# Accelerating HE Operations Using Key Decomposition

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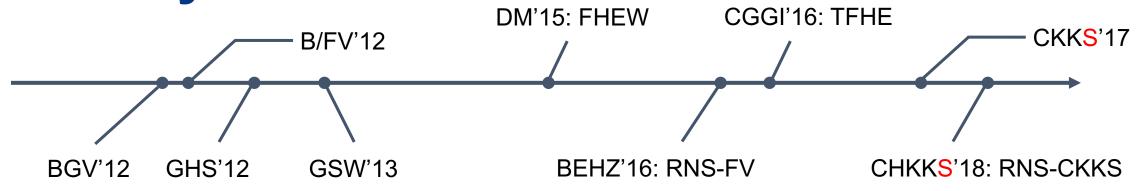
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## **History**



- Remarkable improvements of HE in 2012~2017
- Recent trends
  - Functionality (e.g., bootstrapping, non-arithmetic functions)
  - HE compilers
  - Hardware accelerator
  - Applications
  - Less interests in fundamental HE algorithms



#### **Our Results**

- There's still room for algorithmic improvements!
- Accelerating External Product
  - A key building block of nonlinear HE operations
  - Key-switching (multiplication, automorphism) of BGV / BFV / CKKS
  - GSW operations
- Advantages & Implications
  - Better performance in terms of both asymptotic and concrete complexity
  - Compatible with the prior method and its implementation
  - May change future directions of hardware accelerator



## **Notation**

Notation	Definition		
N	Ring dimension (a power of two)		
$R = \mathbb{Z}[X]/(X^N + 1)$	Ring of integers		
$R_Q = \mathbb{Z}[X]/(X^N + 1)$	Residue ring		
$Q = q_1 q_2 \dots q_\ell$	Ciphertext modulus		



### **RNS** and **NTT**

- Residue Number System (RNS)
  - $Q = q_1 q_2 \dots q_d$ , a product of distinct primes
  - Isomorphism:  $R_Q \to \prod R_{q_i}$ ,  $a \mapsto (a \mod q_i)_{1 \le i \le \ell}$
- Number Theoretic Transform
  - $\rho$  is a (2N)-th primitive root of unity  $(q = 1 \pmod{2N})$ .
  - NTT is a ring isomorphism  $R_q \to \mathbb{Z}_q^N$  defined by  $a(X) \mapsto (a(\rho), a(\rho^3), ..., a(\rho^{2N-1}))$ .
- Polynomial representations of  $a(X) = a_0 + a_1X + \cdots + a_{N-1}X^{N-1} \in R_q$ :
  - Coefficient form:  $(a_0, a_1, ..., a_{N-1})$ .
  - NTT form:  $(a(\rho), a(\rho^3), ..., a(\rho^{2N-1}))$ .



## **Gadget Decomposition**

- Gadget vector:  $\mathbf{g} = (g_1, ..., g_k) \in R_Q^k$ .
  - Denote the inner product function as  $g: \mathbb{R}^k \to \mathbb{R}_Q$ ,  $g(x_1, ..., x_k) = \sum_i x_i \cdot g_i \pmod{Q}$ .
  - The product is well-defined since  $R_O$  is an R-module.
- Gadget decomposition:  $h: R_Q \to R^k$ 
  - $h(a) = (b_1, ..., b_k)$  is a "short" vector in  $g^{-1}(a)$
  - In other words,  $b_i$  are small and  $\sum_i b_i \cdot g_i = a$  over  $R_Q$ .



## An Example

• RNS Basis  $Q = q_1 q_2 \dots q_\ell$  where  $q_1, \dots, q_\ell$  are distinct primes

- Prime decomposition:  $h(a) = ([a]_{q_i})_{1 \le i \le \ell} \in \mathbb{R}^{\ell}$
- Gadget vector:  $\boldsymbol{g} = (g_1, ..., g_\ell) \in R_Q^\ell$ 
  - $g_i \equiv 1 \pmod{q_i}$  and  $g_i \equiv 0 \pmod{q_j}$  for  $j \neq i$ .
- Correctness:  $\sum_{i} [a]_{q_i} \cdot g_i = a \pmod{Q}$ 
  - Chinese Remainder Theorem



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## **Definition**

- External Product  $\boxdot: R_Q \times R_Q^{\ell} \to R_Q$ 
  - Input:  $a \in R_Q$  &  $u = (u_1, ..., u_\ell) \in R_Q^d$
  - Output:  $c \in R_Q$
  - Step 1 (decomposition):  $(b_1, ..., b_\ell) \leftarrow h(a) \in R^\ell$
  - Step 2 (linear combination):  $c = b_1 u_1 + \dots + b_\ell u_\ell \in R_Q$
- Note :  $a \odot g = a$
- We write  $a \odot \mathbf{U} = (a \odot \mathbf{u}_0, a \odot \mathbf{u}_1) \in R_Q^2$  for  $\mathbf{U} = [\mathbf{u}_0 \mid \mathbf{u}_1] \in R_Q^{\ell \times 2}$



# **Key-switching**

- Key-switching key:  $U = [u_0 | u_1] = [s \cdot u_1 + e | u_1] + [s' \cdot g | 0]$
- Input: a ciphertext component a (under a secret s')
- Output:  $(c_0, c_1) \leftarrow a \boxdot \mathbf{U} \in R_Q^2$  is an RLWE ciphertext:  $c_0 + c_1 s \approx as' \pmod{Q}$ .
- Examples:
  - Relinearization:  $s' = s^2$ .
  - Automorphism:  $s' = \varphi(s)$ .



## Implementing External Product

- Input:  $a \in R_Q$  &  $u = (u_1, ..., u_\ell) \in R_Q^d$ 
  - Step 1 (decomposition):  $(b_1, ..., b_\ell) \leftarrow h(a) \in R^\ell$
  - Step 2 (linear combination):  $c = b_1 u_1 + \dots + b_\ell u_\ell \in R_Q$
- **Key question**: How to compute the product of  $b_i \in R$  and  $u_i \in R_Q$ ?
- Previously:
  - Compute the RNS representation of  $b_i \in R$  modulo  $Q : \ell^2$  NTTs
  - Then perform the inner product over  $R_Q$ :  $\ell^2$  mults



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### **Our Method**

- Goal: Compute the linear combination  $c = b_1u_1 + \cdots + b_\ell u_\ell \in R_Q$  more efficiently.
- Main Idea: perform the computation over R as much as possible
  - Suppose that the gadget decompositions of  $u_i$  are given, say  $h(u_i) = (v_{i,1}, ..., v_{i,\ell}) \in R^{\ell}$ .
  - From  $u_i = \sum_j v_{i,j} \cdot g_j \pmod{Q}$ ,

$$c = \sum_{i} b_{i} u_{i} = \sum_{i} b_{i} \cdot \left(\sum_{j} v_{i,j} \cdot g_{j}\right) = \sum_{j} \left(\sum_{i} b_{i} v_{i,j}\right) \cdot g_{j} \pmod{Q}.$$



## **Important Facts**

$$c = \sum_{i} \left( \sum_{i} b_{i} v_{i,j} \right) \cdot g_{j} \pmod{Q}.$$

- $v_{i,j}$  can be precomputed
- $c_i = \sum_i b_i v_{i,j}$  are **small** elements of R.
  - Its upper bound is determined by h, not Q.
- $c = c_i \pmod{q_i}$ , so  $(c_1, ..., c_\ell)$  is the RNS representation of c modulo Q.



## **New External Product**

- Input:  $a \in R_Q$  and  $h(u_i) = (v_{i,1}, \dots, v_{i,\ell}) \in R^{\ell}$  for  $1 \le i \le \ell$ .
  - $v_{i,j}$  are precomputed and given in the DFT form over R
- Step 1: Compute  $b_1 = [a]_{q_1}, \dots, b_\ell = [a]_{q_\ell}$  over  $R: \ell$  DFTs
- Step 2: Compute  $c_i = \sum_i b_i v_{i,i}$  for each j:  $\ell^2$  mults in total
- Step 3: Convert  $c_i$  back into the coefficient form:  $\ell$  inverse DFTs
- Output:  $(c_1, c_2, ..., c_\ell)$ , the RNS rep. of c modulo Q.

This is purely an algorithmic optimization, computing the same ciphertext!



#### In the full version...

- The special-modulus technique is applied
  - A key-switching key has a larger modulus PQ > Q.
- General RNS-based gadget decompositions
  - $h(a) = ([a]_{D_1}, ..., [a]_{D_k})$  for some  $D_1 ... D_k = Q$
  - Another gadget decomposition over  $R_{PO}$  for  $u_i$ .
- Need multi-precision integral polynomial arithmetic
  - Precision depends on the decomposition bounds



## **Implementation**

- Used the Lattigo library
- Base Ring of Integers
  - Instantiate R by  $R_B$  for sufficiently large B > 0.
  - B is a product of r' prime numbers  $p_i$
  - A DFT over R corresponds to r' NTTs
- Parameter setup
  - The usual HE parameters
  - Choose the best-performing decomposition over  $R_{PQ}$



# **Experimental Results (CPU)**

N	$\ell$	r	Prev	Ours	Speedup
32768	24	1	0.317	0.140	2.3
		2	0.204	0.110	1.9
		3	0.151	0.101	1.5
65536	48	1	2.688	0.818	3.3
		2	1.980	0.655	3.0
		3	1.438	0.585	2.5
		4	1.191	0.550	2.2

N: RLWE dimension, r: decomposition size (no. of primes in each digit)



# **Experimental Results (CPU)**

