

Discrete Math (v.2) & Asymptotics

CS 374



Imagine we are actors

We could schedule to work in the following movies:

- Goal: work in the most movies possible.
- Displayed as a set of *intervals*

Tarjan of the Jungle

The President's Algorist

"Discrete" Mathematics

The Four Volume Problem

Process Terminated

Programming Challenges

Calculated Bets

Steiner's Tree

Halting State

Option 1:

- EarliestJobFirst:
 - Accept the earliest-starting job j that does not overlap any previously accepted job. Repeat until no more such jobs remain.

Option 2:

- ShortestJobFirst:
 - While the set of movies remains nonempty,
 - Accept the shortest possible job j .
 - Delete j from the list, as well as any other job that intersects with j .

Option 3:

- ExhaustiveScheduling:
 - Test all 2^n subsets of intervals from the set of movies, and return the largest subset consisting of mutually non-overlapping intervals.

Option 4:

- OptimalScheduling:
 - While the set of movies is not empty:
 - Accept the job j with the earliest completion date.
 - Then delete j and any interval which intersects j from the set.

Option 5:

- GreedyScheduling:
 - Select the job j which overlaps the smallest number of other jobs.
 - Remove j and repeat until no jobs remain.

Which option is the best?

- EarliestJobFirst:
 - Accept the earliest-starting job j that does not overlap any previously accepted job. Repeat until no more such jobs remain.
- ShortestJobFirst:
 - While the set of movies remains nonempty,
 - Accept the shortest possible job j .
 - Delete j from the list, as well as any other job that intersects with j .
- GreedyScheduling:
 - Select the job j which overlaps the smallest number of other jobs.
 - Remove j and repeat until no jobs remain.
- ExhaustiveScheduling:
 - Test all 2^n subsets of intervals from the set of movies, and return the largest subset consisting of mutually non-overlapping intervals.
- OptimalScheduling:
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Present the following...

- Is it correct? Will it produce the longest possible list of jobs?
 - If it is incorrect, provide a counterexample.
- Is it efficient?
- Rate each of these categories out of 10 (1=worst, 10=best)

Induction, attempt 2

Is this correct?

Increment(y)

 if ($y = 0$) then return(1) else

 if ($y \bmod 2 = 1$) then

 return($2 \cdot \text{Increment}(\lfloor y/2 \rfloor)$)

 else return($y + 1$)

- Prove with induction!

Is this correct?

```
Increment( $y$ )
  if ( $y = 0$ ) then return(1) else
    if ( $y \bmod 2 = 1$ ) then
      return( $2 \cdot \text{Increment}(\lfloor y/2 \rfloor)$ )
    else return( $y + 1$ )
```

```
Increment( $y$ )
  if ( $y = 0$ ) then return(1) else
    if ( $y \bmod 2 = 1$ ) then
      return( $2 \cdot \text{Increment}(\lfloor y/2 \rfloor)$ )
    else return( $y + 1$ )
```

Extra slide if needed

Combinatorics Cheat Sheet

Why do we need them?

- Useful for knowing how many possible options there are.
- Helpful for assessing efficiency options

Cheat Sheet

- **Addition Rule:**

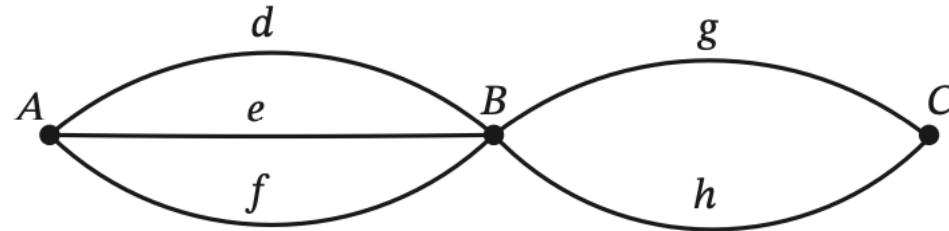
- If there are several events/decisions that happen, but are only available in separate "universes", add the number of possibilities together.
- E.G. I could take one path xor another (impossible to take both). $3 + 2$ paths total

- **Multiplication Rule:**

- If events must happen in sequence
- E.G. I have to choose out of 3, then out of 2. $3 * 2$.
- E.G. choosing students to stand in a line

- **Factorial:**

- $n! = n(n-1)(n-2)(n-3)\dots(2)(1)$



Permutation, Combination

- **Combination:**

- "n choose k" $nCk = \binom{n}{k} = \frac{n!}{k!(n-k)!}$
- Choosing k elements from a set of n things
- Without replacement
- Order chosen *does not* matter.

- **Permutation:**

- "n permute k" $nP_k = \frac{n!}{(n-k)!}$
- Choosing k elements from a set of n things
- Without replacement
- Order chosen *does* matter.

Example Problem

- A hungry group of professional Settlers of Catan players stop at Loard's Ice Cream on the way to their next tournament. Their coach plans to buy each team member a cone. The shop is stocked with 16 flavors. For cones, having chocolate ice cream as the bottom scoop is different from having chocolate as the top scoop. For bowls, it doesn't matter in what order the scoops are added.
 - If a flavor cannot be chosen more than once, how many possible 3-scoop cones could be ordered?
 - If a flavor can be chosen more than once, how many possible 5-scoop bowls could be ordered?
 - If a flavor cannot be chosen more than once, how many possible 4-scoop bowls could be ordered?

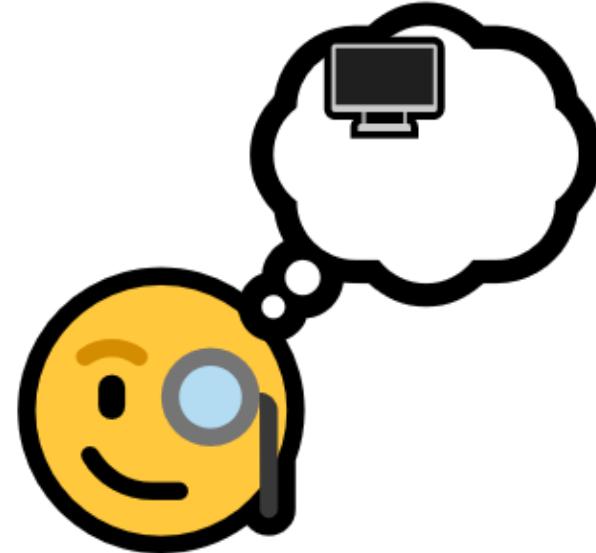
Asymptotics

Outline

- RAM model of computation
- Big-Oh
- Growth rates & dominance
- How-to do "big O" math
- Summations
- Logarithms

Let's make up a machine

- "Random Access Machine" (RAM)
- Gives us a computational model where:
 - Every simple operation (+, -, *, =, if, call, etc) takes 1 "time step"
 - Each memory access takes 1 time step
 - Have as much memory as needed
 - Doesn't matter if memory is in cache or on disk
 - Loops/subroutines are *not* simple operations



RAM Model

- This is an abstract machine that helps us assess algorithm performance.
- Lets us evaluate algorithms machine-independently.



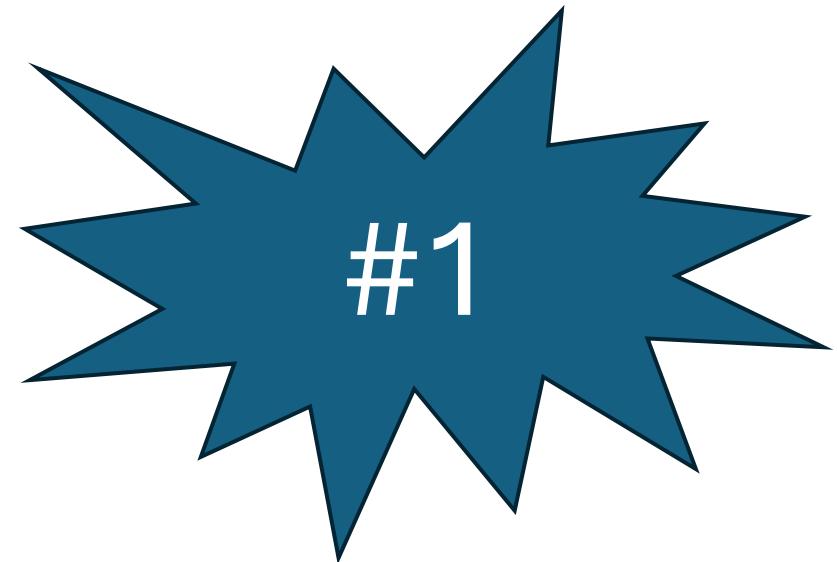
RAM model



- Counts the steps taken, the amount of *time* taken to complete operations
- Machine-independent
- Language-independent

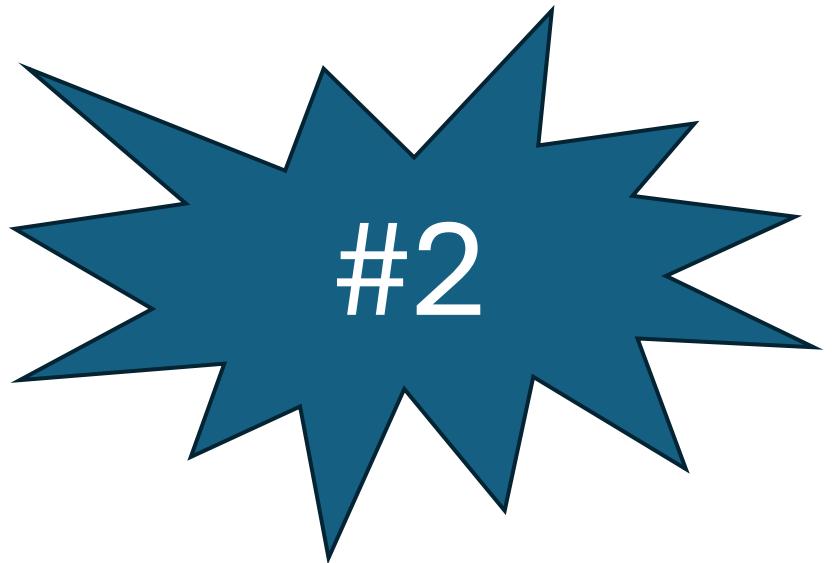
How many instructions are completed?

```
int[] a = {1, 2, 3, 4, 5};  
int total = 0;  
total += a[0];  
total += a[1];  
total += a[2];  
total += a[3];  
total += a[4];  
System.out.println(total);
```



How many instructions are completed?

```
a = [1,2,3,4,5]
total = 0
for x in a:
    total += x
print(total)
```

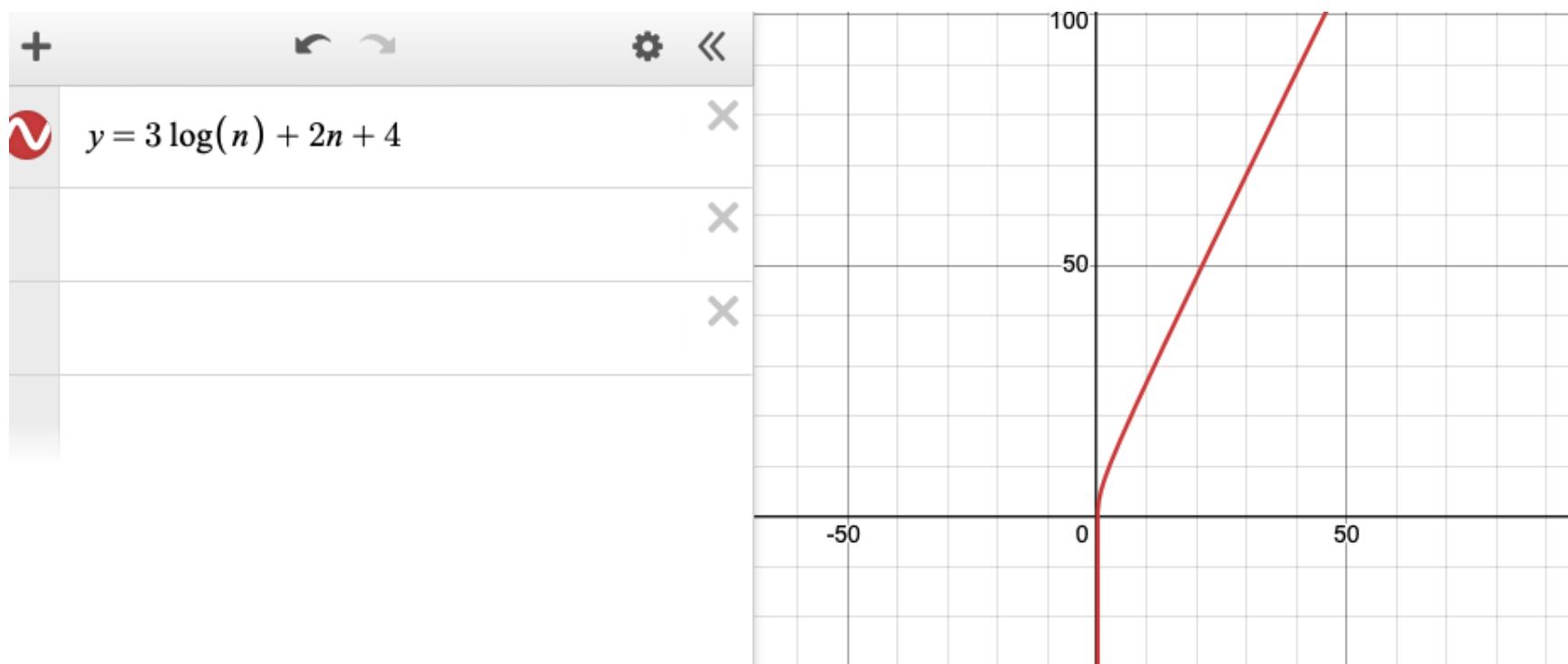


How many instructions done generally?

- Write as an equation: ex. $f(n) = 3n^2 + 100n + 6$
 - $f(n)$ or $T(n)$ often used
 - T = time
- Can simulate with any input – concrete instances
- But we want to generalize it.
 - How does our algorithm work under any possible circumstances?
- Best-case: minimum possible number of steps
- Worst-case: maximum possible number of steps
- Average-case: average number of possible steps

That equation is a function

- Can plug it in to desmos



That equation is a function

- Positive X-axis: size of data
 - Doesn't make sense to have 0 or negative data
- Positive Y-axis: number of steps the algorithm takes based on the size of the data input
- We also care about its *long-term behavior*



That equation is a function

- Long-term behavior = limits!
- But Calculus I is not a prerequisite for this course.
- We have another way to express this.

Search for 2 other functions

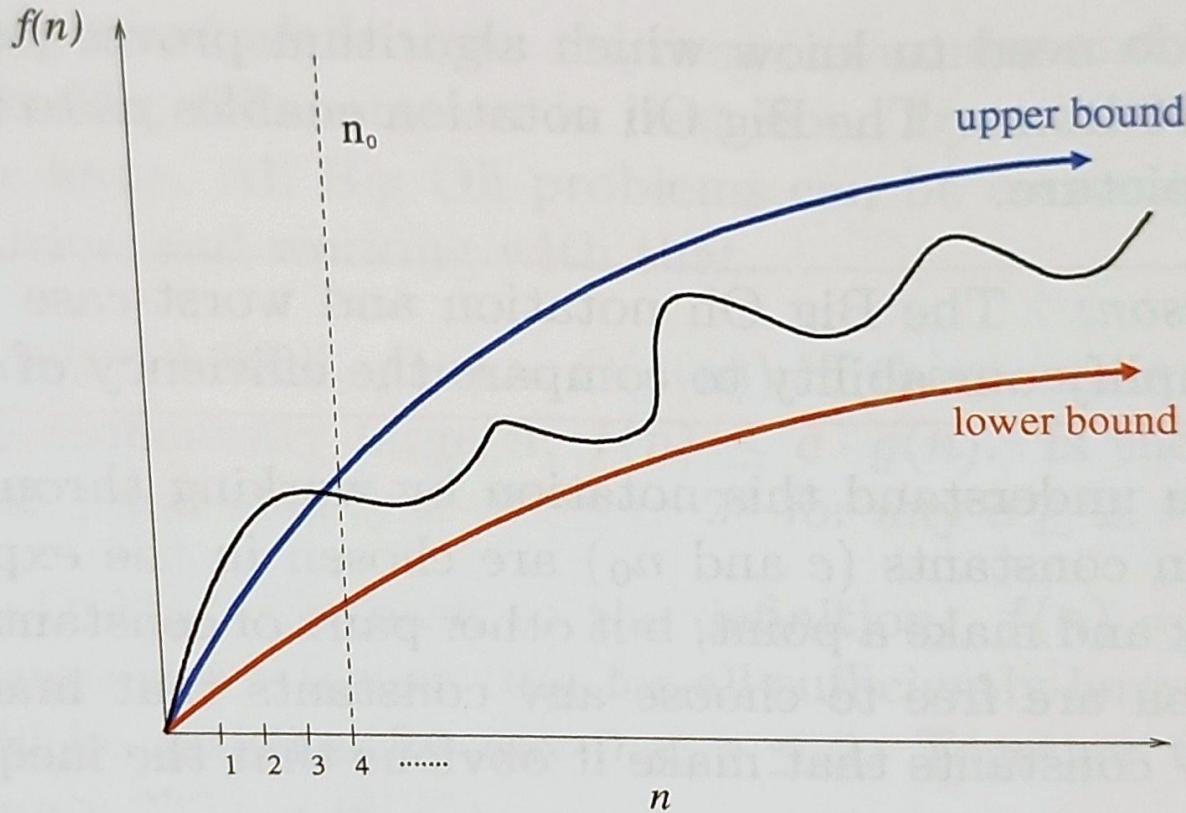


Figure 2.2: Upper and lower bounds valid for $n > n_0$ smooth out the behavior of complex functions.

Search for 2 other functions

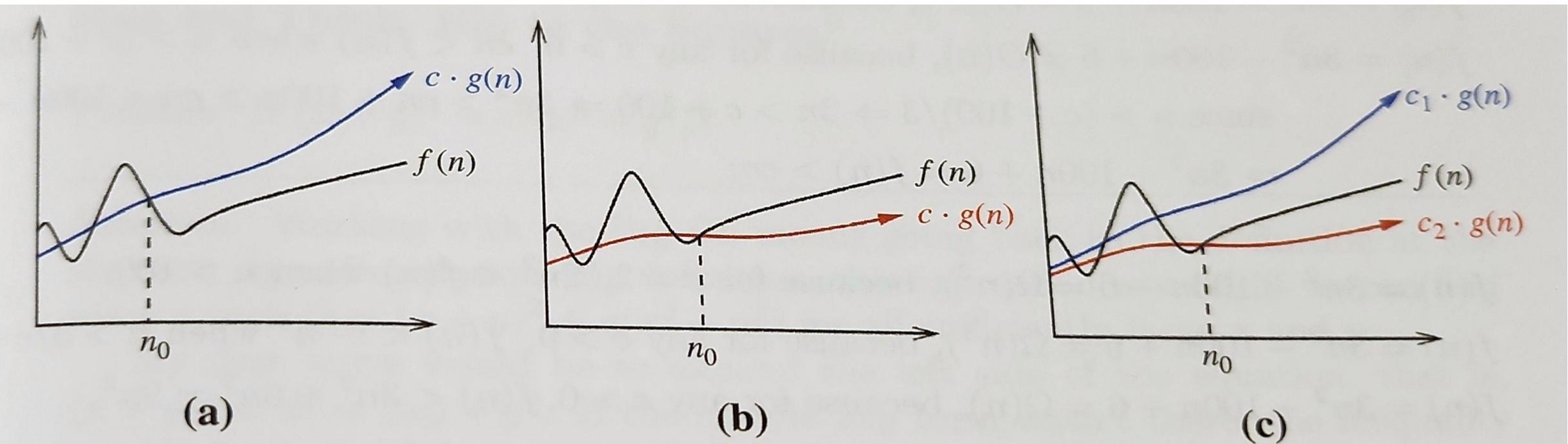


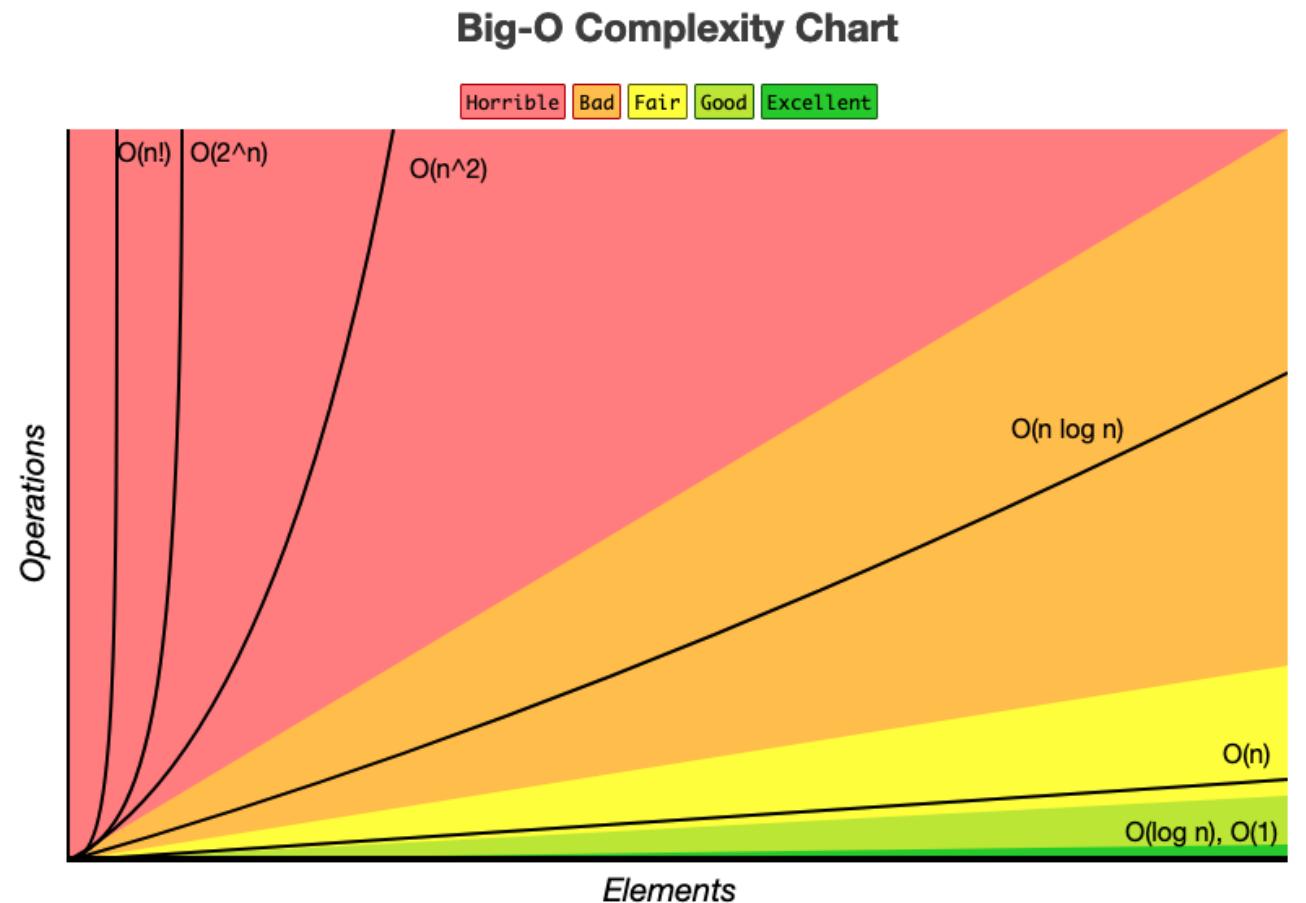
Figure 2.3: Illustrating notations: (a) $f(n) = O(g(n))$, (b) $f(n) = \Omega(g(n))$, and (c) $f(n) = \Theta(g(n))$.

Why we're doing this

- Once we write the time function for a given algorithm,
- It could be unpredictable
- Hard to "eyeball" what's good:
 - $f(n) = 3n^2 - 100n + 6$
 - $f(n) = 2^{n+1}$
 - $f(x, y) = x^2 + y^2$
 - $T(n) = 1275n^2 + 4353n + 834\log_2 n + 13546$
- We like to specify what "bucket" an algorithm is in

Specifying a bucket

- Something that roughly looks like...



Specifying a bucket

- We're going to use symbols to mean worst/best/average.
 - O - worst
 - Ω – best
 - Θ - average
- We find a simplified bucket to assign to the function.
- That goes in parentheses after the symbol.
 - Ex: $O(n \log n)$
- These symbols *look* like functions, but they're statements.
 - That means no $O(x) = n$. Just write " $O(n)$ ", or "Big-O is n ".

Upper bound

- $f(n) = O(g(n))$ when there exists some constant c such that $f(n) \leq c \cdot g(n)$. Then we can say
 - $c \cdot g(n)$ is an *upper bound* on $f(n)$.
- A function $g(n)$ is a worst-case (upper) bound for $f(n)$
 - When we can choose a constant c
 - And look at $c * g(n)$
 - And pick *values* of n for where $c * g(n)$ is bigger than $f(n)$
 - As n increases

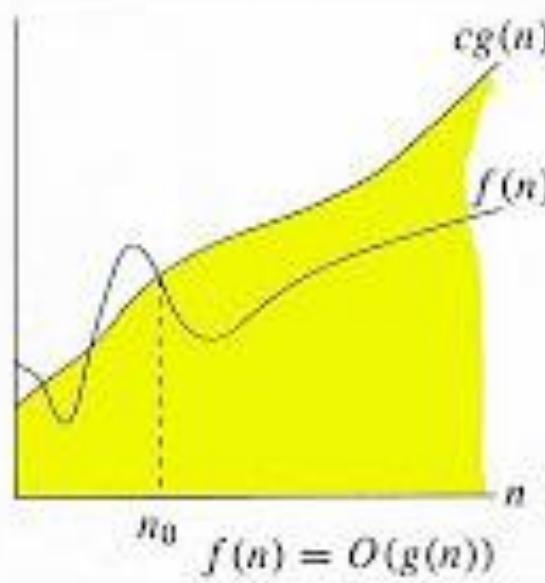
Lower bound

- $f(n) = \Omega(g(n))$ when there exists some constant c such that $f(n) \geq c \cdot g(n)$. Then we can say
 - $c \cdot g(n)$ is a *lower bound* on $f(n)$.
- A function $g(n)$ is a best-case (lower) bound for $f(n)$
 - When we can choose a constant c
 - And look at $c * g(n)$
 - And pick *values* of n for where $c * g(n)$ is smaller than $f(n)$
 - As n increases

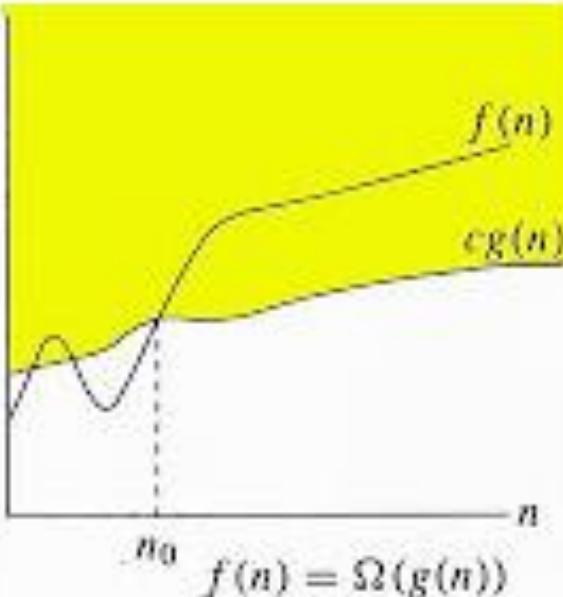
Average-case bound

- $f(n) = \Theta(g(n))$ when there exists some c_1 and c_2 such that $c_1 \cdot g(n) \geq f(n)$ and $c_2 \cdot g(n) \leq f(n)$. Then we can say
 - $g(n)$ is an average-case bound on $f(n)$.
- A function $g(n)$ is an average- case bound for $f(n)$ when:
 - We can find both an upper and lower bound function for $f(n)$
 - A function $g(n)$ is only in Big-Theta for an algorithm when it's BOTH an upper bound AND a lower bound

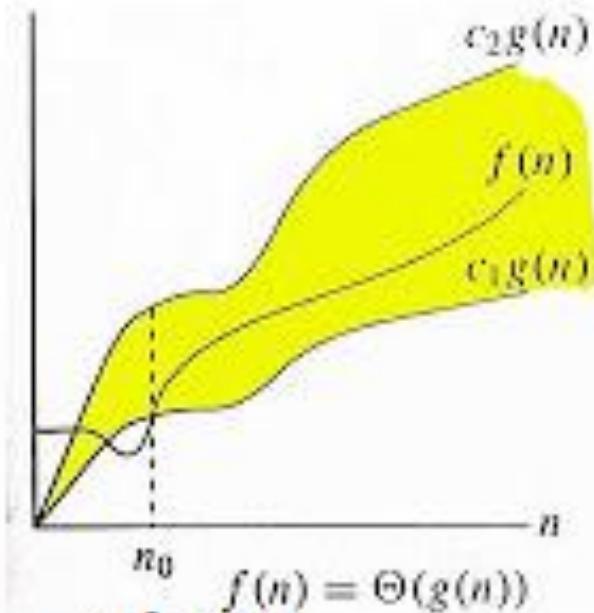
Just different constants multiplied with the function



Big Oh



Omega



Theta

Big-Theta is a set

- Big-Theta is the "average case" function
- So, needs both an upper and lower bounds

Tips and Tricks

- Look at the leading (highest-powered) term.
 - Ex. n^2 or $n \log n$.
 - This is $g(n)$.
- Choose a sufficiently large constant.
 - You've got c .
- Choose a place on the X-axis to start measuring from.
 - This is x_0 , or n_0 , depending on what variable you've called it.
- Plug numbers chosen into Desmos/a calculator.
 - Is the Y-value of $c \cdot g(x_0)$ bigger (resp. smaller) than $f(n)$? Then you can say "The Big-O (resp. Big-Omega) of $f(n)$ is $g(n)$ ", or just write $O(g(n))$
 - Do that twice, once for Big-O, once for Big-Omega, and you get Big-Theta.

Problems

- What is the worst-case ($O(n)$), best-case ($\Omega(n)$), and average-case ($\Theta(n)$) for the following functions?
 - $f(n) = 3n^2 - 100n + 6$
 - $f(n) = 2^{\{n+1\}}$
 - $f(n) = x^2 + y^2$
 - $T(n) = 1275n^2 + 4353n + 834\log_2 n + 13546$

$$f(n) = 3n^2 - 100n + 6$$

$$f(n) = 3n^2 - 100n + 6$$

$$f(n) = 3n^2 - 100n + 6$$

$$f(n) = 2^{n+1}$$

Growth Rates & Dominance Relations

- $f(n) = 0.001n^2$ vs. $g(n) = 1000 n^2$
- $g(n)$ is a million times larger
- But $O(f(n)) = O(g(n)) = n^2$
 - What gives?

n	$\lg n$	n	$n \lg n$	n^2	2^n	$n!$
10	0.003 μ s	0.01 μ s	0.033 μ s	0.1 μ s	1 μ s	3.63 ms
20	0.004 μ s	0.02 μ s	0.086 μ s	0.4 μ s	1 ms	77.1 years
30	0.005 μ s	0.03 μ s	0.147 μ s	0.9 μ s	1 sec	8.4×10^{15} yrs
40	0.005 μ s	0.04 μ s	0.213 μ s	1.6 μ s	18.3 min	
50	0.006 μ s	0.05 μ s	0.282 μ s	2.5 μ s	13 days	
100	0.007 μ s	0.1 μ s	0.644 μ s	10 μ s	4×10^{13} yrs	
1,000	0.010 μ s	1.00 μ s	9.966 μ s	1 ms		
10,000	0.013 μ s	10 μ s	130 μ s	100 ms		
100,000	0.017 μ s	0.10 ms	1.67 ms	10 sec		
1,000,000	0.020 μ s	1 ms	19.93 ms	16.7 min		
10,000,000	0.023 μ s	0.01 sec	0.23 sec	1.16 days		
100,000,000	0.027 μ s	0.10 sec	2.66 sec	115.7 days		
1,000,000,000	0.030 μ s	1 sec	29.90 sec	31.7 years		

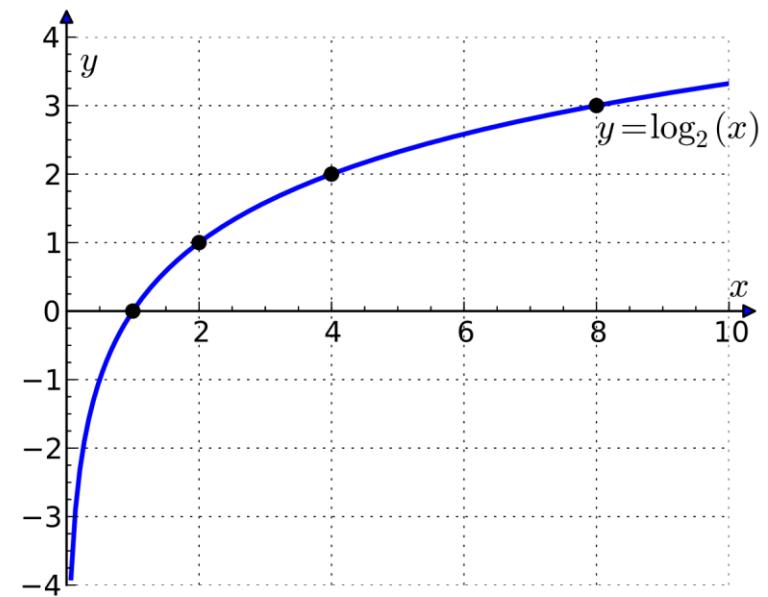
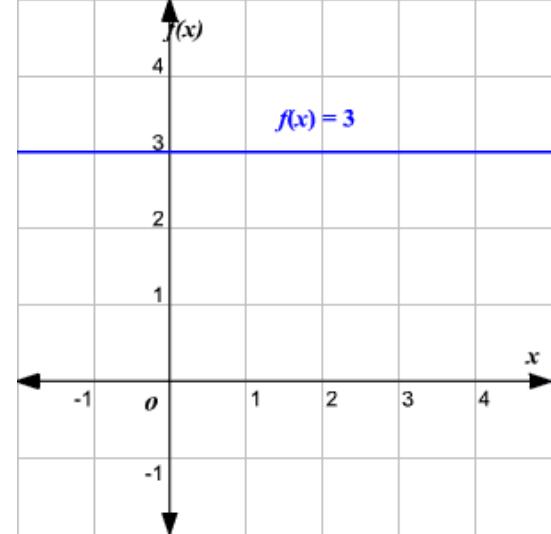
Figure 2.4: Running times of common functions measured in nanoseconds. The function $\lg n$ denotes the base-2 logarithm of n .

Insights

- All algorithms at $n = 10$ take roughly same amount of time
- $n!$ is useless when $n \geq 20$
- 2^n not good for $n > 40$
- n^2 ok up to 10,000, but bad for $n > 1,000,000$
- Linear, $n \log n$ fail at 1 billion
- $O(\log n)$ good pretty much forever

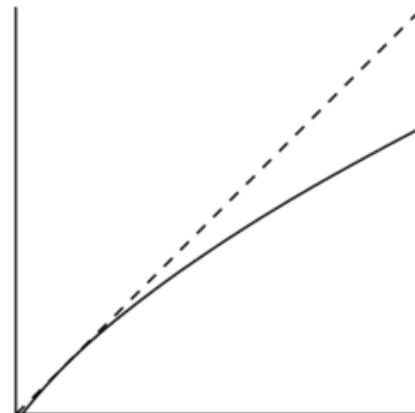
Classes of functions

- Constant functions, $f(n) = 1$
 - Adding two numbers
 - Print "The Star Spangled Banner"
 - Find the minimum of n and 100
- Logarithmic functions, $f(n) = \log n$
 - Binary search
 - Also called sublinear



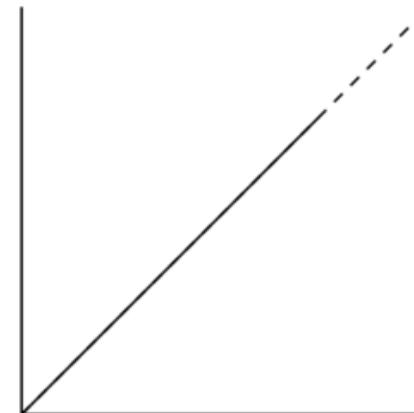
Classes of functions

- Linear functions, $f(n) = n$
 - Looking at each item once in an n -element array
 - Find the biggest/smallest
 - Compute average value
- Superlinear functions, $f(n) = n \log n$
 - Quicksort
 - Mergesort



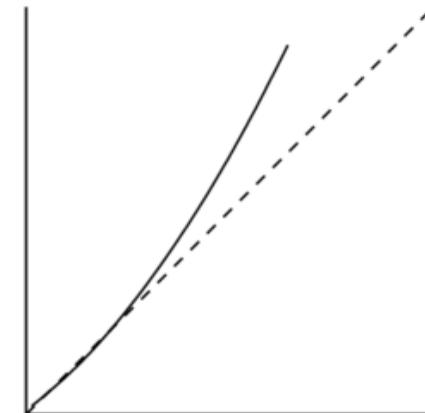
sublinear

(a)



linear

(b)

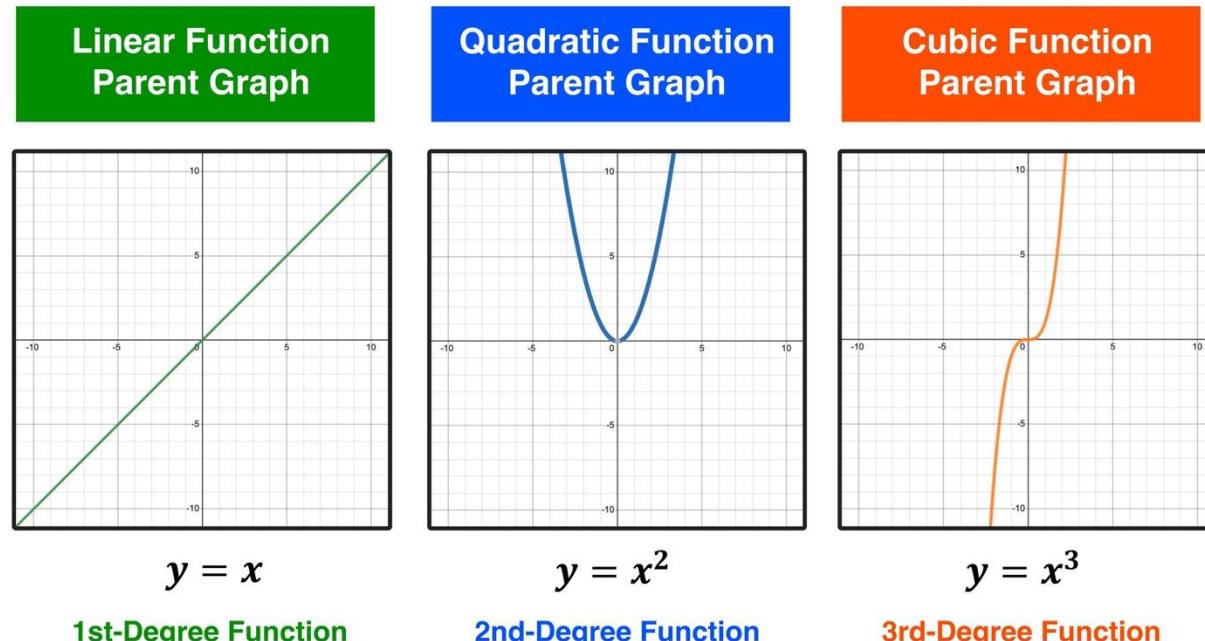


superlinear

(c)

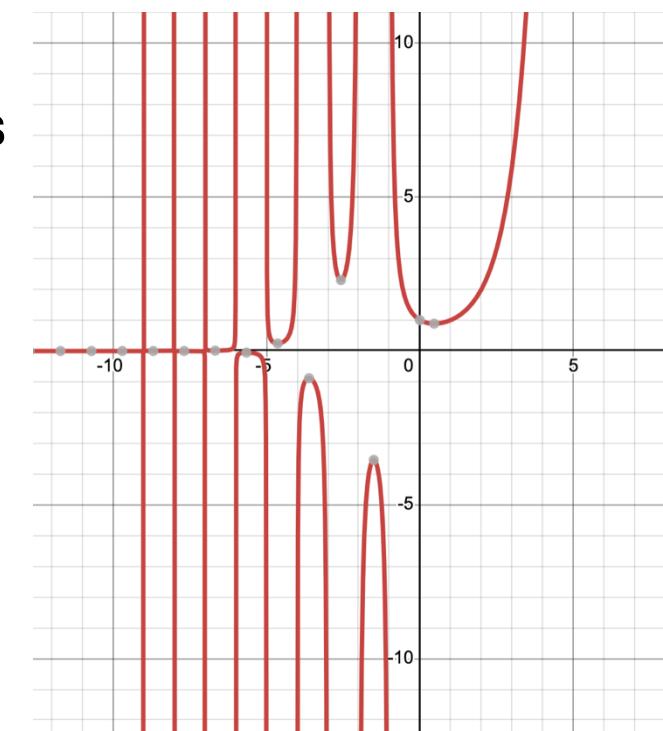
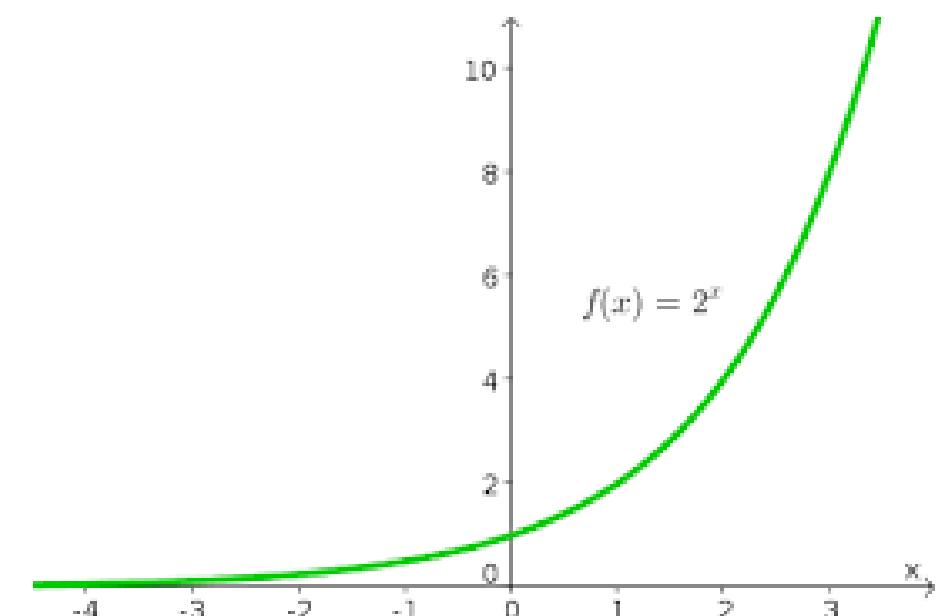
Classes of functions

- Quadratic functions, $f(n) = n^2$
 - Look at all pairs of items
 - Insertion, selection sorts
- Cubic functions, $f(n) = n^3$
 - Enumerating all triples
 - Some dynamic programming algorithms



Classes of functions

- Exponential functions, $f(n) = c^n$ given $c > 1$
 - Enumerating all subsets (2^n)
- Factorial functions, $f(n) = n!$
 - Generating all permutations / ordering of items



How they relate

- $n! \gg 2^n \gg n^3 \gg n^2 \gg n \log n \gg n \gg \log n \gg 1$
- $x \gg y$ means "x grows faster than y"

Working with these functions

- Addition:
 - Focus on the biggest term.
- Big-Theta of $n^3 + n^2 + 1 = \Theta(n^3)$
- If $f(n) = O(n^2), g(n) = O(n^2)$, then $f(n) + g(n) = O(n^2)$ too.

Ignore the constants

$$O(c \cdot f(n)) \rightarrow O(f(n))$$

$$\Omega(c \cdot f(n)) \rightarrow \Omega(f(n))$$

$$\Theta(c \cdot f(n)) \rightarrow \Theta(f(n))$$

Multiplying by a constant
does not change the
asymptotics.

$$O(f(n)) \cdot O(g(n)) \rightarrow O(f(n) \cdot g(n))$$
$$\Omega(f(n)) \cdot \Omega(g(n)) \rightarrow \Omega(f(n) \cdot g(n))$$
$$\Theta(f(n)) \cdot \Theta(g(n)) \rightarrow \Theta(f(n) \cdot g(n))$$

When both functions in a product are increasing, both are important.

This is why nested loops take $O(n^2)$!

Tools we need

- Summations
- Logarithms

Summations

- Help us add things up

$$\sum_{n=1}^n$$

Diagram illustrating the components of a summation symbol:

- last value**: Points to the n above the upper limit of the summation.
- nth term**: Points to the n in the term n .
- Index**: Points to the n in the index $n=1$.
- First value**: Points to the n in the index $n=1$.

Exponents

Zero Exponent

$$a^0 = 1$$

Product Rule

$$a^b \times a^c = a^{b+c}$$

Quotient Rule

$$\frac{a^b}{a^c} = a^{b-c}$$

Power of a Power

$$(a^b)^c = a^{bc}$$

Power of a Product

$$(ab)^c = a^c b^c$$

Negative Exponent

$$a^{-b} = \frac{1}{a^b}$$

Logarithms

- Repeated divisions.

$$y = \log_b(x)$$

$$b^y = x$$