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Draft SMPTE Recommended Practice

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**Proposed SMPTE Recommended
Practice**

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Foreword

SMPTE (the Society of Motion Picture and Television Engineers) is an internationally-recognized standards developing organization. Headquartered and incorporated in the United States of America, SMPTE has members in over 80 countries on six continents. SMPTE's Engineering Documents, including Standards, Recommended Practices, and Engineering Guidelines, are prepared by SMPTE's Technology Committees. Participation in these Committees is open to all with a bona fide interest in their work. SMPTE cooperates closely with other standards-developing organizations, including ISO, IEC and ITU.

SMPTE Engineering Documents are drafted in accordance with the rules given in its Standards Operations Manual. This SMPTE Engineering Document was prepared by Technology Committee TC-32NF-80 DG ST 2059-2 PTP Device Monitoring.

Normative text is text that describes elements of the design that are indispensable or contains the conformance language keywords: "shall", "should", or "may". Informative text is text that is potentially helpful to the user, but not indispensable, and can be removed, changed, or added editorially without affecting interoperability. Informative text does not contain any conformance keywords.

All text in this document is, by default, normative, except: the Introduction, any section explicitly labeled as "Informative" or individual paragraphs that start with "Note:"

The keywords "shall" and "shall not" indicate requirements strictly to be followed in order to conform to the document and from which no deviation is permitted.

The keywords "should" and "should not" indicate that, among several possibilities, one is recommended as particularly suitable, without mentioning or excluding others; or that a certain course of action is preferred but not necessarily required; or that (in the negative form) a certain possibility or course of action is deprecated but not prohibited.

The keywords "may" and "need not" indicate courses of action permissible within the limits of the document.

The keyword "reserved" indicates a provision that is not defined at this time, shall not be used, and may be defined in the future. The keyword "forbidden" indicates "reserved" and in addition indicates that the provision will never be defined in the future.

A conformant implementation according to this document is one that includes all mandatory provisions ("shall") and, if implemented, all recommended provisions ("should") as described. A conformant implementation need not implement optional provisions ("may") and need not implement them as described.

Unless otherwise specified, the order of precedence of the types of normative information in this document shall be as follows: Normative prose shall be the authoritative definition; Tables shall be next; then formal languages; then figures; and then any other language forms.

Introduction

This section is entirely informative and does not form an integral part of this Engineering Document.

This Recommended Practice provides a data set for the monitoring of parameters associated with the SMPTE ST 2059-2:2021¹⁵ profile of IEEE Std 1588™-2019 Precision Time Protocol (PTP) in Professional Broadcast Applications.

PTP systems have several equipment elements such as Grandmaster Clock sources that may be locked to a Global Navigation Satellite System (GNSS), Boundary and Transparent Clock PTP aware switches and routers, and PTP Follower devices that are frequency or phase locked to a PTP source. PTP Follower devices include all of equipment associated with production, contribution, distribution, and broadcast, that are ultimately synchronized to the Primary PTP clock source.

The commissioning, and operational monitoring of these complex systems with such a diverse range of devices using PTP, can be simplified if PTP related information is reported in a consistent manner across the entire network.

Data Models have previously been specified by standardization bodies and equipment suppliers in Management Information Bases (MIBs). These MIBs are typically focused on retrieval of state data using Simple Network Management Protocol (SNMP) developed in the late 1980's.

Some service providers and applications now require that the management of the PTP synchronization network be flexible and more Internet based. This has led the IETF to develop the Network Configuration Protocol NETCONF specified in RFC 6241.

YANG (Yet Another Next Generation) is a data modeling language defined in IETF RFC 7950 and maintained by the IETF NETMOD working group. It is used to model configuration data, state data, remote procedure calls, and notifications for network management protocols such as NETCONF and RESTCONF. YANG can be used to define the format of status information, event notifications and telemetry from end points and network elements.

YANG Data Models have been defined by the IETF for a wide range of applications including Precision Time Protocol, System Management, Network Topologies, Routing Information, and Network Address Translation. It has also been included in work by the IEEE Std 802.3.2™-2019 "IEEE Standard for Ethernet YANG Data Model Definitions", Cablelabs "Data-over-cable Service Interface Specification" CM-SP-R-OSSI-I13-190828 Annex C, and the Broadband Forum YANG models for Access Networks (TR-383) and Passive Optical Networks (TR-385)

At the time of publication, no notice had been received by SMPTE claiming patent rights essential to the implementation of this Engineering Document. However, attention is drawn

to the possibility that some of the elements of this document may be the subject of patent rights. SMPTE shall not be held responsible for identifying any or all such patent rights.

1 Scope

This Recommended Practice defines a YANG Data Model for SMPTE ST 2059-2 “SMPTE Profile for Use of IEEE-1588 Precision Time Protocol in Professional Broadcast Applications”. The Data Model is an adaption of IETF RFC 8575, “YANG Data Model for the Precision Time Protocol (PTP)” and conforms to the Network Management Datastore Architecture (NMDA) defined in IETF RFC 8342.

The additions to RFC 8575 in this YANG Data Model specify only state of the data set for monitoring purposes and do not include specifications for notifications or configuration. These additions include data sets as specified in IEEE Std 1588™-2019, SMPTE ST 2059-2, IETF RFC 8173 “Precision Time Protocol Version 2 (PTPv2) Management Information Base”, and data sets related to the GNSS.

The Data Model provides a data set of parameters that are directly linked to published standards or published documents from technology providers, for example: widely adopted supplier of GNSS devices that is likely to be used in a system using the SMPTE ST 2059-2 PTP profile,

The Data Model assumes that receiving devices will subsequently parse and interpret the data that has been provided and makes no assumptions as to what subsequent processing will be conducted or is required.

This document does not define a communication model or transport mechanism for the Data Model.

2 Normative References

The following standards contains provisions that, through reference in this text, constitute provisions of this standard. Dated references require that the specific edition cited shall be used as the reference. Undated citations refer to the edition of the referenced document (including any amendments) current at the date of publication of this document. All standards are subject to revision, and users of this engineering document are encouraged to investigate the possibility of applying the most recent edition of any undated reference.

IETF RFC 7950, Bjorklund M., “The YANG 1.1 Data Modeling Language”, DOI: 10.17487/RFC7950, August 2016, <https://www.rfc-editor.org/info/rfc7950>

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IETF RFC 8342, Bjorklund M., Schoenwaelder J., Shafer P., Watsen K., Wilton R., "Network Management Datastore Architecture (NMDA)", DOI: 10.17487/RFC8342, March 2018, <http://www.rfc-editor.org/info/rfc8342>

IEEE Std 1588™-2008, "IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems", July 2008.

IEEE Std 1588™-2019 (Revision of IEEE Std 1588-2008), "IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems", November 2019.

IETF RFC 8575, Jiang Y., Liu X., Xu J., Cummings R., "YANG Data Model for the Precision Time Protocol (PTP)" DOI 10.17487/RFC8575, May 2019, <https://www.rfc-editor.org/info/rfc8575>

IETF RFC 8340, Bjorklund M., Berger L., "YANG Tree Diagrams" DOI 10.17487/RFC8340, March 2018, <https://www.rfc-editor.org/info/rfc8340>

SMPTE ST 2059-2:2021~~15~~, "SMPTE Profile for Use of IEEE-1588™ Precision Time Protocol in Professional Broadcast Applications".

IETF RFC 8173, Shankarkumar V., Montini L., Frost T., Dowd G., "Precision Time Protocol Version 2 (PTPv2) Management Information Base", DOI 10.17487/RFC8173, June 2017, <https://www.rfc-editor.org/info/rfc8173>

IETF RFC 6241, Enns, R., Bjorklund, M., Schoenwaelder J., Bierman A., "Network Configuration Protocol (NETCONF)", DOI 10.17487/RFC6241, June 2011, <https://www.rfc-editor.org/info/rfc6241>

IETF RFC Bierman, A., Bjorklund, M., K. Watsen, "RESTCONF Protocol", DOI 10.17487/RFC8040, January 2017, <https://www.rfc-editor.org/info/rfc8040>

GPSD GPS service daemon, Raymond, E., Kuethe. C., Miller, G., et al., <https://gpsd.gitlab.io/gpsd/index.html>

3 Terms and Definitions

For the purposes of this document, the following terms and definitions apply:

3.1. Data Model

abstract model that organizes elements of data and standardizes how they relate to one another and to the properties of real-world entities

3.2. IEEE

Institute of Electrical and Electronics Engineers. ~~This is a~~ professional association and Standards Development Organization.

3.3. IETF

Internet Engineering Task Force.

~~a~~ large open international community of network engineers concerned with the evolution of the Internet architecture and the smooth operation of the Internet.

3.4. GNSS

Global Navigation Satellite System

~~satellite system~~ that provides autonomous geo-spatial positioning with global coverage

3.5. GPSD

Global Positioning System (GPS) service ~~d~~daemon

~~software service daemon~~ that monitors one or more GPS receivers attached to a host computer through serial or USB ports

3.6. Grandmaster Clock

clock within a PTP domain that is the ultimate source of time for clock synchronization using the Precision Time Protocol as defined in IEEE Std 1588-2019 clause 3.1.17

3.7. PTP

Precision Time Protocol as defined in IEEE Std 1588-2019

3.8. PTP Follower

a PTP instance as defined in IEEE Std 1588-2019 clause 3.1.72

3.9. PTP Leader

a PTP instance as defined in IEEE Std 1588-2019 clause 3.1.32

3.10. UTC

Coordinated Universal Time

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Timescale maintained by the Bureau International des Poids et Mesures (BIPM) and the International Earth Rotation and Reference Systems Service (IERS), which forms the basis of a coordinated dissemination of standard frequencies and time signals

It corresponds exactly in rate with International Atomic Time but differs from it by an integral number of seconds.

[Source: IEC 60050-713, item 713-05-20]

3.11. YANG

Yet Another Next Generation data modeling language maintained by the IETF NETMOD working group, for the definition of data sent over network management protocols such as NETCONF and RESTCONF

4 YANG Data Model

Equipment conforming to this Recommended Practice shall use the Parameter Set defined in the YANG Data Model `urn:example:smppte.yang:rp-2059-15-202x`

The YANG Data Model defined by this Recommended Practice can be found at <https://github.com/SMPTE/rp-2059-15-202x/rp-2059-15-202x-PCD21.yang>

SHA256-Checksum:

~~3FD276EDB810DC0B4FD6CB40140EB57F4CCEA41C78D87DB1FFA6CF5FF4CF920D2E1B~~
~~DFE3CC518C74484E6CFF4EEBC41032463FD4087A61146553D7E0DE9B0E7B~~

It is not a requirement to implement the entire Parameter Set, implementers may choose to use any part of the Data Model.

Some sections of the Data Model contain parameters with both read (monitoring) and write (configuration or control) capability. Implementations conforming to this Recommended Practice are only required to implement the read or monitoring parts of the Data Model.

An informative overview of the high-level structure of the Data Model can be found in Annex A.

A simplified YANG tree diagram representation of the YANG Data Model defined by this Recommended Practice, conforming to IETF RFC 8340, can be found at <https://github.com/SMPTE/rp-2059-15-202x/rp-2059-15-202x-PCD21-yangtree.txt>

SHA256-Checksum:

AA7BB570B27785E239C9F7C0F5BCB2103B858FA646BAC3AADE7CFAABABA8F9D8

5 Message Timestamps

Messages containing Parameter Sets conforming to the Recommended Practice shall use a Timestamping Clock conforming to IEEE Std 1588-2019 clause 7.3.4.3

Field Code Changed

Field Code Changed

Egress messages conforming to this Recommended Practice shall have an egress Timestamp that uses the Local PTP Clock of the sending device that pertains to that message. The PTP Timestamp shall be a decimal representation of IEEE Std 1588-2019 clause 5.3.3

It is recommended that messages are timestamped by the receiving device at ingress with a timestamp using a Clock that is independent of the PTP system that is being monitored.

6 Communication Model

This Recommended Practice does not define a Communication Model. Implementations may use any method for the communication of the Parameter Set defined in the Data Model of this Recommended Practice. In conjunction with YANG Data Models, IETF RFC 6241 Network Configuration Protocol (NETCONF) and IETF RFC 8040 (RESTCONF) are frequently used network management communication model for such applications.

In case where a non-supported parameter is queried, a device conforming to this recommendation shall return a response for the queried parameter with an empty field containing no value. Doing so will prevent assumptions such as a communications issue. If a random, otherwise assigned, or reserved value is used this could lead to misinterpretation of the state of the queried field.

7 Performance Monitoring Measurement Periods

Performance Monitoring data defined in IEEE Std. 1588-2019 Annex J is captured and stored as a list of records. The data collection interval is a single record every 15 minutes and a single record every 24 hours. The start of such an interval is equal to the end of the previous interval.

Equipment conforming to this Recommended practice shall ensure that:

- UTC time shall be used to create the performance monitoring window timestamps.
- The UTC time shall be synthesized from PTP time plus the PTP Announce Message Offset Field as determined by the reporting device.
- The 15-minute intervals shall be aligned with the quarter of an hour, i.e. 00:00, 15:00, 30:00 45:00 UTC synthesized from PTP time.
- The 24-hour interval shall start at ~~beginning-of-day~~midnight (00:00:00) UTC synthesized from PTP time.

8 YANG Timestamp

The pm-time leaf in the performance-monitoring-ds container has the type yang:timestamp. The accompanying description indicates that the Epoch of the timestamp is to be defined by the implementation.

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The YANG timestamp conforming to this Recommended Practice shall be initialized on device boot-up. It shall be locked to the local device frequency source used to derive device system time (local time), shall increment every 10 milliseconds.

Note: the YANG timestamp can be used in conjunction with the Message Timestamps defined in section five. The Message Timestamps will be discontinuous when PTP lock is acquired or re-acquired after a hold-over event, and under normal operation the YANG Timestamp will wrap every 437 days. As it is unlikely that the two timestamps will be discontinuous simultaneously, the combination of the two can be used to provide continuity of sequencing of events or measurement records.

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Annex A (Informative) Data Model Structure

At a very high level the Data Model module is made up of the following constructs:

1. module name, namespace definition, description and revision information
2. typedef definitions used within the Data Model
3. grouping definitions used within the Data Model
4. feature definitions used within the Data Model
 - unicast-negotiation
 - unicast-discovery
 - performance-monitoring
 - *gnss-monitoring
 - *st-2059-monitoring
 - *grandmaster-monitoring
 - *rfc-8173
5. containers – that contain child nodes that define the data sets (ds) of the Data Model
 1. ptp (read-write)
 2. *gnss-monitoring-ds (read only data set)
 3. *ptp-smptest-2059-2-monitoring-ds (read only data set)
 4. *grandmaster-monitoring-ds (read only data set)
 5. *rfc-8173-ds (read only data set)

The features and containers marked with a “*” are defined by SMPTE specifically for the monitoring of Professional Broadcast Equipment related to PTP and contain a read only data set.

The feature definitions and the ptp container that are not marked, define generic PTP data sets that are intended for both monitoring and control. This is based on a Data Model that has been developed for submission to future work on a YANG Data Model by the IEEE based on IEEE Std. 1588-2019 in a manner that is compatible with IETF RFC 8575 which in turn is based on IEEE Std. 1588-2008. These data sets are designed for both control and monitoring and are read-write. The features and parameter set have been reduced to match the requirements of the SMPTE ST 2059-2 ptp profile. The retained features have not been amended.

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A.1 Optional YANG Features

A 'feature' is a YANG mechanism that is defined in RFC 7950 clause 5.6.2. It allows a portion of the model to be conditional in a manner that is controlled by the server and is therefore optional.

This mechanism allows the model to express constructs that are not universally present in all servers. If a server supports a YANG feature, then the corresponding portions of the module are valid for that server. If the server does not support the YANG feature, those parts of the module are not valid. Applications can then adapt their behavior to match the YANG features that are supported.

In this document, four optional YANG features have been defined specifically for the monitoring of PTP related aspects of Professional Broadcast Applications and each is associated with their respective YANG container.

A.2 YANG Containers

The definition of the YANG 'container' statement in the RFC 7950 clause 7.5 is that it is "used to define an interior data node in the schema tree." It goes on to say that:

"A container node does not have a value, but it has a list of child nodes in the data tree. The child nodes are defined in the container sub-statements."

"YANG supports two styles of containers, those that exist only for organizing the hierarchy of data nodes and those whose presence in the data tree has an explicit meaning"

The Data Model possesses five container nodes at the top level of the hierarchy:

A.2.1 ptp

This container contains all nodes for the PTP data sets. The parameters within apply to any PTP instance in a product or PTP node, be it a PTP Ordinary Clock, Boundary Clock, Transparent Clock, Leader or Follower.

Parameters from RFC 8575 that are no longer supported by IEEE Std. 1588-2019 are retained but have the YANG status of 'deprecated'. RFC 7950 defines 'deprecated' as

“indicating an obsolete definition, but it permits new/continued implementation in order to foster interoperability with older/existing implementations.”

A.2.2 gnss-monitoring-ds

This container provides monitoring access to the set of parameters associated with the GNSS Antenna and satellite lock status and is associated with the gnss-monitoring feature.

This is built on the work of the Open Source Community and includes parameters and structural elements from the GPSD

The following child container sub-statements are defined:

a. **sky-object-ds**: provides a mechanism to describe the

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a. ~~list of satellites in view at a given Time and Date~~ identified by a combination of System ID (constellation) Satellite ID (vehicle) and Signal ID;

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b. ~~The signal strength from the satellite~~

c. ~~The monitoring of the health of the satellite~~

d. ~~The carrier to noise ratio of the signal~~

a.e. ~~The health of the satellite receiver for that satellite~~

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b. ~~satellite-object-ds~~: The signal strength from a satellite and the vehicle ID.

c. ~~constellation-status-ds~~: The monitoring of the health of a satellite within a constellation and the carrier to noise ratio of the signal.

d. ~~satellite-receiver-status-ds~~: The monitoring of the health of the satellite receiver for a given constellation.

e.b. **tvp-object-ds**: Parameters relating to Time, Velocity and Position of the GNSS receiver: latitude, longitude, and altitude.

f.c. **osc-object-ds**: Parameters relating to the status of a GNSS-disciplined oscillator and its estimated time offset between GPS Pulse Per Second (PPS) and the oscillator PPS

g.d. **antenna-status-ds**: Parameters relating to the status of a GNSS reference clock and the status of the main and back-up antenna.

A.2.3 ptp-smptest-2059-2-monitoring-ds

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This container provides monitoring access to the set of parameters associated with SMPTE ST 2059-2 and is associated with the st-2059-monitoring feature.

The leaf and child container sub-statements include:

- a. The reporting of SMPTE PTP Profile Communication Mode being used as defined in SMPTE ST 2059-2:202115 clause 65.10
- b. The reporting of the Variance Measurement sample period as defined in SMPTE ST 2059-2:202115 6.5.45.5.3, IEEE Std 1588-2008 clause 7.6.3.2,
- c. The reporting of parameters associated controlling with the synthesis or generation of SMPTE Time-of-Day Timecode from PTP as defined in SMPTE ST 2059-2:202115 clause 6.125.1.3
- d. Management TLV message counters
- e. Current leader-follower-delay and follower-leader delay

A.2.4 grandmaster-monitoring-ds

This container defines the monitoring of parameters associated with the status of a device designed to be a Primary PTP source typically referred to in Professional Broadcast systems as a Sync Pulse Generator. It is associated with the grandmaster-monitoring feature, and includes the

- a. ~~gm-lock-status~~ as defined in ST 2059-2:202115 clause 6.125.1.3 table 24 ~~gm-master~~ LockingStatus TLV
- b. The GNSS sub-system lock status
- c. External reference locking source and status
- d. Holdover status

A.2.5 rfc-8173-ds

This container defines the monitoring of selected parameters from IETF RFC 8173 PTP MIB and is associated with the rfc-8173 feature. These include the

- a. The number of associated ports: Number of associated PTP Follower sessions for a PTP Leader or the number of PTP Leaders available to a PTP Follower port. IETF RFC 8173 ptpbaseClockPortNumOfAssociatedPorts

- b. A count of the number of packets sent for this clock. IETF RFC 8173
ptpbasedClockRunningPacketsSent
- c. A count of the number of packets received for this clock. IETF RFC 8173
ptpbasedClockRunningPacketsReceived
- d. A count of the number of errored packets associated with the associated port.
- e. The PTP clock profile being used
- f. The clock state of the PTP engine

Annex B Reporting of Implemented Parameters

As specified in section 4 of this Recommended Practice as there is no requirement to implement the entire Parameter Set, implementers may choose to use any part of the Data Model.

It is therefore advantageous to users of this Recommended Practice to have a method of consistently reporting parameters that have been implemented in a way that allows for straightforward comparison between implementations.

The YANG module tree diagram is specified in RFC 8340 and can be automatically generated from the Data Model using the standard YANG tool chain. It can therefore be easily regenerated in a consistent manner. For convenience, the YANG tree diagram is also provided as part of the package associated with this Recommendation.

The YANG tree diagram has the property where each node in a YANG module is printed as: <status>--<flags> <name><opts> <type> <if-features>. The <opts> uses a question mark "?" to indicate an optional leaf, choice, anydata or anyxml. The <if-feature> is printed within curly brackets and a question mark "{...}?" The presence of the question mark "?" can therefore be used as an indication that a member of the data-set is a leaf parameter or feature both of which are of interest for the reporting of implemented parameters.

The method of reporting is to:

- Copy and Paste the YANG tree view text into a Spreadsheet. This will occupy the first column of the spreadsheet, with each line of text occupying a separate row of the spreadsheet.

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- In the adjacent column of the first row, enter the product details and software version.
- Where a row contains parameter terminated by a “?”, if that parameter is supported by an implementation enter “Yes” into the corresponding row of the adjacent column.

An example spreadsheet is provided as part of the package associated with this Recommendation.

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Annex C (Informative) The GNSS Receiver Data Model Structure

A diagrammatic representation of the GNSS Data Model and the grandmaster external reference sources is shown in Figure 1. The Data Model allows for multiple grandmaster instances in a device.

Each grandmaster Instance can derive its clock and/or time source from one or several simultaneous external reference sources including a reference derived from a GNSS Receiver. In the example shown in Figure 1, the gm-current-external-reference-source of the first grandmaster instance is being derived from the first GNSS receiver. The second grandmaster instance is using a 10MHz frequency reference in conjunction with SMPTE timecode.

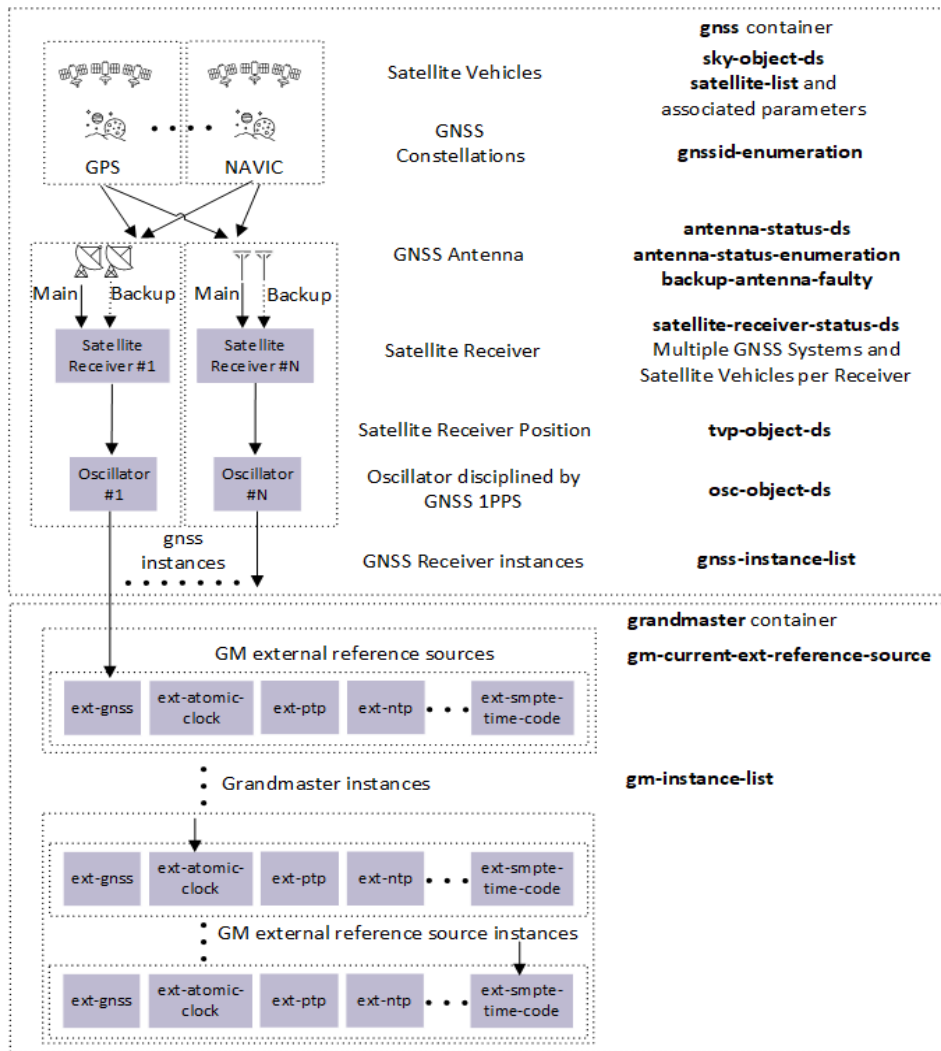


Figure 1

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The Data Model supports one or more instances of a GNSS receiver in a device. Each GNSS instance comprises a main and backup antenna, a satellite receiver and an oscillator that is disciplined by the 1PPS generated by the satellite receiver. Each GNSS instance can report:

- The Satellite Receiver status, the number of vehicles in view and the number of vehicles used in the fix for each GNSS satellite constellation.

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- The status of the antenna system.
- The list of Satellite Vehicles in the skyview identified by a combination of the GNSS System ID (constellation), Satellite ID (vehicle number for that constellation) and Signal ID (frequency band).
- The Signal strength and carrier to noise ratio for each satellite vehicle (SV) and the vehicle overall health.
- The current longitude, latitude, and altitude.

Note: The Data Model makes no assumptions as to the external reference source physical inputs to a device. E.g., SMPTE Timecode could be provided as Vertical Interval Timecode (VITC) carried on either an analog sync reference or a serial digital interface (SDI), or as Linear Timecode (LTC) on a serial communications interface. Where there are multiple physical inputs to a device or a logical mapping from a physical input to the Data Model, then the active physical interface can be signaled via the device API.

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