# Photolithography

# Photolithography Requirements

- High Resolution
- High PR Sensitivity
- Precision Alignment, say within 10% of minimum feature size
- High Repeatability
- Low Defect Density

#### **Photoresist**

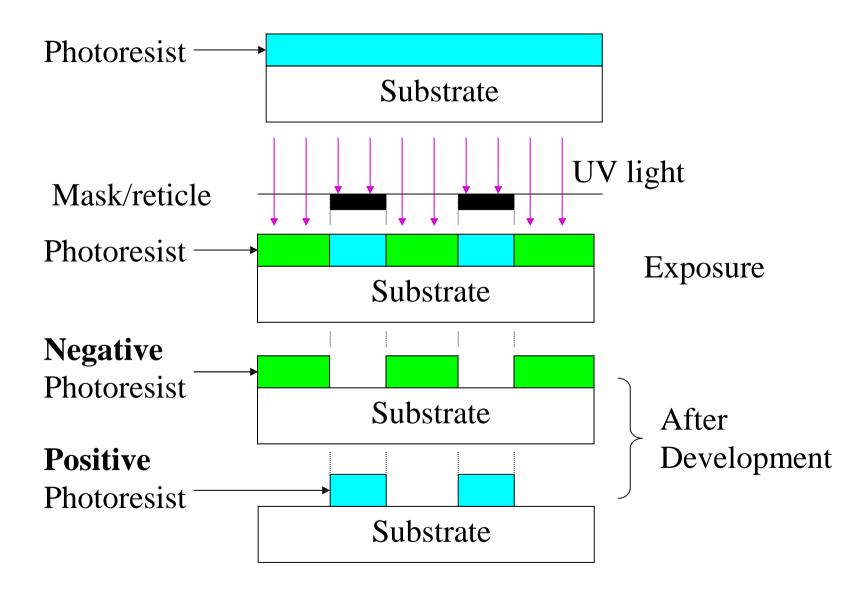
#### **Negative Photoresist**

- Becomes insoluble after exposure
- When developed, the unexposed parts dissolved.
- Cheaper with poor resolution

#### **Positive Photoresist**

- Becomes soluble after exposure, *(photosolubilization)*
- When developed, the exposed parts dissolved
- Expensive with better resolution

# Negative and Positive Photoresists



# Photoresist Composition

- Polymer
- Solvents
- Sensitizers
- Additives

## Polymer

- Solid organic material
- Transfers designed pattern to wafer surface
- Changes solubility due to photochemical reaction when exposed to UV light.
- Positive PR: from insoluble to soluble
- Negative PR: from soluble to insoluble

#### Solvent

- Dissolves polymers into liquid
- Allow application of thin PR layers by spinning
- 75% of PR before spin coating
- Acetate-type solvent for positive PR; xylene  $(C_8H_{10})$  for negative PR

#### Sensitizers

- Controls and/or modifies photochemical reaction of resist during exposure.
- Determines exposure time and intensity

#### Additives

 Various added chemical to achieve desired process results, such as dyes to reduce reflection.

## Requirement of Photoresist

- High resolution
  - Thinner PR film has higher the resolution
  - Thinner PR film, the lower the etching and ion implantation resistance
- High etch resistance
- Good adhesion
- Higher tolerance to process conditions like spin rate, baking temperature and exposure flux

# Photolithography Process

# Basic Steps of Photolithography

- 1. Photoresist coating
- 2. Alignment and exposure
- 3. Development

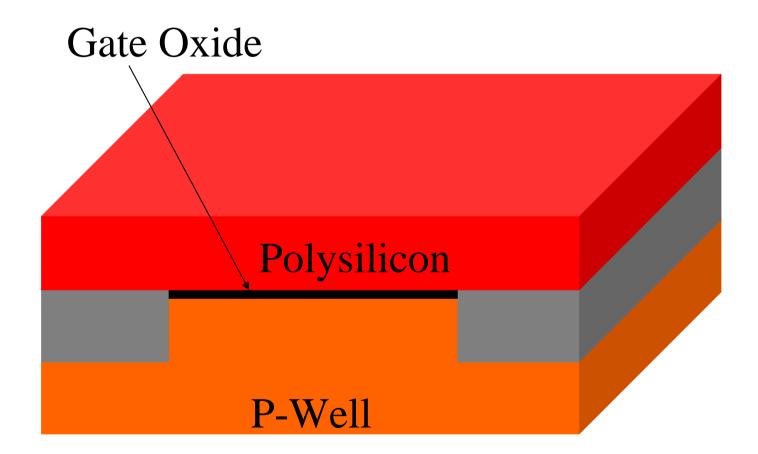
## Basic Steps

- Wafer clean
- Dehydration bake
- Spin coating primer and PR
- Soft bake
- Alignment and exposure
- Development
- Pattern inspection
- Hard bake

PR coating

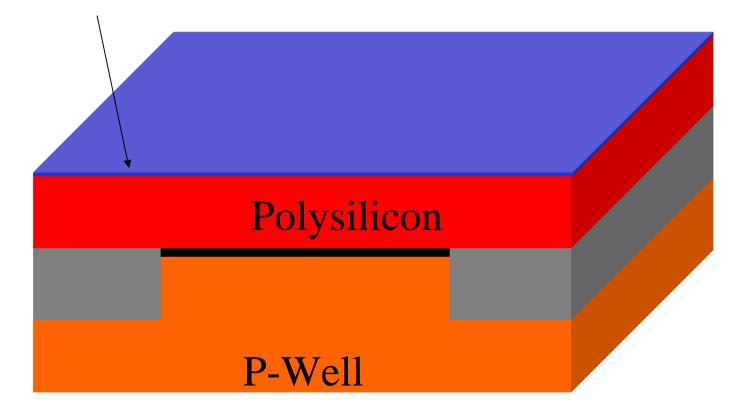
Development

### Wafer Clean

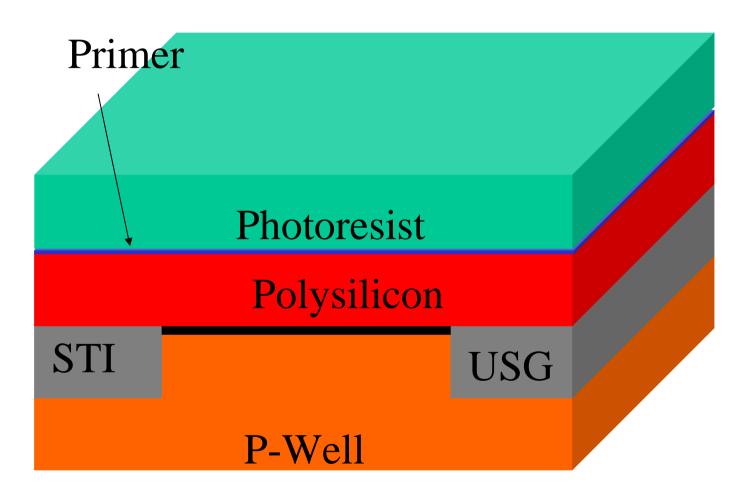


# Pre-bake and Primer Vapor

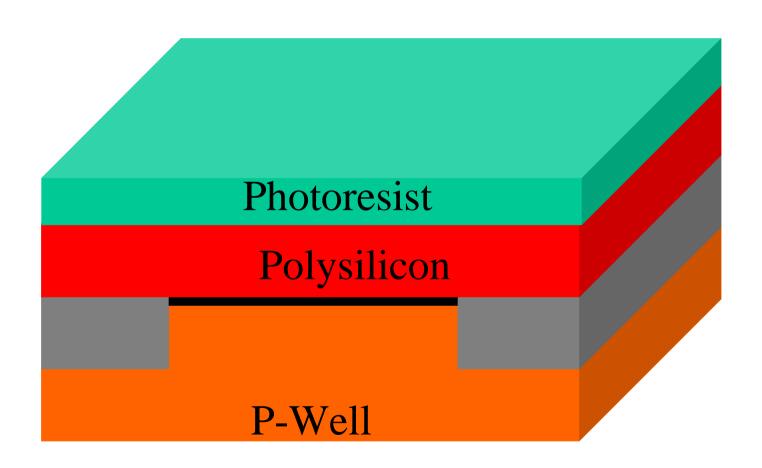
Primer



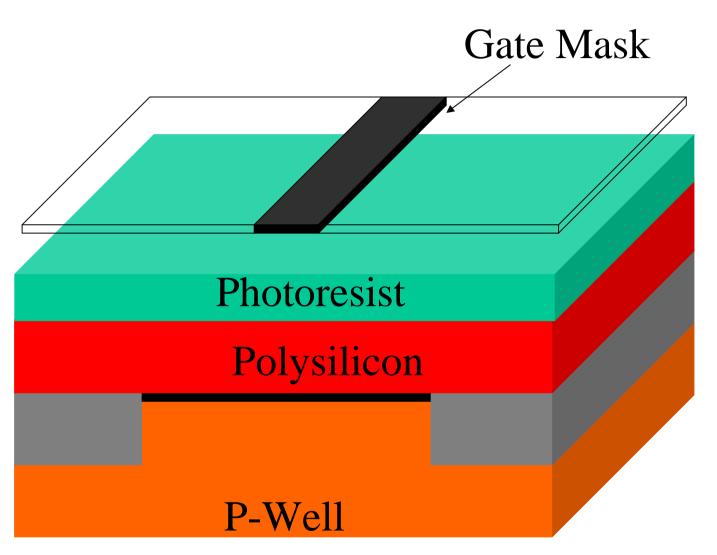
# Photoresist Coating



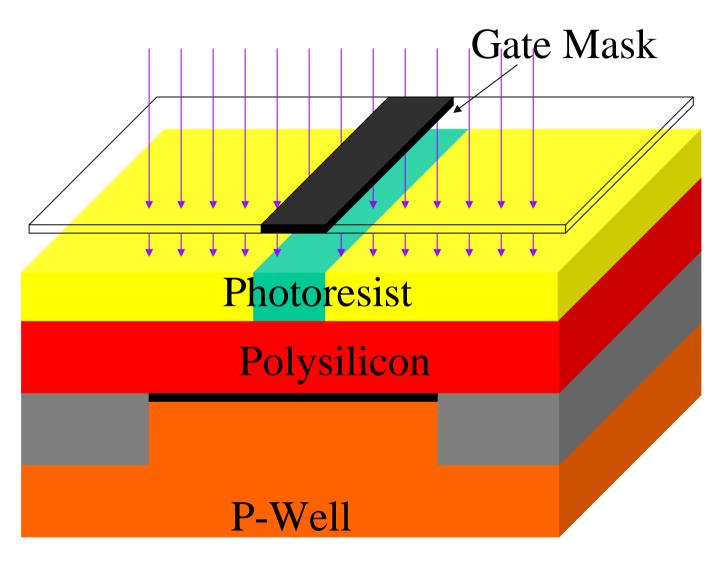
## Soft Bake



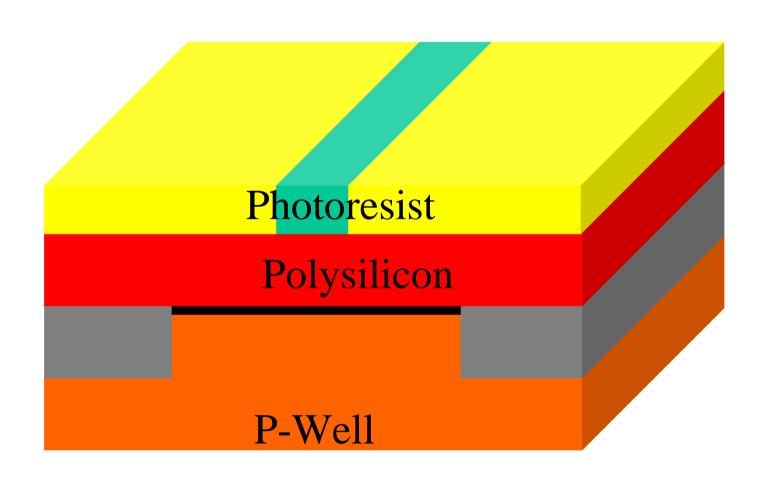
# Alignment and Exposure



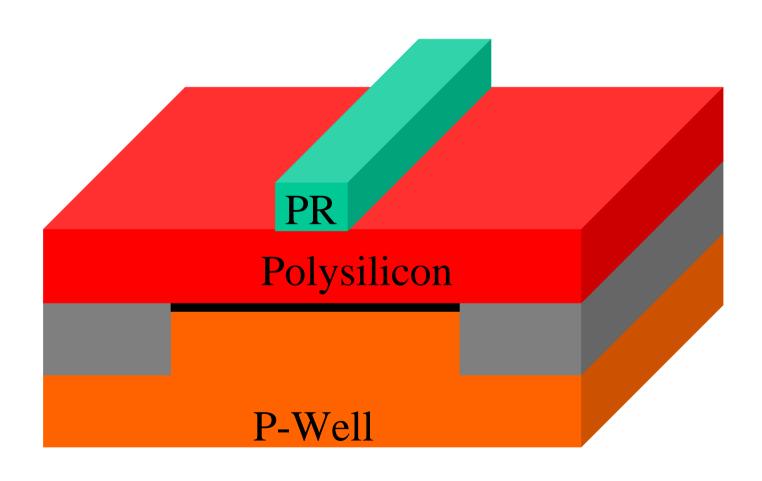
# Alignment and Exposure



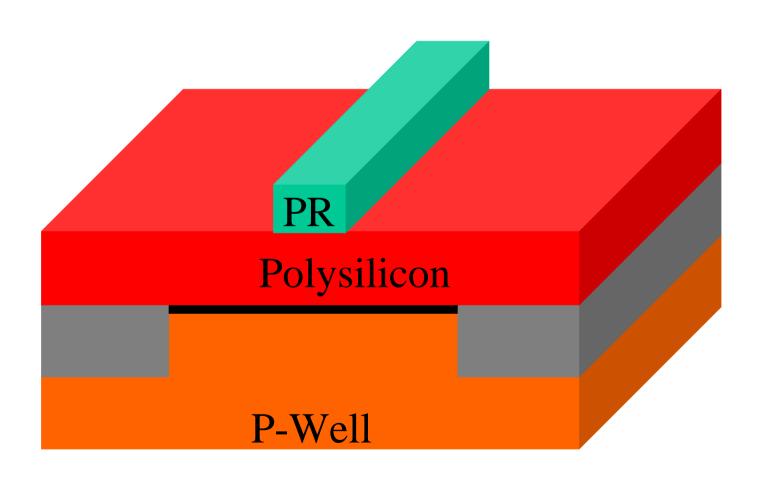
# Post Exposure Bake



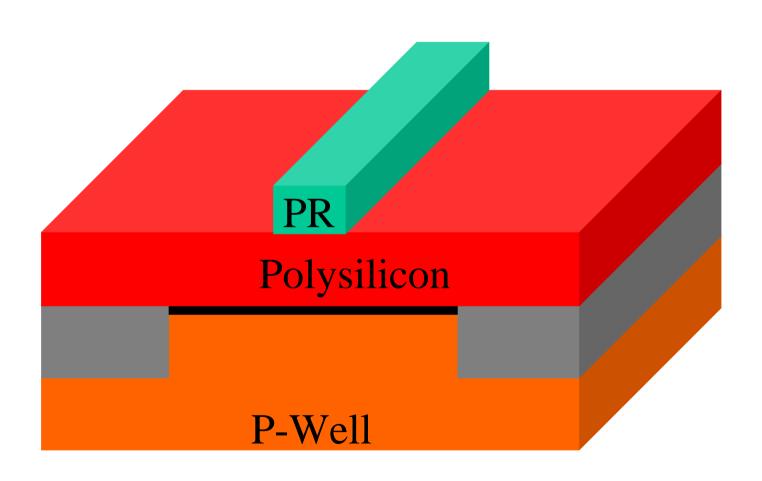
# Development



## Hard Bake



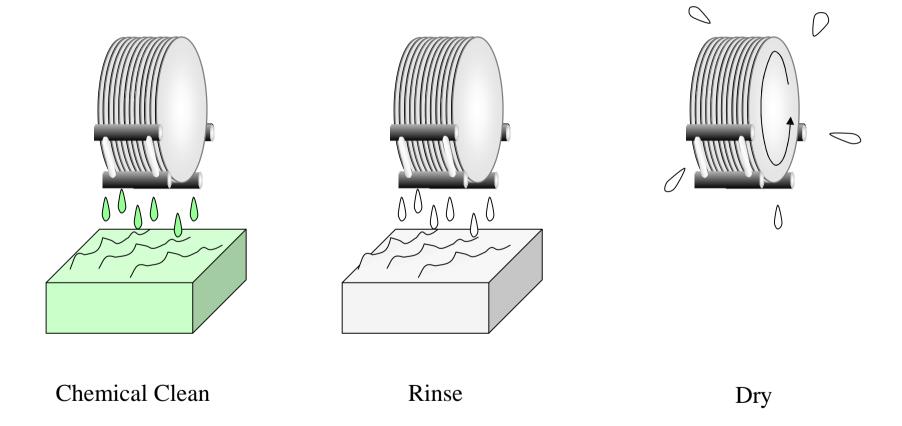
# Pattern Inspection



#### Wafer Clean

- Remove contaminants
- Remove particulate
- Reduce pinholes and other defects
- Improve photoresist adhesion
- Basic steps
  - Chemical clean
  - Washing
  - Dry

#### Wafer Clean Process



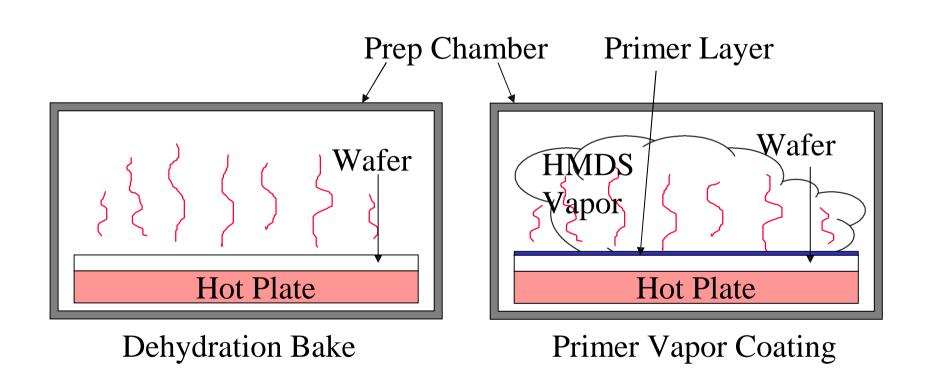
# Photolithography Process, Prebake

- Dehydration bake
- Remove moisture from wafer surface
- Promote adhesion between PR and surface
- Usually around 100 °C
- Integration with primer coating

## Photolithography Process, Primer

- Promotes adhesion of PR to wafer surface
- Wildly used: Hexamethyldisilazane (HMDS)
- HMDS vapor coating prior to PR spin coating
- Usually performed in-situ with pre-bake
- Cool plate to cool down wafer before PR coating

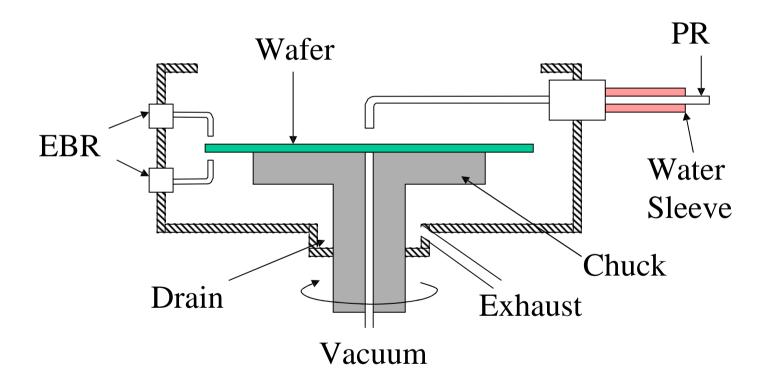
# Pre-bake and Primer Vapor Coating



# Spin Coating

- Wafer sit on a vacuum chuck
- Rotate at high speed
- Liquid photoresist applied at center of wafer
- Photoresist spread by centrifugal force
- Evenly coat on wafer surface

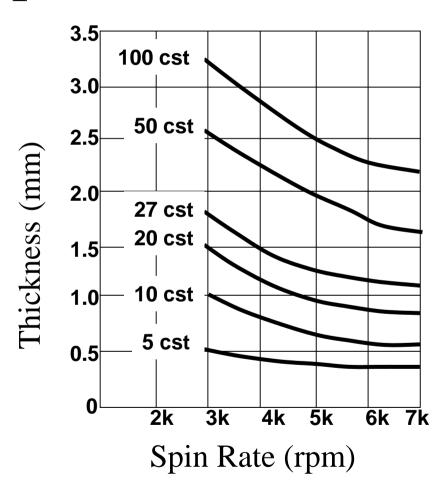
# Photoresist Spin Coater



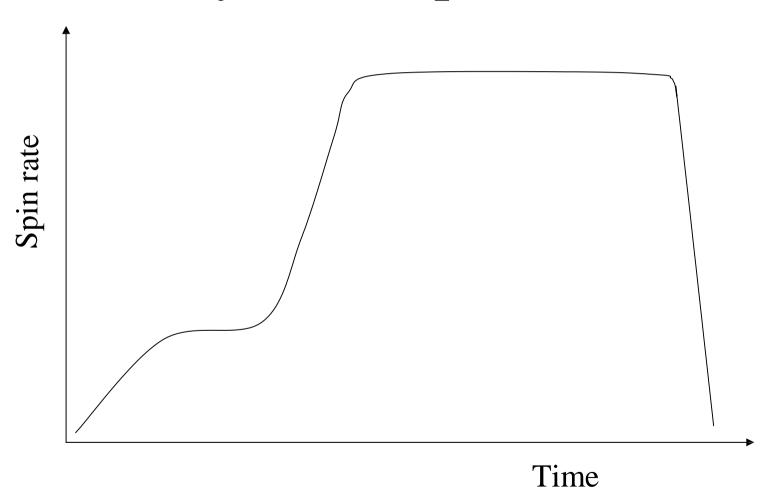
# Viscosity

- Fluids stick on the solid surface
- Affect PR thickness in spin coating
- Related to PR type and temperature
- Need high spin rate for uniform coating

# Relationship of Photoresist Thickness to Spin Rate and Viscosity



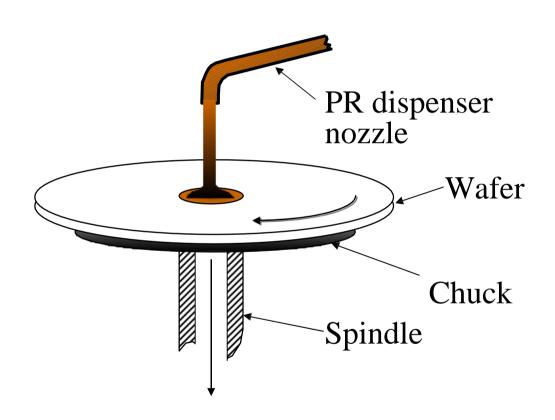
# Dynamic Spin Rate



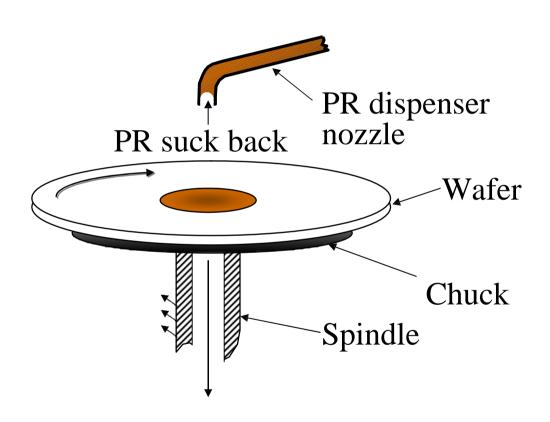
## PR Spin Coater

- Photoresist spread on spinning wafer surface
- Wafer held on a vacuum chuck
- Slow spin ~ 500 rpm
- Ramp up to ~ 3000 7000 rpm

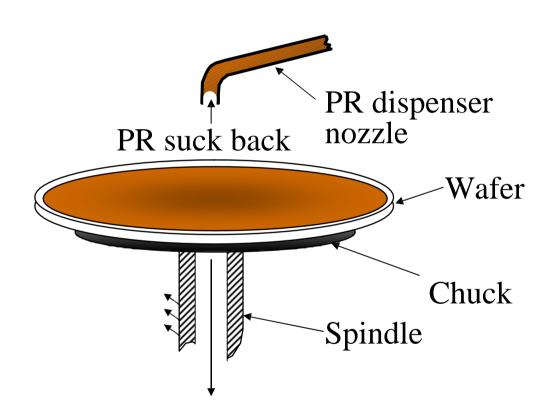
# Photoresist Applying



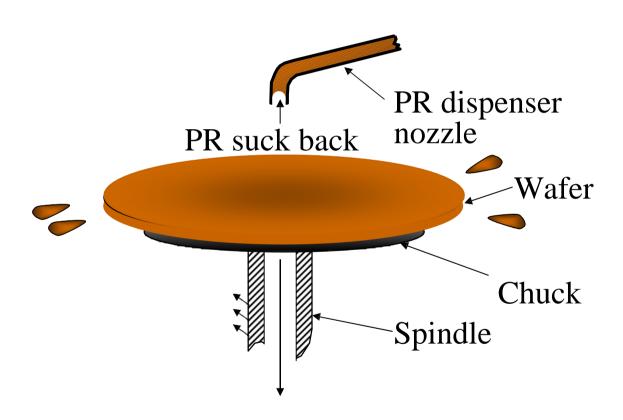
## Photoresist Suck Back



# Photoresist Spin Coating



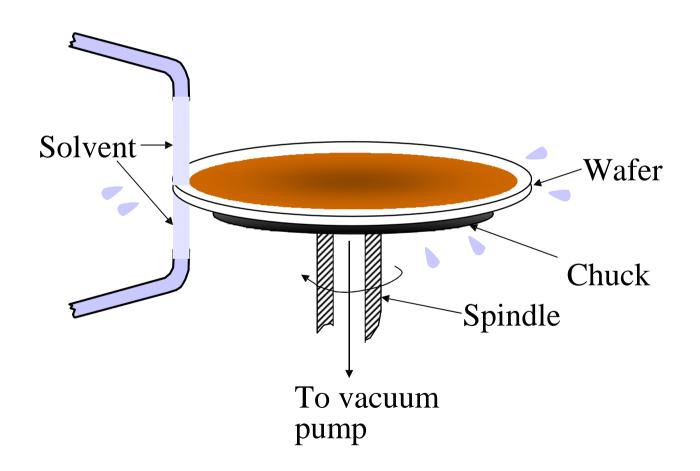
# Photoresist Spin Coating



#### Edge Bead Removal (EBR)

- PR spread to the edges and backside
- PR could flakes off during mechanical handling and causes particles
- Front and back chemical EBR

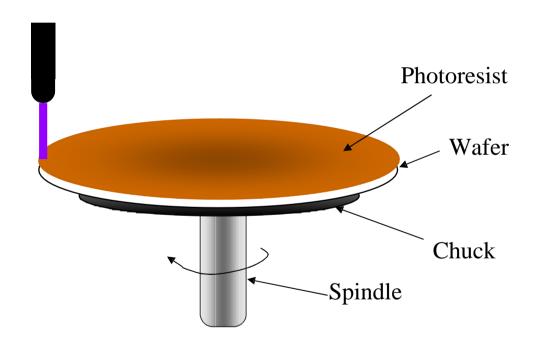
# Edge Bead Removal



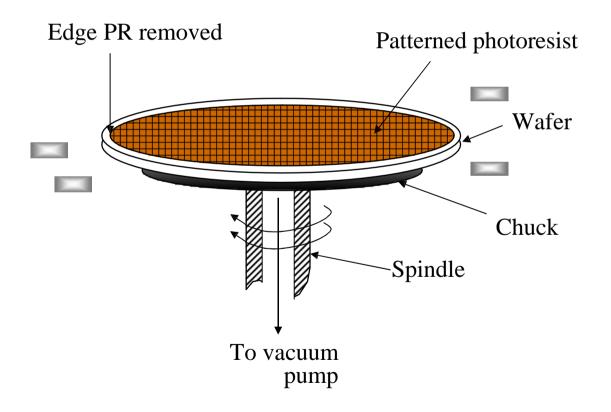
# Optical Edge Bead Removal

- After alignment and exposure
- Front-side wafer edge expose (WEE)
- Exposed photoresist at edge dissolves during development

# Optical Edge Bead Removal



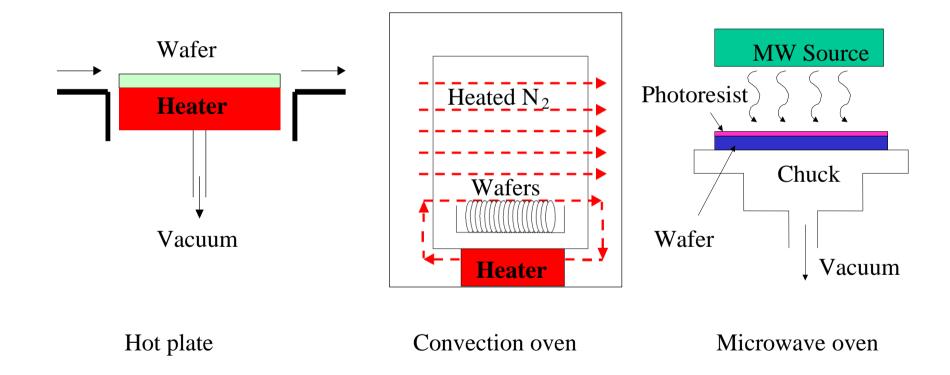
# Developer Spin Off



#### Soft Bake

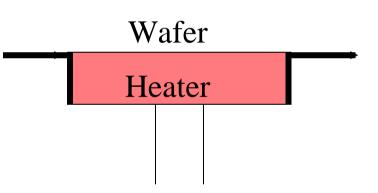
- Evaporating most of solvent (> 80%) in PR
- Solvents help to make a thin PR but absorb radiation and affect adhesion
- Soft baking time and temperature are determined by PR types and specific process
- 90~110°C for 30 min. in oven; 10~15 min. for hotplate
- Over bake: polymerized, less photo-sensitivity
- Under bake: affect adhesion and exposure

# **Baking Tools**



#### Hot Plates

- Widely used in the industry
- Back side heating, no surface "crust"
- In-line track system



#### Wafer Cooling

- Need to cool down to ambient temperature after baking
- Water-cooled chill plate
- Silicon thermal expansion rate: 2.5×10<sup>-6</sup>/°C
- For 8 inch (200 mm) wafer, <u>1°C thermal</u> change causes 0.5 µm difference in diameter

#### Alignment and Exposure

- Most critical process for IC fabrication
- Most expensive tool (stepper) in an IC fab.
- Most challenging technology
- Determines the minimum feature size
- Currently 0.18 μm and pushing to 0.13 μm

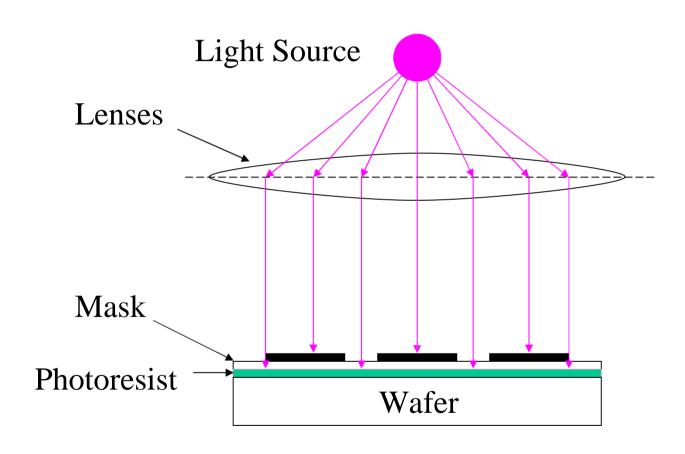
# Alignment and Exposure Tools

- Contact printer
- Proximity printer
- Projection printer
- Stepper

#### Contact Printer

- Simple equipment. Widely used before mid-70s
- Resolution: capable for sub-micron
- Use of UV light source
- Image ratio 1:1
- Direct mask-wafer contact, limited mask lifetime
- Particle contamination issue

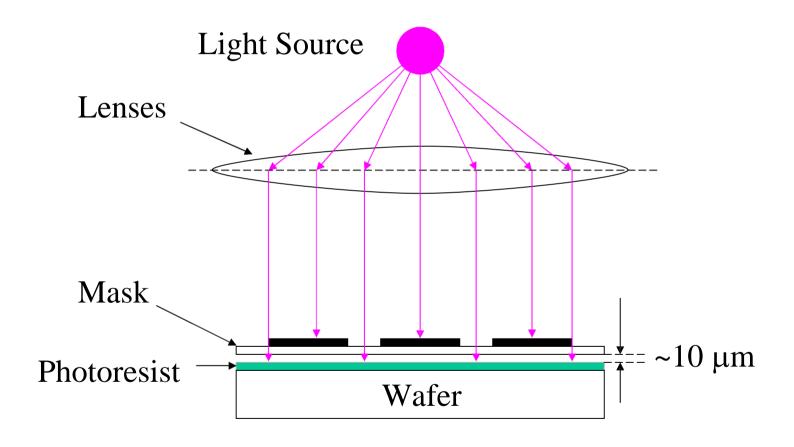
#### **Contact Printer**



#### Proximity Printer

- $10 \sim 20 \mu m$  distance from wafer surface. No direct contact
- Use of UV light
- Image ratio 1:1
- Less particles and longer mask lifetime
- Resolution:  $> 2 \mu m$

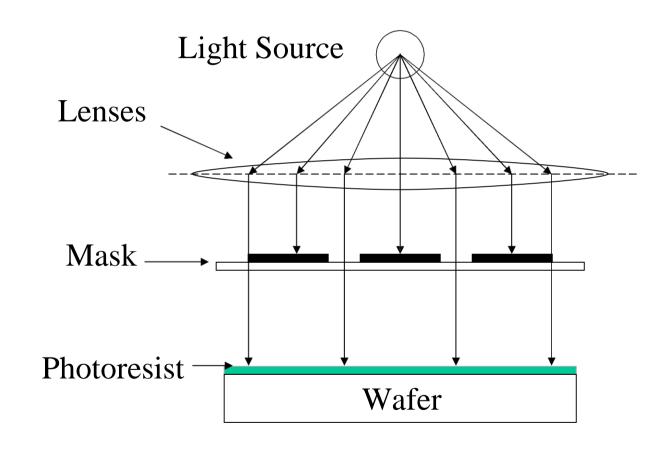
# **Proximity Printer**



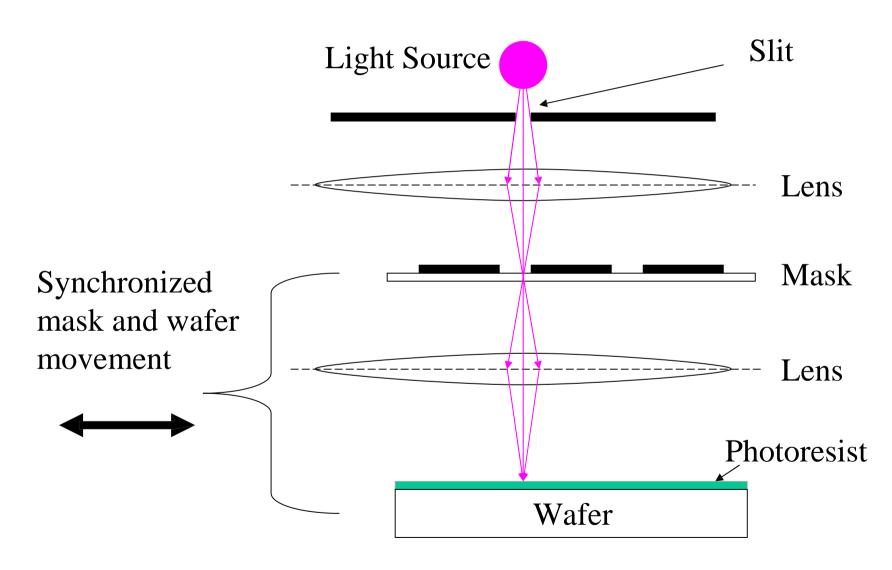
#### Projection Printer

- Works like an overhead projector
- Mask to wafer ratio, 1:1
- Resolution to reach at 1 μm
- The scanning projection exposure system
  - the mask and wafer stage move synchronously, allowing UV light source scanning across the mask to refocus and expose PR across the wafer

# Projection System



# Scanning Projection System



## Stepper

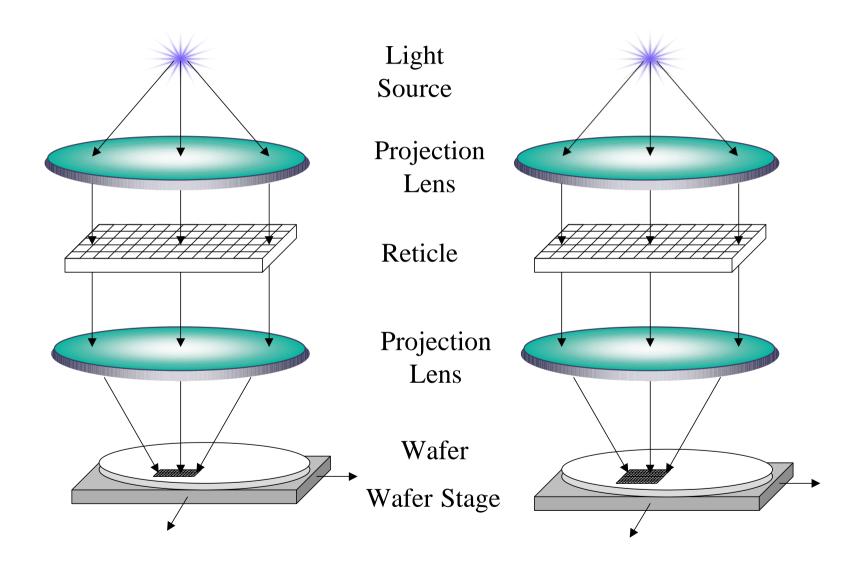
- Most popular used photolithography tool in the advanced IC fabs
- Reduction of wafer image gives high resolution
- Use of deep UV light
- Reticle-to-wafer ratio ~ 10:1
- A reticle with 1.25  $\mu m$  min. feature size say can achieve 0.125  $\mu m$  min. feature size on wafer
- Very expensive! (extremely complicated and precise)

#### Q & A

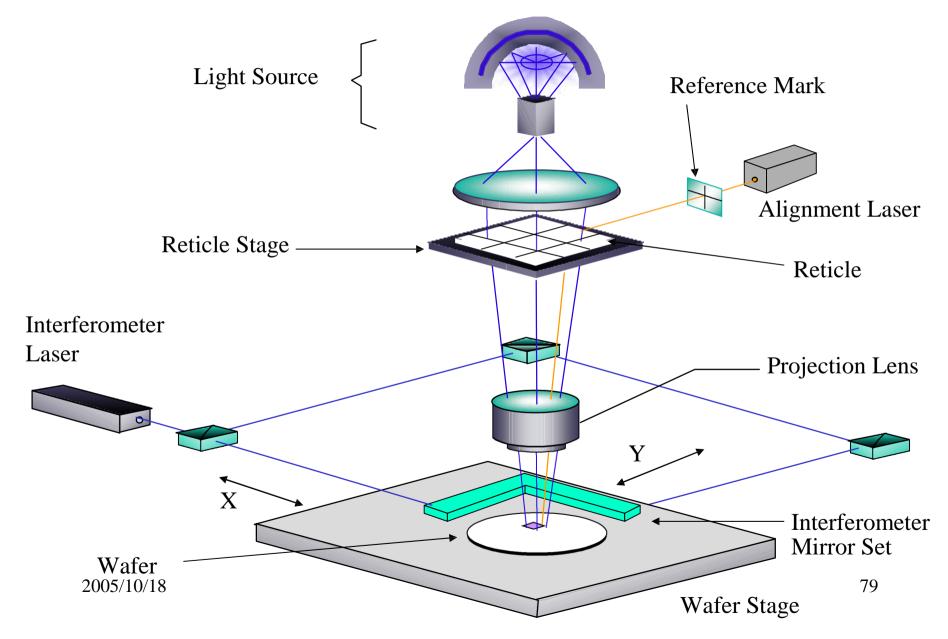
Q: Why does the 5:1 shrink ratio is more popular than the 10:1 shrink ratio?

A: 10:1 image shrink has better resolution than 5:1 image shrink. However, it only exposes a quarter of the area, which means total exposure time will be quadrupled. A trade-off between resolution and throughput.

# Step-&-Repeat Alignment/Exposure



# Step&Repeat Alignment System



## Exposure Light Source

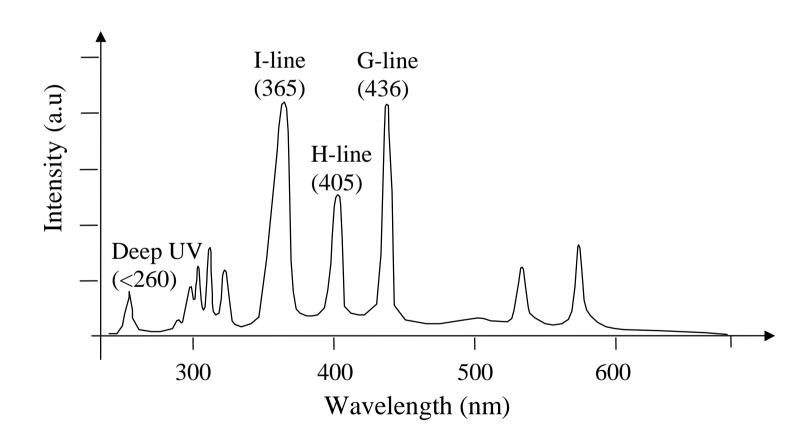
#### Should have:

- Short wavelength
- High intensity
- Stability

#### Includes:

- High-pressure mercury lamp
- Excimer laser

# Spectrum of the Mercury Lamp



# Photolithography Light Sources

	Name	Wavelength (nm)	Application feature size (µm)
	G-line	436	0.50
Mercury Lamp	H-line	405	
	I-line	365	0.35 to 0.25
	XeF	351	
	XeCl	308	
Excimer Laser	KrF (DUV)	248	0.25 to 0.15
	ArF	193	0.18 to 0.13
Fluorine Laser	$F_2$	157	0.13 to 0.1

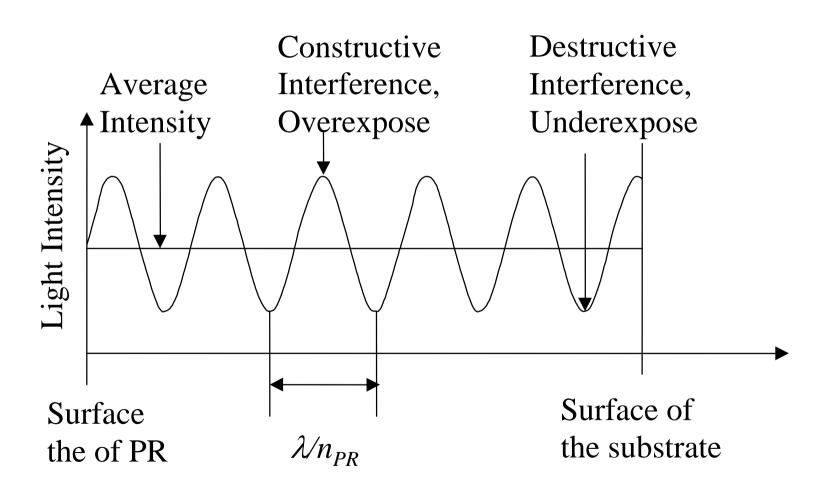
## **Exposure Control**

- Exposure light flux is controlled by production of <u>light intensity</u> and <u>exposure time</u>
- Very similar to the exposure of a camera
- Intensity controlled by electrical power
- Adjustable light intensity
- Routine light intensity calibration is required. Intensity, *I*, measured in mW/cm<sup>2</sup>

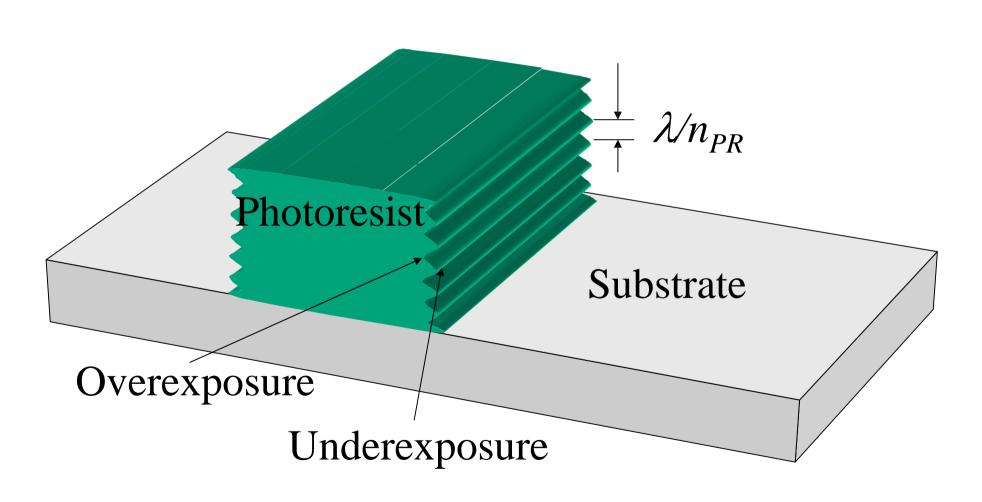
# Standing Wave Effect

- •Interference of the incident and reflection lights
- •Due to constructive and destructive interference at different depth
- Periodically overexposure and underexposure
- •Affects photolithography resolution.

## Standing Wave Intensity



# Standing Wave Effect on Photoresist



#### Post Exposure Bake (PEB)

- Photoresist's glass transition temperature, T<sub>g</sub>
- Baking temperature is higher than T<sub>g</sub>
- Induce thermal movement of photoresist molecules
- Rearrangement of the overexposed and underexposed PR molecules
- Average out standing wave effect,
- Smooth PR sidewall and improve resolution

#### PEB (cont.)

- For DUV chemical amplified photoresist, PEB provides the heat needed for acid diffusion and amplification.
- After the PEB process, the images of the exposed areas appear on the photoresist, due to the significant chemical change after the acid amplification

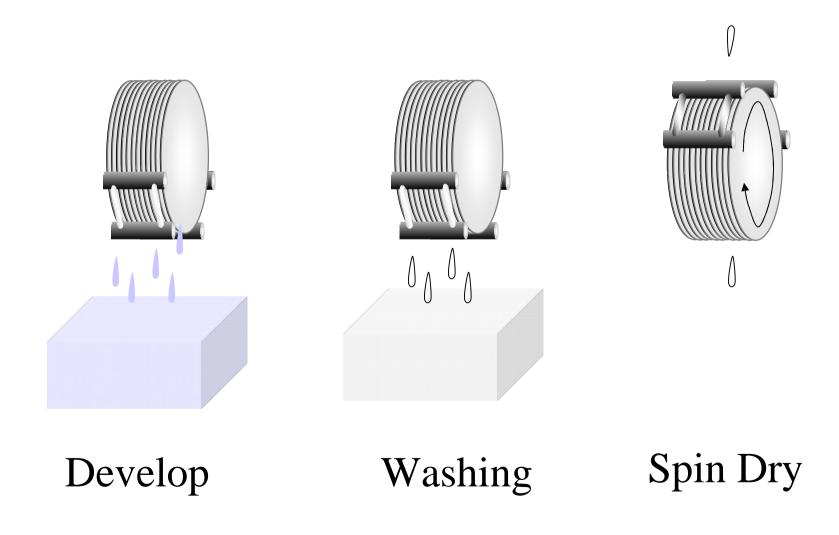
#### Post Exposure Bake Steps

- PEB normally uses hot plate at 110 to 130 °C for about 1 minute.
- For the same kind of PR, PEB usually requires a higher temperature than soft bake.
- Insufficient PEB will not completely eliminate the standing wave pattern,
- Overbaking will cause polymerization and affects photoresist development

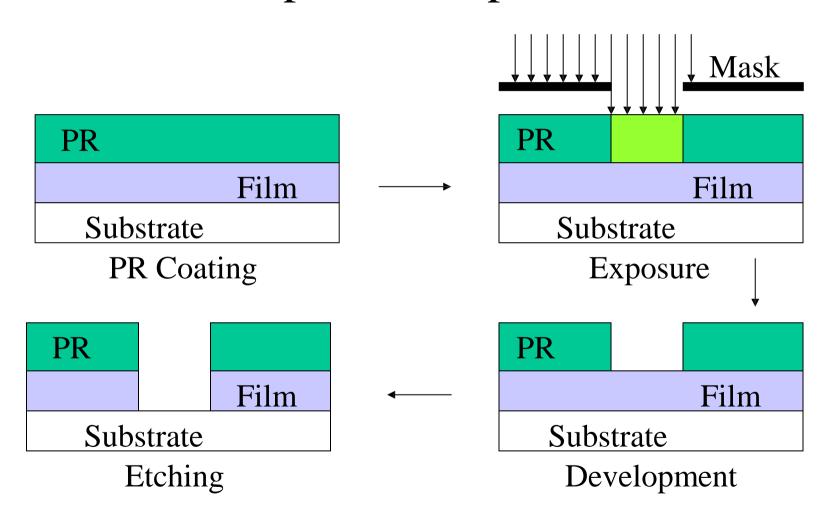
#### Development

- Developer solvent dissolves the softened part of photoresist
- Transfer the pattern from mask or reticle to photoresist
- Three basic steps:
  - Development
  - Rinse
  - Dry

# Development: Immersion



# Development – to make etch or implantation perfect



### Development Profiles

PR Substrate

Normal Development

PR Substrate

Under Development

PR Substrate

Incomplete Development

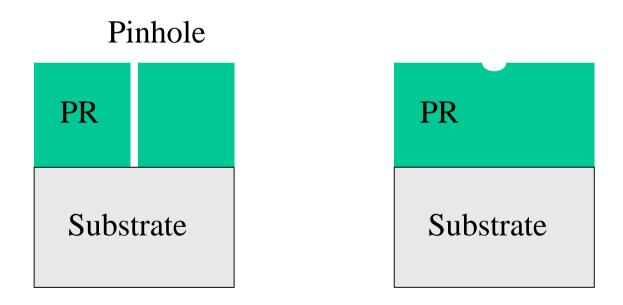
PR Substrate

Over Development

### Hard Bake

- Evaporating all solvents in PR
- Improving etch and implantation resistance
- Improve PR adhesion with surface
- Polymerize and stabilize photoresist
- PR flow to fill pinhole

## PR Pinhole Fill by Thermal Flow



### Hard Bake (cont.)

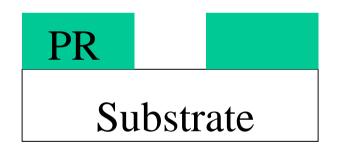
- Hot plate is commonly used
- Can be performed in a oven after inspection
- Hard bake temperature: 100 to 130 °C
- Baking time is about 1 to 2 minutes
- Hard bake temperature normally is higher than the soft bake temperature for the same kind of photoresist

### Improper Hard Bake

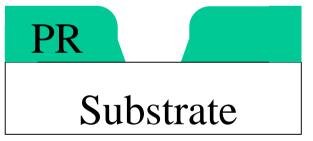
- Under-bake
  - Photoresist is not filly polymerized
  - High photoresist etch rate
  - Poor adhesion
- Over-baking
  - PR flow and bad resolution

### Photoresist Flow

• Over-baking can causes too much PR flow, which affects photolithography resolution.



Normal Baking



Over Baking

### Pattern Inspection

- Inspection, stripped PR and rework
  - Photoresist pattern is temporary
  - Etch or ion implantation pattern is permanent.
- Photolithography process can rework
- Can't rework after etch or implantation.
- Scanning electron microscope (SEM) for small feature size (< 0.5 um)
- Optical microscope for large feature size

### Q & A

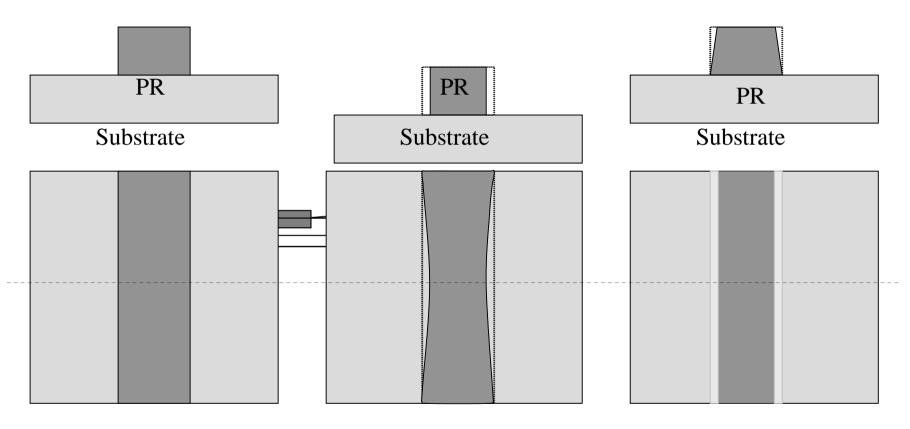
• Why can't optical microscope be used for the 0.25 μm feature inspection?

• Because the feature size (0.25  $\mu$ m = 2500 Å) is smaller than the wavelength of the visible light, which is from 3900 Å (violet) to 7500 Å (red)..

### Pattern Inspection

- Overlay or alignment
  - run-out, run-in, reticle rotation, wafer rotation, misplacement in X-direction, and misplacement in Y-direction
- Critical dimension
- Surface irregularities such as scratches, pin holes, stains, contamination, etc.

### Critical Dimension



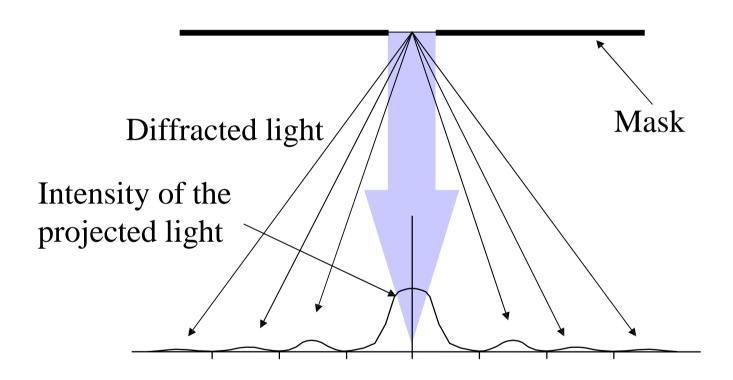
### **Future Trends**

- Smaller feature size
- Higher resolution
- Reducing wavelength
- Phase-shift mask

## Optical Lithography

- Optics
- Light diffraction
- Resolution
- Depth of focus (DOF)

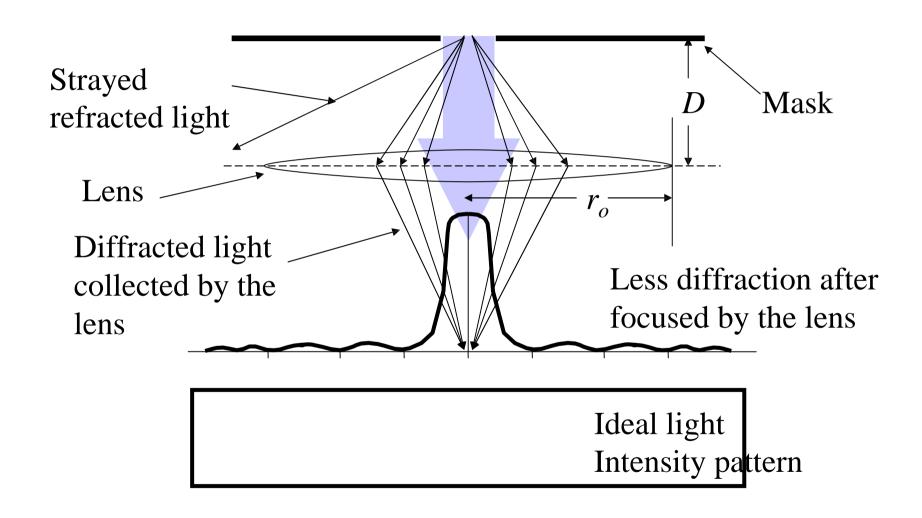
### Light Diffraction Without Lens



### Diffraction Reduction

- Short wavelength waves have less diffraction
- Optical lens can collect diffracted light and enhance the image

### Light Diffraction With Lens



### Numerical Aperture

- NA is the ability of a lens to collect diffracted light
- $NA = 2 r_0 / D$ 
  - $-r_0$ : radius of the lens
  - -D: the distance of the object from the lens
- Lens with larger *NA* can capture higher order of diffracted light and generate sharper image.

### (Optical) Resolution

- The achievable, repeatable minimum feature size
- Determined by the wavelength of the light and the numerical aperture of the system. The resolution can be expressed as

$$R = \frac{K_1 \lambda}{NA}$$

 $K_1$ : the system constant,  $\lambda$  is the wavelength of the light,  $NA = 2 r_o/D$ , the numerical aperture

## Exercise 1, $K_1 = 0.6$

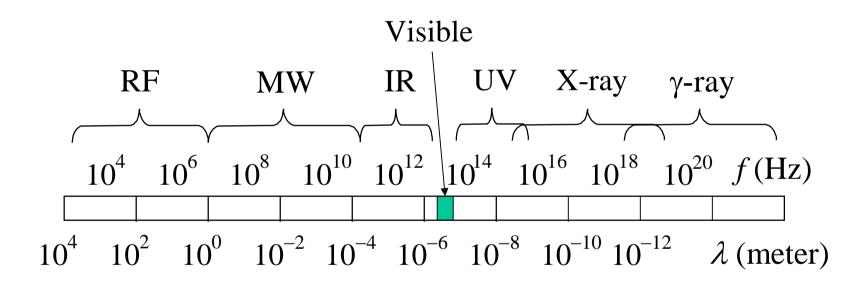
$$R = \frac{K_1 \lambda}{NA}$$

	$\lambda$	NA	R
G-line	436 nm	0.60	μm
I-line	365 nm	0.60	μm
DUV	248 nm	0.60	μm
	193 nm	0.60	μm

### To Improve Resolution

- Increase NA
  - Larger lens, could be too expensive and unpractical
  - Reduce DOF and cause fabrication difficulties
- Reduce wavelength
  - Need to develop light source, PR and equipment
  - Limitation for reducing wavelength
  - From UV to DUV, to EUV, and to X-Ray
- Reduce K<sub>1</sub>
  - Phase shift mask (PSM)

## Wavelength and Frequency of Electromagnetic Wave



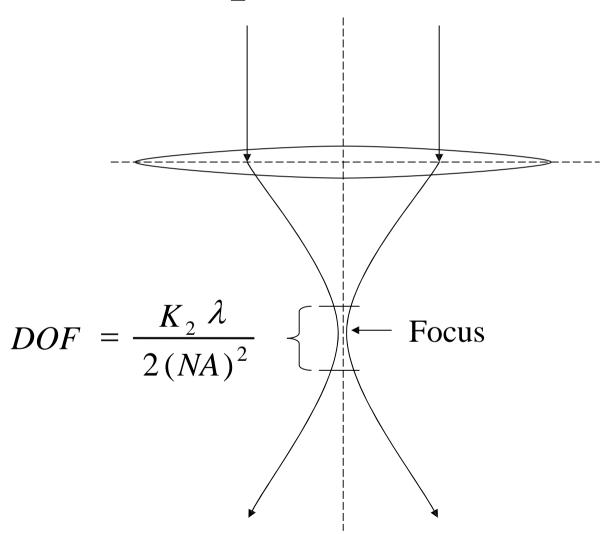
RF: Radio frequency; MW: Microwave; IR: infrared; and UV: ultraviolet

### Depth of focus

- The range that light is in focus and can achieve good resolution of projected image
- Depth of focus can be expressed as:

$$DOF = \frac{K_2 \lambda}{2(NA)^2}$$

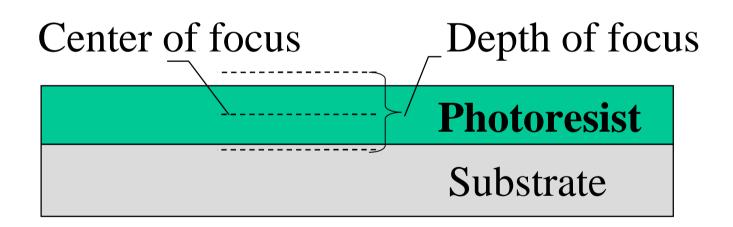
## Depth of Focus



### Depth of Focus

- Smaller numerical aperture, larger DOF
  - Disposable cameras with very small lenses
  - Almost everything is in focus
  - But, with bad resolution
- Prefer to reduce wavelength than increase *NA* to improve resolution
- High resolution, small DOF
- Focus at the middle plane of PR layer

# Focus on the Mid-Plain to Optimize the Resolution



## Surface Planarization Requirement

- Higher resolution requires
  - Shorter  $\lambda$
  - Larger NA.
- Both reduces *DOF*
- Wafer surface must be highly planarized.
- That's why CMP is significantly required for 0.25 μm feature patterning.

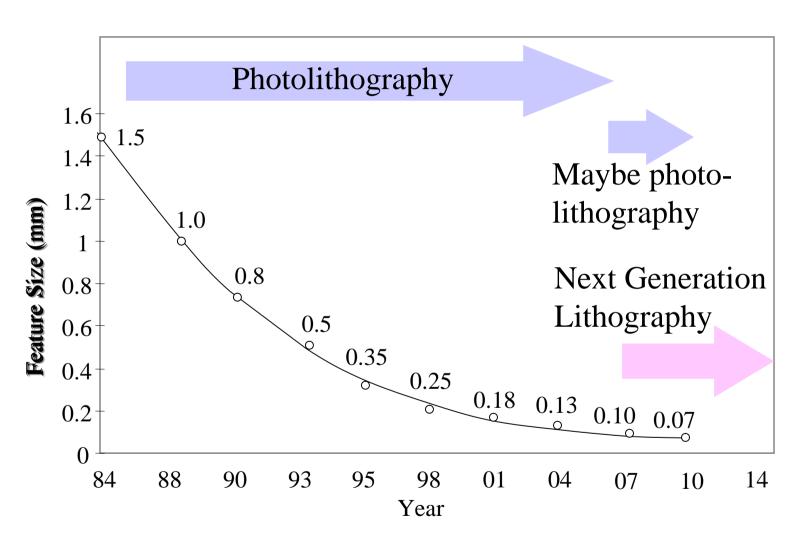
### I-line and DUV

- Mercury i-line, 365 nm
  - Commonly used in 0.35 μm lithography
- DUV KrF excimer laser, 248 nm
  - $-0.25 \mu m$ ,  $0.18 \mu m$  and  $0.13 \mu m$  lithography
- ArF excimer laser,193 nm
  - Application:  $< 0.13 \mu m$
- F<sub>2</sub> excimer laser 157 nm
  - Still in R&D,  $< 0.10 \mu m$  application

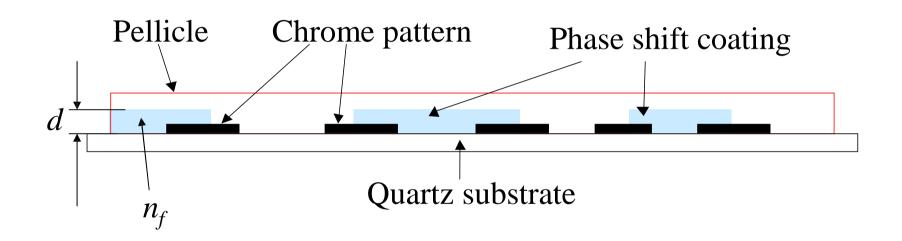
### Silica and DUV

- SiO<sub>2</sub> strongly absorbs UV when  $\lambda$  < 180 nm
- Silica lenses and masks can't be used
- 157 nm F<sub>2</sub> laser photolithography
  - Fused silica with low OH concentration, fluorine doped silica, and calcium fluoride (CaF<sub>2</sub>),
  - With phase-shift mask, even 0.035 μm is possible
- Further delay next generation lithography

### Future Trends



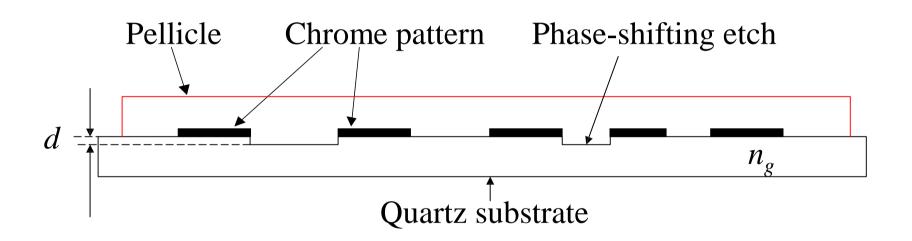
### Phase Shift Mask



$$d(n_f - 1) = \lambda/2$$

 $n_f$ : Refractive index of phase shift coating

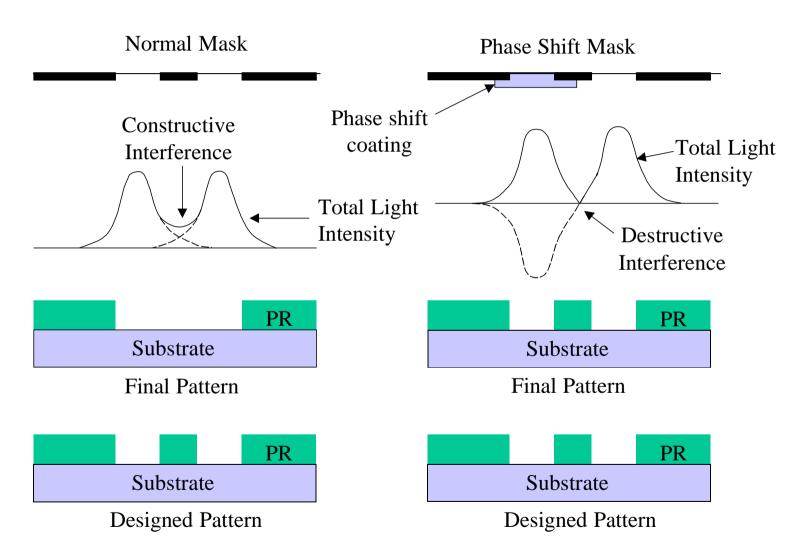
### Phase Shift Mask



$$d(n_g - 1) = \lambda/2$$

 $n_g$ : refractive index of the quartz substrate

### Phase Shift Mask Patterning



## Next Generation Lithography (NGL)

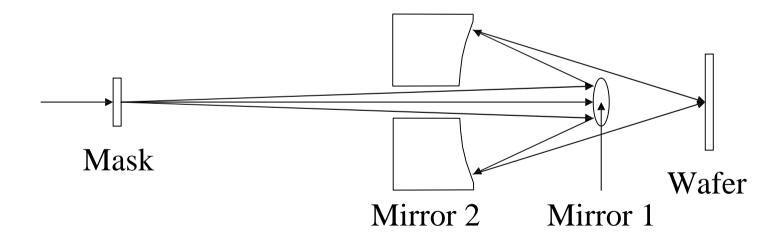
- Extreme UV (EUV) lithography
- X-Ray lithography
- Maskless lithography electron beam or ion beam
- Immersion lithography

#### **EUV**

- $\lambda = 10 \text{ to } 14 \text{ nm}$
- Short wavelength and reduced NA
- Mirror basis due to strong absorption at short wavelength
- Use a mask with Pd/C and Mo/Si multilayer coatings
- For 0.1 μm technology and beyond
- Still in development (support from Intel)

## Extreme ultraviolet lithography (EUV Lithography) (10-125 nm)

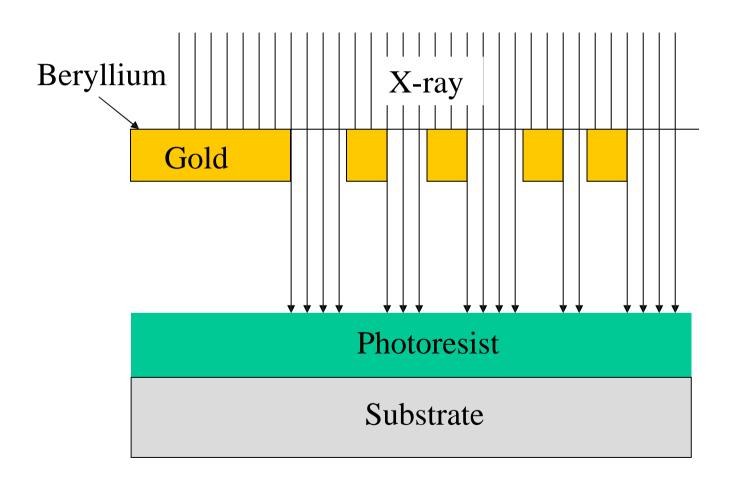
Generated plasma and synchrotron light sources.



## X-ray lithography

- Similar to proximity printer
- Difficult to find pure X-ray source (synchrotron radiation facility)
- Challenge on mask making (1:1)
- Very expensive! unlikely will be used in production

## X-ray Printing



## Optical Mask and X-ray Mask

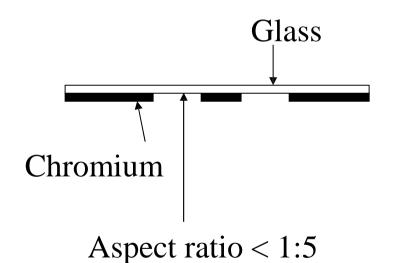
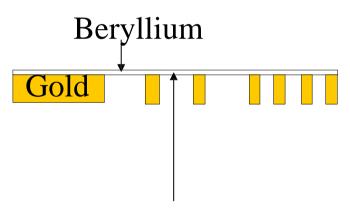


Photo Mask



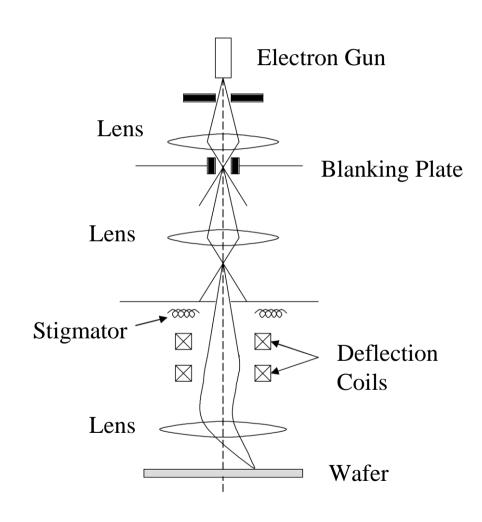
Aspect ratio > 1:1

X-ray Mask

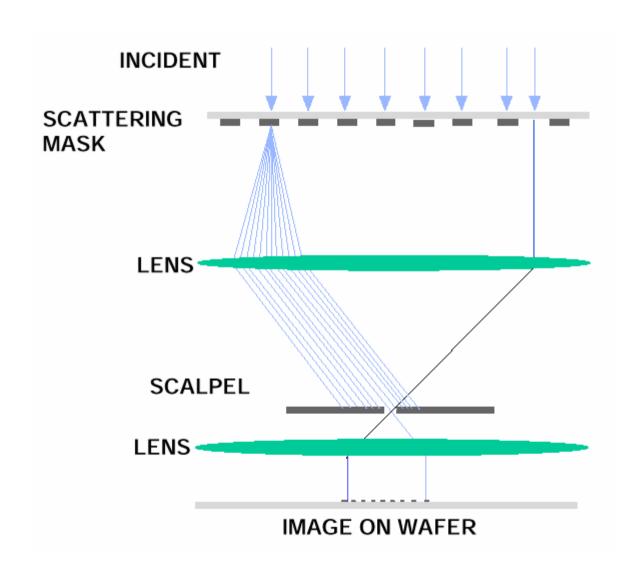
### E-Beam

- Used for making mask and reticles
- Smallest geometry achieved: 0.014 μm
- Direct print possible, no mask is required
  - Low throughput
- Scattering exposure system (SCALPEL) looks promising
  - Tool development
  - Reticle making
  - Resist development
  - Very similar to stepper lithography

## Electron Beam Lithography System



### **SCALPEL**



### Ion Beam Lithography

- Can achieve higher resolution
  - Direct writing and projection resist exposing
  - Direct ion implantation and ion beam sputtering patterned etch, save some process steps
- Serial writing, low throughput
- Unlikely will be used in the mass production
- Appropriate for mask and reticle repairing
- IC device defect detection and repairing

## Immersion Lithography

- Fill DI water between light source and wafer
- Reach higher DOF

$$DOF = \frac{K_{w}\lambda}{2(NA)^{2}}$$

Kw: refractive index of water (1.43)

- Applied in 193 nm or 248 nm systems
- Likely to push further to 90 or beyond if refractive index increased
- TSMC proved result in 90 nm product with ASML