

# Smooth, Physically-Consistent Adaptive Control for Robot Manipulators

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*Abstract—*

## I. INTRODUCTION

The adaptive control, which has a technical goal of adapting the controller online even in the presence of model uncertainty, is an appealing strategy for taking account robustness for control in an intelligent manner. Adaptive control of robot manipulator, which is an appealing application for such method, has long been extensively studied throughout the past decades.

The two most important and globally convergent classes of adaptive controllers developed for robot manipulators are *adaptive inverse dynamics control*, or *adaptive computed torque control*, proposed by Craig et al [6], and *passivity based adaptive control* proposed by Slotine and Li [7]. The important distinction from the past works is that they are proven to be the globally convergent controller that does not rely on any linear approximation of the dynamic model, but utilizes the explicit linear decomposition of constant inertial parameters in the dynamics.

One general assumption in *adaptive inverse dynamics control* [6] and other approaches [13], [14], [15] that fall into this category is the requirement of uniform positive definiteness of the estimated mass matrix, since the inverse of estimated mass matrix is explicitly used in the parameter adaptation law. Passivity based adaptive control [7], [10], [17] enjoys some attractive advantages over adaptive inverse dynamics based approach, including the fact that uniform positive definiteness of the estimated mass matrix is not required for implementation of the algorithm. However, uniform positive definiteness of the estimated mass matrix may not end up to be simply a technical requirement for specific class of

adaptive controller, but a desirable aspect for performance of general adaptive controller in a sense that the estimated parameters should guarantee to be a physically feasible one.

Positive definiteness requirement of the estimated mass matrix is directly related to the physical consistency of the estimated inertial parameters of a robot. It can be shown that the necessary condition for physical consistency of inertial parameters(i.e. positive mass and positive definite rotational inertial matrix at the center of mass) guarantee the positive definite requirement of the corresponding mass matrix. Exploiting this property together with convex characterization of the set of physically consistent inertial parameters, projection based algorithms [11], or parameter resetting algorithms have been applied to robot adaptive control, which essentially switches the parameter update law on the boundary of feasible set to enforce the estimated parameter to be feasible, which in turn would produce a non-smooth adaptive controller when the parameter touches the user-specified boundary of feasible set [6], [9], [12], [16].

Meanwhile, one critical liability that direct adaptive control methods for robot manipulator based on [6] and [7] have in common is the requirement for users to set legitimate choice of constant adaptation gain matrix  $\Gamma$  prior to the practical deployment of the algorithm. This is generally time-consuming process requiring trial and error, as the the number of constant adaptation gains vary as the squared number of parameters for adaptation, which is indeed problem-dependant(e.g. adapting entire set or some portions of links' inertial parameters, or only end-effector link for compensating unknown payload).

In this paper, we propose a novel modification of parameter adaptation law that guarantees the physical consistency of estimated parameters in a smooth manner without any projection or resetting based algorithms. While the existing adaptation laws can be viewed as a gradient-like update law on flat Euclidean space with constant metric  $\Gamma$ , our method is a natural gradient[5]-like one on non-flat Riemannian space defined by the one-to-one linear mapping from a link's inertial parameters to the space of  $4 \times 4$  symmetric positive definite matrices first pointed out in [3]. It does not require any additional computational supplement to the existing methods and is directly applicable to any globally convergent Lyapunov-based adaptive controllers that exploit the linear decomposition of inertial parameters in dynamics. Our adaptation law, which we would call *natural adaptation law*, also drastically reduces the requirement of engineering choice of constant adaptation gain, wherein only choice of scalar constant gain  $\gamma$  is required for adjusting the speed of adaptation regardless of the number of parameters

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for adaptation. Consequently, our adaptive controller enjoys more “intelligent” capability in terms of direct utility. Our method and claims are validated via extensive simulation experiment on 7-dof robot manipulator involving trajectory tracking task with and without unknown payload grasped by the end-effector. (((Also, adaptation of joint Coloumb friction parameters, having positive quantities in nature, is directly applied under our framework of *natural adaptation law*.)))

## II. PREVIOUS RESULTS ON ADAPTIVE CONTROL FOR ROBOT MANIPULATORS

In this section, we briefly revisit the two important class of Lyapunov-based globally convergent adaptive controllers developed for robot manipulators in [6] and [7] which still serve as a fundamental and core basis for rich source of further developments and advanced applications in the field of robot adaptive control.

Dynamic equations for general  $n$ -dof open chain manipulators take the form,

$$M(q, \Phi)\ddot{q} + C(q, \dot{q}, \Phi)\dot{q} + g(q, \Phi) = u, \quad (1)$$

where  $q \in \mathbb{R}^n$  is the vector of joint angles,  $M(\cdot) \in \mathbb{R}^{n \times n}$ ,  $C(\cdot) \in \mathbb{R}^{n \times n}$ , and  $g(\cdot) \in \mathbb{R}^n$  denote the mass matrix, Coriolis matrix, and the gravitational force vector respectively,  $u \in \mathbb{R}^n$  is the motor torque input, and  $\Phi = [\phi_1^T, \dots, \phi_n^T]^T \in \mathbb{R}^{10n}$  is the complete set of inertial parameters for  $n$  links and inertial parameter vector for  $i^{th}$  link,  $\phi_i \in \mathbb{R}^{10}$ , is commonly expressed in the form,

$$\phi_i = [m_i, h_i, I_i^{xx}, I_i^{yy}, I_i^{zz}, I_i^{xy}, I_i^{yz}, I_i^{zx}] \in \mathbb{R}^{10}$$

, where scalar  $m_i$  is mass,  $p_i \in \mathbb{R}^3$  is center for mass,  $I_i \in \mathcal{S}(3)$  is symmetric  $3 \times 3$  matrix of rotational inertial tensor, all represented in some body-fixed reference frame. The technical goal of adaptive control is to design a control input  $u$  that ensures global convergence of trajectory tracking error even in the presence of model uncertainty. In this paper, we confine our interest to the class of adaptive controllers that assumes only the model uncertainty in inertial parameter  $\Phi$ . Furthermore, as the term “adaptive” indicates, time-varying estimates of the true model parameters are respected together with the trajectory tracking controller, which differentiates from class of robust controllers that make use of fixed parameter estimates with known uncertainty bound.

### A. Adaptive Computed Torque Control [6]

The control input for adaptive computed torque control, also referred to as adaptive inverse dynamics, is given by,

$$u = M(q, \hat{\Phi})\{\ddot{q}_d - K_v\dot{\tilde{q}} - K_p\tilde{q}\} + C(q, \dot{q}, \hat{\Phi})\dot{q} + g(q, \hat{\Phi}), \quad (2)$$

where  $K_p \in \mathbb{R}^{n \times n}$  and  $K_v \in \mathbb{R}^{n \times n}$  are diagonal matrices of positive gains,  $q_d$  is the given reference trajectory,  $\tilde{q} = q - q_d$ , and  $\hat{\Phi}$  is the estimate of the true inertial parameter  $\Phi$ , whose update law would be clarified later. Then using the linear property of inertial parameters in dynamic equations, the closed loop dynamics becomes

$$\ddot{\tilde{q}} + K_v\dot{\tilde{q}} + K_p\tilde{q} = M(q, \hat{\Phi})^{-1}Y(q, \dot{q}, \ddot{q})\tilde{\Phi}, \quad (3)$$

where  $Y \in \mathbb{R}^{n \times 10n}$  is the regressor function that satisfies

$$M(q, \Phi)\ddot{q} + C(q, \dot{q}, \Phi)\dot{q} + g(q, \Phi) = Y(q, \dot{q}, \ddot{q})\Phi, \quad (4)$$

and  $\tilde{\Phi} = \hat{\Phi} - \Phi$ . The state space formulation of (3) with augmented state vector defined as  $e = [\tilde{q}^T, \dot{\tilde{q}}^T]^T$  is given by

$$\dot{e} = Ae + BM(q, \hat{\Phi})^{-1}Y(q, \dot{q}, \ddot{q})\tilde{\Phi}, \quad (5)$$

where  $A = \begin{bmatrix} 0 & I \\ -K_p & -K_v \end{bmatrix} \in \mathbb{R}^{2n \times 2n}$  is a Hurwitz matrix and  $B = \begin{bmatrix} 0 & I \end{bmatrix}^T \in \mathbb{R}^{2n \times n}$ . Then we may choose some constant symmetric  $2n \times 2n$  positive definite matrix  $Q$  and let  $P$  be the unique symmetric positive definite matrix satisfying the Lyapunov equation,  $A^T P + PA = -Q$ . Now we define the Lyapunov function candidate  $V$  as the following:

$$V = e^T P e + \tilde{\Phi}^T \Gamma \tilde{\Phi},$$

where  $\Gamma \in \mathbb{R}^{10n \times 10n}$  is a constant symmetric positive definite matrix. Then the time derivative of the Lyapunov function candidate  $\dot{V}$  is derived as,

$$\dot{V} = -e^T Q e + 2\tilde{\Phi}^T \{Y(q, \dot{q}, \ddot{q})^T M(q, \hat{\Phi})^{-1} B^T P e + \Gamma \dot{\tilde{\Phi}}\},$$

using the fact that  $\dot{\tilde{\Phi}} = \dot{\hat{\Phi}}$ , since  $\Phi$  is constant. Defining the parameter update law or adaptation law as,

$$\dot{\hat{\Phi}} = -\Gamma^{-1} Y(q, \dot{q}, \ddot{q})^T M(q, \hat{\Phi})^{-1} B^T P e, \quad (6)$$

we have  $\dot{V} = -e^T Q e \leq 0$ . From the stability analysis provided in [6] it follows that position tracking error  $e$  converges to zero asymptotically and the parameter estimate error  $\tilde{\Phi}^T \Gamma \tilde{\Phi}$  remains bounded, given that estimated mass matrix  $M(q, \hat{\Phi})$  is uniformly bounded and invertible. Actually,  $M(q, \hat{\Phi})$  must be invertible to implement the adaptation law (6) wherein the term  $M(q, \hat{\Phi})^{-1}$  is explicitly used without justification of its existence. In [6], authors provide switching scheme to reset the parameters to always reside inside some feasible bound defined by a set of linear inequality constraints on  $\hat{\Phi}$  that sufficiently guarantees the boundedness and invertibility (or equivalently positive definiteness) of  $M(q, \hat{\Phi})$ . Another important issue in implementing the adaptation law (6) is the requirement of joint acceleration  $\ddot{q}$  feedback, which is practically hard to measure or numerically differentiate from position or velocity with fine quality. The adaptive controller presented below proposed by Slotine and Li [7] removes both of these impediments.

### B. Passivity-based Adaptive Control [7]

The control input for passivity-based adaptive control is given by,

$$u = M(q, \hat{\Phi})a + C(q, \dot{q}, \hat{\Phi})v + g(q, \hat{\Phi}) - Kr, \quad (7)$$

$$= Y(q, \dot{q}, a, v)\hat{\Phi} - Kr$$

where the vectors  $v, a, r \in \mathbb{R}^n$  are defined as

$$v = \dot{q}_d - \Lambda \tilde{q}, \quad a = \dot{v} = \ddot{q}_d - \Lambda \dot{\tilde{q}}, \quad r = \dot{q} - v = \dot{\tilde{q}} + \Lambda \tilde{q},$$

and  $K$  and  $\Lambda$  are diagonal matrices of constant positive gains. Then the closed loop dynamics is given by,

$$M(q, \Phi)\dot{r} + C(q, \dot{q}, \Phi)r + Kr = Y(q, \dot{q}, a, v)\tilde{\Phi}.$$

Here we introduce the Lyapunov function candidate as follows:

$$V = \frac{1}{2} r^T M(q, \Phi) r + \frac{1}{2} \tilde{\Phi}^T \Gamma \tilde{\Phi} \quad (8)$$

where  $\Gamma$  is again set to be a constant symmetric positive definite matrix. Using the skew-symmetry property of the matrix  $\dot{M} - 2C$  [7],  $\dot{V}$  is calculated as,

$$\dot{V} = -r^T K r + \tilde{\Phi}^T \{ \Gamma \dot{\tilde{\Phi}} + Y^T r \}.$$

Choosing the parameter update law or adaptation law as,

$$\dot{\tilde{\Phi}} = -\Gamma^{-1} Y(q, \dot{q}, a, v)^T r, \quad (9)$$

we have  $\dot{V} = -r^T K r \leq 0$ . It can also be shown that position tracking error  $e$  converges to zero asymptotically from the convergence of  $r$  and parameter estimate error  $\tilde{\Phi}^T \Gamma \tilde{\Phi}$  remains bounded. Note that the acceleration measurements  $\ddot{q}$  nor the inverse of  $M(q, \hat{\Phi})$  is required for the control input (7) and parameter adaptation (9).

Natural and practical choice of gain matrices  $K$ ,  $\Lambda$  can be determined as  $K = \lambda \cdot M(q, \hat{\Phi})$  along with  $\Lambda = \lambda \cdot I$  for some scalar constant  $\lambda$  as noted in [10]. Such choice physically means that higher gain is used for joint with higher inertia. It is shown in [10] that despite of such time-varying choice of  $K$  it guarantees the global convergence with a slight modification of  $a$  in the regressor function  $Y$  in adaptation law (9) to,  $a - \lambda r$ .

### III. GEOMETRY OF RIGID BODY INERTIAL PARAMETER

In this section, we provide some geometric preliminaries of Riemannian space of rigid body inertial parameters that underlies the key concept for our main contribution to robot adaptive control described in the next section.

#### A. Physically Consistent Rigid Body Inertial Parameters

It turns out that there are exactly ten independent number of variables in inertial parameters  $\phi_b \in \mathbb{R}^{10}$  of a single rigid body, which sufficiently describes the full rigid body dynamics expressed in some body-fixed reference frame  $\{b\}$ . However, inertial parameters  $\phi_b$  themselves are not valid over the entire  $\mathbb{R}^{10}$  space but only the subset, since they are subject to so called physical consistency condition. As pointed out in [1], [2], the requirement that a rigid body's mass  $m$  be positive and its inertia matrix at the center of mass,  $I_b^C = I_b - m[p_b][p_b]^T$ , be positive-definite is in fact a necessary, but not sufficient, conditions for physical consistency. So called triangular inequality condition on the three eigenvalues of  $I_b^C$  has to hold additionally in order for the physically realizable nonnegative mass density function  $\rho : \mathbb{R}^3 \rightarrow \mathbb{R}_0^+$  to exist.

In [3] the equivalent full physical consistency condition is expressed in the following alternative but equivalent form: the  $4 \times 4$  symmetric matrix  $P_b \in \mathcal{S}(4)$  is defined as

$$P_b = \int \begin{bmatrix} \vec{r}_b \\ 1 \end{bmatrix} \begin{bmatrix} \vec{r}_b \\ 1 \end{bmatrix}^T \rho(\vec{r}_b) dV_b = \begin{bmatrix} \Sigma_b & h_b \\ h_b^T & m \end{bmatrix}, \quad (10)$$

where the second moment matrix  $\Sigma_b \in \mathcal{S}(3)$  is defined by  $\Sigma_b = \int \vec{r}_b \vec{r}_b^T \rho(\vec{r}_b) dV_b$ . It is shown in [3] that the condition

that  $P_b$  be positive-definite, i.e.  $P_b \succ 0$ , is equivalent to the physical consistency conditions described in [2]. To make this identification more explicit, from the relation  $\Sigma_b = \frac{1}{2} \text{tr}(I_b) \mathbf{1} - I_b$ , which holds for any choice of body frame  $\{b\}$ , the one-to-one linear mapping  $f : \mathbb{R}^{10} \rightarrow \mathcal{S}(4)$  can be defined as follows:

$$f(\phi_b) = P_b = \begin{bmatrix} \frac{1}{2} \text{tr}(I_b) \cdot \mathbf{1} - I_b & h_b \\ h_b^T & m \end{bmatrix} \in \mathcal{S}(4)$$

$$f^{-1}(P_b) = \phi_b(m, h_b, \text{tr}(\Sigma_b) \cdot \mathbf{1} - \Sigma_b) \in \mathbb{R}^{10}.$$

The physical consistency conditions on the parameter  $\phi_b \in \mathbb{R}^{10}$  can be identified under the mapping  $f$  with the requirement that the symmetric matrix  $P_b = f(\phi_b) \in \mathcal{P}(4)$  be positive definite.

Based on the above, we define the manifold  $\mathcal{M}$  of the set of physically consistent inertial parameters for a single rigid body as follows:

$$\mathcal{M} \simeq \{ \phi_b \in \mathbb{R}^{10} : f(\phi_b) \succ 0 \} \subset \mathbb{R}^{10}$$

$$\simeq \{ P_b \in \mathcal{S}(4) : P_b \succ 0 \} = \mathcal{P}(4).$$

The elements can be identified in both  $\mathbb{R}^{10}$  and  $\mathcal{P}(4)$ , also for different choices of body-fixed reference frame  $\{b\}$ . For a multibody system with  $n$  rigid links, the space of physically consistent inertial parameters is given by the product space  $\mathcal{M}^n \simeq \mathcal{P}(4)^n$ .

#### B. Riemannian Geometry of $\mathcal{M} \simeq \mathcal{P}(4)$

Riemannian geometric structure can be defined on the manifold  $\mathcal{M}$  with a natural choice of Riemannian metric, which consequently provides a valid distance metric between two element connected by a minimal geodesic path.

In order for a distance to be naturally defined on a manifold, it is desirable to be invariant with respect to choice of coordinate frames or physical units/scale. It would also be desirable if the distance were to possess a physical meaning that corresponds to our intuition. The standard Euclidean metric on  $\mathbb{R}^{10}$  under the vectorized representation  $\phi_b$  is found to satisfy none of these desiderata [4]. Authors in [4] define a coordinate-invariant distance metric on  $\mathcal{M}$  that is essentially inherited from the affine-invariant Riemannian geometric structure of  $\mathcal{P}(4)$ . That is, for  $P \in \mathcal{P}(4)$  and tangent vector  $X, Y \in T_P \mathcal{P}(4)$ , Riemannian metric invariant under the group action  $G * P = G P G^T$ , where  $G \in GL(4)$  is any  $4 \times 4$  non singular matrix, is given by

$$\langle X, Y \rangle_P = \frac{1}{2} \text{tr}(P^{-1} X P^{-1} Y). \quad (11)$$

Then the resulting geodesic distance between two arbitrary points  $P_1, P_2 \in \mathcal{P}(4)$  is given by

$$d_{\mathcal{P}(4)}(P_1, P_2) = \left( \sum_{i=1}^n (\log(\lambda_i))^2 \right)^{1/2},$$

where  $\lambda_i$  are the eigenvalues of  $P_1^{-1/2} P_2 P_1^{-1/2}$ , or equivalently, those of  $P_1^{-1} P_2$ . Now, applying a one-to-one mapping  $f$  from the vectorized inertial parameter  $\phi_b$  to the matrix form  $P_b = f(\phi_b)$ , a distance metric on  $\mathcal{M}$  is defined as,

$$d_{\mathcal{M}}(^1\phi_b, ^2\phi_b) = d_{\mathcal{P}(4)}(^1P_b, ^2P_b), \quad (12)$$

which was proven to be invariant to the choice of coordinate frames  $\{b\}$  and physical unit/scale, and also possess some interesting features that matches to our physical intuition and has close connection to the Fisher information metric as remarked in [4].

### C. Natural Gradient Descent on $\mathcal{M} \simeq \mathcal{P}(4)$

Natural gradient descent is a generalization of the steepest descent method on flat Euclidean space to a general curved Riemannian space [5]. Let  $h : \mathcal{P}(4) \rightarrow \mathbb{R}$  be a differentiable function defined on  $\mathcal{M}$ . Then the steepest descent direction of  $h(P)$  at  $P \in \mathcal{P}(4)$  is defined by the tangent vector  $dP \in \mathcal{S}(4)$  that minimizes  $h(P + dP) \simeq h(P) + \text{tr}(\nabla h(P) \cdot dP)$  where  $\|dP\|_P$  has a fixed length in terms of local Riemannian metric  $([\nabla h(P)]_{ij} \triangleq \partial h / \partial P_{ij})$ . The ensuing optimization problem can be formulated as the following:

$$dP = \arg \min_W \text{tr}(\nabla h(P) \cdot W) \quad (13)$$

$$\text{s.t. } \|W\|_P^2 = \frac{1}{2} \text{tr}(P^{-1} W P^{-1} W) = 1 \quad (14)$$

The Lagrangian for the above can be defined by  $L(W, \lambda) = \text{tr}(\nabla h(P) \cdot W) + \lambda(1 - \text{tr}(P^{-1} W P^{-1} W))$  and the first order necessary condition  $\nabla_W L = 0$  yields the optimal  $W$  as,

$$W = \gamma \cdot P \nabla h(P) P, \quad (15)$$

where the constant scalar  $\gamma$  is determined from the constraint (14). Here, the natural gradient descent direction  $P \nabla h(P) P$  clearly differs from the classical steepest descent direction on Euclidean space,  $\nabla h(P)$ .

### D. Bregman Divergence as a Pseudo Distance Metric on $\mathcal{M}$

As will be specified in the following sections, exact Riemannian geodesic distance defined as (12) fails to be directly applicable to the existing framework of robot adaptive control as a part of valid Lyapunov function candidate for its algebraic nonlinearity.

In this section, We propose an alternative psuedo distance metric on inertial parameters that derives from the Bregman divergence of a log-det function on  $\mathcal{P}(4)$ , which still preserves coordinate-invariance property but is only non-negative and fails to be symmetric nor satisfy triangular inequality.

Bregman divergence associated with a function  $F : \Omega \rightarrow \mathbb{R}$  for points  $p, q \in \Omega$  is defined by the difference between the value of  $F$  at point  $p$  and the value of the first-order Taylor expansion of  $F$  around point  $q$  evaluated at point  $p$ :

$$D_{F(\Omega)}(p||q) = F(p) - F(q) - \langle \nabla F(q), p - q \rangle.$$

When  $\Omega = \mathcal{P}(4)$ , the bregman divergence associated with a log-det function  $F$ , i.e.  $F(P) = -\log |P|$  for  $P \in \mathcal{P}(4)$ , is given by,

$$D_{F(\mathcal{P}(4))}(P||Q) = \log \frac{|Q|}{|P|} + \text{tr}(Q^{-1}P) - 4 \quad (16)$$

$$= \sum_{i=1}^4 (-\log(\lambda_i) + \lambda_i - 1), \quad (17)$$

where  $\lambda_i$  are the eigenvalues of  $Q^{-1}P$  or equivalently  $Q^{-1/2}PQ^{-1/2}$ . Note that  $D_{F(\mathcal{P}(4))}$  is affine-invariant, that is invariant under the  $GL(4)$  group action  $*$ .

Again using the one-to-one mapping  $f$  from  $\phi$  to  $P = f(\phi)$  we can define the distance measure on  $\mathcal{M}$  as

$$D_{\mathcal{M}}({}^1\phi_b, {}^2\phi_b) = D_{F(\mathcal{P}(4))}({}^1P_b || {}^2P_b), \quad (18)$$

which is coordinate-invariant, since the coordinate transformation on  $P$  follow the exact form of  $GL(4)$  group action  $*$  as described in [4]. We now omit all the coordinate frame subscripts for expressing the inertial paramters  $\phi$  and  $P$ .

**Remark 1.** The divergence measure  $D_{F(\mathcal{P}(n))}$  approximates affine-invariant Riemmanian metric up to second order; that is for two infinitesimally close positive definite matrices  $P$  and  $P + dP$ , the following holds:

$$D_{F(\mathcal{P}(n))}(P||P + dP) = \langle dP, dP \rangle_P + o(\lambda_i(P^{-1}dP)^3)$$

As an interesting further remark, the relation above is exactly identical to the one between Fisher information metric and Kullback-Leibler(KL) Divergence on statistical manifold of probability density functions.

## IV. CONTRIBUTION: NATURAL ADAPTATION LAW

Lyapunov stability analysis provides a technical way of assessing the stability of the closed loop system, where in the investigation of particular choice of Lyapunov function candidate is demanded. However, a natural choice of Lyapunov function candidate at firsthand can sometimes adversely help in designing the natural stabilizing control law. Especially for a large class of mechanical systems including robot manipulators, a branch of passivity-based control methods that exploits the skew-symmetry of  $\dot{M} - 2C$  have been developed by considering the choice of energy-like Lyapunov function candidate, i.e. system's kinetic, elastic energy related functions as also in (8). Such method allows the closed loop system to inherit the intrinsic physical properties of the original system to some extent [8], rather than entirely substituting the original dynamics with that of virtual spring- damper system through feedback linearization as in computed-torque control. Slotine and Li, as described in the former section, has shown that such physically motivating control design can also be successfully applied to adaptive manipulator control.

Meanwhile, the general class of globally convergent adaptive control for robot manipulators discussed in the former section consider the Lyapunov function candidate  $V$  as the summation of tracking error term  $V_t$  and parameter error term  $V_p$ :

$$V = \underbrace{V_t(q, \dot{q}, q_d, \dot{q}_d, \Phi)}_{\text{(tracking error)}} + \underbrace{V_p(\Phi, \hat{\Phi})}_{\text{(parameter error)}}. \quad (19)$$

Here, as opposed to the choice of tracking error term  $V_t$ , the natural choice of parameter error term  $V_p$  is often overlooked, considering quadratic parameter error of the form  $\tilde{\Phi}^T \Gamma \tilde{\Phi}$  with constant positive definite matrix  $\Gamma$ . As inverse matrix of  $\Gamma$  turns out to serve as an adaptation gain in (6), (9), in

practice, it takes a time-consuming procedure to select an appropriate choice of  $\Gamma$  prior to the specific task at hand. Moreover, as thoroughly discussed in [4], indifferent choice of metric on inertial parameters as a standard Euclidean metric, i.e.  $\Gamma = \gamma I$ , can easily lead to a fatal scale problem and physical inconsistency in the estimation, especially when excitation trajectory is poorly persistent. Such problem should also be pervasive in adaptive control, wherein limited source of trajectory data is available for adaptation performed online.

There have been several approaches or modifications toward more robust parameter adaptation under the framework of adaptive computed-torque control and passivity based adaptive control. For the case of adaptive computed-torque control, several projection or resetting based modifications of the adaptation law have been proposed to keep estimated inertial parameters to be physically consistent [6], [9], [11], [12], [16], which sufficiently guarantees the uniform positive definiteness of estimated mass matrix  $M(q, \hat{\Phi})$ . However, we believe that such switching based schemes can often be overly conservative remedy to the issue of physical inconsistency, since, with the poor choice of  $\Gamma$ , estimated parameter would be just highly prone to converge to the boundary of a pre-defined feasible set, which leads to a trivial adaptation in parameters during large portion of the operation time. On the other hand, Slotine and Li have suggested indirect [9] or composite version [10] of their passivity based method, where they take into account additional filtered torque prediction error in a least square sense which essentially updates the matrix  $\Gamma$  to a more well-conditioned value over the time.

However, to the best of our knowledge, none of the adaptation laws so far respect the physical consistency of the estimated parameters in an intrinsic and smooth manner. In the following sections, we argue that these desirable aspects can in fact be achieved by simply considering the natural and coordinate invariant choice of smooth parameter error term  $V_p$  at firsthand, which in turn also drastically reduces the burden of selecting excessive number of adaptation gains compared to the existing methods.

#### A. Bregman Divergence as a Lyapunov Function Candidate

A seemingly possible and natural choice of  $V_p$  could be a geodesic distance metric as defined in [4], i.e.

$$V_p(\Phi, \hat{\Phi}) = \sum_{i=1}^n d_{\mathcal{M}}(\phi_i, \hat{\phi}_i). \quad (20)$$

However, it turns out that such choice fails to algebraically enforce the negative-definiteness of  $\dot{V}$  due to the nonlinearity of (20). Recall that the time derivative of tracking error related Lyapunov function candidate  $V_t$  is derived in the following form:

$$\dot{V}_t \leq \tilde{\Phi}^T b \quad (21)$$

, where for the adaptive computed torque control  $b = Y^T \hat{M}^{-1} B^T P e$ , and for the passivity-based adaptive control  $b = Y^T r$ . In order to make the time derivative of the entire

Lyapunov function candidate  $V = V_t + V_p$  to be negative, time derivative of  $V_p$  is algebraically required to be of the form,

$$\dot{V}_p(\Phi, \hat{\Phi}) = \tilde{\Phi}^T g(\dot{\hat{\Phi}}, \hat{\Phi}), \quad (22)$$

with adaptation law defined by  $g(\dot{\hat{\Phi}}, \hat{\Phi}) = -b$  (when  $V_p$  is set as conventional quadratic error, i.e.  $V_p = \frac{1}{2} \tilde{\Phi}^T \Gamma \tilde{\Phi}$ , then  $g(\dot{\hat{\Phi}}, \hat{\Phi}) = \Gamma \dot{\hat{\Phi}}$ ). Then this eventually enforces the negativity of  $\dot{V}$ , i.e.  $\dot{V} = \dot{V}_t + \dot{V}_p \leq \tilde{\Phi}^T (b + g(\dot{\hat{\Phi}}, \hat{\Phi})) = 0$ . It is important here to note that  $g$  should be a function of only estimated parameters  $\hat{\Phi}$  and their time derivatives  $\dot{\hat{\Phi}}$  and not include true parameter  $\Phi$ , since it is not known *a priori*, rather a desired value we wish to estimate. With the choice of  $V_p$  in (20), its time derivative fails to be explicitly factored in the form (22) at all for its nonlinearity.

We now claim that the Bregman divergence based distance measure discussed in (18) allows a valid Lyapunov function candidate of  $V_p$  whose time derivative can actually be derived in the form (22).

**Proposition 1.** A function  $V_p$  defined as

$$V_p(\Phi, \hat{\Phi}) = \gamma \sum_{i=1}^n D_{F(\mathcal{P}(4))}(f(\phi_i) || f(\hat{\phi}_i)) \quad (23)$$

for constant positive scalar gain  $\gamma$  and true parameter vector  $\Phi$  is a valid Lyapunov function candidate of  $\hat{\Phi}$ , which is differentiated in the form (22).

*Proof.* Firstly, it can be observed that  $V_p$  is a symmetric function of a set of eigenvalues  $\lambda_i^j$  ( $j = 1, 2, 3, 4$ ) of matrices  $f(\hat{\phi}_i)^{-1} f(\phi_i)$ , which implies coordinate invariance of  $V_p$ . Explicitly  $V_p$  is given by

$$V_p = \gamma \sum_{i=1}^n \sum_{j=1}^4 [-\log(\lambda_i^j) + \lambda_i^j - 1].$$

Since a scalar function  $-\log(x) + x - 1$  is always non-negative,  $V_p \geq 0$  is a valid Lyapunov function candidate, having zero only when  $\lambda_i^j = 1$  for all  $i, j$ , i.e.  $\hat{\Phi} = \Phi$ .

The time derivative of  $V_p$  is given by,

$$\begin{aligned} \dot{V}_p &= \gamma \frac{d}{dt} \sum_{i=1}^n \left[ \log \left( \frac{|f(\hat{\phi}_i)|}{|f(\phi_i)|} \right) + \text{tr}(f(\hat{\phi}_i)^{-1} f(\phi_i)) - 4 \right] \\ &= \gamma \sum_{i=1}^n \text{tr} \left( f(\hat{\phi}_i)^{-1} f(\dot{\hat{\phi}}_i) - f(\hat{\phi}_i)^{-1} f(\hat{\phi}_i) f(\hat{\phi}_i)^{-1} f(\phi_i) \right) \\ &= \gamma \sum_{i=1}^n \text{tr} \left( f(\hat{\phi}_i)^{-1} f(\dot{\hat{\phi}}_i) f(\hat{\phi}_i)^{-1} (f(\hat{\phi}_i) - f(\phi_i)) \right) \\ &= \gamma \sum_{i=1}^n \text{tr} \left( [f(\hat{\phi}_i)^{-1} f(\dot{\hat{\phi}}_i) f(\hat{\phi}_i)^{-1}] f(\tilde{\phi}_i) \right) \end{aligned} \quad (24)$$

, where we used the fact that  $\frac{d}{dt} f(\hat{\phi}) = f(\dot{\hat{\phi}})$  and  $f(\hat{\phi}) - f(\phi) = f(\hat{\phi} - \phi) = f(\tilde{\phi})$  which hold by the linearity of mapping  $f$ . Again by the linearity of  $f$ ,  $\dot{V}_p$  calculated above can be expressed in the form (22).  $\square$

### B. Natural Adaptation Law

Based on the choice of  $V_p$  as in (23), we now propose a novel adaptation law, which we call *natural adaptation law*, applicable to any Lyapunov based adaptive control methods in which the time derivative of tracking error related Lyapunov function candidate  $V_t$  is expressible in the form (21).

**Proposition 2.** *Given the control law that results in the time derivative of  $V_t$  of the form (21), the following adaptation law:*

$$\dot{\hat{P}}_i = -\frac{1}{\gamma} \hat{P}_i B_i \hat{P}_i, \quad i = 1, \dots, n \quad (25)$$

, where  $\hat{P}_i = f(\hat{\phi}_i)$  and  $B_i$  are unique symmetric matrices that satisfies the relation  $\tilde{\Phi}^T b = \sum_{i=1}^n \text{tr}(f(\hat{\phi}_i) B_i)$ , guarantees the asymptotic convergence of tracking error to zero, bounded parameter error and also physical consistency of the estimated parameter  $\hat{\Phi}$  over the time given that the initial estimate is chosen to be physically consistent.

*Proof.* Consider the valid Lyapunov function candidate,

$$V = V_t + V_p$$

, where  $V_p$  is defined by (23). Then,

$$\begin{aligned} \dot{V} &= \dot{V}_t + \dot{V}_p \\ &\leq \tilde{\Phi}^T b + \gamma \sum_{i=1}^n \text{tr}(\hat{P}_i^{-1} \dot{\hat{P}}_i \hat{P}_i^{-1} \tilde{P}_i) \\ &= \sum_{i=1}^n \text{tr}(\tilde{P}_i B_i) + \gamma \sum_{i=1}^n \text{tr}(\hat{P}_i^{-1} \dot{\hat{P}}_i \hat{P}_i^{-1} \tilde{P}_i) \\ &= \sum_{i=1}^n \text{tr}([B_i + \gamma \hat{P}_i^{-1} \dot{\hat{P}}_i \hat{P}_i^{-1}] \tilde{P}_i) \end{aligned}$$

holds from (21), (24) and  $\tilde{P}_i = f(\hat{\phi}_i) = \hat{P}_i - P_i$ . From the adaptation rule defined by (25),  $\dot{V} \leq 0$ , holds and the asymptotic convergence of tracking error can be shown in the same manner as before. Moreover, since  $\dot{V} \leq 0$ ,  $V$  is bounded and therefore  $V_p = V - V_t \leq V$  is always bounded over the time. Meanwhile,  $V_p(0)$  is truly bounded, since initial parameter estimate is set to be physically consistent, i.e.  $\hat{P}_i(0) \in \mathcal{P}(4)$ . If there exists some time instance  $T > 0$  where  $\hat{P}_i(T)$  is not positive definite, then by the continuity there exists time instance  $0 < t_0 \leq T$  such that  $\hat{P}_i(t_0)$  is on the boundary of  $\mathcal{P}(4)$ , i.e. at least one of the eigenvalues of  $\hat{P}_i(t_0)$  is zero. Then this contradicts the fact that  $V_p(t)$  be bounded for all  $t > 0$ , since  $V_p(t_0)$  is infinity. Therefore, the present adaptation law always guarantees the physical consistency of  $\hat{\Phi} \sim \{\hat{P}_i\}_{i=1}^n$ .  $\square$

From the optimization perspective, the existing parameter update rules (6), (9) can be understood as steepest gradient descent-like method on the flat Euclidean space. On the other hand, our method is analogous to the natural gradient descent method that generalizes the steepest descent method to the general non-flat Riemannian manifold. Natural gradient is known to provide more efficient direction of descent in

terms of the metric predefined on the space [5]. Note also that resulting natural gradient-like modification as in (15), i.e. from  $\hat{P}_i \sim -B_i$  (which is equivalent to  $\hat{\Phi} \sim b$ ) to  $\hat{P}_i \sim -P_i B_i P_i$ , actually turns out to preserve the stabilizing property, which was proven from the use of Bregman divergence under Lyapunov-based analysis instead of exact Riemannian metric.

**Remark 2.** *Equivalently expressing our natural adaptation law (25) in a vector form as,*

$$\dot{\hat{\Phi}} = -\frac{1}{\gamma} \Gamma(\hat{\Phi})^{-1} b \quad (26)$$

, where  $\Gamma(\cdot) \in \mathbb{R}^{10n \times 10n}$  is a pullback form of Riemannian metric (11) on  $\mathcal{P}(4)^n$  to the vectorspace  $\{f^{-1}(\mathcal{P}(4))\}^n$ , one can identify that a state-dependent, time-varying choice of gain matrix  $\Gamma$  is considered, as opposed to the arbitral constant one in the conventional approaches. As a by-product, under our framework of adaptation rule, one only has to determine a single tuning variable  $\gamma$ , which is essentially the adaptation speed.

### V. SIMULATION RESULTS

The simulations are conducted in MATLAB and the manipulator we used is Barrett WAM 7-DOFs manipulator arm model. The manipulator is assumed to be equipped with a torque-controller and fixed on the ground base. Self-collision and frictions are not considered yet. In order to make the simulation environment realistic and practical as possible, the control frequency is set as 1 kHz and the payload is limited to 3 kg. Although it is a tempting option to use *ode45* function of MATLAB for dynamic simulation, a simple Euler method is adopted since the adaptation of the inertial parameter will be updated digitally in real implementation.

The common task of all experiments is to track a joint space trajectory sequence which is composed of five unit trajectories. Each unit trajectory has a period of 5 seconds. Two types of sequences are used, which we refer to as a repeated sequence and a varied sequence. The repeated sequence is constructed from five identical cosine curves and the varied sequence is also constructed from the cosine curves but different amplitudes evenly spaced from 0.4 to 1.2. The unit trajectory is synthesized as follows:

$$q_i(t) = A_i \left( \cos\left(\frac{2\pi}{T_i} t\right) - 1 \right) \quad (i = 1, \dots, n)$$

where  $q_i$  is the joint angle of the  $i$ th joint,  $A_i$  is the amplitude,  $T_i$  is the period and  $i$  is the joint number. There are four acronyms to indicate different adaptive control algorithms to be compared: 'Euc-origin' method is the original passivity-based adaptive control described in Section II. 'Euc-project' method is the modified method which projects the inertia tensors to satisfy physically-consistency and 'Euc-enhanced' method is another 'Euc-enhanced' method that uses Mahalanobis distance metric for inertia tensors, that is,  $\Gamma$  is determined properly by the nominal parameters of the inertias. Finally, 'Natural' method indicates our proposed adaptive control algorithm.

The same control gains are used for each experiment:  $\Lambda = 30.0 \cdot I$ ,  $\Gamma^{-1} = 0.0001 \cdot I$  and  $\gamma^{-1} = 1.0$ . It might be noticed that the scale of  $\Gamma$  and  $\gamma$  is quite different, but those are not comparable by simply subtracting each other. The body links of the manipulator are visualized as ellipsoids which are physically-equivalent. That is, the inertia tensor of an ellipsoid is identical to that of the corresponding link.

In Section V-A, it is assumed that a nominal inertial parameters are given and the adaptations are applied to the entire set of the robot body. In Section V-B, three unknown loads are subjected to be adapted while the true parameters of the robot body inertias are known.

#### A. Adaptation of Entire Set of Inertial Parameters

The repeated sequence with amplitude of 0.8 and the varied sequence with amplitude of 0.4, 0.6, 0.8, 1.0, 1.2 is given as the desired trajectory. the task commoly praticed. Sequence of different trajectory to test generalization

#### B. Adaptation of Unknown Load

##### Repeated trajectory

As previously mentioned, the transient tracking error is not converged in any case by 'Euc-origin' method while 'Euc-ehnnaced' method converged except the asymmetric load of the third case and 'Natural' is converged in all cases.

Note that the RMS tracking error of the third load is initially the lowest by 'Euc-origin' method.

## VI. CONCLUSIONS

We propose a novel smooth and physically consistent modification of adaptation law for adaptive control of robot manipulators. The robustness and direct utility of our algorithm without excessive prior tuning process are both traceable in large part to exploiting the coordinate-invariant geometric structure of inerital parameter space. To highlight, our geometric approach does not require any computational supplement compared to the existing methods.

As large scope of robot adaptive control for more advanced tasks, e.g. interaction, constrained or hybrid position/force control [18], [19], or for complex structures, e.g. underactuated [20], flexible-joint [21], or even spacecrafts [22], have been essentially developed under the Lyapunov-based framework used in [6], [7], applying our natural adaptation law to these various situations is expected to be straightforward and interesting target of future work.

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