Carol A. Needham Saint Louis, Missouri 63105 (314) 977-7104 / (314) 977-3332 (fax)

Experience:

1992 - Present Saint Louis University

Saint Louis MO

Tenured Professor, School of Law.

Classes taught: Professional Responsibility; Business Law and Ethics; Negotiation Theory and Strategy; Civil Procedure I and II; Remedies and Negotiation of International Business Transactions.

1990 - 1991 Chadbourne & Parke

Los Angeles CA

Attorney. Negotiated and documented employment contracts, licensing agreements, and project financing documents including original financings, security agreements, operations and maintenance agreements and fuel supply agreements for IPP's and co-generation power plants; provided work-out and bankruptcy advice.

1986 - 1990 Gibson, Dunn & Crutcher

Los Angeles

CA

Attorney. Negotiated and documented loan workouts, mergers, IPO's, exchange offers, private placements and acquisitions; responsible for the financial restructuring of a management consulting firm; handled creditor negotiations and bankruptcy court appearances for Chapter 11 matters; prepared SEC filings; wrote and argued briefs and motions for cases in federal court; handled all aspects of developing cases for trial.

1985 - 1986 United States District Court

Honolulu HI

Law Clerk for Chief Judge Harold M. Fong.

Education:

J.D. 1985 Northwestern University School of Law

Chicago IL

Executive Editor, Northwestern University Law Review;

Mediator in a study run by Prof. Jeanne Brett, Kellogg Graduate School of

Management; Awarded the Raymond J. Ott Scholarship; Student

Representative, Dean Search Committee; Chair, Northwestern Law School

Fellowship; Teaching Assistant, Negotiations class.

M.A. 1982 University of Virginia

Charlottesville VA

Thesis on Sir Philip Sidney's The Defense of Poetry.

B.A. 1979 Northwestern University

Evanston IL

(English major/Economics concentration).

Mortarboard Honor Society; Chairman, Norris Center Board; Editor and

Newscaster, WNUR Radio; Dean's List; Tutor, English as a Second Language.

Selected Publications:

"Globalization and Eligibility to Deliver Legal Advice: Inbound Legal Services Provided by Corporate Counsel Licensed Only in a Country Outside the United States," 48 San Diego Law Review 379 (2011).

"Listening to Cassandra: The Difficulty of Recognizing Risks and Taking Action," 78 Fordham Law Review 2329 (2010).

"The Changing Landscape for In-House Counsel: Multijurisdictional Practice Considerations for Corporate Law Departments," 43 Akron Law Review 985 (2010)(symposium issue).

"Transnational Legal Practice: 2007-08 Year in Review," 43 International Lawyer 943 (2009) with Laurel Terry, Carole Silver, Ellyn Rosen, Jennifer McCandless, Robert Lutz and Peter Ehrenhaft (2009).

Lawyers and the Legal Profession, 4th ed.(Lexis/Nexis Pub. 2009), co-authored with Roy D. Simon and Burnele V. Powell.

"Transnational Legal Practice: 2006-07 Year in Review" 42 International Lawyer 833 (2008) co-authored with Laurel S. Terry, Carole Silver, Ellyn Rosen, Robert E. Lutz and Peter D. Ehrenhaft.

"Practicing Non-U.S. Law in the United States: Multijurisdictional Practice, Foreign Legal Consultants and Other Aspects of Cross-Border Legal Practice," 15 Michigan State Journal of International Law (2007).

"The Professional Responsibilities of Law Professors: The Scope of the Duty of Confidentiality, Character and Fitness Questionnaires and Engagement in Governance," 56 Journal of Legal Education 106 (2006)(symposium with Deborah Rhode and Lisa Lerman).

"Enhancing a Law Department's Flexibility to Respond to Unexpected Challenges: Multijurisdictional Practice and the In-House Lawyer," 20 ABA Committee on Corporate Counsel newsletter 1 (2006 Winter issue)(lead article).

"Multijurisdictional Practice Regulations Governing Attorneys Conducting a Transactional Practice," 2003 U. of Illinois Law Review 1331 (2003).

"Consensus Across Multiple Divides: An Empirical Study of Outlooks Underlying Lawyers' Attitudes Regarding Multidisciplinary Practice," 32 Loyola Univ. of Chicago Law Review 617 (2001) co-authored with Greg Casey.

"Permitting Lawyers to Participate in Multidisciplinary Practices: Business as Usual or the End of the Profession as We Know It?" 84 Minn. L. Rev. 1315 (2000).

Selected Publications (continued):

"Interjurisdictional Practice and the In-House Lawyer," 18 ACCA Docket 68 (March 2000)(feature article)(The Association of Corporate Counsel is an international association for attorneys employed as in-house counsel across the U.S., Canada and Europe. All 11,300 members receive this publication.).

"The Application of Unauthorized Practice of Law Regulations to Attorneys Working in Corporate Law Departments." Multijurisdictional Practice Conference Coursebook (2000). The conference was sponsored by the ABA Center for Professional Responsibility and held at Fordham University in New York City.

"Busted: Unauthorized Practice in the Corporate Setting," 17 ACCA Docket 52 (September/October 1999)(feature article) co-authored with Norman M. Krivosha, James McCauley and Michael Rossiter.

"The Licensing of Foreign Legal Consultants in the United States: The States' Regulatory Response to the Globalization of the Legal Profession," 21 Fordham International Law Journal 1126 (1998).

"Stepping Across the Border: In-House Counsel and the Unauthorized Practice of Law," American Bar Association Center for Professional Responsibility Coursebook, 24th National Conference on Professional Responsibility (1998). This article was selected for inclusion in the 1998 Symposium Issue of The Professional Lawyer edited by Prof. Robert F. Drinan and Micalyn S. Harris.

"How We Teach When We Teach Legal Ethics: Teaching Methods for Professional Responsibility Courses," Chapter 18 in <u>Legal Education for the 21st Century</u> (Fred B. Rothman & Co. 1998).

"Current Developments in the Case Law," Editor, AALS Professional Responsibility Section Newsletter. For eight years, from 1995 to 2003 in March and October of each year, edited this column which highlighted 20 to 25 significant recent judicial decisions developing the law of conflicts of interest, confidentiality, malpractice, bar admission and other legal ethics issues. Received by all members of the AALS Section on Professional Responsibility, over 700 professors at law schools throughout the U.S. and Canada.

"Splitting Bar Admission into Federal and State Components: National Admission for Advice on Federal Law," 44 Kansas Law Review 453 (1997).

"When is an Attorney Acting as an Attorney: The Scope of Attorney-Client Privilege as Applied in Corporate Negotiations," 38 South Texas Law Review 681 (1997)(symposium).

"The Multijurisdictional Practice of Law and the Corporate Lawyer: New Rules for a New Generation of Legal Practice," 36 South Texas Law Review 1075 (1995)(symposium).

<u>Selected Publications</u> (continued):

"Negotiating Multi-State Transactions: Reflections on Prohibiting the Unauthorized Practice of Law," 12 Saint Louis University Public Law Review 113 (1993).

Work in Progress:

"Legal and Ethical Issues in Working with Older/Aging Adults" chapter in *Geriatric Occupational Therapy: Enhancing Quality of Life Through Collaborative Practice*, Karen F. Barney and Margaret A. Perkinson eds. (Elsivier)(forthcoming 2012).

"Due Diligence: How Deeply Should a Lawyer be Required to Investigate a Current Client?"

"Off-Shoring Legal Services and Translation Between Legal Cultures: Key Issues in the Next Phase of Globalization of the Delivery of Legal Services"

Selected Lectures and Presentations:

"Fully Engaging in a Limited Scope Representation: Key Ethics Issues," presentation for federal judges, court personnel, mediators and participating attorneys in the U.S. District Court for the Eastern District of Missouri's program for otherwise pro se litigants in court-annexed mediation. December 2011.

"Globalization Pressures on the Practice of Environmental Law," International Ethics Issues in Environmental Law, panelists included Tseming Yang, Deputy General Counsel EPA, Claramaria Poffenberger, Exxon Mobil in-house counsel, Antonella Capria, Gianni, Origoni, Grippo & Partners (Milan, Italy), at the 40TH Annual Conference on Environmental Law, ABA Section of Environment, Energy and Resources. Salt Lake City, Utah. March 2011.

Keynote lecture, "Listening and Acting with Integrity: Elements of Empowerment," 2011 Annual Conference of the North America Taiwanese Women's Association. The lecture was the subject of a news story in at least one newspaper in Taiwan, the May 2, 2011 issue of the Taiwan Tribune.

"Reporting Wrong-Doing by Another Lawyer: What Are Lawyers Required to Do and When Must We Do It?," Saint Louis, Missouri. November 2010

"Evolving Processes in Judicial Selection" Infinity Project Strategic All-State Summit. Minneapolis, Minnesota. August 2010

Selected Lectures and Presentations (continued):

"Globalization and the Eligibility of Corporate Counsel to Provide Legal Advice: An Evaluation of Cross-Border Practice Provisions Restricting Multijurisdictional Law Practice," International Legal Ethics Conference IV held at Stanford University. Palo Alto, California. July 2010

"Corporate Counsel and the Globalized Legal Profession," part of the "Cross-Border Practice at the Cross-Roads: Revisiting Multijurisdictional Practice" program at the 36th National Conference on Professional Responsibility of the ABA Center for Professional Responsibility. Seattle, Washington. June 2010

"Globalization and the Eligibility of Corporate Counsel to Provide Legal Advice: An Evaluation of Cross-Border Practice Provisions Restricting Multijurisdictional Law Practice," Saint Louis University Law School Summer Workshop. June 2010

"Unfinished Business: The Impact of Least Cost Sourcing and Supply-Side Developments on the Cross-Border Delivery of Legal Services" (plenary session) Nationalization and Globalization of Legal Ethics and Lawyer Regulation at the Association of Professional Responsibility Lawyers Conference (marking the 20th Anniversary of APRL's founding). New Orleans, Louisiana. April 2010

"Entering the Legal Profession," Missouri Bar Ethics program moderator. Saint Louis, Missouri. April 2010

"Listening to Cassandra: The Difficulty of Recognizing Risks and Taking Action," paper presented at "The Economic Downturn and the Legal Profession" symposium at Fordham University School of Law. New York City. October 2009

"The Changing Landscape for In-House Counsel: Multijurisdictional Practice Considerations for Corporate Law Departments," Inaugural Conference and Symposium for the Miller-Becker Institute for Professional Responsibility at the University of Akron. Participants included Stephen Gillers (NYU), Brad Wendell (Cornell), Jim Moliterno (Washington & Lee), Art Greenbaum (Ohio State), John Dzienkowski (Texas), Bruce Green (Fordham), Carole Silver (Georgetown), Margaret Raymond (Iowa), Judith McMorrow (Boston College) and Xiang Han (Bejing). October 2009

"Analyzing Conflicts of Interest, Interaction with Unrepresented Parties and Other Professional Responsibility Issues Facing Bankruptcy Trustees," Chapter 13 Standing Bankruptcy Trustee Conference (attended by the U.S. Trustee and Bankruptcy Trustees from federal regions 10 and 13) April 2009

"Reflections on the Impact of Political Processes on Judicial Selection," Leadership, Gender and Judicial Selection Program in the All-State Summit for the Eighth Circuit Infinity Project. Minneapolis, Minnesota. October 2008

Selected Lectures and Presentations (continued):

"Delivery of Legal Services Across International Borders: Licensing, Off-Shoring and the

Impact of Multijurisdictional Practice Regulations." Paris, France. July 2008

"Off-Shoring Legal Services and Translation Between Legal Cultures: Key Issues in the Next Phase of Globalization in the Delivery of Legal Services," at the Inaugural Legal Ethics Shmooze, convened by David Wilkins (Harvard), Bill Simon (Columbia/Stanford), David Luban (Georgetown), Bruce Green (Fordham) and Deborah Rhode (Stanford). New York City. June 2008

"Barriers to Recognition: Eligibility of Lawyers Trained Outside the U.S. to Take Bar Examinations within the U.S." presented at the "North American Cross-Border Practice and the Law Schools" program sponsored by the North American Co-operation Section at the AALS Annual Conference in New York City. January 2008

"Transforming Lip Service into Committed Action" 2007 National Institute for Teaching Ethics Workshop outside Atlanta, Georgia... November 2007

"The Duty to Report: Some Cautionary Tales" on a panel with an A.R.D.C. prosecutor at the Insurance Symposium and Trial Tactics Seminar organized by the Illinois Association of Defense Trial Counsel. September 2007

"U.S. Regulation of Cross-Border Legal Services" presented at both the 2nd Annual Asian Summit on Legal Services and the 4th Annual U.S. - E.U. Summit of Bar Leaders on Legal Services. Both Summits were held in connection with the ABA Annual Meeting to discuss recognition of credentials, expansion of foreign legal consultant status and the prospective opening of markets for delivery of legal services. San Francisco, California. August 2007

"Multiple Client Representation and the Advisory Function of Corporate Counsel," talk given at the 26th Annual St. Louis Corporate Counsel Institute sponsored by the Bar Association of Metropolitan St. Louis. Others on the program included Susan Hackett (Association of Corporate Counsel) and Tom Irwin (Civic Progress). May 2007

"Ethical Considerations in Connection with Multiple Client Representation and in Handling Lump Sum Settlement Offers," Annual Conference for lawyers representing AFL-CIO unions. Chicago, Illinois. April 2007

"The Federal Practice Exemption and Multijurisdictional Law Practice," ABA Section on Taxation Midyear Meeting. Hollywood, Florida. January 2007

"Navigating Treacherous Waters: Initiating an Investigation, Going Up the Ladder and Reporting Out" at the 2007 AALS Annual Meeting. Organized and moderated the program. Milton C. Reagan, Jr. (Georgetown), Sarah Helene Duggin (Catholic), Susan Koniak (Boston) and Charles Wolfram (Cornell) participated as panelists. January 2007

<u>Selected Lectures and Presentations</u> (continued):

"The Ethics of Managing Multistate Law Practices," a national program sponsored by the ABA Law Practice Management Section. The program was moderated by Michael Downey, partner at Fox Galvin (Saint Louis) and the other speakers were William T.

Barker, partner at Sonnenschein, Nath & Rosenthal (Chicago) and Andrew J. Demetriou, partner at Fulbright & Jaworski (Los Angeles). December 2006

"Toward a More Complete Assessment of the Impact of Screening as a Device for Addressing Conflicts of Interest: Noteworthy Judicial Decisions on Conflicts of Interest and Ethical Walls," 32nd ABA Center for Professional Responsibility Annual Conference. Vancouver, British Columbia. An extended discussion of the points made during this session, including reaction from Ron Rotunda, Larry Fox, Lisa Lerman and others can be found in Lance J. Rogers, "More Than One-Third of States Allow At Least Limited Screening to Prevent Vicarious Disqualification," 22 Lawyers' Manual on Prof'l Conduct 291 (Conference Report June 14, 2006)

"Integrity, Authenticity and the Freedom to 'Do the Right Thing' in Our Professional Lives" lecture given at the symposium on "The Changing Role of Women in Church and Society" co-sponsored by Aquinas Institute and the Adorers of the Blood of Christ, U.S. province. March 2006

"Practicing Non-U.S. Law in the United States: MJP Regulations, Foreign Legal Consultants and Other Aspects of Cross-Border Practice," presented at the AALS Annual Conference as part of the North American Co-operation Section program "Cross-Border Law Practice: Foreign Legal Consultants, GATS and Does It All Matter Anyway?" Washington, D.C. January 2006

Commentator in "Lawyers' Ethics in an Adversary System" Hofstra University's 2005 Legal Ethics Conference. Speakers included Profs. Alan Dershowitz (Harvard), Deborah Rhode (Stanford), Tom Morgan (George Washington), Stephen Gillers (NYU), W. Bradley Wendel (Cornell), and Charles Ogletree (Harvard). New York City. October 2005

"Sanctions for Failure to Report Up the Ladder and Other Ethics Developments that Impact In-house Counsel," at the 24th Annual Saint Louis Corporate Counsel Institute sponsored by the House Counsel Committee of the Bar Association of Metropolitan Saint Louis and the Saint Louis Chapter of the Association of Corporate Counsel program. April 2005

"Three Aspects of Professional Integrity: Balancing Our Commitments, Reforming Bar Admission Standards and Disclosing Research Sponsorships," AALS Annual Meeting. Section on Professional Responsibility program. Deborah Rhode (Stanford) and Lisa Lerman (Catholic) were the other panelists, Stephanie Wildman (Santa Clara) moderated. January 2005

Selected Lectures and Presentations (continued):

"Utilizing Third-Party Beneficiary Bonds to Enhance Client Protection" for the Regulation of Attorney Conduct session at the 21st Century Law, Technology and Ethics symposium sponsored by the University of Memphis. Profs. Tom Morgan (George Washington), Lisa Lerman (Catholic), Carl Pierce (Tennessee), Teresa Collette (St.

Thomas) also participated as did Lucian Pera (Armstrong Allen and APRL) and Lance Bracy (Tennessee's Chief Disciplinary Counsel). October 2004

"The Unauthorized Practice of Law and Multijurisdictional Practice Implications of Unbundling Legal Services for Small Businesses." Talk given as part of the Law & Society Conference in Vancouver, Canada. June 2002

"Malpractice Liability as a Basis for Professional Discipline," speaker at the 28th National Conference on Professional Responsibility sponsored by the ABA Center for Professional Responsibility in Vancouver, Canada. May 2002

"Changes in Professional Responsibility Provisions Affecting Multijurisdictional Practice: Assessing the Risks in Proposals for Reform," University of Illinois symposium on the impact of the ABA's Ethics 2000 Commission's proposed revisions for the Model Rules of Professional Conduct. Champaign-Urbana, Illinois. April 2002

"Multijurisdictional Practice and the Environmental Lawyer" lecture at The Law of the Missouri River conference on March 8, 2002

"Enron and WorldCom: Professional Responsibility and Advising a Troubled Company" F. Hodge O'Neal Corporate & Securities Law Symposium at Washington University in Saint Louis. February 2002

"Negotiation Theory and Community Re-Development." Metropolis Forum. Saint Louis, Missouri. August 2001

"Multidisciplinary Practice: The Intersection of Theory and Practice." Kansas City Metropolitan Bar Association Annual Meeting. Lake of the Ozarks, Missouri. May 2001

"Interjurisdictional Practice: Prosecutorial Discretion and Definitional Complexity" Centex Legal Department Annual Meeting in Dallas, Texas. The participants included attorneys practicing in Florida, California, Georgia, Illinois and Texas as well as in London, England. October 2000

<u>Selected Lectures and Presentations</u> (continued):

"Conflicts of Interest in Multidisciplinary Practice" Multidisciplinary Practice forum sponsored by the Women Lawyers' Association in Saint Louis. Other speakers included Diane Yu (Assoc. General Counsel- Strategy, Monsanto) and Michael Gunn (President, Missouri Bar). April 2000

"The Application of Unauthorized Practice of Law Regulations to Attorneys Working in Corporate Law Departments." New York, New York. Multijurisdictional Practice Conference at Fordham. Representatives from the Council of Chief Justices, ALAS, ACCA, and disciplinary counsel organizations attended along with academics including Steven Gillers (NYU), Mary Daly (Fordham), Burnele Powell (UM-KC), Bruce Green (Fordham), and Laurel Terry (Penn State). March 2000

"Permitting Lawyers to Participate in Multidisciplinary Practices: Business as Usual or the End of the Profession as We Know It?" University of Minnesota in Minneapolis, Minnesota. The other speakers at the conference included Profs. Geoffrey Hazard (Penn.), Ted Schneyer (Arizona), John Matheson (Minn.), Edward Adams (Minn.), Richard Painter (Illinois), Mary Daly (Fordham), Brunele Powell (UM-KC), Laurel Terry (Penn State), Charles Wolfram (emeritus, Cornell), Bayless Manning (emeritus, Stanford) and Lawrence Fox (Drinker, Biddle). February 2000

"National Trends in Licensing Exemptions for In-House Counsel" at the Connecticut Chapter, American Corporate Counsel Association. Hartford, Connecticut. The other speaker at the event was Quintin Johnstone (emeritus, Yale). January 2000

"Interjurisdictional Practice and the In-House Lawyer." American Corporate Counsel Association. 1999 Annual Meeting. The session was moderated by Fred Krebs, ACCA President. San Diego, California. November 1999

"Unauthorized Practice of Law and the In-House Lawyer." American Corporate Counsel Association. 1998 Annual Meeting, Washington, D.C. The other panelists were: Mike Rossiter, General Counsel at Stanford Univ., Norm Krivosha, General Counsel for Ameritas Life Ins. and Jim McCauley, Virginia Bar Counsel. The session was moderated by Fred Krebs, ACCA President. An article on the session appeared in the December 8, 1998 issue of U.S. Law Week. November 1998

Instituto International de Sociologia Juridica de Onati.

"A Critique of the Weakening of the Duty of Confidentiality" and "Barriers to Entry to the Market for Legal Services in the United States," are the titles of two papers given at the Fourth Biennial Meeting of the Law and Society Working Group on Comparative Legal Professions in Onati, Spain. July 1998

<u>Selected Lectures and Presentations</u> (continued):

"Cross-Border Legal Practice: Transactional Lawyering in a Global Economy". University of San Diego's Summer Program. Paris, France. July 1998

"Stepping Across the Border: In-House Counsel and the Unauthorized Practice of Law," 24th National Conference on Professional Responsibility organized by the ABA Center for Professional Responsibility. Montreal, Canada. May 1998

"Specialization in the Practice of Securities Law" ABA Business Law Section Annual Meeting. District of Columbia Circuit Court of Appeals Judge Stanley Spoorkin, Prof. Ann Maxey, and Jim Doly, (formerly at the SEC, now a partner at the firm of Baker & Botts), were the other panelists. The session was moderated by former SEC Commissioner Ed Fleishmann, now a partner at Linklauter's New York office. April 1998

American Society of Law, Medicine & Ethics and Vanderbilt University School of Medicine conference. "Legal and Ethical Issues in the Consolidation of Health Care Institutions." Nashville, Tennessee. March 1998

"Conflicts of Interest, Confidentiality and Current Developments in Professional Responsibility." Ralston-Purina Corporate Legal Department Annual Departmental Conference. Houston, Texas. March 1997

"Licensing Foreign Legal Consultants: The States' Regulatory Response to the Globalization of the Legal Profession" at a program on the Internationalization of the Legal Profession at the AALS Annual Meeting in Washington, D.C. January 1997

"The Strengths and Difficulties Inherent in the U.S. System of Lawyer Licensing" San Jose, Costa Rica. December 1996

"Ethical Considerations in Transactional Practice," focusing on confidentiality, preservation of the attorney-client evidentiary privilege and waiver of the privilege, Saint Louis Area Health Lawyer's Association. November 1996

"An Evaluation of the Utility of the Philosophical Principle of Double Effect in Identifying Permissible Lawyer Involvement in Misleading Activities." Central States Law School Association academic conference. October 1996

"When is an Attorney Acting as an Attorney: The Scope of Attorney-Client Privilege as Applied in Corporate Negotiations" South Texas Annual Ethics Conference. Other academics involved in the program included Profs. Carrie Menkel-Meadow, (Georgetown), Robert Moberly (Florida) and Kimberlee Kovach (Texas). October 1996

Selected Lectures and Presentations (continued):

Thompson Coburn. Invited to give several talks on conflicts of interest and affiliated corporate entities, liability to non-clients and other topics relevant to lawyers doing transactional work for corporations and partnerships. June 1996

"Federal Courts' Rules on the Admission of Attorneys Licensed in Other States." Eighth Circuit Court of Appeals District Court Judges, Staff Attorneys and Law Clerks. November 1995

"What We Teach When We Teach Legal Ethics: Goals and Methods in Teaching Professional Responsibility." Central States Law Schools Association academic conference. October 1995

"The American System of Civil Procedure," lecture delivered to the LLM candidates who received their initial legal education in countries with non-common law systems outside the United States. Fall 1995

"Applications of the Proposed Code of Conduct for Non-Judicial Federal Court Personnel." U.S. District Court, Eastern District of Missouri. At the invitation of James Woodward, the Assistant Clerk of Court, delivered a four hour program highlighting key ethics issues confronted by court personnel. July 1995

"Waiver of Work Product Protection, Mandated Disclosures, and Conflicts of Interest in Entity Representation" Southwestern Bell, Conference for Inside Counsel. March 1994

Eighth Circuit Court of Appeals Staff Attorneys, Settlement Director and Law Clerks. Ethics rules regarding post-clerkship employment, disclosures of confidential information, and first amendment issues in pre-trial publicity. March 1994

"The First Amendment and Restrictions on Attorneys' Comments to the Press Regarding Pending Cases." Participated as a commentator and moderated a discussion of proposed amendments to Rule 3.6 in light of Gentile v. State Bar of Nevada, 501 U.S. 1030, 111 S.Ct. 2720 (1991). Other panelists included Judge Drumm, U.S. Attorney Edward L. Dowd, Jr., Public Defender Ron Sherod, and former U.S. Attorney Stephen Higgins. October 1993

Organized, participated in and moderated a panel discussion on "The Duty of Confidentiality and the Lund case." Pi Alpha Phi (the Ethics Society). October 1993

Testimony at Hearings:

Illinois Supreme Court Rules Committee. Testified regarding the advisability of mandating that active members of the Illinois bar carry malpractice insurance. Robert Creamer testified for ALAS and the current president of the Illinois Bar testified, as did Dennis Rendleman, general counsel for the Illinois Bar and the current president of the

Chicago Bar Association, along with solo practitioners and attorneys representing legal aid clinics and other practice groups. Chicago, Illinois. July 2002

ABA Multijurisdictional Practice Commission.

Testified at hearings held in Dallas, Texas, making specific recommendations to resolve some of the problems encountered by lawyers as a result of possible prosecution for UPL violations. February 2001.

ABA MultiDisciplinary Practice Commission.

Testified at the hearings in Washington, D.C. regarding prosecution of Big Six accounting firms and personal services organizations for unauthorized practice of law. November 1998

ABA Ethics 2000 Commission.

This blue-ribbon panel considered changes to the ABA Model Rules of Professional Conduct. Testified regarding changes to the Model Rules affecting prosecution of UPL; the testimony is cited at vol. 14, No. 10 page 264 of the ABA/BNA Lawyers' Manual on Professional Conduct. Montreal, Canada. May 1998

Missouri Bar Special Committee on Advertising. Testified regarding limits on lawyers' advertisements and changes to Missouri Professional Conduct Rule 7.2. April 1994

Related Professional Activities:

Plenary Session moderator and Planning Committee member, AALS Workshop on the Future of the Legal Profession and Legal Education: Changes in Legal Practice and Regulation, Innovations in Teaching held at the 2012 AALS Annual Meeting in Washington, D.C.

Participated in organizing "Unplanned Interactions: Guidance for Faculty Working with Students in Distress" program for the 2012 AALS Annual Meeting in Washington, D.C. program of the AALS Committee on Bar Admission and Lawyer Performance.

Selected by the AALS Section on Professional Responsibility as the Liaison to the ABA Standing Committee on Ethics and Professional Responsibility. (two-year term began September 2011).

Invited to join the Conference Planning Committee for the ABA Center for Professional Responsibility National Conference (August 2011 to present).

Moderated and organized the Scholarship Roundtable at the 37th National Conference on Professional Responsibility held by the ABA Center on Professional Responsibility. Memphis, Tennessee. June 2011

<u>Related Professional Activities</u> (continued):

Moderated and organized the Scholarship Roundtable at the 36th National Conference on Professional Responsibility held by the ABA Center on Professional Responsibility. May 2010

Moderated and organized the Scholarship Roundtable at the 35th National Conference on Professional Responsibility held by the ABA Center on Professional Responsibility. May 2009

Moderated and organized the Academic Roundtable at the 34th National Conference on Professional Responsibility held by the ABA Center on Professional Responsibility. Boston, Massachusetts. May 2008

Chair/Discussant, Research Roundtable held at Fordham Law School by the AALS Section on Professional Responsibility in connection with the AALS Annual Conference. New York City. January 2008

ABA Task Force on International Trade in Legal Services Advisor. (2006 to present) The Hon. Shirley Abrahamson (Conference of Chief Justices), Erica Moeser, (President, National Conference of Bar Examiners), Timothy Brightbill (ABA Representative on ITAC 10), Peter Ehrenhaft (International Law Transnational Legal Practice Committee), Steve Krane (New York State Bar), Kenneth B. Reisenfeld (ABA Representative to the International Bar Association), William P. Smith III (Standing Committee on Professional Discipline and National Organization of Bar Counsel), Richard Van Duizend (National Center for State Courts) also participate in the Task Force.

Selected as a 2007 Fellow of the National Institute for Teaching Ethics and Professionalism.

Bar Association of Metropolitan Saint Louis Foundation Board member May 2010 - present.

AALS Committee on Bar Admission and Lawyer Performance. Member January 2009-January 2012

Chair, American Association of Law Schools Section on Professional Responsibility 2006

Initiated and organized a new program, the Academics' Roundtable for the 32nd National ABA Center for Professional Responsibility Conference. Vancouver, Canada. June 2005

Leader, "Pedagogy: The Challenges of Teaching Professional Responsibility" session at the AALS Mid-Year Workshop on Legal Ethics. Montreal, Canada. June 2005

Related Professional Activities (continued):

Consulted by Iowa lawyers and Drake law school clinic professors regarding Unauthorized Practice of Law issues in connection with their advice to evacuees from Hurricane Katrina now located in Iowa whose legal issues involve the law of Louisiana, Florida and Mississippi. October - November 2005

Wrote the position paper for the Missouri Bar Multijurisdictional Practice Committee in connection with the Supreme Court's consideration of changes to the regulations governing marketing of legal services. As a member of the MJP Committee, participated in discussions with members of the Board of Governors and others regarding proposals to limit advertising by out-of-state lawyers and the language of related provisions in effect in other states. These culminated in the Missouri Supreme Court's enactment of new rules on marketing legal services which were reported in 21 ABA-BNA Lawyers' Manual on Professional Conduct 539 (November 2, 2005).

Panelist in the Professionalism Program at the Missouri Bar annual conference in Columbia, Missouri. October 2003

Participated in the Koniak, Cramton, Cohen letter to the Securities & Exchange Commission commenting on the Standards for Professional Conduct for Attorneys proposed by the agency in implementing section 307 of the Sarbanes-Oxley Act. This letter was cited by the SEC throughout its explanation of its final rule issued on January 23, 2003 and portions of our arguments were adopted by the SEC.

Central States Law Schools Association (President 1998-99, Vice-President 1996-98, Treasurer 1995-96), also Program Chair and Conference Planner for the Annual Conference held on October 8-9, 1999. During my term as President, the organization expanded its membership to 34 law schools, including the Univ. of Chicago, the Univ. of Michigan, Valpariso, Ohio State, Marquette, Washington Univ., John Marshall, Univ. of Illinois, Univ. of Missouri-Columbia, Cleveland State, Univ. of Kentucky and Indiana.

Executive Committee Member, American Association of Law Schools Section on Professional Responsibility (2003 - 2007) and (1995 - 1998).

Outside scholarship reviewer for tenure and promotion for professorships at universities both within the United States and in other countries.

Academic peer reviewer for manuscripts submitted to Aspen Publishing and the <u>Journal of Legal Education</u>.

Member, Missouri Bar Committee on Multijurisdictional Practice (2000 - 2003).

Member, Missouri Bar Committee on Multidisciplinary Practice (1999 - 2000).

Selected Service Activities:

University Committee on Academic Rank and Tenure. School of Law representative. January 2011 to present.

Vice-President, Altrusa Foundation Board. 2007 to present.

Proposal Evaluator, Saint Louis University President's Research Fund, June - July 2010 (second round) and December 2010 (initial round for the next group of proposals)

Board of Directors member, Saint Louis Family Violence Council January 2007 to July 2009 Vice-President March 2008 to July 2009

Infinity Project (8th Circuit judicial nomination task force). 2007 to present.

Center for Interdisciplinary Study of Contemporary Advocacy, planning committee

Center for International and Comparative Law, assessment committee

Law School Building Committee

Law School Scholarship Committee

Law School Pro Bono and Public Service Committee

Burlington Northern Committee member.

Assessed nominees for the Burlington Northern Award, a University-wide award given for demonstrated excellence in teaching, research and service. 1997-98 and 1998-99.

Member of the Corporate Ethics Committee of the St. Mary's Health System 1998 to 2000.

Frost Campus Professional Schools Task Force Member for the North Central Association of Colleges and Schools Accreditation Process. 2000 - 2002.

Women's Studies Advisory Board member (university-wide board) 2007 to 2010.

Deline Program.

In each year since the program's inception taught a group of incoming first-year law students as part of the program intended to focus attention on moral decision-making as well as on ethical issues facing attorneys.

Executive Committee, Faculty Senate.

Elected to this University-wide body and served a two-year term 2003 - 2005.

Faculty Senate Representative. 1996 - 2003. Past member of Senate Committees, including: Academic Affairs Committee, Compensation and Fringe Benefits Committee, Academic Integrity Special Interest Group.

Faculty Advisor for Phi Delta Phi, the legal ethics organization (2007 - present), O.W.L.S. Older, Wiser Law Students (2006 - 2007), International Law Students' Association (2011) and the Women Law Students' Association (1997 - 1999 and 2008 - present).