Flexible Runtime Security Enforcement with Tagged C

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Abstract. Today's computing infrastructure is built atop layers of legacy C code, often insecure, poorly understood, and/or difficult to maintain. These foundations may be shored up with dynamic security enforcement, which spares legacy code owners from having to modify their code. Tagged C is a C variant with a built-in *tag-based reference monitor* for use in expressing a variety of dynamic security policies and enforcing them with compiler and hardware support.

Tagged C is comprehensive in the policies that it can support. In this paper we will discuss *memory safety, compartmentalization*, and *secure information flow* (SIF) policies. It is flexible in covering the tradeoff between conservative policies that may halt too many programs and more permissive ones. And as a source language, it should be more accessible to C programmers than existing assembly-level tag-based reference monitors.

1 Introduction

Many essential technologies rely on new and old C code. Operating systems (Linux, Windows, OSX, BSD), databases (Oracle, sqlite3), the internet & web (Apache, NGNIX, NetBSD, Cisco IOS), the Internet of Things (IoT), and the embedded devices that run our homes and hospitals are built in and on C [9]. C is not a relic; more than a third of professional programmers report active developing in C today [10]. The safety of public and private systems we depend on every day in turn depends on the security of their underlying C codebases. Insecurity might take the form of undefined behavior such as memory errors, of logic errors such as sql injection or other input-sanitization flaws, or of larger-scale architectural flaws that over-provision components of the system with privilege.

Although static analyses can detect and mitigate many C insecurities, the last line of defense against undetected or unfixable vulnerabilities is dynamic enforcement at runtime. Ideally an engineer can tune enforcement to the security needs of the system, rather than apply one-size-fits-all conservative restrictions. To allows developers to define flexible security policies in terms of a familiar source language, we introduce Tagged C: a general-purpose dynamic tag-based enforcement language. Applications of Tagged C include giving precise definition to undefined behaviors (UBs) involving memory, specifying detailed information flow policies, and enforcing arbitrary mandatory access control tables.

Importantly, a security policy can be modified without recompilation unless it is optimized as described in section 5.

The novelty of Tagged C is that it is a general-purpose scheme for specifying security policies at the source level, using a tag-based reference monitor. This style of monitor associates a metadata tag with the data in the underlying system, and throughout execution it updates these tags according to a set a predefined rules, or halts if the program would violate a rule. This is the underlying concept of PIPE, an ISA extension that implements a reference monitor in hardware, as well as similar systems such as ARM MTE and [that thing from Binghamton]. While our scheme is general and could be implemented in software, we are motivated by PIPE and aim for compatibility with it as a likely hardware target.

Tagged C consists of an underlying semantics that establishes the baseline concrete behavior of programs with no policies, and a set of *control points* at which the semantics consult a user defined set of *tag rules*. For convenience we build our underlying semantics on the CompCert C semantics, which are formalized as part of the CompCert verified compiler [8]. We provide a reference interpreter also based on that of CompCert, for use executing prototype policies.

Contributions We offer the following contributions:

- A full formal semantic definition for Tagged C, formalized in Coq
- The design of a comprehensive set of control points at which the language interfaces with the policy
- A Tagged C interpreter, implemented in Coq and extracted to Ocaml
- Tagged C policies implementing (1) compartmentalization, (2) a realistic, permissive memory model from the literature (PVI), and (3) Secure Information Flow (SIF)

In the next section, we give a high-level introduction of metadata-tagging: how it works, and how its use can improve security. Then in section 3, we briefly discuss the language as a whole, before moving into policies in section 4. Finally, in ?? we discuss the degree to which the design meets our goals of flexibility and applicability to realistic security concerns.

2 What is Metadata Tagging?

Consider a very simple security requirement: "do not printf the password." For simplicity, we will suppose that pwd is an integer in this case, and consider a number of ways that it might be leaked (fig. 1).

[APT: Sorry, but I really find these diagrams hard to follow and needlessly complicated. I'm sure we have had better ways of describing these things in the past.]

Consider this state diagram of example 1a. In the temporary environment, we map pwd to 42, and then assign it into x in memory.

```
void main(int pwd) {
  int x = pwd;
  printf("%d", x);
}

(b)

void main(int pwd) {
  int x = pwd;
  int x = pwd;
  mm = x;
}

(c)

void main(int pwd) {
  int x = pwd;
  int x = pwd
```

Fig. 1: Examples of leaking pwd

We need a way to dynamically track the confidential status of pwd as it moves through the variable x and is then passed to printf. We can do so by associating metadata with the value; namely, that it originates in pwd. We will write this "tagged" value 42@AN(pwd), with the @ symbol denoting a value tagged with metadata. All other values will be tagged N, for "not pwd." Then when we copy pwd into x, it will bring its tag with it unchanged, as represented by the pattern $t \to t$ under the arrow. When we call printf, we must check that the tag is N, and if it is AN(pwd), we would like to failstop rather than permit the call.

```
\begin{array}{c} \text{void main(int pwd) } \{\\ & \text{int x = pwd;} \\ & \text{printf("%d", x);} \\ \} \end{array} \\ \begin{array}{c} \text{pwd} \mapsto 42@AN(pwd) \\ \text{pwd} \mapsto 42@AN(pwd) \end{array} \\ \begin{array}{c} \text{undef}@N \\ \text{42}@AN(pwd) \end{array} \\ \\ \hline Call \ \text{printf("%d", 42}@vt) \end{array}
```

The points at which the tags are checked and either propagated or updated are termed *control points*, and the particular set of rules that are applied to tags at each control point is a *tag rule*. Collectively, the tag rules form a *policy*.

If our goal is to prevent pwd from being leaked, our policy should also prevent values derived from pwd from leaking. This means that if we add pwd to a constant, we still keep the result tagged AN(pwd)—otherwise, someone watching our output could deduce pwd by subtraction. Consider example 1b, focusing first

on the computation of pwd + 5 inside of the context C, then moving on to make the call once the expression is fully evaluated.

Function calls aren't the only means of leaking data, however. We might additionally want to prevent pwd from leaking by being written mmapped memory, like in example 1c. Supposing the mm is such a location, we would separately tag the location itself (not just the value in the location) to designate it as such. We'll call that tag M.

Finally, we may be concerned with implicit leaks of pwd, such as the one in example 1d. It will give away the value of pwd! In order to prevent this, we must keep track of the privilege of the current context, by carrying an additional tag associated with the global state. This is called the PC Tag, written \mathcal{P} . Inside of a loop or conditional that depends on pwd, the state carries information about pwd, and this is reflected by $\mathcal{P} = AN(pwd)$. In the example below we focus on the state inside of the loop, where $\mathcal{P} = AN(pwd)$, and so we should not write to mm.

3 The Language

[APT: The purpose of this section is unclear. Are you trying to introduce the syntax or the semantics of Tagged C? Formally or informally? The points of difference from CompCert are hard to appreciate without a lot more (at least informally) about the standard CompCert semantics. Without at least some semantic rules, you lack a way to describe how control points are hooked in. Since you are giving almost no details now, the stuff on notation seems way too pedantic.]

Tagged C uses the full syntax of CompCert C [8] with minimal modification (fig. 7). There are two notable syntactical differences in the language, relative to CompCert C: conditionals and loops take an optional *join point* label, and parenthetical expressions an optional "context tag."

Our semantics are a small-step reduction semantics which differ from CompCert C's in two key respects. These are given in full in the appendix. First, Tagged C's semantics contain *control points*: hooks within the operational semantics at which the tag policy is consulted and either tags are updated, or the system failstops. (Control points resemble "advice points" in aspect-oriented programming, but narrowly focused on the manipulation of tags.) A control point consists of the name of a *tag rule* and the bindings of its inputs and outputs; a tag rule is a partial function. The names and signatures of the tag rules, and their corresponding control points, are listed in Table 1.

Second, there is no memory-undefined behavior: the source semantics reflect a concrete target-level view of memory as a flat address space. Without memory safety, programs that exhibit memory-undefined behavior will act as their compiled equivalents would, potentially corrupting memory; we expect that a memory safety policy will be a standard default, but that the strictness of the policy may need to be tuned for programs that use low-level idioms.

The choice of control points and their associations with tag rules, as well as the tag rules' signatures, are a crucial design element. Our proposed design is sufficient for the three classes of policy that we explore in this paper, but it may not be complete.

Notations Values are ranged over by v, variable identifiers by x, and function identifiers by f. Tags use a number of metavariables: t ranges over all tags, while we will use vt to refer to the tags associated with values, pt for tags on pointer values and memory-location expressions, lt for tags associated with memory locations themselves, nt for "name tags" automatically derived from identifiers, \mathcal{P} for the global "program counter tag" or PC Tag. An atom is a pair of a value and a tag, $Eval\ v@vt$; the @ symbol should be read as a pair in general, and is used when the second object in the pair is a tag. Expressions are ranged over by e, statements by s, and continuations by k. The continuations are defined in appendix B, and step rules in appendix E.

[TODO: temp environments] Global environments, ranged over by ge, map identifiers to either function or global variable definitions, including the variable's location in memory. Local environments, ranged over by le, map identifiers to atoms. Memories m map integers to triples: a value, a "value tag" vt, and a list of "location tags" \overline{tt} .

A memory is an array of bytes, where each byte is part of an atom. Each byte is also associated with a "location tag" lt. When a contiguous region of s bytes starting at location l comprise an atom v@vt, and their locations tags comprise the list \overline{lt} , we write $m[l]_s = v@vt@\overline{lt}$. Likewise, $m[l \dots l + s \mapsto v@vt@\overline{lt}]_s$ denotes storing that many bytes. Visually, we will represent whole atoms in memory as condensed boxes, with their location tags separate.

4 Tags and Policies

Tagged C can enforce a wide range of policies, as follows. A policy consists of a tag type τ , a default tag inhabiting that type, and an instantiation of each tag rule identified in table 1. Tags in gray boxes are optional, as discussed in section 5.

Name Tags When we want to define a per-program policy, we need to be able to attach tags to the program's functions, globals, and so on. We do this by automatically embedding their identifiers in tags, which are available to all policies. These are called *name tags*. We give name tags to the following constructions and identify them as follows:

- Function identifiers, FN(f)
- Function arguments, AN(f,x)
- Global variables, GN(x)
- Labels, LN(L)
- Types, TN(ty)

Rule Name	Inputs	Outputs	Control Points
LoadT	$\mathcal{P}, pt, vt, \overline{lt}$	vt'	Memory Loads
StoreT	$\mathcal{P}, pt, vt_1, vt_2, \overline{lt}$	$ \mathcal{P}',vt',\overline{lt}' $	Memory Stores
\mathbf{UnopT}	$[\odot, \mathcal{P}, vt]$	vt	Unary Operation
BinopT	$\oplus, \mathcal{P}, vt_1, vt_2$	vt'	Binary Operation
\mathbf{ConstT}		vt	Applied to Constants/Literals
ExprSplitT	$ \mathcal{P}, vt $	$ \mathcal{P}' $	Control-flow split points in expressions
ExprJoinT	$ \mathcal{P},\mathcal{P}',vt $	\mathcal{P}'', vt'	Join points in expressions
SplitT	$ \mathcal{P}, vt, \boxed{L} $	$ \mathcal{P}' $	Control-flow split points in statements)
LabelT	$ \mathcal{P}, LN\overline{(L)} $	$ \mathcal{P}' $	Labels/arbitrary code points
CallT	$\mathcal{P}, FN(f), FN(f')$	$ \mathcal{P}' $	Call
ArgT	$\mathcal{P}, vt, FN(f), AN(x), TN(ty)$	$ \mathcal{P}',pt,vt',\overline{lt} $	Call
RetT	$ \mathcal{P}_{CLE}, \mathcal{P}_{CLR}, vt, FN(f) $	$ \mathcal{P}',vt' $	Return
GlobalT	GN(x), TN(ty)	pt, vt, \overline{lt}	Program initialization
LocalT	$ \mathcal{P}, TN(ty) $	pt, vt, \overline{lt}	Call
DeallocT	$ \mathcal{P}, TN(ty) $	$ vt, \overline{lt} $	Return
ExtCallT	$\mathcal{P}, FN(f), FN(f'), \overline{vt}$	\mathcal{P}'	Call to linked code
MallocT	\mathcal{P}, vt	$\mathcal{P}', pt, vt, \overline{\mathit{lt}}$	Call to malloc
FreeT	$ \mathcal{P}, vt $	$\left \mathcal{P}^{\prime},pt, ight vt,\overline{\mathit{lt}} \left ight $	Call to free
FieldT	pt, TN(ty), GN(x)	pt'	Field Access
PICastT	$\left[\mathcal{P},pt,\left[vt,\overline{lt} ight] ight]$	vt	Cast from pointer to scalar
IPCastT	$[\mathcal{P}, vt_1, vt_2, \overline{lt}]$	pt	Cast from scalar to pointer
PPCastT	$\left \mathcal{P},pt, ight vt,\overline{lt} \left ight $	pt'	Cast between pointers
IICastT	$ \mathcal{P}, vt_1 $	pt	Cast between scalars

Table 1: Full list of tag-rules in control points

4.1 Basic Memory Safety

Let's begin by walking through a common type of policy: memory safety. Variations of memory safety have been enforced in PIPE at the assembly level already, but what does it look like to enforce it at the source level? Consider some example code:

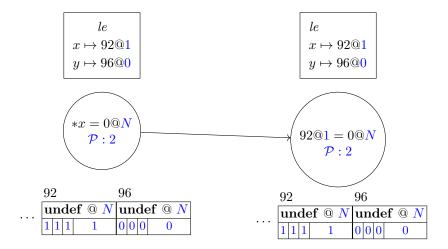
```
void main() {
  int[1] x;
  int[1] y;
  *x = 0;
  *(x+1) = 0;
}
```

The above code is undefined behavior in C, because it writes to the address one past the end of the array pointed to by x. Since x and y are given adjacent concrete addresses, when the program writes to the address of x+1, it is writing

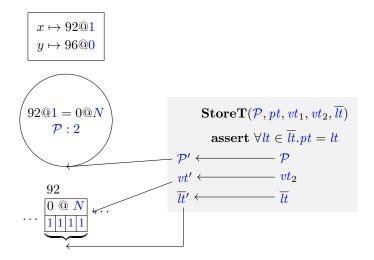
to the address of y. For our example, we'll assume that the stack grows downward from address 100.

We can prevent situations like this using a *memory safety* policy, as follows. We assign to each object in memory a unique "color" when it is allocated—in this case, on entry to the function main.

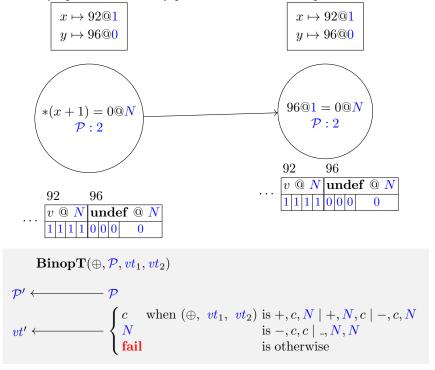
Our set of tags consists of N, for non-pointers, and pointer "colors" $c \in \mathbb{N}$. The PC Tag (\mathcal{P}) tracks the next color to allocate, so it's initialized to 0, and everything else is N. N is the default for constants.



Next, the program stores a 0 to address 1000[APT: 92(?)]. The constant 0 takes on the default tag, I[APT: ??]. The policy needs to check that this store is valid, in addition to determining the tags on the value that is stored. This check is performed by comparing the tag on the pointer to the tags on memory—each byte being written, in case the pointer is misaligned. [APT: unclear] Then the tag on the value being stored is propagated with it into memory, unchanged. [APT: explain what StoreT means] [TODO: arrows aren't pointing to quite the right places right now, but one can imagine.]



Next, on the last line, we add 1 to x, which invokes the **BinopT** tag rule to combine the tags on the arguments. **BinopT** takes as argument the operation \oplus . In memory safety terms, we can add a pointer to a non-pointer in either order, and we can subtract a non-pointer from a pointer (but not the reverse), to yield a pointer to the same object. We can subtract two pointers to the same object from one another to yield a non-pointer, the offset between them. All other binary operations are only permitted between non-pointers.



So, when we try to write through the address 96@1, we compare its tag to that of the memory locations, which are tagged 0. Therefore the policy must failstop on the store.

4.2 PVI Memory Safety

The simple memory safety policy described above is too restrictive to run many real-world C programs, because they contain undefined behavior that is nevertheless part of the "de facto standard" [?]. These low-level idioms are one reason that we might settle for isolating risky code in a compartment instead of enforcing full memory safety.

Memarian et al. [?] propose two memory models that aim to capture this de facto standard, support the common low-level idioms, yet still place sufficient restrictions on programs that it remains sound to use alias analysis in optimizations. The first of these is PVI (provenanace via integer), in which pointers remain valid when they are cast to integers, subjected to the full range of arithmetic operations, and cast back. Memarian et al. do not propose to enforce PVI, merely to use it as an alternative to the C standard. But its relative permissiveness makes it a great target for enforcement in Tagged C! Their second memory model, PNVI (provenance not via integer), is even more permissive. We can also enforce it in Tagged C, though its security value is questionable, and we will not describe it in this paper.

[TODO: For example, garbage collectors use low order bits to mark pointers as in the Cheney algorithm.]

PVI Definitions Since PVI is a more realistic policy than the basic memory safety described above, we will go into some details elided there. First of all, the distinction between heap-allocated memory, stack objects, and global variables. The latter are tagged based on their identifiers, while heap- and stack-objects are tagged dynamically using unique colors.

$$\tau ::= glob \ id \qquad \qquad id \in ident$$

$$dyn \ C \qquad \qquad C \in \mathbb{N}$$

When initializing program memory, before any execution, each global id has its memory locations and its pointer in the global environment tagged with $alob\ id$.

In our previous memory safety example, we began with the active stack frame already allocated. When it is allocated at runtime, its tags are initialize by the **LocalT** rule. Stack-allocated locals and heap-allocated objects are both tagged with unique colors; the PC Tag carries a counter of the dynamic objects allocated so far, and it is incremented each time.

Color Checking As in the basic policy, when we perform a memory load or store, we check that the pointer tag on the left hand of the assignment matches the location tag on all of the bytes being loaded or stored.

Color Propagation In our example memory safety policy, we placed significant restrictions on the ways that pointer-tagged values could be subject to integer operations. In PVI, this is not the case: all unary operations maintain the tag on their input, and all binary operations where exactly one argument is tagged as a pointer propagate that tag to their result. Performing an operation with two pointer-tagged values sets the tag on the result to N. It can still be used as an integer, but if cast back to a pointer it will be invalid.

4.3 Compartmentalization

In a perfect world, all C programs would be memory safe. But it is unfortunately common for a codebase to contain undefined behavior that will not be fixed, including memory undefined behavior. This may occur because developers intentionally use low-level idioms that are UB [?]. Or the cost and potential risk of regressions may make it undesirable to fix bugs in older code, as opposed to code under active development that is held to a higher standard [3].

A compartmentalization policy isolates potentially risky code, such as code with known UB, from safety-critical code, minimizing the damage that can be

done if a vulnerability is exploited. This is a very common form of protection that can be implemented at many levels. It is often built into a system's fundamental design, like a web browser sandbox untrusted javascript. But for our use-case, we consider a compartmentalization scheme being added to the system after the fact.

[TODO: a few words about least privilege, the other main compartmentalization use.]

Let's assume that we have a set of compartment identifiers, ranged over by C, and a mapping from function identifiers to compartments, comp(f). This mapping must be provided by a security engineer.

Coarse-grained Protection The core of a compartmentalization scheme is once again memory protection. For the simplest version, we will enforce that memory allocated by a function is only accessible by functions that share its compartment. To do that, we need to keep track of which compartment we're in, using the PC Tag.

Calls and returns each take two steps: first to an intermediate call or return state, and then to the normal execution state, as shown in fig. 2 with some function f calling f'. Three of these steps feature control points. In the initial call step, CallT uses the name-tags of the caller and callee to update the PC Tag. Then, in the step from the call state, we place the function arguments in the temp environment, tagging their values with the results of \mathbf{ArgT} , and we allocate our stack locals, tagging their values and locations with the results of \mathbf{LocalT} . And on return, \mathbf{RetT} updates both the PC Tag and the tag on the returned value.

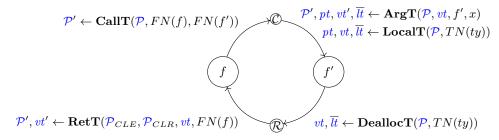


Fig. 2: Structure of a function call

In our compartmentalization policy, we define a tag to be a compartment identifier or the default N tag.

$$\tau ::= C|N$$

At any given time, the PC Tag carries the compartment of the active function. This is kept up to date by the CallT and RetT rules. Note that Tagged C

automatically keeps track of the PC Tag at the time of a call, so that it can be used as a parameter in the return.

```
 \begin{aligned} \mathbf{CallT}(\mathcal{P}, FN(f), FN(f')) & \mathbf{RetT}(\mathcal{P}_{CLE}, \mathcal{P}_{CLR}, vt, FN(f)) \\ & let \ C := comp(f') \ in & \mathcal{P}' \longleftarrow \mathcal{P}_{CLR} \\ \mathcal{P}' \longleftarrow \mathcal{P} & vt' \longleftarrow vt \end{aligned}
```

Now that we know which compartment we're in, we can make sure that its memory is protected. This will essentially work just like the basic memory safety policy, except that coarse-grained protection means that the "color" we assign to an allocation is the active compartment. And during a load or store, we compare the memory tags to the PC Tag, not the pointer.

Sharing Memory The above policy works if our compartments only ever communicate by passing non-pointer values. In practice, this is far too restrictive! Many library functions take pointers and operate on memory shared with the caller. External libraries are effectively required for most software to function yet represent a threat. Isolating external libraries from critical code prevents vulnerabilities in the library from compromising critical code and deprives potential attackers of ROP gadgets and other tools if there is an exploit in the critical code.

To allow intentional sharing of memory across compartments, a more flexible policy is needed. Suppose for example the hostname needs to conform to an expected pattern, such as in an enterprise network, to differentiate between different classes of computers (employee, server, contractor, etc). The standard library, over in its own compartment, has helpful functions, provided the caller provides the buffers from which to set or get the hostname.

```
void configure_enterprise(char* intended_name) {
  int ret = 0;
  char curr_name = malloc(HOST_NAME_MAX + 1);
  ret = gethostname( &curr_name, HOST_NAME_MAX + 1 );
  if (! ret && curr_name != intended_name) { // !ret == (ret==0)
    ret = sethostname(intended_name), strlen(intended_name);
    ....
}
....
}
```

[TODO: update this section for the new example code]

The literature contains two main approaches to this problem: mandatory access control and capabilities. The former explicitly enumerates the access rights of each compartment, while the latter turns passed pointers into unforgeable tokens of privilege, so that the act of passing one implicitly grants the recipient access.

We can handle a mix of allocations that will be passed and those that will not by creating a variant identifier for malloc, malloc_share. This identifier maps to the same address (i.e., it is still calling the same function) but its name tag differs and can therefore parameterize the tag rule. The source must have the malloc name changed for every allocation that might be shared. The annotation could be performed manually, or perhaps automatically using some form of escape analysis.

Mandatory Access Control Mandatory access control works by associating objects in memory with the compartments that are allowed to access them.

Memory Shared by Capability Mandatory access control requires the policy designer to identity every pair of object and compartment that it will be shared with. This may require too much analysis if objects are shared widely throughout the system. Conversely, it does not distinguish between accesses via a valid pointer and those that are the result of UB.

A capability model resolves both issues by treating shared pointers as tokens of privilege. If a compartment can obtain a shared pointer, we assume that it is allowed to access the associated memory. But the access must be performed using that pointer, ruling out other methods such as pointer forging.

$$au ::= N$$

$$glob \ C$$

$$dyn_local \ C$$

$$dyn_share \ c$$

$$comp \ C \ c$$

We define a predicate *cap* that holds when the target address is local to the active compartment or is shared and accessed through an appropriate capability.

4.4 Secure Information Flow

Memory safety and compartmentalization both either prevent or mitigate memory errors. But programs can be memory safe and still do insecure things! Consider the following code, in which we have some error-handling code that writes to a log. [TODO: more realistic example]

```
int checked_div(int a, int b) {
   if (a % b == 0) {
     return a / b;
} else {
     fprintf(log, "%d should divide %d but doesn't\n", b, a);
     return 0;
}

void main(int factor) {
   int key = read_and_parse(keyfile);
   int dividend = checked_div(key, factor);
   if (!dividend) { ... } else { ... }
}
```

The checked_div function sometimes writes its arguments to a log, which is reasonable enough, except when it's called with a key as an argument! Suddenly we have keys being written to an unexpected and probably unprotected file.

This is an instance of problematic information-flow. The solution is to implement a secure information flow (SIF) policy in Tagged C. SIF is a variant of information flow control (IFC) described in the venerable Denning and Denning [5]. At its simplest, if we classify inputs and outputs to the program into secure ("high") and public ("low") classifications, then the high inputs do not influence the low outputs. This generalizes to an arbitrary set of security classes, but out first example is concerned with just two: the value returned from read_and_parse and the output to the log. In our treatment of this example, we will describe a policy tailored to this particular set of security classes.

SIF Example Policy 1 Let's assume that read_and_parse is an external function—that is, we will not model its internal behavior, so we know nothing about the value it returns. We can therefore treat that value as an input, and track its influence through the system.

For this initial, simplified policy, we will assume that it is the only input that we care about, so we have four classes of tags. The default tag N represents values that are not tainted by the sensitive input, the tag vtaint represents values that have been influenced by read_and_parse, and the tag $pc\ f$ $ets\ \overline{L}$ carries a set of labels representing that the current control-flow of the program is tainted (we will discuss this in detail below.) Lastly, the tag vol marks the memory locations of volatile global variables. Volatile variables represent mmapped regions or memory that for other reasons is accessible outside the process.

Initially, the PC Tag is $pc f \emptyset \emptyset$, and all values and memory locations are tagged N. The taint tags are introduced at the external call to read_and_parse. At the same time, all external calls must check that they aren't leaking a tainted value!

When two values are combined with a binary operation, the resulting value is tainted if either of them was. We define this as the *join* or *least-upper-bound* operator, \square . We will then compare tags according to a partial order, the *no-higher-than* operator, \sqsubseteq . In this case, $a \sqsubseteq b$ means that a does not have higher privilege than b, and so information is allowed to flow from a to b.

$$t_1 \sqcup t_2 \triangleq \begin{cases} vtaint & \text{if } t_1 = vtaint \\ vtaint & \text{if } t_2 = vtaint \\ N & \text{otherwise} \end{cases} \quad t_1 \sqsubseteq t_2 \triangleq \begin{cases} \textbf{false} & \text{if } t_1 = vtaint \\ \textbf{true} & \text{otherwise} \end{cases} \quad and \quad t_2 = vol$$

The policy needs to failstop if a tainted value becomes visible to the outside world. That can happen when the value is passed as an argument to an external function, as we saw above, or when it is stored to volatile memory (typically representing a file or external device that might be read or might transfer.

$$\begin{aligned} \mathbf{BinopT}(\oplus, \mathcal{P}, vt_1, vt_2) \\ \mathcal{P}' &\longleftarrow \mathcal{P} \\ vt' &\longleftarrow vt_1 \sqcup vt_2 \\ \\ \mathbf{StoreT}(\mathcal{P}, pt, vt_1, vt_2, \overline{lt}) \\ \mathbf{assert} \ \forall lt \in \overline{lt}. \mathcal{P} \sqcup pt \sqcup vt_2 \sqsubseteq lt \\ \mathcal{P}' &\longleftarrow \mathcal{P} \\ vt' &\longleftarrow \mathcal{P} \sqcup pt \sqcup vt_2 \\ \overline{lt'} &\longleftarrow \overline{lt} \end{aligned}$$

Things become trickier when we consider that the program's control-flow itself can be tainted. This can occur in any of our semantics' steps that can produce different statements and continuations depending on the tained value. At that point, any change to the machine state constitutes an information flow. This is termed an *implicit flow*.

Implicit flows become much more complex outside of expressions, when we have more complex control flow. This time the taint is carried on the PC Tag itself. When the PC Tag is tainted, all stores to memory and all updates to environments must also be tainted until all branches eventually rejoin, which might be at any point. We term the point at which it is safe to remove taint

a *join point*. In terms of the program's control-flow graph, the join point of a branch is its immediate post-dominator []. [TODO: this is the Denning cited in Bay and Askarov]

In many simple programs, the join point of a conditional or loop is obvious: the point at which the chosen branch is complete, or the loop has ended. Such a simple example can be seen in fig. 3; public1 must be tagged with the taint tag of secret, while it is safe to tag public2 N, because that is after the join point, J. The same goes for fig. 4, if we are in a termination-insensitive setting [2]. In termination-insensitive noninterference, we allow an observer to glean information by the termination or non-termination of the program. So, it is safe to assume that the post-dominator J of the while loop is reached.

[TODO: implicit flow rules for statements]

But in the presence of unrestricted go-to statements, a join point may not be local (and sometimes may not exist within the function, assuming that we have not consolidated return points.) Consider fig. 5, which uses go-to statements to create an approximation of an if-statement whose join-point is far removed from the for-loop. The label J now has nothing to do with the semantics of any particular statement.

Luckily this can be determined statically from a function's full control-flow graph, so we can implement it as long as we're willing to deviate from our purely syntax-based tag rules by performing a code transformation. This can be done completely automatically; for each split point in the code, the control-flow graph identifies its join point statement, and the transformation must wrap that statement in a fresh label.

to implement the policy, we must first transform our program by adding labels at the join point of each conditional. Every statement that branches carries an optional label indicating its corresponding join point, if it has one—a function with multiple returns might not, in which case once the PC Tag is tainted, it must remain so until a return.

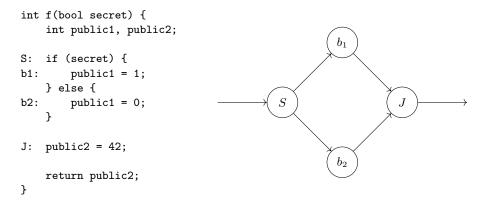


Fig. 3: Leaking via if statements

```
int f(bool secret) {
    int public1=1;
    int public2;

S: while (secret) {
    b1:    public1 = 1;
        secret = false;
    }

J: public2 = 42;
    return public2;
}
```

Fig. 4: Leaking via while statements

```
int f(bool secret) {
   int public1, public2;

   while (secret) {
       goto b1;
   }

b2: public1 = 1;
   goto J;

b1: public1 = 1;

J: public2 = 42;
   return public2;
}
```

Fig. 5: Cheating with go-tos

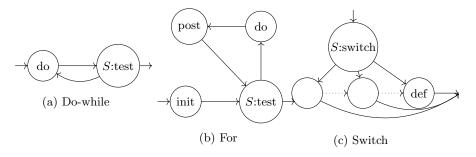


Fig. 6: Remaining Branch Statements

Intransitive SIF Our second example involves information from outside of the system ending up somewhere it isn't supposed to.

```
void sanitize(buf);
char* sql_query(char* query);

void get_data() {
  char[20] name;
  char[100] query = "select address where name =";

  scanf("%19f", name);
  sanitize(name);
  strncat(query, name, strlen(name));

  sprintf(buf, sql_query(query));
  return;
}
```

This function sanitizes its input name, then appends the result to an appropriate SQL query, storing the result in buf. But, in the default case, the programmer has accidentally used the unsanitized string! This creates the opportunity for an SQL injection attack: a caller to this function could (presumably at the behest of an outside user) call it with field of 3 and name of "Bobby; drop table;".

In this example, we want to implement an *intransitive integrity* SIF policy: we wish to allow name to influence the result of sanitize, naturally, and the result of sanitize to influence the value passed to sql_query, but we do not wish for name to influence sql_query directly.

In this context, we consider the functions scanf and sanitize as sources of information, and the input to sanitize and sql_query as information "sinks."

Let Σ be the set of these three identifiers, ranged over by σ . A value may be tainted any subset of Σ , written $\operatorname{vtaint} \overline{\sigma}$. The PC Tag tracks the current function identifier and an association list of labels and sources. Each pair (L, σ) in the association list indicates that until reaching label L, the state itself has been influenced by σ .

```
 \tau ::= vtaint \ \overline{id} 
 pc \ f \ ets \ \overline{(L,id)} 
 N
```

We define the join operation in this new setting, as well as the *minus* operation $(t_1 - t_2)$.

$$t_1 \sqcup t_2 \triangleq \begin{cases} vtaint \ (\overline{\sigma}_1 \cup \overline{\sigma}_2) & \text{if } t_1 = vtaint \ \overline{\sigma}_1 \text{ and } t_2 = vtaint \ \overline{\sigma}_2 \\ vtaint \ (\overline{\sigma}_2 \cup \{\sigma \mid (L,\sigma) \in \overline{(L,\sigma)}_1\}) & \text{if } t_1 = pc \ f \ ets \ \overline{(L,\sigma)}_1 \text{ and } t_2 = vtaint \ \overline{\sigma}_2 \\ vtaint \ (\overline{\sigma}_1 \cup \{\sigma \mid (L,\sigma) \in \overline{(L,\sigma)}_2\}) & \text{if } t_2 = pc \ f \ ets \ \overline{(L,\sigma)}_2 \text{ and } t_1 = vtaint \ \overline{\sigma}_1 \\ \bot & \text{otherwise} \end{cases}$$

$$t - \sigma \triangleq \begin{cases} vtaint \ \overline{\sigma} - \sigma & \text{if } t = vtaint \ \overline{\sigma} \\ \bot & \text{otherwise} \end{cases}$$

And once again we wish to define the "no-higher-than" relation. In this case, recall that we want to avoid the name argument flowing to sql_query. So we will define that sql_query(query), as a sink, is strictly higher security than sql_query(name), and every other combination is fine.

$$t_1 \sqsubseteq (t_2, t_3) \triangleq \begin{cases} \mathbf{f} & \text{if } t_1 = \textit{vtaint } \overline{\sigma}, t_2 = \texttt{sql_query}, t_3 = \texttt{query}, \text{ and name} \in \overline{\sigma}, t_3 = \texttt{query}, t_3 = \texttt{q$$

Tainting and Checking Arguments and Returns A function argument or return value can be either a source or a sink. So, when they are processed by the argument and return rules, we must both check that the value being passed or returned is not tainted by a forbidden source, and then add the new source to its taint. Recall that we want the first argument to the sanitize function to "forget" the influence of name.

$$\begin{array}{lll} \mathbf{ArgT}(\mathcal{P},vt,FN(f),AN(x),TN(ty)) & \mathbf{RetT}(\mathcal{P}_{CLE},\mathcal{P}_{CLR},vt,FN(f)) \\ & \mathbf{assert} \ \mathcal{P} \sqcup vt \sqsubseteq (f,x) & \mathbf{assert} \ \mathcal{P} \sqcup vt \subseteq sink \ (f.ret) \\ & let \ vt_1 := vt - \{ \mathtt{santize}(\mathtt{src}) \} \ in & let \ vt_1 := vt - \{ \sigma \mid \sigma/f.ret \in I \} \ in \\ & let \ vt_2 := vt_1 \sqcup vtaint \ \{ f(x) \} \ in & let \ vt_2 := vt_1 \sqcup tainted \ \{ f.ret \} \ in \\ & vt' \longleftarrow vt_2 & vt' \longleftarrow vt_2 \\ & \mathcal{P}' \longleftarrow \mathcal{P} & \mathcal{P}' \longleftarrow \mathcal{P} \\ \hline{lt} \longleftarrow [N] \end{array}$$

Dynamic Sinks and Globals One scenario that does not really match the others is when the sink is dynamically allocated memory. In this case, we need to tag the memory at allocation-time with the forbidden sources. Global variables are also possible sources or sinks, so we initialize their tags to carry this information.

PC Tag Taint It now becomes slightly more complicated to keep track of the join-point labels associated with various sources. [TODO: fix the alignment here.]

The branching constructs are rather complicated, involving multiple steps and manipulations of the continuation that are not that relevant to their control points. Rather than give their semantics in full, it suffices to identify which transitions contain \mathbf{SplitT} control points. In fig. 6, these are the transitions from the state marked S. Their semantics are given in full in the appendix.

Realizing IFC In order to implement an IFC policy, we need to specify the rules that it needs to enforce. The positive here is that the rules are not dependent on one another (with the exception of declassification rules), and default to permissiveness when no rule is given. We assume that the user would supply a separate file consisting of a list of triples: the source, the sink, and the type of rule. This is then translated into the policy.

The other implementation detail to consider are the label tags. These resemble instruction tags, and that is exactly how they would be implemented: as a special instruction tag on the appropriate instruction, which might be an existing instruction or a specially added no-op, that the processor handles by introducing a tag corresponding to that label.

It remains to generate those labels. For purposes of an IFC policy, we first generate the program's control flow graph. Then, for each if, while, do-while, for, and switch statement, we identify the immediate post-dominator in the graph, and wrap it in a label statement with a fresh identifier. That identifier is also added as a field in the original conditional statement. The tags associated with the labels are initialized at program state—in the case of IFC, these defaults declare that there are no secrets to lower when it is reached.

5 Implementing Tagged C with PIPE

In ??, Chhak et al. introduce a verified compiler from a toy high-level language with tags to a control-flow-graph-based intermediate representation with a PIPE-

based ISA. This establishes a proof-of-concept for compiling a source language's tag policy to realistic hardware. They take advantage of the fact that, like everything else in a PIPE system, instructions in memory carry tags. Instruction tags are statically determined at compile-time. They "piggyback" information about source-level control points onto the tags of the instructions that implement those source constructs.

Tagged C is designed to be implemented in the same way. But, before we can soundly transmit tag rules from the source language to the assembly level, we also need to protect the basic control-flow properties of the source language. So, a compiled Tagged C requires a backend that can at the very least protect its control flow. In the case of a PIPE-based backend, we would run a basic stack-and-function-pointer-safety policy in parallel with whatever Tagged C policy the user has provided.

Chhak et al. [4] give a general strategy for mapping Tagged C's tag rules onto instructions in a PIPE target. But as they note, translating tag rules in full generality requires adding extra instructions that may be unnecessary for some policies. A Tagged-C control point may require a tag from a location that is not read under a normal compilation scheme, or must update tags in locations that would otherwise not be written.

To mitigate this, control points whose compilation would add potentially extraneous instructions take optional parameters or return optional results. We will explain how the rule should be implemented in the target if the options are used. If a policy does not make use of the options, it will be sound to compile without the extra instructions. Optional inputs and outputs are marked with boxes.

6 Evaluation

Tagged C aims to combine the flexibility of tag-based architectures with the abstraction of a high-level language. How well have we achieved this aim?

[Here we list criteria and evaluate how we fulfilled them]

- Flexibility: we demonstrate three policies that can be used alone or in conjunction
- Applicability: we support the full complement of C language features and give definition to many undefined C programs
- Practical security: our example security policies are based on important security concepts from the literature

6.1 Limitations of the Tag Mechanism

By committing to a tag-based mechanism, we do restrict the space of policies that Tagged C can enforce. In general, a reference monitor can enforce any policy that constitutes a *safety property*—any policy whose violation can be demonstrated by a single finite trace. This class includes such policies as "no integer overflow"

and "pointers are always in-bounds," which depend on the values of variables. Tag-based monitors cannot enforce any policy that depends on the value of a variable rather than its tags.

7 Related Work

Reference Monitors The concept of a reference monitor was first introduced fifty years ago in [1]: a tamper-proof and verifiable subsystem that checks every security-relevant operation in a system to ensure that it conforms to a security policy (a general specification of acceptable behavior; see [7].)

A reference monitor can be implemented at any level of a system. An *inline* reference monitor is a purely compiler-based system that inserts checks at appropriate places in the code. Alternatively, a reference monitor might be embedded in the operating system, or in an interpreted language's runtime. A hardware reference monitor instead provides primitives at the ISA-level that accelerate security and make it harder to subvert.

Programmable Interlocks for Policy Enforcement (PIPE) [6] is a hardware extension that uses *metadata tagging*. Each register and each word of memory is associated with an additional array of bits called a tag. The policy is decomposed into a set of *tag rules* that act in parallel with each executing instruction, using the tags on its operands to decide whether the instruction is legal and, if so, determine which tags to place on its results. PIPE tags are large relative to other tag-based hardware, giving it the flexibility to implement complex policies with structured tags, and even run multiple policies at once.

Other hardware monitors include Arm MTE, [Binghamton], and CHERI. Arm MTE aims to enforce a narrow form of memory safety using 4-bit tags, which distinguish adjacent objects in memory from one another, preventing buffer overflows, but not necessarily other memory violations. [TODO: read the Binghamton paper, figure out where they sit here.]

CHERI is capability machine [TODO: cite OG CHERI]. In CHERI, capabilities are "fat pointers" carrying extra bounds and permission information, and capability-protected memory can only be accessed via a capability with the appropriate privilege. This is a natural way to enforce spatial memory safety, and techniques have been demonstrated for enforcing temporal safety [12], stack safety [11], and compartmentalization [TODO: figure out what to cite], with varying degrees of ease and efficiency. But CHERI cannot easily enforce notions of security based on dataflow, such as Secure Information Flow.

In this paper, we describe a programming language with an abstract reference monitor. We realize it as an interpreter with the reference monitor built in, and envision eventually compiling to PIPE-equipped hardware. An inlining compiler would also be plausible. As a result of this choice, our abstract reference monitor uses a PIPE-esque notion of tags.

Aspect Oriented Programming [TODO: do forward search from original AOP paper]

8 Future Work

We have presented the language and a reference interpreter, built on top of the CompCert interpreter [8], and three example policies. There are several significant next-steps.

Compilation An interpreter is all well and good, but a compiler would be preferable for many reasons. A compiled Tagged C could use the hardware acceleration of a PIPE target, and could more easily support linked libraries, including linking against code written in other languages. The ultimate goal would be a fully verified compiler, but that is a very long way off.

Language Proofs There are a couple of properties of the language semantics itself that we would like to prove. Namely (1) that its behavior (prior to adding a policy) matches that of CompCert C and (2) that the behavior of a given program is invariant under all policies up to truncation due to failstop.

Policy Correctness Proofs For each example policy discussed in this paper, we sketched a formal specification for the security property it ought to enforce. A natural continuation would be to prove the correctness of each policy against these specifications.

Policy DSL Currently, policies are written in Gallina, the language embedded in Coq. This is fine for a proof-of-concept, but not satisfactory for real use. We plan to develop a domain-specific policy language to make it easier to write Tagged C policies.

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A Syntax

B Continuations

```
k ::= Kemp
|Kdo; k|
|Kseq s; k|
|Kif s_1 s_2 L; k|
|Kwhile Test e s L; k|
|Kwhile Loop e s L; k|
|Kdo While Test e s L; k|
|Kfor (e, s_2) s_3 L; k|
|Kfor Post (e, s_2) s_3 L; k|
```

```
e ::= Eval \ v@vt
                                                                                                              Value
s := \mathtt{Sskip}
                                                                                                           Variable
     |{\tt Sdo}\;e|
                                         |Evar| x
     |\mathtt{Sseq}\; s_1\; s_2|
                                         |Efield e id
                                                                                                               Field
     |\mathtt{Sif}(e)| then s_1 else s_2 jo|EvMOf| e
                                                                                             Load from Object
     |\mathtt{Swhile}(e) \; \mathtt{do} \; s \; \mathtt{join} \; L
                                                                                           Dereference Pointer
                                         |Ederef|e
     |{\tt Sdo}\; s\; {\tt while}\; (e)\; {\tt join}\; L
                                         |EaddrOf|e
                                                                                             Address of Object
     |\mathtt{Sfor}(s_1;e;s_2) \ \mathtt{do} \ s_3 \ \mathtt{join} \ | \mathit{Eunop} \ \odot \ e
                                                                                                Unary Operator
     Sbreak
                                         |Ebinop \oplus e_1 e_2|
                                                                                               Binary Operator
                                         |Ecast\ e\ ty|
     Scontinue
                                                                                                                Cast
     Sreturn
                                         |Econd e_1 e_2 e_3|
                                                                                                      Conditional
                                                                                                     Size of Type
     |\mathtt{Sswitch}\; e\; \{\; \overline{(L,s)}\; \}\; \mathtt{join}\, | \mathit{Esize}\; \mathit{ty}
                                         |Ealign| ty
                                                                                            Alignment of Type
     |\mathtt{Slabel}\; L:\; s
                                         |Eassign e_1 e_2|
                                                                                                      Assignment
     |{\tt Sgoto}\ L
                                         |EassignOp \oplus e_1 e_2|
                                                                                         Operator Assignment
                                         |EpostInc \oplus e|
                                                                                 Post-Increment/Decrement
                                         |Ecomma\ e_1\ e_2|
                                                                                          Expression Sequence
                                         |Ecall\ e_f(\overline{e}_{args})|
                                                                                                   Function Call
                                         |Eloc\ l@lt
                                                                                             Memory Location
                                         |Eparen e ty t
                                                                        Parenthetical with Optional Cast
```

Fig. 7: Tagged C Abstract Syntax

C States

States can be of several kinds, denoted by their script prefix: a general state $\mathcal{S}(...)$, an expression state $\mathcal{E}(...)$, a call state $\mathcal{C}(...)$, or a return state $\mathcal{R}(...)$. Finally, the special state failstop $(\mathcal{F}(...))$ represents a tag failure, and carries the state that produced the failure. [Allison: to whatever degree you've figured out what is useful here by publication-time, we can tune this to be more specific.]

$$\begin{split} S &::= \mathcal{S} \left(m \mid s \gg k@\mathcal{P} \right) \\ &\mid \mathcal{E} \left(m \mid e \gg k@\mathcal{P} \right) \\ &\mid \mathcal{C} \left(\mathcal{P} \mid m(le) \gg f'@f \right) \overline{Eval \ v@vtk} \\ &\mid \mathcal{R} \left(m \mid ge \gg le@\mathcal{P} \right) Eval \ v@vtk \\ &\mid \mathcal{F} \left(S \right) \end{split}$$

D Initial State

Given a list xs of variable identifiers id and types ty, a program's initial memory is defined by iteratively allocating each one in memory and updating the global environment with its base address, bound, type, and a static identity tag. Let |ty| be a function from types to their sizes in bytes. The memory is initialized $\mathbf{undef}@vt@\overline{tt}$ for some vt and \overline{tt} , unless given an initializer. Let m_0 and ge_0 be the initial (empty) memory and environment. The parameter b marks the start of the global region.

$$globals \ xs \ b = \begin{cases} (m_0, ge_0) & \text{if} \ xs = \varepsilon \\ (m[p \dots p + |ty| \mapsto \mathbf{undef}@vt@\overline{tt}]_{|ty|}, & \text{if} \ xs = (id, ty) :: xs' \\ ge[id \mapsto (p, p + |ty|, ty, pt)]) & \text{and} \ pt, vt, \overline{tt} \leftarrow \mathbf{GlobalT}(GN(x), TN(ty)) \\ & \text{where} \ (m, ge) = globals \ xs' \ (b + |ty|) \end{cases}$$

E Step Rules

E.1 Sequencing rules

$$\overline{\mathcal{S}\left(m\mid \mathsf{Sdo}\; e\gg k@\mathcal{P}\right)\longrightarrow \mathcal{E}\left(m\mid e\gg Kdo;\; k@\mathcal{P}\right)}$$

$$\overline{\mathcal{E}\left(m\mid Eval\; v@vt\gg Kdo;\; k@\mathcal{P}\right)\longrightarrow \mathcal{S}\left(m\mid \mathsf{Sskip}\gg k@\mathcal{P}\right)}$$

$$\overline{\mathcal{S}\left(m\mid \mathsf{Sseq}\; s_1\; s_2\gg k@\mathcal{P}\right)\longrightarrow \mathcal{S}\left(m\mid s_1\gg Kseq\; s_2;\; k@\mathcal{P}\right)}$$

$$\overline{\mathcal{S}\left(m\mid \mathsf{Sskip}\gg Kseq\; s;\; k@\mathcal{P}\right)\longrightarrow \mathcal{S}\left(m\mid s\gg k@\mathcal{P}\right)}$$

E.2 Conditional rules

$$\begin{split} s &= \operatorname{Sif}(e) \text{ then } s_1 \text{ else } s_2 \text{ join } L \\ \overline{\mathcal{S}\left(m \mid s \gg k@\mathcal{P}\right)} &\longrightarrow \mathcal{E}\left(m \mid e \gg Kif \ s_1 \ s_2 \ L; \ k@\mathcal{P}\right) \\ s' &= \begin{cases} s_1 & \text{if } boolof(v) = \mathbf{t} \\ s_2 & \text{if } boolof(v) = \mathbf{f} \end{cases} \\ \overline{\mathcal{E}\left(m \mid Eval \ v@vt \gg Kif \ s_1 \ s_2 \ L; \ k@\mathcal{P}\right)} &\longrightarrow \mathcal{S}\left(m \mid s' \gg k@\mathcal{P}'\right) \end{split}$$

E.3 Loop rules

$$s = \operatorname{Swhile}(e) \text{ do } s' \text{ join } L$$

$$\overline{\mathcal{S}(m \mid s \gg k@\mathcal{P})} \longrightarrow \mathcal{E}(m \mid e \gg KwhileTest \ e \ s' \ L; \ k@\mathcal{P})}$$

$$boolof(v) = \mathbf{t} \qquad k_1 = KwhileTest \ e \ s \ L; \ k$$

$$\underline{k_2 = KwhileLoop \ e \ s \ L; \ k \ \mathcal{P}' \leftarrow \mathbf{SplitT}(\mathcal{P}, vt, \ L)}$$

$$\overline{\mathcal{E}(m \mid Eval \ v@vt \gg k_1@\mathcal{P})} \longrightarrow \mathcal{S}(m \mid s \gg k_2@\mathcal{P}')$$

$$\underline{boolof(v) = \mathbf{f} \ k = KwhileTest \ e \ s \ L; \ k' \ \mathcal{P}' \leftarrow \mathbf{SplitT}(\mathcal{P}, vt, \ L)}$$

$$\overline{\mathcal{E}(m \mid Eval \ v@vt \gg k@\mathcal{P})} \longrightarrow \mathcal{S}(m \mid \mathbf{Sskip} \gg k'@\mathcal{P}')$$

$$\underline{s = \mathbf{Sskip} \lor s = \mathbf{Scontinue}} \qquad k = KwhileLoop \ e \ s \ L; \ k'}$$

$$\overline{\mathcal{S}(m \mid s \gg k@\mathcal{P})} \longrightarrow \mathcal{S}(m \mid \mathbf{Swhile}(e) \ do \ s \ \mathbf{join} \ L \gg k'@\mathcal{P})}$$

$$\frac{k = KwhileLoop\ e\ s\ L;\ k'}{S\ (m\ |\ Sbreak\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P)}$$

$$\frac{s = \operatorname{Sdo}\ s'\ while\ (e)\ \operatorname{join}\ L\ k' = Kdo\ WhileLoop\ e\ s'\ L;\ k}{S\ (m\ |\ s\ \gg k@P) \to S\ (m\ |\ s'\ \gg k'@P)}$$

$$\frac{k_1 = Kdo\ WhileLoop\ e\ s\ L;\ k'}{S\ (m\ |\ s'\ = \operatorname{Sskip}\lor s' = \operatorname{Scontinue}\ \gg k_1@P) \to E\ (m\ |\ e\ \gg k_2@P)}$$

$$\frac{boolof\ (v)\ =\ f\ k = Kdo\ WhileTest\ e\ s\ L;\ k'\ P'\ \leftarrow \operatorname{SplitT\ (P,vt,}\ L)}{S\ (m\ |\ Eval\ v@vt\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P')}$$

$$\frac{boolof\ (v)\ =\ f\ k = Kdo\ WhileTest\ e\ s\ L;\ k'\ P'\ \leftarrow \operatorname{SplitT\ (P,vt,}\ L)}{S\ (m\ |\ Eval\ v@vt\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P)}$$

$$\frac{k = Kdo\ WhileLoop\ e\ s\ L;\ k'}{S\ (m\ |\ Swk@P) \to S\ (m\ |\ Sskip\ \gg k'@P)}$$

$$\frac{k = Kdo\ WhileLoop\ e\ s\ L;\ k'}{S\ (m\ |\ Sskip\ \gg k'@P)} \to S\ (m\ |\ Sskip\ \gg k'@P)$$

$$\frac{s = \operatorname{Sfor}(\operatorname{Si}_1;e;s_2)\ \operatorname{do}\ s_3\ \operatorname{join}\ L}{S\ (m\ |\ s\ \gg k@P) \to S\ (m\ |\ s\ \gg k@P)} \to S\ (m\ |\ s\ \gg k@P)}$$

$$\frac{s = \operatorname{Sfor}(\operatorname{Sskip};e;s_2)\ \operatorname{do}\ s_3\ \operatorname{join}\ L}{S\ (m\ |\ Eval\ v@vt\ \gg Kfor\ (e,s_2)\ s_3\ L;\ k@P)} \to S\ (m\ |\ Sskip\ \gg k@P)}{E\ (m\ |\ Eval\ v@vt\ \gg k@P) \to S\ (m\ |\ s\ \gg k@P)} \to S\ (m\ |\ Sskip\ \gg k@P)}$$

$$\frac{k = Kfor\ (e,s_2)\ s_3\ L;\ k'}{S\ (m\ |\ Sheak\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P)} \to S\ (m\ |\ Sskip\ \gg k'@P)}{E\ (m\ |\ Sheak\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P)}$$

$$\frac{k = Kfor\ (e,s_1)\ s_2\ L;\ k'}{S\ (m\ |\ Sheak\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P)}$$

$$\frac{k = Kfor\ (e,s_2)\ s_3\ L;\ k'}{S\ (m\ |\ Sheak\ \gg k@P) \to S\ (m\ |\ Sskip\ \gg k'@P)}$$

E.4 Contexts

Our expression semantics are contextual. A context ctx is a function from an expression to an expression and a tag. We identify a valid context using the context relation over a "kind" (left-hand or right-hand, LH or RH), and an expression.

```
context \ k \ C[e] ::=
       | context k \lambda e.e
       |context| LH \lambda e.Ederef|C[e]
                                                                       where context RH C[e]
       | context \ LH \ \lambda e.Efield \ C[e] \ id
                                                                       where context RH C[e]
       | context RH \lambda e.EvalOf C[e]
                                                                       where context LH C[e]
       | context RH \lambda e.EaddrOf C[e]
                                                                       where context LH C[e]
                                                                       where context RH C[e]
       | context RH \lambda e.Eunop \odot C[e]
       | context RH \lambda e.Ebinop \oplus C[e_1] e_2
                                                                      where context RH C[e_1]
       |context| RH \lambda e.Ebinop \oplus e_1 C[e_2]
                                                                      where context RH C[e_2]
       | context RH \lambda e.Ecast C[e] ty
                                                                       where context RH C[e]
       | context RH \lambda e.EseqAnd\ C[e_1]\ e_2
                                                                      where context RH C[e_1]
       | context RH \lambda e.EseqOr\ C[e_1]\ e_2
                                                                      where context RH C[e_1]
       | context RH \lambda e.Econd C[e_1] e_2 e_3
                                                                      where context RH C[e_1]
       | context RH \lambda e.Eassign \ C[e_1] \ e_2
                                                                      where context LH C[e_1]
       | context RH \lambda e.Eassign\ e_1\ C[e_2]
                                                                      where context RH C[e_2]
       | context RH \lambda e.EassignOp \oplus C[e_1] e_2
                                                                      where context LH C[e_1]
       |context| RH \lambda e.EassignOp \oplus e_1 C[e_2]
                                                                      where context RH C[e_2]
       |context| RH \lambda e.EpostInc \oplus C[e]
                                                                       where context LH C[e]
       |context| RH \lambda e.Ecall C[e_1](\overline{e_2})
                                                                      where context RH C[e_1]
       | context RH \lambda e.Ecall e_1(C[\overline{e_2}])
                                                          where context RH C[e] for e \in \overline{e_2}
       | context RH \lambda e.Ecomma C[e_1] e_2
                                                                      where context RH C[e_1]
       | context RH \lambda e.Eparen\ C[e]\ ty
                                                                       where context RH C[e]
       | context RH \lambda e.Eparen\ C[e] ty t
                                                                       where context RH C[e]
```

Next, we define a notion of expression reduction. A left-hand reduction relates an expression to an expression. A right-hand reduction relates a triple of PC Tag, memory, and expression to another such triple.

$$\begin{split} & \underbrace{context \text{ LH } C[e]}_{\mathcal{E} \ (m \mid C[e] \gg k@\mathcal{P}) \longrightarrow \mathcal{E} \ (m \mid C[e] \gg k@\mathcal{P})}_{\text{LH } e'} \\ & \underbrace{context \text{ RH } C[e] \quad (\mathcal{P}, m, e) \Rightarrow_{\text{RH }} (\mathcal{P}', m', e')}_{\mathcal{E} \ (m \mid C[e] \gg k@\mathcal{P}) \longrightarrow \mathcal{E} \ (m' \mid C[e] \gg k@\mathcal{P}')} \end{split}$$

E.5 Expression Rules

$$\begin{split} \frac{le[id] = (l, _, pt, ty)}{Evar \ id \Rightarrow_{\text{LH}} Eloc \ l@pt} \\ \frac{le[id] = \bot \ ge[id] = \text{VAR}(l, _, pt, ty)}{Evar \ id \Rightarrow_{\text{LH}} Eloc \ l@pt} \end{split}$$

```
(\mathcal{P}, m, Ederef \ (Eval \ v@vt)) \Rightarrow_{\mathrm{RH}} (\mathcal{P}, m, Eloc \ (to\_ptr \ v)@vt)
ty = TStruct \ id \lor ty = TUnion \ id \ offset \ id \ fld = \delta \ pt' \leftarrow \mathbf{FieldT}(pt, TN(ty), GN(x))
                                          \overline{\textit{Efield (Eval p@pt:ty) fld})} \Rightarrow_{\text{LH}} Eloc\ (p+\delta)@pt'
                         \frac{m[l]_{|ty|} = v@vt@\overline{lt} \qquad vt' \leftarrow \mathbf{LoadT}(\mathcal{P}, pt, vt, \overline{lt})}{(\mathcal{P}, m, EvalOf \ (Eloc \ l@pt) : ty) \Rightarrow_{\mathrm{RH}} (\mathcal{P}, m, Eval \ v@vt')}
                            \overline{(\mathcal{P}, m, EaddrOf\ (Eloc\ p@pt))} \Rightarrow_{RH} (\mathcal{P}, m, Eval\ p@pt)
                          \langle \odot \rangle v = v' vt \leftarrow \mathbf{UnopT}(\odot, \mathcal{P}, vt)
                         (\mathcal{P}, m, Eunop \odot (Eval \ v@vt)) \Rightarrow_{\mathrm{RH}} (\mathcal{P}, m, Eval \ v'@vt')
                                      v_1 \langle \oplus \rangle v_2 = v' \ vt' \leftarrow \mathbf{BinopT}(\oplus, \mathcal{P}, vt_1, vt_2)
                                      e = Ebinop \oplus (Eval \ v_1@vt_1) (Eval \ v_2@vt_2)
                                                  (\mathcal{P}, m, e) \Rightarrow_{\text{RH}} (\mathcal{P}, m, Eval\ v'@vt')
                \frac{\neg isptr(ty_1) \neg isptr(ty_2) \qquad pt \leftarrow \mathbf{IICastT}(\mathcal{P}, vt_1)}{(\mathcal{P}, m, Ecast \ (Eval \ v@vt : ty_1) \ ty_2) \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, Eval \ v@vt' : ty_2)}
                       ty_1 = ptr \ ty_1'
               \frac{m[v]_{|ty_1'|} = \_@vt@\overline{lt}}{(\mathcal{P}, m, Ecast\ (Eval\ v@pt: ty_1)\ ty_2) \Rightarrow_{\mathrm{RH}} (\mathcal{P}, m, Eval\ v@vt': ty_2)}
                                                                                                 ty_2 = ptr \ ty_2'
                          \neg isptr(ty_1)
               \frac{m[v]_{|ty_2'|} = \_@vt_2@\overline{lt}}{(\mathcal{P}, m, Ecast\ (Eval\ v@vt_1: ty_1)\ ty_2)} \Rightarrow_{\mathrm{RH}} (\mathcal{P}, m, Eval\ v@pt: ty_2)}{(\mathcal{P}, m, Eval\ v@pt: ty_2)}
                                   ty_1 = ptr \ ty_1'
               \frac{m[v]_{|ty_1'|} = m[v]_{|ty_2'|} = \_@vt@\overline{lt} \quad pt' \leftarrow \mathbf{PPCastT}(\mathcal{P}, pt, vt, \overline{lt})}{(\mathcal{P}, m, Ecast \ (Eval \ v@pt : ty_1) \ ty_2) \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, Eval \ v@pt' : ty_2)}
                 boolof(v) = \mathbf{t}
                                                                          \mathcal{P}' \leftarrow \mathbf{ExprSplitT}(\mathcal{P}, vt)
                 (\mathcal{P}, m, EsegAnd (Eval \ v@vt) \ e) \Rightarrow_{\mathtt{RH}} (\mathcal{P}', m, Eparen \ e \ Tbool \ \mathcal{P})
   \frac{boolof(v) = \mathbf{f}}{(\mathcal{P}, m, EseqAnd \ (Eval \ v@vt) \ e)} + \frac{\mathcal{P}' \leftarrow \mathbf{ExprSplitT}(\mathcal{P}, vt)}{\mathcal{P}', m, Eparen \ (Eval \ 0@vt') \ Tbool \ \mathcal{P})}
                                                                           \mathcal{P}' \leftarrow \mathbf{ExprSplitT}(\mathcal{P}, vt)
     boolof(v) = \mathbf{t}
     (\mathcal{P}, m, EseqOr\ (Eval\ v@vt)\ e) \Rightarrow_{\mathrm{RH}} (\mathcal{P}', m, Eparen\ (Eval\ 1@vt')\ Tbool\ \mathcal{P})
```

 $\mathcal{P}' \leftarrow \mathbf{ExprSplitT}(\mathcal{P}, vt)$

 $(\mathcal{P}, m, EsegOr\ (Eval\ v@vt)\ e) \Rightarrow_{RH} (\mathcal{P}', m, Eparen\ e\ Tbool\ \mathcal{P})$

 $\frac{le[id] = \perp ge[id] = \text{VAR}(f, pt)}{Evar \ id \Rightarrow_{\text{LH}} Efloc \ l@pt}$

```
e' = \begin{cases} e_1 & \text{if } boolof(v) = \mathbf{t} \\ e_2 & \text{if } boolof(v) = \mathbf{f} \end{cases} \qquad \mathcal{P}' \leftarrow \mathbf{ExprSplitT}(\mathcal{P}, vt) \\ \hline (\mathcal{P}, m, Econd \ (Eval \ v@vt) \ e_1 \ e_2) \Rightarrow_{\mathtt{RH}} (\mathcal{P}', m, Eparen \ e' \ \mathcal{P} \end{cases}
m[l]_{|ty|} = v_1@vt_1@\overline{lt} \qquad m' = m[l \mapsto v_2@vt'@\overline{lt}'] \\ \hline \mathcal{P}', vt', \overline{lt}' \leftarrow \mathbf{StoreT}(\mathcal{P}, pt, vt_1, vt_2, \overline{lt}) \\ \hline (\mathcal{P}, m, Eassign \ (Eloc \ l@pt) \ (Eval \ v_2@vt_2)) \Rightarrow_{\mathtt{RH}} (\mathcal{P}', m', Eval \ v_2@vt_2) \end{cases}
m[l]_{|ty|} = v_1@vt@\overline{lt} \oplus \in \{+, -, *, /, \%, <<, >>, \&, ^\wedge, |\} \ vt' \leftarrow \mathbf{LoadT}(\mathcal{P}, pt, vt, \overline{lt}) \\ e = Eassign \ (Eloc \ l@pt) \ (Ebinop \ \oplus \ (Eval \ v_2@vt_2)) \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, e) \end{cases}
m[l] = v@vt@\overline{lt} \oplus \in \{+, -\} \qquad vt' \leftarrow \mathbf{LoadT}(\mathcal{P}, pt, vt, \overline{lt}) \\ e = Ecomma \ (Eassign \ (Eloc \ l@pt) \ (Ebinop \ \oplus \ Eval \ v_2@vt_2)) \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, e) 
m[l] = v@vt@\overline{lt} \oplus \in \{+, -\} \qquad vt' \leftarrow \mathbf{LoadT}(\mathcal{P}, pt, vt, \overline{lt}) \\ e = Ecomma \ (Eassign \ (Eloc \ l@pt) \ (Ebinop \ \oplus \ Eval \ v_2@vt_2)) \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, e) 
(\mathcal{P}, m, EpostInc \ \oplus \ Eloc \ l@pt) \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, e) 
\overline{(\mathcal{P}, m, Ecomma \ (Eval \ v@vt) \ e)} \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, e) 
\overline{(\mathcal{P}, m, EpostInc \ \oplus \ Eloc \ l@pt)} \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, e) 
\overline{(\mathcal{P}, m, EpostInc \ e \ ty \ \mathcal{P}')} \Rightarrow_{\mathtt{RH}} (\mathcal{P}, m, Eval \ v@vt')
```

E.6 Call and Return Rules

In order to make a call, we need to reduce the function expression to an $Efloc \ @$ value, an abstract location corresponding to a particular function. Then we can make the call.

$$\frac{\mathcal{P}' \leftarrow \mathbf{CallT}(\mathcal{P}, FN(f), FN(f'))}{\mathcal{E}\left(m \mid C\big[\mathit{Ecall Efloc } f'@(\overline{v@vt})\big] \mid ty \gg k@\mathcal{P}\right) \longrightarrow \mathcal{C}\left(m \mid f'(v@vt) \gg \mathit{Kcall } f \mid C \mid \mathcal{P}; \mid k@\mathcal{P}'\right)}$$

When we make an internal call, we need to allocated space for locals and arguments using the helper function *frame*.

$$\begin{cases} (m''[p \mapsto \mathbf{undef}@vt@\overline{tt}]_{|ty|}, & \text{if } xs = (id,ty) :: xs' \\ le'[id \mapsto (p,p+|ty|,ty,pt)]) & \text{where } (m',p) \leftarrow stack_alloc \ |ty| \ m, \\ pt,vt,\overline{tt} \leftarrow \mathbf{LocalT}(\mathcal{P},TN(ty)), \\ & \text{and } (m'',le') = frame \ xs' \ as \ m' \end{cases}$$

$$frame \ xs \ as \ m = \begin{cases} (m''[p \mapsto v@vt'@\overline{tt}]_{|ty|}, & \text{if } as = (id,ty,v@vt) :: as' \ and \ xs = \varepsilon \\ le'[id \mapsto (p,p+|ty|,ty,pt)]) & \text{where } (m',p) \leftarrow stack_alloc \ |ty| \ m, \\ \mathcal{P}',pt,vt',\overline{lt} \leftarrow \mathbf{ArgT}(\mathcal{P},vt,FN(f),AN(x),TN(ty)), \\ & \text{and } (m'',le') = frame \ xs' \ as \ m' \end{cases}$$

$$(m,\lambda x.\bot) \qquad \text{if } xs = \varepsilon \ \text{and } as = \varepsilon$$

$$\frac{def(f) = INT(xs, as, s) \ m', le' = frame \ xs \ (zip \ as \ args) \ m \ le}{\mathcal{C}\left(m \mid f(args) \gg k@\mathcal{P}\right) \longrightarrow \mathcal{S}\left(m' \mid s \gg k@\mathcal{P}\right) / le'}$$

On the other hand, when we make an external call, we step directly to a return state with some value being returned and an updated memory. [TODO: talk more about how the tag policy applies in external functions, what they can and can't do with tags.]

$$\frac{def(f) = EXT(spec) \ \mathcal{P}' \leftarrow \mathbf{ExtCallT}(\mathcal{P}, FN(f), FN(f'), \overline{vt}) \ \mathcal{P}'', m', (v@vt) = spec \ \mathcal{P}' \ args \ m}{\mathcal{C}\left(m \mid f(args) \gg k@\mathcal{P}\right) \longrightarrow \mathcal{R}\left(m' \mid v@vt \gg k@\mathcal{P}''\right)}$$

Special external functions, such as malloc, just get their own rules.

$$\begin{array}{c} \mathcal{P}', pt, \boxed{vt, \overline{tt}} \leftarrow \mathbf{MallocT}(\mathcal{P}, vt) & m', p \leftarrow heap_alloc \ size \ m \\ \hline m'' = m' \left[p + i \mapsto (\mathbf{undef}, vt, tt) \right]_{size} \\ \hline \mathcal{C}(m \mid malloc((size@t)) \gg k@\mathcal{P}) \longrightarrow \mathcal{R}(m'' \mid Eval \ p@pt \gg k@\mathcal{P}') \end{array}$$

And finally, we have the return rules.

$$\frac{k = Kcall \ le' \ ctx \ \mathcal{P}_{CLR} \ k' \quad \mathcal{P}', vt' \leftarrow \mathbf{RetT}(\mathcal{P}_{CLE}, \mathcal{P}_{CLR}, vt, FN(f))}{\mathcal{R} \ (m \mid Eval \ v@vt \gg k@\mathcal{P}_{CLE}) \longrightarrow \mathcal{E} \ (m \mid ctx[Eval \ v@vt'] \gg k'@\mathcal{P}') \ / le'}$$

$$\frac{dealloc \ m \ \mathcal{P} = (\mathcal{P}', m')}{\mathcal{E} \ (m \mid Eval \ v@vt \gg Kreturn; \ k@\mathcal{P}) \longrightarrow \mathcal{R} \ (m \mid Eval \ v@vt \gg k@\mathcal{P}')}$$

$$\frac{dealloc \ m \ \mathcal{P} = (\mathcal{P}', m')}{\mathcal{S} \ (m \mid Sreturn \ \gg k@\mathcal{P}) \longrightarrow \mathcal{R} \ (m' \mid Eval \ \mathbf{undef}@def \gg k@\mathcal{P}')}$$

F Moved from Intro

[SNA: I'm organizing our diss tracks into paragraphs that we can cut or move as needed]

Why Dynamic? Unfortunately, it is not always possible to fully secure C code before run-time. Ideally, bugs would be quickly identified and then fixed promptly. That is not always possible for a variety of reasons: bugs may escape detection, require significant effort to diagnose, or be impractical to fix. There are many techniques for finding bugs, but there is a shared stumbling block: C is not well defined. We cannot always agree on when something is a bug in C, especially code using Undefined Behaviors (UB) [?]. Confusion around expected behavior is no small problem. There are 191 undefined behaviors and 52 unspecified behaviors in the C99 specification [?]. Sometimes these behaviors are benign and skillfully used by the developer, other times they are unintended and highly dangerous. Unfortunately the distinction between the two is easily lost. Discerning expert code review is considered best practice, although it is rarely perfectly successful [] even if an expert is available at all. Even when there is both consensus and detection of a bug[APT: ??] AN: we can find it at and we can agree its a problem that should be fixed, changing the code may not be possible because it

is in proprietary 3rd party libraries and drivers, or because regulations prohibit changes [3].

[APT: last clause is mysterious] AN: for example FDA approval used to forbid patching because you'd have to go through recertification. So healthcare wouldn't patch. SNA pointed out the coverity paper comments on this as a reason for bugs not getting fixed

Why C-Level? Tag-based enforcement in general has a significant body of work at the assembly level, especially PIPE (Programmable Interlocks for Policy Enforcement) []. However, even at the assembly-level these systems need the compiler to be in the trusted computing base (TCB), as many policies require knowledge of source-level constructs, even ones that do not depend on detailed knowledge of the program's behavior [cite Nick and Andre; anyone else?]. Moving policy-definition to the source level therefore does not expand the TCB and allows C developers to reason about policies in terms of the language that they program in regularly.