



# Data Mining Tutorial - Frequent Pattern Mining

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# Frequent Pattern Mining

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- Association rules
  - Apriori
  - FP-tree
- Sequential patterns
  - Apriori
  - PrefixSpan



# Association Rules

| 구매 번호 | 구매 상품들               |
|-------|----------------------|
| 1     | {라면, 우유, 오렌지 주스, 커피} |
| 2     | {라면, 우유, 소시지}        |
| 3     | {라면, 우유, 커피}         |
| 4     | {오렌지 주스, 비누, 샴푸}     |

- 데이터 상호간의 연관 규칙을 찾아내는 기술
- '{라면, 우유}→{커피}'
  - 라면과 우유를 산 사람은 커피도 같이 산다
  - 지지도 (support)
    - 전체 트랜잭션의 중에서 그 규칙을 가지고 있는 트랜잭션의 퍼센트
    - 50% - 네 가지 트랜잭션 중 1번과 3번 소비자의 구매한 물건들에 들어 있는 규칙
  - 신뢰도 (confidence)
    - 규칙의 왼쪽에 있는 것들을 산 사람들 중에서 오른쪽에 있는 물건들을 모두 산 사람들의 퍼센트
    - 66.7% - 라면과 우유를 산 사람들은 세 사람인데 그 중에서 커피를 산 사람은 두 사람이므로



# 사용 사례

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- 고객들의 물품 구매 패턴을 분석한 결과에 기반하여
  - 연관 물품 쿠폰이나 할인 행사 제공
  - 온라인 서점에서 다른 구매자들이 구매한 책 정보를 함께 제공
  - 더 높은 가격의 상품 추천 (up-selling)
  - 백화점의 Package 구매 상품 조합 결정, 물건 진열 순서 결정 등
  - 상품 카탈로그 디자인
  - 백화점에서는 물건 진열 (Shelf planning)



# 사용 사례

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- 같이 구매하는 경우가 많을 때 그 중의 어느 물건을 사면 다른 물건을 추천
  - Package로 물건 몇 개를 함께 포장해서 팔 때에 product mix를 결정함
  - 소비자의 그룹에 따른 brand royalty를 알아내고 상품추천
  - Cross-selling (교차 판매) – 서로 다른 카테고리 상품을 추천하여 판매
    - 자동차 보험과 생명보험을 함께 판매하는 온라인 보험회사에서 10 억 짜리 생명보험을 가입한 사람에게 자동차보험 대물배상 3억원 추천
    - 11번가에서 디지털카메라를 구매할 때에 명품지갑 추천
  - Up-selling – 1 억짜리 생명보험을 구매할 때에 다른 고객들의 빈번한 패턴을 이용 10억짜리를 구매할 고객으로 판단되어 10억 짜리 생명보험을 추천함



# 사용 사례

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- Fraud detection – 기존의 데이터에서 룰을 만든 후에 그 룰에 나타나지 않는 패턴으로 유저가 행동할 때 flag를 세팅함
  - 의사의 치료나 처방 – 과다하거나 불필요한 치료, 검사 또는 처방을 함
  - 의사들의 허위보험 청구 – overbilling (더 비싸게 청구), up-coding (10cm 꼬맨 것을 20cm로 청구)
  - 컴퓨터의 해킹탐지
  - 관공서에 사회보장제도로 의한 여러 가지 돈을 청구할 때에 돈이 여기저기로 세어나가는데 이런 것들을 찾아냄
- 시스템 failure 예측 – 네트워크의 정보를 보고 네트워크 failure를 예측하여 서버를 늘리거나 회선을 늘려서 문제가 없도록 함
- 서브그래프 마이닝
  - Weblog를 이용하여 navigation pattern 을 알아냄 – set으로 취급함
  - 화학구조를 분석함



# Association Rules

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- Given:
  - A database of customer transactions
  - Each transaction is a set of items
- Find all rules  $X \Rightarrow Y$  that correlate the presence of one set of items  $X$  with another set of items  $Y$ 
  - Example: 98% of people who purchase diapers and baby food also buy beer.
  - Any number of items in the consequent/antecedent of a rule
  - Possible to specify constraints on rules (e.g., find only rules involving expensive imported products)



# Support and Confidence

- $X \rightarrow Y$  [support, confidence]

지지도(support) =  $\frac{\text{\# of transactions containing all the items in } X \cup Y}{\text{total \# of transactions in the database}}$

신뢰도(confidence) =  $\frac{\text{\# of transactions that contain both } X \text{ and } Y}{\text{\# of transactions containing } X}$

- For minimum support (최소 지지도) = 50%,  
minimum confidence (최소 신뢰도) = 50%
  - $B \Rightarrow C$  with 50% support and 66% confidence

| TID | Items      |
|-----|------------|
| 10  | a, c, d    |
| 20  | b, c, e    |
| 30  | a, b, c, e |
| 40  | b, e       |





# Association Rule 찾는 방법

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- 문제를 해결하기 위하여 2 개의 스텝으로 나누어 처리함
  - 스텝 1: Find all (frequent) itemsets that have minimum support
    - Most expensive phase
    - Lots of research
  - 스텝 2: Use the frequent itemsets to generate the desired rules
    - Generation is straight forward

# Association Rule 찾는 방법

| TID | Items       |
|-----|-------------|
| 10  | a, c, d, f  |
| 20  | b, c, e     |
| 30  | a, b, c, e, |
| 40  | b, e        |
| 50  | a, f        |

최소지지도=40%  
최소신뢰도 = 100%

## ■ 스텝 1

- 최소지지도 를 만족하는 frequent itemset들을 모두 찾음

| Itemset | Sup | Itemset | Sup |
|---------|-----|---------|-----|
| a       | 3   | a,c     | 2   |
| b       | 3   | a,f     | 2   |
| c       | 3   | b,c     | 2   |
| e       | 3   | b,e     | 3   |
| f       | 2   | c,e     | 2   |

| Itemset | Sup |
|---------|-----|
| b,c,e   | 2   |

## ■ 스텝 2

- 모든 frequent itemset 으로부터 룰 생성
- {b,c,e} 에서 아래 룰들을 다 만든 후에 신뢰도를 체크함
  - {b}->{c,e} (X)
  - {c}->{b,e}
  - {e}->{b,c}
  - {b,c}->{e} (O)
  - {b,e}->{c}
  - {c,e}->{b} (O)



# Naïve Counting of All Itemsets

## Itemsets & Counts

### Transactions

| TID | Items   |
|-----|---------|
| 10  | A,C,D   |
| 20  | B,C,E   |
| 30  | A,B,C,E |
| 40  | B,E     |



| Itemset | Count |
|---------|-------|
| A       | 1     |
| C       | 1     |
| D       | 1     |
| A,C     | 1     |
| A,D     | 1     |
| C,D     | 1     |
| A,C,D   | 1     |



# Naïve Counting of All Itemsets

## Itemsets & Counts

### Transactions

| TID | Items   |
|-----|---------|
| 10  | A,C,D   |
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| 30  | A,B,C,E |
| 40  | B,E     |



| Itemset | Count |
|---------|-------|
| A       | 1     |
| C       | 2     |
| D       | 1     |
| A,C     | 1     |
| A,D     | 1     |
| C,D     | 1     |
| A,C,D   | 1     |
| B       | 1     |
| E       | 1     |
| B,C     | 1     |
| B,E     | 1     |
| C,E     | 1     |
| B,C,E   | 1     |

# Naïve Counting of All Itemsets

## Itemsets & Counts

### Transactions

| TID | Items   |
|-----|---------|
| 10  | A,C,D   |
| 20  | B,C,E   |
| 30  | A,B,C,E |
| 40  | B,E     |



| Itemset | Count |
|---------|-------|
| A       | 2     |
| C       | 3     |
| D       | 1     |
| A,C     | 2     |
| A,D     | 1     |
| C,D     | 1     |
| A,C,D   | 1     |
| B       | 2     |
| E       | 2     |
| B,C     | 2     |
| B,E     | 2     |
| C,E     | 2     |
| B,C,E   | 2     |

| Itemset | Count |
|---------|-------|
| A,B     | 1     |
| A,E     | 1     |
| A,B,C   | 1     |
| A,B,E   | 1     |
| A,B,C,E | 1     |



# Naïve Counting of All Itemsets

## Itemsets & Counts

### Transactions

| TID | Items   |
|-----|---------|
| 10  | A,C,D   |
| 20  | B,C,E   |
| 30  | A,B,C,E |
| 40  | B,E     |



| Itemset | Count |
|---------|-------|
| A       | 2     |
| C       | 3     |
| D       | 1     |
| A,C     | 2     |
| A,D     | 1     |
| C,D     | 1     |
| A,C,D   | 1     |
| B       | 3     |
| E       | 3     |
| B,C     | 2     |
| B,E     | 3     |
| C,E     | 2     |
| B,C,E   | 2     |

| Itemset | Count |
|---------|-------|
| A,B     | 1     |
| A,E     | 1     |
| A,B,C   | 1     |
| A,B,E   | 1     |
| A,B,C,E | 1     |

# Naïve Counting of All Itemsets

## Frequent itemsets

### Transactions

| TID | Items   |
|-----|---------|
| 10  | A,C,D   |
| 20  | B,C,E   |
| 30  | A,B,C,E |
| 40  | B,E     |

$\text{Sup}_{\min}=2$

| Itemset | Count |
|---------|-------|
| A       | 2     |
| C       | 3     |
| D       | 1     |
| A,C     | 2     |
| A,D     | 1     |
| C,D     | 1     |
| A,C,D   | 1     |
| B       | 3     |
| E       | 3     |
| B,C     | 2     |
| B,E     | 3     |
| C,E     | 2     |
| B,C,E   | 2     |

| Itemset | Count |
|---------|-------|
| A,B     | 1     |
| A,E     | 1     |
| A,B,C   | 1     |
| A,B,E   | 1     |
| A,B,C,E | 1     |

We may need  $2^n$  itemset entries for counts !



# Can we do better?

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- 그냥 데이터를 보고 나오는 모든 상품들의 부분집합을 다 count 하면 exponential 한 개수의 부분집합을 count 하게 됨
- 모든 부분집합을 count 안 하는 방법이 있을까?
- Key Observation
  - Every subset of a frequent item set is also frequent item set.
  - If {beer, diaper, nuts} is frequent, {beer, diaper} must be frequent.
- If there is any item set which is infrequent, its superset will not be generated!
  - A powerful candidate set pruning technique.





# Apriori: A Candidate Generation-and-Test Approach

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- Apriori pruning principle: If there is **any** itemset which is infrequent, its superset should not be generated/tested! (Agrawal & Srikant @VLDB'94, Mannila, et al. @ KDD' 94)
- Method:
  - Initially, scan DB once to get frequent 1-itemset
  - **Generate** length  $(k+1)$  **candidate** itemsets from length  $k$  **frequent** itemsets
  - **Test** the candidates against DB
  - Terminate when no frequent or candidate set can be generated

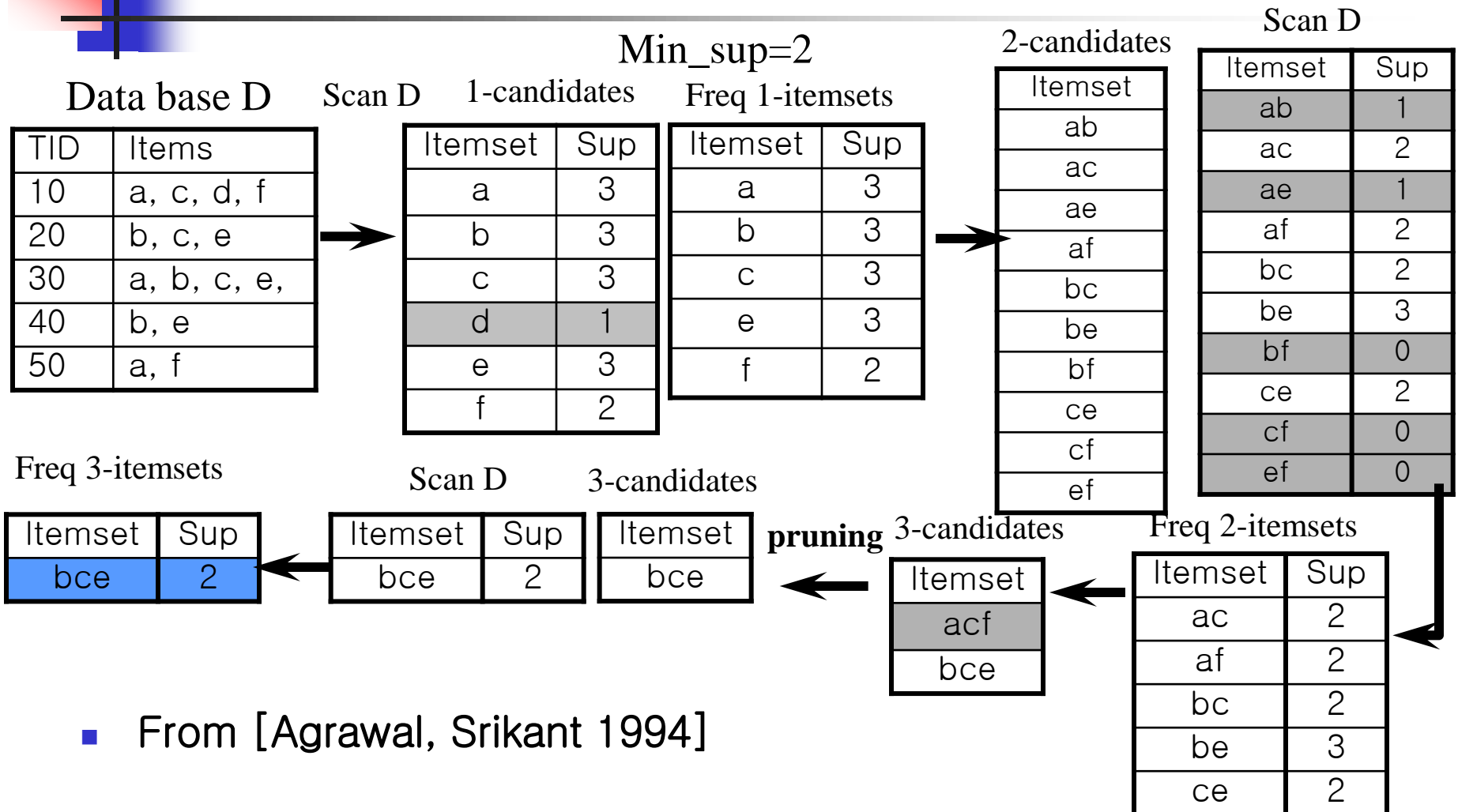


# Scalable Methods for Mining Frequent Patterns

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- The **downward closure** property of frequent patterns
  - Any subset of a frequent itemset must be frequent
  - If **{beer, diaper, nuts}** is frequent, so is **{beer, diaper}**
  - i.e., every transaction having {beer, diaper, nuts} also contains {beer, diaper}
- Scalable mining methods: Three major approaches
  - Apriori (Agrawal & Srikant@VLDB'94)
  - Freq. pattern growth (FPgrowth—Han, Pei & Yin @SIGMOD'00)
  - Vertical data format approach (Charm—Zaki & Hsiao @SDM'02)

# An Apriori Example



■ From [Agrawal, Srikant 1994]



# The Apriori Algorithm

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- $C_k$ : Candidate itemset of size  $k$
- $F_k$ : frequent itemset of size  $k$
- $F_1 = \{\text{frequent items}\};$
- for ( $k = 1; F_k \neq \emptyset; k++$ ) do
  - $C_{k+1}$  = candidates generated from  $F_k$ ;
  - for each transaction  $t$  in database do increment the count of all candidates in  $C_{k+1}$  that are contained in  $t$
  - $F_{k+1}$  = candidates in  $C_{k+1}$  with min\_support
- return  $\cup_k F_k$ ;



# Discovering Rules

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Naïve Algorithm:

```
for each frequent itemset f do  
  for each subset c of f do  
    if (support(f)/support(f-c)  $\geq$  minconf) then  
      output the rule (f-c)  $\rightarrow$  c,  
        with confidence = support(f)/support(f-c)  
        and support = support(f)
```



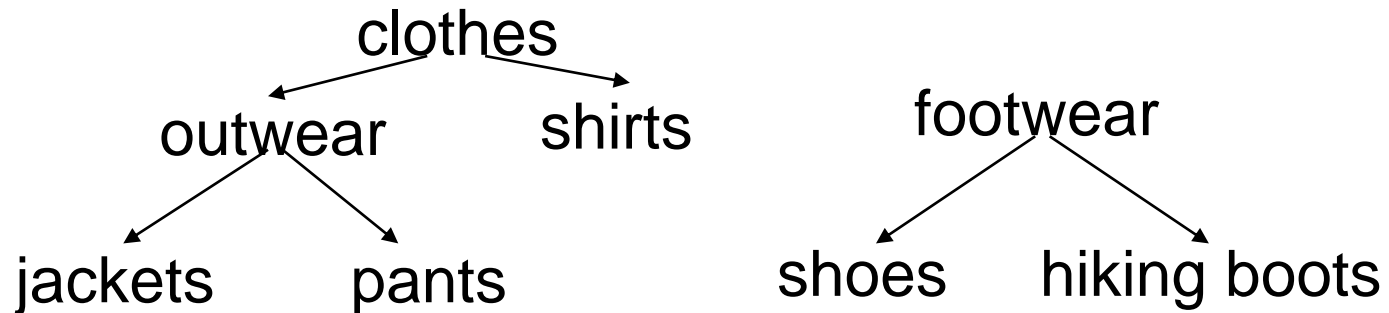
# Discovering Rules

---

- Consider the rule  $(f-c) \rightarrow c$
- Now, if  $c_1$  is a subset of  $c$ 
  - $f-c_1$  is a superset of  $C$ 
    - $\text{support}(f-c_1) \leq \text{support}(f-c)$
    - $\text{support}(f)/\text{support}(f-c_1) \geq \text{support}(f)/\text{support}(f-c)$
    - $\text{conf}((f-c_1) \rightarrow c_1) \geq \text{conf}((f-c) \rightarrow c)$
- So, if a consequent  $c$  generates a valid rule, so do all subsets of  $c$
- Can use the apriori candidate generation algorithm to limit number of possible rules tested.
- Consider a frequent itemset ABCDE
  - If  $ACDE \rightarrow B$  and  $ABCE \rightarrow D$  are the only one-consequent rules with minimum confidence, then  $ACE \rightarrow BD$  is the only other rule that needs to be tested.

# Generalized Association Rules

- Hierarchies over items (e.g. UPC codes)



- Associations across hierarchies:
  - The rule **clothes => footwear** may hold even if **clothes => shoes** do not hold
- [Srikant, Agrawal 95]
- [Han, Fu 95]

# Quantitative Association Rules

▪ [Srikant, Agrawal 96]

| RecordID | Age | Married | NumCars |
|----------|-----|---------|---------|
| 100      | 23  | No      | 1       |
| 200      | 25  | Yes     | 1       |
| 300      | 29  | No      | 0       |
| 400      | 34  | Yes     | 2       |
| 500      | 38  | Yes     | 2       |

- Quantitative attributes (e.g.age,income)

- Categorical attributes (e.g.make of car)

min support = 40% min confidence = 50%

| Sample Rules                                    | Support | Confidence |
|---|---------|------------|
| <age:30..39> and <married: yes> ==> <numCars:2> | 40%     | 100%       |
| <NumCars: 0..1> ==> <Married: No>               | 40%     | 66.70%     |

from [Srikant, Agrawal 96]





# Temporal Association Rules

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- 데이터에 있는 시간 정보 까지 이용함
- Example:
  - $\{\text{diaper}\} \rightarrow \{\text{beer}\}$  (support = 5%, confidence = 87%)
  - 이 룰의 지지도가 평일 6 시에서 9 PM 까지 시간에는 25%로 점프함
- Problem: How to find rules that follow interesting user-defined temporal patterns
- 각각의 시간마다 모든 룰을 일단 다 찾아 내는 것보다 더 효율적인 알고리즘을 만들어 내는 것이 Challenge임
- [Ozden, Ramaswamy, Silberschatz 98]
- [Ramaswamy, Mahajan, Silberschatz 98]



# FP-Tree Algorithm

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- Jiawei Han, Jian Pei, Yiwen Yin: *Mining Frequent Patterns without Candidate Generation* In ACM SIGMOD 2000



# Why FP-Tree and not Apriori?

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- Apriori works well except when:
  - Lots of frequent patterns
    - Big set of items
  - Low minimum support threshold
    - Long patterns
- Why: Candidate sets become huge
  - Discovering pattern of length 100 requires at least  $2^{100}$  candidates (number of subsets)
  - Repeated database scans costly (long patterns)
- Multiple database scans are **costly**
- Bottleneck: candidate-generation-and-test
- Can we avoid candidate generation?



# FP-Tree: Ideas

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- Avoid candidate set explosion by:
  - Compact tree data structure
    - Avoid repeated database scans
  - Restricted test-only
    - Apriori: restricted generation-and-test
  - Search divide-and-conquer based
    - Apriori: breadth-first



# Mining Frequent Patterns Without Candidate Generation

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- Grow long patterns from short ones using local frequent items
  - “abc” is a frequent pattern
  - Get all transactions having “abc”: DB|abc
  - “d” is a local frequent item in DB|abc → abcd is a frequent pattern

# Construct FP-tree from a Transaction Database

| <i>TID</i> | <i>Items bought</i>               | <i>(ordered) frequent items</i> |
|------------|-----------------------------------|---------------------------------|
| 100        | { <i>f, a, c, d, g, i, m, p</i> } | { <i>f, c, a, m, p</i> }        |
| 200        | { <i>a, b, c, f, l, m, o</i> }    | { <i>f, c, a, b, m</i> }        |
| 300        | { <i>b, f, h, j, o, w</i> }       | { <i>f, b</i> }                 |
| 400        | { <i>b, c, k, s, p</i> }          | { <i>c, b, p</i> }              |
| 500        | { <i>a, f, c, e, l, p, m, n</i> } | { <i>f, c, a, m, p</i> }        |

min\_support = 3

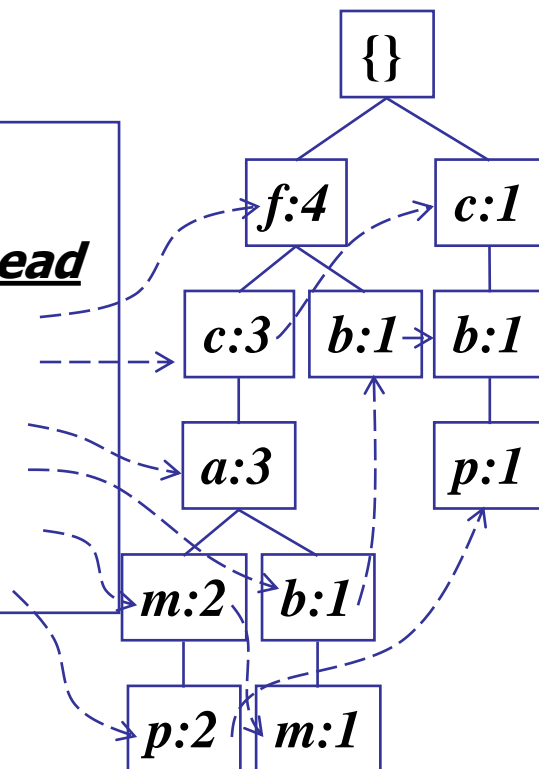
1. Scan DB once, find frequent 1-itemset (single item pattern)
2. Sort frequent items in frequency descending order, f-list
3. Scan DB again, construct FP-tree

**F-list=f-c-a-b-m-p**

## Header Table

### Item frequency head

|                 |          |
|-----------------|----------|
| <b><i>f</i></b> | <b>4</b> |
| <b><i>c</i></b> | <b>4</b> |
| <b><i>a</i></b> | <b>3</b> |
| <b><i>b</i></b> | <b>3</b> |
| <b><i>m</i></b> | <b>3</b> |
| <b><i>p</i></b> | <b>3</b> |



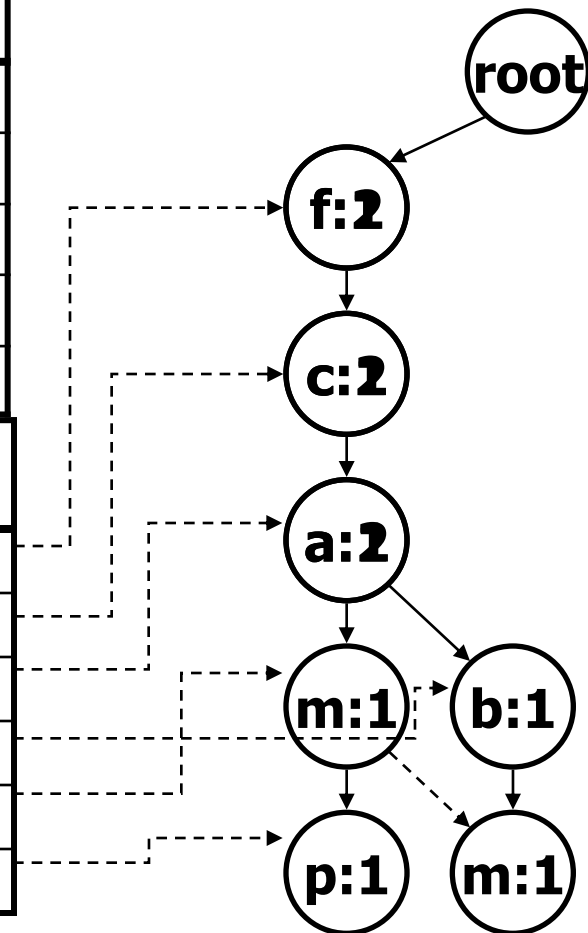
# Example : Construction of FP-tree

**Min\_sup = 3**

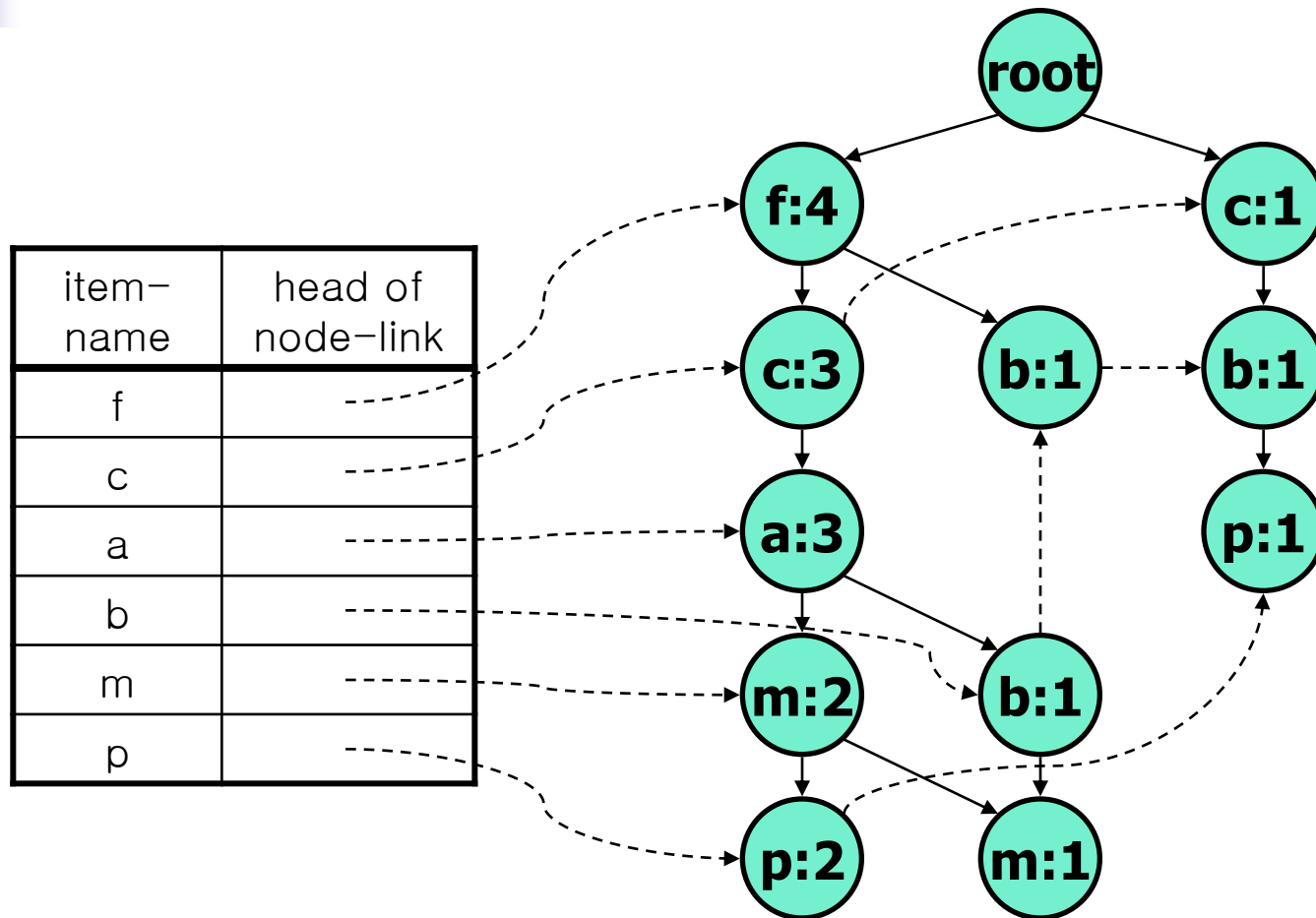
| TID | Items bought           | (Ordered) frequent items |
|-----|------------------------|--------------------------|
| 100 | f, a, c, d, g, i, m, p | f, c, a, m, p            |
| 200 | a, b, c, f, l, m, o    | f, c, a, b, m            |
| 300 | b, f, h, j, o          | f, b                     |
| 400 | b, c, k, s, p          | c, b, p                  |
| 500 | a, f, c, e, l, p, m, n | f, c, a, m, p            |

| item | support |
|------|---------|
| f    | 4       |
| c    | 4       |
| a    | 3       |
| b    | 3       |
| m    | 3       |
| p    | 3       |

| item-name | head of node-link |
|-----------|-------------------|
| f         |                   |
| c         |                   |
| a         |                   |
| b         |                   |
| m         |                   |
| p         |                   |



# An Example of a complete FP-tree







# Properties of FP-tree

---

- FP-tree contains the complete information of DB relevant to frequent pattern mining (completeness)
- A lot of sharing of frequent items makes the FP-tree more compact (compactness)
  - e.g. in MaxMiner experiment
    - The total # of occurrence of frequent items : 2,219,609
    - The total # of nodes in the FP-tree : 13,339



# FP-Tree: Properties

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- The FP-Tree contains everything from the database we need to know for mining frequent patterns
- The size of the FP-tree is  $\leq$  Occurrence of frequent patterns in database



# Properties of FP-tree

---

- FP-tree contains the complete information of DB relevant to frequent pattern mining (completeness)
- A lot of sharing of frequent items makes the FP-tree more compact (compactness)
  - e.g. in MaxMiner experiment
    - The total # of occurrence of frequent items : 2,219,609
    - The total # of nodes in the FP-tree : 13,339



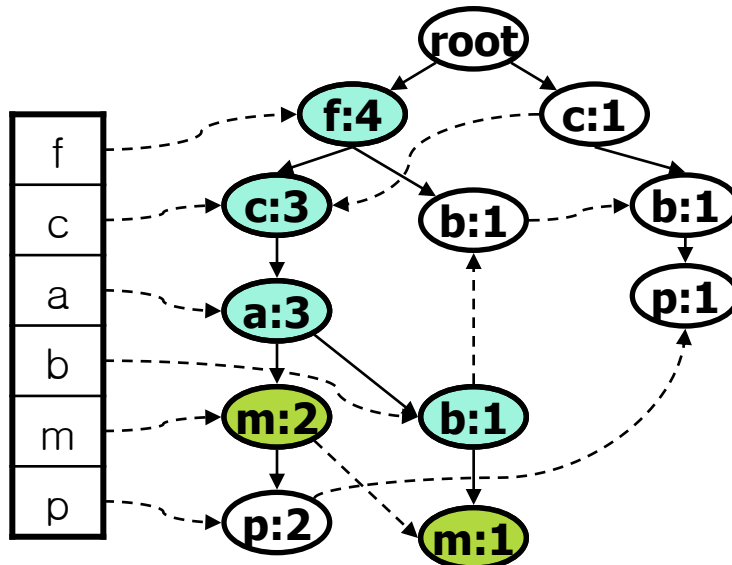
# Mining Frequent Patterns

---

- How do we get find all frequent patterns from the FP-Tree?
  - Intuitively:
    - 1)Find all frequent patterns containing one of the items
    - 2)Then find all frequent patterns containing the next item but NOT containing the previous one
    - 3)Repeat 2) until we're out of items

# Conditional Pattern Base

- A sub-pattern base under the condition of existence of a certain pattern
- Example ( $\text{min\_sup} = 3$ )

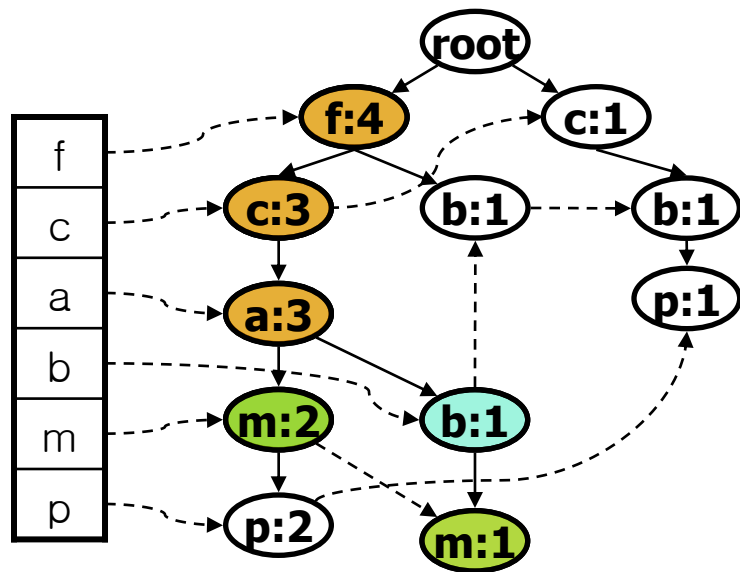


**Nodes that contribute  
m's cond. pattern bases**

**m's cond. pattern bases**  
- (fca:2), (fcab:1)

# Conditional FP-tree

- FP-tree on the conditional pattern bases of a certain item
- If FP-tree consists of single path, all the combinations of items in the path are the freq patterns
- Example (min\_sup = 3)

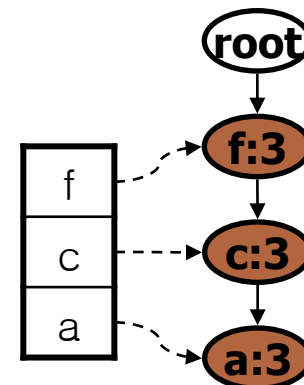


**m's cond. pattern bases**  
- (fca:2), (fcab:1)

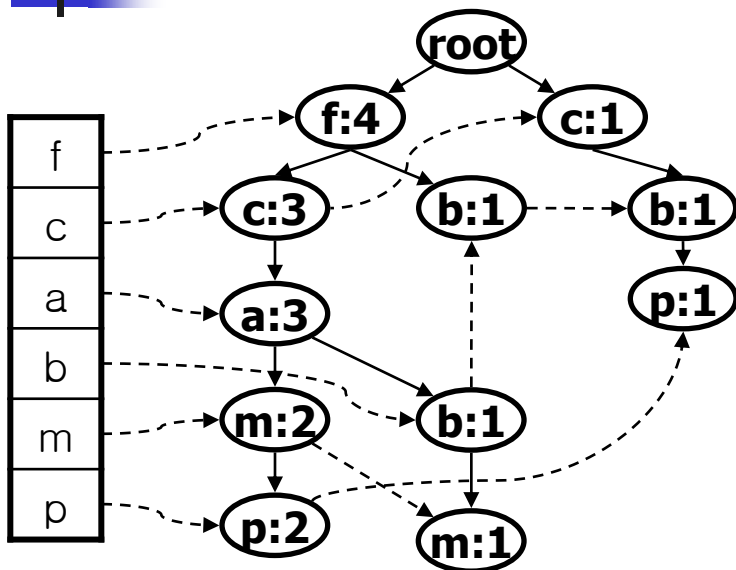
**The nodes that contribute to m's cond.**

**FP-tree**

**m's cond. FP-tree**  
- (fca:3)



# Example : FP-growth



**Min\_sup=3**

| item | conditional pattern base | conditional FP-tree | result freq. pattern                        |
|------|--------------------------|---------------------|---|
| p    | (fcam:2),<br>(cb:1)      | (c:3)               | p, cp                                       |
| m    | (fca:2),<br>(fcab:1)     | (fca:3)             | m, am,<br>cm, fm,<br>cam, fam,<br>fcm, fcam |
| b    | (fca:1), (f:1).<br>(c:1) | none                | b   |
| a    | (fc:3)                   | (fc:3)              | a, ca, fa,<br>fca                           |
| c    | (f:3)                    | (f:3)               | c, fc                                       |
| f    | none                     | none                | f   |



# Benefits of the FP-tree Structure

---

- Completeness
  - Preserve complete information for frequent pattern mining
  - Never break a long pattern of any transaction
- Compactness
  - Reduce irrelevant info—infrequent items are gone
  - Items in frequency descending order: the more frequently occurring, the more likely to be shared
  - Never be larger than the original database (not count node-links and the *count* field)
  - For Connect-4 DB, compression ratio could be over 100





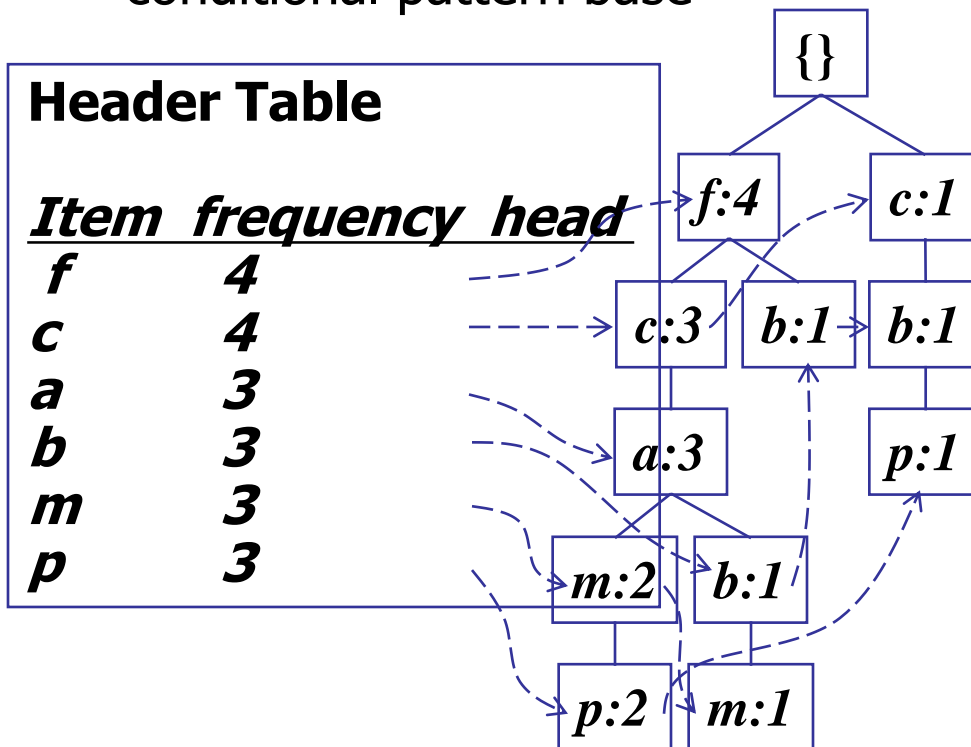
# Partition Patterns and Databases

---

- Frequent patterns can be partitioned into subsets according to f-list
  - F-list=f-c-a-b-m-p
  - Patterns containing p
  - Patterns having m but no p
  - ...
  - Patterns having c but no a nor b, m, p
  - Pattern f
- Completeness and non-redundancy

# Find Patterns Having P From P-conditional Database

- Starting at the frequent item header table in the FP-tree
- Traverse the FP-tree by following the link of each frequent item  $p$
- Accumulate all of *transformed prefix paths* of item  $p$  to form  $p$ 's conditional pattern base

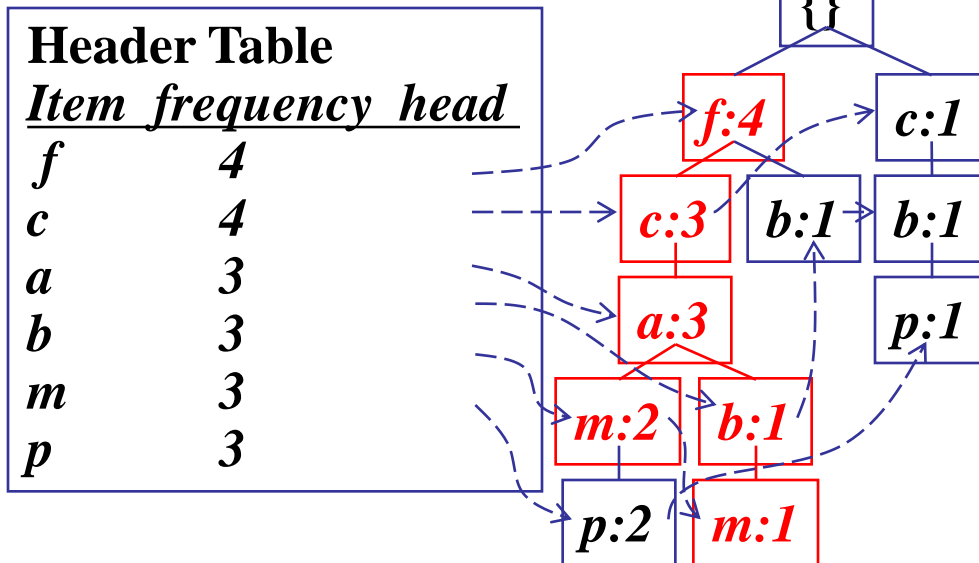


**Conditional pattern bases**

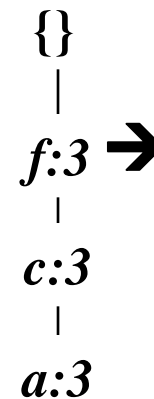
| <i>item</i> | <i>cond. pattern base</i> |
|-------------|---------------------------|
| <i>c</i>    | <i>f:3</i>                |
| <i>a</i>    | <i>fc:3</i>               |
| <i>b</i>    | <i>fca:1, f:1, c:1</i>    |
| <i>m</i>    | <i>fca:2, fcab:1</i>      |
| <i>p</i>    | <i>fcam:2, cb:1</i>       |

# From Conditional Pattern-bases to Conditional FP-trees

- For each pattern-base
  - Accumulate the count for each item in the base
  - Construct the FP-tree for the frequent items of the pattern base



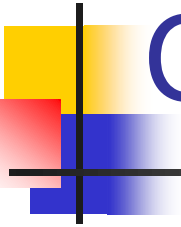
***m*-conditional pattern base:**  
*fca:2, fcab:1*



**All frequent patterns relate to *m***  
*m*,  
*fm, cm, am*,  
*fc<sub>m</sub>, fa<sub>m</sub>, ca<sub>m</sub>*,  
*fcam*

***m*-conditional FP-tree**

# Recursion: Mining Each Conditional FP-tree



{  
|  
*f*:3  
|  
*c*:3  
|  
*a*:3

*m*-conditional FP-tree

**Cond. pattern base of "am": (*f*:3)**

{  
|  
*f*:3  
|  
*c*:3

*am*-conditional FP-tree

**Cond. pattern base of "cm": (*f*:3)**

{  
|  
*f*:3

*cm*-conditional FP-tree

**Cond. pattern base of "cam": (*f*:3)**

{  
|  
*f*:3

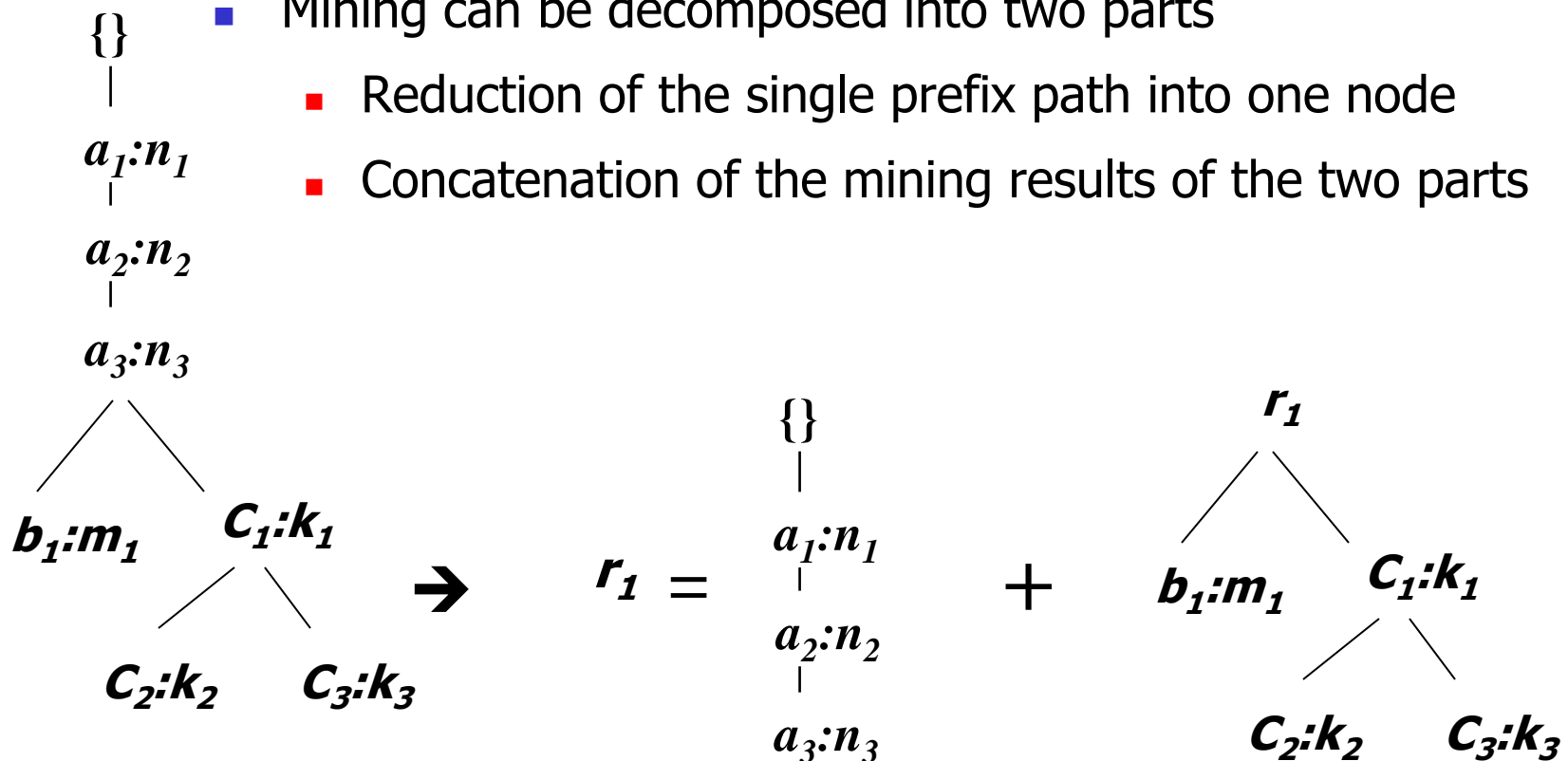
*cam*-conditional FP-tree

# A Special Case: Single Prefix Path in FP-tree

- Suppose a (conditional) FP-tree  $T$  has a shared single prefix-path  $P$

- Mining can be decomposed into two parts

- Reduction of the single prefix path into one node
- Concatenation of the mining results of the two parts



# Mining Frequent Patterns With FP-trees



---

- Idea: Frequent pattern growth
  - Recursively grow frequent patterns by pattern and database partition
- Method
  - For each frequent item, construct its conditional pattern-base, and then its conditional FP-tree
  - Repeat the process on each newly created conditional FP-tree
  - Until the resulting FP-tree is empty, or it contains only one path—single path will generate all the combinations of its sub-paths, each of which is a frequent pattern



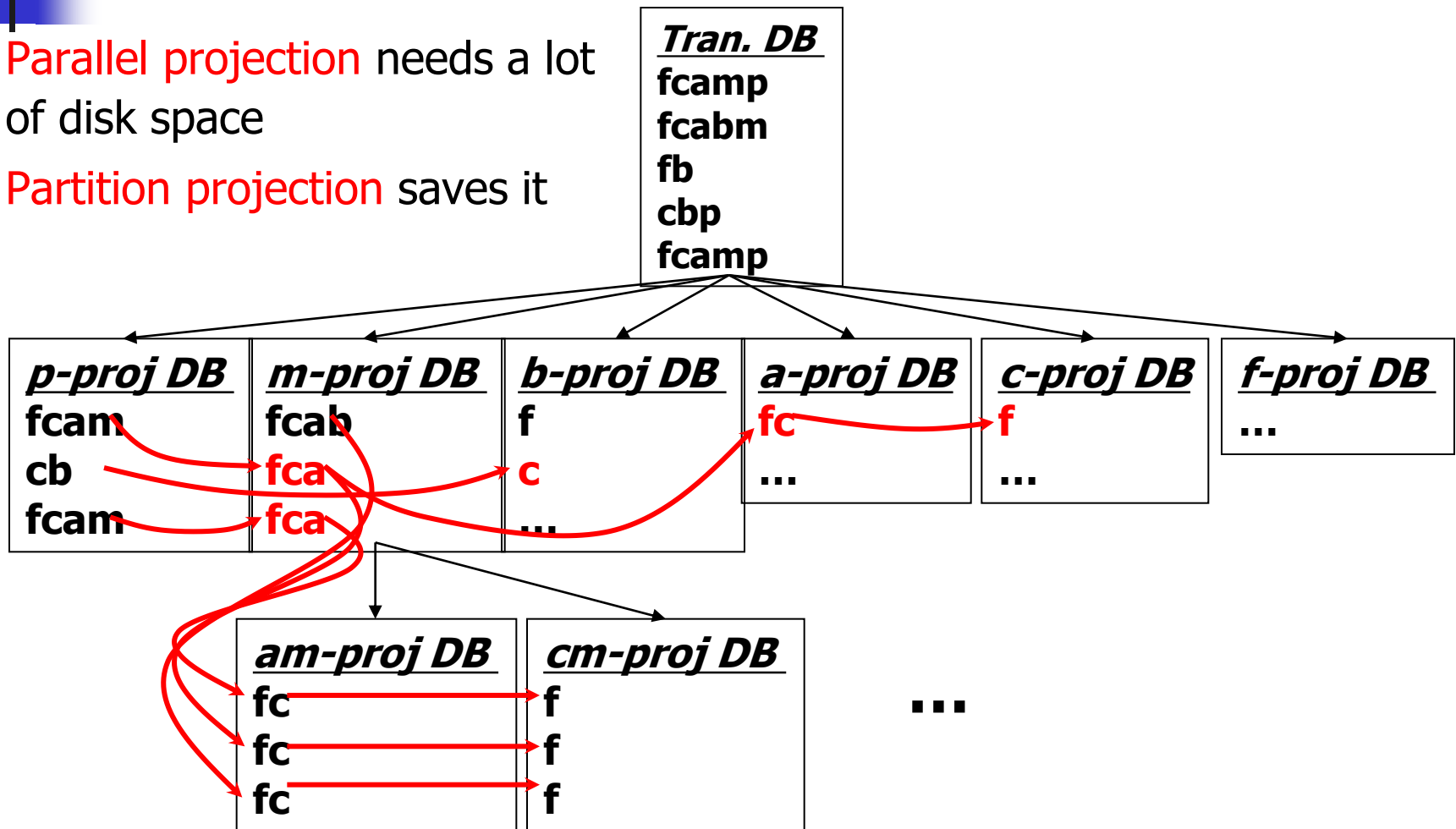
# Scaling FP-growth by DB Projection

---

- FP-tree cannot fit in memory?—DB projection
- First partition a database into a set of projected DBs
- Then construct and mine FP-tree for each projected DB
- **Parallel projection** vs. **Partition projection** techniques
  - Parallel projection is space costly

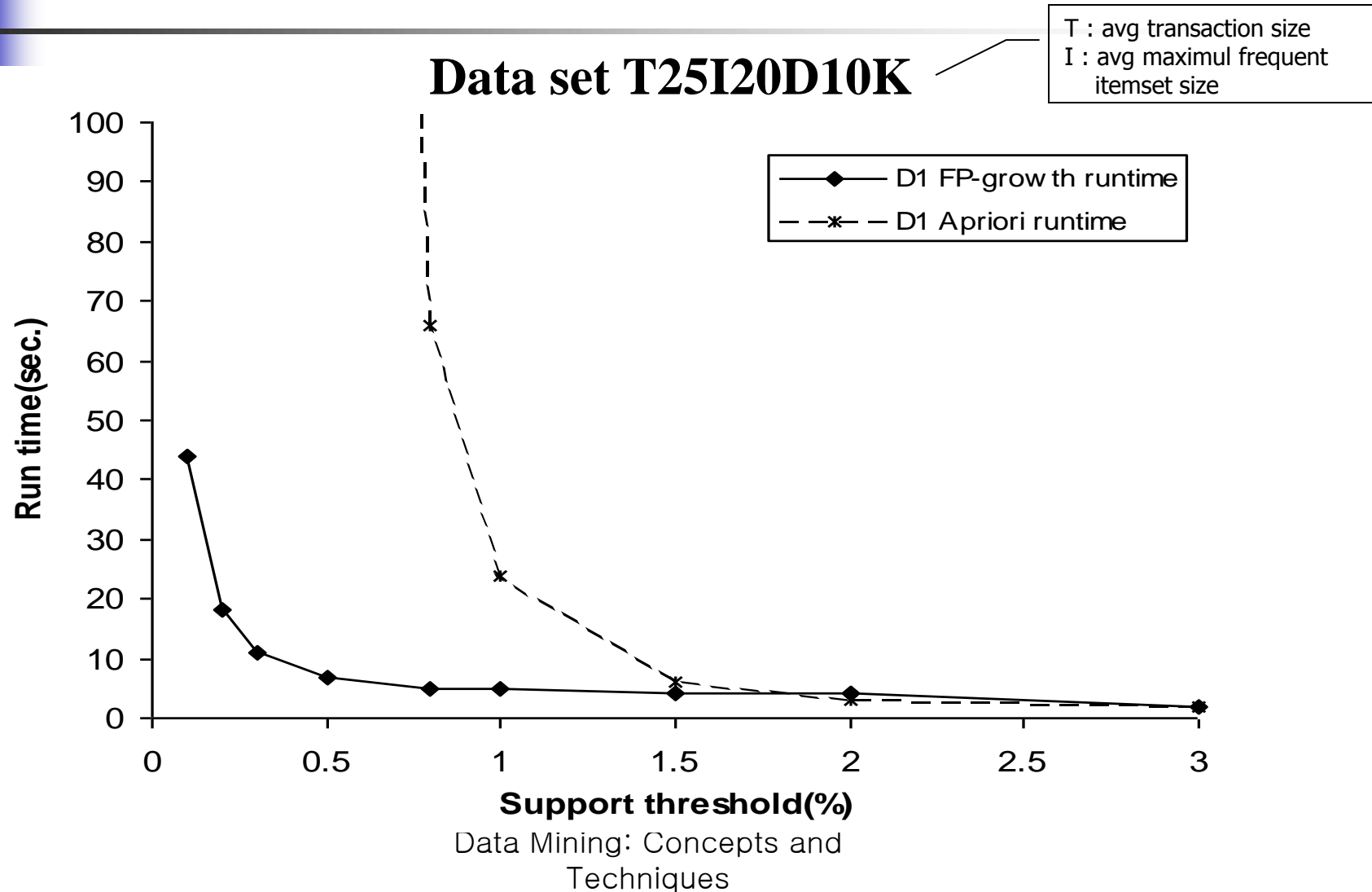
# Partition-based Projection

- **Parallel projection** needs a lot of disk space
- **Partition projection** saves it



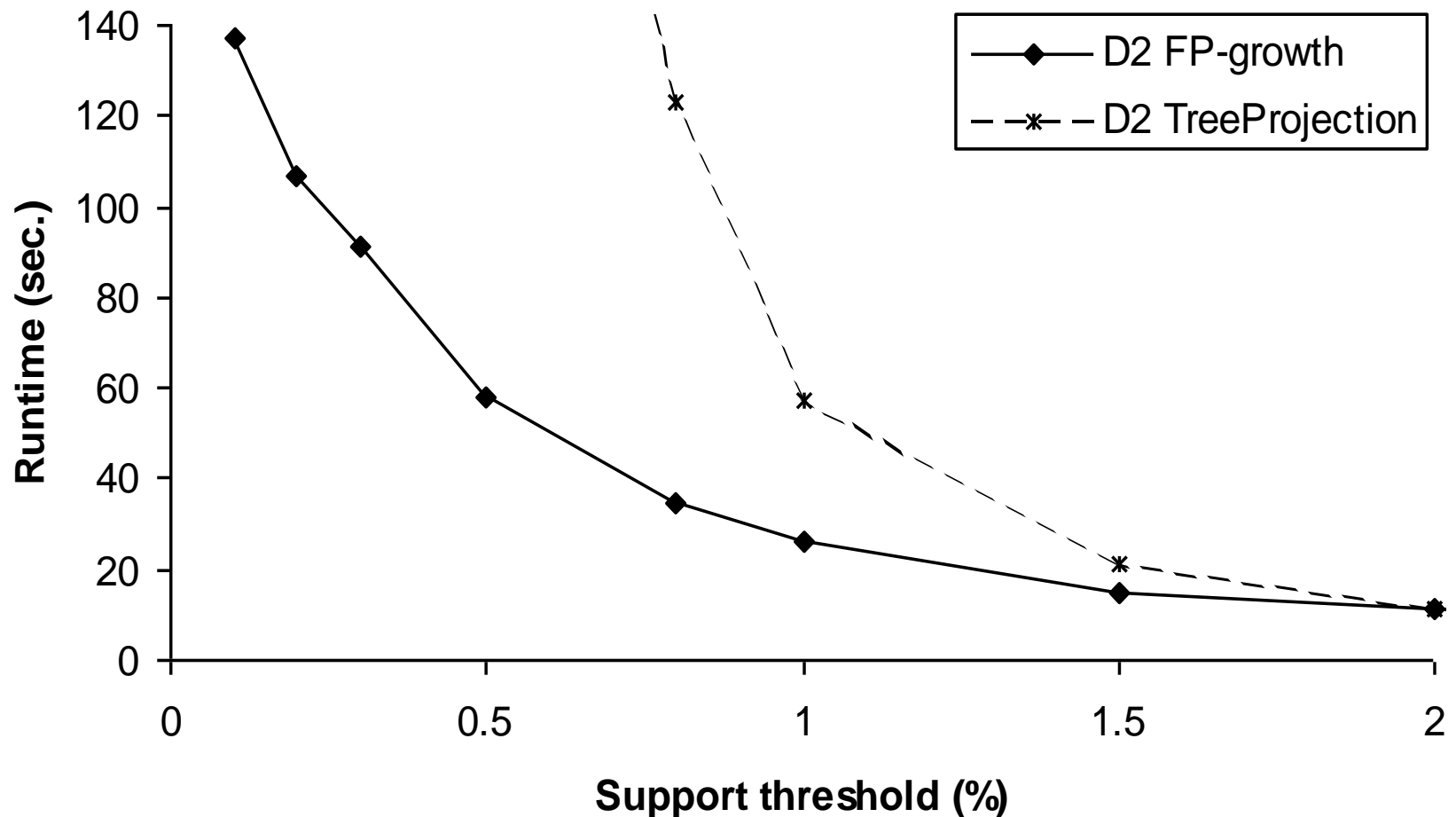


# FP-Growth vs. Apriori: Scalability With the Support Threshold



# FP-Growth vs. Tree-Projection: Scalability with the Support Threshold

**Data set T25I20D100K**





# Why Is FP-Growth the Winner?

---

- Divide-and-conquer:
  - Decompose both the mining task and DB according to the frequent patterns obtained so far
  - Leads to focused search of smaller databases
- Other factors
  - No candidate generation, no candidate test
  - Compressed database: FP-tree structure
  - No repeated scan of entire database
  - Basic ops—counting local freq items and building sub FP-tree, no pattern search and matching



# Implications of the Methodology

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- Mining closed frequent itemsets and max-patterns
  - CLOSET (DMKD'00)
- Mining sequential patterns
  - FreeSpan (KDD'00), PrefixSpan (ICDE'01)
- Constraint-based mining of frequent patterns
  - Convertible constraints (KDD'00, ICDE'01)
- Computing iceberg data cubes with complex measures
  - H-tree and H-cubing algorithm (SIGMOD'01)

# MaxMiner: Mining Max-patterns

- 1<sup>st</sup> scan: find frequent items

- A, B, C, D, E

- 2<sup>nd</sup> scan: find support for

- AB, AC, AD, AE, **ABCDE**

- BC, BD, BE, **BCDE**

- CD, CE, **CDE**, DE,

- Since BCDE is a max-pattern, no need to check BCD, BDE, CDE in later scan

- R. Bayardo. **Efficiently mining long patterns from databases**. In *SIGMOD'98*

| Tid | Items     |
|-----|-----------|
| 10  | A,B,C,D,E |
| 20  | B,C,D,E,  |
| 30  | A,C,D,F   |

**Potential  
max-patterns**



# Mining Frequent Closed Patterns: CLOSET

---

- Flist: list of all frequent items in support ascending order
  - Flist: d-a-f-e-c
- Divide search space
  - Patterns having d
  - Patterns having d but no a, etc.
- Find frequent closed pattern recursively
  - Every transaction having d also has cfa → cfad is a frequent closed pattern
- J. Pei, J. Han & R. Mao. CLOSET: An Efficient Algorithm for Mining Frequent Closed Itemsets", DMKD'00.

Min\_sup=2

| TID | Items         |
|-----|---------------|
| 10  | a, c, d, e, f |
| 20  | a, b, e       |
| 30  | c, e, f       |
| 40  | a, c, d, f    |
| 50  | c, e, f       |



# CLOSET+: Mining Closed Itemsets by Pattern-Growth

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- Itemset merging: if  $Y$  appears in every occurrence of  $X$ , then  $Y$  is merged with  $X$
- Sub-itemset pruning: if  $Y \supset X$ , and  $\text{sup}(X) = \text{sup}(Y)$ ,  $X$  and all of  $X$ 's descendants in the set enumeration tree can be pruned
- Hybrid tree projection
  - Bottom-up physical tree-projection
  - Top-down pseudo tree-projection
- Item skipping: if a local frequent item has the same support in several header tables at different levels, one can prune it from the header table at higher levels
- Efficient subset checking



# CHARM: Mining by Exploring Vertical Data Format

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- Vertical format:  $t(AB) = \{T_{11}, T_{25}, \dots\}$ 
  - tid-list: list of trans.-ids containing an itemset
- Deriving closed patterns based on vertical intersections
  - $t(X) = t(Y)$ : X and Y always happen together
  - $t(X) \subset t(Y)$ : transaction having X always has Y
- Using **diffset** to accelerate mining
  - Only keep track of differences of tids
  - $t(X) = \{T_1, T_2, T_3\}$ ,  $t(XY) = \{T_1, T_3\}$
  - $\text{Diffset}(XY, X) = \{T_2\}$
- Eclat/MaxEclat (Zaki et al. @KDD'97), VIPER(P. Shenoy et al. @SIGMOD'00), CHARM (Zaki & Hsiao @SDM'02)





# Further Improvements of Mining Methods

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- AFOPT (Liu, et al. @ KDD'03)
  - A “push-right” method for mining condensed frequent pattern (CFP) tree
- Carpenter (Pan, et al. @ KDD'03)
  - Mine data sets with small rows but numerous columns
  - Construct a row-enumeration tree for efficient mining