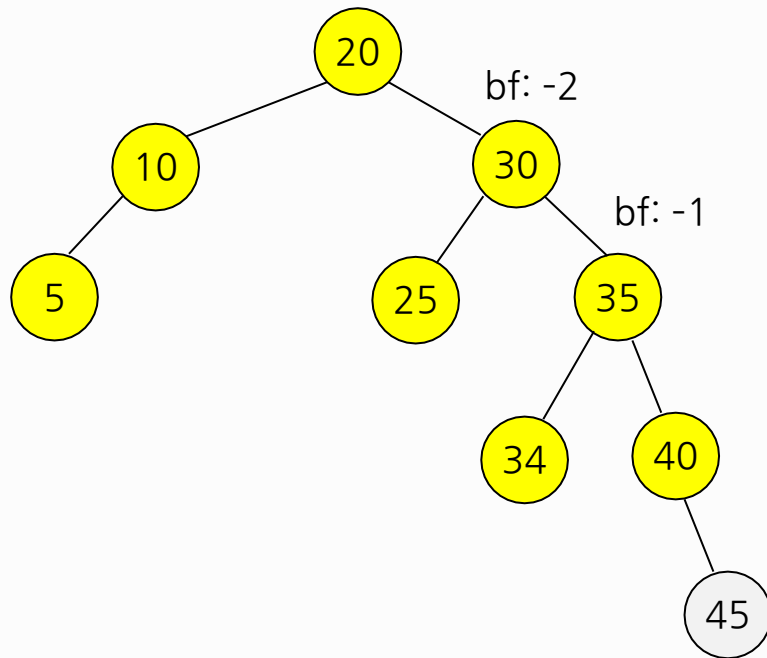
AVL tree balanced?



# Single Rotation Exercise:

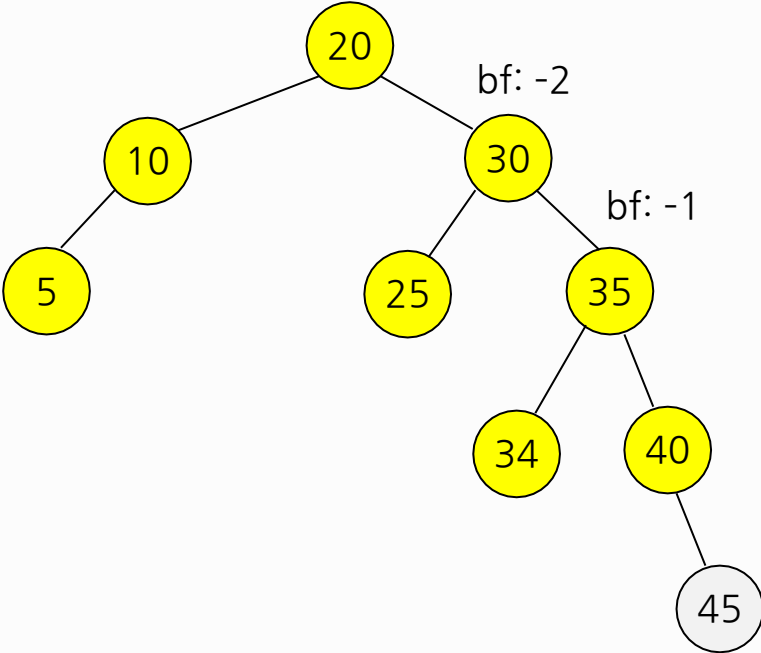
---

AVL tree balanced  
after adding 45?

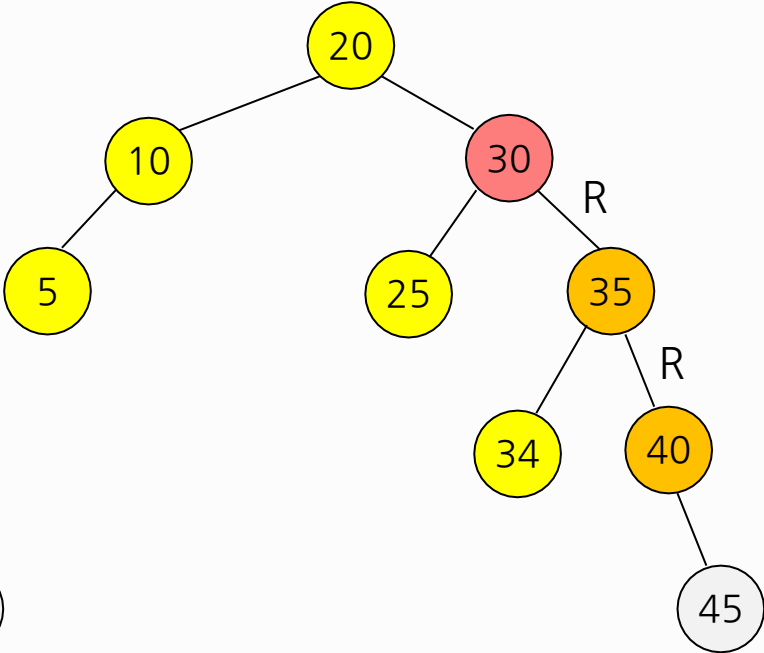


# Single Rotation Exercise:

AVL tree balanced  
after adding 45?

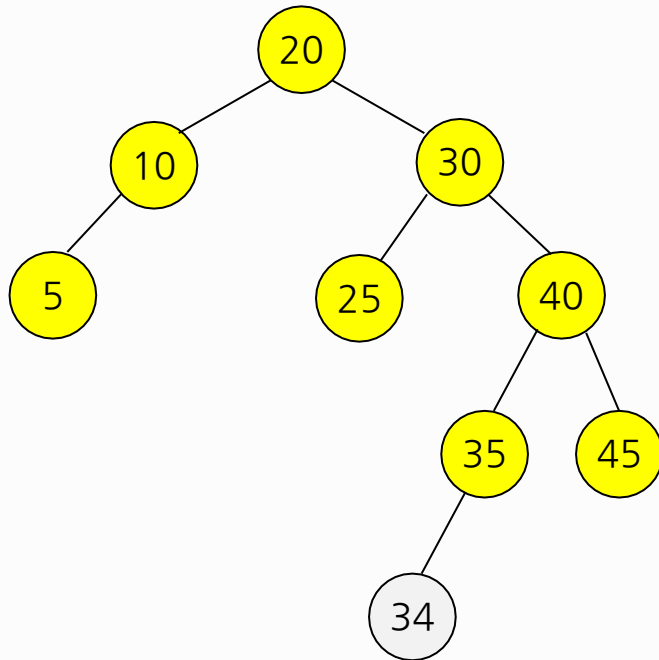


RR case  
Rotate left at 30



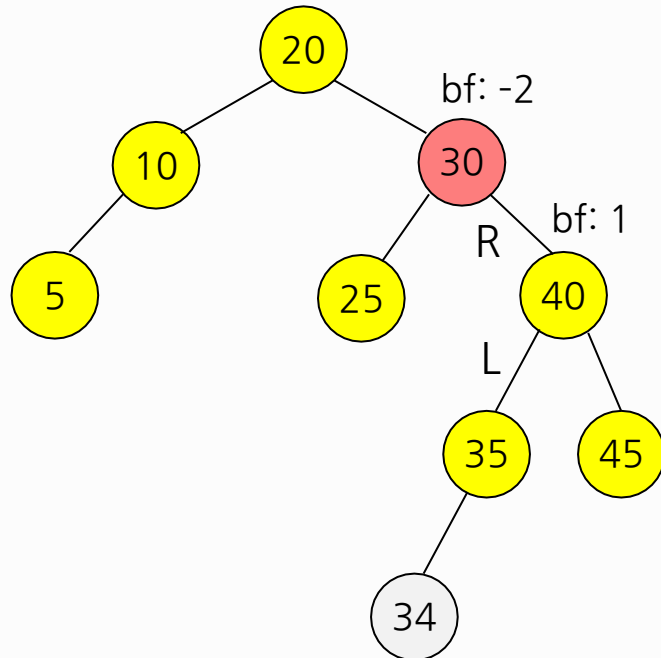
# AVL Tree Balanced?

- Insertion of 34
- Imbalance at?
- Balance factor?



# Double rotation RL case

- Insertion of 34
- Imbalance at 30
- Balance factor - 2

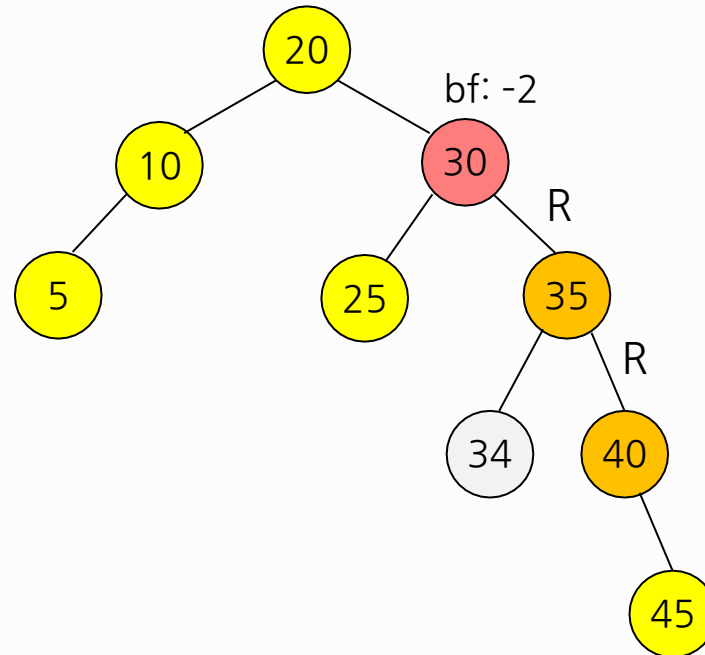
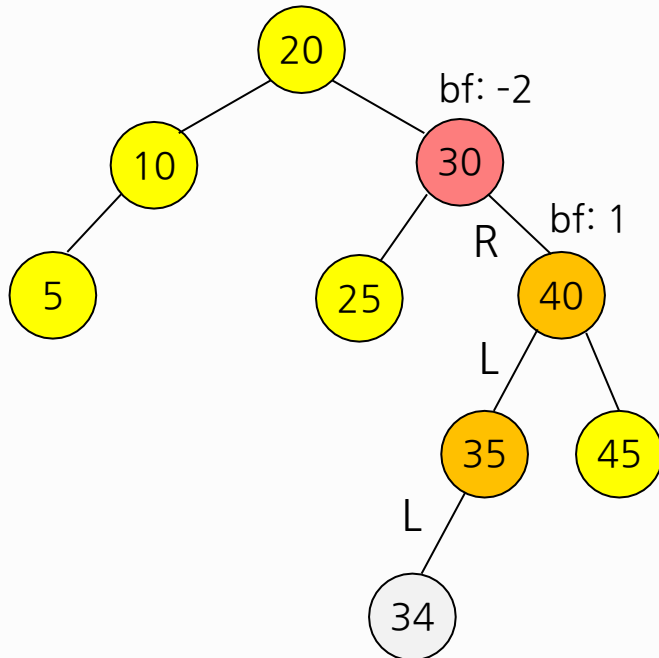


# Double rotation RL case

- Insertion of 34
- Imbalance at 30
- Balance factor - 2

- **RL case** (RR + LL cases)
  - Rotate at 40, LL case
  - Rotate at 30, RR case

← Double rotation

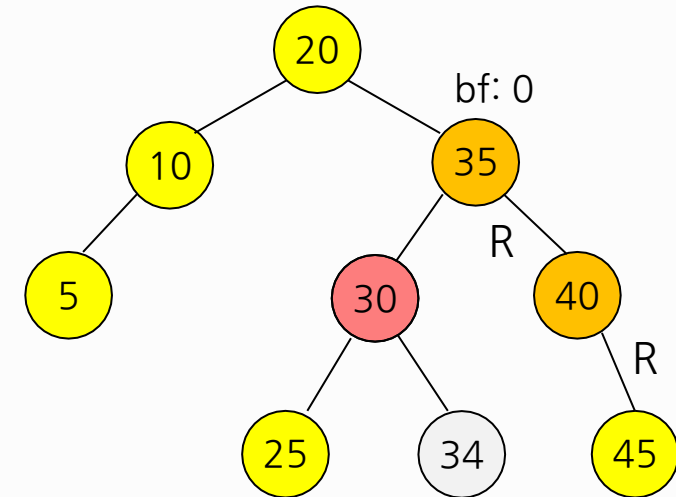
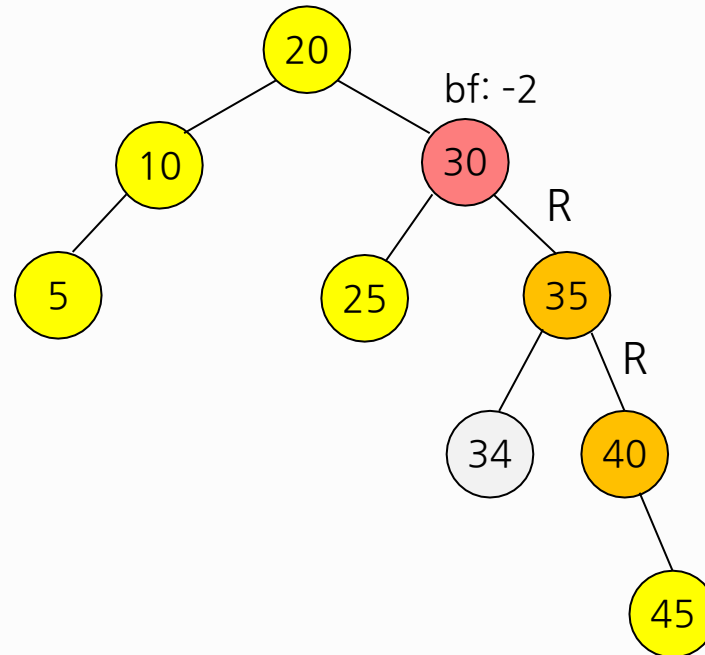
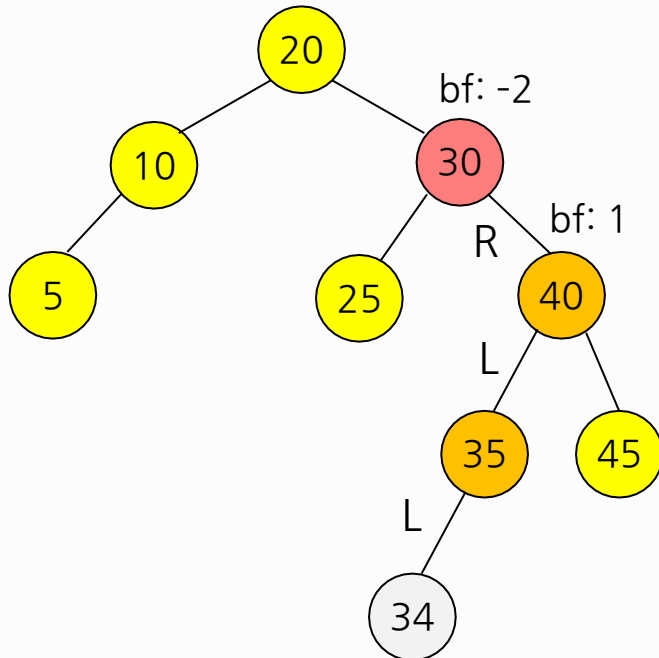


# Double rotation RL case

- Insertion of 34
- Imbalance at 30
- Balance factor - 2

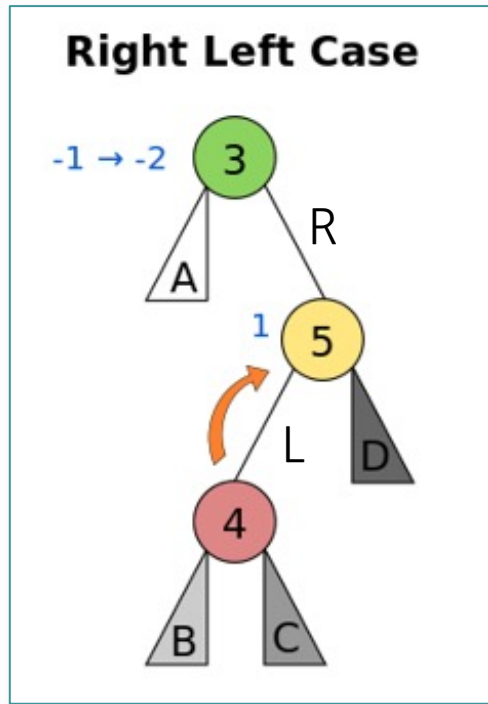
- **RL case** (RR + LL cases)
  - Rotate at 40, LL case
  - Rotate at 30, RR case

← Double rotation

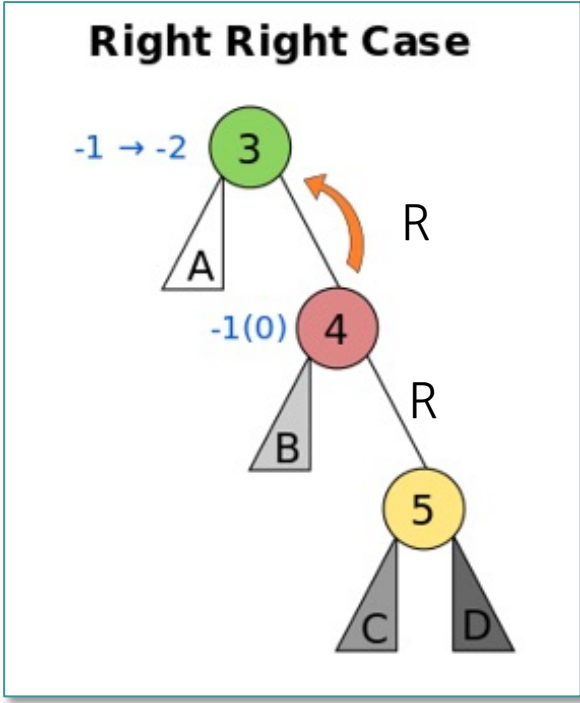
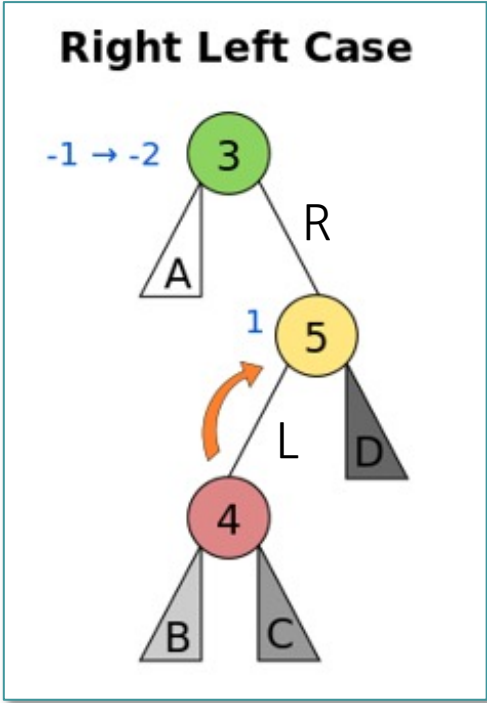




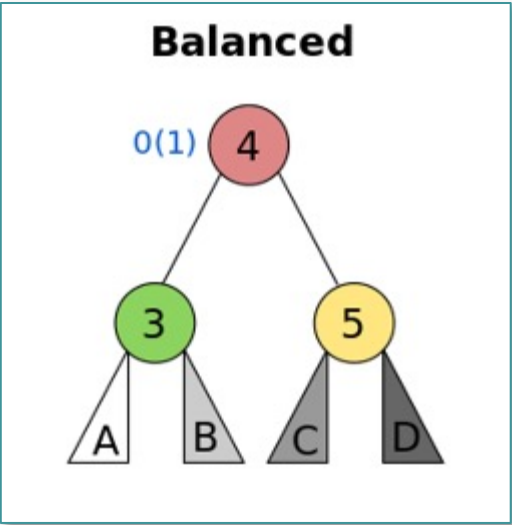
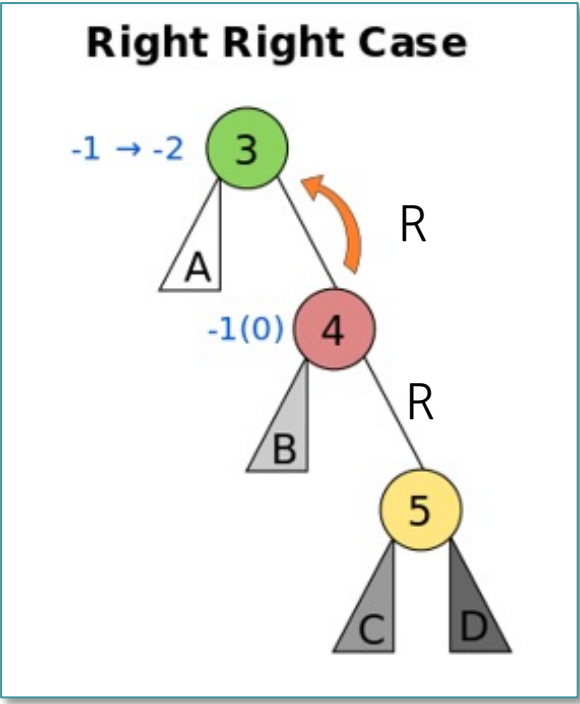
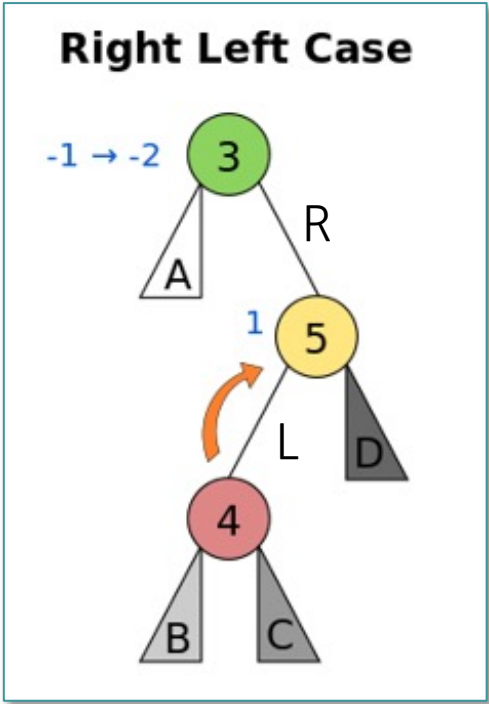
# Double rotation - RL Case



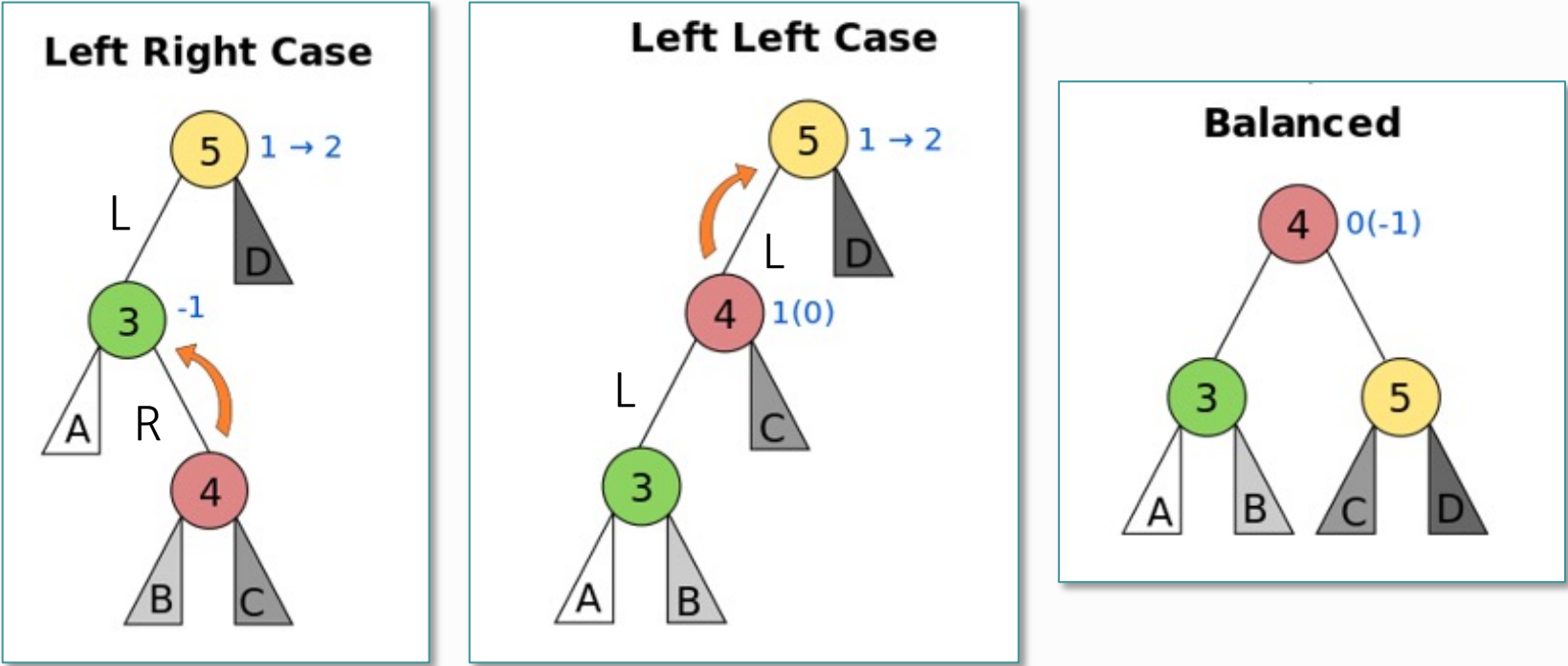
# Double rotation - RL Case



# Double rotation - RL Case



# Double rotation - LR Case

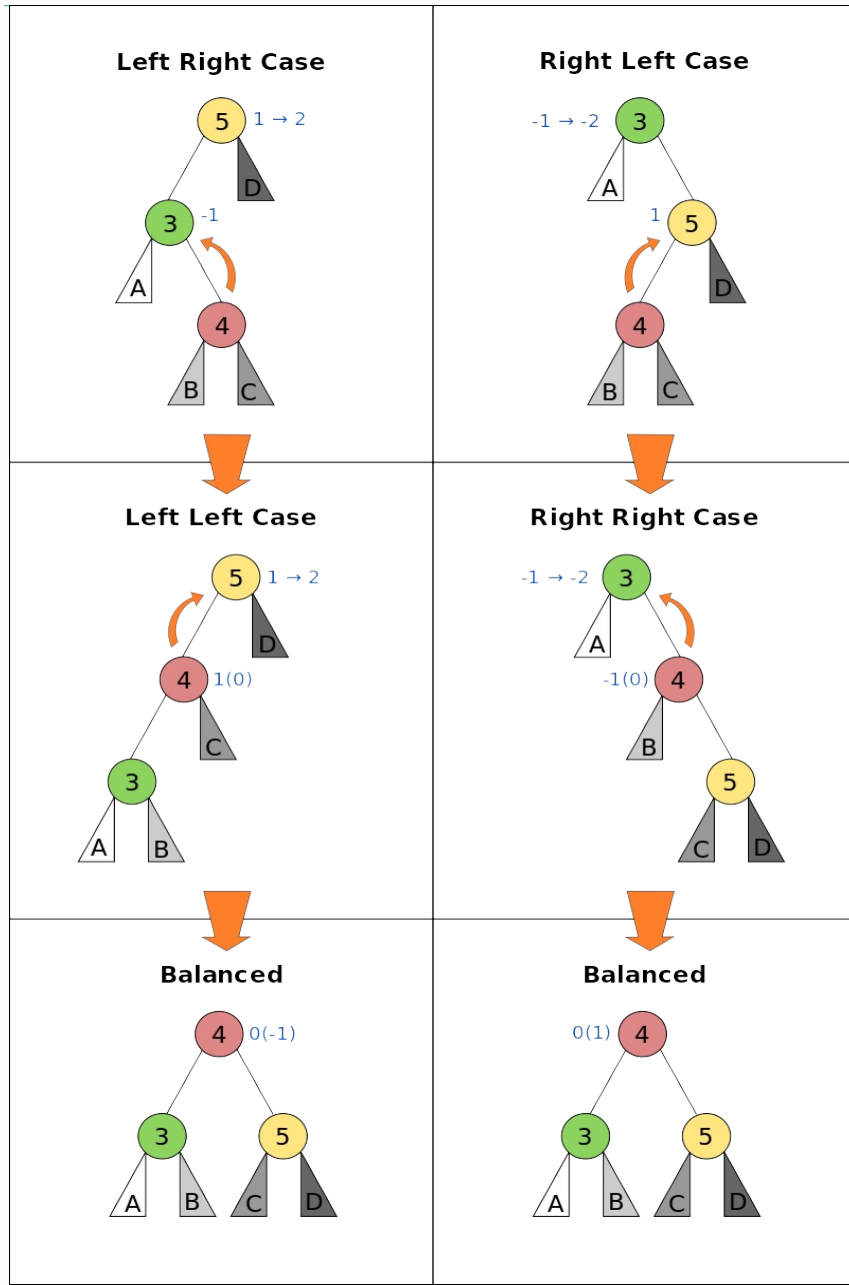


## Time Complexity

- Since AVL trees are always balanced, the time complexity of AVL tree shows  $O(\log_2 n)$  for most operations.

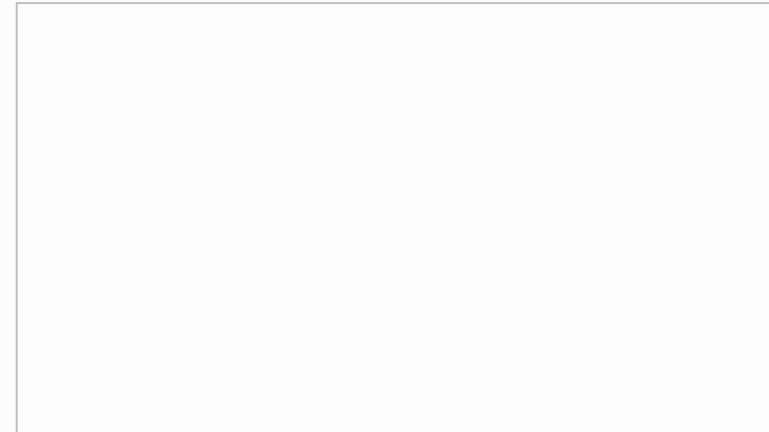
operation	Sorted List	Hash Table	Binary Search Tree	AVL Tree
<i>put</i>	$O(n)$	$O(1)$	$O(n)$	$O(\log_2 n)$
<i>get</i>	$O(\log_2 n)$	$O(1)$	$O(n)$	$O(\log_2 n)$
<i>in</i>	$O(\log_2 n)$	$O(1)$	$O(n)$	$O(\log_2 n)$
<i>del</i>	$O(n)$	$O(1)$	$O(n)$	$O(\log_2 n)$

# Summary (1/2)



- The numbered circles represent the nodes being rebalanced.
- The lettered triangles represent subtrees which are themselves balanced AVL trees.
- A blue number next to a node denotes possible balance factors (those in parentheses occurring only in case of deletion).

Source: [www.wikipedia.com](http://www.wikipedia.com)



## Summary (2/2)

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- AVL tree is a height-balanced binary search tree(BST).
- Arguments **for** AVL tree:
  - The time complexity of AVL tree shows  $O(\log_2 n)$  for most operations.
  - The height balancing adds no more than a constant factor to the speed of insertion or deletion.
- Arguments **against** using AVL tree:
  - **Difficult** to program & debug

# 학습 정리

- 1) AVL 트리는 자동적으로 균형을 잡아주는 고전적인 이진탐색트리 알고리즘이다
- 2) Balance factor를 이용해 트리의 균형을 맞추는 작업의 시간복잡도는  $O(\log n)$ 이다
- 3) 단일회전(Single rotation)에는 LL, RR case가 있고, 이중회전(Double rotation)에는 LR, RL case가 있다



# 파이썬으로 배우는 데이터 구조

수고했습니다  
곧 다음 시간에  
다시 뵙겠습니다

