# I/O Performance trade-offs among RNTuple's persistent layouts for DUNE Data Products

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### Introduction

### Introduction

- The Deep Underground Neutrino Experiment (DUNE) is projected to record roughly 30 PB of liquid-argon TPC data per year [1]—far beyond the scale of previous neutrino experiments.
- DUNE is developing a new framework: Phlex stands for Parallel, hierarchical, and layered execution of data-processing algorithms.
- ROOT's new RNTuple storage container is a candidate for the long-term DUNE data model, promising faster compression, cluster-aware reads, and thread-safe writes.
- This study benchmarks alternative RNTuple *persistent layouts* (AOS/SOA, vertical splits, granularity levels) for realistic data products.
- Focus data products: recob::Hit (charge deposits) and recob::Wire (ROI-compressed waveforms).

### **Motivation**

• A single DUNE far-detector module streams about 1.2 TB/s of raw data before compression [2]; naive storage could potentially overwhelm the archival budget.

 Efficient layout choice can cut file size and accelerate cluster reads needed for GPU/CPU reconstruction farms.

### **Problem Statement**

 Which RNTuple persistent layout minimises read time, write time and on-disk footprint for DUNE Hit/Wire data products?

 How does vertical splitting such as one RNTuple for all data products or one of each data products interact with horizontal granularities (event, spill, element)?

 How does the choice of persistent layout affect the performance of the read and write operations?

### **Objectives**

 Benchmark seven layout variants on a 1 M-event (35 GB) Phlex dataset (recob::Hit, recob::Wire with ROIs).

• Measure: write throughput, cold/warm read latency, compressed file size.

• Quantify trade-offs of ROI flattening, vertical split depth, and SOA vs. AOS.

### **Persistent Layouts**

### Data Layouts: AOS vs. SOA

### Array of Structures (AOS)

Stores complete objects in an array.

```
struct Hit {
  long long EventID;
  unsigned int fChannel;
  float fPeakTime;
};
// Array: [Hit1, Hit2, ...]
```

**Example**: Hit, Wire (per-item entries).

### Structure of Arrays (SOA)

Separate arrays per field.

```
struct Hits {
    vector<long long> EventID;
    vector<unsigned int> fChannel;
    vector<float> fPeakTime;
};
// Columns: EventID[],
//fChannel[], fPeakTime[], ...
```

**Example**: Hits, Wires (per-event vectors).

### Layout Strategies

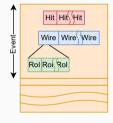


Figure 1: AOS Layout

### **Layout Strategies**

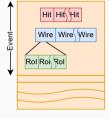


Figure 1: AOS Layout



Figure 2: 1 RNTuple for all data products



Figure 3: 1 RNTuple per data product

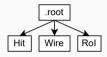


Figure 4: 1 RNTuple per group

### **Layout Strategies**

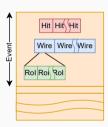


Figure 1: AOS Layout

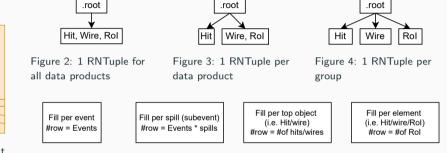


Figure 7: 1 fill/row

per top object

Figure 6: 1 fill/row

per spill

Figure 5: 1 fill/row

per event

Figure 8: 1 fill/row

per element

### Layout Strategies: AOS vs SOA

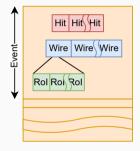


Figure 9: AOS Layout

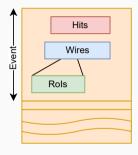


Figure 10: SOA Layout

### Granularity vs. Vertical Split Matrix

Horizontal Granularity	1 NTuple (all DP)	1 NTuple / DP	1 NTuple / group
Event-wise	event_allDP()	event_perDP()	event_perGroup()
Spill-wise	<pre>spill_allDP()</pre>	spill_perDP()	spill_perGroup()
Top-object-wise	_	<pre>topObject_perDP()</pre>	<pre>topObject_perGroup()</pre>
Element-wise	_	element_perDP()	element_perGroup()

 $\mathsf{DP} = \mathsf{Data}\;\mathsf{Product}$ 

**Parallel Optimizations** 

### Write Optimization: Multi-Threaded Chunking

Parallel Chunking Divide events into thread-specific ranges for concurrent filling.

```
std::vector<unsigned int> seeds = generateSeeds(nThreads);
for (int th = 0; th < nThreads; ++th) {
   int first = th * chunkSize;
   int last = std::min(first + chunkSize, totalEvents);
   futures.emplace_back(std::async(
        std::launch::async, thinWorkFunc, first, last, seeds[th], th ));
}</pre>
```

Example: executeInParallel writers.

**Project Use**: Scales writes with cores for large datasets.

### Read Optimization: Cluster-Aware Splitting

### Cluster Splitting Split read ranges by cluster boundaries to avoid duplicates.

```
auto clusters = split_range_by_clusters(*reader, nChunks);
for (auto& chunk : clusters) {
   futures.push_back(std::async(
        &processChunk, chunk.first, chunk.second
   ));
}
```

### Helper Function Defines cluster-based splits.

```
std::vector<std::pair<size_t, size_t>>
split_range_by_clusters(ROOT::RNTupleReader& reader, int nChunks)
```

Project Use: Enhances read efficiency by reducing redundant reads.

### Challenges

### **Challenge: Corrupted ROOT Files**

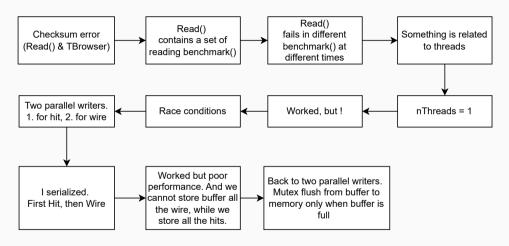


Figure 11: Challenge: Addressing corrupted ROOT files in parallel write operations.

### Parallel Write Challenge: File Corruption Solution

## Problem: Concurrent Flushes Unsynchronized cluster flushes cause

file corruption in multi-threaded writes. **Example Issue**: Threads overwriting shared file regions.

### Solution: Mutex Synchronization Lock during

flushes to serialize access per cluster.

```
for (int idx = first; idx < last; ++idx) {
    // Generate data for hits/wires
    if (hitStatus.ShouldFlushCluster()) {
        hitContext.FlushColumns();
        {
            std::lock_guard<std::mutex> lock(mutex);
        }
        hitContext.FlushCluster();
    }
}
```

**Project Use**: Ensures thread-safe parallel writes without corruption.

### **ROI Flattening vs. Custom Dictionary**

### **ROI Flattening (Non-Dictionary)**

Flattens hierarchical ROI data into vectors for efficient storage without custom classes.

```
struct Wires {
    vector<unsigned int> fSignalROI_nROIs;
    vector<size_t> fSignalROI_offsets;
    vector<float> fSignalROI_data;
};
```

**Example**: Used in non-dictionary experiments for raw vector-based I/O.

### **Custom Dictionary (ROOT Classes)**

Uses structured classes of ROOT's dictionary system, enabling object-oriented I/O.

```
struct RegionOfInterest {
    size_t offset;
    vector<float> data;
};
```

**Example**: Used in dictionary experiments for type-safe, hierarchical data handling.

### Results

#### **Evaluation Metrics**

- Write Throughput: Total events per second during RNTuple serialization.
- Cold Read Time: Latency for first access after file creation, reflecting raw I/O.
- Warm Read Time: Latency when data is cached, measuring memory locality effects.
- Compressed File Size: Total on-disk footprint post-write, accounting for RNTuple's column-wise compression.
- Multi-thread Scaling: Performance variation from 1 to 64 threads for write and read workloads.

### **AOS: Write Performance**

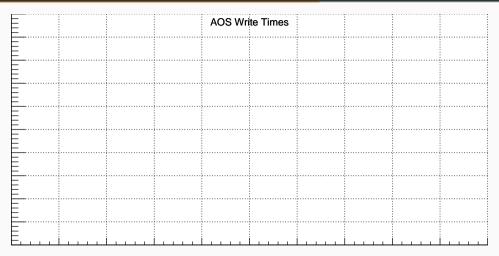


Figure 12: AOS (Array of Structures) Write Performance across different data organization strategies.

### **SOA: Write Performance**

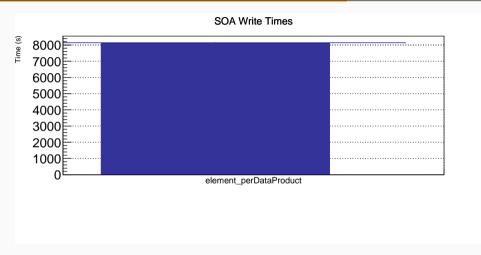


Figure 13: SOA (Structure of Arrays) Write Performance across different data organization strategies.

### **CPU** Time Overheads

Writer	Α	В	С
event_perGroup	$\checkmark$	$\checkmark$	
${\tt spill\_perGroup}$	$\checkmark$	$\checkmark$	$\checkmark$
$element\_perData$	$\checkmark$	$\checkmark$	
$\verb"topObject_perData"$		$\checkmark$	
event_perData		$\checkmark$	
$spill\_perData$		$\checkmark$	$\checkmark$

- A ROI flattening: dominant for \*element\* and \*perGroup\* writers.
- B Multiple NTuples: extra Fill/Flush cycles & mutex contention.
- C Spill re-partitioning: additional work unique to \*spill\* writers.

### AOS vs SOA: Cold Read Performance Comparison

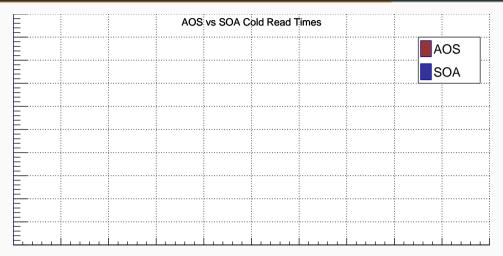


Figure 14: Cold Read Performance Comparison: Initial read times for AOS vs SOA implementations.

### AOS vs SOA: Warm Read Performance Comparison

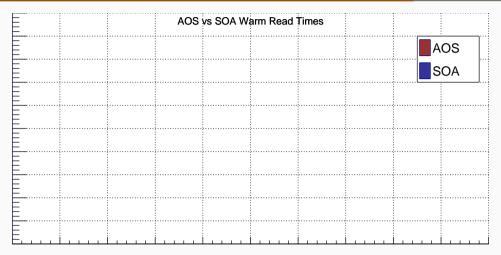


Figure 15: Warm Read Performance Comparison: Subsequent read times for AOS vs SOA implementations.

### **AOS: File Size Analysis**

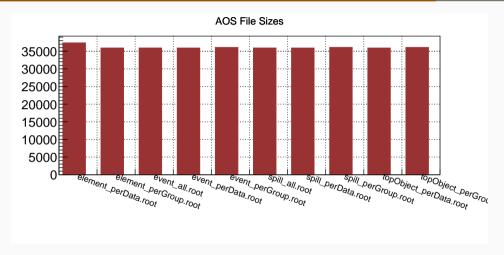


Figure 16: AOS (Array of Structures) File Size Analysis across different data organization strategies.

### **SOA:** File Size Analysis

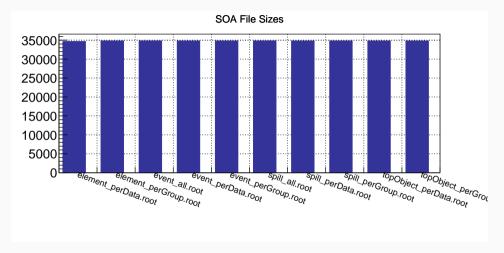


Figure 17: SOA (Structure of Arrays) File Size Analysis across different data organization strategies.

### File Size Insights

Writer variant	Size (% of most)
aos_element_perData.root	47%
soa_element_perData.root	100%
aos_element_perGroup.root	42%
soa_element_perGroup.root	39%
aos_event_* / aos_spill_*	$\sim$ 103%
soa_event_* / soa_spill_*	100%

### **Key Take-aways**

- Deep nesting & high row counts double basket overhead (offset tables, headers, CRC).
- Flattened perGroup writers cut size roughly in half by removing ROI nesting.

### Trade-offs

### **Trade-off Analysis**

### **Future Considerations**

- Thread Scaling Analysis: Rigorous testing across 1–128 threads to evaluate layout performance scaling and optimal configurations.
- Extensible Framework: Develop scalable architecture for arbitrary data products beyond Hits and Wires with configurable layouts.
- Advanced Layout Testing: Explore N-tuple groupings and clustering strategies for improved read/write efficiency and storage optimization.

#### References i

- [1] DUNE Collaboration, "Deep Underground Neutrino Experiment Technical Design Report–VolumeII: DUNE Physics," 2020, Sec.2.6.
- [2] DUNE Collaboration, "Data Acquisition System for the DUNE Far Detector," IEEE NSS/MIC Proc., 2023.

Thank you! Questions?